



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2015

OF THE CONDITION AND AFFAIRS OF THE

NYLIFE Insurance Company of Arizona

NAIC Group Code 0826, 0826 NAIC Company Code 81353 Employer's ID No. 52-1530175
(Current Period) (Prior Period)

Organized under the Laws of Arizona, State of Domicile or Port of Entry _____,

Country of Domicile United States of America

INCORPORATED/ORGANIZED JULY 23, 1987

COMMENCED BUSINESS DECEMBER 30, 1987

Statutory Home Office	14850 N. Scottsdale Road, Suite 400, Scottsdale, AZ, U.S. 85254..
Main Administrative Office	51 Madison Avenue, New York, NY, U.S. 10010.....
Mail Address	212-576-7000.....
Primary Location of Books and Records	51 Madison Avenue, New York, NY, U.S. 10010.....
Internet Website address.....	51 Madison Avenue, New York, NY, U.S. 10010.....
Statutory Statement Contact Person and Phone Number.....	212-576-7000.....
Statutory Statement Contact E-Mail Address	www.newyorklife.com.....
Statutory Statement Contact Fax Number	Peter Decandia.....212-576-8249.....
	statement_contact@newyorklife.com
	914-846-5000.....

EXECUTIVE OFFICERS

CRAIG LAWRENCE DESANTO
*Chairman of the Board, Chief Executive
Officer and President*BARBARA JOAN MCINERNEY
*Senior Vice President and Chief
Compliance Officer*MICHAEL FONG
*Vice President and Actuary*LOUISE MONIQUE LINCK
*Corporate Vice President and Treasurer*THOMAS FRANCIS ENGLISH
*Senior Vice President
and Chief Legal Officer*ARTHUR HARUTYUN SETER
*Senior Vice President and Chief
Investment Officer*PETER DECANDIA#
*Corporate Vice President and Controller*ANNA LOUISE BIDWELL
Associate Legal Officer and Secretary

DIRECTORS

CRAIG LAWRENCE DESANTO
MICHAEL FONG
ROBERT MICHAEL GARDNERTHOMAS JOHN GIRARD
CHRISTOPHER THOMAS ASHEState of New York }
County of New York } SS

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures Manual* except to the extent that: (1) state law may differ; or, (2) state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

LOUISE MONIQUE LINCK
Corporate Vice President and TreasurerANNA LOUISE BIDWELL
Associate Legal Officer and SecretaryPETER DECANDIA#
Corporate Vice President and Controller

STEPHEN COSTANZA

NOTARY PUBLIC-STATE OF NEW YORK

No. 01CO6327901

Qualified in Westchester County

My Commission Expires July 20, 2019

Subscribed and sworn to before me this

11th day of November 2015

Officers and Directors who did not occupy the indicated position in the previous annual statement

- a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number ...
2. Date filed ...
3. Number of pages attached ...

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	149,510,458		149,510,458	152,817,276
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$(189,407)), cash equivalents (\$) and short-term investments (\$11,632,833)	11,443,426		11,443,426	4,364,856
6. Contract loans (including \$ premium notes)				
7. Derivatives				
8. Other invested assets	311,577		311,577	2,986,240
9. Receivables for securities				
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	161,265,461		161,265,461	160,168,372
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	1,162,362		1,162,362	1,181,954
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	505,998	263,865	242,133	399,290
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	26,582,465		26,582,465	30,604,712
15.3 Accrued retrospective premiums				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	860,703		860,703	1,026,750
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts	72,029		72,029	98,676
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset	7,510,785	1,286,762	6,224,023	6,224,023
19. Guaranty funds receivable or on deposit	65,930		65,930	65,086
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	458,810		458,810	11,799
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	1,640,681	1,640,681		
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	200,125,224	3,191,308	196,933,916	199,780,662
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	200,125,224	3,191,308	196,933,916	199,780,662
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501. Miscellaneous	1,640,681	1,640,681		
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,640,681	1,640,681		

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$93,003,376 less \$ included in Line 6.3 (including \$ Modco Reserve)	93,003,376	105,143,086
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)		
3. Liability for deposit-type contracts (including \$ Modco Reserve)	3,113,026	3,816,561
4. Contract claims:		
4.1 Life	1,963,952	1,677,190
4.2 Accident and health		
5. Policyholders' dividends \$ and coupons \$ due and unpaid		
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$ Modco)		
6.2 Dividends not yet apportioned (including \$ Modco)		
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums	132,943	167,233
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$ assumed and \$ ceded		
9.4 Interest Maintenance Reserve	132,659	59,587
10. Commissions to agents due or accrued-life and annuity contracts \$, accident and health \$ and deposit-type contract funds \$		
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued	115,199	130,452
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes		
15.1 Current federal and foreign income taxes, including \$51,071 on realized capital gains (losses)	4,560,202	5,710,234
15.2 Net deferred tax liability		
16. Unearned investment income		
17. Amounts withheld or retained by company as agent or trustee	1,998	1,998
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated	25,855	75,926
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	481,261	428,727
24.02 Reinsurance in unauthorized and certified (\$) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	4,187,415	2,717,521
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives		
24.09 Payable for securities	999,826	
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	76,171	204,644
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	108,793,883	120,133,159
27. From Separate Accounts Statement		
28. Total liabilities (Lines 26 and 27)	108,793,883	120,133,159
29. Common capital stock	2,500,000	2,500,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds		
32. Surplus notes		
33. Gross paid in and contributed surplus	98,500,000	98,500,000
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	(12,859,967)	(21,352,497)
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	85,640,033	77,147,503
38. Totals of Lines 29, 30 and 37	88,140,033	79,647,503
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	196,933,916	199,780,662
DETAILS OF WRITE-INS		
2501. Liability for interest on claims	76,171	204,644
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	76,171	204,644
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)		
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

SUMMARY OF OPERATIONS

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	18,748,494	25,910,150	32,988,534
2. Considerations for supplementary contracts with life contingencies			
3. Net investment income	4,012,518	3,892,047	5,228,655
4. Amortization of Interest Maintenance Reserve (IMR)	19,731	18,639	41,838
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded	735,058	869,215	1,109,981
7. Reserve adjustments on reinsurance ceded			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	100	200	200
9. Totals (Lines 1 to 8.3)	23,515,901	30,690,251	39,369,208
10. Death benefits	12,902,075	13,703,451	16,725,707
11. Matured endowments (excluding guaranteed annual pure endowments)			
12. Annuity benefits			
13. Disability benefits and benefits under accident and health contracts	448,192	421,832	508,419
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	(1)		
16. Group conversions	3,652,119	4,622,992	5,143,347
17. Interest and adjustments on contract or deposit-type contract funds	(53,148)	(59,388)	(32,882)
18. Payments on supplementary contracts with life contingencies			
19. Increase in aggregate reserves for life and accident and health contracts	(11,488,129)	(1,805,919)	(5,202,349)
20. Totals (Lines 10 to 19)	5,461,108	16,882,968	17,142,242
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	235,995	332,002	381,845
22. Commissions and expense allowances on reinsurance assumed			
23. General insurance expenses	2,212,115	1,905,806	2,435,838
24. Insurance taxes, licenses and fees, excluding federal income taxes	670,868	1,193,455	1,513,209
25. Increase in loading on deferred and uncollected premiums	2,137,409	2,863,439	3,416,560
26. Net transfers to or (from) Separate Accounts net of reinsurance			
27. Aggregate write-ins for deductions		119	119
28. Totals (Lines 20 to 27)	10,717,495	23,177,789	24,889,813
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	12,798,406	7,512,462	14,479,395
30. Dividends to policyholders			
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	12,798,406	7,512,462	14,479,395
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	3,204,812	1,245,985	3,434,968
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	9,593,594	6,266,477	11,044,427
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$1,100 (excluding taxes of \$49,971 transferred to the IMR)	2,043	(4,918)	(6,227)
35. Net income (Line 33 plus Line 34)	9,595,637	6,261,559	11,038,200
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	79,647,503	68,191,720	68,191,720
37. Net income (Line 35)	9,595,637	6,261,559	11,038,200
38. Change in net unrealized capital gains (losses) less capital gains tax of \$14	25	19	67
39. Change in net unrealized foreign exchange capital gain (loss)			
40. Change in net deferred income tax	(1,046,931)	(1,375,153)	(2,089,289)
41. Change in nonadmitted assets	(427,194)	1,191,985	1,864,482
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			1,600,000
44. Change in asset valuation reserve	(52,535)	(47,829)	(55,321)
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus	423,528		(902,356)
54. Net change in capital and surplus for the year (Lines 37 through 53)	8,492,530	6,030,581	11,455,783
55. Capital and surplus, as of statement date (Lines 36 + 54)	88,140,033	74,222,301	79,647,503
DETAILS OF WRITE-INS			
08.301. Sundries	100	200	200
08.302.			
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	100	200	200
2701. Fines, Penalties and Fees from regulatory authorities		119	119
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page			
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)		119	119
5301. Prior period correction	423,528		(902,356)
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	423,528		(902,356)

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	23,698,170	17,606,014	31,069,570
2. Net investment income	4,106,344	3,920,328	5,431,677
3. Miscellaneous income	618,054	907,198	1,106,624
4. Total (Lines 1 to 3)	28,422,568	22,433,540	37,607,871
5. Benefit and loss related payments	18,393,696	17,297,326	22,778,361
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	3,662,451	3,646,691	4,598,488
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$51,071 tax on capital gains (losses)	4,633,968	3,140,298	1,853,298
10. Total (Lines 5 through 9)	26,690,115	24,084,315	29,230,147
11. Net cash from operations (Line 4 minus Line 10)	1,732,453	(1,650,775)	8,377,724
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	22,099,128	10,461,025	14,352,959
12.2 Stocks			
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets	28,950,000	37,249,000	46,059,000
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	106	1,362	2,008
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Lines 12.1 to 12.7)	51,049,234	47,711,387	60,413,967
13. Cost of investments acquired (long-term only):			
13.1 Bonds	18,574,731	20,872,981	33,837,552
13.2 Stocks			
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets	26,275,297	33,294,670	45,090,409
13.6 Miscellaneous applications	(999,826)		
13.7 Total investments acquired (Lines 13.1 to 13.6)	43,850,202	54,167,651	78,927,961
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	7,199,032	(6,456,264)	(18,513,994)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(705,831)	(1,144,264)	(1,296,961)
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(1,147,084)	(703,653)	(1,262,387)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(1,852,915)	(1,847,917)	(2,559,348)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	7,078,570	(9,954,956)	(12,695,618)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	4,364,856	17,060,474	17,060,474
19.2 End of period (Line 18 plus Line 19.1)	11,443,426	7,105,518	4,364,856

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Capitalized Interest	151,844	128,644	178,551
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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life			
2. Ordinary life insurance	31,638,713	38,814,434	51,141,646
3. Ordinary individual annuities			
4. Credit life (group and individual)			
5. Group life insurance			
6. Group annuities			
7. A & H - group			
8. A & H - credit (group and individual)			
9. A & H - other			
10. Aggregate of all other lines of business			
11. Subtotal	31,638,713	38,814,434	51,141,646
12. Deposit-type contracts			
13. Total	31,638,713	38,814,434	51,141,646
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

- A. The accompanying financial statements of NYLIFE Insurance Company of Arizona ("the Company") have been prepared using accounting practices prescribed or permitted by the Arizona Insurance Department.

The Arizona Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of Arizona for determining and reporting the financial position and results of operations of an insurance company and for determining its solvency under Arizona Insurance Law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the state of Arizona. Prescribed statutory accounting practices include state laws and regulations. Permitted statutory accounting practices encompass accounting practices that are not prescribed; such practices differ from state to state, may differ from company to company within a state, and may change in the future. Arizona has adopted all prescribed accounting practices found in NAIC SAP. The Company does not have any permitted practices.

- B. No change.

- C. (1) - (5) No change.

(6) Loan-backed and structured securities, which are included in bonds on Page 2 - Assets, are valued at amortized cost using the interest method including current assumptions of projected cash flows. Loan-backed and structured securities in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. Amortization of the premium or accretion of discount from the purchase of these securities considers the estimated timing and amount of cash flows of the underlying loans, including prepayment assumptions based on data obtained from external sources or internal estimates. For loan-backed and structured securities, projected future cash flows are updated monthly, and the amortized cost and effective yield of the securities are adjusted as necessary to reflect historical prepayment experience and changes in estimated future prepayments. For high credit quality loan-backed and structured securities (those rated AA or above at the date of acquisition), the adjustments to amortized cost are recorded as a charge or credit to net investment income in accordance with the retrospective method. For loan-backed and structured securities that are not of high credit quality (those rated below AA at date of acquisition), certain floating rate securities and securities with the potential for a loss of a portion of the original investment due to contractual prepayments (e.g. interest only securities), the effective yield is adjusted prospectively for any changes in estimated cash flows. See Note 20 – Fair Value Measurements, for discussion of valuation methods for bonds.

The cost basis of loan-backed and structured securities is adjusted for impairments in value that are deemed to be other-than-temporary. An other-than-temporary loss is recognized in net income when it is anticipated that the amortized cost will not be recovered. The entire difference between the loan-backed or structured security's amortized cost and its fair value is recognized in net income only when the Company (1) has the intent to sell the security or (2) it does not have the intent and ability to hold the security to recovery. If neither of these two conditions exists, a realized loss is recognized in net income for the difference between the amortized cost basis of the security and the net present value of projected future cash flows expected to be collected. The net present value is calculated by discounting the Company's best estimate of projected future cash flows at the effective interest rate implicit in the loan-backed or structured security prior to impairment.

The new cost basis of an impaired security is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an OTTI, the impaired loan-backed or structured security is accounted for as if it had been purchased on the measurement date of the impairment. Accordingly, the discount (or reduced premium) based on the new cost basis may be accreted into net investment income in future periods based on prospective changes in cash flow estimates, to reflect adjustments to the effective yield.

- (7) – (13) No change.

2. Accounting Changes and Corrections of Errors

After filing the 2014 Annual Statement, the Company discovered an error in the reporting of the prior period correction of disabled lives reserves. As a result, the Company has made following adjustments to its financial statements at December 31, 2014: (1) the disabled lives reserve liability was reduced by \$651,581; (2) the current federal income taxes payable was increased by \$228,053; and (3) prior period corrections was reduced, increasing surplus by \$423,528. Therefore, total liabilities were higher in the Annual Statement compared Audited Financial Statement by \$423,528 and total capital and surplus was \$423,528 lower from the Annual Statement to Audited financial Statement.

3. Business Combinations and Goodwill

Not applicable.

4. Discontinued Operations

Not applicable.

5. Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

Not applicable.

B. Debt Restructuring

Not applicable.

C. Reverse Mortgages

Not applicable.

D. Loan-Backed Securities

- (1) Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from internal estimates and external sources such as Bloomberg, Intex and Blackrock Solutions.
- (2) The Company does not have any loan-backed and structured securities which are other-than-temporarily impaired where the Company intends to sell, or does not have the intent and ability to hold until recovery as of September 30, 2015.
- (3) The Company does not have any securities where the present value of cash flows expected to be collected is less than the amortized cost basis during the reporting period.

NOTES TO FINANCIAL STATEMENTS

(4) The following table presents the Company's gross unrealized losses and fair values of loan-backed and structured securities, aggregated by the length of time that the individual securities have been in a continuous unrealized loss position as of September 30, 2015:

	Less than 12 months		12 months or greater		Total	
	Fair Value	Losses	Fair Value	Losses	Fair Value	Losses
Total temporarily impaired securities	\$ 1,900,767	\$ 59,862	\$ 3,357,821	\$ 61,157	\$ 5,258,588	\$ 121,019

(5) The Company performs quantitative and qualitative analysis to determine if a decline in fair value was temporary. For those securities where the decline was considered temporary, the Company did not take an impairment when it had the ability and intent to hold until recovery. Factors considered in evaluating whether a decline in value is other than temporary include: (1) whether the decline is substantial; (2) the duration that the fair value has been less than amortized cost; (3) the financial condition and near-term prospects of the issuer; and (4) the Company's ability and intent to retain the investment for the period of time sufficient to allow for an anticipated recovery in value. In addition, for the non-agency residential mortgage backed securities portfolio, the Company updates cash flow projections quarterly. A projection is performed for each security based upon the evaluation of prepayment, delinquency, and default rates for the pool of mortgages collateralizing each security, and the projected impact on the course of future prepayments, defaults, and loss in the pool of mortgages, but do not include market prices. As a result, forecasts may change from period to period and additional impairments may be recognized over time as a result of deterioration in the fundamentals of a particular security or group of securities and/or a continuation of heightened mortgage defaults for a period longer than the assumptions used for the forecasts. Both qualitative and quantitative factors are used in creating the Company's RMBS cash flow models. As such, any estimate of impairments is subject to the inherent limitation on the Company's ability to predict the aggregate course of future events. It should therefore be expected that actual losses may vary from any estimate and the Company may recognize additional other-than-temporary losses.

E. Repurchase Agreements and/or Securities Lending Transactions

Not applicable.

F. Real Estate

Not applicable.

G. Investment in Low-Income Housing Tax Credits

Not applicable.

H. Restricted Assets

No change.

I. Working Capital Finance Investments

Not applicable.

J. Offsetting and Netting of Assets and Liabilities

Not applicable.

K. Structured Notes

Not applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

A. No change.

B. No change.

7. Investment Income

A. No change.

B. No change.

8. Derivative Instruments

Not applicable.

9. Income Taxes

A-G. No change.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A-L. No change.

11. Debt

Not Applicable.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

No change.

B. Plan Asset Investment Policies and Strategies

No change.

NOTES TO FINANCIAL STATEMENTS

- C.

Determination of Fair Values

No change.
- D.

Long-term Rate of Return on Plan Assets

No change.
- E.

Defined Contribution Plans

No change.
- F.

Multiemployer Plans

No change.
- G.

Consolidated/Holding Company Plans

No change.
- H.

Postemployment Benefits and Compensated Absences

No change.
- I.

Impact of Medicare Modernization Act on Postretirement Benefit (INT 04-17)

No change.
13.

Capital and Surplus, Shareholders’ Dividend Restrictions, and Quasi-Reorganizations

(1)-(13) No change.
14.

Liabilities, Contingencies and Assessments

A.

Contingent Commitments

Not applicable.

B.

Assessments

No change.

C.

Gain Contingencies

No change.

D.

Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

No change.

E.

Joint and Several Liabilities

Not applicable.

F.

All Other Contingencies

No change.
15.

Leases

A.

Lessee Leasing Arrangements

No change.

B.

Significant Leasing Arrangements

Not applicable.
16.

Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable.
17.

Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A.

Transfers of Receivables reported as Sales

Not applicable.

B.

Transfer and Servicing of Financial Assets

Not applicable.

C.

Wash Sales

The company did not have any wash sale transactions involving securities with a NAIC Designation 3 or below or unrated.
18.

Gain or Loss to the Insurer from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not applicable.
19.

Direct Premium Written/Produced by Managing General Agents/Third-Party Administrators

Not applicable.

NOTES TO FINANCIAL STATEMENTS

20. Fair Value Measurements

A. The Company's financial assets and liabilities carried at fair value have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100, *Fair Value Measurements*. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This guidance establishes a framework for measuring fair value that includes a hierarchy used to classify the inputs used in measuring fair value. The hierarchy prioritizes the inputs to valuation techniques used to measure fair value into three levels. The level in the fair value hierarchy within which the fair value measurement falls is determined based on the lowest level input that is significant to the fair value measurement.

(1) The levels of the fair value hierarchy are based on the inputs to the valuation as follows:

- Level 1

Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market. Active markets are defined as a market in which many transactions occur with sufficient frequency and volume to provide pricing information on an ongoing basis.
- Level 2

Observable inputs other than level 1 prices, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active for identical or similar assets or liabilities, or other model driven inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities. Valuations are generally obtained from third-party pricing services for identical or comparable assets or liabilities or through the use of valuation methodologies using observable market inputs.
- Level 3

Instruments whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs reflect management's own assumptions in pricing the asset or liability. Pricing may also be based upon broker quotes that do not represent an offer to transact. Prices are determined using valuation methodologies such as option pricing models, discounted cash flow models and other similar techniques. Non-binding broker quotes, which are utilized when pricing service information is not available, are reviewed for reasonableness based on the Company's understanding of the market, and are generally considered Level 3. To the extent the internally developed valuations use significant unobservable inputs, they are classified as Level 3.

The Company had no investments held at fair value as of September 30, 2015.

B. Not Applicable.

C. The following table presents the carrying amounts and estimated fair value of the Company's financial instruments at September 30, 2015:

	Fair Value	Carrying Value	Level 1	Level 2	Level 3	Not Practicable
Assets:						
Bonds	\$ 153,608,265	\$ 149,510,458	\$ -	\$ 153,608,265	\$ -	\$ -
Cash, cash equivalents and short- term investments	11,443,426	11,443,426	-	11,443,426	-	-
Investment income due and accrued	1,162,362	1,162,362	-	1,162,362	-	-
Total assets	\$ 166,214,053	\$ 162,116,246	\$ -	\$ 166,214,053	\$ -	\$ -
Liabilities:						
Deposit fund contracts	\$ 3,113,026	\$ 3,113,026	\$ -	\$ 3,113,026	\$ -	\$ -
Total liabilities	\$ 3,113,026	\$ 3,113,026	\$ -	\$ 3,113,026	\$ -	\$ -

Bonds

The fair value of bonds is determined by considering one of four primary sources: (1) security pricing is applied using a hierarchy approach whereby publicly available prices are first sought from nationally recognized third-party pricing services, (2) securities are priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmarks bond indices, (3) securities are priced using an internal pricing model or methodology, and (4) securities are submitted to independent brokers for prices.

The pricing service generally uses a discounted cash-flow model or market approach to determine fair value. Typical inputs used by these pricing services include, but are not limited to; benchmark yields, reported trades, issuer spreads, bids, offers, benchmark securities, estimated cash flows and prepayment speeds.

Independent pricing vendors do not supply prices for private placement bonds. These securities are primarily priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices. Any private securities that cannot be priced using this methodology, are priced using an internally developed model based upon assigned comparable public issues adjusted for liquidity, maturity and rating or are priced based on internal calculations. The Company assigns a credit rating based upon internal analysis.

Prices from pricing services and broker quotes are validated on an ongoing basis to ensure the adequacy and reliability of the fair value measurement. The Company performs both quantitative and qualitative analysis of the prices including initial and ongoing review of third-party pricing methodologies, back testing of recent trades, and a thorough review of pricing trends and statistics.

Cash, cash equivalents, short-term investments and investment income due and accrued

Cash on hand is classified as Level 1. Cash overdrafts (i.e. outstanding checks) are classified as Level 2. Due to the short-term maturities, the carrying value of short-term investments, cash, cash equivalents and investment income due and accrued is presumed to approximate fair value.

Deposit fund contracts

Deposit fund contracts consist of continued interest accounts and the account value is equal to the estimated fair value.

21. Other Items

A. Extraordinary Items

Not applicable.

NOTES TO FINANCIAL STATEMENTS

B. Troubled Debt Restructuring: Debtors

Not applicable.

C. Other Disclosures and Unusual Items

No change.

D. Business Interruption Insurance Recoveries

Not applicable.

E. State Transferable and Non-transferable Tax Credits

Not applicable.

F. Subprime and Midprime Mortgage Related Risk Exposure

No change.

G. Retained Assets

No change.

22. Events Subsequent

As of November 12, 2015, the date the financial statements were available to be issued, there have been no events occurring subsequent to the close of the Company's books or accounts for the accompanying annual statement that would have a material effect on the financial condition of the Company.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

No change.

Section 2 – Ceded Reinsurance Report – Part A

No change.

Section 3 – Ceded Reinsurance Report – Part B

No change.

B. Uncollectible Reinsurance

Not applicable.

C. Commutation of Ceded Reinsurance

Not applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

Not applicable.

25. Change in Incurred Losses and Loss Adjustment Expenses

Not applicable.

26. Intercompany Pooling Arrangements

Not applicable.

27. Structured Settlements

Not applicable.

28. Health Care Receivables

Not applicable.

29. Participating Policies

Not applicable.

30. Premium Deficiency Reserves

Not applicable.

31. Reserves for Life Contracts and Annuity Contracts

No change.

32. Analysis of Annuity Actuarial Reserves and Deposit-Type Liabilities by Withdrawal Characteristics

No change.

NOTES TO FINANCIAL STATEMENTS

33. Premium and Annuity Considerations Deferred and Uncollected

No change.

34. Separate Accounts

Not applicable.

35. Loss/Claim Adjustment Expenses

Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [] No [X]
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes [] No []
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [X] No []
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [X] No []
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
All changes to New York Llife's organization chart made during the third quarter of 2015 have been made in the ordinary course of New York Life's business activities.
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [] No [X]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- | | | |
|----------------|-------------------|-------------------|
| 1 | 2 | 3 |
| Name of Entity | NAIC Company Code | State of Domicile |
| | | |
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [] No [] N/A [X]
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2014
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2009
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/30/2011
- 6.4

By what department or departments?
Arizona Department of Insurance
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [X] No [] N/A []
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [X] No [] N/A []
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [X] No []
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
NYLIFE Securities LLC	New York, NY				YES
NYLIFE Distributors LLC	Parsippany, NJ				YES
Eagle Strategies, LLC	New York, NY				YES
New York Life Investment Management LLC	New York, NY				YES
MacKay Shields LLC	New York, NY				YES
Institutional Capital LLC	Chicago, IL				YES
GoldPoint Partners LLC	New York, NY				YES
Cornerstone Capital Management Holdings LLC	New York, NY				YES
NYLIM Service Company LLC	Parsippany, NJ				YES
Private Advisors, L.L.C.	Richmond, VA				YES
MCF Capital Management LLC	Chicago, IL				YES
Cornerstone Capital Management LLC	Minneapolis, MN				YES
NYL Investors LLC	New York, NY				YES

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [X] No []
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$.....458,810

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$.....
13.

Amount of real estate and mortgages held in short-term investments:

\$.....
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [X] No []
- 14.2

If yes, please complete the following:
- | | 1 | 2 |
|---|---|--|
| | Prior Year-End
Book/Adjusted
Carrying Value | Current Quarter
Book/Adjusted
Carrying Value |
| 14.21 Bonds | \$..... | \$..... |
| 14.22 Preferred Stock | \$..... | \$..... |
| 14.23 Common Stock | \$..... | \$..... |
| 14.24 Short-Term Investments | \$..... | \$..... |
| 14.25 Mortgage Loans on Real Estate | \$..... | \$..... |
| 14.26 All Other | \$.....2,986,240 | \$.....311,577 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$.....2,986,240 | \$.....311,577 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$..... | \$..... |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes [] No [X]

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$
- 16.3

Total payable for securities lending reported on the liability page

\$

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes ☒ No ☐

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase	4 Metro Tech Center, 16th Floor, Brooklyn, NY 11201
The Northern Trust Company	50 S. LaSalle Street, 2nd Floor, Chicago, IL 60603

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes ☐ No ☒

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
109591	New York Life Investment Management LLC	51 Madison Avenue, New York, NY 10010

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes ☒ No ☐

- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - LIFE & HEALTH

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

1.12

Residential Mortgages

\$

1.13

Commercial Mortgages

\$

1.14

Total Mortgages in Good Standing

\$

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

1.32

Residential Mortgages

\$

1.33

Commercial Mortgages

\$

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

1.42

Residential Mortgages

\$

1.43

Commercial Mortgages

\$

1.44

Total Mortgages in Process of Foreclosure

\$

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

1.62

Residential Mortgages

\$

1.63

Commercial Mortgages

\$

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

2.

Operating Percentages:

2.1

A&H loss percent

%

2.2

A&H cost containment percent

%

2.3

A&H expense percent excluding cost containment expenses

%

3.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

3.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

States, Etc.	1	Direct Business Only					
		Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 Through 5	7 Deposit-Type Contracts
		2 Life Insurance Premiums	3 Annuity Considerations				
1. Alabama	AL	L	727,670			727,670	
2. Alaska	AK	L	463,432			463,432	
3. Arizona	AZ	L	792,041			792,041	
4. Arkansas	AR	L	224,005			224,005	
5. California	CA	L	6,583,312			6,583,312	
6. Colorado	CO	L	554,795			554,795	
7. Connecticut	CT	L	336,812			336,812	
8. Delaware	DE	L	156,872			156,872	
9. District of Columbia	DC	L	119,836			119,836	
10. Florida	FL	L	2,006,525			2,006,525	
11. Georgia	GA	L	1,199,650			1,199,650	
12. Hawaii	HI	L	37,951			37,951	
13. Idaho	ID	L	152,608			152,608	
14. Illinois	IL	L	1,004,429			1,004,429	
15. Indiana	IN	L	126,612			126,612	
16. Iowa	IA	L	444,654			444,654	
17. Kansas	KS	L	363,448			363,448	
18. Kentucky	KY	L	383,242			383,242	
19. Louisiana	LA	L	1,319,378			1,319,378	
20. Maine	ME	N	6,255			6,255	
21. Maryland	MD	L	618,328			618,328	
22. Massachusetts	MA	L	516,036			516,036	
23. Michigan	MI	L	647,636			647,636	
24. Minnesota	MN	L	218,732			218,732	
25. Mississippi	MS	L	398,452			398,452	
26. Missouri	MO	L	715,829			715,829	
27. Montana	MT	L	226,540			226,540	
28. Nebraska	NE	L	123,086			123,086	
29. Nevada	NV	L	391,432			391,432	
30. New Hampshire	NH	L	66,748			66,748	
31. New Jersey	NJ	L	960,442			960,442	
32. New Mexico	NM	L	416,842			416,842	
33. New York	NY	N	139,032			139,032	
34. North Carolina	NC	L	542,446			542,446	
35. North Dakota	ND	L	91,083			91,083	
36. Ohio	OH	L	648,997			648,997	
37. Oklahoma	OK	L	356,667			356,667	
38. Oregon	OR	L	437,616			437,616	
39. Pennsylvania	PA	L	905,026			905,026	
40. Rhode Island	RI	L	49,099			49,099	
41. South Carolina	SC	L	624,928			624,928	
42. South Dakota	SD	L	474,439			474,439	
43. Tennessee	TN	L	282,615			282,615	
44. Texas	TX	L	3,123,216			3,123,216	
45. Utah	UT	L	377,622			377,622	
46. Vermont	VT	L	81,391			81,391	
47. Virginia	VA	L	983,443			983,443	
48. Washington	WA	L	1,456,256			1,456,256	
49. West Virginia	WV	L	73,367			73,367	
50. Wisconsin	WI	L	372,390			372,390	
51. Wyoming	WY	L	120,578			120,578	
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N					
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N	6,145			6,145	
58. Aggregate Other Aliens	OT	XXX	71,135			71,135	
59. Subtotal	(a) 49		33,521,121			33,521,121	
90. Reporting entity contributions for employee benefits plans	XXX						
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						
93. Premium or annuity considerations waived under disability or other contract provisions	XXX	480,218				480,218	
94. Aggregate or other amounts not allocable by State	XXX						
95. Totals (Direct Business)	XXX	34,001,339				34,001,339	
96. Plus Reinsurance Assumed	XXX	115,514				115,514	
97. Totals (All Business)	XXX	34,116,853				34,116,853	
98. Less Reinsurance Ceded	XXX	10,418,683				10,418,683	
99. Totals (All Business) less Reinsurance Ceded	XXX	23,698,170				23,698,170	
DETAILS OF WRITE-INS							
58001. MEX Mexico	XXX	12,224				12,224	
58002. Other	XXX	58,911				58,911	
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	71,135				71,135	
9401.	XXX						
9402.	XXX						
9403.	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX						
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX						

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

Show each state from which the company has received premiums and considerations, with the amounts received from all lines in each state shown as a single total for that state. Direct Ordinary life premiums are allocated by state on the basis of the address to which the premium notice is sent. *Premium or annuity considerations waived under disability or other contract provisions are shown in one sum on Line 93, Columns 2, 3, 4, 5, 6, and 7. **All U.S. business must be allocated by state regardless of license status. NOTE: Schedule T should not be used as the basis for state guaranty association assessments.

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

The following entities are directly controlled by New York Life Insurance Company (Parent) (entities that are indented are directly controlled by the preceding entity).

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New York Life Insurance and Annuity Corporation (See page 12.2 for entity's org chart)(13-3044743) (91596)(DE)	SCP 2005-C21-041 LLC (DE)
NYLIFE Insurance Company of Arizona (52-1530175)(81353)(AZ)	SCP 2005-C21-043 LLC (DE)
New York Life Enterprises LLC (See page 12.2 for entity's org chart)(13-4199614)(DE)	SCP 2005-C21-044 LLC (DE)
NYLIFE LLC (See page 12.2 for entity's org chart)(13-4081725)(DE)	SCP 2005-C21-048 LLC (DE)
New York Life Investment Management Holdings LLC (See page 12.3 for entity's org chart)(52-2206685)(DE)	SCP 2005-C21-061 LLC (DE)
NYLife Real Estate Holdings LLC (See page 12.7 for entity's org chart)(DE)	SCP 2005-C21-063 LLC (DE)
Samsung US Dynamic Asset Allocation Securities Feeder Investment Trust H (ROK)	SCP 2005-C21-067 LLC (DE)
NYL Real Assets LLC (DE)	SCP 2005-C21-069 LLC (DE)
NYL Emerging Manager LLC (DE)	SCP 2005-C21-070 LLC (DE)
Biris Holdings LLC (DE)	Silver Spring, LLC (DE)
NYL Investors LLC (See page 12.2 for entity's org chart) (46-4293486)(DE)	Silver Spring Associates, L.P. (PA)
New York Life Short Term Fund (NY)	2015 Strategic Asset Holdings LLC
NYL Wind Investments LLC (DE)	NYLIM Flatiron CLO 2004-1 Ltd. (CYM)
PTC Acquisitions, LLC (DE)	NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A (CYM)
NYMH-Farmingdale, NY LLC (DE)	NYLIM Flatiron CLO 2006-1 Ltd. (CYM)
NYLMDC-King of Prussia GP, LLC (DE)	NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A (CYM)
NYLMDC-King of Prussia Realty, LP (DE)	Flatiron CLO 2007-1 Ltd. (CYM)
NYMH-Attleboro MA, LLC (DE)	NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A (CYM)
NYMH-Ennis GP, LLC (DE)	Flatiron CLO 2011-1 Ltd. (CYM)
NYMH-Ennis, L.P. (TX)	Flatiron CLO 2012-1 Ltd. (CYM)
NYMH-Freeport GP, LLC (DE)	Flatiron CLO 2013-1 Ltd. (CYM)
NYMH-Freeport, L.P. (TX)	Flatiron CLO 2014-1 Ltd. (CYM)
NYMH-Houston GP, LLC (DE)	Flatiron CLO 16 Ltd. (CYM)
NYMH-Houston, L.P. (TX)	Flatiron CLO 2015-1 Ltd. (CYM)
NYMH-Plano GP, LLC (DE)	Stratford CDO 2001-1 Ltd. (CYM)
NYMH-Plano, L.P. (TX)	Silverado CLO 2006-II Limited (CYM)
NYMH-San Antonio GP, LLC (DE)	Silverado 2006-II Equity Holdings LLC, Series A (CYM)
NYMH-San Antonio, L.P. (TX)	New York Life Funding (CYM)
NYMH-Stephenville GP, LLC (DE)	New York Life Global Funding (DE)
NYMH-Stephenville, L.P. (TX)	Martingale Road LLC (DE)
NYMH-Taylor GP, LLC (DE)	UFI-NOR Federal Receivables (NY)
NYMH-Taylor, L.P. (TX)	Government Energy Savings Trust 2003-A (NY)
SCP 2005-C21-002 LLC (DE)	NYL Equipment Issuance Trust (DE)
SCP 2005-C21-003 LLC (DE)	NYLARC Holding Company Inc. (86-0742726)(AZ)
SCP 2005-C21-006 LLC (DE)	New York Life Agents Reinsurance Company (86-0742727)(68723)(AZ)
SCP 2005-C21-007 LLC (DE)	Cumberland Condominium Association, Inc. (TN)
SCP 2005-C21-008 LLC (DE)	MainStay ICAP Global Fund (DE)
SCP 2005-C21-009 LLC (DE)	MainStay New York Tax Free Opportunities Fund (DE)
SCP 2005-C21-017 LLC (DE)	MainStay California Tax Free Opportunities Fund (DE)
SCP 2005-C21-018 LLC (DE)	Candriam Balanced Asset Alloc (LUX)
SCP 2005-C21-021 LLC (DE)	Candriam L Defensive Asset Fund (LUX)
SCP 2005-C21-025 LLC (DE)	REEP-IND FREEDOM MA LLC (DE)
SCP 2005-C21-031 LLC (DE)	REEP-IND AEGEAN MA LLC (DE)
SCP 2005-C21-036 LLC (DE)	REEP-IND LYMAN MA LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Insurance Company (Parent) (continued)

REEP-OFC Drakes Landing CA LLC (DE)
REEP-OFC Bellevue WA LLC (DE)
REEP-IND Kent LLC (DE)
REEP-IND RTG NC LLC (DE)
REEP-IND Fridley MN LLC (MN)
REEP-IND Green Oaks IL LLC (DE)
REEP-MF Issaquah WA LLC (DE)
REEP-MF Chandler AZ LLC
REEP-MF Verde NC LLC (DE)
REEP-MF Mount Vernon GA LLC (DE)
REEP-MF Wallingford WA LLC (DE)
REEP-IND Chino CA LLC (DE)
REEP-OFC CORPORATE POINTE CA LLC
2015 DIL PORTFOLIO HOLDINGS LLC (DE)
 CT 611 W. JOHNSON AVE LLC (DE)
 CT 550 RESEARCH PKWY LLC (DE)
 CT 160 CORPORATE COURT LLC (DE)
 NJ 663 E. CRESCENT AVE LLC (DE)
 NJ 1881 ROUTE 46 LLC (DE)
 PA 180 KOST RD LLC (DE)

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

New York Life Insurance and Annuity Corporation

Ausbil IT - Ausbil Microcap Fund (AUS)

New York Life Enterprises LLC

New York Life International Holdings Limited (MUS)
NYL Cayman Holdings Ltd. (CYM)
 NYL Worldwide Capital Investments LLC (DE)
Seguros Monterrey New York Life, S.A. de C.V. (MEX)
 Inmobiliaria SMNYL, S.A. de C.V. (MEX)
 Administradora de Conductos SMNYL, S.A. de C.V. (MEX)
 Agencias de Distribucion SMNYL, S.A. de C.V. (MEX)
SEAF Sichuan SME Investment Fund LLC (DE)

NYL Investors LLC

New York Life Investment Management (U.K.) Ltd. (GBR)
NYLIM Holdings NCVAD, GP, LLC (DE)
 McMorgan Northern California Value Add/Development Fund I, L.P. (DE)
 MNCVAD-IND Greenwood CA LLC (DE)
 MNCVAD-IND Concourse CA LLC (DE)
 MNCVAD-IND Norris Canyon CA LLC (DE)
 MNCVAD-CP Norris Canyon LLC (DE)
 MNCVAD-OFC 2665 North First CA LLC (DE)
 MNCVAD-SEAGATE 2665 North First LLC (DE)
 MNCVAD-IND Petaluma CA LLC (DE)
 MNCVAD-OFC Bridgepointe CA LLC (DE)
 MNCVAD-OFC Ridder Park CA LLC
 MNCVAD GRAYMARK Ridder Park LLC

NYLIFE LLC

Eagle Strategies LLC (DE)
New York Life Capital Corporation (DE)
New York Life Trust Company (13-3808042) (NY)
 New York Life Structured Settlement Trust (NY)
NYL Executive Benefits LLC (DE)
NYLIFE Securities LLC (13-2649692) (DE)
NYLINK Insurance Agency Incorporated (DE)
NYLUK I Company (GBR)
 NYLUK II Company (GBR)
 Gresham Mortgage (GBR)
 W Construction Company (GBR)
 WIM (AIM) (GBR)
 WUT (GBR)

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC

Institutional Capital LLC (03-0598064)(DE)
Einstein Merger Sub, LLC (DE)
 ICAP Master Collective Investment Trust – ICAP Large Cap Value Equity Collective Trust (PA)
NYLIFE Distributors LLC (13-3741759)(DE)
NYLIM Service Company LLC (DE)
MacKay Shields LLC (13-4080466)(DE)
 MacKay Shields Core Plus Opportunities Fund GP LLC (DE)
 MacKay Shields Core Plus / Opportunities Fund LP (DE)
 MacKay Shields Credit Strategy Fund Ltd (CYM)
 MacKay Shields Defensive Bond Arbitrage Fund Ltd. (BMU)
 MacKay Shields High Yield Active Core Fund GP LLC (DE)
 MacKay Shields High Yield Active Core Fund LP (DE)
 MacKay Shields Core Fixed Income Fund GP LLC (DE)
 MacKay Shields Core Fixed Income Fund LP (45-2733007)(DE)
 MacKay Municipal Managers Opportunities GP LLC (DE)
 MacKay Municipal Opportunities Master Fund, L.P. (DE)
 MacKay Municipal Opportunities Fund, L.P. (DE)
 MacKay Municipal Managers Credit Opportunities GP LLC (DE)
 MacKay Municipal Credit Opportunities Master Fund, L.P. (DE)
 MacKay Municipal Credit Opportunities Fund, L.P. (DE)
 MacKay Municipal Short Term Opportunities Fund GP LLC (DE)
 MacKay Municipal Short Term Opportunities Fund LP (45-3041041)(DE)
Plainview Funds plc (IRL)
 Plainview Funds plc – MacKay Shields - Emerging Markets Credit Portfolio (IRL)
 Plainview Funds plc – MacKay Shields - Flexible Bond Portfolio (IRL)
 Plainview Funds plc – MacKay Shields - Unconstrained Bond Portfolio (IRL)
 Plainview Funds plc – MacKay Shields - Floating Rate High Yield Portfolio (IRL)
 Plainview Funds plc – MacKay Shields Core Plus Opportunities Portfolio (IRL)
 MacKay Shields Statutory Trust – High Yield Bond Series (CT)
MacKay Shields (International) Ltd. (GBR)
MacKay Shields (Services) Ltd. (GBR)
 MacKay Shields UK LLP (GBR)
MacKay Shields Global Derivatives LLC (DE)
MacKay Municipal Managers Puerto Rico Opportunities GP LLC (DE)
 MacKay Puerto Rico Opportunities Fund, L.P. (DE)
 MacKay Puerto Rico Opportunities Feeder Fund, L.P. (DE)
MacKay Municipal Managers California Opportunities GP LLC (DE)
 MacKay Municipal California Opportunities Fund, L.P. (DE)
Madison Capital Funding LLC (DE)
 Madison Avenue Loan Fund GP LLC (DE)

 Madison Avenue Loan Fund LP (80-0920962)(DE)
MCF Co-Investment GP, LLC (DE)
 MCF Co-Investment GP, LP (DE)
 Madison Capital Funding Co-Investment Fund, LP (DE)
MCF Fund I LLC (DE)
Warwick McAlester Holdings, LLC (DE)
 Meeco Sullivan, LLC (DE)
 Electric Avenue, LLC (DE)
WDC Liquidation Trust (IL)
Young America Holdings, LLC (DE)
 YAC.ECOM Incorporated (MN)
 Young America, LLC (MN)
 Global Fulfillment Services, Inc. (AZ)
 SourceOne Worldwide, Inc. (MN)
 YA Canada Corporation (CAN)
Zenith Products Holdings, Inc (DE)
 ZPC Holding Corp. (DE)
 Zenith Products Corporation (DE)
MCF Capital Management LLC (DE)
 MCF Mezzanine Carry I LLC (DE)
 MCF Mezzanine Fund I LLC (DE)
 Montpelier Carry Parent, LLC (DE)
 Montpelier Carry, LLC (DE)
 Montpelier GP, LLC (DE)
 Montpelier Fund, L.P. (90-0938480) (DE)
 Ironshore Investment BL I Ltd. (BMU)
 LMF WF Portfolio II, LLC (DE)
 MCF CLO I LLC (DE)
 MCF CLO II LLC (DE)
 MCF CLO III LLC (DE)
 MCF CLO IV LLC (DE)
 MCF CLO V Warehouse LLC (DE)
Cornerstone Capital Management Holdings LLC (13-5582869)(DE)
 Cornerstone Capital Management LLC (41-1763532)(DE)
 Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC (DE)
 Cornerstone Capital Management Large-Cap Enhanced Index Fund L.P. (DE)
NYL Workforce GP LLC (DE)

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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

New York Life Investment Management Holdings International S.á.r.l. (LUX)	MIREF Barton's Creek, LLC (DE)
New York Life Investment Management Holdings II International S.á.r.l. (LUX)	Barton's Lodge Apartments, LLC (DE)
New York Life Investment Management Global Holdings S.á.r.l. (LUX)	MIREF Marketpointe, LLC (DE)
Candriam Luxco S.á.r.l. (LUX)	MIREF 101 East Crossroads, LLC (DE)
Ausbil Investment Management Limited (LUX)	101 East Crossroads, LLC (DE)
Ausbil Australia Pty. Ltd. (AUS)	MIREF Waterview, LLC (DE)
Ausbil Asset Management Pty. Ltd. (AUS)	MIREF Chain Bridge, LLC (DE)
ISPT Holding (BEL)	1991 Chain Bridge Road, LLC (DE)
AUSBIL IT – Australian Geared Equity (AUS)	MIREF Aptakisic, LLC (DE)
Candriam Luxembourg, partnership limited by shares (LUX)	Aptakisic Creek Corporate Park, LLC (DE)
BIL Prime Advanced Cash + 100 (LUX)	MIREF 250 Montgomery, LLC (DE)
Candriam France, simplified joint-stock company (FRA)	MIREF Hawthorne, LLC (DE)
Candriam Dublin (IRE)	MIREF Auburn 277, LLC (DE)
Candriam Treasury Management (FRA)	MIREF Sumner North, LLC (DE)
Candriam Belgium, public limited company (BEL)	MIREF Wellington, LLC (DE)
Cordius B Protected Strategies	MIREF Warner Center, LLC (DE)
Cordius CIG (LUX)	MADISON-IND Valley Business Park CA LLC (DE)
New York Life Investment Management LLC (DE)	MADISON-IND Assateague MD LLC (DE)
Madison Core Property Fund LLC (DE)	MADISON-SP Assateague LLC (DE)
MIREF 1500 Quail, LLC (DE)	MADISON-MF Duluth GA LLC (DE)
MIREF Mission Heritage, LLC (DE)	MADISON-MF Casa Santa Fe AZ LLC (DE)
MIREF Linpro Center, LLC (DE)	MADISON-MF Cabrillo AZ LLC (DE)
MIREF Mill Creek, LLC (DE)	MADISON-OFC Centerstone I CA LLC (DE)
MIREF Gateway, LLC (DE)	MADISON-RTL Centerstone II CA LLC (DE)
MIREF Delta Court, LLC (DE)	MADISON-OFC Centerstone III CA LLC (DE)
MIREF Seaside, LLC (DE)	MADISON-MOB Centerstone IV CA LLC (DE)
MIREF Fremont Distribution Center, LLC (DE)	MADISON-OFC Canyon Commons CA LLC (DE)
1101 Taylor Road LLC (DE)	MADISON-OFC Centerpoint Plaza CA LLC (DE)
MIREF Century, LLC (DE)	MADISON-IND Logistics NC LLC (DE)
MIREF York Road, LLC (DE)	MCPF-LRC Logistics LLC (DE)
York Road EW, LLC (DE)	MADISON-MF Desert Mirage AZ LLC (DE)
York Road Retail West, LLC (DE)	MADISON-OFC One Main Place OR LLC (DE)
2001 EW LLC (DE)	MADISON-IND Fenton MO LLC (DE)
2122 EW LLC (DE)	MADISON-IND Hitzert Roadway MO LLC (DE)
MIREF Saddle River LLC (DE)	MADISON-MF Hoyt OR LLC
Via Verde San Dimas, LLC (DE)	MADISON-RTL Clifton Heights PA LLC
MIREF DC Corp. (DE)	NYLIM-GCR Fund I, LLC (DE)
MIREF L Street, LLC (DE)	NYLIM Fund II GP, LLC (DE)
1901 L Street Corp. (DE)	NYLIM Real Estate Mezzanine Fund II, LP (DE)
1901 L Street LLC (DC)	NYLIM-TND, LLC (DE)
MIREF Newpoint Commons, LLC (DE)	NYLIM-DCM, LLC (DE)
MIREF Northsight, LLC (DE)	NYLIM-MM, LLC (DE)
MIREF Riverside, LLC (DE)	DCM-N, LLC (DE)
MIREF Corporate Woods, LLC (DE)	DCM Warehouse Series A, LLC (DE)
MIREF Bedminster, LLC (DE)	DCM Warehouse Series One, LLC (DE)

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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

	Sixteen West Savannah, LLC (IN) Metropolis II Construction, LLC (DE) Streets Las Vegas, LLC (AZ)		
	NYLIM RE Mezzanine Fund II Investment Corporation (DE)		GoldPoint Partners Co-Investment V, LP (DE)
WFHG GP, LLC (DE)			GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP (DE)
Workforce Housing Fund I-2007 LP (DE)			GoldPoint Partners Co-Investment V ECI Blocker A, LP (DE)
NYLCAP Holdings (Mauritius) LLC (MUS)			GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP (DE)
Jacob Ballas Capital India PVT. Ltd. (MUS)			GoldPoint Partners Co-Investment V ECI Blocker B, LP (DE)
Evolve Asset Management, Ltd. (IND)			GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP (DE)
GoldPoint Partners LLC (13-4091043) (DE)			GoldPoint Partners Co-Investment V ECI Blocker C, LP (DE)
GoldPoint Mezzanine Partners IV GenPar GP, LLC (DE)			GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP (DE)
GoldPoint Mezzanine Partners Offshore IV, L.P. (CYM)			GoldPoint Partners Co-Investment V ECI Blocker D, LP (DE)
GoldPoint Mezzanine Partners IV GenPar LP (DE)			GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP (DE)
GoldPoint Mezzanine Partners IV, LP (DE)			GoldPoint Partners Co-Investment V ECI Blocker E, LP (DE)
NYLCAP 2010 Co-Invest GenPar GP, LLC (DE)			GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP
NYLCAP 2010 Co-Invest GenPar L.P. (DE)			GoldPoint Partners Co-Investment V ECI Blocker F, LP
NYLCAP 2010 Co-Invest L.P. (DE)			GoldPoint Partners Co-Investment Fund-A, LP
NYLCAP 2010 Co-Invest ECI Blocker Holdco A L.P. (DE)			
NYLCAP 2010 Co-Invest ECI Blocker A L.P. (DE)			NYLCAP India Funding LLC (DE)
NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P. (DE)			NYLIM-JB Asset Management Co. (Mauritius) LLC (MUS)
NYLCAP 2010 Co-Invest ECI Blocker B L.P. (DE)			New York Life Investment Management India Fund II, LLC (Mauritius) (MUS)
NYLCAP 2010 Co-Invest ECI Blocker Holdco C L.P. (DE)			New York Life Investment Management India Fund (FVCI) II, LLC (Mauritius) (MUS)
NYLCAP 2010 Co-Invest ECI Blocker C L.P. (DE)			NYLCAP India Funding III LLC (DE)
NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P. (DE)			NYLIM-Jacob Ballas Asset Management Company III (Mauritius) LLC (MUS)
NYLCAP 2010 Co-Invest ECI Blocker E L.P. (DE)			NYLIM Jacob Ballas India Fund III (Mauritius) LLC (MUS)
NYLCAP 2010 Co-Invest ECI Blocker Holdco F L.P. (DE)			NYLIM Jacob Ballas Capital India (FVCI) III (Mauritius) LLC (MUS)
NYLCAP 2010 Co-Invest ECI Blocker F L.P. (DE)			NYLIM Jacob Ballas India (FII) III (Mauritius) LLC (MUS)
NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P. (DE)			NYLIM Jacob Ballas India Holdings (Mauritius) IV
NYLCAP 2010 Co-Invest ECI Blocker G L.P. (DE)			NYLIM Mezzanine GenPar GP, LLC (DE)
			NYLIM Mezzanine GenPar, LP (DE)
New York Life Capital Partners, LLC (DE)			New York Life Investment Management Mezzanine Partners, LP (DE)
New York Life Capital Partners, LP (13-4091045) (DE)			NYLIM Mezzanine Partners Parallel Fund, LP (DE)
New York Life Capital Partners II, LLC (DE)			NYLIM Mezzanine Partners II GenPar GP, LLC (DE)
New York Life Capital Partners II, L.P. (DE)			NYLIM Mezzanine Offshore Partners II, LP (CYM)
New York Life Capital Partners III GenPar GP, LLC (DE)			NYLIM Mezzanine Partners II GenPar, LP (DE)
New York Life Capital Partners III GenPar, LP (DE)			New York Life Investment Management Mezzanine Partners II, LP (DE)
New York Life Capital Partners III, LP (DE)			NYLIM Mezzanine II Luxco S.a.r.l. (LUX)
NYLCAP III RBG Corp. (DE)			NYLIM Mezzanine Partners II Parallel Fund, LP (DE)
New York Life Capital Partners III-A, LP (DE)			NYLIM Mezzanine II Parallel Luxco S.a.r.l. (LUX)
NYLCAP III-A RBG Corp. (DE)			Voice Holdco Ltd. (CAN)
New York Life Capital Partners IV GenPar GP, LLC (DE)			NYLCAP Mezzanine Partners III GenPar GP, LLC (DE)
New York Life Capital Partners IV GenPar, LP (DE)			NYLCAP Mezzanine Partners III GenPar, LP (DE)
New York Life Capital Partners IV, LP (DE)			NYLCAP Mezzanine Partners III-K Fund, LP (DE)
New York Life Capital Partners IV-A, LP (DE)			NYLCAP Mezzanine Partners III, LP (DE)
GoldPoint Partners Co-Investment V GenPar GP LLC (DE)			NYLCAP Mezzanine III Luxco S.a.r.l. (LUX)
GoldPoint Partners Co-Investment V GenPar, L.P. (DE)			NYLCAP Mezzanine Partners III Parallel Fund, LP (DE)
			NYLCAP Mezzanine Partners III 2012 Co-Invest, LP (DE)
			NYLCAP Mezzanine III 2012 Luxco S.a.r.l. (LUX)
			NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco A, LP (DE)

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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker A, LP (DE)	PACIF III GP, LLC (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP (DE)	Private Advisors Coinvestment Fund III, LP (46-1360141) (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP (DE)	PACIF IV GP, LLC
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP (DE)	Private Advisors Coinvestment Fund IV, LP
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C, LP (DE)	PACIF IV Carry Parent, LLC
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP (DE)	PACIF IV Carry, LLC
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LP (DE)	Private Advisors Distressed Opportunities Fund, L.P. (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LP (DE)	Private Advisors Income Fund, L.P. (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker E, LP (DE)	PAPEF Carry Parent, LLC (DE)
NYLCAP Select Manager GenPar, LP (DE)	PAPEF Carry, LLC (DE)
C.B. Fleet TopCo. LLC (DE)	Private Advisors Small Company Buyout Fund, L.P. (DE)
	Private Advisors Alternative Small Company Buyout Fund, L.P. (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F,LP	Small Company Buyout Blocker Corp. (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F, LP	Small Company Buyout ECI, LP (DE)
NYLCAP Mezzanine Offshore Partners III, L.P. (DE)	Small Company Buyout Holding, LP (DE)
NYLCAP Select Manager GenPar GP, LLC (DE)	Private Advisors Small Company Buyout Fund II, L.P. (DE)
NYLCAP Select Manager Fund, LP (DE)	PASCBF III GP, LLC (DE)
NYLCAP Select Manager Cayman Fund, LP (CYM)	Private Advisors Small Company Buyout Fund III, LP (DE)
NYLCAP Select Manager Fund II, L.P. (CYM)	PASCBF IV Carry Parent, LLC (DE)
NYLCAP Canada GenPar Inc. (CAN)	PASCBF IV Carry, LLC (DE)
NYLCAP Select Manager Canada Fund, LP (CAN)	PASCBF IV GP, LLC (DE)
NYLCAP Select Manager II GenPar GP, LLC (DE)	Private Advisors Small Company Buyout Fund IV, LP (DE)
NYLCAP Select Manager II GenPar GP L.P. (CYM)	PASCBF V Carry Parent, LLC (DE)
NYLCAP Canada II GenPar Inc. (CAN)	PASCBF V Carry, LLC (DE)
NYLCAP Select Manager Canada Fund II, L.P. (CAN)	PASCBF V GP, LLC (DE)
GoldPoint Partners Select Manager III GenPar GP, LLC (DE)	Private Advisors Small Company Buyout Fund V, LP (DE)
GoldPoint Partners Select Manager III GenPar, L.P. (CYM)	PASCPEF VI Carry Parent, LLC (DE)
GoldPoint Partners Select Manager Fund III, L.P. (CYM)	PASPEF VI Carry, LLC (DE)
GoldPoint Partners Select Manager Fund III AIV, L.P.	PASCPEF VI GP, LLC (DE)
GoldPoint Partners Canada III GenPar, Inc	Private Advisors Small Company Private Equity Fund VI, LP (DE)
GoldPoint Partners Select Manager Canada Fund III, L.P.	Private Advisors Small Company Private Equity Fund VI (Cayman), LP (CYM)
Private Advisors LLC (54-1886751)(DE)	PA Real Assets Carry Parent, LLC (DE)
Alternative Fund LV, LLC (DE)	PA Real Assets Carry, LLC (DE)
Alternative Fund LV II, LLC (DE)	PA Emerging Manager Carry Parent, LLC (DE)
Private Advisors Alternative Asset Fund LLC (DE)	PA Emerging Manager Carry, LLC (DE)
PACIF GP, LLC (DE)	RIC I GP, LLC (DE)
Private Advisors Coinvestment Fund, LP (DE)	Richmond Coinvestment Partners I, LP (DE)
PACIF Carry Parent, LLC (DE)	RIC I Carry Parent, LLC (DE)
PACIF Carry, LLC (DE)	RIC I Carry, LLC (DE)
PACIF II Carry Parent, LLC (DE)	Cuyahoga Capital Partners I Management Group, LLC (DE)
PACIF II Carry, LLC (DE)	Cuyahoga Capital Partners II Management Group LLC (DE)
PACIF II GP, LLC (DE)	Cuyahoga Capital Partners III Management Group LLC (DE)
Private Advisors Coinvestment Fund II, LP (DE)	Cuyahoga Capital Partners IV Management Group LLC (DE)
PACIF III Carry Parent, LLC (DE)	PASF V GP, LLC
PACIF III Carry, LLC (DE)	Private Advisors Secondary Fund V, LP

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

PASF V Carry Parent, LLC
 PASF V Carry, LLC
Cuyahoga Capital Emerging Buyout Partners Management Group LLC (DE)
UVF GP, LLC (DE)
Undiscovered Value Fund, LP (DE)
Undiscovered Value Fund, Ltd. (CYM)
 Undiscovered Value Master Fund SPC (CYM)
Private Advisors Stable Value Fund, Ltd. (DE)
Index IQ Holdings Inc. (DE)
Financial Development LLC (DE)
 IndexIQ, Inc. (DE)
 IndexIQ LLC (DE)
 IndexIQ Advisors LLC (DE)

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

NYLife Real Estate Holdings LLC

CC Acquisitions, LP (DE)
Huntsville NYL LLC (DE)
NYL Midwest Apartments LLC (DE)
REEP-MF Cumberland TN LLC (DE)
 Cumberland Apartments, LLC (TN)
REEP-RTL Bradford PA LLC (DE)
REEP-IND Forest Park NJ LLC (DE)
 FP Building 1-2-3 LLC (DE)
 FP Building 4 LLC (DE)
 FP Building 17, LLC (DE)
 FP Building 18, LLC (DE)
 FP Building 19, LLC (DE)
 FP Building 20, LLC (DE)
 FP Mantua Grove LLC (DE)
 FP Lot 1.01 LLC (DE)
REEP-IND NJ LLC (DE)
 NJIND JV LLC (DE)
 NJIND Hook Road LLC (DE)
 NJIND Old Post Road LLC (DE)
 NJIND Brunswick Avenue LLC (DE)
 NJIND Raritan Center LLC (DE)
 NJIND Talmadge Road LLC (DE)
 NJIND Bay Avenue LLC (DE)
 NJIND Melrich Road LLC (DE)
 NJIND Carter Drive LLC (DE)
 NJIND Corbin Street LLC (DE)

REEP-IND Valwood TX LLC (DE)
REEP-MF Marina Landing WA LLC (DE)
 REEP-SP Marina Landing LLC (DE)
REEP-MF Enclave TX LLC (DE)
REEP-MF Mira Loma II TX LLC (DE)
REEP-MF Summitt Ridge CO LLC (DE)
REEP-OF Centerpointe VA LLC (DE)
REEP-OFC 575 Lex NY LLC (DE)
REEP-OFC 575 Lex NY GP LLC (DE)
REEP-OFC Westory DC LLC (DE)
REEP-RTL SASI GA LLC (DE)
REEP-MF Woodridge IL LLC (DE)
REEP-IND Continental NC LLC (DE)
 LRC-Patriot, LLC (DE)
 REEP-LRC Industrial LLC (DE)
REEP-OFC 525 N Tryon NC LLC (DE)
 525 Charlotte Office LLC (DE)
REEP-OFC Royal Centre GA LLC
 Royal Centre, LLC

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Perce-ntage	Ultimate Controlling Entity(ies)/Person(s)	*
0826	New York Life Group	66915	13-5582869	1583827	0000071633		New York Life Insurance Company	NY	UDP					
0826	New York Life Group	91596	13-3044743	3683691	0000727136		New York Life Insurance & Annuity Corporation	DE	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
0826	New York Life Group	00000					Ausbil IT - Ausbil Microcap Fund	AUS		New York Life Insurance & Annuity Corporation	Ownership	11.800	New York Life Insurance Company	
		81353	52-1530175				NYLIFE Insurance Company of Arizona	AZ		New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000	13-4199614				New York Life Enterprises LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					New York Life International Holdings Limited	MUS	NIA	New York Life Enterprises LLC	Ownership	84.000	New York Life Insurance Company	
		00000					New York Life International Holdings Limited	MUS	NIA	NYL Cayman Holdings Ltd.	Ownership	16.000	New York Life Insurance Company	
		00000					NYL Cayman Holdings Ltd.	CYM	NIA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYL Worldwide Capital Investments, LLC	DE	NIA	NYL Cayman Holdings Ltd.	Ownership	100.000	New York Life Insurance Company	
		00000					Candriam Balanced Asset Alloc	LUX	NIA	New York Life Insurance Company	Ownership	17.400	New York Life Insurance Company	
		00000					Candriam L Defensive Asset Fund	LUX	NIA	New York Life Insurance Company	Ownership	19.800	New York Life Insurance Company	
		00000					Seguros Monterrey New York Life, S.A. de C.V.	MEX	IA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Administradora de Conductos SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	
		00000					Agencias de Distribucion SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	
		00000					Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	
		00000					Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Agencias de Distribucion SMNYL, S.A. de C.V.	Ownership	1.000	New York Life Insurance Company	
		00000					SEAF Sichuan SME Investment Fund LLC	DE	NIA	New York Life Enterprises LLC	Ownership	39.980	New York Life Insurance Company	
		00000					Samsung US Dynamic Asset Allocation							
		00000					Securities Feeder Investment Trust H	KOR	NIA	New York Life Insurance Company	Ownership	49.100	New York Life Insurance Company	
		00000					NYL Real Assets LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					NYL Emerging Manager LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000	13-4081725	2928649	0001270096		NYLIFE LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					Eagle Strategies LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					New York Life Capital Corporation	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYL Executive Benefits LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000	13-2649692		0000071637		NYLIFE Securities LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYLINK Insurance Agency Incorporated	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYLUK I Company	GBR	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYLUK II Company	GBR	NIA	NYLUK I Company	Ownership	100.000	New York Life Insurance Company	
		00000					Gresham Mortgage	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	
		00000					W Construction Company	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	
		00000					WIM (AIM)	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	
		00000					WUT	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	
		00000					Biris Holdings LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000	46-4293486				NYL Investors LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					NYLIM Holdings NCVAD, GP, LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	
		00000					McMorgan Northern California Value Add/Development Fund I, L.P.	DE	NIA	NYLIM Holdings NCVAD, GP, LLC	Ownership	50.000	New York Life Insurance Company	
		00000					MNCVAD-IND Greenwood CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	
		00000					MNCVAD-IND Concourse CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		..00000					MNCVAD-IND Norris Canyon CA LLCDE	..NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MNCVAD-CP Norris Canyon LLCDE	..NIA	MNCVAD-IND Norris Canyon CA LLC	Ownership.....	..94.000	New York Life Insurance Company	
		..00000					MNCVAD-OFC 2665 North First CA LLCDE	..NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MNCVAD-SEAGATE 2665 North First LLCDE	..NIA	MNCVAD-OFC 2665 North First CA LLC	Ownership.....	..90.000	New York Life Insurance Company	
		..00000					MNCVAD-IND Petaluma CA LLCDE	..NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MNCVAD-OFC Bridgepointe CA LLCDE	..NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MNCVAD-OFC Ridder Park CA LLCDE	..NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MNCVAD GRAYMARK Ridder Park LLCDE	..NIA	MNCVAD-OFC Ridder Park CA LLC	Ownership.....	..97.500	New York Life Insurance Company	
		..00000					New York Life Short Term Fund (STF)NY	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYL Wind Investments LLCDE	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					PTC Acquisitions, LLCDE	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLife Real Estate Holdings, LLCDE	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000			3663273		CC Acquisitions, LPDE	..NIA	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Huntsville NYL LLCDE	..NIA	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYL Midwest Apartments LLCDE	..NIA	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-IND FREEDOM MA LLCDE	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-IND AEGEAN MA LLCDE	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-IND LYMAN MA LLCDE	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-IND Kent LLCDE	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-MF Cumberland TN LLCDE	..NIA	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Cumberland Apartments, LLCTN	..NIA	REEP-MF Cumberland TN LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Cumberland Condominium Association, Inc.TN	..NIA	New York Life Insurance Company	Board of Directors.....	..0.000	New York Life Insurance Company	
		..00000					REEP-RTL Bradford PA LLCDE	..NIA	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-IND Forest Park NJ LLCDE	..NIA	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					FP Building 1-2-3 LLCDE	..NIA	REEP-IND Forest Park NJ LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					FP Building 4 LLCDE	..NIA	REEP-IND Forest Park NJ LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					FP Building 17, LLCDE	..NIA	REEP-IND Forest Park NJ LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					FP Building 18, LLCDE	..NIA	REEP-IND Forest Park NJ LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					FP Building 19, LLCDE	..NIA	REEP-IND Forest Park NJ LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					FP Building 20, LLCDE	..NIA	REEP-IND Forest Park NJ LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					FP Mantua Grove LLCDE	..NIA	REEP-IND Forest Park NJ LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					FP Lot 1.01 LLCDE	..NIA	REEP-IND Forest Park NJ LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-IND Continental NC LLCDE	..NIA	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					LRC-Patriot, LLCDE	..NIA	REEP-IND Continental NC LLC	Ownership.....	..93.000	New York Life Insurance Company	
		..00000					REEP-LRC Industrial LLCDE	..NIA	LRC-Patriot, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-OFC 525 N Tryon NC LLCDE	..NIA	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					525 Charlotte Office LLCDE	..NIA	REEP-OFC 525 N Tryon NC LLC	Ownership.....	..95.000	New York Life Insurance Company	
		..00000					REEP-IND RTG NC LLCDE	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-OFC Royal Centre GA LLCDE	..NIA	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Royal Centre, LLCDE	..NIA	REEP-OFC Royal Centre GA LLC	Ownership.....	..90.000	New York Life Insurance Company	
		..00000					REEP-IND Chino CA LLCDE	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-OFC CORPORATE POINTE CA LLCDE	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-IND Fridley MN LLCMN	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-IND Green Oaks IL LLCDE	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-OFC Bellevue WA LLCDE	..NIA	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					REEP-IND NJ LLCDE	..NIA	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	00000	NJIND JV LLCDE.....NIA.....	REEP-IND NJ LLC	Ownership.....	..93.000	New York Life Insurance Company
	00000	NJIND Hook Road LLCDE.....NIA.....	NJIND JV LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NJIND Old Post Road LLCDE.....NIA.....	NJIND JV LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NJIND Brunswick Avenue LLCDE.....NIA.....	NJIND JV LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NJIND Raritan Center LLCDE.....NIA.....	NJIND JV LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NJIND Talmadge Road LLCDE.....NIA.....	NJIND JV LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NJIND Bay Avenue LLCDE.....NIA.....	NJIND JV LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NJIND Melrich Road LLCDE.....NIA.....	NJIND JV LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NJIND Carter Drive LLCDE.....NIA.....	NJIND JV LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NJIND Corbin Street LLCDE.....NIA.....	NJIND JV LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-Enclave TX LLCDE.....NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-MF Issaquah WA LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-MF Mira Loma II TX LLCDE.....NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-MF Verde NC LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-MF Mount Vernon GA LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-MF Summitt Ridge CO LLCDE.....NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-OF Centerpointe VA LLCDE.....NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-RTL SASI GA LLCDE.....NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-MF Chandler AZ LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-MF Woodridge IL LLCDE.....NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-MF Wallingford WA LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-IND Valwood TX LLCDE.....NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-MF Marina Landing WA LLCDE.....NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-SP Marina Landing LLCDE.....NIA.....	REEP-MF Marina Landing WA LLC	Ownership.....	..98.000	New York Life Insurance Company
	00000	REEP-OFC 575 Lex NY LLCDE.....NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-OFC 575 Lex NY GP LLCDE.....NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-OFC Drakes Landing CA LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	REEP-OFC Westory DC LLCDE.....NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	CT 160 CORPORATE COURT LLCDE.....NIA.....	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH Farmingdale, NY, LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYLMDC King of Prussia GP, LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYLMDC King of Prussia Realty, LPDE.....NIA.....	NYLMDC King of Prussia GP, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH Attleboro MA, LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-Ennis GP, LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-Ennis, L.P.TX.....NIA.....	NYMH-Ennis GP, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-Freeport GP, LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-Freeport, L.P.TX.....NIA.....	NYMH-Freeport GP, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-Houston GP, LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-Houston, L.P.TX.....NIA.....	NYMH-Houston GP, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-Plano GP, LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-Plano, L.P.TX.....NIA.....	NYMH-Plano GP, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-San Antonio GP, LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-San Antonio, L.P.TX.....NIA.....	NYMH-San Antonio GP, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-Stephenville GP, LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-Stephenville, L.P.TX.....NIA.....	NYMH-Stephenville GP, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-Taylor GP, LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	NYMH-Taylor, L.P.TX.....NIA.....	NYMH-Taylor GP, LLC	Ownership.....	..100.000	New York Life Insurance Company
	00000	SCP 2005-C21-002 LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	SCP 2005-C21-003 LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	SCP 2005-C21-006 LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company
	00000	SCP 2005-C21-007-LLCDE.....NIA.....	New York Life Insurance Company	Ownership.....	..100.000	New York Life Insurance Company

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		00000					SCP 2005-C21-008 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-009 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-017 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-018 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-021 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-025 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-031 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-036 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-041 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-043 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-044 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-048 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-061 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-063 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-067 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-069 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					SCP 2005-C21-070 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					Silver Spring, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					Silver Spring Associates, L.P.	PA	NIA	Silver Spring, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					2015 Strategic Asset Holdings LLC	NY	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					2015 DIL PORTFOLIO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership	62.308	New York Life Insurance Company	
		00000					2015 DIL PORTFOLIO HOLDINGS LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	37.692	New York Life Insurance Company	
		00000					CT 611 W. JOHNSON AVE LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	
		00000					CT 550 RESEARCH PKWY LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NJ 663 E. CRESCENT AVE LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NJ 1881 ROUTE 46 LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PA 180 KOST RD LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	
		00000	52-2206685		0001513831		New York Life Investment Management Holdings LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					Index IQ Holdings Inc.	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001460140		Financial Development LLC	DE	NIA	Holdings LLC	Ownership	74.370	New York Life Insurance Company	
		00000			0001460140		Financial Development LLC	DE	NIA	Index IQ Holdings Inc.	Ownership	25.630	New York Life Insurance Company	
		00000			0001364028		IndexIQ, Inc.	DE	NIA	Financial Development LLC	Ownership	100.000	New York Life Insurance Company	
		00000					IndexIQ LLC	DE	NIA	Financial Development LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001415996		IndexIQ Advisors LLC	DE	NIA	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company	
		00000	03-0598064		0000050672		Institutional Capital LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		00000					ICAP Master Collective Investment Trust							
		00000					ICAP Large Cap Value Equity Collective Trust	PA	NIA	Institutional Capital LLC	Other	0.000	New York Life Insurance Company	1
		00000	13-3741759		0000914898		NYLIFE Distributors LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001103598		NYLIM Service Company LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		00000	13-4080466		0000061227		MacKay Shields LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MacKay Shields Core Plus Opportunities Fund GP LLC	DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		..00000			0001502131		MacKay Shields Core Plus / Opportunities Fund LP	..DE	..NIA	MacKay Shields Core Plus Opportunities Fund GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MacKay Shields Credit Strategy Fund LTD	..CYM	..NIA	MacKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001502133		MacKay Shields Defensive Bond Arbitrage Fund Ltd.	..BMU	..NIA	MacKay Shields LLC	Board of Directors	..0.000	New York Life Insurance Company	
		..00000			0001502133		MacKay Shields Defensive Bond Arbitrage Fund Ltd.	..BMU	..NIA	New York Life Insurance Company	Ownership	..13.530	New York Life Insurance Company	
		..00000			0001502133		MacKay Shields Defensive Bond Arbitrage Fund Ltd.	..BMU	..NIA	MacKay Shields LLC	Ownership	..0.590	New York Life Insurance Company	
		..00000					MacKay Shields High Yield Active Core Fund GP LLC	..DE	..NIA	MacKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001502130		MacKay Shields High Yield Active Core Fund LP	..DE	..NIA	MacKay Shields High Yield Active Core Fund GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MacKay Shields Core Fixed Income Fund GP LLC	..DE	..NIA	MacKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000	45-2733007		0001529525		MacKay Shields Core Fixed Income Fund LP	..DE	..NIA	MacKay Shields Core Fixed Income Fund GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MacKay Municipal Managers Opportunities GP LLC	..DE	..NIA	MacKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001432467		MacKay Municipal Opportunities Master Fund, L.P.	..DE	..NIA	MacKay Municipal Managers Opportunities GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001432468		MacKay Municipal Opportunities Fund, L.P.	..DE	..NIA	MacKay Municipal Managers Opportunities GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MacKay Municipal Managers Credit Opportunities GP, LLC	..DE	..NIA	MacKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001460030		MacKay Municipal Credit Opportunities Master Fund, L.P.	..DE	..NIA	MacKay Municipal Managers Credit Opportunities GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001460023		MacKay Municipal Credit Opportunities Fund, L.P.	..DE	..NIA	MacKay Municipal Managers Credit Opportunities GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000	45-3041041				MacKay Municipal Short Term Opportunities Fund GP LLC	..DE	..NIA	MacKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001532022		MacKay Municipal Short Term Opportunities Fund LP	..DE	..NIA	MacKay Municipal Short Term Opportunities Fund GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MacKay Municipal Managers Puerto Rico Opportunities GP LLC	..DE	..NIA	MacKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001639566		MacKay Puerto Rico Opportunities Fund, L.P.	..DE	..NIA	MacKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001639564		MacKay Puerto Rico Opportunities Feeder Fund, L.P.	..DE	..NIA	MacKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MacKay Municipal Managers California Opportunities GP LLC	..DE	..NIA	MacKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001641693		MacKay Municipal California Opportunities Fund, L.P.	..DE	..NIA	MacKay Municipal Managers California Opportunities GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					Plainview Funds plc	..JRL	..NIA	MacKay Shields LLC	Ownership	..50.000	New York Life Insurance Company	
		..00000					Plainview Funds plc	..JRL	..NIA	MacKay Shields LLC	Board of Directors	..0.000	New York Life Insurance Company	
		..00000					Plainview Funds plc - MacKay Shields - Emerging Markets Credit Portfolio	..JRL	..NIA	New York Life Insurance Company	Ownership	..44.260	New York Life Insurance Company	
		..00000					Plainview Funds plc - MacKay Shields - Emerging Markets Credit Portfolio	..JRL	..NIA	New York Life Insurance & Annuity Corporation	Ownership	..44.260	New York Life Insurance Company	
		..00000					Plainview Funds plc - MacKay Shields - Flexible Bond Portfolio	..JRL	..NIA	New York Life Insurance Company	Ownership	..56.230	New York Life Insurance Company	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		..00000					Plainview Funds plc – MacKay Shields – Flexible Bond PortfolioJRL	..NIA	New York Life Insurance & Annuity Corporation	Ownership.....	..23.470	New York Life Insurance Company	
		..00000					Plainview Funds plc – MacKay Shields – Flexible Bond PortfolioJRL	..NIA	MacKay Shields LLC	Ownership.....	..1.220	New York Life Insurance Company	
		..00000					Plainview Funds plc – MacKay Shields – Unconstrained Bond PortfolioJRL	..NIA	New York Life Insurance Company	Ownership.....	..26.920	New York Life Insurance Company	
		..00000					Plainview Funds plc – MacKay Shields – Unconstrained Bond PortfolioJRL	..NIA	MacKay Shields LLC	Ownership.....	..0.790	New York Life Insurance Company	
		..00000					Plainview Funds plc – MacKay Shields – Floating Rate High Yield PortfolioJRL	..NIA	New York Life Insurance Company	Ownership.....	..95.380	New York Life Insurance Company	
		..00000					Plainview Funds plc – MacKay Shields – Floating Rate High Yield PortfolioJRL	..NIA	MacKay Shields LLC	Ownership.....	..4.610	New York Life Insurance Company	
		..00000					Plainview Funds plc – MacKay Shields Core Plus Opportunities PortfolioJRL	..NIA	MacKay Shields LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MacKay Shields Statutory Trust High Yield Bond SeriesCT	..NIA	MacKay Municipal Short Term Opportunities Fund GP LLC	Management0.000	New York Life Insurance Company	3
		..00000					MacKay Shields (International) Ltd.GBR	..NIA	MacKay Shields LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MacKay Shields (Services) Ltd.GBR	..NIA	MacKay Shields LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MacKay Shields UK LLPGBR	..NIA	MacKay Shields (International) Ltd.	Ownership.....	..99.000	New York Life Insurance Company	
		..00000					MacKay Shields UK LLPGBR	..NIA	MacKay Shields (Services) Ltd.	Ownership.....	..1.000	New York Life Insurance Company	
		..00000					MacKay Shields Global Derivatives LLCDE	..NIA	MacKay Shields LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MacKay Municipal Managers Puerto Rico Opportunities GP LLCDE	..NIA	MacKay Shields LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MacKay Puerto Rico Opportunities Funds, L.P.DE	..NIA	MacKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MacKay Municipal Managers California Opportunities GP LLCDE	..NIA	MacKay Shields LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MacKay Municipal Managers California Opportunities Fund, L.P.DE	..NIA	MacKay Municipal Managers California Opportunities GP LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Madison Capital Funding LLCDE	..NIA	New York Life Investment Management Holdings LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Madison Avenue Loan Fund GP LLCDE	..NIA	Holdings LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000	80-0920962		0001577927		Madison Avenue Loan Fund LPDE	..NIA	Madison Capital Funding LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MCF Co-Investment GP LLCDE	..NIA	Madison Avenue Loan Fund GP LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000			0001538585		MCF Co-Investment GP LPDE	..NIA	Madison Capital Funding LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000			0001538584		Madison Capital Funding Co-Investment Fund LPDE	..NIA	MCF Co-Investment GP LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					MCF Fund I LLCDE	..NIA	MCF Co-Investment GP LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Warwick McAlester Holdings, LLCDE	..NIA	Madison Capital Funding LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Meeco Sullivan, LLCDE	..NIA	Madison Capital Funding LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Electric Avenue, LLCDE	..NIA	Warwick McAlester Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					WDC Liquidation TrustIL	..NIA	Warwick McAlester Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Young America Holdings, LLCDE	..NIA	Madison Capital Funding LLC	Ownership.....	..36.350	New York Life Insurance Company	
		..00000					YAC ECOM IncorporatedMN	..NIA	Madison Capital Funding LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Young America, LLCMN	..NIA	Young America Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Global Fulfillment Services, Inc.AZ	..NIA	Young America Holdings, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					SourceOne Worldwide, Inc.MN	..NIA	Young America, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					YA Canada CorporationCAN	..NIA	Young America, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Zenith Products Holdings, IncDE	..NIA	Young America, LLC	Ownership.....	..16.360	New York Life Insurance Company	
		..00000			0001347648		ZPC Holding Corp.DE	..NIA	Madison Capital Funding LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					Zenith Products CorporationDE	..NIA	Zenith Products Holdings, Inc	Ownership.....	..100.000	New York Life Insurance Company	

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PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.00000					MCF Capital Management LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					Ironshore Investment BL I Ltd.	BMU	NIA	MCF Capital Management LLC	Other	0.000	New York Life Insurance Company	2
		.00000					MCF CLO III LLC	DE	NIA	MCF Capital Management LLC	Ownership	2.330	New York Life Insurance Company	
		.00000					MCF CLO III LLC	DE	NIA	MCF Capital Management LLC	Other	0.000	New York Life Insurance Company	2
		.00000					MCF CLO IV LLC	DE	NIA	MCF Capital Management LLC	Other	0.000	New York Life Insurance Company	2
		.00000					MCF CLO V Warehouse LLC	DE	NIA	MCF Capital Management LLC	Ownership	5.000	New York Life Insurance Company	
		.00000					MCF CLO V Warehouse LLC	DE	NIA	MCF Capital Management LLC	Other	0.000	New York Life Insurance Company	2
		.00000					LMF WF Portfolio II, LLC	DE	NIA	MCF Capital Management LLC	Other	0.000	New York Life Insurance Company	2
		.00000					MCF CLO I LLC	DE	NIA	MCF Capital Management LLC	Other	0.000	New York Life Insurance Company	2
		.00000					MCF CLO I LLC	DE	NIA	MCF Capital Management LLC	Ownership	2.530	New York Life Insurance Company	
		.00000					MCF CLO II LLC	DE	NIA	MCF Capital Management LLC	Other	0.000	New York Life Insurance Company	2
		.00000					Cornerstone Capital Management Holdings LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		.00000	13-5582869		0001453415		Cornerstone Capital Management Holdings LLC	DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership	51.000	New York Life Insurance Company	
		.00000	41-1763532		0001123570		Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		.00000			0001329043		Cornerstone Capital Management Large-Cap Enhanced Index Fund, LP	DE	NIA	Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYL Workforce GP LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		.00000			0001133639		NYL Workforce GP LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					New York Life Investment Management LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					New York Life Investment Management (U.K.) Ltd.	GBR	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					Madison Core Property Fund LLC	DE	NIA	New York Life Insurance Company	Management	0.000	New York Life Insurance Company	3
		.00000					MIREF 1500 Quail, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Mission Heritage, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Linpro Center, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Mill Creek, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Gateway, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Delta Court, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Seaside, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Fremont Distribution Center, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					1101 Taylor Road LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Century, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF York Road, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					York Road EW, LLC	DE	NIA	MIREF York Road, LLC	Ownership	64.800	New York Life Insurance Company	
		.00000					York Road Retail West, LLC	DE	NIA	York Road EW, LLC	Ownership	64.800	New York Life Insurance Company	
		.00000					2001 EW LLC	DE	NIA	York Road EW, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					2122 EW LLC	DE	NIA	York Road EW, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Saddle River LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					Via Verde San Dimas, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF DC Corp.	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF L Street, LLC	DE	NIA	MIREF DC Corp.	Ownership	100.000	New York Life Insurance Company	
		.00000					1901 L Street Corp.	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					1901 L Street LLC	DC	NIA	1901 L Street Corp.	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Newport Commons, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Northsight, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Riverside, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					MIREF Corporate Woods, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	

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		00000					MIREF Bedminster, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MIREF Bartons Creek, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Bartons Lodge Apartments, LLC	DE	NIA	MIREF Bartons Creek, LLC	Ownership	90.000	New York Life Insurance Company	
		00000					MIREF Marketpointe, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MIREF 101 East Crossroads, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					101 East Crossroads, LLC	DE	NIA	MIREF 101 East Crossroads, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MIREF Waterview, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MIREF Chain Bridge, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					1991 Chain Bridge Road, LLC	DE	NIA	MIREF Chain Bridge, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MIREF Aptakistic, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Aptakistic Creek Corporate Park, LLC	DE	NIA	MIREF Aptakistic, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MIREF 250 Montgomery, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MIREF Hawthorne, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MIREF Auburn 277, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MIREF Sumner North, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MIREF Wellington, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MIREF Warner Center, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-IND Valley Business Park CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-IND Assateague MD LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-SP Assateague LLC	DE	NIA	MADISON-IND Assateague MD LLC	Ownership	90.000	New York Life Insurance Company	
		00000					MADISON-MF Duluth GA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-MF Casa Santa Fe AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-MF Cabrillo AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-OFC Centerstone I CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-RTL Centerstone II CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-OFC Centerstone III CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-MOB Centerstone IV CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-OFC Canyon Commons CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-OFC Centerpoint Plaza CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-IND Logistics NC LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MCPF-LRC Logistics LLC	DE	NIA	MADISON-IND Logistics NC LLC	Ownership	90.000	New York Life Insurance Company	
		00000					MADISON-MF Desert Mirage AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-OFC One Main Place OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-IND Fenton MO LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-IND Hitzert Roadway MO LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-MF Hoyt OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MADISON-RTL Clifton Heights PA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	
		00000					New York Life Investment Management Holdings International S.á.r.l.	LUX	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		00000					New York Life Investment Management Holdings II International S.á.r.l.	LUX	NIA	New York Life Investment Management Holdings International S.á.r.l.	Ownership	100.000	New York Life Insurance Company	
		00000					New York Life Investment Management Global Holdings S.á.r.l.	LUX	NIA	New York Life Investment Management Holdings II International S.á.r.l.	Ownership	100.000	New York Life Insurance Company	
		00000					Candriam Luxco S.á.r.l.	LUX	NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	100.000	New York Life Insurance Company	
		00000					Candriam Luxembourg, partnership limited by shares	LUX	NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	97.000	New York Life Insurance Company	
		00000					Candriam Luxembourg, partnership limited by shares	LUX	NIA	Candriam Luxco S.á.r.l.	Ownership	3.000	New York Life Insurance Company	
		00000					Ausbil Investment Management Limited	AUS	NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	77.810	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	00000					Ausbil Australia Pty. Ltd.AUS.....	..NIA.....	Ausbil Investment Management Limited	Ownership.....	..100.000	New York Life Insurance Company	
	00000					Ausbil Asset Management Pty. Ltd.AUS.....	..NIA.....	Ausbil Investment Management Limited	Ownership.....	..100.000	New York Life Insurance Company	
	00000					ISPT HoldingBEL.....	..NIA.....	Ausbil Investment Management Limited	Ownership.....	..0.039	New York Life Insurance Company	
	00000					AUSBIL IT - Australian Geared EquityAUS.....	..NIA.....	Ausbil Investment Management Limited	Influence.....	..0.000	New York Life Insurance Company	4
	00000					BIL Prime Advanced Cash + 100LUX.....	..NIA.....	Candriam Luxembourg, partnership limited by shares	Ownership.....	..32.360	New York Life Insurance Company	
	00000					BIL Prime Advanced Cash + 100LUX.....	..NIA.....	Candriam Belgium, public limited company	Ownership.....	..28.860	New York Life Insurance Company	
	00000					Candriam France, simplified joint-stock companyFRA.....	..NIA.....	Candriam Luxembourg, partnership limited by shares	Ownership.....	..100.000	New York Life Insurance Company	
	00000					Candriam DublinJRL.....	..NIA.....	Candriam France, simplified joint-stock company	Ownership.....	..100.000	New York Life Insurance Company	
	00000					Candriam Belgium public limited companyBEL.....	..NIA.....	Candriam Luxembourg, partnership limited by shares	Ownership.....	..99.990	New York Life Insurance Company	
	00000					Candriam Belgium public limited companyBEL.....	..NIA.....	New York Life Investment Management Global Holdings S.á.r.l.	Ownership.....	..0.010	New York Life Insurance Company	
	00000					Candriam Treasury ManagementFRA.....	..NIA.....	Candriam Belgium public limited company	Ownership.....	..7.930	New York Life Insurance Company	
	00000					Candriam Treasury ManagementFRA.....	..NIA.....	Candriam France, simplified joint-stock company	Ownership.....	..4.110	New York Life Insurance Company	
	00000					Cordius CIGLUX.....	..NIA.....	Candriam Luxembourg, partnership limited by shares	Ownership.....	..62.960	New York Life Insurance Company	
	00000					Cordius CIGLUX.....	..NIA.....	Candriam Belgium public limited company	Ownership.....	..18.520	New York Life Insurance Company	
	00000					Cordius CIGLUX.....	..NIA.....	Candriam France, simplified joint-stock company	Ownership.....	..18.520	New York Life Insurance Company	
	00000					Cordius B Protected StrategiesLUX.....	..NIA.....	Candriam Belgium public limited company	Ownership.....	..100.000	New York Life Insurance Company	
	00000					NYLIM-GCR Fund I, LLCDE.....	..NIA.....	New York Life Investment Management LLC	Ownership.....	..50.000	New York Life Insurance Company	
	00000					NYLIM Fund II GP, LLCDE.....	..NIA.....	New York Life Investment Management LLC	Ownership.....	..100.000	New York Life Insurance Company	
	00000			0001355337		NYLIM Real Estate Mezzanine Fund II, LPDE.....	..NIA.....	NYLIM Fund II GP, LLC	Ownership.....	..100.000	New York Life Insurance Company	
	00000					NYLIM-TND, LLCDE.....	..NIA.....	NYLIM Real Estate Mezzanine Fund II, LP	Ownership.....	..100.000	New York Life Insurance Company	
	00000					NYLIM-DCM, LLCDE.....	..NIA.....	NYLIM Real Estate Mezzanine Fund II, LP	Ownership.....	..100.000	New York Life Insurance Company	
	00000					NYLIM-MM, LLCDE.....	..NIA.....	NYLIM-DCM, LLC	Ownership.....	..100.000	New York Life Insurance Company	
	00000					DCM-N, LLCDE.....	..NIA.....	NYLIM-MM, LLC	Ownership.....	..80.000	New York Life Insurance Company	
	00000					DCM-N, LLCDE.....	..NIA.....	NYLIM RE Mezzanine Fund II Investment Corporation	Ownership.....	..20.000	New York Life Insurance Company	
	00000					DCM Warehouse Series A, LLCDE.....	..NIA.....	DCM-N, LLC	Ownership.....	..100.000	New York Life Insurance Company	
	00000					DCM Warehouse Series One, LLCDE.....	..NIA.....	DCM Warehouse Series A, LLC	Ownership.....	..100.000	New York Life Insurance Company	
	00000					Sixteen West Savannah, LLCIN.....	..NIA.....	DCM Warehouse Series One, LLC	Ownership.....	..100.000	New York Life Insurance Company	
	00000					Metropolis II Construction, LLCDE.....	..NIA.....	DCM Warehouse Series One, LLC	Ownership.....	..100.000	New York Life Insurance Company	
	00000					Streets Las Vegas, L.L.C.AZ.....	..NIA.....	DCM Warehouse Series One, LLC	Ownership.....	..90.000	New York Life Insurance Company	
	00000					NYLIM RE Mezzanine Fund II Investment CorporationDE.....	..NIA.....	NYLIM Real Estate Mezzanine Fund II, LP	Ownership.....	..100.000	New York Life Insurance Company	
	00000					WFGH, GP LLCDE.....	..NIA.....	New York Life Investment Management LLC	Ownership.....	..50.000	New York Life Insurance Company	
	00000			0001406803		Workforce Housing Fund I - 2007, LPDE.....	..NIA.....	WFGH, GP LLC	Ownership.....	..100.000	New York Life Insurance Company	
	00000					NYLCAP Holdings (Mauritius) LLCMUS.....	..NIA.....	New York Life Investment Management Holdings LLC	Ownership.....	..100.000	New York Life Insurance Company	
	00000					Jacob Ballas Capital India PVT, LTDMUS.....	..NIA.....	NYLCAP Holdings (Mauritius) LLC	Ownership.....	..23.300	New York Life Insurance Company	
	00000					Evolvence Asset Management, Ltd.IND.....	..NIA.....	GoldPoint Partners LLC	Ownership.....	..24.500	New York Life Insurance Company	
	00000	13-4091043		0001292892		GoldPoint Partners LLCDE.....	..NIA.....	New York Life Investment Management Holdings LLC	Ownership.....	..100.000	New York Life Insurance Company	
	00000					NYLCAP 2010 Co-Invest GenPar GP, LLCDE.....	..NIA.....	GoldPoint Partners LLC	Ownership.....	..100.000	New York Life Insurance Company	
	00000					NYLCAP 2010 Co-Invest GenPar L.P.DE.....	..NIA.....	NYLCAP 2010 Co-Invest GenPar GP, LLC	Ownership.....	..100.000	New York Life Insurance Company	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
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		.00000					NYLCAP 2010 Co-Invest L.P.DE	NIA	NYLCAP 2010 Co-Invest GenPar L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco A L.P.DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker A L.P.DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco A L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker B L.P.DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco C L.P.DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker C L.P.DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco C L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P.DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker E L.P.DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco F L.P.DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker F L.P.DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco F L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P.DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker G L.P.DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000	13-4091045		0001513540		New York Life Capital Partners, LLCDE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000			0001513533		New York Life Capital Partners, LPDE	NIA	New York Life Capital Partners, LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000			0001293285		New York Life Capital Partners II, LLCDE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000			0001293286		New York Life Capital Partners II, LPDE	NIA	New York Life Capital Partners II, LLC ...	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners III GenPar GP, LLCDE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners III GenPar, LPDE	NIA	New York Life Capital Partners III GenPar GP, LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners III, LPDE	NIA	New York Life Capital Partners III GenPar, LP	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP III RBG Corp.DE	NIA	New York Life Capital Partners III, LP ...	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners III-A, LPDE	NIA	New York Life Capital Partners III GenPar, LP	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP III-A RBG Corp.DE	NIA	New York Life Capital Partners III-A, LP ...	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners IV GenPar GP, LLCDE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners IV GenPar, LPDE	NIA	New York Life Capital Partners IV GenPar GP, LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners IV, LPDE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners IV-A, LPDE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership.....	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V GenPar GP LLCDE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000					GoldPoint Partners Co-Investment V GenPar, L.P.	.DE	NIA	GoldPoint Partners Co-Investment V GenPar GP LLC	Ownership	100.000	New York Life Insurance Company	
		.00000			0001562188		GoldPoint Partners Co-Investment V, L.P.	.DE	NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP	.DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker A, LP	.DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP	.DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker B, LP	.DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP	.DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker C, LP	.DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker D, LP	.DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker D, LP	.DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP	.DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker E, LP	.DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP	.DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker F, LP	.DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment Fund-A, LP	.DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP India Funding LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLIM-JB Asset Management Co. (Mauritius) LLC	.MUS	NIA	NYLCAP India Funding LLC	Ownership	24.660	New York Life Insurance Company	5
		.00000			0001356865		New York Life Investment Management India Fund II, LLC (Mauritius)	.MUS	NIA	NYLIM-JB Asset Management Co. (Mauritius) LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					New York Life Investment Management India Fund (FVIC) II, LLC (Mauritius)	.MUS	NIA	New York Life Investment Management India Fund II, LLC (Mauritius)	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP India Funding III LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLIM-Jacob Ballas Asset Management Company III, (Mauritius) LLC	.MUS	NIA	NYLCAP India Funding III LLC	Ownership	24.660	New York Life Insurance Company	6
		.00000			0001435025		NYLIM Jacob Ballas India Fund III (Mauritius) LLC	.MUS	NIA	NYLIM-Jacob Ballas Asset Management Company III, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLIM Jacob Ballas Capital India (FVIC) III (Mauritius) LLC	.MUS	NIA	NYLIM Jacob Ballas India Fund III (Mauritius) LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLIM Jacob Ballas India (FII) III (Mauritius) LLC	.MUS	NIA	NYLIM Jacob Ballas India Fund III (Mauritius) LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLIM Jacob Ballas India Holdings IV	.MUS	NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company	
		.00000			0001513541		NYLIM Mezzanine GenPar GP, LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	
		.00000			0001513539		NYLIM Mezzanine GenPar, LP	.DE	NIA	NYLIM Mezzanine GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000			0001193500		New York Life Investment Management Mezzanine Partners, LP	.DE	NIA	NYLIM Mezzanine GenPar, LP	Ownership	100.000	New York Life Insurance Company	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
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		.00000			0001259536		NYLIM Mezzanine Partners Parallel Fund LP NYLIM Mezzanine Partners II GenPar GP, LLC	.DE	NIA	NYLIM Mezzanine GenPar, LP	Ownership	100.000	New York Life Insurance Company	
		.00000						.DE	NIA	GoldPoint Partners LLC NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLIM Mezzanine Offshore Partners II, LP	.CYM	NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLIM Mezzanine Partners II GenPar, LP New York Life Investment Management Mezzanine Partners II, LP	.DE	NIA	NYLIM Mezzanine Partners II GenPar, LP New York Life Investment Management Mezzanine Partners II, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLIM Mezzanine II Luxco S.a.r.l.	.LUX	NIA	NYLIM Mezzanine Partners II GenPar, LP New York Life Investment Management Mezzanine Partners II, LP	Ownership	100.000	New York Life Insurance Company	
		.00000			0001387095		NYLIM Mezzanine Partners II Parallel Fund, LP NYLIM Mezzanine II Parallel Luxco S.a.r.l.	.DE	NIA	NYLIM Mezzanine Partners II GenPar, LP NYLIM Mezzanine Partners II Parallel Fund, LP	Ownership	100.000	New York Life Insurance Company	
		.00000						.LUX	NIA	NYLIM Mezzanine II Parallel Luxco S.a.r.l.	Ownership	100.000	New York Life Insurance Company	
		.00000					Voice Holdco Ltd.	.CAN	NIA		Ownership	27.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III GenPar GP, LLC NYLCAP Mezzanine Offshore Partners III, LP	.DE	NIA	GoldPoint Partners LLC NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000						.DE	NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III GenPar, LP	.DE	NIA	NYLCAP Mezzanine Partners III, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine III Luxco S.a.r.l.	.LUX	NIA	NYLCAP Mezzanine Partners III, LP NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III-K Fund, LP	.DE	NIA		Ownership	100.000	New York Life Insurance Company	
		.00000			0001482545		NYLCAP Mezzanine Partners III, LP	.DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	
		.00000			0001539552		NYLCAP Mezzanine Partners III Parallel Fund, LP	.DE	NIA		Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	.DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	
		.00000						.LUX	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	
		.00000			0001483925		NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco A, LP	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker A, LP	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco A, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C, LP	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LP	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LP	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker E, LP	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F,LP	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F, LP	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F,LP	Ownership	100.000	New York Life Insurance Company	
		.00000					C.B. Fleet TopCo, LLC	.DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP	Ownership	17.000	New York Life Insurance Company	
		.00000					GoldPoint Mezzanine Partners IV GenPar GP, LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Mezzanine Partners Offshore IV, L.P.	.CYM	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Mezzanine Partners IV GenPar LP	.DE	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Mezzanine Partners IV, LP	.DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Select Manager GenPar GP, LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Select Manager GenPar, LP	.DE	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000			0001441093		NYLCAP Select Manager Fund, LP	.DE	NIA	NYLCAP Select Manager GenPar, LP	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Select Manager Cayman Fund, LP	.CYM	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Canada GenPar, Inc.	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Select Manager Canada Fund, LP	.CAN	NIA	NYLCAP Canada GenPar, Inc.	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Select Manager II GenPar GP, LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Select Manager II GenPar GP, L.P.	.CYM	NIA	NYLCAP Select Manager II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000			0001520743		NYLCAP Select Manager Fund II, L.P.	.CYM	NIA	NYLCAP Select Manager II GenPar GP, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Canada II GenPar, Inc.	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLCAP Select Manager Canada Fund II, L.P.							
		.00000					GoldPoint Partners Select Manager III GenPar GP, LLC	.CAN	NIA	NYLCAP Canada II GenPar, Inc.	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Select Manager III GenPar, L.P.	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Select Manager Fund III, L.P.	.CYM	NIA	GoldPoint Partners Select Manager III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Select Manager Fund III, L.P.	.CYM	NIA	GoldPoint Partners Select Manager III GenPar, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Select Manager Fund III AIV, L.P.	.DE	NIA	GoldPoint Partners Select Manager III GenPar, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Canada III GenPar, Inc	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Select Manager Canada Fund III, L.P.	.CAN	NIA	GoldPoint Parners Canada III GenPar, Inc	Ownership	100.000	New York Life Insurance Company	
		.00000					MCF Mezzanine Carry I LLC	.DE	NIA	MCF Capital Management LLC	Other	0.000	New York Life Insurance Company	2
		.00000					MCF Mezzanine Fund I LLC	.DE	NIA	MCF Capital Management LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					Montpelier Carry Parent, LLC	.DE	NIA	MCF Capital Management LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					Montpelier Carry, LLC	.DE	NIA	Montpelier Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					Montpelier GP, LLC	.DE	NIA	MCF Capital Management LLC	Ownership	100.000	New York Life Insurance Company	
		.00000	90-0938480		0001570694		Montpelier Fund, L.P.	.DE	NIA	Montpelier GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLIM Flatiron CLO 2004-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	7
		.00000					NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A	.CYM	OTH	NYLIM Flatiron CLO 2004-1 Ltd.	Influence	0.000	New York Life Insurance Company	7

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000					NYLIM Flatiron CLO 2006-1 Ltd.	..CYM	...OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...7
		00000					NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A	..CYM	...OTH	NYLIM Flatiron CLO 2006-1 Ltd.	Influence	..0.000	New York Life Insurance Company	...7
		00000					Flatiron CLO 2007-1 Ltd.	..CYM	...OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...7
		00000					NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A	..CYM	...OTH	NYLIM Flatiron CLO 2007-1 Ltd.	Influence	..0.000	New York Life Insurance Company	...7
		00000					Flatiron CLO 2011-1 Ltd.	..CYM	...OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...7
		00000					Flatiron CLO 2012-1 Ltd.	..CYM	...OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...7
		00000					Flatiron CLO 2013-1 Ltd.	..CYM	...NIA	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...7
		00000					Flatiron CLO 2014-1 Ltd.	..CYM	...NIA	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...7
		00000					Flatiron CLO 2014-1 Ltd.	..CYM	...NIA	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...7
		00000					Flatiron CLO 16 Ltd.	..CYM	...NIA	New York Life Insurance Company	Ownership	..100.000	New York Life Insurance Company	...7
		00000					Flatiron CLO 2015-1 Ltd	..CYM	...OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...7
		00000					Stratford CDO 2001-1 Ltd.	..CYM	...OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...7
		00000		4007034			Silverado CLO 2006-II Limited	..CYM	...OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...7
		00000					Silverado CLO 2006-II Equity Holdings LLC, Series A	..CYM	...OTH	Silverado CLO 2006-II Limited	Influence	..0.000	New York Life Insurance Company	...7
		00000					New York Life Funding	..CYM	...OTH	New York Life Insurance Company	Other	..0.000	New York Life Insurance Company	...8
		00000					New York Life Global Funding	..DE	...OTH	New York Life Insurance Company	Other	..0.000	New York Life Insurance Company	...8
		00000					Martingale Road LLC	..DE	...NIA	New York Life Insurance Company	Ownership	..100.000	New York Life Insurance Company	...
		00000					UFI-NOR Federal Receivables Trust, Series 2009B	..NY	...OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...9
		00000					Government Energy Savings Trust 2003-A	..NY	...OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...9
		00000					NYL Equipment Issuance Trust	..DE	...OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...9
		00000	86-0742726		0000931809		NYLARC Holding Company Inc.	..AZ	...OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	...10
		68723	86-0742727				New York Life Agents Reinsurance Company	..AZ	...OTH	NYLARC Holding Company Inc.	Influence	..0.000	New York Life Insurance Company	...10
		00000	54-1886751		0001065114		Private Advisors LLC	..DE	...NIA	New York Life Investment Management Holdings LLC	Ownership	..64.250	New York Life Insurance Company	...
		00000					Private Advisors Alternative Asset Fund LLC	..DE	...NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	...
		00000					Alternative Fund LV, LLC	..DE	...NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	...
		00000					Alternative Fund LV II, LLC	..DE	...NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	...
		00000					PACIF Carry Parent, LLC	..DE	...NIA	Private Advisors LLC	Ownership	..100.000	New York Life Insurance Company	...
		00000					PACIF Carry, LLC	..DE	...NIA	PACIF Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	...
		00000					PACIF GP, LLC	..DE	...NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	...
		00000			0001368975		Private Advisors Coinvestment Fund, LP	..DE	...NIA	PACIF GP, LLC	Ownership	..100.000	New York Life Insurance Company	...
		00000					PACIF II Carry Parent, LLC	..DE	...NIA	Private Advisors LLC	Ownership	..100.000	New York Life Insurance Company	...
		00000					PACIF II Carry, LLC	..DE	...NIA	PACIF II Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	...
		00000					PACIF II GP, LLC	..DE	...NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	...
		00000			0001489910		Private Advisors Coinvestment Fund II, LP	..DE	...NIA	PACIF II GP, LLC	Ownership	..100.000	New York Life Insurance Company	...
		00000					PACIF III Carry Parent, LLC	..DE	...NIA	Private Advisors LLC	Ownership	..100.000	New York Life Insurance Company	...
		00000					PACIF III Carry, LLC	..DE	...NIA	PACIF III Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	...
		00000					PACIF III GP, LLC	..DE	...NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	...
		00000					Private Advisors Coinvestment Fund III, LP	..DE	...NIA	PACIF III GP, LLC	Ownership	..100.000	New York Life Insurance Company	...
		00000	46-1360141		0001562375		PACIF IV GP, LLC	..DE	...NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	...
		00000			0001646588		Private Advisors Coinvestment Fund IV, LP	..DE	...NIA	PACIF IV GP, LLC	Ownership	..100.000	New York Life Insurance Company	...
		00000					PACIF IV Carry Parent, LLC	..DE	...NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	...
		00000					PACIF IV Carry, LLC	..DE	...NIA	PACIF IV Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	...
		00000					Private Advisors Distressed Opportunities Fund, L.P.	..DE	...NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	...

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
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		..00000			0001327240		Private Advisors Income Fund, L.P.	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PAPEF Carry Parent, LLC	..DE	..NIA	Private Advisors LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PAPEF Carry, LLC	..DE	..NIA	PAPEF Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001140140		Private Advisors Small Company Buyout Fund, L.P.	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					Private Advisors Alternative Small Company Buyout Fund, L.P.	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					Small Company Buyout Blocker Corp.	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					Small Company Buyout ECI, LP	..DE	..NIA	Private Advisors Small Company Buyout Fund, L.P.	Ownership	..88.600	New York Life Insurance Company	
		..00000					Small Company Buyout ECI, LP	..DE	..NIA	Small Company Buyout Blocker Corp.	Ownership	..11.400	New York Life Insurance Company	
		..00000					Small Company Buyout Holding, LP	..DE	..NIA	Private Advisors Small Company Buyout Fund, L.P.	Ownership	..88.600	New York Life Insurance Company	
		..00000					Small Company Buyout Holding, LP	..DE	..NIA	Private Advisors Alternative Small Company Buyout Fund, L.P.	Ownership	..11.400	New York Life Insurance Company	
		..00000			0001278583		Private Advisors Small Company Buyout Fund II, L.P.	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCBF III GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001374891		Private Advisors Small Company Buyout Fund III, LP	..DE	..NIA	PASCBF III GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCBF IV Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCBF IV Carry, LLC	..DE	..NIA	PASCBF IV Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCBF IV GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001442524		Private Advisors Small Company Buyout Fund IV, LP	..DE	..NIA	PASCBF IV GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCBF V Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCBF V Carry, LLC	..DE	..NIA	PASBF V Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCBF V GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001537995		Private Advisors Small Company Buyout Fund V, LP	..DE	..NIA	PASCBF V GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001576987		Private Advisors Small Company Buyout Fund V - ERISA Fund, LP	..DE	..NIA	PASCBF V GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCOPEF VI Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCOPEF VI Carry, LLC	..DE	..NIA	PASCOPEF VI Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCOPEF VI GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001595889		Private Advisors Small Company Private Equity Fund VI, LP	..DE	..NIA	PASCOPEF VI GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PA Real Assets Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PA Real Assets Carry, LLC	..DE	..NIA	PA Real Assets Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PA Emerging Manager Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PA Emerging Manager Carry, LLC	..DE	..NIA	PA Emerging Manager Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					RIC I GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					Richmond Coinvestment Partners I, LP	..DE	..NIA	RIC I GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					RIC I Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					RIC I Carry, LLC	..DE	..NIA	RIC I Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000		3328161			Cuyahoga Capital Partners I Management Group, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000		3594658			Cuyahoga Capital Partners II Management Group LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000		3729106			Cuyahoga Capital Partners III Management Group LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
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		.00000					Cuyahoga Capital Partners IV Management Group LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		.00000					PASF V GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		.00000			0001646590		Private Advisors Secondary Fund V, LP	..DE	..NIA	PASF V GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					PASF V Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		.00000					PASF V Carry, LLC	..DE	..NIA	PASF V Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000		3835342			Cuyahoga Capital Emerging Buyout Partners Management Group LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		.00000					UVF GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		.00000			0001605509		Undiscovered Value Fund, LP	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		.00000			0001605502		Undiscovered Value Fund, Ltd.	..CYM	..NIA	Private Advisors L.L.C.	Other	..0.000	New York Life Insurance Company	..11
		.00000					Undiscovered Value Master Fund SPC	..CYM	..NIA	Undiscovered Value Fund, Ltd.	Ownership	..100.000	New York Life Insurance Company	
		.00000	13-3808042		0001033244		New York Life Trust Company	..NY	..NIA	NYLIFE LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					New York Life Structured Settlement Trust	..NY	..NIA	New York Life Trust Company	Influence	..0.000	New York Life Insurance Company	..12
		.00000			0001160266		Private Advisors Stable Value Fund, Ltd.	..CYM	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	

Asterisk	Explanation
1	Investment Advisory Agreement – Institutional Capital LLC is the investment advisor of this collective investment trust, which is offered to third party investors.
2	Contractual Client – MCF Capital Management LLC, an indirect wholly owned affiliate of the Company, has contractual control of this entity's loan portfolio.
3	Control of this entity is pursuant to an investment management contract with New York Life Investors LLC or affiliate, not through ownership of voting interests.
4	Investment Fund – Fund that invests in listed Australian equities, which is managed by Ausbil Investment Management Limited.
5	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding LLC owns 36% of non-voting carry shares.
6	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding III LLC owns 31.36% of non-voting carry shares.
7	Investment Pool – Investment pool of leveraged loans managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
8	Reliance Relationship – Entire proceeds of the entity are invested in a funding agreement of the Company.
9	Energy Contracts and Aircraft Loans Investments – With 100% of the investments coming from the Company and its wholly owned affiliate New York Life Insurance and Annuity Corporation.
10	Reliance Relationship – Owners of this entity are agents of the Company. All business of this entity is conducted through reinsurance agreements with the Company and its insurance affiliates.
11	Control of this entity is pursuant to an investment management contract with Private Advisors LLC or affiliate, not through ownership of voting interests.
12	Reliance Relationship – A collective trust of mainly New York Life Insurance and Annuity Corporation assets (98.3%).

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

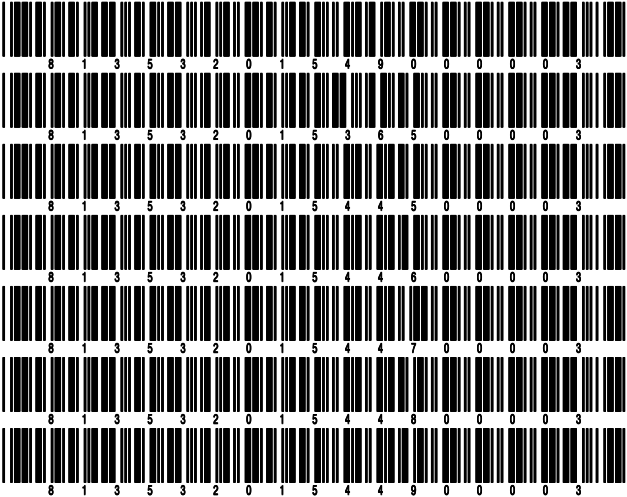
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanation:

1.
2.
3.
4.
5.
6.
7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	2,986,240	3,954,728
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition	26,275,297	45,090,409
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)	39	103
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals	28,950,000	46,059,000
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	311,577	2,986,240
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	311,577	2,986,240

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	152,817,276	133,225,708
2. Cost of bonds and stocks acquired	18,726,575	34,016,107
3. Accrual of discount	102,520	142,727
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	146,534	39,505
6. Deduct consideration for bonds and stocks disposed of	22,099,128	14,352,963
7. Deduct amortization of premium	182,596	245,856
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized	723	7,952
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	149,510,458	152,817,276
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	149,510,458	152,817,276

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	152,327,569	41,564,357	38,785,727	(34,151)	152,447,460	152,327,569	155,072,048	151,141,168
2. NAIC 2 (a)	6,372,427		1,489,027	(397,255)	5,836,024	6,372,427	4,486,145	5,685,385
3. NAIC 3 (a)	1,065,134			399,875	1,062,456	1,065,134	1,465,009	1,059,870
4. NAIC 4 (a)	122,608		2,519		126,185	122,608	120,089	128,518
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	159,887,738	41,564,357	40,277,273	(31,531)	159,472,125	159,887,738	161,143,291	158,014,941
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds and Preferred Stock	159,887,738	41,564,357	40,277,273	(31,531)	159,472,125	159,887,738	161,143,291	158,014,941

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$; NAIC 2 \$; NAIC 3 \$;
NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	11,632,833	xxx	11,632,335		

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,893,679	12,604,160
2. Cost of short-term investments acquired	28,631,499	33,406,154
3. Accrual of discount	984	6,294
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	120	1,806
6. Deduct consideration received on disposals	18,893,449	44,070,629
7. Deduct amortization of premium		54,106
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	11,632,833	1,893,679
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	11,632,833	1,893,679

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards
N O N E

Schedule DB - Part B - Verification - Futures Contracts
N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives
N O N E

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	3,303,988	6,207,785
2. Cost of cash equivalents acquired	35,742,491	28,983,253
3. Accrual of discount	416	674
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	(13)	201
6. Deduct consideration received on disposals	39,046,882	31,887,925
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)		3,303,988
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)		3,303,988

Schedule A - Part 2 - Real Estate Acquired and Additions Made
N O N E

Schedule A - Part 3 - Real Estate Disposed
N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made
N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid
N O N E

SCHEDULE BA - PART 2

[illegible]

SCHEDULE BA - PART 3

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
38376G-HB-6	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION		.09/01/2015	CAPITALIZED INTEREST		2,225	2,225		1
38376G-L2-4	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION		.09/01/2015	CAPITALIZED INTEREST		1,065	1,065		1FE
38376G-T4-2	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION		.09/01/2015	CAPITALIZED INTEREST		1,206	1,206		1
38378B-QX-0	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION		.09/01/2015	CAPITALIZED INTEREST		2,095	2,095		1
38378B-RP-6	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION		.09/01/2015	CAPITALIZED INTEREST		969	969		1
38378B-TH-2	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION		.09/01/2015	CAPITALIZED INTEREST		900	900		1
38378B-UF-4	GNMA 12-67		.09/01/2015	CAPITALIZED INTEREST		1,065	1,065		1
38378B-XU-8	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION		.09/01/2015	CAPITALIZED INTEREST		896	896		1
38378B-YH-6	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION		.09/01/2015	CAPITALIZED INTEREST		972	972		1
38378B-YX-1	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION		.09/01/2015	CAPITALIZED INTEREST		1,640	1,640		1
912828-XM-7	TREASURY NOTE		.07/30/2015	SCOTIA MCLEOD LTD. (SCOTIA CAPITAL)		3,000,234	3,000,000		1
0599999. Subtotal - Bonds - U.S. Governments						3,013,267	3,013,033		XXX
3136A1-KE-4	FANNIE MAE FNMA 11-99		.09/01/2015	CAPITALIZED INTEREST		1,336	1,336		1FE
3137A7-NQ-9	FHLMC 3831		.09/01/2015	CAPITALIZED INTEREST		1,552	1,552		1FE
3137A7-ZQ-6	FREDDIE MAC FHLMC 3825		.09/01/2015	CAPITALIZED INTEREST		2,378	2,378		1FE
3137A8-NE-4	FREDDIE MAC FHLMC 3830		.09/01/2015	CAPITALIZED INTEREST		1,189	1,189		1FE
3137A9-WE-2	FREDDIE MAC FHLMC 3835		.09/01/2015	CAPITALIZED INTEREST		1,545	1,545		1FE
3137AA-3S-0	FREDDIE MAC FHLMC 3838		.09/01/2015	CAPITALIZED INTEREST		2,370	2,370		1FE
3137BB-VY-0	FEDERAL HOME LOAN MORTGAGE CORPO		.09/30/2015	MERRILL LYNCH, PIERCE, FENNER & SMITH INC.		999,492	1,000,000	333	1FE
3137BA-MB-5	FHLMC 4341		.09/01/2015	CAPITALIZED INTEREST		9,632			1FE
31394D-TL-4	FNMA 05-38		.09/01/2015	CAPITALIZED INTEREST		8,338	8,338		1FE
313970-H3-5	FANNIE MAE FNMA 11-18		.09/01/2015	CAPITALIZED INTEREST		1,193	1,193		1FE
31397S-2Q-6	FANNIE MAE FNMA 11-45		.09/01/2015	CAPITALIZED INTEREST		1,185	1,185		1FE
31397S-FL-3	FANNIE MAE FNMA 11-27		.09/01/2015	CAPITALIZED INTEREST		1,552	1,552		1FE
31397S-JA-3	FANNIE MAE FNMA 11-32		.09/01/2015	CAPITALIZED INTEREST		2,733	2,733		1FE
31397S-SM-7	FANNIE MAE FNMA 11-29		.09/01/2015	CAPITALIZED INTEREST		1,367	1,367		1FE
31398P-NC-9	FNMA 10-45		.09/01/2015	CAPITALIZED INTEREST		1,624	1,624		1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						1,037,486	1,037,994	333	XXX
233851-CA-0	DAIMLER FINANCE NORTH AMERICA LLC		.08/06/2015	ROBERT W. BAIRD & CO. INC.		497,620	500,000	300	1FE
412822-AD-0	HARLEY-DAVIDSON INC		.08/06/2015	MARKETAXESS CORP		500,870	500,000	632	1FE
88579Y-AR-2	3M CO		.08/06/2015	MIZUHO SECURITIES USA, INC.		497,180	500,000	167	1FE
904764-AS-6	UNILEVER CAPITAL CORP		.08/06/2015	MARKETAXESS CORP		496,730	500,000	474	1FE
638602-BP-6	NATIONWIDE BUILDING SOCIETY	F	.08/06/2015	HSBC SECURITIES (USA) INC.		504,635	500,000	1,083	1FE
865622-BY-5	SUMITOMO MITSUI BANKING CORPORATIO	F	.08/06/2015	KEYBANC CAPITAL MARKETS(FAMILY MCDONALD)		500,620	500,000	663	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						2,997,655	3,000,000	3,319	XXX
8399997. Total - Bonds - Part 3						7,048,408	7,051,027	3,652	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						7,048,408	7,051,027	3,652	XXX
8999997. Total - Preferred Stocks - Part 3							XXX		XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							XXX		XXX
9799997. Total - Common Stocks - Part 3							XXX		XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks							XXX		XXX
9899999. Total - Preferred and Common Stocks							XXX		XXX
9999999 - Totals						7,048,408	XXX	3,652	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

SCHEDULE D - PART 4

CUSIP Identification	Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Desig- nation or Market In- dicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Other Than Temporary Impairment Recog- nized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value							
36225-AA-1	GSA-IRS FUNDING GROUP, LLC		09/11/2015	SINKING FUND PROCESSING		4,613	4,613	4,613	4,613					4,613				182	09/11/2030	1	
383730-KJ-9	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION		09/01/2015	MBS PAYDOWN		8,044	8,044	9,168	8,129		(85)		(85)	8,044				329	06/16/2043	1	
831641-EW-1	SMALL BUSINESS ADMINISTRATION		09/01/2015	MBS PAYDOWN		4,237	4,237	4,237	4,237					4,237				117	03/10/2022	1	
912828-G9-5	TREASURY NOTE		08/27/2015	WELLS FARGO SECURITIES, LLC		2,017,422	2,000,000	1,987,266	1,987,272		1,629		1,629	1,988,901		28,521	28,521	21,461	12/31/2019	1	
912828-XM-7	TREASURY NOTE		08/06/2015	MERRILL LYNCH, PIERCE, FENNER & SMITH INC.		2,998,711	3,000,000	3,000,234			(1)		(1)	3,000,234		(1,523)	(1,523)	927	07/31/2020	1	
0599999	Subtotal - Bonds - U.S. Governments					5,033,027	5,016,894	5,005,518	2,004,251		1,543		1,543	5,006,029		26,998	26,998	23,016	XXX	XXX	
31381U-7H-4	FANNIE MAE		09/01/2015	MBS PAYDOWN		435	435	451	435					435				10	07/01/2042	1	
31381U-A5-6	FANNIE MAE		09/01/2015	MBS PAYDOWN		821	821	825	821					821				19	03/01/2032	1	
31381U-FQ-5	FANNIE MAE		09/01/2015	MBS PAYDOWN		408	408	412	408					408				9	08/01/2027	1	
31381U-XP-7	FANNIE MAE		09/01/2015	MBS PAYDOWN		716	716	735	717					716				20	06/01/2042	1FE	
3138L0-FJ-5	FANNIE MAE		09/01/2015	MBS PAYDOWN		549	549	562	550					549				13	07/01/2037	1	
3199999	Subtotal - Bonds - U.S. Special Revenues					2,929	2,929	2,985	2,931					2,929				71	XXX	XXX	
039483-AY-8	ARCHER DANIELS		09/04/2015	CORPORATE ACTION CALLS at 110.539		166,914	151,000	150,997	151,000					151,000		15,914	15,914	7,978	03/15/2018	1FE	
059497-AX-5	BANC OF AMERICA COMMERCIAL MOR		09/01/2015	MBS PAYDOWN		24,554	24,554	24,678	24,554					24,554				1,054	01/15/2049	1FM	
071813-BL-2	BAXTER INTERNATIONAL INC		07/21/2015	BOND TENDER		525,085	500,000	487,260	488,348		679		679	489,027		36,058	36,058	9,600	06/15/2023	2FE	
07387B-CL-5	BEAR STEARNS COMMERCIAL MORTGAGE		09/01/2015	MBS PAYDOWN		52,869	52,869	52,869	52,869					52,869				1,722	10/12/2042	1FE	
17309Y-AF-4	CITIGROUP MORTGAGE LOAN TRUST		09/30/2015	VARIOUS		4,552	4,068	3,611	3,611					3,611		942	942	109	10/25/2036	1FM	
201730-AE-1	GREENWICH CAPITAL COMMERCIAL F		09/01/2015	MBS PAYDOWN		15,196	15,196	15,272	15,199		(3)		(3)	15,196				551	03/10/2039	1FM	
22544Q-AD-1	CREDIT SUISSE MORTGAGE CAPITAL		09/01/2015	MBS PAYDOWN		5,328	5,328	5,321	5,325		3		3	5,328				204	06/15/2039	1FM	
22544Q-AE-9	CREDIT SUISSE MORTGAGE CAPITAL		08/01/2015	MBS PAYDOWN		117	117	116	117					117							

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
9799999. Total - Common Stocks							XXX													XXX	XXX
9899999. Total - Preferred and Common Stocks							XXX													XXX	XXX
9999999 - Totals						10,077,158	XXX	9,959,859	6,983,309		8,726		8,726		9,992,267		84,892	84,892	270,071	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
JPMORGAN CHASE BANK, NA NEW YORK, NY					45,585	30,137	39,509	XXX
JPMORGAN CHASE BANK, NA (DISB.) NEW YORK, NY					(527,110)	(878,850)	(310,698)	XXX
JPMORGAN CHASE BANK, NA NEW YORK, NY					64,933	82,787	50,922	XXX
JPMORGAN CHASE BANK, NA NEW YORK, NY					29,839	30,434	30,859	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX			(386,753)	(735,492)	(189,407)	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX						XXX
0399999. Total Cash on Deposit	XXX	XXX			(386,753)	(735,492)	(189,407)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX			(386,753)	(735,492)	(189,407)	XXX