



ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2015

OF THE CONDITION AND AFFAIRS OF THE

NYLIFE Insurance Company of Arizona

NAIC Group Code 0826, 0826 NAIC Company Code 81353 Employer's ID No. 52-1530175  
(Current Period) (Prior Period)

Organized under the Laws of Arizona, State of Domicile or Port of Entry

Country of Domicile United States of America

INCORPORATED/ORGANIZED JULY 23, 1987

COMMENCED BUSINESS DECEMBER 30, 1987

Statutory Home Office 14850 N. Scottsdale Road, Suite 400, Scottsdale, AZ, U.S. 85254.  
Main Administrative Office 51 Madison Avenue, New York, NY, U.S. 10010.  
212-576-7000  
Mail Address 51 Madison Avenue, New York, NY, U.S. 10010.  
Primary Location of Books and Records 51 Madison Avenue, New York, NY, U.S. 10010.  
212-576-7000  
Internet Website Address www.newyorklife.com  
Statutory Statement Contact Person and Phone Number Peter Decandia 212-576-8249  
Statutory Statement Contact E-Mail Address statement\_contact@newyorklife.com  
Statutory Statement Contact Fax Number 212-252-5699

EXECUTIVE OFFICERS

CRAIG LAWRENCE DESANTO  
Chairman of the Board, Chief Executive  
Officer and President

BARBARA JOAN MCINERNEY  
Senior Vice President and Chief  
Compliance Officer

MICHAEL FONG  
Vice President and Actuary

LOUISE MONIQUE LINCK  
Corporate Vice President and Treasurer

THOMAS FRANCIS ENGLISH#  
Senior Vice President,  
Chief Legal Officer, and Secretary

ARTHUR HARUTYUN SETER  
Senior Vice President and Chief  
Investment Officer

PETER DECANDIA#  
Corporate Vice President and Controller

DIRECTORS

CRAIG LAWRENCE DESANTO  
MICHAEL FONG  
ROBERT MICHAEL GARDNER

THOMAS JOHN GIRARD  
CHRISTOPHER THOMAS ASHE

State of New York } SS  
County of New York }

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures Manual except to the extent that: (1) state law may differ; or, (2) state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

THOMAS FRANCIS ENGLISH#  
Senior Vice President,  
Chief Legal Officer, and Secretary  
LOUISE MONIQUE LINCK  
Corporate Vice President and Treasurer  
PETER DECANDIA#  
Corporate Vice President and Controller

STEPHEN COSTANZA  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 01CO6327901  
Qualified in Westchester County  
My Commission Expires July 20, 2019

Subscribed and sworn to before me this

19 day of February 2016

- a. Is this an original filing? Yes [ X ] No [ ]  
b. If no: 1. State the amendment number ...  
2. Date filed ...  
3. Number of pages attached ...

# Officers and Directors who did not occupy the indicated position in the previous annual statement

Stephen Costanza

ASSETS

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D) .....	152,855,583		152,855,583	152,817,276
2. Stocks (Schedule D):				
2.1 Preferred stocks .....				
2.2 Common stocks .....				
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens .....				
3.2 Other than first liens.....				
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$ ..... encumbrances) .....				
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....				
4.3 Properties held for sale (less \$ ..... encumbrances) .....				
5. Cash (\$ .....(1,522,313) , Schedule E - Part 1), cash equivalents (\$ .....6,459,892 , Schedule E - Part 2) and short-term investments (\$ .....8,228,569 , Schedule DA) .....	13,166,148		13,166,148	4,364,856
6. Contract loans (including \$ ..... premium notes) .....				
7. Derivatives (Schedule DB) .....				
8. Other invested assets (Schedule BA) .....	1,074		1,074	2,986,240
9. Receivables for securities .....				
10. Securities lending reinvested collateral assets (Schedule DL) .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	166,022,805		166,022,805	160,168,372
13. Title plants less \$ ..... charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	1,115,310		1,115,310	1,181,954
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	(193,933)		(193,933)	399,290
15.2 Deferred premiums and agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	27,132,215	420,490	26,711,725	30,604,712
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	690,000		690,000	1,026,750
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....	91,459		91,459	98,676
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon ....				
18.2 Net deferred tax asset .....	6,882,816	943,453	5,939,363	6,224,023
19. Guaranty funds receivable or on deposit .....	43,205		43,205	65,086
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	47,209		47,209	11,799
24. Health care (\$ ..... ) and other amounts receivable .....				
25. Aggregate write-ins for other than invested assets .....	690,048	690,048		
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	202,521,134	2,053,991	200,467,143	199,780,662
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. Total (Lines 26 and 27) .....	202,521,134	2,053,991	200,467,143	199,780,662
DETAILS OF WRITE-INS				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)				
2501. Miscellaneous .....	690,048	690,048		
2502. ....				
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	690,048	690,048		

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Year	2 Prior Year
1. Aggregate reserve for life contracts \$ .....89,607,709 (Exh. 5, Line 9999999) less \$ ..... included in Line 6.3 (including \$ ..... Modco Reserve) .....	89,607,709	105,143,086
2. Aggregate reserve for accident and health contracts (including \$ ..... Modco Reserve) .....		
3. Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$ ..... Modco Reserve) .....	2,944,043	3,816,561
4. Contract claims:		
4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11) .....	2,212,057	1,677,190
4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11) .....		
5. Policyholders' dividends \$ ..... and coupons \$ ..... due and unpaid (Exhibit 4, Line 10) .....		
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$ ..... Modco) .....		
6.2 Dividends not yet apportioned (including \$ ..... Modco) .....		
6.3 Coupons and similar benefits (including \$ ..... Modco) .....		
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ ..... discount; including \$ ..... accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of lines 4 and 14) .....	129,035	167,233
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....		
9.2 Provision for experience rating refunds, including the liability of \$ ..... accident and health experience rating refunds of which \$ ..... is for medical loss ratio rebate per the Public Health Service Act .....		
9.3 Other amounts payable on reinsurance, including \$ ..... assumed and \$ ..... ceded .....		
9.4 Interest maintenance reserve (IMR, Line 6) .....	51,680	59,587
10. Commissions to agents due or accrued-life and annuity contracts \$ ..... accident and health \$ ..... and deposit-type contract funds \$ ..... .....		
11. Commissions and expense allowances payable on reinsurance assumed .....		
12. General expenses due or accrued (Exhibit 2, Line 12, Col. 6) .....	116,618	130,452
13. Transfers to Separate Accounts due or accrued (net) (including \$ ..... accrued for expense allowances recognized in reserves, net of reinsured allowances) .....		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 5) .....		
15.1 Current federal and foreign income taxes, including \$ .....53,860 on realized capital gains (losses) .....	4,724,471	5,710,234
15.2 Net deferred tax liability .....		
16. Unearned investment income .....		
17. Amounts withheld or retained by company as agent or trustee .....	1,998	1,998
18. Amounts held for agents' account, including \$ ..... agents' credit balances .....		
19. Remittances and items not allocated .....	164,060	75,926
20. Net adjustment in assets and liabilities due to foreign exchange rates .....		
21. Liability for benefits for employees and agents if not included above .....		
22. Borrowed money \$ ..... and interest thereon \$ ..... .....		
23. Dividends to stockholders declared and unpaid .....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve (AVR, Line 16, Col. 7) .....	483,376	428,727
24.02 Reinsurance in unauthorized and certified (\$ ..... ) companies .....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ..... ) reinsurers .....		
24.04 Payable to parent, subsidiaries and affiliates .....	3,655,942	2,717,521
24.05 Drafts outstanding .....		
24.06 Liability for amounts held under uninsured plans .....		
24.07 Funds held under coinsurance .....		
24.08 Derivatives .....		
24.09 Payable for securities .....	5,126,944	
24.10 Payable for securities lending .....		
24.11 Capital notes \$ ..... and interest thereon \$ ..... .....		
25. Aggregate write-ins for liabilities .....	72,823	204,644
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) .....	109,290,756	120,133,159
27. From Separate Accounts Statement .....		
28. Total liabilities (Lines 26 and 27) .....	109,290,756	120,133,159
29. Common capital stock .....	2,500,000	2,500,000
30. Preferred capital stock .....		
31. Aggregate write-ins for other than special surplus funds .....		
32. Surplus notes .....		
33. Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1) .....	98,500,000	98,500,000
34. Aggregate write-ins for special surplus funds .....		
35. Unassigned funds (surplus) .....	(9,823,613)	(21,352,497)
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 29 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 30 \$ ..... ) .....		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ ..... in Separate Accounts Statement) .....	88,676,387	77,147,503
38. Totals of Lines 29, 30 and 37 (Page 4, Line 55) .....	91,176,387	79,647,503
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	200,467,143	199,780,662
<b>DETAILS OF WRITE-INS</b>		
2501. Liability for interest on claims .....	72,823	204,644
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....		
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above) .....	72,823	204,644
3101. ....		
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....		
3199. Totals (Lines 3101 thru 3103 plus 3198)(Line 31 above) .....		
3401. ....		
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....		
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above) .....		

SUMMARY OF OPERATIONS

	1 Current Year	2 Prior Year
1. Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11) .....	23,306,067	32,988,534
2. Considerations for supplementary contracts with life contingencies .....		
3. Net investment income (Exhibit of Net Investment Income, Line 17) .....	5,323,174	5,228,655
4. Amortization of Interest Maintenance Reserve (IMR, Line 5) .....	6,042	41,838
5. Separate Accounts net gain from operations excluding unrealized gains or losses .....		
6. Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1) .....	1,050,948	1,109,981
7. Reserve adjustments on reinsurance ceded .....		
8. Miscellaneous Income:		
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts .....		
8.2 Charges and fees for deposit-type contracts .....		
8.3 Aggregate write-ins for miscellaneous income .....	200	200
9. Total (Lines 1 to 8.3) .....	29,686,431	39,369,208
10. Death benefits .....	15,507,677	16,725,707
11. Matured endowments (excluding guaranteed annual pure endowments) .....		
12. Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8) .....		
13. Disability benefits and benefits under accident and health contracts .....	567,394	508,419
14. Coupons, guaranteed annual pure endowments and similar benefits .....		
15. Surrender benefits and withdrawals for life contracts .....		
16. Group conversions .....	4,593,063	5,143,347
17. Interest and adjustments on contract or deposit-type contract funds .....	(46,383)	(32,882)
18. Payments on supplementary contracts with life contingencies .....		
19. Increase in aggregate reserves for life and accident and health contracts .....	(14,883,796)	(5,202,349)
20. Totals (Lines 10 to 19) .....	5,737,955	17,142,242
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1) .....	245,329	381,845
22. Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1) .....		
23. General insurance expenses (Exhibit 2, Line 10, Cols. 1, 2, 3 and 4) .....	3,079,038	2,435,838
24. Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3) .....	1,244,152	1,513,209
25. Increase in loading on deferred and uncollected premiums .....	2,533,577	3,416,560
26. Net transfers to or (from) Separate Accounts net of reinsurance .....		
27. Aggregate write-ins for deductions .....		119
28. Totals (Lines 20 to 27) .....	12,840,051	24,889,813
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28) .....	16,846,380	14,479,395
30. Dividends to policyholders .....		
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30) .....	16,846,380	14,479,395
32. Federal and foreign income taxes incurred (excluding tax on capital gains) .....	4,823,292	3,434,968
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) .....	12,023,088	11,044,427
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ .....1,268 (excluding taxes of \$ .....52,592 transferred to the IMR) .....	9,862	(6,227)
35. Net income (Line 33 plus Line 34) .....	12,032,950	11,038,200
CAPITAL AND SURPLUS ACCOUNT		
36. Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2) .....	79,647,503	68,191,720
37. Net income (Line 35) .....	12,032,950	11,038,200
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....2,589 .....	(10,279)	67
39. Change in net unrealized foreign exchange capital gain (loss) .....		
40. Change in net deferred income tax .....	(1,672,325)	(2,089,289)
41. Change in nonadmitted assets .....	710,123	1,864,482
42. Change in liability for reinsurance in unauthorized and certified companies .....		
43. Change in reserve on account of change in valuation basis, (increase) or decrease .....		1,600,000
44. Change in asset valuation reserve .....	(54,649)	(55,321)
45. Change in treasury stock (Page 3, Lines 36.1 and 36.2, Col. 2 minus Col. 1) .....		
46. Surplus (contributed to) withdrawn from Separate Accounts during period .....		
47. Other changes in surplus in Separate Accounts Statement .....		
48. Change in surplus notes .....		
49. Cumulative effect of changes in accounting principles .....	99,537	
50. Capital changes:		
50.1 Paid in .....		
50.2 Transferred from surplus (Stock Dividend) .....		
50.3 Transferred to surplus .....		
51. Surplus adjustment:		
51.1 Paid in .....		
51.2 Transferred to capital (Stock Dividend) .....		
51.3 Transferred from capital .....		
51.4 Change in surplus as a result of reinsurance .....		
52. Dividends to stockholders .....		
53. Aggregate write-ins for gains and losses in surplus .....	423,528	(902,356)
54. Net change in capital and surplus for the year (Lines 37 through 53) .....	11,528,885	11,455,783
55. Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38) .....	91,176,387	79,647,503
DETAILS OF WRITE-INS		
08.301. Sundries .....	200	200
08.302. ....		
08.303. ....		
08.398. Summary of remaining write-ins for Line 8.3 from overflow page .....		
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398)(Line 8.3 above) .....	200	200
2701. Fines, Penalties and Fees from regulatory authorities .....		119
2702. ....		
2703. ....		
2798. Summary of remaining write-ins for Line 27 from overflow page .....		
2799. Totals (Lines 2701 thru 2703 plus 2798)(Line 27 above) .....		119
5301. Prior period correction .....	423,528	(902,356)
5302. ....		
5303. ....		
5398. Summary of remaining write-ins for Line 53 from overflow page .....		
5399. Totals (Lines 5301 thru 5303 plus 5398)(Line 53 above) .....	423,528	(902,356)



ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

CASH FLOW

	1	2
	Current Year	Prior Year
Cash from Operations		
1. Premiums collected net of reinsurance .....	25,120,842	31,069,570
2. Net investment income .....	5,562,445	5,431,677
3. Miscellaneous income .....	1,057,606	1,106,624
4. Total (Lines 1 through 3) .....	31,740,893	37,607,871
5. Benefit and loss related payments .....	19,848,047	22,778,361
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....		
7. Commissions, expenses paid and aggregate write-ins for deductions .....	5,022,355	4,598,488
8. Dividends paid to policyholders .....		
9. Federal and foreign income taxes paid (recovered) net of \$ .....51,071 tax on capital gains (losses) .....	6,090,968	1,853,298
10. Total (Lines 5 through 9) .....	30,961,370	29,230,147
11. Net cash from operations (Line 4 minus Line 10) .....	779,523	8,377,724
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds .....	30,999,041	14,352,959
12.2 Stocks .....		
12.3 Mortgage loans .....		
12.4 Real estate .....		
12.5 Other invested assets .....	36,706,922	46,059,000
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	264	2,008
12.7 Miscellaneous proceeds .....		
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	67,706,227	60,413,967
13. Cost of investments acquired (long-term only):		
13.1 Bonds .....	30,796,953	33,837,552
13.2 Stocks .....		
13.3 Mortgage loans .....		
13.4 Real estate .....		
13.5 Other invested assets .....	33,721,837	45,090,409
13.6 Miscellaneous applications .....	(5,126,944)	
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	59,391,846	78,927,961
14. Net increase (decrease) in contract loans and premium notes .....		
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14) .....	8,314,381	(18,513,994)
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes .....		
16.2 Capital and paid in surplus, less treasury stock .....		
16.3 Borrowed funds .....		
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(875,522)	(1,296,961)
16.5 Dividends to stockholders .....		
16.6 Other cash provided (applied) .....	582,910	(1,262,387)
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6) .....	(292,612)	(2,559,348)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	8,801,292	(12,695,618)
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year .....	4,364,856	17,060,474
19.2 End of year (Line 18 plus Line 19.1) .....	13,166,148	4,364,856

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Capitalized Interest .....	203,297	178,551
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ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS

	1	2	Ordinary			6	Group		Accident and Health			12
			3	4	5		7	8	9	10	11	
	Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance (a)	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business
1. Premiums and annuity considerations for life and accident and health contracts .....	23,306,067		23,306,067									
2. Considerations for supplementary contracts with life contingencies .....												
3. Net investment income .....	5,323,174		5,323,174									
4. Amortization of Interest Maintenance Reserve (IMR) .....	6,042		6,042									
5. Separate Accounts net gain from operations excluding unrealized gains or losses .....												
6. Commissions and expense allowances on reinsurance ceded .....	1,050,948		1,050,948									
7. Reserve adjustments on reinsurance ceded .....												
8. Miscellaneous Income:												
8.1 Fees associated with income from investment management, administration and contract guarantees from Separate Accounts .....												
8.2 Charges and fees for deposit-type contracts .....												
8.3 Aggregate write-ins for miscellaneous income .....	200		200									
9. Totals (Lines 1 to 8.3) .....	29,686,431		29,686,431									
10. Death benefits .....	15,507,677		15,507,677									
11. Matured endowments (excluding guaranteed annual pure endowments) .....												
12. Annuity benefits .....												
13. Disability benefits and benefits under accident and health contracts .....	567,394		567,394									
14. Coupons, guaranteed annual pure endowments and similar benefits .....												
15. Surrender benefits and withdrawals for life contracts .....												
16. Group conversions .....	4,593,063		4,593,063									
17. Interest and adjustments on contract or deposit-type contract funds .....	(46,383)		(46,383)									
18. Payments on supplementary contracts with life contingencies .....												
19. Increase in aggregate reserves for life and accident and health contracts .....	(14,883,796)		(14,883,796)									
20. Totals (Lines 10 to 19) .....	5,737,955		5,737,955									
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only) .....	245,329		245,329									
22. Commissions and expense allowances on reinsurance assumed .....												
23. General insurance expenses .....	3,079,038		3,078,576		462							
24. Insurance taxes, licenses and fees, excluding federal income taxes .....	1,244,152		1,244,152									
25. Increase in loading on deferred and uncollected premiums .....	2,533,577		2,533,577									
26. Net transfers to or (from) Separate Accounts net of reinsurance .....												
27. Aggregate write-ins for deductions .....												
28. Totals (Lines 20 to 27) .....	12,840,051		12,839,589		462							
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28) .....	16,846,380		16,846,842		(462)							
30. Dividends to policyholders .....												
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30) .....	16,846,380		16,846,842		(462)							
32. Federal income taxes incurred (excluding tax on capital gains) .....	4,823,292		4,823,292									
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) .....	12,023,088		12,023,550		(462)							
DETAILS OF WRITE-INS												
08.301. Sundries .....	200		200									
08.302. ....												
08.303. ....												
08.398. Summary of remaining write-ins for Line 8.3 from overflow page .....												
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above) .....	200		200									
2701. ....												
2702. ....												
2703. ....												
2798. Summary of remaining write-ins for Line 27 from overflow page .....												
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above) .....												

(a) Includes the following amounts for FEGLI/SGLI: Line 1 , Line 10 , Line 16 , Line 23 , Line 24

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR**

	1	2	Ordinary			6	Group	
			3	4	5		7	8
	Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance	Annuities
Involving Life or Disability Contingencies (Reserves)								
(Net of Reinsurance Ceded)								
1. Reserve December 31, prior year .....	105,143,086		105,143,086					
2. Tabular net premiums or considerations .....	34,451,759		34,451,759					
3. Present value of disability claims incurred .....	715,746		715,746		XXX			
4. Tabular interest .....	3,996,329		3,996,329					
5. Tabular less actual reserve released .....	(994,395)		(994,395)					
6. Increase in reserve on account of change in valuation basis .....								
7. Other increases (net) .....	8,257,091		8,257,091					
8. Totals (Lines 1 to 7) .....	151,569,616		151,569,616					
9. Tabular cost .....	58,540,310		58,540,310		XXX			
10. Reserves released by death .....	107,596		107,596	XXX	XXX			XXX
11. Reserves released by other terminations (net) .....	2,890,069		2,890,069					
12. Annuity, supplementary contract and disability payments involving life contingencies .....	423,932		423,932					
13. Net transfers to or (from) Separate Accounts .....								
14. Total Deductions (Lines 9 to 13) .....	61,961,907		61,961,907					
15. Reserve December 31, current year	89,607,709		89,607,709					

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT OF NET INVESTMENT INCOME

		1	2
		Collected During Year	Earned During Year
1.	U.S. Government bonds .....	(a) .....471,654	.....470,916
1.1	Bonds exempt from U.S. tax .....	(a) .....	
1.2	Other bonds (unaffiliated) .....	(a) .....5,089,812	.....5,023,906
1.3	Bonds of affiliates .....	(a) .....	
2.1	Preferred stocks (unaffiliated) .....	(b) .....	
2.11	Preferred stocks of affiliates .....	(b) .....	
2.2	Common stocks (unaffiliated) .....		
2.21	Common stocks of affiliates .....		
3.	Mortgage loans .....	(c) .....	
4.	Real estate .....	(d) .....	
5	Contract loans .....		
6	Cash, cash equivalents and short-term investments .....	(e) .....2,438	.....2,438
7	Derivative instruments .....	(f) .....	
8.	Other invested assets .....	.....3,837	.....3,837
9.	Aggregate write-ins for investment income .....	.....(185)	.....(185)
10.	Total gross investment income .....	5,567,556	5,500,912
11.	Investment expenses .....		(g) .....181,849
12.	Investment taxes, licenses and fees, excluding federal income taxes .....		(g) .....(4,110)
13.	Interest expense .....		(h) .....
14.	Depreciation on real estate and other invested assets .....		(i) .....
15.	Aggregate write-ins for deductions from investment income .....		
16.	Total deductions (Lines 11 through 15) .....		.....177,739
17.	Net investment income (Line 10 minus Line 16)		5,323,173
DETAILS OF WRITE-INS			
0901.	Miscellaneous Sources .....	.....(185)	.....(185)
0902.	.....		
0903.	.....		
0998.	Summary of remaining write-ins for Line 9 from overflow page .....		
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	.....(185)	.....(185)
1501.	.....		
1502.	.....		
1503.	.....		
1598.	Summary of remaining write-ins for Line 15 from overflow page .....		
1599.	Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		

- (a) Includes \$ .....128,146 accrual of discount less \$ .....244,568 amortization of premium and less \$ .....40,596 paid for accrued interest on purchases.
- (b) Includes \$ ..... accrual of discount less \$ ..... amortization of premium and less \$ ..... paid for accrued dividends on purchases.
- (c) Includes \$ ..... accrual of discount less \$ ..... amortization of premium and less \$ ..... paid for accrued interest on purchases.
- (d) Includes \$ ..... for company's occupancy of its own buildings; and excludes \$ ..... interest on encumbrances.
- (e) Includes \$ .....2,424 accrual of discount less \$ ..... amortization of premium and less \$ ..... paid for accrued interest on purchases.
- (f) Includes \$ ..... accrual of discount less \$ ..... amortization of premium.
- (g) Includes \$ ..... investment expenses and \$ ..... investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ ..... interest on surplus notes and \$ ..... interest on capital notes.
- (i) Includes \$ ..... depreciation on real estate and \$ ..... depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

		1	2	3	4	5
		Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1.	U.S. Government bonds .....	.....67,730		.....67,730		
1.1	Bonds exempt from U.S. tax .....					
1.2	Other bonds (unaffiliated) .....	.....87,756	.....(1,966)	.....85,790		
1.3	Bonds of affiliates .....					
2.1	Preferred stocks (unaffiliated) .....					
2.11	Preferred stocks of affiliates .....					
2.2	Common stocks (unaffiliated) .....					
2.21	Common stocks of affiliates .....					
3.	Mortgage loans .....					
4.	Real estate .....					
5.	Contract loans .....					
6.	Cash, cash equivalents and short-term investments .....	.....264		.....264		
7.	Derivative instruments .....					
8.	Other invested assets .....	.....7,609		.....7,609	.....(7,690)	
9.	Aggregate write-ins for capital gains (losses) .....					
10.	Total capital gains (losses) .....	163,359	(1,966)	161,393	(7,690)	
DETAILS OF WRITE-INS						
0901.	.....					
0902.	.....					
0903.	.....					
0998.	Summary of remaining write-ins for Line 9 from overflow page .....					
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)					

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**EXHIBIT - 1 PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS**

	1	2	Ordinary		5	Group		Accident and Health			11
			3	4		6	7	8	9	10	
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business
<b>FIRST YEAR (other than single)</b>											
1. Uncollected .....											
2. Deferred and accrued .....											
3. Deferred , accrued and uncollected:											
3.1 Direct .....											
3.2 Reinsurance assumed .....											
3.3 Reinsurance ceded .....											
3.4 Net (Line 1 + Line 2) .....											
4. Advance .....											
5. Line 3.4 - Line 4 .....											
6. Collected during year:											
6.1 Direct .....	(1,583)		(1,583)								
6.2 Reinsurance assumed .....	6		6								
6.3 Reinsurance ceded .....	251		251								
6.4 Net .....	(1,828)		(1,828)								
7. Line 5 + Line 6.4 .....	(1,828)		(1,828)								
8. Prior year (uncollected + deferred and accrued - advance) ..	(22)		(22)								
9. First year premiums and considerations:											
9.1 Direct .....	(1,583)		(1,583)								
9.2 Reinsurance assumed .....	6		6								
9.3 Reinsurance ceded .....	229		229								
9.4 Net (Line 7 - Line 8) .....	(1,806)		(1,806)								
<b>SINGLE</b>											
10. Single premiums and considerations:											
10.1 Direct .....											
10.2 Reinsurance assumed .....											
10.3 Reinsurance ceded .....											
10.4 Net .....											
<b>RENEWAL</b>											
11. Uncollected .....	887,863		887,863								
12. Deferred and accrued .....	17,708,416		17,708,416								
13. Deferred, accrued and uncollected:											
13.1 Direct .....	20,384,676		20,384,676								
13.2 Reinsurance assumed .....											
13.3 Reinsurance ceded .....	1,788,397		1,788,397								
13.4 Net (Line 11 + Line 12) .....	18,596,279		18,596,279								
14. Advance .....	129,035		129,035								
15. Line 13.4 - Line 14 .....	18,467,244		18,467,244								
16. Collected during year:											
16.1 Direct .....	44,648,053		44,648,053								
16.2 Reinsurance assumed .....	226,984		226,984								
16.3 Reinsurance ceded .....	19,752,368		19,752,368								
16.4 Net .....	25,122,669		25,122,669								
17. Line 15 + Line 16.4 .....	43,589,913		43,589,913								
18. Prior year (uncollected + deferred and accrued - advance) ..	20,282,041		20,282,041								
19. Renewal premiums and considerations:											
19.1 Direct .....	42,927,485		42,927,485								
19.2 Reinsurance assumed .....	223,390		223,390								
19.3 Reinsurance ceded .....	19,843,002		19,843,002								
19.4 Net (Line 17 - Line 18) .....	23,307,873		23,307,873								
<b>TOTAL</b>											
20. Total premiums and annuity considerations:											
20.1 Direct .....	42,925,902		42,925,902								
20.2 Reinsurance assumed .....	223,396		223,396								
20.3 Reinsurance ceded .....	19,843,231		19,843,231								
20.4 Net (Lines 9.4 + 10.4 + 19.4) .....	23,306,067		23,306,067								

EXHIBIT - 1 PART 2 - DIVIDENDS AND COUPONS APPLIED, REINSURANCE COMMISSIONS  
AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)

	1	2	Ordinary		5	Group		Accident and Health			11
			3	4		6	7	8	9	10	
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business
<b>DIVIDENDS AND COUPONS APPLIED</b> <b>(included in Part 1)</b>											
21. To pay renewal premiums .....											
22. All other .....											
<b>REINSURANCE COMMISSIONS AND</b> <b>EXPENSE ALLOWANCES INCURRED</b>											
23. First year (other than single):											
23.1 Reinsurance ceded .....											
23.2 Reinsurance assumed .....											
23.3 Net ceded less assumed .....											
24. Single:											
24.1 Reinsurance ceded .....											
24.2 Reinsurance assumed .....											
24.3 Net ceded less assumed .....											
25. Renewal:											
25.1 Reinsurance ceded .....	1,050,948		1,050,948								
25.2 Reinsurance assumed .....											
25.3 Net ceded less assumed .....	1,050,948		1,050,948								
26. Totals:											
26.1 Reinsurance ceded (Page 6, Line 6) .....	1,050,948		1,050,948								
26.2 Reinsurance assumed (Page 6, Line 22) .....											
26.3 Net ceded less assumed .....	1,050,948		1,050,948								
<b>COMMISSIONS INCURRED</b> <b>(direct business only)</b>											
27. First year (other than single) .....											
28. Single .....											
29. Renewal .....	245,329		245,329								
30. Deposit-type contract funds .....											
31. Totals (to agree with Page 6, Line 21)	245,329		245,329								

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT 2 - GENERAL EXPENSES

	Insurance				5	6
	1	Accident and Health		4		
		2	3			
	Life	Cost Containment	All Other	All Other Lines of Business	Investment	Total
1. Rent .....	167,456				9,510	176,966
2. Salaries and wages .....	1,392,432				152,836	1,545,268
3.11 Contributions for benefit plans for employees .....	416,583					416,583
3.12 Contributions for benefit plans for agents .....	75,846					75,846
3.21 Payments to employees under non-funded benefit plans .....	58,230					58,230
3.22 Payments to agents under non-funded benefit plans .....	4,382					4,382
3.31 Other employee welfare .....	38,176					38,176
3.32 Other agent welfare .....	6					6
4.1 Legal fees and expenses .....	18,869					18,869
4.2 Medical examination fees .....	344					344
4.3 Inspection report fees .....	136					136
4.4 Fees of public accountants and consulting actuaries .....	105,200					105,200
4.5 Expense of investigation and settlement of policy claims .....	(13,664)					(13,664)
5.1 Traveling expenses .....	47,946				6,543	54,489
5.2 Advertising .....	163,686					163,686
5.3 Postage, express, telegraph and telephone .....	83,069					83,069
5.4 Printing and stationery .....	12,425					12,425
5.5 Cost or depreciation of furniture and equipment .....	19,980					19,980
5.6 Rental of equipment .....	28,834					28,834
5.7 Cost or depreciation of EDP equipment and software .....	279,864				4,898	284,762
6.1 Books and periodicals .....	339					339
6.2 Bureau and association fees .....	10,581					10,581
6.3 Insurance, except on real estate .....	14,131					14,131
6.4 Miscellaneous losses .....	5,923					5,923
6.5 Collection and bank service charges .....	7,996					7,996
6.6 Sundry general expenses .....	73,531				8,062	81,593
6.7 Group service and administration fees .....	98					98
6.8 Reimbursements by uninsured plans .....						
7.1 Agency expense allowance .....	4,935					4,935
7.2 Agents' balances charged off (less \$ recovered) .....	(17,532)					(17,532)
7.3 Agency conferences other than local meetings .....	78,006					78,006
9.1 Real estate expenses .....	1,230					1,230
9.2 Investment expenses not included elsewhere .....						
9.3 Aggregate write-ins for expenses .....						
10. General expenses incurred .....	3,079,038				181,849	(a) 3,260,887
11. General expenses unpaid December 31, prior year .....	130,452					130,452
12. General expenses unpaid December 31, current year .....	116,618					116,618
13. Amounts receivable relating to uninsured plans, prior year .....						
14. Amounts receivable relating to uninsured plans, current year .....						
15. General expenses paid during year (Lines 10+11-12-13+14) .....	3,092,872				181,849	3,274,721
DETAILS OF WRITE-INS						
09.301. ....						
09.302. ....						
09.303. ....						
09.398. Summary of remaining write-ins for Line 9.3 from overflow page .....						
09.399. Totals (Lines 09.301 thru 09.303 plus 09.398) (Line 9.3 above) .....						

(a) Includes management fees of \$ 161,818 to affiliates and \$ 169,510 to non-affiliates.

EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOME TAXES)

		Insurance			4	5
		1	2	3		
		Life	Accident and Health	All Other Lines of Business	Investment	Total
1.	Real estate taxes .....	398				398
2.	State insurance department licenses and fees .....	182,422				182,422
3.	State taxes on premiums .....	853,402				853,402
4.	Other state taxes, including \$ .....					
	for employee benefits .....	20,010				20,010
5.	U.S. Social Security taxes .....	128,205			(4,110)	124,095
6.	All other taxes .....	59,715				59,715
7.	Taxes, licenses and fees incurred .....	1,244,152			(4,110)	1,240,042
8.	Taxes, licenses and fees unpaid December 31, prior year .....					
9.	Taxes, licenses and fees unpaid December 31, current year.....					
10.	Taxes, licenses and fees paid during year (Lines 7 + 8 - 9) .....	1,244,152			(4,110)	1,240,042

EXHIBIT 4 - DIVIDENDS OR REFUNDS

	1	2
	Life	Accident and Health
1. Applied to pay renewal premiums .....		
2. Applied to shorten the endowment or premium-paying period .....		
3. Applied to provide paid-up additions .....		
4. Applied to provide paid-up annuities .....		
5. Total Lines 1 through 4 .....		
6. Paid in cash .....		
7. Left on deposit .....		
8. Aggregate write-ins for dividend or refund options .....		
9. Total Lines 5 through 8 .....		
10. Amount due and unpaid .....		
11. Provision for dividends or refunds payable in the following calendar year .....		
12. Terminal dividends .....		
13. Provision for deferred dividend contracts .....		
14. Amount provisionally held for deferred dividend contracts not included on Line 13 .....		
15. Total Lines 10 through 14 .....		
16. Total from prior year .....		
17. Total dividends or refunds (Lines 9 + 15 - 16) .....		
DETAILS OF WRITE-INS		
0801. ....		
0802. ....		
0803. ....		
0898. Summary of remaining write-ins for Line 8 from overflow page .....		
0899. Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above) .....		



## EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

EXHIBIT 5 - INTERROGATORIES

1.1

Has the reporting entity ever issued both participating and non-participating contracts?.....

Yes [ ] No [ X ]

1.2

If not, state which kind is issued.  
Non-participating .....

2.1

Does the reporting entity at present issue both participating and non-participating contracts?.....

Yes [ ] No [ X ]

2.2

If not, state which kind is issued.  
The Company no longer issues insurance policies. ....

3.

Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements?.....  
If so, attach a statement that contains the determination procedures, answers to the interrogatories and an actuarial opinion as described in the instructions.

Yes [ X ] No [ ]

4.

Has the reporting entity any assessment or stipulated premium contracts in force?  
If so, state:  
4.1 Amount of insurance? .....\$  
4.2 Amount of reserve? .....\$  
4.3 Basis of reserve: .....  
4.4 Basis of regular assessments: .....  
4.5 Basis of special assessments: .....  
4.6 Assessments collected during the year .....\$

Yes [ ] No [ X ]

5.

If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts.  
.....

6.

Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis? .....  
6.1 If so, state the amount of reserve on such contracts on the basis actually held: .....\$  
6.2 That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently approved by the state of domicile for valuing individual annuity benefits: .....\$  
Attach statement of methods employed in their valuation.

Yes [ ] No [ X ]

7.

Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year? .....  
7.1 If yes, state the total dollar amount of assets covered by these contracts or agreements .....\$  
7.2 Specify the basis (fair value, amortized cost, etc.) for determining the amount: .....  
7.3 State the amount of reserves established for this business: .....\$  
7.4 Identify where the reserves are reported in the blank: .....

Yes [ ] No [ X ]

8.

Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year? .....  
8.1 If yes, state the total dollar amount of account value covered by these contracts or agreements: .....\$  
8.2 State the amount of reserves established for this business: .....\$  
8.3 Identify where the reserves are reported in the blank: .....

Yes [ ] No [ X ]

9.

Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year? .....  
9.1 If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders: .....\$  
9.2 State the amount of reserves established for this business: .....\$  
9.3 Identify where the reserves are reported in the blank: .....  
.....

Yes [ ] No [ X ]

EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR

1	Valuation Basis		4
	2	3	
Description of Valuation Class	Changed From	Changed To	Increase in Actuarial Reserve Due to Change
9999999 - Total (Column 4, only)			

Exhibit 6 - Aggregate Reserves for Accident and Health Contracts

**N O N E**

EXHIBIT 7 - DEPOSIT TYPE CONTRACTS

	1	2	3	4	5	6
	Total	Guaranteed Interest Contracts	Annuities Certain	Supplemental Contracts	Dividend Accumulations or Refunds	Premium and Other Deposit Funds
1. Balance at the beginning of the year before reinsurance .....	3,816,561			3,816,561		
2. Deposits received during the year .....						
3. Investment earnings credited to the account .....	3,004			3,004		
4. Other net change in reserves .....						
5. Fees and other charges assessed .....						
6. Surrender charges .....						
7. Net surrender or withdrawal payments .....	875,522			875,522		
8. Other net transfers to or (from) Separate Accounts .....						
9. Balance at the end of current year before reinsurance (Lines 1+2+3+4-5-6-7-8) .....	2,944,043			2,944,043		
10. Reinsurance balance at the beginning of the year .....						
11. Net change in reinsurance assumed .....						
12. Net change in reinsurance ceded .....						
13. Reinsurance balance at the end of the year (Lines 10+11-12) .....						
14. Net balance at the end of current year after reinsurance (Lines 9 + 13)	2,944,043			2,944,043		

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS**

PART 1 - Liability End of Current Year											
	1	2	Ordinary			6	Group		Accident and Health		
			3	4	5		7	8	9	10	11
	Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other
1. Due and unpaid:											
1.1 Direct .....											
1.2 Reinsurance assumed .....											
1.3 Reinsurance ceded .....											
1.4 Net .....											
2. In course of settlement:											
2.1 Resisted .....											
2.11 Direct .....											
2.12 Reinsurance assumed .....											
2.13 Reinsurance ceded .....											
2.14 Net .....			(b)	(b)		(b)	(b)				
2.2 Other .....											
2.21 Direct .....	4,564,730		4,564,730								
2.22 Reinsurance assumed .....											
2.23 Reinsurance ceded .....	3,461,500		3,461,500								
2.24 Net .....	1,103,230		(b) 1,103,230	(b)		(b)	(b)		(b)	(b)	(b)
3. Incurred but unreported:											
3.1 Direct .....	1,924,827		1,924,827								
3.2 Reinsurance assumed .....											
3.3 Reinsurance ceded .....	816,000		816,000								
3.4 Net .....	1,108,827		(b) 1,108,827	(b)		(b)	(b)		(b)	(b)	(b)
4. TOTALS .....											
4.1 Direct .....	6,489,557		6,489,557								
4.2 Reinsurance assumed .....											
4.3 Reinsurance ceded .....	4,277,500		4,277,500								
4.4 Net .....	2,212,057	(a)	(a) 2,212,057				(a)				

(a) Including matured endowments (but not guaranteed annual pure endowments) unpaid amounting to \$ \_\_\_\_\_ in Column 2, \$ \_\_\_\_\_ in Column 3 and \$ \_\_\_\_\_ in Column 7.

(b) Include only portion of disability and accident and health claim liabilities applicable to assumed "accrued" benefits. Reserves (including reinsurance assumed and net of reinsurance ceded) for unaccrued benefits for Ordinary Life Insurance \$ .....3,419,229

Individual Annuities \$ \_\_\_\_\_, Credit Life (Group and Individual) \$ \_\_\_\_\_, and Group Life \$ \_\_\_\_\_, are included in Page 3, Line 1, (See Exhibit 5, Section on Disability Disabled Lives); and for Group Accident and Health \$ \_\_\_\_\_

Credit (Group and Individual) Accident and Health \$ \_\_\_\_\_, and Other Accident and Health \$ \_\_\_\_\_ are included in Page 3, Line 2 (See Exhibit 6, Claim Reserve).

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS**

**PART 2 - Incurred During the Year**

	1  Total	2  Industrial Life (a)	Ordinary			6  Credit Life (Group and Individual)	Group		Accident and Health		
			3  Life Insurance (b)	4  Individual Annuities	5  Supplementary Contracts		7  Life Insurance (c)	8  Annuities	9  Group	10  Credit (Group and Individual)	11  Other
1. Settlements During the Year:											
1.1 Direct .....	29,828,978		29,828,978								
1.2 Reinsurance assumed .....	249,175		249,175								
1.3 Reinsurance ceded .....	14,874,698		14,874,698								
1.4 Net (d) .....	15,203,455		15,203,455								
2. Liability December 31, current year from Part 1:											
2.1 Direct .....	6,489,557		6,489,557								
2.2 Reinsurance assumed .....											
2.3 Reinsurance ceded .....	4,277,500		4,277,500								
2.4 Net .....	2,212,057		2,212,057								
3. Amounts recoverable from reinsurers December 31, current year .....	690,000		690,000								
4. Liability December 31, prior year:											
4.1 Direct .....	5,388,790		5,388,790								
4.2 Reinsurance assumed .....											
4.3 Reinsurance ceded .....	3,711,600		3,711,600								
4.4 Net .....	1,677,190		1,677,190								
5. Amounts recoverable from reinsurers December 31, prior year .....	1,026,750		1,026,750								
6. Incurred Benefits											
6.1 Direct .....	30,929,745		30,929,745								
6.2 Reinsurance assumed .....	249,175		249,175								
6.3 Reinsurance ceded .....	15,103,848		15,103,848								
6.4 Net .....	16,075,072		16,075,072								

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
\$ ..... in Line 6.1, and \$ ..... in Line 6.4.

(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
\$ ..... in Line 6.1, and \$ ..... in Line 6.4.

(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
\$ ..... in Line 6.1, and \$ ..... in Line 6.4.

(d) Includes \$ .....623,681 premiums waived under total and permanent disability benefits.

EXHIBIT OF NON-ADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D) .....			
2. Stocks (Schedule D):			
2.1 Preferred stocks .....			
2.2 Common stocks .....			
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens .....			
3.2 Other than first liens.....			
4. Real estate (Schedule A):			
4.1 Properties occupied by the company .....			
4.2 Properties held for the production of income.....			
4.3 Properties held for sale .....			
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA) .....			
6. Contract loans .....			
7. Derivatives (Schedule DB) .....			
8. Other invested assets (Schedule BA) .....			
9. Receivables for securities .....			
10. Securities lending reinvested collateral assets (Schedule DL) .....			
11. Aggregate write-ins for invested assets .....			
12. Subtotals, cash and invested assets (Lines 1 to 11) .....			
13. Title plants (for Title insurers only) .....			
14. Investment income due and accrued .....			
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection .....			
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due .....	420,490	320,830	(99,660)
15.3 Accrued retrospective premiums and contracts subject to redetermination .....			
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers .....			
16.2 Funds held by or deposited with reinsured companies .....			
16.3 Other amounts receivable under reinsurance contracts .....			
17. Amounts receivable relating to uninsured plans .....			
18.1 Current federal and foreign income tax recoverable and interest thereon .....			
18.2 Net deferred tax asset .....	943,453	2,333,707	1,390,254
19. Guaranty funds receivable or on deposit .....			
20. Electronic data processing equipment and software .....			
21. Furniture and equipment, including health care delivery assets .....			
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			
23. Receivables from parent, subsidiaries and affiliates .....			
24. Health care and other amounts receivable .....			
25. Aggregate write-ins for other than invested assets .....	690,048	109,577	(580,471)
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	2,053,991	2,764,114	710,123
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
28. Total (Lines 26 and 27) .....	2,053,991	2,764,114	710,123
DETAILS OF WRITE-INS			
1101. ....			
1102. ....			
1103. ....			
1198. Summary of remaining write-ins for Line 11 from overflow page .....			
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)			
2501. Miscellaneous .....	690,048	109,577	(580,471)
2502. ....			
2503. ....			
2598. Summary of remaining write-ins for Line 25 from overflow page .....			
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	690,048	109,577	(580,471)



NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. The accompanying financial statements of NYLIFE Insurance Company of Arizona ("the Company") have been prepared using accounting practices prescribed or permitted by the Arizona Insurance Department.

The Arizona Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of Arizona for determining and reporting the financial position and results of operations of an insurance company and for determining its solvency under Arizona Insurance Law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the state of Arizona. Prescribed statutory accounting practices include state laws and regulations. Permitted statutory accounting practices encompass accounting practices that are not prescribed; such practices differ from state to state, may differ from company to company within a state, and may change in the future. Arizona has adopted all prescribed accounting practices found in NAIC SAP. The Company does not have any permitted practices.

	2015	2014
Net Income		
(1) Net income Arizona basis (Page 4, Line 35)	\$ 12,032,950	\$ 11,038,200
(2) State prescribed practices that increase/(decrease) NAIC SAP:	—	—
(3) State permitted practices that increase/(decrease) NAIC SAP:	—	—
(4) Net Income, NAIC SAP (1-2-3=4)	\$ 12,032,950	\$ 11,038,200
Capital and Surplus		
(5) Statutory capital and surplus Arizona basis (Page 3, Line 38)	\$ 91,176,387	\$ 79,647,503
(6) State prescribed practices that increase/(decrease) NAIC SAP:	—	—
(7) State permitted practices that increase/(decrease) NAIC SAP:	—	—
(8) Capital and Surplus, NAIC SAP (5-6-7=8)	\$ 91,176,387	\$ 79,647,503

B. The preparation of financial statements requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities at the date of the financial statements. Management is also required to disclose contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results may differ from those estimates.

C. Life premiums are taken into income over the premium-paying period of the policies. Commissions and other costs associated with acquiring new business are charged to operations as incurred (the Company has not issued any new policies since 2011). Amounts received or paid under insurance contracts without mortality or morbidity risk are recorded directly in the Liabilities, Surplus, and Other Funds as an adjustment to the account balance and not reflected in the Summary of Operations.

Policy reserves are based on mortality tables and valuation interest rates, which are consistent with statutory requirements and are designed to be sufficient to provide for contractual benefits. The Company holds reserves greater than those developed under the minimum statutory reserving rules when the valuation actuary determines that the minimum statutory reserves are inadequate.

The Company has established policy reserves (excluding the effects of reinsurance) on contracts issued January 1, 2001 and later that exceed the minimum amounts determined under Appendix A-820, "Minimum Life and Annuity Reserve Standards" of NAIC SAP by approximately \$4,700. The change in reserves increased net gain from operations before federal income taxes for the year ended December 31, 2015 by approximately \$9,130.

In addition, the Company uses the following accounting policies:

- (1) Short-term investments consist of securities with remaining maturities of one year or less, but greater than three months, at the time of acquisition and are carried at amortized cost, which approximates fair value. Cash and cash equivalents include cash on hand, amounts due from banks and highly liquid debt instruments that have original maturities of three months or less at the date of purchase and are stated at amortized cost.
- (2) Bonds not backed by loans are stated at amortized cost using the interest method. Bonds in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. The cost basis of bonds is adjusted for impairments in value deemed to be other than temporary, with the difference between the bond's amortized cost and its fair value recognized as a realized loss reported in net income. The new cost basis of an impaired bond is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary impairment ("OTTI"), the impaired bond is accounted for as if it had been purchased on the measurement date of the impairment. See Note 20 – Fair Value Measurements, for discussion of valuation methods for bonds.
- (3)–(5) The Company does not own any common stock, preferred stock, real estate or mortgage loans.
- (6) Loan-backed and structured securities are valued at amortized cost using the interest method including current assumptions of projected cash flows. Loan-backed and structured securities in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. Amortization of the premium or accretion of discount from the purchase of these securities considers the estimated timing and amount of cash flows of the underlying loans, including prepayment assumptions based on data obtained from external sources or internal estimates. For loan-backed and structured securities, projected future cash flows are updated monthly, and the amortized cost and effective yield of the securities are adjusted as necessary to reflect historical prepayment experience and changes in estimated future prepayments. For high credit quality loan-backed and structured securities (those rated AA or above at the date of acquisition), the adjustments to amortized cost are recorded as a charge or credit to net investment income in accordance with the retrospective method. For loan-backed and structured securities that are not of high credit quality (those rated below AA at date of acquisition), certain floating rate securities and securities with the potential for a loss of a portion of the original investment due to contractual prepayments (e.g. interest only securities), the effective yield is adjusted prospectively for any changes in estimated cash flows. See Note 20 – Fair Value Measurements, for discussion of valuation methods for bonds.

The cost basis of loan-backed and structured securities is adjusted for impairments in value that are deemed to be other than temporary. An other-than-temporary loss is recognized in net income when it is anticipated that the amortized cost will not be recovered. The entire difference between the loan-backed or structured security's amortized cost and its fair value is recognized in net income only when the Company (1) has the intent to sell the security or (2) it does not have the intent and ability to hold the security to recovery. If neither of these two conditions exists, a realized loss is recognized in net income for the difference between the amortized cost basis of the security and the net present value of projected

## NOTES TO FINANCIAL STATEMENTS

future cash flows expected to be collected. The net present value is calculated by discounting the Company's best estimate of projected future cash flows at the effective interest rate implicit in the loan-backed or structured security prior to impairment.

The new cost basis of an impaired security is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary loss, the impaired loan-backed or structured security is accounted for as if it had been purchased on the measurement date of the impairment. Accordingly, the discount (or reduced premium) based on the new cost basis may be accreted into net investment income in future periods based on prospective changes in cash flow estimates, to reflect adjustments to the effective yield.

- (7) The Company has no investments in subsidiaries or affiliates.
- (8) The Company has no investments in limited partnerships or limited liability companies.
- (9) The Company does not have any derivative instruments.
- (10) The Company does not utilize anticipated investment income as a factor in the premium deficiency calculation.
- (11) The Company does not issue or have any in force accident and health policies.
- (12) The Company has not changed its capitalization policy from the prior year.
- (13) The Company does not have any pharmaceutical rebates receivable.

### D. Going Concern

Not applicable.

## 2. Accounting Changes and Corrections of Errors

### Change in Accounting Principles

Accounting changes adopted to conform to the provisions of NAIC SAP or other state prescribed practices are reported as changes in accounting principles. The cumulative effect of changes in accounting principles is generally reported as an adjustment to unassigned funds (surplus) in the period of the change in accounting principle. Generally, the cumulative effect is the difference between the amount of capital and surplus at the beginning of the year and the amount of capital and surplus that would have been reported at that date if the new accounting principles had been applied retroactively for all prior periods. There were no changes in accounting principles in 2015.

In 2015, the NAIC provided clarification on the accounting for prepayment penalties on bonds. Specifically, the NAIC proposed changes to SSAP No. 26, *"Bonds, Excluding Loan-Backed and Structured Securities"*, and SSAP No. 43R, *"Loan-Backed and Structured Securities"*, to clarify that a prepayment penalty represents the amount of proceeds received over the par value of the bond and that such amount should be recorded in investment income. Historically, the Company had reported prepayment penalties as realized gains and deferred them in the Interest Maintenance Reserve ("IMR") based on the Company's interpretation of the IMR instructions. Based on the new clarification from the NAIC, a cumulative effect of change in accounting principle was recorded as of January 1, 2015 to remove any unamortized amounts from the IMR related to prepayment penalties. The cumulative impact of the change increased surplus by \$99,537 at January 1, 2015.

### Prior Period Correction

After filing the 2014 Annual Statement, the Company discovered an error in the reporting of the prior period correction of disabled lives reserves. As a result, the Company has made following adjustments to its financial statements at December 31, 2014: (1) the disabled lives reserve liability was reduced by \$651,581; (2) the current federal income taxes payable was increased by \$228,053; and (3) prior period corrections was reduced, increasing surplus by \$423,528. Therefore, total liabilities were higher in the Annual Statement compared to the Audited Financial Statements by \$423,528 and total capital and surplus was \$423,528 lower from the Annual Statement to Audited Financial Statements.

## 3. Business Combinations and Goodwill

Not applicable.

## 4. Discontinued Operations

Not applicable.

## 5. Investments

### A. Mortgage Loans, including Mezzanine Real Estate Loans

Not applicable.

### B. Debt Restructuring

Not applicable.

### C. Reverse Mortgages

Not applicable.

### D. Loan-Backed Securities

- (1) Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from external sources such as Bloomberg, Intex and Blackrock Solutions.
- (2) The Company does not have any loan-backed and structured securities which are other-than-temporarily impaired where the Company intends to sell, or does not have the intent and ability to hold until recovery as of December 31, 2015.

NOTES TO FINANCIAL STATEMENTS

(3) The following table lists each security at a CUSIP level where the present value of cash flows expected to be collected is less than the amortized cost basis during the current year:

IMPAIRMENTS TAKEN ON CURRENT HOLDINGS DURING THE CURRENT YEAR						
(1)	(2)	(3)	(4)	(5)	(6)	(7)
CUSIP <sup>1</sup>	Amortized cost before current period OTTI	Projected Cash Flows	Current Period Recognized OTTI	Amortized Cost After OTTI	Fair Value	Financial Statement Reporting Period
251511AC5	\$ 73,365	\$ 72,273	\$ 773	\$ 72,592	\$ 72,070	12/31/2015
251511AF8	43,635	42,975	470	43,165	42,460	12/31/2015
251511AC5	79,585	78,732	454	79,131	81,172	3/31/2015
251511AF8	47,323	46,815	269	47,054	47,787	3/31/2015
Total	XXX	XXX	\$ 1,966	XXX	XXX	

<sup>1</sup> Only the impaired lots within each CUSIP are included within this table.

(4) The following table presents the Company's gross unrealized losses and fair values of loan-backed and structured securities, aggregated by the length of time that the individual securities have been in a continuous unrealized loss position at December 31, 2015:

	Less than 12 months		12 months or greater		Total	
	Fair Value	Losses	Fair Value	Losses	Fair Value	Losses
Total temporarily impaired securities	\$ 9,075,292	\$ 162,370	\$ 3,310,183	\$ 107,038	\$ 12,385,475	\$ 269,408

(5) The Company performs quantitative and qualitative analysis to determine if a decline in fair value was temporary. For those securities where the decline was considered temporary, the Company did not take an impairment when it had the ability and intent to hold until recovery. Factors considered in evaluating whether a decline in value is other than temporary include: (1) whether the decline is substantial; (2) the duration that the fair value has been less than amortized cost; (3) the financial condition and near-term prospects of the issuer; and (4) the Company's ability and intent to retain the investment for the period of time sufficient to allow for an anticipated recovery in value. In addition, for the non-agency residential mortgage backed securities ("RMBS") portfolio, the Company updates cash flow projections quarterly. A projection is performed for each security based upon the evaluation of prepayment, delinquency, and default rates for the pool of mortgages collateralizing each security, and the projected impact on the course of future prepayments, defaults, and loss in the pool of mortgages, but do not include market prices. As a result, forecasts may change from period to period and additional impairments may be recognized over time as a result of deterioration in the fundamentals of a particular security or group of securities and/or a continuation of heightened mortgage defaults for a period longer than the assumptions used for the forecasts. Both qualitative and quantitative factors are used in creating the Company's RMBS cash flow models. As such, any estimate of impairments is subject to the inherent limitation on the Company's ability to predict the aggregate course of future events. It should therefore be expected that actual losses may vary from any estimate and the Company may recognize additional other-than-temporary losses.

E. Repurchase Agreements and/or Securities Lending Transactions

Not applicable.

F. Real Estate

Not applicable.

G. Investment in Low-Income Housing Tax Credits

Not applicable.

NOTES TO FINANCIAL STATEMENTS

H. Restricted Assets

(1) Restricted assets (including pledged):

Restricted Asset Category	Gross Restricted							8	Percentage	
	Current Year					6	7		9	10
	1	2	3	4	5					
	Total General Account (G/A)	G/A Assets Supporting Separate Accounts (S/A) Activity (a)	Total S/A Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)	Total Current Year Admitted Restricted	Gross Restricted to Total Assets	Admitted Restricted to Total Admitted Assets
a. Subject to contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	—	0.000 %	0.000 %
b. Collateral held under security lending agreements	—	—	—	—	—	—	—	—	0.000 %	0.000 %
c. Subject to repurchase agreements	—	—	—	—	—	—	—	—	0.000 %	0.000 %
d. Subject to reverse repurchase agreements	—	—	—	—	—	—	—	—	0.000 %	0.000 %
e. Subject to dollar repurchase agreements	—	—	—	—	—	—	—	—	0.000 %	0.000 %
f. Subject to dollar reverse repurchase agreements	—	—	—	—	—	—	—	—	0.000 %	0.000 %
g. Placed under option contracts	—	—	—	—	—	—	—	—	0.000 %	0.000 %
h. Letter stock or securities restricted as to sale-excluding FHLB capital stock	—	—	—	—	—	—	—	—	0.000 %	0.000 %
i. FHLB capital stock	—	—	—	—	—	—	—	—	0.000 %	0.000 %
j. On deposit with states	3,836,382	—	—	—	3,836,382	3,869,698	(33,316)	3,836,382	1.894 %	1.914 %
k. On deposit with other regulatory bodies	—	—	—	—	—	—	—	—	0.000 %	0.000 %
l. Pledged as collateral to FHLB (including assets backing funding agreements)	—	—	—	—	—	—	—	—	0.000 %	0.000 %
m. Pledged as collateral not captured in other categories	—	—	—	—	—	—	—	—	0.000 %	0.000 %
n. Other restricted assets	—	—	—	—	—	—	—	—	0.000 %	0.000 %
o. Total Restricted Assets	\$ 3,836,382	\$ —	\$ —	\$ —	3,836,382	\$ 3,869,698	\$ (33,316)	\$ 3,836,382	1.894 %	1.914 %

(a) Subset of column 1  
(b) Subset of column 3

- (2) There were no assets pledged as collateral not captured in other categories for each of the years ended December 31, 2015 and 2014.
- (3) There were no other restricted assets for each of years December 31, 2015 and 2014.

I. Working Capital Finance Investments

Not applicable.

J. Offsetting and Netting of Assets and Liabilities

Not applicable.

K. Structured Notes

Not applicable

6. Joint Ventures, Partnerships and Limited Liability Companies

- A. The Company had no investments in joint ventures, limited partnerships or limited liability companies that exceeded 10% of its admitted assets.
- B. The Company did not recognize any impairment write down for its investments in partnerships during 2015.

7. Investment Income

- A. Due and accrued investment income is excluded from surplus when amounts are over 90 days past due or collection is uncertain.
- B. There was no investment income due and accrued excluded from surplus at December 31, 2015.

8. Derivative Instruments

Not applicable.

NOTES TO FINANCIAL STATEMENTS

9. Income Taxes

A. The components of the net deferred tax assets ("DTAs") and net deferred tax liabilities ("DTLs") at December 31, 2015 and 2014 were as follows:

(1)		2015		
		Ordinary	Capital	Total
(a)	Gross DTAs	\$ 18,215,974	\$ 7,443	\$ 18,223,417
(b)	Statutory valuation allowance	—	—	—
(c)	Adjusted gross DTAs (1a - 1b)	18,215,974	7,443	18,223,417
(d)	Nonadmitted DTAs	938,702	4,751	943,453
(e)	Subtotal net admitted DTAs (1c - 1d)	17,277,272	2,692	17,279,964
(f)	Gross DTLs	11,337,909	2,692	11,340,601
(g)	Net admitted DTAs/(DTLs) (1e - 1f)	\$ 5,939,363	\$ —	\$ 5,939,363
		2014		
		Ordinary	Capital	Total
(a)	Gross DTAs	\$ 27,397,178	\$ 7,443	\$ 27,404,621
(b)	Statutory valuation allowance	—	—	—
(c)	Adjusted gross DTAs (1a - 1b)	27,397,178	7,443	27,404,621
(d)	Nonadmitted DTAs	2,328,956	4,751	2,333,707
(e)	Subtotal net admitted DTAs (1c - 1d)	25,068,222	2,692	25,070,914
(f)	Gross DTLs	18,844,199	2,692	18,846,891
(g)	Net admitted DTAs/(DTLs) (1e - 1f)	\$ 6,224,023	\$ —	\$ 6,224,023
		Change During 2015		
		Ordinary	Capital	Total
(a)	Gross DTAs	\$ (9,181,204)	\$ —	\$ (9,181,204)
(b)	Statutory valuation allowance	—	—	—
(c)	Adjusted gross DTAs (1a - 1b)	(9,181,204)	—	(9,181,204)
(d)	Nonadmitted DTAs	(1,390,254)	—	(1,390,254)
(e)	Subtotal net admitted DTAs (1c - 1d)	(7,790,950)	—	(7,790,950)
(f)	Gross DTLs	(7,506,290)	—	(7,506,290)
(g)	Net admitted DTAs/(DTLs) (1e - 1f)	\$ (284,660)	\$ —	\$ (284,660)
(2) The admission calculation components were as follows:		2015		
		Ordinary	Capital	Total
(a)	Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 5,939,363	\$ —	\$ 5,939,363
(b)	Adjusted gross DTAs expected to be realized (excluding the amount of DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2 below):			
	1. Adjusted gross DTAs expected to be realized following the balance sheet date	—	—	—
	2. Adjusted gross DTAs allowed per limitation threshold	XXX	XXX	12,785,554
(c)	Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	11,337,909	2,692	11,340,601
(d)	DTAs admitted as the result of application of SSAP No. 101. Total (2(a)+2(b)+2(c))	\$ 17,277,272	\$ 2,692	\$ 17,279,964
		2014		
		Ordinary	Capital	Total
(a)	Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 6,224,023	—	\$ 6,224,023
(b)	Adjusted gross DTAs expected to be realized (excluding the amount of DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2 below):			
	1. Adjusted gross DTAs expected to be realized following the balance sheet date	—	—	—
	2. Adjusted gross DTAs allowed per limitation threshold	XXX	XXX	11,013,522
(c)	Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	18,844,199	2,692	18,846,891
(d)	DTAs admitted as the result of application of SSAP No. 101. Total (2(a)+2(b)+2(c))	\$ 25,068,222	\$ 2,692	\$ 25,070,914
		Change During 2015		
		Ordinary	Capital	Total
(a)	Federal income taxes paid in prior years recoverable through loss carrybacks	\$ (284,660)	\$ —	\$ (284,660)
(b)	Adjusted gross DTAs expected to be realized (excluding the amount of DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2 below):			
	1. Adjusted gross DTAs expected to be realized following the balance sheet date	—	—	—
	2. Adjusted gross DTAs allowed per limitation threshold	XXX	XXX	1,772,032
(c)	Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	(7,506,290)	—	(7,506,290)
(d)	DTAs admitted as the result of application of SSAP No. 101. Total (2(a)+2(b)+2(c))	\$ (7,790,950)	\$ —	\$ (7,790,950)

NOTES TO FINANCIAL STATEMENTS

(3) The ratio used to determine the applicable period used in 2(b)1 above and the amount of adjusted capital and surplus used to determine the percentage threshold limitation in 2(b)2 above are as follows at December 31, 2015 and 2014:

	2015	2014
(a) Ratio percentage used to determine recovery period and threshold limitation amount	2024%	1482%
(b) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)2 above.	\$ 85,237,024	\$ 73,423,480

(4) There was no impact on adjusted gross and net admitted DTAs due to tax planning strategies at December 31, 2015 and 2014. The Company did not use reinsurance in its tax planning strategies.

B. The Company has no unrecognized DTL at December 31, 2015 and 2014.

C. Significant components of income taxes incurred and the changes in DTAs and DTLs for the years ended December 31, 2015 and 2014 were as follows:

(1) Current Income Tax:	2015	2014	Change
(a) Federal	\$ 4,823,292	\$ 3,434,968	\$ 1,388,324
(b) Foreign	—	—	—
(c) Subtotal	4,823,292	3,434,968	1,388,324
(d) Federal income tax on net capital gains	53,860	15,998	37,862
(e) Utilization of capital loss carry-forward	—	—	—
(f) Other	228,053	(252,728)	480,781
(g) Federal and foreign income taxes incurred	\$ 5,105,205	\$ 3,198,238	\$ 1,906,967
(2) DTAs:	2015	2014	Change
(a) Ordinary			
(1) Discounting of unpaid losses	\$ —	\$ —	\$ —
(2) Unearned premium reserve	—	—	—
(3) Policyholder reserve	10,434,774	19,151,437	(8,716,663)
(4) Investments	—	—	—
(5) Deferred acquisition costs	7,450,508	8,113,041	(662,533)
(6) Policyholder dividends accrual	—	—	—
(7) Fixed Assets	77,843	81,748	(3,905)
(8) Compensation and benefits accrual	—	—	—
(9) Pension accrual	—	—	—
(10) Receivables - nonadmitted	—	—	—
(11) Net operating loss carry-forward	—	—	—
(12) Tax credit carry-forward	—	—	—
(13) Other	252,849	50,952	201,897
(99) Subtotal	18,215,974	27,397,178	(9,181,204)
(b) Statutory valuation allowance adjustment	—	—	—
(c) Nonadmitted	938,702	2,328,956	(1,390,254)
(d) Admitted ordinary DTA (2a99 - 2b - 2c)	17,277,272	25,068,222	(7,790,950)
(e) Capital			
(1) Investments	7,443	7,443	—
(2) Net capital loss carry-forward	—	—	—
(3) Real estate	—	—	—
(4) Other	—	—	—
(99) Subtotal	7,443	7,443	—
(f) Statutory valuation allowance adjustment	—	—	—
(g) Nonadmitted	4,751	4,751	—
(h) Admitted capital DTA (2e99 - 2f - 2g)	2,692	2,692	—
(i) Total admitted DTA (2d + 2h)	\$ 17,279,964	\$ 25,070,914	\$ (7,790,950)
(3) DTLs:	2015	2014	Change
(a) Ordinary			
(1) Investments	\$ 149,078	\$ 237,799	\$ (88,721)
(2) Fixed assets	—	—	—
(3) Deferred & uncollected premium	9,281,227	16,121,400	(6,840,173)
(4) Policyholder reserves	1,907,604	2,485,000	(577,396)
(5) Other	—	—	—
(99) Subtotal	11,337,909	18,844,199	(7,506,290)
(b) Capital			
(1) Investments	2,692	2,692	—
(2) Real estate	—	—	—
(3) Other	—	—	—
(99) Subtotal	2,692	2,692	—
(c) Total DTL (3a99 + 3b99)	11,340,601	18,846,891	(7,506,290)
(4) Net admitted DTAs/(DTLs) (2i - 3c)	\$ 5,939,363	\$ 6,224,023	\$ (284,660)

Deferred income tax expense on change in net unrealized capital gains and losses	\$ (2,589)
Decrease in net deferred tax related to other items	(1,672,325)
Increase in DTAs nonadmitted	1,390,254
Total decrease in net admitted DTAs	\$ (284,660)

NOTES TO FINANCIAL STATEMENTS

- (5) The Company had no tax credits for years ended December 31, 2015 and 2014.
- (6) The Company does not have operating loss carry-forwards for December 31, 2015.
- (7) The Company had no adjustments of DTAs or DTLs for enacted changes in tax laws or rates, or a change in the tax status.
- (8) The Company had no adjustments to gross DTAs because of a change in circumstances that causes a change in judgment about the realizability of the related DTAs.
- D. The Company's income tax expense for the years ended December 31, 2015 and 2014, and change in DTAs/DTLs at December 31, 2015 and 2014 differed from the amount obtained by applying the statutory rate of 35% to net gain from operations after dividends to policyholders and before federal income taxes for the following reasons:

	2015	2014	Change
Net gain from operations after dividends to policyholders and before federal income taxes @35%	\$ 5,896,233	\$ 5,067,788	\$ 828,445
Net realized capital (losses)/gains at 35%	53,825	11,746	\$ 42,079
Amortization of IMR	(2,115)	(14,643)	\$ 12,528
Accruals in surplus	—	408,448	\$ (408,448)
Non-admitted assets	(238,046)	(19,980)	\$ (218,066)
Audit liability provision	613,758	86,854	\$ 526,904
Prior period correction	228,053	(252,728)	\$ 480,781
Other	(2,231)	42	\$ (2,273)
Income tax incurred and change in net DTAs during period	<u>\$ 6,549,477</u>	<u>\$ 5,287,527</u>	<u>\$ 1,261,950</u>
Federal income tax reported in the Summary of Operations	4,823,292	3,434,968	1,388,324
Capital gains tax expense incurred	53,860	15,998	37,862
Decrease in net DTAs	1,672,325	2,089,289	(416,964)
Decrease in current and deferred taxes reported in prior period correction	—	(252,728)	252,728
Total statutory income taxes	<u>\$ 6,549,477</u>	<u>\$ 5,287,527</u>	<u>\$ 1,261,950</u>

- E. (1) The Company did not have any operating loss and tax credit carry forwards available for tax purposes.
- (2) The following income taxes incurred in current and prior years will be available for recoupment in the event of future net losses:

Year 2015	\$	4,575,213
Year 2014	\$	3,112,240
Year 2013	\$	3,074,974
- (3) At December 31, 2015, the Company had no protective tax deposits on deposit with the Internal Revenue Service under Section 6603 of the Internal Revenue Service Code.
- F. The Company's federal income tax return is consolidated with the following entities:

i. New York Life Insurance Company ("New York Life")

ii. New York Life Insurance and Annuity Corporation ("NYLIAC")

iii. NYLIFE LLC and its domestic affiliates

iv. New York Life Investment Management Holdings LLC and its domestic subsidiaries

v. New York Life Enterprises LLC and its domestic subsidiaries

vi. NYL Investors LLC ("NYL Investors")

The Company files a consolidated federal income tax return with New York Life and certain of New York Life's domestic insurance and non-insurance subsidiaries. The consolidated income tax liability is allocated among the members of the group in accordance with a tax allocation agreement. The tax allocation agreement provides that each member of the group is allocated its share of the consolidated tax provision or benefit, determined generally on a separate company basis, but may, where applicable, recognize the tax benefits of net operating losses or capital losses utilizable in the consolidated group. Intercompany tax balances are settled quarterly on an estimated basis with a final settlement within 30 days of the filing of the consolidated return.

- G. The Company does not anticipate any significant changes to its total unrecognized tax benefits within the next 12 months.



# NOTES TO FINANCIAL STATEMENTS

## 10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

The following note contains related party transactions in excess of a \$175,000 materiality level.

**A-C.** In 2015, the Company did not receive any capital contribution from, nor pay any dividend to, its parent company, New York Life.

The Company compensates New York Life and NYLIAC for policy credits associated with converting the Company's term policies to permanent cash value life insurance policies that are issued by New York Life and NYLIAC without any additional underwriting. For the year ended December 31, 2015, the Company incurred charges of \$832,713 and \$3,760,350 paid or payable to New York Life and NYLIAC, respectively for these services. For the year ended December 31, 2014, the Company incurred charges of \$1,009,571 and \$4,133,776 paid or payable to New York Life and NYLIAC, respectively for these services.

**D.** At December 31, 2015 and 2014, the Company reported \$3,655,942 and \$2,705,722 respectively, as amounts payable to parent and affiliates. The terms of the underlying agreements generally require that these amounts be settled in cash within 90 days.

**E.** On November 16, 2015, the Company terminated an outstanding credit agreement with New York Life Capital Corporation ("NYLCC"), an indirect wholly owned subsidiary of New York Life, as dated November 1, 2005, whereby NYLCC has agreed to make loans to the Company in an amount up to, but not exceeding, \$40,000,000 from proceeds from the issuance of commercial paper. During 2015 and 2014, the credit facility was not used, no interest was paid and there was no outstanding balance due.

The Company has a credit agreement with New York Life dated August 11, 2004 under which the Company may borrow from New York Life in an amount of up to \$10,000,000. During 2015 and 2014, the credit facility was not used, no interest was paid, and there was no outstanding balance due.

**F.** New York Life provides the Company with certain services and facilities including, but not limited to accounting, tax and auditing services, legal services, actuarial services, electronic data processing operations and communications operations. New York Life charges the Company for the identified costs associated with these services and facilities under the terms of a service agreement between New York Life and the Company. For the years ended December 31, 2015 and 2014, the fees incurred associated with these services and facilities, amounted to \$3,308,264 and \$2,455,130 respectively.

The Company has entered into investment advisory agreement with New York Life Investment Management LLC ("NYLIM"), an indirect wholly owned subsidiary of New York Life, whereby NYLIM provides investment advisory and administrative services to the Company. On May 1, 2014 NYLIM assigned its investment advisory rights and obligations under this agreement to NYL Investors, a wholly owned subsidiary of New York Life. For the years ended December 31, 2015 and 2014, the total cost for these services amounted to \$150,157 and \$148,099 respectively, which is included in the costs of services billed by New York Life to the Company.

**G.** All outstanding shares of the Company are owned by the parent company, New York Life, a mutual insurance company domiciled in the State of New York.

**H.** The Company does not own any shares of an upstream affiliate either directly or through its subsidiaries.

**I-K.** The Company does not have an investment in a SCA entity.

**L.** The Company does not hold investments in downstream non-insurance holding companies.

**M.** The Company does not have any affiliated common stock investments.

**N.** The Company does not hold investments in insurance SCA.

## 11. Debt

**A.** The Company has not issued any debt.

**B.** The Company has not issued any debt to the Federal Home Loan Bank.

## 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

### A. Defined Benefit Plan

See section G.

### B. Plan Asset Investment Policies and Strategies

See section G.

### C. Determination of Fair Values

See section G.

### D. Long-term Rate of Return on Plan Assets

See section G.

### E. Defined Contribution Plans

See section G.

### F. Multiemployer Plans

Not applicable.

NOTES TO FINANCIAL STATEMENTS

G. Consolidated/Holding Company Plans

The Company participates in the cost of the following plans sponsored by New York Life: (1) certain postretirement life and health benefits for retired employees and agents including their eligible dependents, (2) certain defined benefit pension plans for eligible employees and agents, (3) certain defined contribution plans for substantially all employees and agents and (4) postemployment benefits. The expense for these plans is allocated to the Company in accordance with an intercompany cost sharing agreement. The liabilities for these plans are included with the liabilities for the corresponding plan of New York Life. The Company's share of the cost of these plans was as follows for the year ended December 31, 2015 and 2014:

	2015	2014
Postretirement life and health	\$ 177,783	\$ 185,539
Defined benefit pension	185,219	143,095
Defined contribution	39,567	50,720
Postemployment benefits	9,791	(1,018)
Total	\$ 412,360	\$ 378,336

H. Postemployment Benefits and Compensated Absences

See section G.

I. Impact of Medicare Modernization Act on Postretirement Benefit (INT 04-17)

See section G.

13. Capital and Surplus, Shareholders' Dividend Restrictions, and Quasi-Reorganizations

- (1) The Company has 30,000 shares authorized, with a par value of \$100 per share with 25,000 shares issued and outstanding. All shares are common stock and all are owned by New York Life.
- (2) The Company has not issued preferred stock.
- (3) The Company is subject to restrictions on the payment of dividends to New York Life. Under the Arizona Insurance Law, cash dividends to stockholders may only be paid out of an insurer's available surplus funds which are derived from realized net profits on its business. The Company has no available surplus funds at December 31, 2015 from which to pay dividends. Stock dividends may be paid out of any available surplus funds that exceed the aggregate amount of surplus loaned to the insurer. No surplus funds have been loaned to the Company. In addition, generally, no extraordinary dividend (as described under Arizona Revised Statute ("A.R.S.") Section 20-481.19) may be paid or distributed to stockholders without the prior approval of the Director of Insurance of Arizona. At December 31, 2015, the Company had a deficit of \$9,823,613.
- (4) During the year ended December 31, 2015, the Company did not declare a dividend to its sole shareholder New York Life.
- (5) Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.
- (6) No restrictions have been placed on the unassigned surplus funds of the Company.
- (7) The Company did not have any advances to surplus.
- (8) The Company did not hold stock, including stock of affiliated companies, for any special purpose.
- (9) The Company did not hold any special surplus funds.
- (10) The Company did not have any unassigned funds (surplus) represented or reduced by cumulative net unrealized losses, gross of deferred taxes as at December 31, 2015.
- (11) The Company has not issued any surplus notes.
- (12)-(13) The Company has never had a quasi-reorganization.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

- (1) Commitments or contingent commitments  
The Company does not have any commitments or contingent commitments.
- (2) Guarantees  
The Company does not have any guarantees.
- (3) Guarantee obligations  
The Company does not have any guarantee obligations.

B. Assessments

- (1)–(2) The Company is not aware of any significant insolvencies of insurance companies, which would result in material assessments on the Company and as a result, no provision has been made, either as an asset or liability for amounts to be paid on insurer insolvencies.

C. Gain Contingencies

Not applicable.

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

The Company's exposure to extra contractual obligations and bad faith losses is immaterial.

NOTES TO FINANCIAL STATEMENTS

E. Joint and Several Liabilities

Not applicable.

F. All Other Contingencies

The Company, along with New York Life and NYLIAC, is a defendant in one consolidated purported class action suit arising from its agency sales force. The lawsuit seeks, among other things, extra contractual damages. Notwithstanding the uncertain nature of litigation, the outcome of which cannot be predicted, the Company believes that, after provisions made in the financial statements, the ultimate liability that could result from this litigation would not have a material adverse effect on the Company's financial position; however, it is possible that a settlement or adverse determination in this action or other proceedings in the future could have a material adverse effect on the Company's operating results for a given year.

Subject to the above, the Company has no pending legal proceedings that would have a material adverse effect on the financial position of the Company.

15. Leases

A. Lessee Leasing Arrangements

(1)a The Company is a party to an affiliated group air transportation services agreement entered into with NYLIFE LLC, a direct wholly owned subsidiary of New York Life, in November 2004. Under the terms of the agreement the Company, in conjunction with certain specified affiliates, leases an aircraft from NYLIFE LLC. Costs associated with the lease are determined on a fully allocated basis and allotted to the parties based on usage. The Company's share of expenses associated with the lease of the aircraft was \$1,741 in 2015. The agreement expires in 2019. The aircraft is to be used by members of senior management and directors for business travel under certain circumstances. Personal use of the aircraft by employees and directors is not permitted.

(1)b-(3)b Not applicable.

B. Significant Leasing Arrangements

Not applicable.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables reported as Sales

Not applicable.

B. Transfer and Servicing of Financial Assets

(1) - (7) Not applicable.

C. Wash Sales

- (1) The Company did not have any wash sale transactions during 2015.
- (2) The company had no wash sale transactions with an NAIC rating designation of 3 through 6 for the year ended December 31, 2015.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not applicable.

19. Direct Premium Written/Produced by Managing General Agents/Third-Party Administrators

The Company did not have any direct premium written/produced by managing general agents/third-party administrators during 2015.

NOTES TO FINANCIAL STATEMENTS

20. Fair Value Measurements

A. The Company's financial assets and liabilities carried at fair value have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100, *Fair Value Measurements*. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This guidance establishes a framework for measuring fair value that includes a hierarchy used to classify the inputs used in measuring fair value. The hierarchy prioritizes the inputs to valuation techniques used to measure fair value into three levels. The level in the fair value hierarchy within which the fair value measurement falls is determined based on the lowest level input that is significant to the fair value measurement.

(1) The levels of the fair value hierarchy are based on the inputs to the valuation as follows:

- Level 1**

Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market. Active markets are defined as a market in which many transactions occur with sufficient frequency and volume to provide pricing information on an ongoing basis.
- Level 2**

Observable inputs other than level 1 prices, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active for identical or similar assets or liabilities, or other model driven inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities. Valuations are generally obtained from third-party pricing services for identical or comparable assets or liabilities or through the use of valuation methodologies using observable market inputs.
- Level 3**

Instruments whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs reflect management's own assumptions in pricing the asset or liability. Pricing may also be based upon broker quotes that do not represent an offer to transact. Prices are determined using valuation methodologies such as option pricing models, discounted cash flow models and other similar techniques. Non-binding broker quotes, which are utilized when pricing service information is not available, are reviewed for reasonableness based on the Company's understanding of the market, and are generally considered Level 3. To the extent the internally developed valuations use significant unobservable inputs, they are classified as Level 3.

The Company had no investments held at fair value as of December 31, 2015.

B. Not Applicable.

C. The following table presents the carrying amounts and estimated fair value of the Company's financial instruments at December 31, 2015:

	Fair Value	Carrying Value	Level 1	Level 2	Level 3	Not Practicable
Assets:						
Bonds	\$ 155,143,289	\$ 152,855,583	\$ —	\$ 155,143,289	\$ —	\$ —
Cash, cash equivalents and short-term investments	13,166,148	13,166,148	—	13,166,148	—	—
Investment income due and accrued	1,115,310	1,115,310	—	1,115,310	—	—
Total assets	<u>\$ 169,424,747</u>	<u>\$ 167,137,041</u>	<u>\$ —</u>	<u>\$ 169,424,747</u>	<u>\$ —</u>	<u>\$ —</u>
Liabilities:						
Deposit fund contracts	\$ 2,944,042	\$ 2,944,042	\$ —	\$ —	\$ 2,944,042	\$ —
Total liabilities	<u>\$ 2,944,042</u>	<u>\$ 2,944,042</u>	<u>\$ —</u>	<u>\$ —</u>	<u>\$ 2,944,042</u>	<u>\$ —</u>

Bonds

The fair value of bonds is determined by considering one of four primary sources: (1) security pricing is applied using a hierarchy approach whereby publicly available prices are first sought from nationally recognized third-party pricing services, (2) securities are priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices, (3) securities are priced using an internal pricing model or methodology, and (4) securities are submitted to independent brokers for prices.

The pricing service generally uses a discounted cash-flow model or market approach to determine fair value. Typical inputs used by these pricing services include, but are not limited to; benchmark yields, reported trades, issuer spreads, bids, offers, benchmark securities, estimated cash flows and prepayment speeds.

Independent pricing vendors do not supply prices for private placement bonds. These securities are primarily priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices. Any private securities that cannot be priced using this methodology, are priced using an internally developed model based upon assigned comparable public issues adjusted for liquidity, maturity and rating or are priced based on internal calculations. The Company assigns a credit rating based upon internal analysis.

Prices from pricing services and broker quotes are validated on an ongoing basis to ensure the adequacy and reliability of the fair value measurement. The Company performs both quantitative and qualitative analysis of the prices including initial and ongoing review of third-party pricing methodologies, back testing of recent trades, and a thorough review of pricing trends and statistics.

Cash, cash equivalents, short-term investments and investment income due and accrued

Cash on hand is classified as Level 1. Cash overdrafts (i.e. outstanding checks) are classified as Level 2. Due to the short-term maturities, the carrying value of short-term investments, cash, cash equivalents and investment income due and accrued is presumed to approximate fair value.

Deposit fund contracts

Deposit fund contracts consist of continued interest accounts and the account value is equal to the estimated fair value.

NOTES TO FINANCIAL STATEMENTS

21. Other Items

A. Unusual or Infrequent Items

Not applicable.

B. Troubled Debt Restructuring: Debtors

Not applicable.

C. Other Disclosures

Assets with a carrying value of \$3,836,382 at December 31, 2015 were on deposit with government authorities or trustees as required by certain state insurance laws.

D. Business Interruption Insurance Recoveries

Not applicable.

E. State Transferable and Non-transferable Tax Credits

Not applicable.

F. Subprime and Midprime Mortgage Related Risk Exposure

- (1) The Company categorizes mortgage securities with an average FICO score (credit score) of 625 or less as “subprime” mortgage securities and mortgage securities with an average FICO score of greater than 625 and less than 700 as “midprime” mortgage securities. Securities with an average FICO score of 700 or greater are characterized as “prime”. The delinquency, credit loss, prepayment rate of the pool of mortgages collateralizing the investment and credit enhancement available for the investment are reviewed. Cash flow forecasts for each subprime and midprime mortgage security using estimates of future prepayment, delinquency, default and loss severity rates are prepared and are stress tested. This analysis shows that the majority of the unrealized losses associated with the Company’s subprime and midprime mortgage holdings is due to market dislocation and is not reflective of the projected cash flows for the portfolio of securities or how these securities have performed to date.
- (2) The Company does not engage in subprime residential or commercial mortgage lending and therefore has no direct exposure through investments in subprime mortgage loans.
- (3) The Company has exposure to subprime and midprime residential mortgage lending through its fixed maturity investments that are collateralized by mortgages that have characteristics of subprime or midprime lending. Subprime residential mortgage lending is the origination of residential mortgage loans to customers with weak credit profiles, including using relaxed mortgage-underwriting standards that provide for affordable mortgage products. These investments are primarily in the form of asset-backed securities (“ABS”) supported by subprime or midprime residential mortgage loans or collateralized debt securities (“CDOs”) that contain a subprime or midprime loan component. The collective carrying value of these investments is \$237,168 representing 0.16% of total fixed maturity investments. Of this amount, 100% had credit quality ratings below “AA”. There was no common stock subprime or midprime exposure. The Company manages its subprime and midprime risk exposure by limiting the Company’s holdings in these types of instruments, and performing ongoing analysis of cash flows, prepayment speeds, default rates and other stress variables.

The Company’s general account fixed maturity investments that are collateralized by residential mortgages that have characteristics of subprime or midprime lending are:

Midprime-Type	Actual Cost		Book Adjusted Carrying Value		Fair Value		OTTI Losses
Residential mortgage-backed securities	\$	237,168	\$	237,168	\$	246,603	\$ 108,630

- (4) The Company does not have underwriting exposure to subprime mortgage risk through mortgage guaranty or financial guaranty insurance coverage.

G. Retained Assets

- (1) Effective June 1, 2012, the Company ceased offering retained asset accounts as a settlement option to life insurance beneficiaries. Prior to that date, beneficiaries could select the retained asset account as a settlement option for satisfying individual life insurance claims of \$10,000 or more. Retained asset accounts are interest-bearing draft accounts administered by an unaffiliated bank and beneficiaries may access available funds by writing a check for any amount up to the full remaining balance of the net claim settlement. The Company’s aggregate liability for retained asset accounts is reported as a component of liability for deposit-type contracts on Page 3 – Liabilities, Surplus and other Funds.

Interest rates for retained asset accounts are not guaranteed and are declared periodically at the discretion of the Company. The following interest rates were paid to retained asset account holders in 2015:

Effective Date		Interest Rate
From	Through	
11/28/2015	12/31/2015	0.10%
1/1/2015	11/27/2015	0.09%

The applicable fees charged for retained asset accounts in 2015 were as follows:

Description	Amount Charged
Overnight delivery of additional checkbooks	\$15 weekday, \$22 weekend
Checks returned for insufficient funds	\$10 per occurrence
Stop payment requests	\$12 per request

NOTES TO FINANCIAL STATEMENTS

(2) The following table presents the number and balance of retained asset accounts in-force at December 31, 2015 and 2014, respectively:

	In-Force			
	2015		2014	
	Number	Amount	Number	Amount
Up to and including 12 months	—	\$ —	—	\$ —
13 to 24 months	—	—	—	—
25 to 36 months	—	—	8	198,308
37 to 48 months	5	170,578	12	2,067,225
49 to 60 months	9	1,695,422	4	123,503
Over 60 months	20	1,078,043	25	1,427,525
Total	34	\$ 2,944,043	49	\$ 3,816,561

(3) The following table presents the Company’s retained asset accounts segregated between individual contracts at December 31, 2015:

	Individual	
	Number	Amount
Retained asset accounts at the beginning of the year	49	\$ 3,816,561
Retained asset accounts issued/added during the year	—	—
Investment earnings credited to retained asset accounts during the year	N/A	3,004
Fees and other charges assessed to retained asset accounts during the year	N/A	—
Retained asset accounts transferred to state unclaimed property funds during the year	—	—
Retained asset accounts closed/withdrawn during at the year	(15)	(875,522)
Retained asset accounts at the end of the year	34	\$ 2,944,043

H. Not applicable

22. Events Subsequent

As of February 25, 2016, the date the financial statements were available to be issued, there have been no events occurring subsequent to the close of the Company’s books or accounts for the accompanying annual statement that would have a material effect on the financial condition of the Company.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company? Yes ( ) No (X). If yes, give full details.
- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes ( ) No (X). If yes, give full details.

Section 2 – Ceded Reinsurance Report – Part A

- (1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits? Yes ( ) No (X).
- a. If yes, what is the estimated amount of the aggregate reduction in surplus of a unilateral cancellation by the reinsurer as of the date of this statement, for those agreements in which cancellation results in a net obligation of the company to the reinsurer, and for which such obligation is not presently accrued? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate.
- b. What is the total amount of reinsurance credits taken, whether as an asset or as a reduction of a liability, for these agreements in this statement?
- (2) Does the company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts which, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes ( ) No (X). If yes, give full details.

Section 3 – Ceded Reinsurance Report – Part B

- (1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. If all reinsurance agreements were terminated by either party as of the date of the statement, the resulting reduction in surplus due to loss of reserve credits net of unearned premium would be approximately \$7,900,000
- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts which were in-force or which had existing reserves established by the Company as of the effective date of the agreement? Yes ( ) No ( X ). If yes, what is the amount of reinsurance credits, whether an asset or a reduction of liability, taken for such new agreements or amendments?

NOTES TO FINANCIAL STATEMENTS

B. Uncollectible Reinsurance

Not applicable.

C. Commutation of Ceded Reinsurance

Not applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not applicable.

E-G. Not applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

Not applicable.

25. Change in Incurred Losses and Loss Adjustment Expenses

Not applicable.

26. Intercompany Pooling Arrangements

Not applicable.

27. Structured Settlements

Not applicable.

28. Health Care Receivables

Not applicable.

29. Participating Policies

Not applicable.

30. Premium Deficiency Reserves

Not applicable.

31. Reserves for Life Contracts and Annuity Contracts

- (1) The Company waives deductions of deferred fractional premiums upon death of the insured and returns a portion of the final premium beyond the date of death. No surrender values are promised in excess of the total reserves included in other sections of Exhibit 5.
- (2) Certain substandard policies were valued on tables that are multiples of the standard table.
- (3) At December 31, 2015, the Company had \$6,200,000 of insurance in force for which the gross premiums are less than the net premiums according to the standard of valuation set by the State of Arizona. Reserves to cover the above insurance totaled the net amount of \$11,140 at year-end and are reported in Exhibit 5 - Miscellaneous Reserves.
- (4) The tabular interest (Page 7, Line 4) and tabular less actual reserves released (Page 7, Line 5) have been determined by formula as described in the instructions for Page 7. The tabular cost (Page 7, Line 9) has been determined by formula as described in the instructions for Page 7 adjusted for the difference in valuation mortality in different years between the tabular cost of mortality floor and the rest of the Regulation XXX calculation. (See item 6 of this footnote).
- (5) The tabular interest on funds not involving life contingencies is generally the interest actually paid or credited to or accrued on such fund.
- (6) The details for "other increases (net)" on Page 7, Line 7 that relate to individual life are:

Effects attributable to differences in valuation mortality between the tabular cost floor and the rest of the reserve calculation, and between the direct and ceded reserves for policies reinsured under other than coinsurance	\$	8,252,071
Impact of change in X factors		5,020
Total	\$	8,257,091



NOTES TO FINANCIAL STATEMENTS

32. Analysis of Annuity Actuarial Reserves and Deposit-Type Liabilities by Withdrawal Characteristics

	Amount	% of Total
A. Subject to discretionary withdrawal:		
(1) With market value adjustment	\$ —	0 %
(2) At book value less current surrender charge of 5% of more	—	0 %
(3) Market value	—	0 %
(4) Total with market value adjustment or at fair value (Total of 1 through 3)	—	0 %
(5) At book value without adjustment (minimal or no charge or adjustment)	2,944,043	100 %
B. Not subject to discretionary withdrawal	—	0 %
C. Total (gross)	2,944,043	100 %
D. Reinsurance ceded	—	—
E. Total (net) * (C) – (D)	\$ 2,944,043	100 %

\* Reconciliation of total annuity actuarial reserves and deposit fund liabilities

F. Life & Accident & Health Annual Statement:	Amount
Exhibit 7, Line 14, Total (net)	\$ 2,944,043

33. Premium and Annuity Considerations Deferred and Uncollected

A. Deferred and uncollected life insurance premiums at December 31, 2015, were as follows:

Type	Gross	Net of Loading
Ordinary new business	\$ —	\$ —
Ordinary renewal	18,596,279	26,938,282
Total	\$ 18,596,279	\$ 26,938,282

34. Separate Accounts

Not applicable.

35. Loss/Claim Adjustment Expenses

Not applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES  
GENERAL

1.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? .....  
If yes, complete Schedule Y, Parts 1, 1A and 2

Yes [ X ] No [ ]

1.2

If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? .....

Yes [ X ] No [ ] N/A [ ]

1.3

State Regulating? .....

Arizona

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? .....

Yes [ ] No [ X ]

2.2

If yes, date of change: .....

3.1

State as of what date the latest financial examination of the reporting entity was made or is being made. ....

12/31/2014

3.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....

12/31/2009

3.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....

06/30/2011

3.4

By what department or departments?  
Arizona Department of Insurance .....

3.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? .....

Yes [ X ] No [ ] N/A [ ]

3.6

Have all of the recommendations within the latest financial examination report been complied with? .....

Yes [ X ] No [ ] N/A [ ]

4.1

During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  
4.11 sales of new business? .....  
4.12 renewals? .....

Yes [ ] No [ X ]  
Yes [ ] No [ X ]

4.2

During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  
4.21 sales of new business? .....  
4.22 renewals? .....

Yes [ ] No [ X ]  
Yes [ ] No [ X ]

5.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? .....

Yes [ ] No [ X ]

5.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

6.1

Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? .....

Yes [ ] No [ X ]

6.2

If yes, give full information: .....

7.1

Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? .....

Yes [ ] No [ X ]

7.2

If yes,  
7.21 State the percentage of foreign control; ..... %  
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

1 Nationality	2 Type of Entity

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [ ] No [ X ]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [ X ] No [ ]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
NYLIFE Securities LLC	New York, NY				YES
NYLIFE Distributors LLC	Parsippany, NJ				YES
Eagle Strategies, LLC	New York, NY				YES
New York Life Investment Management LLC	New York, NY				YES
Mackay Shields LLC	New York, NY				YES
Institutional Capital LLC	Chicago, IL				YES
GoldPoint Partners LLC	New York, NY				YES
Cornerstone Capital Management Holdings LLC	New York, NY				YES
NYLIM Service Company LLC	Parsippany, NJ				YES
Private Advisors, L.L.C.	Richmond, VA				YES
MCF Capital Management LLC	Chicago, IL				YES
Cornerstone Capital Management LLC	Minneapolis, MN				YES
NYL Investors LLC	New York, NY				YES
IndexIQ Advisors LLC	Rye Brook, NY				YES

9.

What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?

Pricewaterhouse Coopers, LLP, 300 Madison Avenue, New York, NY 10017
- 10.1

Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation?

Yes [ ] No [ X ]
- 10.2

If the response to 10.1 is yes, provide information related to this exemption:
- 10.3

Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation?

Yes [ ] No [ X ]
- 10.4

If the response to 10.3 is yes, provide information related to this exemption:
- 10.5

Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws?

Yes [ ] No [ X ] N/A [ ]
- 10.6

If the response to 10.5 is no or n/a, please explain

Pursuant to Arizona Revised Statutes Section 20-698 and the NAIC Model Audit Rule, NYLIFE Insurance Company of Arizona is not required to comply with the independence requirements of the Model Audit Rule as its aggregate annual premiums are less \$300 million.
11.

What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?

Michael Fong, FSA, MAAA, Vice President & Actuary, NYLIFE Insurance Company of Arizona, 51 Madison Ave, Room 811, NY, NY 10010
- 12.1

Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly?

Yes [ ] No [ X ]
- 12.11

Name of real estate holding company
- 12.12

Number of parcels involved
- 12.13

Total book/adjusted carrying value

\$
- 12.2

If, yes provide explanation:
13.

FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:
- 13.1

What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?
- 13.2

Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located?

Yes [ ] No [ ]
- 13.3

Have there been any changes made to any of the trust indentures during the year?

Yes [ ] No [ ]
- 13.4

If answer to (13.3) is yes, has the domiciliary or entry state approved the changes?

Yes [ ] No [ ] N/A [ ]
- 14.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes [ X ] No [ ]
- (a)

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b)

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c)

Compliance with applicable governmental laws, rules and regulations;
- (d)

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e)

Accountability for adherence to the code.
- 14.11

If the response to 14.1 is No, please explain:
- 14.2

Has the code of ethics for senior managers been amended?

Yes [ X ] No [ ]
- 14.21

If the response to 14.2 is yes, provide information related to amendment(s).

In 2015 the Code of Conduct was revised to reflect updated information/policies, including changes to contact persons for various policies
- 14.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [ ] No [ X ]
- 14.31

If the response to 14.3 is yes, provide the nature of any waiver(s).

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? Yes [ ] No [ X ]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2  Issuing or Confirming Bank Name	3  Circumstances That Can Trigger the Letter of Credit	4  Amount

BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? Yes [ X ] No [ ]
17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? Yes [ X ] No [ ]
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? Yes [ X ] No [ ]

FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? Yes [ ] No [ X ]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):

20.11 To directors or other officers\$

20.12 To stockholders not officers\$

20.13 Trustees, supreme or grand (Fraternal Only)\$
- 20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):

20.21 To directors or other officers\$

20.22 To stockholders not officers\$

20.23 Trustees, supreme or grand (Fraternal Only)\$
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? Yes [ ] No [ X ]
- 21.2 If yes, state the amount thereof at December 31 of the current year:

21.21 Rented from others\$

21.22 Borrowed from others\$

21.23 Leased from others\$

21.24 Other\$
- 22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? Yes [ ] No [ X ]
- 22.2 If answer is yes:

22.21 Amount paid as losses or risk adjustment\$

22.22 Amount paid as expenses\$

22.23 Other amounts paid\$
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ X ] No [ ]
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$47,209

INVESTMENT

- 24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03) Yes [ X ] No [ ]
- 24.02 If no, give full and complete information relating thereto
- 24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)
- 24.04 Does the Company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? Yes [ ] No [ ] N/A [ X ]
- 24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs.\$
- 24.06 If answer to 24.04 is no, report amount of collateral for other programs.\$
- 24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? Yes [ ] No [ ] N/A [ X ]
- 24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? Yes [ ] No [ ] N/A [ X ]
- 24.09 Does the reporting entity or the reporting entity 's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? Yes [ ] No [ ] N/A [ X ]

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

24.10 For the reporting entity’s security lending program state the amount of the following as December 31 of the current year:

24.101	Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$	
24.102	Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$	
24.103	Total payable for securities lending reported on the liability page.	\$	

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03).

Yes ☒ No ☐

25.2	If yes, state the amount thereof at December 31 of the current year:	25.21 Subject to repurchase agreements	\$	
		25.22 Subject to reverse repurchase agreements	\$	
		25.23 Subject to dollar repurchase agreements	\$	
		25.24 Subject to reverse dollar repurchase agreements	\$	
		25.25 Placed under option agreements	\$	
		25.26 Letter stock or securities restricted as to sale - excluding FHLB Capital Stock	\$	
		25.27 FHLB Capital Stock	\$	
		25.28 On deposit with states	\$	3,836,381
		25.29 On deposit with other regulatory bodies	\$	
		25.30 Pledged as collateral - excluding collateral pledged to an FHLB	\$	
		25.31 Pledged as collateral to FHLB - including assets backing funding agreements	\$	
		25.32 Other	\$	

25.3 For category (25.26) provide the following:

1	2	3
Nature of Restriction	Description	Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB?

Yes ☐ No ☒

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes ☐ No ☐ N/A ☐

If no, attach a description with this statement.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity?

Yes ☐ No ☒

27.2 If yes, state the amount thereof at December 31 of the current year.

\$

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes ☒ No ☐

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1	2
Name of Custodian(s)	Custodian's Address
JP Morgan Chase	4 Metro Tech Center, 16th Floor, Brooklyn, NY 11201
The Northern Trust Company	50 S. LaSalle Street, 2nd Floor, Chicago, IL 60603

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year?

Yes ☐ No ☒

28.04 If yes, give full and complete information relating thereto:

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

28.05 Identify all investment advisors, brokers/dealers or individuals acting on behalf of brokers/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1	2	3
Central Registration Depository Number(s)	Name	Address
169553	NYL Investors LLC	51 Madison Avenue, New York, NY 10010

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

- 29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? Yes [ ] No [ X ]
- 29.2 If yes, complete the following schedule:

1	2	3
CUSIP #	Name of Mutual Fund	Book/Adjusted Carrying Value
29.2999 - Total		

- 29.3 For each mutual fund listed in the table above, complete the following schedule:

1	2	3	4
Name of Mutual Fund (from above table)	Name of Significant Holding of the Mutual Fund	Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	Date of Valuation

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1	2	3
	Statement (Admitted) Value	Fair Value	Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds .....	167,544,044	169,831,749	2,287,705
30.2 Preferred stocks .....			
30.3 Totals	167,544,044	169,831,749	2,287,705

- 30.4 Describe the sources or methods utilized in determining the fair values:  
See Note 20 .....
- 31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? ..... Yes [ X ] No [ ]
- 31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? ..... Yes [ ] No [ X ]
- 31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:  
Independent pricing vendors are used to value Schedule D assets. The broker quotes are used on a limited basis from approved brokers when independent pricing vendors do not provide quotes. ....
- 32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 32.2 If no, list exceptions:  
.....

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

OTHER

33.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any? .....\$ .....68,249

33.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid
PRICEWATERHOUSE COOPERS LLP .....	68,101
.....	.....

34.1 Amount of payments for legal expenses, if any? .....\$ .....

34.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
.....	.....
.....	.....

35.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any? .....\$ .....

35.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid
.....	.....
.....	.....

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

PART 2 - LIFE INTERROGATORIES

1.1

Does the reporting entity have any direct Medicare Supplement Insurance in force?

Yes [ ] No [ X ]

1.2

If yes, indicate premium earned on U.S. business only

\$

1.3

What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit?

\$

1.31

Reason for excluding:

1.4

Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above.

\$

1.5

Indicate total incurred claims on all Medicare Supplement insurance.

\$

1.6

Individual policies:

Most current three years:

1.61

Total premium earned

\$

1.62

Total incurred claims

\$

1.63

Number of covered lives

All years prior to most current three years

1.64

Total premium earned

\$

1.65

Total incurred claims

\$

1.66

Number of covered lives

1.7

Group policies:

Most current three years:

1.71

Total premium earned

\$

1.72

Total incurred claims

\$

1.73

Number of covered lives

All years prior to most current three years

1.74

Total premium earned

\$

1.75

Total incurred claims

\$

1.76

Number of covered lives

2.

Health Test:

1

Current Year

2

Prior Year

2.1

Premium Numerator

2.2

Premium Denominator

23,306,067

32,988,534

2.3

Premium Ratio (2.1/2.2)

0.000

0.000

2.4

Reserve Numerator

2.5

Reserve Denominator

78,655,967

90,512,518

2.6

Reserve Ratio (2.4/2.5)

0.000

0.000

3.1

Does this reporting entity have Separate Accounts?

Yes [ ] No [ X ]

3.2

If yes, has a Separate Accounts Statement been filed with this Department?

Yes [ ] No [ ] N/A [ ]

3.3

What portion of capital and surplus funds of the reporting entity covered by assets in the Separate Accounts statement, is not currently distributable from the Separate Accounts to the general account for use by the general account?

\$

3.4

State the authority under which Separate Accounts are maintained:

3.5

Was any of the reporting entity's Separate Accounts business reinsured as of December 31?

Yes [ ] No [ ]

3.6

Has the reporting entity assumed by reinsurance any Separate Accounts business as of December 31?

Yes [ ] No [ ]

3.7

If the reporting entity has assumed Separate Accounts business, how much, if any, reinsurance assumed receivable for reinsurance of Separate Accounts reserve expense allowances is included as a negative amount in the liability for "Transfers to Separate Accounts due or accrued (net)"?

4.1

Are personnel or facilities of this reporting entity used by another entity or entities or are personnel or facilities of another entity or entities used by this reporting entity (except for activities such as administration of jointly underwritten group contracts and joint mortality or morbidity studies)?

Yes [ X ] No [ ]

4.2

Net reimbursement of such expenses between reporting entities:

4.21

Paid

\$

2,665,747

4.22

Received

\$

5.1

Does the reporting entity write any guaranteed interest contracts?

Yes [ ] No [ X ]

5.2

If yes, what amount pertaining to these lines is included in:

5.21

Page 3, Line 1

\$

5.22

Page 4, Line 1

\$

6.

FOR STOCK REPORTING ENTITIES ONLY:

6.1

Total amount paid in by stockholders as surplus funds since organization of the reporting entity:

\$

98,500,000

7.

Total dividends paid stockholders since organization of the reporting entity:

7.11

Cash

\$

7.12

Stock

\$



ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

8.1 Does the company reinsure any Workers' Compensation Carve-Out business defined as: ..... Yes [ ] No [ X ]  
Reinsurance (including retrocessional reinsurance) assumed by life and health insurers of medical, wage loss and death  
benefits of the occupational illness and accident exposures, but not the employers liability exposures, of business  
originally written as workers' compensation insurance.

8.2 If yes, has the reporting entity completed the Workers' Compensation Carve-Out Supplement to the Annual Statement? ..... Yes [ ] No [ ]

8.3 If 8.1 is yes, the amounts of earned premiums and claims incurred in this statement are:

	1 Reinsurance Assumed	2 Reinsurance Ceded	3 Net Retained
8.31 Earned premium .....			
8.32 Paid claims .....			
8.33 Claim liability and reserve (beginning of year) .....			
8.34 Claim liability and reserve (end of year) .....			
8.35 Incurred claims .....			

8.4 If reinsurance assumed included amounts with attachment points below \$1,000,000, the distribution of the amounts reported in Lines 8.31 and 8.34 for Column (1) are:

	Attachment Point	1 Earned Premium	2 Claim Liability and Reserve
8.41	<\$25,000		
8.42	\$25,000 - 99,999		
8.43	\$100,000 - 249,999		
8.44	\$250,000 - 999,999		
8.45	\$1,000,000 or more		

8.5 What portion of earned premium reported in 8.31, Column 1 was assumed from pools? .....\$ .....

9.1 Does the company have variable annuities with guaranteed benefits? ..... Yes [ ] No [ X ]

9.2 If 9.1 is yes, complete the following table for each type of guaranteed benefit.

Type		3	4	5	6	7	8	9
1	2	Waiting Period Remaining	Account Value Related to Col. 3	Total Related Account Values	Gross Amount of Reserve	Location of Reserve	Portion Reinsured	Reinsurance Reserve Credit
Guaranteed Death Benefit	Guaranteed Living Benefit							

10. For reporting entities having sold annuities to another insurer where the insurer purchasing the annuities has obtained a release of liability from the claimant (payee) as the result of the purchase of an annuity from the reporting entity only:  
10.1 Amount of loss reserves established by these annuities during the current year: .....\$ .....  
10.2 List the name and location of the insurance company purchasing the annuities and the statement value on the purchase date of the annuities.

1	2
	Statement Value on Purchase Date of Annuities (i.e., Present Value)
P&C Insurance Company And Location	

11.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

11.2 If yes, please provide the amount of custodial funds held as of the reporting date. ....\$ .....

11.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

11.4 If yes, please provide the balance of funds administered as of the reporting date. ....\$ .....

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

12.1 Are any of the captive affiliates reported on Schedule S, Part 3, authorized reinsurers? ..... Yes [ ] No [ ] N/A [ X ]

12.2 If the answer to 12.1 is yes, please provide the following:

1  Company Name	2 NAIC Company Code	3  Domiciliary Jurisdiction	4  Reserve Credit	Assets Supporting Reserve Credit		
				5  Letters of Credit	6  Trust Agreements	7  Other

13. Provide the following for individual ordinary life insurance\* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded):

13.1 Direct Premium Written .....\$ .....44,402,092

13.2 Total Incurred Claims .....\$ .....29,282,419

13.3 Number of Covered Lives .....32,069

*Ordinary Life Insurance Includes
Term (whether full underwriting,limited underwriting,jet issue,"short form app")
Whole Life (whether full underwriting,limited underwriting,jet issue,"short form app")
Variable Life (with or without secondary gurantee)
Universal Life (with or without secondary gurantee)
Variable Universal Life (with or without secondary gurantee)

FIVE-YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.  
Show amounts of life insurance in this exhibit in thousands (OMIT \$000)

	1 2015	2 2014	3 2013	4 2012	5 2011
<b>Life Insurance in Force</b> (Exhibit of Life Insurance)					
1. Ordinary - whole life and endowment (Line 34, Col. 4) .....					
2. Ordinary - term (Line 21, Col. 4, less Line 34, Col. 4) .....	15,518,497	18,888,206	22,679,474	27,122,196	31,838,737
3. Credit life (Line 21, Col. 6) .....					
4. Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4) .....					
5. Industrial (Line 21, Col. 2) .....					
6. FEGLI/SGLI (Lines 43 & 44, Col. 4) .....					
7. Total (Line 21, Col. 10) .....	15,518,497	18,888,206	22,679,474	27,122,196	31,838,737
<b>New Business Issued</b> (Exhibit of Life Insurance)					
8. Ordinary - whole life and endowment (Line 34, Col. 2) .....					
9. Ordinary - term (Line 2, Col. 4, less Line 34, Col. 2) .....					774,211
10. Credit life (Line 2, Col. 6) .....					
11. Group (Line 2, Col. 9) .....					
12. Industrial (Line 2, Col. 2) .....					
13. Total (Line 2, Col. 10) .....					774,211
<b>Premium Income - Lines of Business</b> (Exhibit 1 - Part 1)					
14. Industrial life (Line 20.4, Col. 2) .....					
15.1 Ordinary-life insurance (Line 20.4, Col. 3) .....	23,306,067	32,988,534	24,918,157	29,445,662	35,184,344
15.2 Ordinary-individual annuities (Line 20.4, Col. 4) .....					
16 Credit life (group and individual) (Line 20.4, Col. 5) .....					
17.1 Group life insurance (Line 20.4, Col. 6) .....					
17.2 Group annuities (Line 20.4, Col. 7) .....					
18.1 A & H-group (Line 20.4, Col. 8) .....					
18.2 A & H-credit (group and individual) (Line 20.4, Col. 9) .....					
18.3 A & H-other (Line 20.4, Col. 10) .....					
19. Aggregate of all other lines of business (Line 20.4, Col. 11) .....					
20. Total .....	23,306,067	32,988,534	24,918,157	29,445,662	35,184,344
<b>Balance Sheet (Pages 2 &amp; 3)</b>					
21. Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3) .....	200,467,143	199,780,662	194,934,357	197,130,101	199,662,260
22. Total liabilities excluding Separate Accounts business (Page 3, Line 26) .....	109,290,756	120,133,159	126,742,637	138,112,041	140,122,993
23. Aggregate life reserves (Page 3, Line 1) .....	89,607,709	105,143,086	110,790,350	119,483,643	118,845,033
24. Aggregate A & H reserves (Page 3, Line 2) .....					
25. Deposit-type contract funds (Page 3, Line 3) .....	2,944,043	3,816,561	5,108,786	8,529,654	12,406,206
26. Asset valuation reserve (Page 3, Line 24.01) .....	483,376	428,727	373,405	323,543	246,550
27. Capital (Page 3, Lines 29 and 30) .....	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
28. Surplus (Page 3, Line 37) .....	88,676,387	77,147,503	65,691,720	56,518,060	57,039,267
<b>Cash Flow (Page 5)</b>					
29. Net Cash from Operations (Line 11) .....	779,523	8,377,724	4,677,211	4,400,005	2,832,313
<b>Risk-Based Capital Analysis</b>					
30. Total adjusted capital .....	91,659,763	80,076,230	68,565,124	59,341,603	59,785,817
31. Authorized control level risk - based capital .....	4,235,710	4,983,446	4,258,425	4,744,992	5,418,421
<b>Percentage Distribution of Cash, Cash Equivalents and Invested Assets</b> (Page 2, Col. 3) (Line No. /Page 2, Line 12, Col. 3) x 100.0					
32. Bonds (Line 1) .....	92.1	95.4	86.4	91.9	89.0
33. Stocks (Lines 2.1 and 2.2) .....					
34. Mortgage loans on real estate(Lines 3.1 and 3.2 ) .....					
35. Real estate (Lines 4.1, 4.2 and 4.3) .....					
36. Cash, cash equivalents and short-term investments (Line 5) .....	7.9	2.7	11.1	6.2	8.8
37. Contract loans (Line 6) .....					
38. Derivatives (Page 2, Line 7) .....					
39. Other invested assets (Line 8) .....	0.0	1.9	2.6	1.9	2.2
40. Receivables for securities (Line 9) .....					
41. Securities lending reinvested collateral assets (Line 10) .....					
42. Aggregate write-ins for invested assets (Line 11) .....					
43. Cash, cash equivalents and invested assets (Line 12) .....	100.0	100.0	100.0	100.0	100.0

FIVE-YEAR HISTORICAL DATA

(Continued)

	1 2015	2 2014	3 2013	4 2012	5 2011
<b>Investments in Parent, Subsidiaries and Affiliates</b>					
44. Affiliated bonds (Schedule D Summary, Line 12, Col. 1) .....					
45. Affiliated preferred stocks (Schedule D Summary, Line 18, Col. 1) .....					
46. Affiliated common stocks (Schedule D Summary Line 24, Col. 1), .....					
47. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10) .....					
48. Affiliated mortgage loans on real estate .....					
49. All other affiliated .....	1,074	2,986,240	3,954,728	2,793,908	3,407,337
50. Total of above Lines 44 to 49 .....	1,074	2,986,240	3,954,728	2,793,908	3,407,337
51. Total Investment in Parent included in Lines 44 to 49 above .....					
<b>Total Nonadmitted and Admitted Assets</b>					
52. Total nonadmitted assets (Page 2, Line 28, Col. 2) .....	2,053,991	2,764,114	4,628,595	6,789,882	2,597,162
53. Total admitted assets (Page 2, Line 28, Col. 3) .....	200,467,143	199,780,662	194,934,357	197,130,101	199,662,260
<b>Investment Data</b>					
54. Net investment income (Exhibit of Net Investment Income) .....	5,323,173	5,228,655	5,181,315	5,741,238	6,051,519
55. Realized capital gains (losses) (Page 4, Line 34, Column 1 ) .....	9,862	(6,227)	(20,171)	(20,401)	(13,625)
56. Unrealized capital gains (losses) (Page 4, Line 38, Column 1) .....	(10,279)	67	219	23	(203)
57. Total of above Lines 54, 55 and 56 .....	5,322,756	5,222,495	5,161,363	5,720,860	6,037,691
<b>Benefits and Reserve Increases (Page 6)</b>					
58. Total contract benefits - life (Lines 10, 11, 12, 13, 14 and 15 Col. 1, minus Lines 10, 11,12, 13, 14 and 15 Cols. 9, 10 and 11) .....	16,075,071	17,234,126	12,591,134	14,585,364	18,121,331
59. Total contract benefits - A & H (Lines 13 & 14, Cols. 9, 10 & 11) .....					
60. Increase in life reserves - other than group and annuities (Line 19, Cols. 2 and 3 ) .....	(14,883,796)	(5,202,348)	(8,693,293)	638,610	2,106,015
61. Increase in A & H reserves (Line 19, Cols. 9, 10 & 11) .....					
62. Dividends to policyholders (Line 30, Col. 1) .....					
<b>Operating Percentages</b>					
63. Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23, less Line 6)/(Page 6, Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.0 .....	9.8	5.2	10.9	14.9	25.0
64. Lapse percent (ordinary only) [(Exhibit of Life Insurance, Col. 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Col. 4, Lines 1 & 21)] x 100.0 .....	16.0	15.0	13.5	12.8	11.8
65. A & H loss percent (Schedule H, Part 1, Lines 5 and 6, Col. 2) .....					
66. A & H cost containment percent (Schedule H, Pt. 1, Line 4, Col. 2) .....					
67. A & H expense percent excluding cost containment expenses (Schedule H, Pt. 1, Line 10, Col. 2) .....					
<b>A &amp; H Claim Reserve Adequacy</b>					
68. Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1 Col. 2) .....					
69. Prior years' claim liability and reserve - group health (Schedule H, Part 3, Line 3.2 Col. 2) .....					
70. Incurred losses on prior years' claims-health other than group (Schedule H, Part 3, Line 3.1 Col. 1 less Col. 2) .....					
71. Prior years' claim liability and reserve-health other than group (Schedule H, Part 3, Line 3.2 Col. 1 less Col. 2) .....					
<b>Net Gains From Operations After Federal Income Taxes by Lines of Business (Page 6, Line 33)</b>					
72. Industrial life (Col. 2) .....					
73. Ordinary - life (Col. 3) .....	12,023,550	10,845,009	7,911,262	3,564,944	525,520
74. Ordinary - individual annuities (Col. 4) .....					
75. Ordinary-supplementary contracts (Col. 5) .....	(462)	199,418	181,507	286,134	338,007
76. Credit life (Col. 6) .....					
77. Group life (Col. 7) .....					
78. Group annuities (Col. 8) .....					
79. A & H-group (Col. 9) .....					
80. A & H-credit (Col. 10) .....					
81. A & H-other (Col. 11) .....					
82. Aggregate of all other lines of business (Col. 12) ....					
83. Total (Col. 1) .....	12,023,088	11,044,427	8,092,769	3,851,078	863,527

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? ..... Yes [        ] No [        ]

If no, please explain: .....

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT OF LIFE INSURANCE

	Industrial		Ordinary		Credit Life (Group and Individual)		Group			10 Total Amount of Insurance (a)
	1	2	3	4	5	6	Number of		9	
	Number of Policies	Amount of Insurance (a)	Number of Policies	Amount of Insurance (a)	Number of Individual Policies and Group Certificates	Amount of Insurance (a)	7 Policies	8 Certificates	Amount of Insurance (a)	
1. In force end of prior year .....			40,049	18,888,206						18,888,206
2. Issued during year .....										
3. Reinsurance assumed .....										
4. Revived during year .....			115	21,208						21,208
5. Increased during year (net) .....				2						2
6. Subtotals, Lines 2 to 5 .....			115	21,210						21,210
7. Additions by dividends during year .....	XXX		XXX		XXX		XXX	XXX		
8. Aggregate write-ins for increases .....										
9. Totals (Lines 1 and 6 to 8) .....			40,164	18,909,416						18,909,416
Deductions during year:										
10. Death .....			86	30,439			XXX			30,439
11. Maturity .....							XXX			
12. Disability .....							XXX			
13. Expiry .....										
14. Surrender .....										
15. Lapse .....			5,402	2,759,966						2,759,966
16. Conversion .....			1,025	562,834			XXX	XXX	XXX	562,834
17. Decreased (net) .....			130	37,680						37,680
18. Reinsurance .....										
19. Aggregate write-ins for decreases .....										
20. Totals (Lines 10 to 19) .....			6,643	3,390,919						3,390,919
21. In force end of year (Line 9 minus Line 20) .....			33,521	15,518,497						15,518,497
22. Reinsurance ceded end of year .....	XXX		XXX	7,540,548	XXX		XXX	XXX		7,540,548
23. Line 21 minus Line 22 .....	XXX		XXX	7,977,949	XXX	(b)	XXX	XXX		7,977,949
DETAILS OF WRITE-INS										
0801. ....										
0802. ....										
0803. ....										
0898. Summary of remaining write-ins for Line 8 from overflow page .....										
0899. TOTALS (Lines 0801 thru 0803 plus 0898) (Line 8 above) .....										
1901. ....										
1902. ....										
1903. ....										
1998. Summary of remaining write-ins for Line 19 from overflow page .....										
1999. TOTALS (Lines 1901 thru 1903 plus 1998) (Line 19 above) .....										

(a) Amounts of life insurance in this exhibit shall be shown in thousands (omit 000)

(b) Group \$ ..... ; Individual \$ .....

EXHIBIT OF LIFE INSURANCE (Continued)

ADDITIONAL INFORMATION ON INSURANCE IN FORCE END OF YEAR

		Industrial		Ordinary	
		1 Number of Policies	2 Amount of Insurance (a)	3 Number of Policies	4 Amount of Insurance (a)
24.	Additions by dividends .....	XXX		XXX	
25.	Other paid-up insurance .....				
26.	Debit ordinary insurance .....	XXX	XXX		

ADDITIONAL INFORMATION ON ORDINARY INSURANCE

		Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
		1 Number of Policies	2 Amount of Insurance (a)	3 Number of Policies	4 Amount of Insurance (a)
Term Insurance Excluding Extended Term Insurance					
27.	Term policies - decreasing .....				
28.	Term policies - other .....			33,521	15,518,497
29.	Other term insurance - decreasing .....	XXX		XXX	
30.	Other term insurance .....	XXX		XXX	
31.	Totals (Lines 27 to 30) .....			33,521	15,518,497
Reconciliation to Lines 2 and 21:					
32.	Term additions .....	XXX		XXX	
33.	Totals, extended term insurance .....	XXX	XXX		
34.	Totals, whole life and endowment .....				
35.	Totals (Lines 31 to 34) .....			33,521	15,518,497

CLASSIFICATION OF AMOUNT OF INSURANCE (a) BY PARTICIPATING STATUS

		Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
		1 Non-Participating	2 Participating	3 Non-Participating	4 Participating
36.	Industrial .....				
37.	Ordinary .....			15,518,497	
38.	Credit Life (Group and Individual) .....				
39.	Group .....				
40.	Totals (Lines 36 to 39) .....			15,518,497	

ADDITIONAL INFORMATION ON CREDIT LIFE AND GROUP INSURANCE

		Credit Life		Group	
		1 Number of Individual Policies and Group Certificates	2 Amount of Insurance (a)	3 Number of Certificates	4 Amount of Insurance (a)
41.	Amount of insurance included in Line 2 ceded to other companies .....	XXX		XXX	
42.	Number in force end of year if the number under shared groups is counted on a pro-rata basis .....				XXX
43.	Federal Employees' Group Life Insurance included in Line 21 .....				
44.	Servicemen's Group Life Insurance included in Line 21 .....				
45.	Group Permanent Insurance included in Line 21 .....				

ADDITIONAL ACCIDENTAL DEATH BENEFITS

46.	Amount of additional accidental death benefits in force end of year under ordinary policies (a)	63,705
-----	---	--------

BASIS OF CALCULATION OF ORDINARY TERM INSURANCE

47.	State basis of calculation of (47.1) decreasing term insurance contained in Family Income Mortgage Protection, etc., policies and riders and of (47.2) term insurance on wife and children under Family, Parent and Children, etc., policies and riders included above.
47.1	
47.2	

POLICIES WITH DISABILITY PROVISIONS

		Industrial		Ordinary		Credit		Group	
		1 Number of Policies	2 Amount of Insurance (a)	3 Number of Policies	4 Amount of Insurance (a)	5 Number of Policies	6 Amount of Insurance (a)	7 Number of Certificates	8 Amount of Insurance (a)
Disability Provisions									
48.	Waiver of Premium .....			17,483	7,884,607				
49.	Disability Income .....								
50.	Extended Benefits .....			XXX	XXX				
51.	Other .....								
52.	Total		(b)	17,483	(b) 7,884,607		(b)		(b)

(a) Amounts of life insurance in this exhibit shall be shown in thousands (omit 000)  
(b) See Paragraph 9 of the Annual Audited Financial Reports in the General section of the annual statement instructions

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES

SUPPLEMENTARY CONTRACTS				
	Ordinary		Group	
	1 Involving Life Contingencies	2 Not Involving Life Contingencies	3 Involving Life Contingencies	4 Not Involving Life Contingencies
1. In force end of prior year .....		51		
2. Issued during year .....				
3. Reinsurance assumed .....				
4. Increased during year (net) .....				
5. Total (Lines 1 to 4) .....		51		
Deductions during year:				
6. Decreased (net) .....		17		
7. Reinsurance ceded .....				
8. Totals (Lines 6 and 7) .....		17		
9. In force end of year .....		34		
10. Amount on deposit .....		(a) 2,944,043		(a)
11. Income now payable .....				
12. Amount of income payable .....	(a)	(a)	(a)	(a)

ANNUITIES				
	Ordinary		Group	
	1 Immediate	2 Deferred	3 Contracts	4 Certificates
1. In force end of prior year .....				
2. Issued during year .....				
3. Reinsurance assumed .....				
4. Increased during year (net) .....				
5. Totals (Lines 1 to 4) .....				
Deductions during year:				
6. Decreased (net) .....				
7. Reinsurance ceded .....				
8. Totals (Lines 6 and 7) .....				
9. In force end of year .....				
Income now payable:				
10. Amount of income payable .....	(a)	XXX	XXX	(a)
Deferred fully paid:				
11. Account balance .....	XXX	(a)	XXX	(a)
Deferred not fully paid:				
12. Account balance .....	XXX	(a)	XXX	(a)

ACCIDENT AND HEALTH INSURANCE						
	Group		Credit		Other	
	1 Certificates	2 Premiums in Force	3 Policies	4 Premiums in Force	5 Policies	6 Premiums in Force
1. In force end of prior year .....						
2. Issued during year .....						
3. Reinsurance assumed .....						
4. Increased during year (net) .....		XXX		XXX		XXX
5. Totals (Lines 1 to 4) .....		XXX		XXX		XXX
Deductions during year:						
6. Conversions .....		XXX	XXX	XXX	XXX	XXX
7. Decreased (net) .....		XXX		XXX		XXX
8. Reinsurance ceded .....		XXX		XXX		XXX
9. Totals (Lines 6 to 8) .....		XXX		XXX		XXX
10. In force end of year .....		(a)		(a)		(a)

DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS		
	1	2
	Deposit Funds Contracts	Dividend Accumulations Contracts
1. In force end of prior year .....		
2. Issued during year .....		
3. Reinsurance assumed .....		
4. Increased during year (net) .....		
5. Totals (Lines 1 to 4) .....		
Deductions During Year:		
6. Decreased (net) .....		
7. Reinsurance ceded .....		
8. Totals (Lines 6 and 7) .....		
9. In force end of year .....		
10. Amount of account balance .....	(a)	(a)

(a) See Paragraph 9 of the Annual Audited Financial Reports in the General section of the annual statement instructions.

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE

INTEREST MAINTENANCE RESERVE		1
		Amount
1.	Reserve as of December 31, Prior Year .....	59,585
2.	Current year's realized pre-tax capital gains/(losses) of \$ .....150,264 transferred into the reserve net of taxes of \$ .....52,592	97,672
3.	Adjustment for current year's liability gains/(losses) released from the reserve .....	(99,537)
4.	Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3) .....	57,720
5.	Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4) .....	6,040
6.	Reserve as of December 31, current year (Line 4 minus Line 5)	51,680

AMORTIZATION				
	1	2	3	4
Year of Amortization	Reserve as of December 31, Prior Year	Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	Balance Before Reduction for Current Year's Amortization (Cols. 1 + 2 + 3)
1. 2015 .....	13,242	37,329	(44,531)	6,040
2. 2016 .....	1,405	14,957	(24,287)	(7,925)
3. 2017 .....	(514)	13,839	(11,588)	1,737
4. 2018 .....	3,541	10,888	(5,818)	8,611
5. 2019 .....	9,390	7,872	(4,520)	12,742
6. 2020 .....	9,667	4,747	(3,413)	11,001
7. 2021 .....	6,076	2,813	(2,434)	6,455
8. 2022 .....	2,375	2,250	(1,609)	3,016
9. 2023 .....	590	1,641	(997)	1,234
10. 2024 .....	801	1,008	(340)	1,469
11. 2025 .....	947	328		1,275
12. 2026 .....	986			986
13. 2027 .....	1,026			1,026
14. 2028 .....	1,086			1,086
15. 2029 .....	1,127			1,127
16. 2030 .....	1,191			1,191
17. 2031 .....	1,252			1,252
18. 2032 .....	1,320			1,320
19. 2033 .....	1,250			1,250
20. 2034 .....	1,037			1,037
21. 2035 .....	806			806
22. 2036 .....	553			553
23. 2037 .....	259			259
24. 2038 .....	95			95
25. 2039 .....	58			58
26. 2040 .....	19			19
27. 2041 .....				
28. 2042 .....				
29. 2043 .....				
30. 2044 .....				
31. 2045 and Later				
32. Total (Lines 1 to 31)	59,585	97,672	(99,537)	57,720



ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

ASSET VALUATION RESERVE

	Default Component			Equity Component			7 Total Amount (Cols. 3 + 6)
	1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1 + 2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4 + 5)	
1. Reserve as of December 31, prior year .....	419,768		419,768		8,959	8,959	428,727
2. Realized capital gains/(losses) net of taxes - General Account .....	(2,289)		(2,289)		(4,946)	(4,946)	(7,235)
3. Realized capital gains/(losses) net of taxes - Separate Accounts .....							
4. Unrealized capital gains/(losses) net of deferred taxes - General Account .....					4,999	4,999	4,999
5. Unrealized capital gains/(losses) net of deferred taxes - Separate Accounts .....							
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves .....							
7. Basic contribution .....	80,903		80,903				80,904
8. Accumulated balances (Lines 1 through 5 - 6 + 7) .....	498,382		498,382		9,013	9,013	507,394
9. Maximum reserve .....	520,330		520,330		3	3	520,333
10. Reserve objective .....	387,300		387,300		2	2	387,303
11. 20% of (Line 10 - Line 8) .....	(22,216)		(22,216)		(1,802)	(1,802)	(24,018)
12. Balance before transfers (Lines 8 + 11) .....	476,165		476,165		7,211	7,211	483,376
13. Transfers .....	7,207		7,207		(7,207)	(7,207)	
14. Voluntary contribution .....							
15. Adjustment down to maximum/up to zero .....							
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	483,372		483,372		4	4	483,376

**ASSET VALUATION RESERVE**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**DEFAULT COMPONENT**

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve		
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10	
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)	
LONG-TERM BONDS													
1.		Exempt Obligations .....	15,749,522	XXX	XXX	15,749,522	0.0000		0.0000		0.0000		
2.	1	Highest Quality .....	129,167,180	XXX	XXX	129,167,180	0.0004	51,667	0.0023	297,085	0.0030	387,502	
3.	2	High Quality .....	6,471,184	XXX	XXX	6,471,184	0.0019	12,295	0.0058	37,533	0.0090	58,241	
4.	3	Medium Quality .....	1,467,697	XXX	XXX	1,467,697	0.0093	13,650	0.0230	33,757	0.0340	49,902	
5.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750		
6.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700		
7.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000		
8.		Total Unrated Multi-class Securities Acquired by Conversion .....		XXX	XXX		XXX		XXX		XXX		
9.		Total Bonds (Sum of Lines 1 through 8)	152,855,583	XXX	XXX	152,855,583	XXX	77,612	XXX	368,374	XXX	495,644	
PREFERRED STOCK													
10.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030		
11.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090		
12.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340		
13.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750		
14.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700		
15.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000		
16.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000		
17.		Total Preferred Stocks (Sum of Lines 10 through 16)		XXX	XXX		XXX		XXX		XXX		
SHORT - TERM BONDS													
18.		Exempt Obligations .....		XXX	XXX		0.0000		0.0000		0.0000		
19.	1	Highest Quality .....	8,228,569	XXX	XXX	8,228,569	0.0004	3,291	0.0023	18,926	0.0030	24,686	
20.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090		
21.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340		
22.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750		
23.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700		
24.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000		
25.		Total Short - Term Bonds (Sum of Lines 18 through 24)	8,228,569	XXX	XXX	8,228,569	XXX	3,291	XXX	18,926	XXX	24,686	
DERIVATIVE INSTRUMENTS													
26.		Exchange Traded .....		XXX	XXX		0.0004		0.0023		0.0030		
27.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030		
28.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090		
29.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340		
30.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750		
31.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700		
32.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000		
33.		Total Derivative Instruments .....		XXX	XXX		XXX		XXX		XXX		
34.		Total (Lines 9 + 17 + 25 + 33)	161,084,152	XXX	XXX	161,084,152	XXX	80,903	XXX	387,300	XXX	520,330	

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**DEFAULT COMPONENT**

Line Num- ber	NAIC Desig- nation	Description	1  Book/Adjusted Carrying Value	2  Reclassify Related Party Encumbrances	3  Add Third Party Encumbrances	4  Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5  Factor	6  Amount (Cols.4 x 5)	7  Factor	8  Amount (Cols. 4 x 7)	9  Factor	10  Amount (Cols. 4 x 9)
		MORTGAGE LOANS										
		In Good Standing:										
35.		Farm Mortgages - CM1 - Highest Quality .....			XXX		0.0010		0.0050		0.0065	
36.		Farm Mortgages - CM2 - High Quality .....			XXX		0.0035		0.0100		0.0130	
37.		Farm Mortgages - CM3 - Medium Quality .....			XXX		0.0060		0.0175		0.0225	
38.		Farm Mortgages - CM4 - Low Medium Quality .....			XXX		0.0105		0.0300		0.0375	
39.		Farm Mortgages - CM5 - Low Quality .....			XXX		0.0160		0.0425		0.0550	
40.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
41.		Residential Mortgages - All Other .....			XXX		0.0013		0.0030		0.0040	
42.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
43.		Commercial Mortgages - All Other - CM1 - Highest Quality .....			XXX		0.0010		0.0050		0.0065	
44.		Commercial Mortgages - All Other - CM2 - High Quality .....			XXX		0.0035		0.0100		0.0130	
45.		Commercial Mortgages - All Other - CM3 - Medium Quality .....			XXX		0.0060		0.0175		0.0225	
46.		Commercial Mortgages - All Other - CM4 - Low Medium Quality .....			XXX		0.0105		0.0300		0.0375	
47.		Commercial Mortgages - All Other - CM5 - Low Quality .....			XXX		0.0160		0.0425		0.0550	
		Overdue, Not in Process:										
48.		Farm Mortgages .....			XXX		0.0420		0.0760		0.1200	
49.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
50.		Residential Mortgages - All Other .....			XXX		0.0025		0.0058		0.0090	
51.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
52.		Commercial Mortgages - All Other .....			XXX		0.0420		0.0760		0.1200	
		In Process of Foreclosure:										
53.		Farm Mortgages .....			XXX		0.0000		0.1700		0.1700	
54.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
55.		Residential Mortgages - All Other .....			XXX		0.0000		0.0130		0.0130	
56.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
57.		Commercial Mortgages - All Other .....			XXX		0.0000		0.1700		0.1700	
58.		Total Schedule B Mortgages (Sum of Lines 35 through 57)			XXX		XXX		XXX		XXX	
59.		Schedule DA Mortgages			XXX		0.0030		0.0100		0.0130	
60.		Total Mortgage Loans on Real Estate (Lines 58 + 59)			XXX		XXX		XXX		XXX	

**ASSET VALUATION RESERVE**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
COMMON STOCK												
1.		Unaffiliated - Public .....		XXX	XXX		0.0000		0.1300 (a)		0.1300 (a)	
2.		Unaffiliated - Private .....		XXX	XXX		0.0000		0.1600		0.1600	
3.		Federal Home Loan Bank .....		XXX	XXX		0.0000		0.0050		0.0080	
4.		Affiliated - Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
Affiliated - Investment Subsidiary:												
5.		Fixed Income - Exempt Obligations .....					XXX		XXX		XXX	
6.		Fixed Income - Highest Quality .....					XXX		XXX		XXX	
7.		Fixed Income - High Quality .....					XXX		XXX		XXX	
8.		Fixed Income - Medium Quality .....					XXX		XXX		XXX	
9.		Fixed Income - Low Quality .....					XXX		XXX		XXX	
10.		Fixed Income - Lower Quality .....					XXX		XXX		XXX	
11.		Fixed Income - In/Near Default .....					XXX		XXX		XXX	
12.		Unaffiliated Common Stock - Public .....					0.0000		0.1300 (a)		0.1300 (a)	
13.		Unaffiliated Common Stock - Private .....					0.0000		0.1600		0.1600	
14.		Real Estate .....					(b)		(b)		(b)	
15.		Affiliated - Certain Other (See SVO Purposes and Procedures Manual) .....		XXX	XXX		0.0000		0.1300		0.1300	
16.		Affiliated - All Other .....		XXX	XXX		0.0000		0.1600		0.1600	
17.		Total Common Stock (Sum of Lines 1 through 16)					XXX		XXX		XXX	
REAL ESTATE												
18.		Home Office Property (General Account only) .....					0.0000		0.0750		0.0750	
19.		Investment Properties .....					0.0000		0.0750		0.0750	
20.		Properties Acquired in Satisfaction of Debt .....					0.0000		0.1100		0.1100	
21.		Total Real Estate (Sum of Lines 18 through 20)					XXX		XXX		XXX	
OTHER INVESTED ASSETS												
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS												
22.		Exempt Obligations .....		XXX	XXX		0.0000		0.0000		0.0000	
23.	1	Highest Quality .....	1,072	XXX	XXX	1,072	0.0004		0.0023	2	0.0030	3
24.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
25.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
26.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
27.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
28.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
29.		Total with Bond Characteristics (Sum of Lines 22 through 28)	1,072	XXX	XXX	1,072	XXX		XXX	2	XXX	3

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF PREFERRED STOCKS										
30.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
31.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
32.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
33.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
34.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
35.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
36.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
37.		Total with Preferred Stock Characteristics (Sum of Lines 30 through 36)		XXX	XXX		XXX		XXX		XXX	
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF MORTGAGE LOANS										
		In Good Standing Affiliated:										
38.		Mortgages - CM1 - Highest Quality .....			XXX		0.0010		0.0050		0.0065	
39.		Mortgages - CM2 - High Quality .....			XXX		0.0035		0.0100		0.0130	
40.		Mortgages - CM3 - Medium Quality .....			XXX		0.0060		0.0175		0.0225	
41.		Mortgages - CM4 - Low Medium Quality .....			XXX		0.0105		0.0300		0.0375	
42.		Mortgages - CM5 - Low Quality .....			XXX		0.0160		0.0425		0.0550	
43.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
44.		Residential Mortgages - All Other .....		XXX	XXX		0.0013		0.0030		0.0040	
45.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
		Overdue, Not in Process Affiliated:										
46.		Farm Mortgages .....			XXX		0.0420		0.0760		0.1200	
47.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
48.		Residential Mortgages - All Other .....			XXX		0.0025		0.0058		0.0090	
49.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
50.		Commercial Mortgages - All Other .....			XXX		0.0420		0.0760		0.1200	
		In Process of Foreclosure Affiliated:										
51.		Farm Mortgages .....			XXX		0.0000		0.1700		0.1700	
52.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
53.		Residential Mortgages - All Other .....			XXX		0.0000		0.0130		0.0130	
54.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
55.		Commercial Mortgages - All Other .....			XXX		0.0000		0.1700		0.1700	
56.		Total Affiliated (Sum of Lines 38 through 55) .....			XXX		XXX		XXX		XXX	
57.		Unaffiliated - In Good Standing With Covenants .....			XXX		(c)		(c)		(c)	
58.		Unaffiliated - In Good Standing Defeased With Government Securities .....			XXX		0.0010		0.0050		0.0065	
59.		Unaffiliated - In Good Standing Primarily Senior .....			XXX		0.0035		0.0010		0.0130	
60.		Unaffiliated - In Good Standing All Other .....			XXX		0.0060		0.0175		0.0225	
61.		Unaffiliated - Overdue, Not in Process .....			XXX		0.0420		0.0760		0.1200	
62.		Unaffiliated - In Process of Foreclosure .....			XXX		0.0000		0.1700		0.1700	
63.		Total Unaffiliated (Sum of Lines 57 through 62) .....			XXX		XXX		XXX		XXX	
64.		Total with Mortgage Loan Characteristics (Lines 56 + 63)			XXX		XXX		XXX		XXX	

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK										
65.		Unaffiliated Public .....		XXX	XXX		0.0000		0.1300 (a)		0.1300 (a)	
66.		Unaffiliated Private .....		XXX	XXX		0.0000		0.1600		0.1600	
67.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
68.		Affiliated Certain Other (See SVO Purposes & Procedures Manual) .....		XXX	XXX		0.0000		0.1300		0.1300	
69.		Affiliated Other - All Other .....		XXX	XXX		0.0000		0.1600		0.1600	
70.		Total with Common Stock Characteristics (Sum of Lines 65 through 69)		XXX	XXX		XXX		XXX		XXX	
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE										
71.		Home Office Property (General Account only) .....					0.0000		0.0750		0.0750	
72.		Investment Properties .....					0.0000		0.0750		0.0750	
73.		Properties Acquired in Satisfaction of Debt .....					0.0000		0.1100		0.1100	
74.		Total with Real Estate Characteristics (Sum of Lines 71 through 73)					XXX		XXX		XXX	
		LOW INCOME HOUSING TAX CREDIT INVESTMENTS										
75.		Guaranteed Federal Low Income Housing Tax Credit .....					0.0003		0.0006		0.0010	
76.		Non-guaranteed Federal Low Income Housing Tax Credit .....					0.0063		0.0120		0.0190	
77.		Guaranteed State Low Income Housing Tax Credit .....					0.0003		0.0006		0.0010	
78.		Non-guaranteed State Low Income Housing Tax Credit .....					0.0063		0.0120		0.0190	
79.		All Other Low Income Housing Tax Credit .....					0.0273		0.0600		0.0975	
80.		Total LIHTC (Sum of Lines 75 through 79)					XXX		XXX		XXX	
		ALL OTHER INVESTMENTS										
81.		NAIC 1 Working Capital Finance Investments .....		XXX			0.0000		0.0037		0.0037	
82.		NAIC 2 Working Capital Finance Investments .....		XXX			0.0000		0.0120		0.0120	
83.		Other Invested Assets - Schedule BA .....		XXX			0.0000		0.1300		0.1300	
84.		Other Short-Term Invested Assets - Schedule DA .....		XXX			0.0000		0.1300		0.1300	
85.		Total All Other (Sum of Lines 81, 82, 83 and 84) .....		XXX			XXX		XXX		XXX	
86.		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 29, 37, 64, 70, 74, 80 and 85)	1,072			1,072	XXX		XXX	2	XXX	3

(a) Times the company's weighted average portfolio beta (Minimum .10, Maximum .20).  
(b) Determined using the same factors and breakdowns used for directly owned real estate.  
(c) This will be the factor associated with the risk category determined in the company generated worksheet.

Asset Valuation Reserve Replications (Synthetic) Assets  
**N O N E**

Schedule F - Claims  
**N O N E**

Schedule H - Part 1 - Analysis of Underwriting Operations  
**N O N E**

Schedule H - Part 2 - Reserves and Liabilities  
**N O N E**

Schedule H - Part 3 - Prior Year's Claim Reserves and Liabilities  
**N O N E**

Schedule H - Part 4 - Reinsurance  
**N O N E**

Schedule H - Part 5 - Health Claims  
**N O N E**

## ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

## SCHEDULE S - PART 1 - SECTION 1

Reinsurance Assumed Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsured Company as of December 31, Current Year

[illegible]



## ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

## SCHEDULE S - PART 1 - SECTION 2

Reinsurance Assumed Accident and Health Insurance Listed by Reinsured Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsured	5 Domiciliary Jurisdiction	6 Type of Reinsurance Assumed	7 Premiums	8 Unearned Premiums	9 Reserve Liability Other Than for Unearned Premiums	10 Reinsurance Payable on Paid and Unpaid Losses	11 Modified Coinsurance Reserve	12 Funds Withheld Under Coinsurance
NONE											
9999999 - Totals											

## SCHEDULE S - PART 2

[illegible]

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE S - PART 3 - SECTION 1

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Amount in Force at End of Year	Reserve Credit Taken		11 Premiums	Outstanding Surplus Relief		14 Modified Coinsurance Reserve	15 Funds Withheld Under Coinsurance
								9	10		12	13		
								Current Year	Prior Year		Current Year	Prior Year		
66915	13-5582869	02/11/1998	New York Life Insurance Company	NY	YRT/I	OL				159,891				
0299999. General Account - Authorized U.S. Affiliates - Other										159,891				
0399999. Total General Account - Authorized U.S. Affiliates										159,891				
0699999. Total General Account - Authorized Non-U.S. Affiliates														
0799999. Total General Account - Authorized Affiliates										159,891				
80659	38-0397420	06/01/2001	Canada Life Assurance Company	CAN	YRT/I	OL	10,215,000	77,355	70,538	79,350				
86258	13-2572994	12/06/1976	General Re Life Corporation	CT	YRT/I	OL	90,469,500	310,050	397,693	386,112				
66346	58-0828824	02/11/1998	Munich American Reassurance Company	GA	YRT/I	OL	1,185,376,989	3,527,723	4,175,813	2,878,445				
66346	58-0828824	02/11/1998	Munich American Reassurance Company	GA	CO/I	OL	126,226,675	623,277	669,656	553,202				
68723	86-0742727	02/11/1998	New York Life Agents Reinsurance Company	AZ	YRT/I	OL	347,142,282			625,168				
93572	43-1235868	02/11/1998	RGA Reinsurance Company	MO	CO/I	OL	378,679,990	1,868,831	2,007,870	1,659,594				
93572	43-1235868	03/30/1998	RGA Reinsurance Company	MO	YRT/I	OL	2,995,689,214	8,354,249	9,547,405	6,671,954				
68713	84-0499703	02/11/1998	Security Life of Denver Insurance Company	CO	CO/I	OL	252,453,315	1,245,590	1,338,250	1,050,926				
82627	06-0839705	05/26/2000	Swiss Re Life and Health America Inc.	CT	YRT/I	OL	555,223,143	1,796,352	1,901,767	1,646,313				
86231	39-0989781	02/11/1998	Transamerica Life Insurance Company	IA	CO/I	OL	252,453,283	1,245,585	1,338,245	1,106,392				
86231	39-0989781	02/11/1998	Transamerica Life Insurance Company	IA	YRT/I	OL	1,327,388,000	3,713,380	4,422,254	3,007,038				
88340	59-2859797	04/01/2014	Hannover Life Reassurance Company of America	FL	YRT/I	OL	19,230,223	39,460	29,230	18,847				
0899999. General Account - Authorized U.S. Non-Affiliates								7,540,547,614	22,801,852	25,898,721	19,683,341			
1099999. Total General Account - Authorized Non-Affiliates								7,540,547,614	22,801,852	25,898,721	19,683,341			
1199999. Total General Account Authorized								7,540,547,614	22,801,852	25,898,721	19,843,232			
1499999. Total General Account - Unauthorized U.S. Affiliates														
1799999. Total General Account - Unauthorized Non-U.S. Affiliates														
1899999. Total General Account - Unauthorized Affiliates														
2199999. Total General Account - Unauthorized Non-Affiliates														
2299999. Total General Account Unauthorized														
2599999. Total General Account - Certified U.S. Affiliates														
2899999. Total General Account - Certified Non-U.S. Affiliates														
2999999. Total General Account - Certified Affiliates														
3299999. Total General Account - Certified Non-Affiliates														
3399999. Total General Account Certified														
3499999. Total General Account Authorized, Unauthorized and Certified								7,540,547,614	22,801,852	25,898,721	19,843,232			
3799999. Total Separate Accounts - Authorized U.S. Affiliates														
4099999. Total Separate Accounts - Authorized Non-U.S. Affiliates														
4199999. Total Separate Accounts - Authorized Affiliates														
4499999. Total Separate Accounts - Authorized Non-Affiliates														
4599999. Total Separate Accounts Authorized														
4899999. Total Separate Accounts - Unauthorized U.S. Affiliates														
5199999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates														
5299999. Total Separate Accounts - Unauthorized Affiliates														
5599999. Total Separate Accounts - Unauthorized Non-Affiliates														
5699999. Total Separate Accounts Unauthorized														
5999999. Total Separate Accounts - Certified U.S. Affiliates														
6299999. Total Separate Accounts - Certified Non-U.S. Affiliates														
6399999. Total Separate Accounts - Certified Affiliates														
6699999. Total Separate Accounts - Certified Non-Affiliates														
6799999. Total Separate Accounts Certified														
6899999. Total Separate Accounts Authorized, Unauthorized and Certified														
6999999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3799999, 4299999, 4899999, 5399999, 5999999 and 6499999)								7,540,547,614	22,801,852	25,898,721	19,843,232			
7099999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 4099999, 4399999, 5199999, 5499999, 6299999 and 6599999)														
9999999 - Totals								7,540,547,614	22,801,852	25,898,721	19,843,232			

Schedule S - Part 3 - Section 2

N O N E

Schedule S - Part 4

N O N E

Schedule S - Part 4 - Bank Footnote

N O N E

Schedule S - Part 5

N O N E

Schedule S - Part 5 - Bank Footnote

N O N E

SCHEDULE S - PART 6

Five Year Exhibit of Reinsurance Ceded Business  
(000 OMITTED)

	1 2015	2 2014	3 2013	4 2012	5 2011
A. OPERATIONS ITEMS					
1. Premiums and annuity considerations for life and accident and health contracts .....	19,843	18,431	29,870	33,145	35,672
2. Commissions and reinsurance expense allowances .....	1,051	1,110	1,182	1,191	1,224
3. Contract claims .....	15,104	16,536	19,852	37,576	33,786
4. Surrender benefits and withdrawals for life contracts .....					
5. Dividends to policyholders .....					
6. Reserve adjustments on reinsurance ceded .....					
7. Increase in aggregate reserve for life and accident and health contracts .....	(3,097)	(13,755)	(6,711)	(6,489)	(6,546)
B. BALANCE SHEET ITEMS					
8. Premiums and annuity considerations for life and accident and health contracts deferred and uncollected .....	1,788	1,698	3,131	3,109	3,366
9. Aggregate reserves for life and accident and health contracts .....	22,802	25,899	39,654	46,365	52,854
10. Liability for deposit-type contracts .....					
11. Contract claims unpaid .....	4,278	3,712	7,837	4,968	8,930
12. Amounts recoverable on reinsurance .....	690	1,027	261	3,380	755
13. Experience rating refunds due or unpaid .....					
14. Policyholders' dividends (not included in Line 10) .....					
15. Commissions and reinsurance expense allowances due .....	91	99	94	112	101
16. Unauthorized reinsurance offset .....					
17. Offset for reinsurance with Certified Reinsurers .....					XXX
C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)					
18. Funds deposited by and withheld from (F) .....					
19. Letters of credit (L) .....					
20. Trust agreements (T) .....					
21. Other (O) .....					
D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)					
22. Multiple Beneficiary Trust .....					XXX
23. Funds deposited by and withheld from (F) .....					XXX
24. Letters of credit (L) .....					XXX
25. Trust agreements (T) .....					XXX
26. Other (O) .....					XXX

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit for Ceded Reinsurance

	1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
<b>ASSETS (Page 2, Col. 3)</b>			
1. Cash and invested assets (Line 12) .....	166,022,805		166,022,805
2. Reinsurance (Line 16) .....	781,459	(781,459)	
3. Premiums and considerations (Line 15) .....	26,517,792	1,788,397	28,306,189
4. Net credit for ceded reinsurance .....	XXX	26,072,414	26,072,414
5. All other admitted assets (balance) .....	7,145,087		7,145,087
6. Total assets excluding Separate Accounts (Line 26) .....	200,467,143	27,079,352	227,546,495
7. Separate Account assets (Line 27) .....			
8. Total assets (Line 28)	200,467,143	27,079,352	227,546,495
<b>LIABILITIES, CAPITAL AND SURPLUS (Page 3)</b>			
9. Contract reserves (Lines 1 and 2) .....	89,607,709	22,801,852	112,409,561
10. Liability for deposit-type contracts (Line 3) .....	2,944,043		2,944,043
11. Claim reserves (Line 4) .....	2,212,057	4,277,500	6,489,557
12. Policyholder dividends/reserves (Lines 5 through 7) .....			
13. Premium & annuity considerations received in advance (Line 8) .....	129,035		129,035
14. Other contract liabilities (Line 9) .....	51,680		51,680
15. Reinsurance in unauthorized companies (Line 24.02 minus inset amount) .....			
16. Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount) .....			
17. Reinsurance with Certified Reinsurers (Line 24.02 inset amount) .....			
18. Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount) .....			
19. All other liabilities (balance) .....	14,346,232		14,346,232
20. Total liabilities excluding Separate Accounts (Line 26) .....	109,290,756	27,079,352	136,370,108
21. Separate Account liabilities (Line 27) .....			
22. Total liabilities (Line 28) .....	109,290,756	27,079,352	136,370,108
23. Capital & surplus (Line 38) .....	91,176,387	XXX	91,176,387
24. Total liabilities, capital & surplus (Line 39)	200,467,143	27,079,352	227,546,495
<b>NET CREDIT FOR CEDED REINSURANCE</b>			
25. Contract reserves .....	22,801,852		
26. Claim reserves .....	4,277,500		
27. Policyholder dividends/reserves .....			
28. Premium & annuity considerations received in advance .....			
29. Liability for deposit-type contracts .....			
30. Other contract liabilities .....			
31. Reinsurance ceded assets .....	781,459		
32. Other ceded reinsurance recoverables .....			
33. Total ceded reinsurance recoverables .....	27,860,811		
34. Premiums and considerations .....	1,788,397		
35. Reinsurance in unauthorized companies .....			
36. Funds held under reinsurance treaties with unauthorized reinsurers .....			
37. Reinsurance with Certified Reinsurers .....			
38. Funds held under reinsurance treaties with Certified Reinsurers .....			
39. Other ceded reinsurance payables/offsets .....			
40. Total ceded reinsurance payable/offsets .....	1,788,397		
41. Total net credit for ceded reinsurance	26,072,414		

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Allocated by States and Territories

States, Etc.			1		Direct Business Only				
			Life Contracts		4	5	6	7	
			2	3					
Active Status			Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts	
1.	Alabama	AL	L	989,357				989,357	
2.	Alaska	AK	L	608,180				608,180	
3.	Arizona	AZ	L	1,013,768				1,013,768	
4.	Arkansas	AR	L	307,724				307,724	
5.	California	CA	L	8,709,396				8,709,396	
6.	Colorado	CO	L	753,326				753,326	
7.	Connecticut	CT	L	445,251				445,251	
8.	Delaware	DE	L	187,427				187,427	
9.	District of Columbia	DC	L	138,243				138,243	
10.	Florida	FL	L	2,588,791				2,588,791	
11.	Georgia	GA	L	1,551,304				1,551,304	
12.	Hawaii	HI	L	49,037				49,037	
13.	Idaho	ID	L	197,360				197,360	
14.	Illinois	IL	L	1,320,187				1,320,187	
15.	Indiana	IN	L	170,347				170,347	
16.	Iowa	IA	L	609,308				609,308	
17.	Kansas	KS	L	476,088				476,088	
18.	Kentucky	KY	L	476,688				476,688	
19.	Louisiana	LA	L	1,719,779				1,719,779	
20.	Maine	ME	N	8,307				8,307	
21.	Maryland	MD	L	814,774				814,774	
22.	Massachusetts	MA	L	677,703				677,703	
23.	Michigan	MI	L	824,149				824,149	
24.	Minnesota	MN	L	281,279				281,279	
25.	Mississippi	MS	L	511,493				511,493	
26.	Missouri	MO	L	907,055				907,055	
27.	Montana	MT	L	299,323				299,323	
28.	Nebraska	NE	L	169,133				169,133	
29.	Nevada	NV	L	512,702				512,702	
30.	New Hampshire	NH	L	83,968				83,968	
31.	New Jersey	NJ	L	1,241,182				1,241,182	
32.	New Mexico	NM	L	549,629				549,629	
33.	New York	NY	N	169,697				169,697	
34.	North Carolina	NC	L	707,070				707,070	
35.	North Dakota	ND	L	103,640				103,640	
36.	Ohio	OH	L	850,841				850,841	
37.	Oklahoma	OK	L	452,067				452,067	
38.	Oregon	OR	L	556,255				556,255	
39.	Pennsylvania	PA	L	1,187,853				1,187,853	
40.	Rhode Island	RI	L	60,912				60,912	
41.	South Carolina	SC	L	837,422				837,422	
42.	South Dakota	SD	L	623,515				623,515	
43.	Tennessee	TN	L	371,366				371,366	
44.	Texas	TX	L	4,209,141				4,209,141	
45.	Utah	UT	L	519,226				519,226	
46.	Vermont	VT	L	104,896				104,896	
47.	Virginia	VA	L	1,268,542				1,268,542	
48.	Washington	WA	L	1,906,920				1,906,920	
49.	West Virginia	WV	L	110,704				110,704	
50.	Wisconsin	WI	L	506,757				506,757	
51.	Wyoming	WY	L	157,272				157,272	
52.	American Samoa	AS	N						
53.	Guam	GU	N						
54.	Puerto Rico	PR	N						
55.	U.S. Virgin Islands	VI	N						
56.	Northern Mariana Islands	MP	N						
57.	Canada	CAN	N	17,151				17,151	
58.	Aggregate Other Alien	OT	XXX	109,285				109,285	
59.	Subtotal	(a)	49	44,022,790				44,022,790	
90.	Reporting entity contributions for employee benefits plans	XXX							
91.	Dividends or refunds applied to purchase paid-up additions and annuities	XXX							
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX							
93.	Premium or annuity considerations waived under disability or other contract provisions	XXX		623,681				623,681	
94.	Aggregate or other amounts not allocable by State	XXX							
95.	Totals (Direct Business)	XXX		44,646,471				44,646,471	
96.	Plus reinsurance assumed	XXX		226,991				226,991	
97.	Totals (All Business)	XXX		44,873,462				44,873,462	
98.	Less reinsurance ceded	XXX		19,752,620				19,752,620	
99.	Totals (All Business) less Reinsurance Ceded	XXX		25,120,842	(b)			25,120,842	
DETAILS OF WRITE-INS									
58001.	ZZZ Other Alien	XXX		109,285				109,285	
58002.		XXX							
58003.		XXX							
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX							
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		109,285				109,285	
9401.		XXX							
9402.		XXX							
9403.		XXX							
9498.	Summary of remaining write-ins for Line 94 from overflow page	XXX							
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX							

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

Explanation of basis of allocation by states, etc., of premiums and annuity considerations

Show each state from which the company has received premiums and considerations, with the amounts received from all lines in each state shown as a single total for that state. Direct Ordinary life premiums are allocated by state on the basis of the address to which the premium notice is sent. \*Premium or annuity considerations waived under disability or other contract provisions are shown in one sum on Line 93, Columns 2, 3, 4, 5, 6, and 7. \*\*All U.S. business must be allocated by state regardless of license status. NOTE: Schedule T should not be used as the basis for state guaranty association assessments.

(a) Insert the number of L responses except for Canada and Other Alien.

(b) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10, or with Schedule H, Part 1, Line 1, indicate which: Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10.

SCHEDULE T - PART 2  
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN

Allocated by States and Territories

			Direct Business Only				
			1	2	3	4	6
States, Etc.			Life (Group and Individual)	Annuities (Group and Individual)	Disability Income (Group and Individual)	Long-Term Care (Group and Individual)	Totals
1.	Alabama .....	AL	989,357				989,357
2.	Alaska .....	AK	608,180				608,180
3.	Arizona .....	AZ	1,013,768				1,013,768
4.	Arkansas .....	AR	307,724				307,724
5.	California .....	CA	8,709,396				8,709,396
6.	Colorado .....	CO	753,326				753,326
7.	Connecticut .....	CT	445,251				445,251
8.	Delaware .....	DE	187,427				187,427
9.	District of Columbia .....	DC	138,243				138,243
10.	Florida .....	FL	2,588,791				2,588,791
11.	Georgia .....	GA	1,551,304				1,551,304
12.	Hawaii .....	HI	49,037				49,037
13.	Idaho .....	ID	197,360				197,360
14.	Illinois .....	IL	1,320,187				1,320,187
15.	Indiana .....	IN	170,347				170,347
16.	Iowa .....	IA	609,308				609,308
17.	Kansas .....	KS	476,088				476,088
18.	Kentucky .....	KY	476,688				476,688
19.	Louisiana .....	LA	1,719,779				1,719,779
20.	Maine .....	ME	8,307				8,307
21.	Maryland .....	MD	814,774				814,774
22.	Massachusetts .....	MA	677,703				677,703
23.	Michigan .....	MI	824,149				824,149
24.	Minnesota .....	MN	281,279				281,279
25.	Mississippi .....	MS	511,493				511,493
26.	Missouri .....	MO	907,055				907,055
27.	Montana .....	MT	299,323				299,323
28.	Nebraska .....	NE	169,133				169,133
29.	Nevada .....	NV	512,702				512,702
30.	New Hampshire .....	NH	83,968				83,968
31.	New Jersey .....	NJ	1,241,182				1,241,182
32.	New Mexico .....	NM	549,629				549,629
33.	New York .....	NY	169,697				169,697
34.	North Carolina .....	NC	707,070				707,070
35.	North Dakota .....	ND	103,640				103,640
36.	Ohio .....	OH	850,841				850,841
37.	Oklahoma .....	OK	452,067				452,067
38.	Oregon .....	OR	556,255				556,255
39.	Pennsylvania .....	PA	1,187,853				1,187,853
40.	Rhode Island .....	RI	60,912				60,912
41.	South Carolina .....	SC	837,422				837,422
42.	South Dakota .....	SD	623,515				623,515
43.	Tennessee .....	TN	371,366				371,366
44.	Texas .....	TX	4,209,141				4,209,141
45.	Utah .....	UT	519,226				519,226
46.	Vermont .....	VT	104,896				104,896
47.	Virginia .....	VA	1,268,542				1,268,542
48.	Washington .....	WA	1,906,920				1,906,920
49.	West Virginia .....	WV	110,704				110,704
50.	Wisconsin .....	WI	506,757				506,757
51.	Wyoming .....	WY	157,272				157,272
52.	American Samoa .....	AS					
53.	Guam .....	GU					
54.	Puerto Rico .....	PR					
55.	U.S. Virgin Islands .....	VI					
56.	Northern Mariana Islands .....	MP					
57.	Canada .....	CAN	17,151				17,151
58.	Aggregate Other Alien .....	OT	109,285				109,285
59.	Total		44,022,790				44,022,790



STATEMENT AS OF DECEMBER 31, 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 - ORGANIZATIONAL CHART**

The following entities are directly controlled by New York Life Insurance Company (Parent) (entities that are indented are directly controlled by the preceding entity).

New York Life Insurance and Annuity Corporation (See page 51.2 for entity's org chart)(13-3044743) (91596)(DE)	SCP 2005-C21-063 LLC (DE)
NYLIFE Insurance Company of Arizona (52-1530175)(81353)(AZ)	SCP 2005-C21-067 LLC (DE)
New York Life Enterprises LLC (See page 51.2 for entity's org chart)(13-4199614)(DE)	SCP 2005-C21-069 LLC (DE)
NYLIFE LLC (See page 51.2 for entity's org chart)(13-4081725)(DE)	SCP 2005-C21-070 LLC (DE)
New York Life Investment Management Holdings LLC (See page 51.3 for entity's org chart)(52-2206685)(DE)	Madison Capital Funding LLC (DE)
NYLife Real Estate Holdings LLC (See page 51.7 for entity's org chart)(DE)	Madison Avenue Loan Fund GP LLC (DE)
Samsung US Dynamic Asset Allocation Securities Feeder Investment Trust H (ROK)	Madison Avenue Loan Fund LP (80-0920962)(DE)
NYL Real Assets LLC (DE)	MCF Capital Management LLC (DE)
NYL Emerging Manager LLC (DE)	Ironshore Investment BL I Ltd. (BMU)
NYL Investors LLC (See page 51.2 for entity's org chart) (46-4293486)(DE)	LMF WF Portfolio II, LLC (DE)
New York Life Short Term Fund (NY)	MCF CLO I LLC (DE)
NYL Wind Investments LLC (DE)	MCF CLO II LLC (DE)
PTC Acquisitions, LLC (DE)	MCF CLO III LLC (DE)
NYMH-Farmingdale, NY LLC (DE)	MCF CLO IV LLC (DE)
NYLMDC-King of Prussia GP, LLC (DE)	MCF Mezzanine Carry I LLC (DE)
NYLMDC-King of Prussia Realty, LP (DE)	MCF Mezzanine Fund I LLC (DE)
NYMH-Attleboro MA, LLC (DE)	Montpelier Carry Parent, LLC (DE)
NYMH-Ennis GP, LLC (DE)	Montpelier Carry, LLC (DE)
NYMH-Ennis, L.P. (TX)	Montpelier GP, LLC (DE)
NYMH-Freeport GP, LLC (DE)	Montpelier Fund, L.P. (90-0938480) (DE)
NYMH-Freeport, L.P. (TX)	Young America Holdings, LLC (DE)
NYMH-Houston GP, LLC (DE)	YAC.ECOM Incorporated (MN)
NYMH-Houston, L.P. (TX)	Young America, LLC (MN)
NYMH-Plano GP, LLC (DE)	Global Fulfillment Services, Inc. (AZ)
NYMH-Plano, L.P. (TX)	SourceOne Worldwide, Inc. (MN)
NYMH-San Antonio GP, LLC (DE)	YA Canada Corporation (CAN)
NYMH-San Antonio, L.P. (TX)	Zenith Products Holdings, Inc (DE)
NYMH-Stephenville GP, LLC (DE)	ZPC Holding Corp. (DE)
NYMH-Stephenville, L.P. (TX)	Zenith Products Corporation (DE)
NYMH-Taylor GP, LLC (DE)	MCF Co-Investment GP, LLC (DE)
NYMH-Taylor, L.P. (TX)	MCF Co-Investment GP, LP (DE)
SCP 2005-C21-002 LLC (DE)	Madison Capital Funding Co-Investment Fund, LP (DE)
SCP 2005-C21-003 LLC (DE)	MCF Fund I LLC (DE)
SCP 2005-C21-006 LLC (DE)	Warwick McAlester Holdings, LLC (DE)
SCP 2005-C21-007 LLC (DE)	Meeco Sullivan, LLC (DE)
SCP 2005-C21-008 LLC (DE)	Electric Avenue, LLC (DE)
SCP 2005-C21-009 LLC (DE)	Silver Spring, LLC (DE)
SCP 2005-C21-017 LLC (DE)	Silver Spring Associates, L.P. (PA)
SCP 2005-C21-018 LLC (DE)	NYLIM Flatiron CLO 2004-1 Ltd. (CYM)
SCP 2005-C21-021 LLC (DE)	NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A (CYM)
SCP 2005-C21-025 LLC (DE)	NYLIM Flatiron CLO 2006-1 Ltd. (CYM)
SCP 2005-C21-031 LLC (DE)	NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A (CYM)
SCP 2005-C21-036 LLC (DE)	Flatiron CLO 2007-1 Ltd. (CYM)
SCP 2005-C21-041 LLC (DE)	NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A (CYM)
SCP 2005-C21-043 LLC (DE)	Flatiron CLO 2011-1 Ltd. (CYM)
SCP 2005-C21-044 LLC (DE)	Flatiron CLO 2012-1 Ltd. (CYM)
SCP 2005-C21-048 LLC (DE)	Flatiron CLO 2013-1 Ltd. (CYM)
SCP 2005-C21-061 LLC (DE)	Flatiron CLO 2014-1 Ltd. (CYM)

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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

New York Life Insurance Company (Parent) (continued)

Flatiron CLO 16 Ltd. (CYM)	REEP-OFC One Water Ridge NC LLC (DE)
Flatiron CLO 2015-1 Ltd. (CYM)	REEP-OFC Two Water Ridge NC LLC (DE)
Stratford CDO 2001-1 Ltd. (CYM)	REEP-OFC Four Water Ridge NC LLC (DE)
Silverado CLO 2006-II Limited (CYM)	REEP-OFC Five Water Ridge NC LLC (DE)
Silverado 2006-II Equity Holdings LLC, Series A (CYM)	REEP-OFC Six Water Ridge NC LLC (DE)
New York Life Funding (CYM)	REEP-OFC Seven Water Ridge NC LLC (DE)
New York Life Global Funding (DE)	REEP-OFC Eight Water Ridge NC LLC (DE)
Martingale Road LLC (DE)	REEP-OFC Nine Water Ridge NC LLC (DE)
UFI-NOR Federal Receivables (NY)	REEP-OFC Ten Water Ridge NC LLC (DE)
Government Energy Savings Trust 2003-A (NY)	REEP-OFC Eleven Water Ridge NC LLC (DE)
NYL Equipment Issuance Trust (DE)	REEP-OFC Water Ridge NC Holdco LLC (DE)
Cumberland Condominium Association, Inc. (TN)	REEP-OFC Water Ridge NC LLC (DE)
Candriam Balanced Asset Alloc (LUX)	
Candriam L Defensive Asset Fund (LUX)	
REEP-IND FREEDOM MA LLC (DE)	
REEP-IND AEGEAN MA LLC (DE)	
REEP-IND LYMAN MA LLC (DE)	
REEP-OFC Drakes Landing CA LLC (DE)	
REEP-OFC Bellevue WA LLC (DE)	
REEP-IND Kent LLC (DE)	
REEP-IND RTG NC LLC (DE)	
REEP-IND Fridley MN LLC (MN)	
REEP-IND Green Oaks IL LLC (DE)	
REEP-MF Issaquah WA LLC (DE)	
REEP-MF Chandler AZ LLC	
REEP-MF Verde NC LLC (DE)	
REEP-MF Mount Vernon GA LLC (DE)	
REEP-MF Wallingford WA LLC (DE)	
REEP-IND CHINO CA LLC (DE)	
REEP-OFC CORPORATE POINTE CA LLC (DE)	
REEP-OFC VON KARMAN CA LLC (DE)	
2015 DIL PORTFOLIO HOLDINGS LLC (DE)	
CT 611 W. JOHNSON AVE LLC (DE)	
CT 550 RESEARCH PKWY LLC (DE)	
CT 160 CORPORATE COURT LLC (DE)	
NJ 663 E. CRESCENT AVE LLC (DE)	
NJ 1881 ROUTE 46 LLC (DE)	
PA 180 KOST RD LLC (DE)	

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PART 1 - ORGANIZATIONAL CHART

**New York Life Insurance and Annuity Corporation**

Ausbil IT - Ausbil Microcap Fund (AUS)

**New York Life Enterprises LLC**

New York Life International Holdings Limited (MUS)  
NYL Cayman Holdings Ltd. (CYM)  
    NYL Worldwide Capital Investments LLC (DE)  
Seguros Monterrey New York Life, S.A. de C.V. (MEX)  
    Inmobiliaria SMNYL, S.A. de C.V. (MEX)  
    Administradora de Conductos SMNYL, S.A. de C.V. (MEX)  
    Agencias de Distribucion SMNYL, S.A. de C.V. (MEX)  
SEAF Sichuan SME Investment Fund LLC (DE)

**NYL Investors LLC**

New York Life Investment Management (U.K.) Ltd. (GBR)  
NYLIM Holdings NCVAD, GP, LLC (DE)  
    McMorgan Northern California Value Add/Development Fund I, L.P. (DE)  
        MNCVAD-IND Greenwood CA LLC (DE)  
        MNCVAD-IND Concourse CA LLC (DE)  
        MNCVAD-IND Norris Canyon CA LLC (DE)  
            MNCVAD-CP Norris Canyon LLC (DE)  
        MNCVAD-OFC 2665 North First CA LLC (DE)  
            MNCVAD-SEAGATE 2665 North First LLC (DE)  
        MNCVAD-IND Petaluma CA LLC (DE)  
        MNCVAD-OFC Bridgepointe CA LLC (DE)  
        MNCVAD-OFC Ridder Park CA LLC (DE)  
        MNCVAD GRAYMARK Ridder Park LLC (DE)

**NYLIFE LLC**

Eagle Strategies LLC (DE)  
New York Life Capital Corporation (DE)  
New York Life Trust Company (13-3808042) (NY)  
    New York Life Structured Settlement Trust (NY)  
NYL Executive Benefits LLC (DE)  
NYLIFE Securities LLC (13-2649692) (DE)  
NYLINK Insurance Agency Incorporated (DE)  
NYLUK I Company (GBR)  
    NYLUK II Company (GBR)  
        Gresham Mortgage (GBR)  
        W Construction Company (GBR)  
        WIM (AIM) (GBR)  
        WUT (GBR)

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**PART 1 - ORGANIZATIONAL CHART**

**New York Life Investment Management Holdings LLC**

Institutional Capital LLC (03-0598064)(DE)  
Einstein Merger Sub, LLC (DE)  
    ICAP Master Collective Investment Trust – ICAP Large Cap Value Equity Collective Trust (PA)  
NYLIFE Distributors LLC (13-3741759)(DE)  
NYLIM Service Company LLC (DE)  
MacKay Shields LLC (13-4080466)(DE)  
    MacKay Shields Core Plus Opportunities Fund GP LLC (DE)  
        MacKay Shields Core Plus / Opportunities Fund LP (DE)  
    MacKay Shields Credit Strategy Fund Ltd (CYM)  
    MacKay Shields Defensive Bond Arbitrage Fund Ltd. (BMU)  
    MacKay Shields High Yield Active Core Fund GP LLC (DE)  
        MacKay Shields High Yield Active Core Fund LP (DE)  
    MacKay Shields Core Fixed Income Fund GP LLC (DE)  
        MacKay Shields Core Fixed Income Fund LP (45-2733007)(DE)  
    MacKay Municipal Managers Opportunities GP LLC (DE)  
        MacKay Municipal Opportunities Master Fund, L.P. (DE)  
        MacKay Municipal Opportunities Fund, L.P. (DE)  
    MacKay Municipal Managers Credit Opportunities GP LLC (DE)  
        MacKay Municipal Credit Opportunities Master Fund, L.P. (DE)  
        MacKay Municipal Credit Opportunities Fund, L.P. (DE)  
    MacKay Municipal Short Term Opportunities Fund GP LLC (DE)  
        MacKay Municipal Short Term Opportunities Fund LP (45-3041041)(DE)  
Plainview Funds plc (IRL)  
    Plainview Funds plc – MacKay Shields - Emerging Markets Credit Portfolio (IRL)  
    Plainview Funds plc – MacKay Shields - Flexible Bond Portfolio (IRL)  
    Plainview Funds plc – MacKay Shields - Unconstrained Bond Portfolio (IRL)  
    Plainview Funds plc – MacKay Shields - Floating Rate High Yield Portfolio (IRL)  
    Plainview Funds plc – MacKay Shields Core Plus Opportunities Portfolio (IRL)  
    MacKay Shields Statutory Trust – High Yield Bond Series (CT)  
MacKay Shields (International) Ltd. (GBR)  
MacKay Shields (Services) Ltd. (GBR)  
    MacKay Shields UK LLP (GBR)  
MacKay Shields Global Derivatives LLC (DE)  
MacKay Municipal Managers Puerto Rico Opportunities GP LLC (DE)  
    MacKay Puerto Rico Opportunities Fund, L.P. (DE)  
    MacKay Puerto Rico Opportunities Feeder Fund, L.P. (DE)  
MacKay Municipal Managers California Opportunities GP LLC (DE)  
    MacKay Municipal California Opportunities Fund, L.P. (DE)  
Cornerstone Capital Management Holdings LLC (13-5582869)(DE)  
    Cornerstone Capital Management LLC (41-1763532)(DE)  
        Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC (DE)  
        Cornerstone Capital Management Large-Cap Enhanced Index Fund L.P. (DE)  
NYL Workforce GP LLC (DE)  
    New York Life Investment Management Holdings International S.á.r.l. (LUX)  
        New York Life Investment Management Holdings II International S.á.r.l. (LUX)

New York Life Investment Management Global Holdings S.á.r.l. (LUX)  
    Candriam Luxco S.á.r.l. (LUX)  
    Ausbil Investment Management Limited (LUX)  
        Ausbil Australia Pty. Ltd. (AUS)  
        Ausbil Asset Management Pty. Ltd. (AUS)  
        ISPT Holding (BEL)  
        AUSBIL IT – Australian Geared Equity (AUS)  
    Candriam Luxembourg, partnership limited by shares (LUX)  
        BIL Prime Advanced Cash + 100 (LUX)  
        Candriam France, simplified joint-stock company (FRA)  
            Candriam Dublin (IRE)  
        Candriam Treasury Management (FRA)  
        Candriam Belgium, public limited company (BEL)  
        Candriam Bonds Convertible Opportunities (LUX)  
        Cordius CIG (LUX)  
New York Life Investment Management LLC (DE)  
    Madison Core Property Fund LLC (DE)  
        MIREF 1500 Quail, LLC (DE)  
        MIREF Mission Heritage, LLC (DE)  
        MIREF Linpro Center, LLC (DE)  
        MIREF Mill Creek, LLC (DE)  
        MIREF Gateway, LLC (DE)  
        MIREF Delta Court, LLC (DE)  
        MIREF Seaside, LLC (DE)  
        MIREF Fremont Distribution Center, LLC (DE)  
        1101 Taylor Road LLC (DE)  
        MIREF Century, LLC (DE)  
        MIREF York Road, LLC (DE)  
            York Road EW, LLC (DE)  
                York Road Retail West, LLC (DE)  
                2001 EW LLC (DE)  
                2122 EW LLC (DE)  
        MIREF Saddle River LLC (DE)  
        Via Verde San Dimas, LLC (DE)  
        MIREF DC Corp. (DE)  
            MIREF L Street, LLC (DE)  
1901 L Street Corp. (DE)  
    1901 L Street LLC (DC)  
    MIREF Newpoint Commons, LLC (DE)  
    MIREF Northsight, LLC (DE)  
    MIREF Riverside, LLC (DE)  
    MIREF Corporate Woods, LLC (DE)  
    MIREF Bedminster, LLC (DE)  
    MIREF Barton's Creek, LLC (DE)

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PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

Barton's Lodge Apartments, LLC (DE)	DCM Warehouse Series One, LLC (DE)
MIREF Marketpointe, LLC (DE)	Sixteen West Savannah, LLC (IN)
MIREF 101 East Crossroads, LLC (DE)	Metropolis II Construction, LLC (DE)
101 East Crossroads, LLC (DE)	Streets Las Vegas, LLC (AZ)
MIREF Waterview, LLC (DE)	
MIREF Chain Bridge, LLC (DE)	NYLIM RE Mezzanine Fund II Investment Corporation (DE)
1991 Chain Bridge Road, LLC (DE)	WFHG GP, LLC (DE)
MIREF Aptakasic, LLC (DE)	Workforce Housing Fund I-2007 LP (DE)
Aptakasic Creek Corporate Park, LLC (DE)	NYLCAP Holdings (Mauritius) LLC (MUS)
MIREF 250 Montgomery, LLC (DE)	Jacob Ballas Capital India PVT. Ltd. (MUS)
MIREF Hawthorne, LLC (DE)	Evolve Asset Management, Ltd. (IND)
MIREF Auburn 277, LLC (DE)	GoldPoint Partners LLC (13-4091043) (DE)
MIREF Sumner North, LLC (DE)	GoldPoint Mezzanine Partners IV GenPar GP, LLC (DE)
MIREF Wellington, LLC (DE)	GoldPoint Mezzanine Partners Offshore IV, L.P. (CYM)
MIREF Warner Center, LLC (DE)	GoldPoint Mezzanine Partners IV GenPar LP (DE)
MADISON-IND Valley Business Park CA LLC (DE)	GoldPoint Mezzanine Partners IV, LP (DE)
MADISON-IND Assateague MD LLC (DE)	NYLCAP 2010 Co-Invest GenPar GP, LLC (DE)
MADISON-SP Assateague LLC (DE)	NYLCAP 2010 Co-Invest GenPar L.P. (DE)
MADISON-MF Duluth GA LLC (DE)	NYLCAP 2010 Co-Invest L.P. (DE)
MADISON-MF Casa Santa Fe AZ LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco A L.P. (DE)
MADISON-MF Cabrillo AZ LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker A L.P. (DE)
MADISON-OFC Centerstone I CA LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P. (DE)
MADISON-OFC Centerstone III CA LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker B L.P. (DE)
MADISON-MOB Centerstone IV CA LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco C L.P. (DE)
MADISON-OFC Canyon Commons CA LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker C L.P. (DE)
MADISON-OFC Centerpoint Plaza CA LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P. (DE)
MADISON-IND Logistics NC LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker E L.P. (DE)
MCPF-LRC Logistics LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco F L.P. (DE)
MADISON-MF Desert Mirage AZ LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker F L.P. (DE)
MADISON-OFC One Main Place OR LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P. (DE)
MADISON-IND Fenton MO LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker G L.P. (DE)
MADISON-IND Hitzert Roadway MO LLC (DE)	
MADISON-MF Hoyt OR LLC (DE)	New York Life Capital Partners, LLC (DE)
MADISON-RTL Clifton Heights PA LLC (DE)	New York Life Capital Partners, LP (13-4091045) (DE)
MADISON-IND Locust CA LLC (DE)	New York Life Capital Partners II, LLC (DE)
MADISON-OFC Weston Pointe FL LLC (DE)	New York Life Capital Partners II, L.P. (DE)
MADISON-MF Henderson NV LLC (DE)	New York Life Capital Partners III GenPar GP, LLC (DE)
MADISON-SP Henderson LLC (DE)	New York Life Capital Partners III GenPar, LP (DE)
NYLIM-GCR Fund I, LLC (DE)	New York Life Capital Partners III, LP (DE)
NYLIM Fund II GP, LLC (DE)	New York Life Capital Partners III-A, LP (DE)
NYLIM Real Estate Mezzanine Fund II, LP (DE)	New York Life Capital Partners IV GenPar GP, LLC (DE)
NYLIM-TND, LLC (DE)	New York Life Capital Partners IV GenPar, LP (DE)
NYLIM-DCM, LLC (DE)	New York Life Capital Partners IV, LP (DE)
NYLIM-MM, LLC (DE)	New York Life Capital Partners IV-A, LP (DE)
DCM-N, LLC (DE)	GoldPoint Partners Co-Investment V GenPar GP LLC (DE)
DCM Warehouse Series A, LLC (DE)	GoldPoint Partners Co-Investment V GenPar, L.P. (DE)
	GoldPoint Partners Co-Investment V, LP (DE)
	GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP (DE)

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**PART 1 - ORGANIZATIONAL CHART**

**New York Life Investment Management Holdings LLC (continued)**

GoldPoint Partners Co-Investment V ECI Blocker A, LP (DE)  
GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP (DE)  
GoldPoint Partners Co-Investment V ECI Blocker B, LP (DE)  
GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP (DE)  
GoldPoint Partners Co-Investment V ECI Blocker C, LP (DE)  
GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP (DE)  
GoldPoint Partners Co-Investment V ECI Blocker D, LP (DE)  
GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP (DE)  
GoldPoint Partners Co-Investment V ECI Blocker E, LP (DE)  
GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP  
GoldPoint Partners Co-Investment V ECI Blocker F, LP  
GoldPoint Partners Co-Investment Fund-A, LP

NYLCAP India Funding LLC (DE)  
    NYLIM-JB Asset Management Co. (Mauritius) LLC (MUS)  
        New York Life Investment Management India Fund II, LLC (Mauritius) (MUS)  
            New York Life Investment Management India Fund (FVCI) II, LLC (Mauritius) (MUS)

NYLCAP India Funding III LLC (DE)  
    NYLIM-Jacob Ballas Asset Management Company III (Mauritius) LLC (MUS)  
        NYLIM Jacob Ballas India Fund III (Mauritius) LLC (MUS)  
            NYLIM Jacob Ballas Capital India (FVCI) III (Mauritius) LLC (MUS)  
            NYLIM Jacob Ballas India (FII) III (Mauritius) LLC (MUS)

NYLIM Jacob Ballas India Holdings (Mauritius) IV  
    NYLIM Mezzanine GenPar GP, LLC (DE)  
        NYLIM Mezzanine GenPar, LP (DE)  
            New York Life Investment Management Mezzanine Partners, LP (DE)  
                NYLIM Mezzanine Partners Parallel Fund, LP (DE)

NYLIM Mezzanine Partners II GenPar GP, LLC (DE)  
    NYLIM Mezzanine Offshore Partners II, LP (CYM)  
    NYLIM Mezzanine Partners II GenPar, LP (DE)  
        New York Life Investment Management Mezzanine Partners II, LP (DE)  
            NYLIM Mezzanine II Luxco S.a.r.l. (LUX)  
                NYLIM Mezzanine Partners II Parallel Fund, LP (DE)  
                    NYLIM Mezzanine II Parallel Luxco S.a.r.l. (LUX)  
                        Voice Holdco Ltd. (CAN)

NYLCAP Mezzanine Partners III GenPar GP, LLC (DE)  
    NYLCAP Mezzanine Partners III GenPar, LP (DE)  
        NYLCAP Mezzanine Partners III-K, LP (DE)  
        NYLCAP Mezzanine Partners III, LP (DE)  
            NYLCAP Mezzanine Partners III Parallel Fund, LP (DE)  
            NYLCAP Mezzanine Partners III 2012 Co-Invest, LP (DE)  
                NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco A, LP (DE)  
                NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker A, LP (DE)  
                NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP (DE)  
                NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP (DE)  
                NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP (DE)

NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C, LP (DE)  
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP (DE)  
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LP (DE)  
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LP (DE)  
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker E, LP (DE)

NYLCAP Select Manager GenPar, LP (DE)  
    C.B. Fleet TopCo. LLC (DE)  
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F, LP  
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F, LP  
NYLCAP Mezzanine Offshore Partners III, L.P. (DE)  
NYLCAP Select Manager GenPar GP, LLC (DE)  
NYLCAP Select Manager Fund, LP (DE)  
NYLCAP Select Manager Cayman Fund, LP (CYM)  
NYLCAP Select Manager Fund II, L.P. (CYM)

NYLCAP Canada GenPar Inc. (CAN)  
NYLCAP Select Manager Canada Fund, LP (CAN)  
NYLCAP Select Manager II GenPar GP, LLC (DE)  
NYLCAP Select Manager II GenPar GP L.P. (CYM)

NYLCAP Canada II GenPar Inc. (CAN)  
NYLCAP Select Manager Canada Fund II, L.P. (CAN)  
GoldPoint Partners Select Manager III GenPar GP, LLC (DE)  
GoldPoint Partners Select Manager III GenPar, L.P. (CYM)  
GoldPoint Partners Select Manager Fund III, L.P. (CYM)  
GoldPoint Partners Select Manager Fund III AIV, L.P.

GoldPoint Partners Canada III GenPar, Inc  
GoldPoint Partners Select Manager Canada Fund III, L.P.

Private Advisors LLC (54-1886751)(DE)  
PACIF GP, LLC (DE)  
Private Advisors Coinvestment Fund, LP (DE)  
PACIF Carry Parent, LLC (DE)  
PACIF Carry, LLC (DE)  
PACIF II Carry Parent, LLC (DE)  
PACIF II Carry, LLC (DE)  
PACIF II GP, LLC (DE)  
Private Advisors Coinvestment Fund II, LP (DE)  
PACIF III Carry Parent, LLC (DE)  
PACIF III Carry, LLC (DE)  
PACIF III GP, LLC (DE)  
Private Advisors Coinvestment Fund III, LP (46-1360141) (DE)  
PACIF IV GP, LLC (DE)  
Private Advisors Coinvestment Fund IV, LP (DE)  
PACIF IV Carry Parent, LLC (DE)  
PACIF IV Carry, LLC (DE)  
PASCCIF GP, LLC (DE)  
Private Advisors Small Company Coinvestment Fund, LP (DE)

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New York Life Investment Management Holdings LLC (continued)

PASCCIF Carry Parent, LLC (DE)	PA Emerging Manager Carry, LLC (DE)
PASCCIF Carry, LLC (DE)	RIC I GP, LLC (DE)
Private Advisors Distressed Opportunities Fund, L.P. (DE)	Richmond Coinvestment Partners I, LP (DE)
PAPEF Carry Parent, LLC (DE)	RIC I Carry Parent, LLC (DE)
PAPEF Carry, LLC (DE)	RIC I Carry, LLC (DE)
Private Advisors Small Company Buyout Fund II, L.P. (DE)	PARAF GP, LLC (DE)
PASCBF III GP, LLC (DE)	Private Advisors Real Assets Fund, LP (DE)
Private Advisors Small Company Buyout Fund III, LP (DE)	PARAF Carry Parent, LLC (DE)
PASCBF IV Carry Parent, LLC (DE)	PARAF Carry, LLC (DE)
PASCBF IV Carry, LLC (DE)	Cuyahoga Capital Partners I Management Group, LLC (DE)
PASCBF IV GP, LLC (DE)	Cuyahoga Capital Partners II Management Group LLC (DE)
Private Advisors Small Company Buyout Fund IV, LP (DE)	Cuyahoga Capital Partners III Management Group LLC (DE)
PASCBF V Carry Parent, LLC (DE)	Cuyahoga Capital Partners IV Management Group LLC (DE)
PASCBF V Carry, LLC (DE)	PASF V GP, LLC
PASCBF V GP, LLC (DE)	Private Advisors Secondary Fund V, LP
Private Advisors Small Company Buyout Fund V, LP (DE)	PASF V Carry Parent, LLC
PASCPEF VI Carry Parent, LLC (DE)	PASF V Carry, LLC
PASPEF VI Carry, LLC (DE)	Cuyahoga Capital Emerging Buyout Partners Management Group LLC (DE)
PASCPEF VI GP, LLC (DE)	UVF GP, LLC (DE)
Private Advisors Small Company Private Equity Fund VI, LP (DE)	Undiscovered Value Fund, LP (DE)
Private Advisors Small Company Private Equity Fund VI (Cayman), LP (CYM)	Undiscovered Value Fund, Ltd. (CYM)
PASCPEF VII GP, LLC (DE)	Undiscovered Value Master Fund SPC (CYM)
Private Advisors Small Company Private Equity Fund VII, LP (DE)	Private Advisors Stable Value Fund, Ltd. (DE)
PASCPEF VII Carry Parent, LLC (DE)	Index IQ Holdings Inc. (DE)
PASCPEF VII Carry, LLC (DE)	Financial Development LLC (DE)
PA Real Assets Carry Parent, LLC (DE)	IndexIQ, Inc. (DE)
PA Real Assets Carry, LLC (DE)	IndexIQ LLC (DE)
PA Emerging Manager Carry Parent, LLC (DE)	IndexIQ Advisors LLC (DE)

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NYLife Real Estate Holdings LLC

CC Acquisitions, LP (DE)  
Huntsville NYL LLC (DE)  
NYL Midwest Apartments LLC (DE)  
REEP-MF Cumberland TN LLC (DE)  
    Cumberland Apartments, LLC (TN)  
REEP-RTL Bradford PA LLC (DE)  
REEP-IND Forest Park NJ LLC (DE)  
    FP Building 1-2-3 LLC (DE)  
    FP Building 4 LLC (DE)  
    FP Building 17, LLC (DE)  
    FP Building 18, LLC (DE)  
    FP Building 19, LLC (DE)  
    FP Building 20, LLC (DE)  
    FP Mantua Grove LLC (DE)  
    FP Lot 1.01 LLC (DE)  
REEP-IND NJ LLC (DE)  
    NJIND JV LLC (DE)  
        NJIND Hook Road LLC (DE)  
        NJIND Old Post Road LLC (DE)  
        NJIND Brunswick Avenue LLC (DE)  
        NJIND Raritan Center LLC (DE)  
        NJIND Talmadge Road LLC (DE)  
        NJIND Bay Avenue LLC (DE)  
        NJIND Melrich Road LLC (DE)  
        NJIND Carter Drive LLC (DE)  
        NJIND Corbin Street LLC (DE)

REEP-MF Marina Landing WA LLC (DE)  
REEP-IND Valwood TX LLC (DE)  
    REEP-SP Marina Landing LLC (DE)  
REEP-MF Enclave TX LLC (DE)  
REEP-MF Mira Loma II TX LLC (DE)  
REEP-MF Summitt Ridge CO LLC (DE)  
REEP-OF Centerpointe VA LLC (DE)  
REEP-OFC 575 Lex NY LLC (DE)  
REEP-OFC 575 Lex NY GP LLC (DE)  
REEP-OFC Westory DC LLC (DE)  
REEP-RTL SASI GA LLC (DE)  
REEP-MF Woodridge IL LLC (DE)  
REEP-IND Continental NC LLC (DE)  
    LRC-Patriot, LLC (DE)  
        REEP-LRC Industrial LLC (DE)  
REEP-OFC 525 N Tryon NC LLC (DE)  
    525 Charlotte Office LLC (DE)  
REEP-OFC Royal Centre GA LLC (DE)  
    Royal Centre, LLC (DE)  
REEP-RTL CTC NY LLC (DE)  
    Cortlandt Town Center LLC (DE)



ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0826	New York Life Group	66915	13-5582869	1583827	0000071633		New York Life Insurance Company	NY	UDP					
0826	New York Life Group	91596	13-3044743	3683691	0000727136		New York Life Insurance & Annuity Corporation	DE	IA	New York Life Insurance Company New York Life Insurance & Annuity Corporation	Ownership	100.000	New York Life Insurance Company	
0826	New York Life Group	81353	52-1530175				Ausbil IT - Ausbil Microcap Fund	AUS	NIA		Ownership	10.130	New York Life Insurance Company	
		00000	13-4199614				NYLIFE Insurance Company of Arizona	AZ	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					New York Life Enterprises LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					New York Life International Holdings Limited	MUS	NIA	New York Life Enterprises LLC	Ownership	84.000	New York Life Insurance Company	
		00000					New York Life International Holdings Limited	MUS	NIA	NYL Cayman Holdings Ltd.	Ownership	16.000	New York Life Insurance Company	
		00000					NYL Cayman Holdings Ltd.	CYM	NIA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYL Worldwide Capital Investments, LLC	DE	NIA	NYL Cayman Holdings Ltd.	Ownership	100.000	New York Life Insurance Company	
		00000					Candriam Balanced Asset Alloc	LUX	NIA	New York Life Insurance Company	Ownership	17.400	New York Life Insurance Company	
		00000					Candriam L Defensive Asset Fund	LUX	NIA	New York Life Insurance Company	Ownership	19.800	New York Life Insurance Company	
		00000					Seguros Monterrey New York Life, S.A. de C.V.	MEX	IA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Administradora de Conductos SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	
		00000					Agencias de Distribucion SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	
		00000					Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	
		00000					Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Agencias de Distribucion SMNYL, S.A. de C.V.	Ownership	1.000	New York Life Insurance Company	
		00000					SEAF Sichuan SME Investment Fund LLC	DE	NIA	New York Life Enterprises LLC	Ownership	39.980	New York Life Insurance Company	
		00000					Samsung US Dynamic Asset Allocation							
		00000					Securities Feeder Investment Trust H	KOR	NIA	New York Life Insurance Company	Ownership	49.100	New York Life Insurance Company	
		00000					NYL Real Assets LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000	13-4081725	2928649	0001270096		NYL Emerging Manager LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					NYLIFE LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					Eagle Strategies LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					New York Life Capital Corporation	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYL Executive Benefits LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000	13-2649692		0000071637		NYLIFE Securities LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYLINK Insurance Agency Incorporated	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYLUK I Company	GBR	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYLUK II Company	GBR	NIA	NYLUK I Company	Ownership	100.000	New York Life Insurance Company	
		00000					Gresham Mortgage	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	
		00000					W Construction Company	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	
		00000					WIM (AIM)	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	
		00000	46-4293486				WUT	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	
		00000					NYL Investors LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					NYLIM Holdings NCVAD, GP, LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001570433		McMorgan Northern California Value Add/Development Fund I, L.P.	DE	NIA	NYLIM Holdings NCVAD, GP, LLC	Ownership	50.000	New York Life Insurance Company	
		00000					MNCVAD-IND Greenwood CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	
		00000					MNCVAD-IND Concourse CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		..00000					MNCVAD-IND Norris Canyon CA LLC .....	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P. ....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					MNCVAD-CP Norris Canyon LLC .....	DE	NIA	MNCVAD-IND Norris Canyon CA LLC .....	Ownership.....	..94.000	New York Life Insurance Company .....	
		..00000					MNCVAD-OFC 2665 North First CA LLC .....	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P. ....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					MNCVAD-SEAGATE 2665 North First LLC .....	DE	NIA	MNCVAD-OFC 2665 North First CA LLC .....	Ownership.....	..90.000	New York Life Insurance Company .....	
		..00000					MNCVAD-IND Petaluma CA LLC .....	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P. ....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					MNCVAD-OFC Bridgepointe CA LLC .....	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P. ....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					MNCVAD-OFC Ridder Park CA LLC .....	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P. ....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					MNCVAD-GRAYMARK Ridder Park LLC .....	DE	NIA	MNCVAD-OFC Ridder Park CA LLC .....	Ownership.....	..97.500	New York Life Insurance Company .....	
		..00000					New York Life Short Term Fund (STF) .....	NY	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					NYL Wind Investments LLC .....	DE	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					PTC Acquisitions, LLC .....	DE	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					NYLife Real Estate Holdings, LLC .....	DE	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					CC Acquisitions, LP .....	DE	NIA	NYLife Real Estate Holdings, LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000		3663273			Huntsville NYL LLC .....	DE	NIA	NYLife Real Estate Holdings, LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					NYL Midwest Apartments LLC .....	DE	NIA	NYLife Real Estate Holdings, LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					REEP-IND FREEDOM MA LLC .....	DE	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					REEP-IND AEGEAN MA LLC .....	DE	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					REEP-IND LYMAN MA LLC .....	DE	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					REEP-IND Kent LLC .....	DE	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					REEP-MF Cumberland TN LLC .....	DE	NIA	NYLife Real Estate Holdings, LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					Cumberland Apartments, LLC .....	TN	NIA	REEP-MF Cumberland TN LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					Cumberland Condominium Association, Inc. ....	TN	NIA	New York Life Insurance Company .....	Board of Directors.....	..0.000	New York Life Insurance Company .....	
		..00000					REEP-RTL Bradford PA LLC .....	DE	NIA	NYLife Real Estate Holdings, LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					REEP-IND Forest Park NJ LLC .....	DE	NIA	NYLife Real Estate Holdings, LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					FP Building 1-2-3 LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					FP Building 4 LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					FP Building 17, LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					FP Building 18, LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					FP Building 19, LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					FP Building 20, LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					FP Mantua Grove LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					FP Lot 1.01 LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					REEP-IND Continental NC LLC .....	DE	NIA	NYLife Real Estate Holdings, LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					LRC-Patriot, LLC .....	DE	NIA	REEP-IND Continental NC LLC .....	Ownership.....	..93.000	New York Life Insurance Company .....	
		..00000					REEP-LRC Industrial LLC .....	DE	NIA	LRC-Patriot, LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					REEP-OFC 525 N Tryon NC LLC .....	DE	NIA	NYLife Real Estate Holdings, LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					525 Charlotte Office LLC .....	DE	NIA	REEP-OFC 525 N Tryon NC LLC .....	Ownership.....	..95.000	New York Life Insurance Company .....	
		..00000					REEP-IND RTG NC LLC .....	DE	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					REEP-OFC Royal Centre GA LLC .....	DE	NIA	NYLife Real Estate Holdings, LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					Royal Centre, LLC .....	DE	NIA	REEP-OFC Royal Centre GA LLC .....	Ownership.....	..90.000	New York Life Insurance Company .....	
		..00000					REEP-RTL CTC NY LLC .....	DE	NIA	NYLife Real Estate Holdings, LLC .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					Cortlandt Town Center LLC .....	DE	NIA	REEP-RTL CTC NY LLC .....	Ownership.....	..65.000	New York Life Insurance Company .....	
		..00000					REEP-IND CHINO CA LLC .....	DE	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					REEP-OFC CORPORATE POINTE CA LLC .....	DE	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					REEP-OFC VON KARMAN CA LLC .....	DE	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	
		..00000					REEP-IND Fridley MN LLC .....	MN	NIA	New York Life Insurance Company .....	Ownership.....	..100.000	New York Life Insurance Company .....	

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000					REEP-IND Green Oaks IL LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFC Bellevue WA LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-IND NJ LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NJIND JV LLC	DE	NIA	REEP-IND NJ LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NJIND Hook Road LLC	DE	NIA	NJIND JV LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NJIND Old Post Road LLC	DE	NIA	NJIND JV LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NJIND Brunswick Avenue LLC	DE	NIA	NJIND JV LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NJIND Raritan Center LLC	DE	NIA	NJIND JV LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NJIND Talmadge Road LLC	DE	NIA	NJIND JV LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NJIND Bay Avenue LLC	DE	NIA	NJIND JV LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NJIND Melrich Road LLC	DE	NIA	NJIND JV LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NJIND Corbin Street LLC	DE	NIA	NJIND JV LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-Enclave TX LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-MF Issaquah WA LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-MF Mira Loma II TX LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-MF Verde NC LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-MF Mount Vernon GA LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-MF Summitt Ridge CO LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OF Centerpointe VA LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-RTL SASI GA LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-MF Chandler AZ LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-MF Woodridge IL LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-MF Wallingford WA LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-IND Valwood TX LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-MF Marina Landing WA LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-SP Marina Landing LLC	DE	NIA	REEP-MF Marina Landing WA LLC	Ownership	.98.000	New York Life Insurance Company	
		.00000					REEP-OFC 575 Lex NY LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFC 575 Lex NY GP LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFC Drakes Landing CA LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFC Westory DC LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					CT 160 CORPORATE COURT LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH Farmingdale, NY, LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLMDC King of Prussia GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLMDC King of Prussia Realty, LP	DE	NIA	NYLMDC King of Prussia GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH Attleboro MA, LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-Ennis GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-Ennis, L.P.	TX	NIA	NYMH-Ennis GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-Freeport GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-Freeport, L.P.	TX	NIA	NYMH-Freeport GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-Houston GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-Houston, L.P.	TX	NIA	NYMH-Houston GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-Plano GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-Plano, L.P.	TX	NIA	NYMH-Plano GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-San Antonio GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-San Antonio, L.P.	TX	NIA	NYMH-San Antonio GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-Stephenville GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-Stephenville, L.P.	TX	NIA	NYMH-Stephenville GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-Taylor GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYMH-Taylor, L.P.	TX	NIA	NYMH-Taylor GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-002 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-003 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	

SCHEDULE Y  
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		.00000					SCP 2005-C21-006 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-007-LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-008 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-009 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-017 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-018 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-021 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-025 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-031 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-036 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-041 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-043 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-044 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-048 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-061 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-063 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-067 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-069 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					SCP 2005-C21-070 LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					Silver Spring, LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					Silver Spring Associates, L.P.	PA	NIA	Silver Spring, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					2015 DIL PORTFOLIO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership	.62.308	New York Life Insurance Company	
		.00000					2015 DIL PORTFOLIO HOLDINGS LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	.37.692	New York Life Insurance Company	
		.00000					CT 611 W. JOHNSON AVE LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					CT 550 RESEARCH PKWY LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NJ 663 E. CRESCENT AVE LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NJ 1881 ROUTE 46 LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PA 180 KOST RD LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFc One Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFc Two Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFc Four Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFc Five Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFc Six Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFc Seven Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFc Eight Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFc Nine Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFc Ten Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFc Eleven Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFc Water Ridge NC Holdco LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					REEP-OFc Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000	52-2206685		0001513831		New York Life Investment Management Holdings LLC	DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
		.00000					Index IQ Holdings Inc.	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Financial Development LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	.74.370	New York Life Insurance Company	
		.00000			0001460140		Financial Development LLC	DE	NIA	Index IQ Holdings Inc.	Ownership	.25.630	New York Life Insurance Company	
		.00000			0001364028		IndexIQ, Inc.	DE	NIA	Financial Development LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					IndexIQ LLC	DE	NIA	Financial Development LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001415996		IndexIQ Advisors LLC	DE	NIA	IndexIQ LLC	Ownership	.100.000	New York Life Insurance Company	

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	03-0598064		0000050672		Institutional Capital LLC ICAP Master Collective Investment Trust ICAP Large Cap Value Equity Collective Trust	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		00000						PA	NIA	Institutional Capital LLC	Other	0.000	New York Life Insurance Company	1
		00000	13-3741759		0000914898		NYLIFE Distributors LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001103598		NYLIM Service Company LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		00000	13-4080466		0000061227		MackKay Shields LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MackKay Shields Core Plus Opportunities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001502131		MackKay Shields Core Plus / Opportunities Fund LP	DE	NIA	MackKay Shields Core Plus Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MackKay Shields Credit Strategy Fund LTD	CYM	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001502133		Mackay Shields Defensive Bond Arbitrage Fund Ltd.	BMJ	NIA	MackKay Shields LLC	Board of Directors	0.000	New York Life Insurance Company	
		00000			0001502133		Mackay Shields Defensive Bond Arbitrage Fund Ltd.	BMJ	NIA	New York Life Insurance Company	Ownership	14.050	New York Life Insurance Company	
		00000			0001502133		Mackay Shields Defensive Bond Arbitrage Fund Ltd.	BMJ	NIA	MackKay Shields LLC	Ownership	0.620	New York Life Insurance Company	
		00000					MackKay Shields High Yield Active Core Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001502130		MackKay Shields High Yield Active Core Fund LP	DE	NIA	MackKay Shields High Yield Active Core Fund GP LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MackKay Shields Core Fixed Income Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	
		00000	45-2733007		0001529525		MackKay Shields Core Fixed Income Fund LP	DE	NIA	MackKay Shields Core Fixed Income Fund GP LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MackKay Municipal Managers Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001432467		MackKay Municipal Opportunities Master Fund, L.P.	DE	NIA	MackKay Municipal Managers Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001432468		MackKay Municipal Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MackKay Municipal Managers Credit Opportunities GP, LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001460030		MackKay Municipal Credit Opportunities Master Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP, LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001460023		MackKay Municipal Credit Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MackKay Municipal Short Term Opportunities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	
		00000	45-3041041		0001532022		MackKay Municipal Short Term Opportunities Fund LP	DE	NIA	MackKay Municipal Short Term Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MackKay Municipal Managers Puerto Rico Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001639566		MackKay Puerto Rico Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		..00000			0001639564		MackKay Puerto Rico Opportunities Feeder Fund, L.P.	DE	NIA	MackKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MackKay Municipal Managers California Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001641693		MackKay Municipal California Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers California Opportunities GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					Plainview Funds plc	JRL	NIA	MackKay Shields LLC	Ownership	..50.000	New York Life Insurance Company	
		..00000					Plainview Funds plc	JRL	NIA	MackKay Shields LLC	Board of Directors	..0.000	New York Life Insurance Company	
		..00000					Plainview Funds plc – MackKay Shields – Emerging Markets Credit Portfolio	JRL	NIA	New York Life Insurance & Annuity Corporation	Ownership	..99.980	New York Life Insurance Company	
		..00000					Plainview Funds plc – MackKay Shields – Flexible Bond Portfolio	JRL	NIA	New York Life Insurance & Annuity Corporation	Ownership	..95.060	New York Life Insurance Company	
		..00000					Plainview Funds plc – MackKay Shields – Flexible Bond Portfolio	JRL	NIA	MackKay Shields LLC	Ownership	..4.940	New York Life Insurance Company	
		..00000					Plainview Funds plc – MackKay Shields – Unconstrained Bond Portfolio	JRL	NIA	New York Life Insurance Company	Ownership	..24.050	New York Life Insurance Company	
		..00000					Plainview Funds plc – MackKay Shields – Unconstrained Bond Portfolio	JRL	NIA	MackKay Shields LLC	Ownership	..0.710	New York Life Insurance Company	
		..00000					Plainview Funds plc – MackKay Shields – Floating Rate High Yield Portfolio	JRL	NIA	New York Life Insurance Company	Ownership	..95.390	New York Life Insurance Company	
		..00000					Plainview Funds plc – MackKay Shields – Floating Rate High Yield Portfolio	JRL	NIA	MackKay Shields LLC	Ownership	..4.600	New York Life Insurance Company	
		..00000					Plainview Funds plc – MackKay Shields Core Plus Opportunities Portfolio	JRL	NIA	MackKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MackKay Shields Statutory Trust High Yield Bond Series	CT	NIA	MackKay Municipal Short Term Opportunities Fund GP LLC	Management	..0.000	New York Life Insurance Company	3
		..00000					MackKay Shields (International) Ltd.	GBR	NIA	MackKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MackKay Shields (Services) Ltd.	GBR	NIA	MackKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MackKay Shields UK LLP	GBR	NIA	MackKay Shields (International) Ltd.	Ownership	..99.000	New York Life Insurance Company	
		..00000					MackKay Shields UK LLP	GBR	NIA	MackKay Shields (Services) Ltd.	Ownership	..1.000	New York Life Insurance Company	
		..00000					MackKay Shields Global Derivatives LLC	DE	NIA	MackKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MackKay Municipal Managers Puerto Rico Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MackKay Puerto Rico Opportunities Funds, L.P.	DE	NIA	MackKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MackKay Municipal Managers California Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MackKay Municipal Managers California Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers California Opportunities GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					Madison Capital Funding LLC	DE	NIA	New York Life Insurance Company	Ownership	..55.000	New York Life Insurance Company	
		..00000					Madison Capital Funding LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	..45.000	New York Life Insurance Company	
		..00000	80-0920962		0001577927		Madison Avenue Loan Fund GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					Madison Avenue Loan Fund LP	DE	NIA	Madison Avenue Loan Fund GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MCF Co-Investment GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001538585		MCF Co-Investment GP LP	DE	NIA	MCF Co-Investment GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001538584		Madison Capital Funding Co-Investment Fund LP	DE	NIA	MCF Co-Investment GP LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					MCF Fund I LLC	DE	NIA	Madison Capital Funding LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					Warwick McAlester Holdings, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					Meeco Sullivan, LLC	DE	NIA	Warwick McAlester Holdings, LLC	Ownership	..100.000	New York Life Insurance Company	

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000					Electric Avenue, LLC	DE	NIA	Warwick McAlester Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Young America Holdings, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	.36.350	New York Life Insurance Company	
		.00000					YAC.ECOM Incorporated	MN	NIA	Young America Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Young America, LLC	MN	NIA	Young America Holdings, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Global Fulfillment Services, Inc.	AZ	NIA	Young America, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					SourceOne Worldwide, Inc.	MN	NIA	Young America, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					YA Canada Corporation	CAN	NIA	Young America, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Zenith Products Holdings, Inc	DE	NIA	Madison Capital Funding LLC	Ownership	.16.360	New York Life Insurance Company	
		.00000			0001347648		ZPC Holding Corp.	DE	NIA	Zenith Products Holdings, Inc	Ownership	.100.000	New York Life Insurance Company	
		.00000					Zenith Products Corporation	DE	NIA	ZPC Holding Corp.	Ownership	.100.000	New York Life Insurance Company	
		.00000					MCF Capital Management LLC	DE	NIA	Madison Capital Funding LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Ironshore Investment BL I Ltd.	BMU	NIA	MCF Capital Management LLC	Other	.0.000	New York Life Insurance Company	2
		.00000					MCF CLO III LLC	DE	NIA	MCF Capital Management LLC	Ownership	.2.330	New York Life Insurance Company	
		.00000					MCF CLO III LLC	DE	NIA	MCF Capital Management LLC	Other	.0.000	New York Life Insurance Company	2
		.00000					MCF CLO IV LLC	DE	NIA	MCF Capital Management LLC	Other	.0.000	New York Life Insurance Company	2
		.00000					LMF WF Portfolio II, LLC	DE	NIA	MCF Capital Management LLC	Other	.0.000	New York Life Insurance Company	2
		.00000					MCF CLO I LLC	DE	NIA	MCF Capital Management LLC	Other	.0.000	New York Life Insurance Company	2
		.00000					MCF CLO I LLC	DE	NIA	MCF Capital Management LLC	Ownership	.2.530	New York Life Insurance Company	
		.00000					MCF CLO II LLC	DE	NIA	MCF Capital Management LLC	Other	.0.000	New York Life Insurance Company	2
		.00000					Cornerstone Capital Management Holdings LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000	13-5582869		0001453415		Cornerstone Capital Management Holdings LLC	DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership	.51.000	New York Life Insurance Company	
		.00000	41-1763532		0001123570		Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001329043		Cornerstone Capital Management Large-Cap Enhanced Index Fund, LP	DE	NIA	Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYL Workforce GP LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001133639		New York Life Investment Management LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					New York Life Investment Management (U.K.) Ltd.	GBR	NIA	NYL Investors LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Madison Core Property Fund LLC	DE	NIA	New York Life Insurance Company	Management	.0.000	New York Life Insurance Company	3
		.00000					MIREF 1500 Quail, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Mission Heritage, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Linpro Center, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Mill Creek, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Gateway, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Delta Court, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Seaside, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Fremont Distribution Center, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					1101 Taylor Road LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Century, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF York Road, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					York Road EW, LLC	DE	NIA	MIREF York Road, LLC	Ownership	.64.800	New York Life Insurance Company	
		.00000					York Road Retail West, LLC	DE	NIA	York Road EW, LLC	Ownership	.64.800	New York Life Insurance Company	
		.00000					2001 EW LLC	DE	NIA	York Road EW, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					2122 EW LLC	DE	NIA	York Road EW, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Saddle River LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Via Verde San Dimas, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
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		.00000					MIREF DC Corp.	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF L Street, LLC	DE	NIA	MIREF DC Corp.	Ownership	.100.000	New York Life Insurance Company	
		.00000					1901 L Street Corp.	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					1901 L Street LLC	DC	NIA	1901 L Street Corp.	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Newpoint Commons, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Northsight, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Riverside, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Corporate Woods, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Bedminster, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Bartons Creek, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Bartons Lodge Apartments, LLC	DE	NIA	MIREF Bartons Creek, LLC	Ownership	.90.000	New York Life Insurance Company	
		.00000					MIREF Marketpointe, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF 101 East Crossroads, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					101 East Crossroads, LLC	DE	NIA	MIREF 101 East Crossroads, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Waterview, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Chain Bridge, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					1991 Chain Bridge Road, LLC	DE	NIA	MIREF Chain Bridge, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Aptakisic, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Aptakisic Creek Corporate Park, LLC	DE	NIA	MIREF Aptakisic, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF 250 Montgomery, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Hawthorne, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Auburn 277, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Sumner North, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Wellington, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MIREF Warner Center, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-IND Valley Business Park CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-IND Assateague MD LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-SP Assateague LLC	DE	NIA	MADISON-IND Assateague MD LLC	Ownership	.90.000	New York Life Insurance Company	
		.00000					MADISON-MF Duluth GA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-MF Casa Santa Fe AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-MF Cabrillo AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-OFC Centerstone I CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-OFC Centerstone III CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-MOB Centerstone IV CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-OFC Canyon Commons CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-OFC Centerpoint Plaza CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-IND Logistics NC LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MCPF-LRC Logistics LLC	DE	NIA	MADISON-IND Logistics NC LLC	Ownership	.90.000	New York Life Insurance Company	
		.00000					MADISON-MF Desert Mirage AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-OFC One Main Place OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-IND Fenton MO LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-IND Hitzert Roadway MO LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-MF Hoyt OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-RTL Clifton Heights PA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-IND Locust CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-OFC Weston Pointe FL LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-MF Henderson NV LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MADISON-SP Henderson LLC	DE	NIA	MADISON-MF Henderson NV LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					New York Life Investment Management Holdings International S.á.r.l.	LUX	NIA	New York Life Investment Management Holdings LLC	Ownership	.100.000	New York Life Insurance Company	



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000					New York Life Investment Management Holdings II International S.á.r.l.	.LUX	.NIA	New York Life Investment Management Holdings International S.á.r.l.	Ownership	.100.000	New York Life Insurance Company	
		.00000					New York Life Investment Management Global Holdings S.á.r.l.	.LUX	.NIA	New York Life Investment Management Holdings II International S.á.r.l.	Ownership	.100.000	New York Life Insurance Company	
		.00000					Candriam Luxco S.á.r.l.	.LUX	.NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	.100.000	New York Life Insurance Company	
		.00000					Candriam Luxembourg, partnership limited by shares	.LUX	.NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	.97.000	New York Life Insurance Company	
		.00000					Candriam Luxembourg, partnership limited by shares	.LUX	.NIA	Candriam Luxco S.á.r.l.	Ownership	.3.000	New York Life Insurance Company	
		.00000					Ausbil Investment Management Limited	.AUS	.NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	.79.150	New York Life Insurance Company	
		.00000					Ausbil Australia Pty. Ltd.	.AUS	.NIA	Ausbil Investment Management Limited	Ownership	.100.000	New York Life Insurance Company	
		.00000					Ausbil Asset Management Pty. Ltd.	.AUS	.NIA	Ausbil Investment Management Limited	Ownership	.100.000	New York Life Insurance Company	
		.00000					ISPT Holding	.BEL	.NIA	Ausbil Investment Management Limited	Ownership	.0.036	New York Life Insurance Company	
		.00000					AUSBIL IT - Australian Geared Equity	.AUS	.NIA	Ausbil Investment Management Limited	Influence	.0.000	New York Life Insurance Company	4
		.00000					BIL Prime Advanced Cash + 100	.LUX	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership	.35.030	New York Life Insurance Company	
		.00000					BIL Prime Advanced Cash + 100	.LUX	.NIA	Candriam Belgium, public limited company	Ownership	.31.240	New York Life Insurance Company	
		.00000					Candriam France, simplified joint-stock company	.FRA	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership	.100.000	New York Life Insurance Company	
		.00000					Candriam Dublin	.IRL	.NIA	Candriam France, simplified joint-stock company	Ownership	.100.000	New York Life Insurance Company	
		.00000					Candriam Belgium public limited company	.BEL	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership	.99.990	New York Life Insurance Company	
		.00000					Candriam Belgium public limited company	.BEL	.NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	.0.010	New York Life Insurance Company	
		.00000					Candriam Treasury Management	.FRA	.NIA	Candriam Belgium public limited company	Ownership	.15.620	New York Life Insurance Company	
		.00000					Candriam Treasury Management	.FRA	.NIA	Candriam France, simplified joint-stock company	Ownership	.7.940	New York Life Insurance Company	
		.00000					Cordius CIG	.LUX	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership	.62.960	New York Life Insurance Company	
		.00000					Cordius CIG	.LUX	.NIA	Candriam Belgium public limited company	Ownership	.18.520	New York Life Insurance Company	
		.00000					Cordius CIG	.LUX	.NIA	Candriam France, simplified joint-stock company	Ownership	.18.520	New York Life Insurance Company	
		.00000					Candriam Bonds Convertible Opportunities	.LUX	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership	.31.210	New York Life Insurance Company	
		.00000					NYLIM-GCR Fund I, LLC	.DE	.NIA	New York Life Investment Management LLC	Ownership	.50.000	New York Life Insurance Company	
		.00000					NYLIM Fund II GP, LLC	.DE	.NIA	New York Life Investment Management LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001355337		NYLIM Real Estate Mezzanine Fund II, LP	.DE	.NIA	NYLIM Fund II GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLIM-TND, LLC	.DE	.NIA	NYLIM Real Estate Mezzanine Fund II, LP	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLIM-DCM, LLC	.DE	.NIA	NYLIM Real Estate Mezzanine Fund II, LP	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLIM-MM, LLC	.DE	.NIA	NYLIM-DCM, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					DCM-N, LLC	.DE	.NIA	NYLIM-MM, LLC	Ownership	.80.000	New York Life Insurance Company	
		.00000					DCM-N, LLC	.DE	.NIA	NYLIM RE Mezzanine Fund II Investment Corporation	Ownership	.20.000	New York Life Insurance Company	
		.00000					DCM Warehouse Series A, LLC	.DE	.NIA	DCM-N, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					DCM Warehouse Series One, LLC	.DE	.NIA	DCM Warehouse Series A, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Sixteen West Savannah, LLC	.IN	.NIA	DCM Warehouse Series One, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Metropolis II Construction, LLC	.DE	.NIA	DCM Warehouse Series One, LLC	Ownership	.100.000	New York Life Insurance Company	

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000					Streets Las Vegas, L.L.C.	AZ	NIA	DCM Warehouse Series One, LLC	Ownership	.90.000	New York Life Insurance Company	
		.00000					NYLIM RE Mezzanine Fund II Investment Corporation	DE	NIA	NYLIM Real Estate Mezzanine Fund II, LP	Ownership	.100.000	New York Life Insurance Company	
		.00000					WFHG, GP LLC	DE	NIA	New York Life Investment Management LLC	Ownership	.50.000	New York Life Insurance Company	
		.00000			0001406803		Workforce Housing Fund I - 2007, LP	DE	NIA	WFHG, GP LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP Holdings (Mauritius) LLC	MUS	NIA	New York Life Investment Management Holdings LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Jacob Ballas Capital India PVT, LTD	MUS	NIA	NYLCAP Holdings (Mauritius) LLC	Ownership	.23.300	New York Life Insurance Company	
		.00000					Evolveance Asset Management, Ltd.	IND	NIA	GoldPoint Partners LLC	Ownership	.24.500	New York Life Insurance Company	
		.00000	13-4091043		0001292892		GoldPoint Partners LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest GenPar L.P.	DE	NIA	NYLCAP 2010 Co-Invest GenPar GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest L.P.	DE	NIA	NYLCAP 2010 Co-Invest GenPar L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco A L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker A L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco A L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker B L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco C L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker C L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco C L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker E L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco F L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker F L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco F L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker G L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P.	Ownership	.100.000	New York Life Insurance Company	
		.00000	13-4091045		0001513540		New York Life Capital Partners, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001513533		New York Life Capital Partners, LP	DE	NIA	New York Life Capital Partners, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001293285		New York Life Capital Partners II, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001293286		New York Life Capital Partners II, LP	DE	NIA	New York Life Capital Partners II, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners III GenPar, LP	DE	NIA	New York Life Capital Partners III GenPar GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners III, LP	DE	NIA	New York Life Capital Partners III GenPar, LP	Ownership	.100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners III-A, LP	DE	NIA	New York Life Capital Partners III GenPar, LP	Ownership	.100.000	New York Life Insurance Company	

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		..00000					New York Life Capital Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					New York Life Capital Partners IV GenPar, LP	DE	NIA	New York Life Capital Partners IV GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					New York Life Capital Partners IV, LP	DE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					New York Life Capital Partners IV-A, LP	DE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V GenPar GP LLC	DE	NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V GenPar, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar GP LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001562188		GoldPoint Partners Co-Investment V, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker A, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker B, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker C, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker D, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker E, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker F, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment Fund-A, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP India Funding LLC	DE	NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM-JB Asset Management Co. (Mauritius) LLC	MUS	NIA	NYLCAP India Funding LLC	Ownership	..24.660	New York Life Insurance Company	5
		..00000			0001356865		New York Life Investment Management India Fund II, LLC (Mauritius)	MUS	NIA	NYLIM-JB Asset Management Co. (Mauritius) LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					New York Life Investment Management India Fund (FVC1) II, LLC (Mauritius)	MUS	NIA	New York Life Investment Management India Fund II, LLC (Mauritius)	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP India Funding III LLC	DE	NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM-Jacob Ballas Asset Management Company III, (Mauritius) LLC	MUS	NIA	NYLCAP India Funding III LLC	Ownership	..24.660	New York Life Insurance Company	6

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000			0001435025		NYLIM Jacob Ballas India Fund III (Mauritius) LLC	.MUS	.NIA	NYLIM-Jacob Ballas Asset Management Company III, LLC	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLIM Jacob Ballas Capital India (FVCI) III (Mauritius) LLC	.MUS	.NIA	NYLIM Jacob Ballas India Fund III (Mauritius) LLC	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLIM Jacob Ballas India (FII) III (Mauritius) LLC	.MUS	.NIA	NYLIM Jacob Ballas India Fund III (Mauritius) LLC	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLIM Jacob Ballas India Holdings IV	.MUS	.NIA	New York Life Investment Management LLC	Ownership.....	.100.000	New York Life Insurance Company	
		.00000			0001513541		NYLIM Mezzanine GenPar GP, LLC	.DE	.NIA	GoldPoint Partners LLC	Ownership.....	.100.000	New York Life Insurance Company	
		.00000			0001513539		NYLIM Mezzanine GenPar, LP	.DE	.NIA	NYLIM Mezzanine GenPar GP, LLC	Ownership.....	.100.000	New York Life Insurance Company	
		.00000			0001193500		New York Life Investment Management Mezzanine Partners, LP	.DE	.NIA	NYLIM Mezzanine GenPar, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000			0001259536		NYLIM Mezzanine Partners Parallel Fund LP	.DE	.NIA	NYLIM Mezzanine GenPar, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLIM Mezzanine Partners II GenPar GP, LLC	.DE	.NIA	GoldPoint Partners LLC	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLIM Mezzanine Offshore Partners II, LP	.CYM	.NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLIM Mezzanine Partners II GenPar, LP	.DE	.NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					New York Life Investment Management Mezzanine Partners II, LP	.DE	.NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLIM Mezzanine II Luxco S.a.r.l.	.LUX	.NIA	New York Life Investment Management Mezzanine Partners II, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000			0001387095		NYLIM Mezzanine Partners II Parallel Fund, LP	.DE	.NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLIM Mezzanine II Parallel Luxco S.a.r.l.	.LUX	.NIA	NYLIM Mezzanine Partners II Parallel Fund, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					Voice Holdco Ltd.	.CAN	.NIA	NYLIM Mezzanine II Parallel Luxco S.a.r.l.	Ownership.....	.27.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III GenPar GP, LLC	.DE	.NIA	GoldPoint Partners LLC	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Offshore Partners III, LP	.DE	.NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III GenPar, LP	.DE	.NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III GenPar, LP	.DE	.NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000			0001482545		NYLCAP Mezzanine Partners III, LP	.DE	.NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000			0001539552		NYLCAP Mezzanine Partners III Parallel Fund, LP	.DE	.NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	.DE	.NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000			0001483925		NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco A, LP	.DE	.NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker A, LP	.DE	.NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco A, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	.DE	.NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership.....	.100.000	New York Life Insurance Company	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP	.DE	.NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	Ownership.....	.100.000	New York Life Insurance Company	

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker Holdco C, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker C, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker Holdco C, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker Holdco D, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker D, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker Holdco D, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker Holdco E, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker E, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker Holdco E, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker F,LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker F, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker Holdco F,LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					C.B. Fleet TopCo, LLC	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest EC1 Blocker Holdco C, LP	Ownership	..17.000	New York Life Insurance Company	
		..00000					GoldPoint Mezzanine Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Mezzanine Partners Offshore IV, L.P.	CYM	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Mezzanine Partners IV GenPar LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Mezzanine Partners IV, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager GenPar, LP	DE	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001441093		NYLCAP Select Manager Fund, LP	DE	NIA	NYLCAP Select Manager GenPar, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager Cayman Fund, LP	CYM	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Canada GenPar, Inc.	CAN	NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager Canada Fund, LP	CAN	NIA	NYLCAP Canada GenPar, Inc.	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager II GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager II GenPar GP, L.P.	CYM	NIA	NYLCAP Select Manager II GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001520743		NYLCAP Select Manager Fund II, L.P.	CYM	NIA	NYLCAP Select Manager II GenPar GP, L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Canada II GenPar, Inc.	CAN	NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager Canada Fund II, L.P.	CAN	NIA	NYLCAP Canada II GenPar, Inc.	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Select Manager III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Select Manager III GenPar, L.P.	CYM	NIA	GoldPoint Partners Select Manager III GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Select Manager Fund III, L.P.	CYM	NIA	GoldPoint Partners Select Manager III GenPar, L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Select Manager Fund III AIV, L.P.	DE	NIA	GoldPoint Partners Select Manager III GenPar, L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Canada III GenPar, Inc	CAN	NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Select Manager Canada Fund III, L.P.	CAN	NIA	GoldPoint Parners Canada III GenPar, Inc	Ownership	..100.000	New York Life Insurance Company	
		..00000					MCF Mezzanine Carry I LLC	DE	NIA	MCF Capital Management LLC	Other	..0.000	New York Life Insurance Company	2

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000					MCF Mezzanine Fund I LLC	.DE	NIA	MCF Capital Management LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Montpelier Carry Parent, LLC	.DE	NIA	MCF Capital Management LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Montpelier Carry, LLC	.DE	NIA	Montpelier Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Montpelier GP, LLC	.DE	NIA	MCF Capital Management LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000	90-0938480		0001570694		Montpelier Fund, L.P.	.DE	NIA	Montpelier GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					NYLIM Flatiron CLO 2004-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	7
							NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A	.CYM	OTH	NYLIM Flatiron CLO 2004-1 Ltd.	Influence	.0.000	New York Life Insurance Company	7
		.00000					NYLIM Flatiron CLO 2006-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	7
							NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A	.CYM	OTH	NYLIM Flatiron CLO 2006-1 Ltd.	Influence	.0.000	New York Life Insurance Company	7
		.00000					Flatiron CLO 2007-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	7
							NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A	.CYM	OTH	NYLIM Flatiron CLO 2007-1 Ltd.	Influence	.0.000	New York Life Insurance Company	7
		.00000					Flatiron CLO 2011-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	7
		.00000					Flatiron CLO 2012-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	7
		.00000					Flatiron CLO 2013-1 Ltd.	.CYM	NIA	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	7
		.00000					Flatiron CLO 2014-1 Ltd.	.CYM	NIA	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	7
		.00000					Flatiron CLO 2014-1 Ltd.	.CYM	NIA	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	7
		.00000					Flatiron CLO 16 Ltd.	.CYM	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	7
		.00000					Flatiron CLO 2015-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	7
		.00000		4007034			Stratford CDO 2001-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	7
		.00000					Silverado CLO 2006-II Limited	.CYM	OTH	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	7
							Silverado CLO 2006-II Equity Holdings LLC, Series A	.CYM	OTH	Silverado CLO 2006-II Limited	Influence	.0.000	New York Life Insurance Company	7
		.00000					New York Life Funding	.CYM	OTH	New York Life Insurance Company	Other	.0.000	New York Life Insurance Company	8
		.00000					New York Life Global Funding	.DE	OTH	New York Life Insurance Company	Other	.0.000	New York Life Insurance Company	8
		.00000					Martingale Road LLC	.DE	NIA	New York Life Insurance Company	Ownership	.100.000	New York Life Insurance Company	
							UFI-NOR Federal Receivables Trust, Series 2009B	.NY	OTH	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	9
		.00000					Government Energy Savings Trust 2003-A	.NY	OTH	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	9
		.00000					NYL Equipment Issuance Trust	.DE	OTH	New York Life Insurance Company	Influence	.0.000	New York Life Insurance Company	9
							New York Life Investment Management							
		.00000	54-1886751		0001065114		Private Advisors LLC	.DE	NIA	Holdings LLC	Ownership	.64.250	New York Life Insurance Company	
		.00000					PACIF Carry Parent, LLC	.DE	NIA	Private Advisors LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PACIF Carry, LLC	.DE	NIA	PACIF Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PACIF GP, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001368975		Private Advisors Coinvestment Fund, LP	.DE	NIA	PACIF GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PACIF II Carry Parent, LLC	.DE	NIA	Private Advisors LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PACIF II Carry, LLC	.DE	NIA	PACIF II Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PACIF II GP, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001489910		Private Advisors Coinvestment Fund II, LP	.DE	NIA	PACIF II GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PACIF III Carry Parent, LLC	.DE	NIA	Private Advisors LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PACIF III Carry, LLC	.DE	NIA	PACIF III Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PACIF III GP, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
							Private Advisors Coinvestment Fund III, LP							
		.00000	46-1360141		0001562375		PACIF III GP, LLC	.DE	NIA	PACIF III GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PACIF IV GP, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001646588		Private Advisors Coinvestment Fund IV, LP	.DE	NIA	PACIF IV GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PACIF IV Carry Parent, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					PACIF IV Carry, LLC	.DE	NIA	PACIF IV Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000					PASCCIF GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					Private Advisors Small Company							
		.00000					Coinvestment Fund, LP	DE	NIA	PASCCIF GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASCCIF Carry Parent, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASCCIF Carry, LLC	DE	NIA	PASCCIF Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001210098		Private Advisors Distressed Opportunities Fund, L.P.	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					PAPEF Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PAPEF Carry, LLC	DE	NIA	PAPEF Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001278583		Private Advisors Small Company Buyout Fund II, L.P.	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASCBF III GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001374891		Private Advisors Small Company Buyout Fund III, LP	DE	NIA	PASCBF III GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASCBF IV Carry Parent, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASCBF IV Carry, LLC	DE	NIA	PASCBF IV Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASCBF IV GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001442524		Private Advisors Small Company Buyout Fund IV, LP	DE	NIA	PASCBF IV GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASCBF V Carry Parent, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASCBF V Carry, LLC	DE	NIA	PASBF V Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASCBF V GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001537995		Private Advisors Small Company Buyout Fund V, LP	DE	NIA	PASCBF V GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001576987		Private Advisors Small Company Buyout Fund V – ERISA Fund, LP	DE	NIA	PASCBF V GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASOPEF VI Carry Parent, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASOPEF VI Carry, LLC	DE	NIA	PASOPEF VI Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASOPEF VI GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001595889		Private Advisors Small Company Private Equity Fund VI, LP	DE	NIA	PASOPEF VI GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASOPEF VII GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					Private Advisors Small Company Private Equity Fund VII, LP	DE	NIA	PASOPEF VII GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASOPEF VII Carry Parent, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					PASOPEF VII Carry, LLC	DE	NIA	PASOPEF VII Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PA Real Assets Carry Parent, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					PA Real Assets Carry, LLC	DE	NIA	PA Real Assets Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PA Emerging Manager Carry Parent, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					PA Emerging Manager Carry, LLC	DE	NIA	PA Emerging Manager Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					RIC I GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					Richmond Coinvestment Partners I, LP	DE	NIA	RIC I GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					RIC I Carry Parent, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					RIC I Carry, LLC	DE	NIA	RIC I Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PARAF GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					Private Advisors Real Assets Fund, LP	DE	NIA	PARAF GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					PARAF Carry Parent, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	
		.00000					PARAF Carry, LLC	DE	NIA	PARAF Carry Parent, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000		3328161			Cuyahoga Capital Partners I Management Group, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	.100.000	New York Life Insurance Company	

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Per-cent-age	Ultimate Controlling Entity(ies)/Person(s)	*
		..00000 .....		3594658 .....			Cuyahoga Capital Partners II Management Group LLC .....	..DE .....	..NIA.....	Private Advisors L.L.C. ....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	
		..00000 .....		3729106 .....			Cuyahoga Capital Partners III Management Group LLC .....	..DE .....	..NIA.....	Private Advisors L.L.C. ....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	
		..00000 .....					Cuyahoga Capital Partners IV Management Group LLC .....	..DE .....	..NIA.....	Private Advisors L.L.C. ....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	
		..00000 .....					PASF V GP, LLC .....	..DE .....	..NIA.....	Private Advisors L.L.C. ....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	
		..00000 .....			0001646590 .....		Private Advisors Secondary Fund V, LP .....	..DE .....	..NIA.....	PASF V GP, LLC .....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	
		..00000 .....					PASF V Carry Parent, LLC .....	..DE .....	..NIA.....	Private Advisors L.L.C. ....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	
		..00000 .....					PASF V Carry, LLC .....	..DE .....	..NIA.....	PASF V Carry Parent, LLC .....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	
		..00000 .....		3835342 .....			Cuyahoga Capital Emerging Buyout Partners Management Group LLC .....	..DE .....	..NIA.....	Private Advisors L.L.C. ....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	
		..00000 .....					UVF GP, LLC .....	..DE .....	..NIA.....	Private Advisors L.L.C. ....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	
		..00000 .....			0001605509 .....		Undiscovered Value Fund, LP .....	..DE .....	..NIA.....	Private Advisors L.L.C. ....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	
		..00000 .....			0001605502 .....		Undiscovered Value Fund, Ltd. ....	..CYM.....	..NIA.....	Private Advisors L.L.C. ....	Other.....	..0.000 .....	New York Life Insurance Company .....	10
		..00000 .....					Undiscovered Value Master Fund SPC .....	..CYM.....	..NIA.....	Undiscovered Value Fund, Ltd. ....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	
		..00000 .....	13-3808042 .....		0001033244 .....		New York Life Trust Company .....	..NY .....	..NIA.....	NYLIFE LLC .....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	
		..00000 .....					New York Life Structured Settlement Trust .....	..NY .....	..NIA.....	New York Life Trust Company .....	Influence.....	..0.000 .....	New York Life Insurance Company .....	11
		..00000 .....			0001160266 .....		Private Advisors Stable Value Fund, Ltd. ....	..CYM.....	..NIA.....	Private Advisors L.L.C. ....	Ownership.....	..100.000 .....	New York Life Insurance Company .....	

Asterisk	Explanation
1 .....	Investment Advisory Agreement – Institutional Capital LLC is the investment advisor of this collective investment trust, which is offered to third party investors. ....
2 .....	Contractual Client – MCF Capital Management LLC, an indirect wholly owned affiliate of the Company, has contractual control of this entity's loan portfolio. ....
3 .....	Control of this entity is pursuant to an investment management contract with New York Life Investors LLC or affiliate, not through ownership of voting interests. ....
4 .....	Investment Fund – Fund that invests in listed Australian equities, which is managed by Ausbil Investment Management Limited. ....
5 .....	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding LLC owns 36% of non-voting carry shares. ....
6 .....	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding III LLC owns 31.36% of non-voting carry shares. ....
7 .....	Investment Pool – Investment pool of leveraged loans managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company. ....
8 .....	Reliance Relationship – Entire proceeds of the entity are invested in a funding agreement of the Company. ....
9 .....	Energy Contracts and Aircraft Loans Investments – With 100% of the investments coming from the Company and its wholly owned affiliate New York Life Insurance and Annuity Corporation. ....
10 .....	Control of this entity is pursuant to an investment management contract with Private Advisors LLC or affiliate, not through ownership of voting interests. ....
11 .....	Reliance Relationship – A collective trust of mainly New York Life Insurance and Annuity Corporation assets (98.3%). ....



## ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

## SCHEDULE Y

## PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

[illegible]

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Responses
MARCH FILING		
1.	Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1? .....	YES
2.	Will the confidential Risk-based Capital Report be filed with the NAIC by March 1? .....	YES
3.	Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1? .....	YES
4.	Will an actuarial opinion be filed by March 1? .....	YES
APRIL FILING		
5.	Will Management's Discussion and Analysis be filed by April 1? .....	YES
6.	Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1? .....	YES
7.	Will the Adjustment Form (if required) be filed with the state of domicile and the NAIC by April 1? .....	YES
8.	Will the Supplemental Investment Risks Interrogatories be filed by April 1? .....	YES
JUNE FILING		
9.	Will an audited financial report be filed by June 1? .....	YES
10.	Will Accountant's Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1? .....	YES
AUGUST FILING		
11.	Will Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile by August 1? .....	YES

The following supplemental reports are required to be filed as part of your annual statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

MARCH FILING		
12.	Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? .....	NO
13.	Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1? .....	NO
14.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1? .....	NO
15.	Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
16.	Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1? .....	YES
17.	Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1? .....	YES
18.	Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
19.	Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
20.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
21.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
22.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
23.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
24.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
25.	Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
26.	Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO








ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

27.	Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
28.	Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
29.	Will the Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
30.	Will the Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
31.	Will the Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
32.	Will the Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
33.	Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
34.	Will the Worker's Compensation Carve-Out Supplement be filed by March 1? .....	NO
35.	Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1? .....	YES
36.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1? .....	NO
37.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1? .....	NO
38.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1? .....	NO
39.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?.....	SEE EXPLANATION
40.	Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by Actuarial Opinion and Memorandum Regulation (Model 822), Section 7A(5) be filed with the state of domicile by March 15? .....	
APRIL FILING		
41.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1? .....	NO
42.	Will the Interest-Sensitive Life Insurance Products Report Forms be filed with the state of domicile and the NAIC by April 1? .....	NO
43.	Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? .....	NO
44.	Will the Accident and Health Policy Experience Exhibit be filed by April 1? .....	NO
45.	Will the Analysis of Annuity Operations by Lines of Business be filed with the state of domicile and the NAIC by April 1? .....	NO
46.	Will the Analysis of Increase in Annuity Reserves During the Year be filed with the state of domicile and the NAIC by April 1? .....	NO
47.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1? .....	NO
48.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1? .....	NO
49.	Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30? .....	NO
50.	Will the Supplemental XXX/AXXX Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1? .....	YES
AUGUST FILING		
51.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1? .....	NO
Explanations:		
12.		
13.		
14.		
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39.	Exempt - total written and assumed premium is below stated threshold.	
41.		
42.		
43.		
44.		
45.		
46.		
47.		
48.		
49.		
51.		
Bar Codes:		
12.	SIS Stockholder Information Supplement [Document Identifier 420]	
13.	Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]	
14.	Trusted Surplus Statement [Document Identifier 490]	
15.	Participating Opinion for Exhibit 5 [Document Identifier 371]	
18.	Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit [Document Identifier 443]	
19.	Actuarial Opinion on Synthetic Guaranteed Investment Contracts [Document Identifier 444]	

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

20.	Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]	 <div>813532015445000000</div>
21.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]	 <div>813532015446000000</div>
22.	Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]	 <div>813532015447000000</div>
23.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]	 <div>813532015448000000</div>
24.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]	 <div>813532015449000000</div>
25.	C-3 RBC Certifications Required Under C-3 Phase I [Document Identifier 450]	 <div>813532015450000000</div>
26.	C-3 RBC Certifications Required Under C-3 Phase II [Document Identifier 451]	 <div>813532015451000000</div>
27.	Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities [Document Identifier 452]	 <div>813532015452000000</div>
28.	Modified Guaranteed Annuity Model Regulation [Document Identifier 453]	 <div>813532015453000000</div>
29.	Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII [Document Identifier 436]	 <div>813532015436000000</div>
30.	Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII [Document Identifier 437]	 <div>813532015437000000</div>
31.	Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII [Document Identifier 438]	 <div>813532015438000000</div>
32.	Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII [Document Identifier 439]	 <div>813532015439000000</div>
33.	Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities [Document Identifier 454]	 <div>813532015454000000</div>
34.	Workers' Compensation Carve-Out Supplement [Document Identifier 495]	 <div>813532015495000000</div>
36.	Medicare Part D Coverage Supplement [Document Identifier 365]	 <div>813532015365000000</div>
37.	Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]	 <div>813532015224000000</div>
38.	Relief from the one-year cooling off period for independent CPA [Document Identifier 225]	 <div>813532015225000000</div>
41.	Long-Term Care Experience Reporting Forms [Document Identifier 306]	 <div>813532015306000000</div>
42.	Interest-Sensitive Life Insurance Products Report Forms [Document Identifier 280]	 <div>813532015280000000</div>
43.	Credit Insurance Experience Exhibit [Document Identifier 230]	 <div>813532015230000000</div>
44.	Accident and Health Policy Experience Exhibit [Document Identifier 210]	 <div>813532015210000000</div>
45.	Analysis of Annuity Operations by Lines of Business [Document Identifier 510]	 <div>813532015510000000</div>
46.	Analysis of Increase in Annuity Reserves During the Year [Document Identifier 515]	 <div>813532015515000000</div>
47.	Supplemental Health Care Exhibit (Parts 1, 2 and 3) [Document Identifier 216]	 <div>813532015216000000</div>
48.	Supplemental Health Care Exhibit's Expense Allocation Report [Document Identifier 217]	 <div>813532015217000000</div>
49.	Actuarial Memorandum Required by Actuarial Guideline XXXVIII 8D [Document Identifier 435]	 <div>813532015435000000</div>
51.	Management's Report of Internal Control Over Financial Reporting [Document Identifier 223]	 <div>813532015223000000</div>



SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1	2	3	4	5	6
	Amount	Percentage	Amount	Securities Lending Reinvested Collateral Amount	Total (Col. 3 + 4) Amount	Percentage
1. Bonds:						
1.1 U.S. treasury securities .....	5,544,638	3.340	5,544,638		5,544,638	3.340
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies .....	493,766	0.297	493,766		493,766	0.297
1.22 Issued by U.S. government sponsored agencies .....		0.000				0.000
1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities) .....	3,021,941	1.820	3,021,941		3,021,941	1.820
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S. :						
1.41 States, territories and possessions general obligations .....		0.000				0.000
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations .....		0.000				0.000
1.43 Revenue and assessment obligations .....		0.000				0.000
1.44 Industrial development and similar obligations .....		0.000				0.000
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA .....	9,711,118	5.849	9,711,118		9,711,118	5.849
1.512 Issued or guaranteed by FNMA and FHLMC .....	1,177,217	0.709	1,177,217		1,177,217	0.709
1.513 All other .....		0.000				0.000
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA .....	14,103,500	8.495	14,103,500		14,103,500	8.495
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521 .....		0.000				0.000
1.523 All other .....	10,807,194	6.509	10,807,194		10,807,194	6.509
2. Other debt and other fixed income securities (excluding short-term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities) .....	86,078,509	51.847	86,078,509		86,078,509	51.847
2.2 Unaffiliated non-U.S. securities (including Canada) .....	21,917,700	13.202	21,917,700		21,917,700	13.202
2.3 Affiliated securities .....		0.000				0.000
3. Equity interests:						
3.1 Investments in mutual funds .....		0.000				0.000
3.2 Preferred stocks:						
3.21 Affiliated .....		0.000				0.000
3.22 Unaffiliated .....		0.000				0.000
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated .....		0.000				0.000
3.32 Unaffiliated .....		0.000				0.000
3.4 Other equity securities:						
3.41 Affiliated .....		0.000				0.000
3.42 Unaffiliated .....		0.000				0.000
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated .....		0.000				0.000
3.52 Unaffiliated .....		0.000				0.000
4. Mortgage loans:						
4.1 Construction and land development .....		0.000				0.000
4.2 Agricultural .....		0.000				0.000
4.3 Single family residential properties .....		0.000				0.000
4.4 Multifamily residential properties .....		0.000				0.000
4.5 Commercial loans .....		0.000				0.000
4.6 Mezzanine real estate loans .....		0.000				0.000
5. Real estate investments:						
5.1 Property occupied by company .....		0.000				0.000
5.2 Property held for production of income (including \$ ..... of property acquired in satisfaction of debt) .....		0.000				0.000
5.3 Property held for sale (including \$ ..... property acquired in satisfaction of debt) .....		0.000				0.000
6. Contract loans .....		0.000				0.000
7. Derivatives .....		0.000				0.000
8. Receivables for securities .....		0.000				0.000
9. Securities Lending (Line 10, Asset Page reinvested collateral) .....		0.000		XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments .....	13,166,148	7.930	13,166,148		13,166,148	7.930
11. Other invested assets .....	1,074	0.001	1,074		1,074	0.001
12. Total invested assets	166,022,805	100.000	166,022,805		166,022,805	100.000

Schedule A - Verification - Real Estate  
**N O N E**

Schedule B - Verification - Mortgage Loans  
**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year .....	2,986,240
2.	Cost of acquired:	
	2.1 Actual cost at time of acquisition (Part 2, Column 8) .....	
	2.2 Additional investment made after acquisition (Part 2, Column 9) .....	33,721,837 33,721,837
3.	Capitalized deferred interest and other:	
	3.1 Totals, Part 1, Column 16 .....	
	3.2 Totals, Part 3, Column 12 .....	
4.	Accrual of discount .....	
5.	Unrealized valuation increase (decrease):	
	5.1 Totals, Part 1, Column 13 .....	(3,845)
	5.2 Totals, Part 3, Column 9 .....	(3,845) (7,690)
6.	Total gain (loss) on disposals, Part 3, Column 19 .....	7,609
7.	Deduct amounts received on disposals, Part 3, Column 16 .....	36,706,922
8.	Deduct amortization of premium and depreciation .....	
9.	Total foreign exchange change in book/adjusted carrying value:	
	9.1 Totals, Part 1, Column 17 .....	
	9.2 Totals, Part 3, Column 14 .....	
10.	Deduct current year's other than temporary impairment recognized:	
	10.1 Totals, Part 1, Column 15 .....	
	10.2 Totals, Part 3, Column 11 .....	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	1,074
12.	Deduct total nonadmitted amounts .....	
13.	Statement value at end of current period (Line 11 minus Line 12) .....	1,074

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year .....	152,817,276
2.	Cost of bonds and stocks acquired, Part 3, Column 7 .....	31,000,249
3.	Accrual of discount .....	128,146
4.	Unrealized valuation increase (decrease):	
	4.1. Part 1, Column 12 .....	
	4.2. Part 2, Section 1, Column 15 .....	
	4.3. Part 2, Section 2, Column 13 .....	
	4.4. Part 4, Column 11 .....	
5.	Total gain (loss) on disposals, Part 4, Column 19 .....	155,487
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7 .....	30,999,042
7.	Deduct amortization of premium .....	244,568
8.	Total foreign exchange change in book/adjusted carrying value:	
	8.1. Part 1, Column 15 .....	
	8.2. Part 2, Section 1, Column 19 .....	
	8.3. Part 2, Section 2, Column 16 .....	
	8.4. Part 4, Column 15 .....	
9.	Deduct current year's other than temporary impairment recognized:	
	9.1. Part 1, Column 14 .....	1,966
	9.2. Part 2, Section 1, Column 17 .....	
	9.3. Part 2, Section 2, Column 14 .....	
	9.4. Part 4, Column 13 .....	1,966
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	152,855,582
11.	Deduct total nonadmitted amounts .....	
12.	Statement value at end of current period (Line 10 minus Line 11) .....	152,855,582



SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS					
Governments (Including all obligations guaranteed by governments)	1. United States .....	15,749,521	15,783,285	16,015,778	15,620,457
	2. Canada .....	3,021,941	3,113,727	3,017,215	3,025,000
	3. Other Countries				
	4. Totals	18,771,462	18,897,012	19,032,993	18,645,457
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals				
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals				
U.S. Special revenue and special assessment obligations and all non-guaranteed obligations of agencies and authorities of governments and their political subdivisions	7. Totals	15,280,717	15,684,734	15,265,857	15,263,331
Industrial and Miscellaneous and Hybrid Securities (unaffiliated)	8. United States .....	96,885,703	98,426,970	97,124,330	96,572,904
	9. Canada .....	3,996,820	3,938,973	4,018,310	4,000,000
	10. Other Countries	17,920,879	18,195,602	17,846,600	17,925,000
	11. Totals	118,803,402	120,561,545	118,989,240	118,497,904
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	152,855,581	155,143,291	153,288,090	152,406,692
PREFERRED STOCKS Industrial and Miscellaneous (unaffiliated)	14. United States .....				
	15. Canada .....				
	16. Other Countries				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
COMMON STOCKS Industrial and Miscellaneous (unaffiliated)	20. United States .....				
	21. Canada .....				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	152,855,581	155,143,291	153,288,090	

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE D - PART 1A - SECTION 1**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
1. U.S. Governments											
1.1 NAIC 1 .....	14,677,027	6,288,115	4,699,333	4,435,606	337,901	30,437,982	18.2	25,949,107	16.4	30,022,836	415,146
1.2 NAIC 2 .....											
1.3 NAIC 3 .....											
1.4 NAIC 4 .....											
1.5 NAIC 5 .....											
1.6 NAIC 6 .....											
1.7 Totals	14,677,027	6,288,115	4,699,333	4,435,606	337,901	30,437,982	18.2	25,949,107	16.4	30,022,836	415,146
2. All Other Governments											
2.1 NAIC 1 .....	399,979	1,374,262	1,247,700			3,021,941	1.8	3,021,020	1.9	3,021,941	
2.2 NAIC 2 .....											
2.3 NAIC 3 .....											
2.4 NAIC 4 .....											
2.5 NAIC 5 .....											
2.6 NAIC 6 .....											
2.7 Totals	399,979	1,374,262	1,247,700			3,021,941	1.8	3,021,020	1.9	3,021,941	
3. U.S. States, Territories and Possessions etc., Guaranteed											
3.1 NAIC 1 .....											
3.2 NAIC 2 .....											
3.3 NAIC 3 .....											
3.4 NAIC 4 .....											
3.5 NAIC 5 .....											
3.6 NAIC 6 .....											
3.7 Totals											
4. U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed											
4.1 NAIC 1 .....											
4.2 NAIC 2 .....											
4.3 NAIC 3 .....											
4.4 NAIC 4 .....											
4.5 NAIC 5 .....											
4.6 NAIC 6 .....											
4.7 Totals											
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed											
5.1 NAIC 1 .....	17,001	693,027	1,570,963	7,145,342	5,854,383	15,280,716	9.1	7,436,317	4.7	15,280,716	
5.2 NAIC 2 .....											
5.3 NAIC 3 .....											
5.4 NAIC 4 .....											
5.5 NAIC 5 .....											
5.6 NAIC 6 .....											
5.7 Totals	17,001	693,027	1,570,963	7,145,342	5,854,383	15,280,716	9.1	7,436,317	4.7	15,280,716	

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE D - PART 1A - SECTION 1 (Continued)**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)											
6.1 NAIC 1 .....	17,322,302	34,531,995	58,222,431	765,109	22,685	110,864,522	66.2	114,734,724	72.6	97,873,147	12,991,375
6.2 NAIC 2 .....	1,012,301	2,052,534	3,361,176	41,337	3,837	6,471,185	3.9	5,685,385	3.6	5,471,785	999,400
6.3 NAIC 3 .....	397,213	1,070,483				1,467,696	0.9	1,059,870	0.7	1,070,483	397,213
6.4 NAIC 4 .....								128,518	0.1		
6.5 NAIC 5 .....											
6.6 NAIC 6 .....											
6.7 Totals	18,731,816	37,655,012	61,583,607	806,446	26,522	118,803,403	70.9	121,608,497	77.0	104,415,415	14,387,988
7. Hybrid Securities											
7.1 NAIC 1 .....											
7.2 NAIC 2 .....											
7.3 NAIC 3 .....											
7.4 NAIC 4 .....											
7.5 NAIC 5 .....											
7.6 NAIC 6 .....											
7.7 Totals											
8. Parent, Subsidiaries and Affiliates											
8.1 NAIC 1 .....											
8.2 NAIC 2 .....											
8.3 NAIC 3 .....											
8.4 NAIC 4 .....											
8.5 NAIC 5 .....											
8.6 NAIC 6 .....											
8.7 Totals											

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**SCHEDULE D - PART 1A - SECTION 1 (Continued)**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1	2	3	4	5	6	7	8	9	10	11
NAIC Designation	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	Total Current Year	Col. 6 as a % of Line 9.7	Total from Col. 6 Prior Year	% From Col. 7 Prior Year	Total Publicly Traded	Total Privately Placed (a)
9. Total Bonds Current Year											
9.1 NAIC 1 .....	(d) 32,416,309	42,887,399	65,740,427	12,346,057	6,214,969	159,605,161	95.3	XXX	XXX	146,198,640	13,406,521
9.2 NAIC 2 .....	(d) 1,012,301	2,052,534	3,361,176	41,337	3,837	6,471,185	3.9	XXX	XXX	5,471,785	999,400
9.3 NAIC 3 .....	(d) 397,213	1,070,483				1,467,696	0.9	XXX	XXX	1,070,483	397,213
9.4 NAIC 4 .....	(d)							XXX	XXX		
9.5 NAIC 5 .....	(d)					(c)		XXX	XXX		
9.6 NAIC 6 .....	(d)					(c)		XXX	XXX		
9.7 Totals .....	33,825,823	46,010,416	69,101,603	12,387,394	6,218,806	(b) 167,544,042	100.0	XXX	XXX	152,740,908	14,803,134
9.8 Line 9.7 as a % of Col. 6	20.2	27.5	41.2	7.4	3.7	100.0	XXX	XXX	XXX	91.2	8.8
10. Total Bonds Prior Year											
10.1 NAIC 1 .....	15,985,836	64,047,777	58,263,861	11,666,178	1,177,516	XXX	XXX	151,141,168	95.6	139,604,787	11,536,381
10.2 NAIC 2 .....	3,106,714	856,808	1,721,863			XXX	XXX	5,685,385	3.6	3,790,106	1,895,279
10.3 NAIC 3 .....		1,059,870				XXX	XXX	1,059,870	0.7	1,059,870	
10.4 NAIC 4 .....	9,709	32,755	28,652	47,085	10,317	XXX	XXX	128,518	0.1	128,518	
10.5 NAIC 5 .....						XXX	XXX	(c)			
10.6 NAIC 6 .....						XXX	XXX	(c)			
10.7 Totals .....	19,102,259	65,997,210	60,014,376	11,713,263	1,187,833	XXX	XXX	(b) 158,014,941	100.0	144,583,281	13,431,660
10.8 Line 10.7 as a % of Col. 8	12.1	41.8	38.0	7.4	0.8	XXX	XXX	100.0	XXX	91.5	8.5
11. Total Publicly Traded Bonds											
11.1 NAIC 1 .....	30,673,763	37,562,980	59,791,669	11,955,259	6,214,969	146,198,640	87.3	139,604,787	88.3	146,198,640	XXX
11.2 NAIC 2 .....	512,301	1,553,134	3,361,176	41,337	3,837	5,471,785	3.3	3,790,106	2.4	5,471,785	XXX
11.3 NAIC 3 .....		1,070,483				1,070,483	0.6	1,059,870	0.7	1,070,483	XXX
11.4 NAIC 4 .....								128,518	0.1		XXX
11.5 NAIC 5 .....											XXX
11.6 NAIC 6 .....											XXX
11.7 Totals .....	31,186,064	40,186,597	63,152,845	11,996,596	6,218,806	152,740,908	91.2	144,583,281	91.5	152,740,908	XXX
11.8 Line 11.7 as a % of Col. 6	20.4	26.3	41.3	7.9	4.1	100.0	XXX	XXX	XXX	100.0	XXX
11.9 Line 11.7 as a % of Line 9.7, Col. 6, Section 9	18.6	24.0	37.7	7.2	3.7	91.2	XXX	XXX	XXX	91.2	XXX
12. Total Privately Placed Bonds											
12.1 NAIC 1 .....	1,742,546	5,324,419	5,948,758	390,798		13,406,521	8.0	11,536,381	7.3	XXX	13,406,521
12.2 NAIC 2 .....	500,000	499,400				999,400	0.6	1,895,279	1.2	XXX	999,400
12.3 NAIC 3 .....	397,213					397,213	0.2			XXX	397,213
12.4 NAIC 4 .....										XXX	
12.5 NAIC 5 .....										XXX	
12.6 NAIC 6 .....										XXX	
12.7 Totals .....	2,639,759	5,823,819	5,948,758	390,798		14,803,134	8.8	13,431,660	8.5	XXX	14,803,134
12.8 Line 12.7 as a % of Col. 6	17.8	39.3	40.2	2.6		100.0	XXX	XXX	XXX	XXX	100.0
12.9 Line 12.7 as a % of Line 9.7, Col. 6, Section 9	1.6	3.5	3.6	0.2		8.8	XXX	XXX	XXX	XXX	8.8

(a) Includes \$ 9,233,674 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.  
(b) Includes \$ current year, \$ 1,019,972 prior year of bonds with Z designations and \$ , current year \$ prior year of bonds with Z\* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z\*" means the SVO could not evaluate the obligation because valuation procedures for the security class are under regulatory review.  
(c) Includes \$ current year, \$ prior year of bonds with 5\* designations and \$ , current year \$ prior year of bonds with 6\* designations. "5\*" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.  
(d) Includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ ; NAIC 2 \$ ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$

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ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**SCHEDULE D - PART 1A - SECTION 2 (Continued)**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
9. Total Bonds Current Year											
9.1 Issuer Obligations .....	29,712,443	40,820,022	59,024,202	724,324		130,280,991	77.8	XXX	XXX	117,572,659	12,708,332
9.2 Residential Mortgage-Backed Securities .....	24,269	689,891	1,537,308	6,579,407	5,611,167	14,442,042	8.6	XXX	XXX	14,442,042	
9.3 Commercial Mortgage-Backed Securities .....	4,065,792	3,415,991	8,358,958	4,908,605	607,639	21,356,985	12.7	XXX	XXX	20,647,587	709,398
9.4 Other Loan-Backed and Structured Securities .....	23,319	1,084,512	181,135	175,058		1,464,024	0.9	XXX	XXX	78,620	1,385,404
9.5 Totals .....	33,825,823	46,010,416	69,101,603	12,387,394	6,218,806	167,544,042	100.0	XXX	XXX	152,740,908	14,803,134
9.6 Line 9.5 as a % of Col. 6 .....	20.2	27.5	41.2	7.4	3.7	100.0	XXX	XXX	XXX	91.2	8.8
10. Total Bonds Prior Year											
10.1 Issuer Obligations .....	18,533,273	57,711,403	50,402,358	1,138,113		XXX	XXX	127,785,147	80.9	116,634,565	11,150,582
10.2 Residential Mortgage-Backed Securities .....	19,335	393,311	1,134,033	4,647,160	417,858	XXX	XXX	6,611,697	4.2	6,611,696	1
10.3 Commercial Mortgage-Backed Securities .....	346,184	6,826,205	8,294,457	5,722,049	769,975	XXX	XXX	21,958,870	13.9	21,248,104	710,766
10.4 Other Loan-Backed and Structured Securities .....	203,466	1,066,290	183,530	205,941		XXX	XXX	1,659,227	1.1	88,917	1,570,310
10.5 Totals .....	19,102,258	65,997,209	60,014,378	11,713,263	1,187,833	XXX	XXX	158,014,941	100.0	144,583,282	13,431,659
10.6 Line 10.5 as a % of Col. 8 .....	12.1	41.8	38.0	7.4	0.8	XXX	XXX	100.0	XXX	91.5	8.5
11. Total Publicly Traded Bonds											
11.1 Issuer Obligations .....	27,090,819	36,050,746	53,922,511	508,583		117,572,659	70.2	116,634,565	73.8	117,572,659	XXX
11.2 Residential Mortgage-Backed Securities .....	24,269	689,890	1,537,308	6,579,407	5,611,167	14,442,041	8.6	6,611,696	4.2	14,442,041	XXX
11.3 Commercial Mortgage-Backed Securities .....	4,065,792	3,415,991	7,649,559	4,908,606	607,640	20,647,588	12.3	21,248,104	13.4	20,647,588	XXX
11.4 Other Loan-Backed and Structured Securities .....	5,184	29,970	43,466			78,620	0.0	88,917	0.1	78,620	XXX
11.5 Totals .....	31,186,064	40,186,597	63,152,844	11,996,596	6,218,807	152,740,908	91.2	144,583,282	91.5	152,740,908	XXX
11.6 Line 11.5 as a % of Col. 6 .....	20.4	26.3	41.3	7.9	4.1	100.0	XXX	XXX	XXX	100.0	XXX
11.7 Line 11.5 as a % of Line 9.5, Col. 6, Section 9 .....	18.6	24.0	37.7	7.2	3.7	91.2	XXX	XXX	XXX	91.2	XXX
12. Total Privately Placed Bonds											
12.1 Issuer Obligations .....	2,621,624	4,769,276	5,101,691	215,741		12,708,332	7.6	11,150,582	7.1	XXX	12,708,332
12.2 Residential Mortgage-Backed Securities .....		1						1	0.0	XXX	
12.3 Commercial Mortgage-Backed Securities .....			709,398			709,398	0.4	710,766	0.4	XXX	709,398
12.4 Other Loan-Backed and Structured Securities .....	18,135	1,054,542	137,669	175,058		1,385,404	0.8	1,570,310	1.0	XXX	1,385,404
12.5 Totals .....	2,639,759	5,823,819	5,948,758	390,799		14,803,134	8.8	13,431,659	8.5	XXX	14,803,134
12.6 Line 12.5 as a % of Col. 6 .....	17.8	39.3	40.2	2.6		100.0	XXX	XXX	XXX	XXX	100.0
12.7 Line 12.5 as a % of Line 9.5, Col. 6, Section 9 .....	1.6	3.5	3.6	0.2		8.8	XXX	XXX	XXX	XXX	8.8

ANNUAL STATEMENT FOR THE YEAR 2015 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE DA - VERIFICATION BETWEEN YEARS**

Short-Term Investments

	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year .....	1,893,679	1,893,679			
2. Cost of short-term investments acquired .....	46,694,633	46,694,633			
3. Accrual of discount .....	1,777	1,777			
4. Unrealized valuation increase (decrease) .....					
5. Total gain (loss) on disposals .....	286	286			
6. Deduct consideration received on disposals .....	40,361,805	40,361,805			
7. Deduct amortization of premium .....					
8. Total foreign exchange change in book/adjusted carrying value .....					
9. Deduct current year's other than temporary impairment recognized .....					
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	8,228,570	8,228,570			
11. Deduct total nonadmitted amounts .....					
12. Statement value at end of current period (Line 10 minus Line 11)	8,228,570	8,228,570			

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards  
**N O N E**

Schedule DB - Part B - Verification - Futures Contracts  
**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open  
**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open  
**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives  
**N O N E**



SCHEDULE E - VERIFICATION BETWEEN YEARS

(Cash Equivalents)

	1	2	3
	Total	Bonds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year .....	3,303,988	3,303,988	
2. Cost of cash equivalents acquired .....	46,936,969	46,936,969	
3. Accrual of discount .....	647	647	
4. Unrealized valuation increase (decrease) .....			
5. Total gain (loss) on disposals .....	(22)	(22)	
6. Deduct consideration received on disposals .....	43,781,690	43,781,690	
7. Deduct amortization of premium .....			
8. Total foreign exchange change in book/adjusted carrying value .....			
9. Deduct current year's other than temporary impairment recognized .....			
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	6,459,892	6,459,892	
11. Deduct total nonadmitted amounts .....			
12. Statement value at end of current period (Line 10 minus Line 11)	6,459,892	6,459,892	

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment: