



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2016

OF THE CONDITION AND AFFAIRS OF THE

NYLIFE Insurance Company of Arizona

NAIC Group Code 0826, 0826 NAIC Company Code 81353 Employer's ID No. 52-1530175
(Current Period) (Prior Period)

Organized under the Laws of Arizona, State of Domicile or Port of Entry AZ,

Country of Domicile United States of America

INCORPORATED/ORGANIZED JULY 23, 1987 COMMENCED BUSINESS DECEMBER 30, 1987

Statutory Home Office 14850 N. Scottsdale Road, Suite 400, Scottsdale, AZ, U.S. 85254..
Main Administrative Office..... 51 Madison Avenue, New York, NY, U.S. 10010.....
212-576-7000.....
Mail Address 51 Madison Avenue, New York, NY, U.S. 10010.....
Primary Location of Books and Records 51 Madison Avenue, New York, NY, U.S. 10010.....
212-576-7000.....
Internet Website address www.newyorklife.com.....
Statutory Statement Contact Person and Phone Number.... Peter Decandia.....212-576-8249.....
Statutory Statement Contact E-Mail Address statement_contact@newyorklife.com
Statutory Statement Contact Fax Number 212-252-5699.....

EXECUTIVE OFFICERS

CRAIG LAWRENCE DESANTO
Chairman of the Board, Chief Executive
Officer and President

BARBARA JOAN MCINERNEY
Senior Vice President and Chief
Compliance Officer

MICHAEL FONG
Vice President and Actuary

MARY TERESA HALLAHAN#
Vice President and Treasurer

THOMAS FRANCIS ENGLISH#
Senior Vice President
and Chief Legal Officer

ARTHUR HARUTYUN SETER
Senior Vice President and Chief
Investment Officer

PETER DECANDIA
Corporate Vice President and Controller

COLLEEN ANNE MEADE#
Associate Legal Officer and Secretary

DIRECTORS

CHRISTOPHER THOMAS ASHE
CRAIG LAWRENCE DESANTO
MICHAEL FONG

ROBERT MICHAEL GARDNER
THOMAS JOHN GIRARD
MATTHEW MARTIN GROVE#

State of New York }
County of New York } SS

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures Manual except to the extent that: (1) state law may differ; or, (2) state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Colleen A. Meade
COLLEEN ANNE MEADE#
Associate Legal Officer and Secretary

Mary Teresa Hallahan
MARY TERESA HALLAHAN#
Vice President and Treasurer

Peter Decandia
PETER DECANDIA
Corporate Vice President and Controller

STEPHEN COSTANZA
NOTARY PUBLIC-STATE OF NEW YORK
No. 01CO6327901
Qualified in Westchester County
My Commission Expires July 20, 2019

Subscribed and sworn to before me this
10th day of November 2016

Stephen Costanza

Officers and Directors who did not occupy the indicated position in the previous annual statement

a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number ...
2. Date filed ...
3. Number of pages attached ...

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	158,332,319		158,332,319	152,855,583
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$(210,944)), cash equivalents (\$913,924) and short-term investments (\$8,493,523)	9,196,503		9,196,503	13,166,148
6. Contract loans (including \$ premium notes)				
7. Derivatives				
8. Other invested assets				1,074
9. Receivables for securities				
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	167,528,822		167,528,822	166,022,805
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	1,104,827		1,104,827	1,115,310
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	139,418		139,418	(193,933)
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	23,326,796	231,669	23,095,127	26,711,725
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	1,374,026		1,374,026	690,000
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts	62,836		62,836	91,459
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset	7,083,050	1,143,687	5,939,363	5,939,363
19. Guaranty funds receivable or on deposit	43,998		43,998	43,205
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	568,323		568,323	47,209
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	302,425	302,425		
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	201,534,521	1,677,781	199,856,740	200,467,143
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	201,534,521	1,677,781	199,856,740	200,467,143
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501. Miscellaneous	302,425	302,425		
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	302,425	302,425		

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$73,586,330 less \$ included in Line 6.3 (including \$ Modco Reserve)	73,586,330	89,607,709
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)		
3. Liability for deposit-type contracts (including \$ Modco Reserve)	2,305,133	2,944,043
4. Contract claims:		
4.1 Life	2,082,966	2,212,057
4.2 Accident and health		
5. Policyholders' dividends \$ and coupons \$ due and unpaid		
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$ Modco)		
6.2 Dividends not yet apportioned (including \$ Modco)		
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums	120,845	129,035
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$ assumed and \$ ceded		
9.4 Interest Maintenance Reserve	51,393	51,680
10. Commissions to agents due or accrued-life and annuity contracts \$, accident and health \$ and deposit-type contract funds \$		
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued	104,922	116,618
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	50	
15.1 Current federal and foreign income taxes, including \$(903) on realized capital gains (losses)	10,395,346	4,724,471
15.2 Net deferred tax liability		
16. Unearned investment income		
17. Amounts withheld or retained by company as agent or trustee	2,019	1,998
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated	73,915	164,060
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	532,136	483,376
24.02 Reinsurance in unauthorized and certified (\$) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	3,917,910	3,655,942
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives		
24.09 Payable for securities	4,497,389	5,126,944
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	90,674	72,823
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	97,761,028	109,290,756
27. From Separate Accounts Statement		
28. Total liabilities (Lines 26 and 27)	97,761,028	109,290,756
29. Common capital stock	2,500,000	2,500,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds		
32. Surplus notes		
33. Gross paid in and contributed surplus	98,500,000	98,500,000
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	1,095,712	(9,823,613)
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	99,595,712	88,676,387
38. Totals of Lines 29, 30 and 37	102,095,712	91,176,387
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	199,856,740	200,467,143
DETAILS OF WRITE-INS		
2501. Liability for interest on claims	90,674	72,823
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	90,674	72,823
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)		
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

SUMMARY OF OPERATIONS

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	13,222,237	18,748,494	23,306,067
2. Considerations for supplementary contracts with life contingencies			
3. Net investment income	4,026,063	4,012,518	5,323,174
4. Amortization of Interest Maintenance Reserve (IMR)	(3,939)	19,731	6,042
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded	811,745	735,058	1,050,948
7. Reserve adjustments on reinsurance ceded			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	200	100	200
9. Totals (Lines 1 to 8.3)	18,056,306	23,515,901	29,686,431
10. Death benefits	8,812,780	12,902,075	15,507,677
11. Matured endowments (excluding guaranteed annual pure endowments)			
12. Annuity benefits			
13. Disability benefits and benefits under accident and health contracts	482,100	448,192	567,394
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts		(1)	
16. Group conversions	3,558,382	3,652,119	4,593,063
17. Interest and adjustments on contract or deposit-type contract funds	29,267	(53,148)	(46,383)
18. Payments on supplementary contracts with life contingencies			
19. Increase in aggregate reserves for life and accident and health contracts	(15,321,379)	(11,488,129)	(14,883,796)
20. Totals (Lines 10 to 19)	(2,438,850)	5,461,108	5,737,955
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	14,008	235,995	245,329
22. Commissions and expense allowances on reinsurance assumed			
23. General insurance expenses	2,199,046	2,212,115	3,079,038
24. Insurance taxes, licenses and fees, excluding federal income taxes	915,610	670,868	1,244,152
25. Increase in loading on deferred and uncollected premiums	941,202	2,137,409	2,533,577
26. Net transfers to or (from) Separate Accounts net of reinsurance			
27. Aggregate write-ins for deductions	1,852		
28. Totals (Lines 20 to 27)	1,632,868	10,717,495	12,840,051
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	16,423,438	12,798,406	16,846,380
30. Dividends to policyholders			
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	16,423,438	12,798,406	16,846,380
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	6,483,778	3,204,812	4,823,292
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	9,939,660	9,593,594	12,023,088
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$1,373 (excluding taxes of \$(2,276) transferred to the IMR)	(3,021)	2,043	9,862
35. Net income (Line 33 plus Line 34)	9,936,639	9,595,637	12,032,950
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	91,176,387	79,647,503	79,647,503
37. Net income (Line 35)	9,936,639	9,595,637	12,032,950
38. Change in net unrealized capital gains (losses) less capital gains tax of \$0		25	(10,279)
39. Change in net unrealized foreign exchange capital gain (loss)			
40. Change in net deferred income tax	200,234	(1,046,931)	(1,672,325)
41. Change in nonadmitted assets	376,211	(427,194)	710,123
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			
44. Change in asset valuation reserve	(48,759)	(52,535)	(54,649)
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			99,537
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus	455,000	423,528	423,528
54. Net change in capital and surplus for the year (Lines 37 through 53)	10,919,325	8,492,530	11,528,885
55. Capital and surplus, as of statement date (Lines 36 + 54)	102,095,712	88,140,033	91,176,387
DETAILS OF WRITE-INS			
08.301. Sundries	200	100	200
08.302.			
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	200	100	200
2701. Fines, penalties and fees from regulatory authorities	1,852		
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page			
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	1,852		
5301. Prior period correction	455,000	423,528	423,528
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	455,000	423,528	423,528

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	15,744,913	23,698,170	25,120,842
2. Net investment income	4,134,475	4,106,344	5,562,445
3. Miscellaneous income	844,029	618,054	1,057,606
4. Total (Lines 1 to 3)	20,723,417	28,422,568	31,740,893
5. Benefit and loss related payments	12,991,670	18,393,696	19,848,047
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	3,402,009	3,662,451	5,022,355
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	1,057,000	4,633,968	6,090,968
10. Total (Lines 5 through 9)	17,450,679	26,690,115	30,961,370
11. Net cash from operations (Line 4 minus Line 10)	3,272,738	1,732,453	779,523
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	26,601,529	22,099,128	30,999,041
12.2 Stocks			
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets	1,074	28,950,000	36,706,922
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	5,933	106	264
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Lines 12.1 to 12.7)	26,608,536	51,049,234	67,706,227
13. Cost of investments acquired (long-term only):			
13.1 Bonds	32,066,157	18,574,731	30,796,953
13.2 Stocks			
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets		26,275,297	33,721,837
13.6 Miscellaneous applications	629,555	(999,826)	(5,126,944)
13.7 Total investments acquired (Lines 13.1 to 13.6)	32,695,712	43,850,202	59,391,846
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(6,087,176)	7,199,032	8,314,381
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(641,008)	(705,831)	(875,522)
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(514,199)	(1,147,084)	582,910
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(1,155,207)	(1,852,915)	(292,612)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	(3,969,645)	7,078,570	8,801,292
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	13,166,148	4,364,856	4,364,856
19.2 End of period (Line 18 plus Line 19.1)	9,196,503	11,443,426	13,166,148

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Capitalized Interest	157,269	151,844	203,297
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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life			
2. Ordinary life insurance	26,748,472	31,638,713	42,925,902
3. Ordinary individual annuities			
4. Credit life (group and individual)			
5. Group life insurance			
6. Group annuities			
7. A & H - group			
8. A & H - credit (group and individual)			
9. A & H - other			
10. Aggregate of all other lines of business			
11. Subtotal	26,748,472	31,638,713	42,925,902
12. Deposit-type contracts			
13. Total	26,748,472	31,638,713	42,925,902
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. The accompanying financial statements of NYLIFE Insurance Company of Arizona ("the Company") have been prepared using accounting practices prescribed or permitted by the Arizona Insurance Department.

The Arizona Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of Arizona for determining and reporting the financial position and results of operations of an insurance company and for determining its solvency under Arizona Insurance Law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the state of Arizona. Prescribed statutory accounting practices include state laws and regulations. Permitted statutory accounting practices encompass accounting practices that are not prescribed; such practices differ from state to state, may differ from company to company within a state, and may change in the future. Arizona has adopted all prescribed accounting practices found in NAIC SAP. The Company does not have any permitted practices.

	State of Domicile	September 30, 2016	December 31, 2015
<u>Net Income</u>			
(1) Net income State basis (Page 4, Line 35)	Arizona	\$ 9,936,639	\$ 12,032,950
(2) State prescribed practices that increase/(decrease) NAIC SAP:	Arizona	—	—
(3) State permitted practices that increase/(decrease) NAIC SAP:	Arizona	—	—
(4) Net income, NAIC SAP (1-2-3=4)	Arizona	\$ 9,936,639	\$ 12,032,950

<u>Capital and Surplus</u>			
(5) Statutory capital and surplus State basis (Page 3, Line 38)	Arizona	\$ 102,095,711	\$ 91,176,387
(6) State prescribed practices that increase/(decrease) NAIC SAP:	Arizona	—	—
(7) State permitted practices that increase/(decrease) NAIC SAP:	Arizona	—	—
(8) Capital and surplus, NAIC SAP (5-6-7=8)	Arizona	\$ 102,095,711	\$ 91,176,387

B. No change.

C. (1)- (5) No change.

(6) Loan-backed and structured securities are valued at amortized cost using the interest method including current assumptions of projected cash flows. Loan-backed and structured securities in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. Amortization of the premium or accretion of discount from the purchase of these securities considers the estimated timing and amount of cash flows of the underlying loans, including prepayment assumptions based on data obtained from external sources or internal estimates. For loan-backed and structured securities, projected future cash flows are updated monthly, and the amortized cost and effective yield of the securities are adjusted as necessary to reflect historical prepayment experience and changes in estimated future prepayments. For high credit quality loan-backed and structured securities (those rated AA or above at the date of acquisition), the adjustments to amortized cost are recorded as a charge or credit to net investment income in accordance with the retrospective method. For loan-backed and structured securities that are not of high credit quality (those rated below AA at date of acquisition), certain floating rate securities and securities with the potential for a loss of a portion of the original investment due to contractual prepayments (e.g. interest only securities), the effective yield is adjusted prospectively for any changes in estimated cash flows. See Note 20 – Fair Value Measurements, for discussion of valuation methods for bonds.

The cost basis of loan-backed and structured securities is adjusted for impairments in value that are deemed to be other than temporary. An other-than-temporary loss is recognized in net income when it is anticipated that the amortized cost will not be recovered. The entire difference between the loan-backed or structured security's amortized cost and its fair value is recognized in net income only when the Company (1) has the intent to sell the security or (2) it does not have the intent and ability to hold the security to recovery. If neither of these two conditions exists, a realized loss is recognized in net income for the difference between the amortized cost basis of the security and the net present value of projected future cash flows expected to be collected. The net present value is calculated by discounting the Company's best estimate of projected future cash flows at the effective interest rate implicit in the loan-backed or structured security prior to impairment.

The new cost basis of an impaired security is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary loss, the impaired loan-backed or structured security is accounted for as if it had been purchased on the measurement date of the impairment. Accordingly, the discount (or reduced premium) based on the new cost basis may be accreted into net investment income in future periods based on prospective changes in cash flow estimates, to reflect adjustments to the effective yield.

(7) -(13) No change.

D. Going Concern

The Company does not have any doubt about its ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

Change in Accounting Principles

No change.

Prior Period Correction

In 2016, the Company corrected an error related to waiver of premium incurred but not reported ("IBNR") reserves. The Company calculated the prior year reserves based on claims experience data that incorrectly identified certain claims as IBNR. To correct this error, the Company reduced its reserves and recorded a prior period correction that increased statutory surplus by \$455,000 on an after-tax basis.

3. Business Combinations and Goodwill

Not applicable.

NOTES TO FINANCIAL STATEMENTS

4. Discontinued Operations

Not applicable.

5. Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

Not applicable.

B. Debt Restructuring

Not applicable.

C. Reverse Mortgages

Not applicable.

D. Loan-Backed Securities

- (1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from external sources such as Bloomberg, Intex and Blackrock Solutions.
- (2) The Company does not have any loan-backed and structured securities which are other-than-temporarily impaired where the Company intends to sell, or does not have the intent and ability to hold until recovery as of September 30, 2016.
- (3) The following table lists each security at a CUSIP level where the present value of cash flows expected to be collected is less than the amortized cost basis during the current year:

IMPAIRMENTS TAKEN ON CURRENT HOLDINGS DURING THE CURRENT YEAR						
(1)	(2)	(3)	(4)	(5)	(6)	(7)
CUSIP ¹	Amortized cost before current period OTTI	Projected Cash Flows	Current Period Recognized OTTI	Amortized Cost After OTTI	Fair Value	Financial Statement Reporting Period
251511AC5	65,714	63,009	2,705	63,009	61,597	9/30/2016
251511AF8	39,089	37,317	1,772	37,317	36,310	9/30/2016
251511AC5	68,280	67,443	837	67,443	63,339	6/30/2016
251511AF8	40,604	40,116	488	40,116	37,317	6/30/2016
Total	XXX	XXX \$	5,802	XXX	XXX	

¹ Only the impaired lots within each CUSIP are included within this table.

- (4) The following table presents the Company's gross unrealized losses and fair values of loan-backed and structured securities, aggregated by the length of time that the individual securities have been in a continuous unrealized loss position at September 30, 2016:

	Less than 12 months		12 months or greater		Total	
	Fair Value	Losses	Fair Value	Losses	Fair Value	Losses
Total	\$ 151,725	\$ 816	\$ 360,955	\$ 7,297	\$ 512,680	\$ 8,113

- (5) The Company performs quantitative and qualitative analysis to determine if a decline in fair value was temporary. For those securities where the decline was considered temporary, the Company did not take an impairment when it had the ability and intent to hold until recovery. Factors considered in evaluating whether a decline in value is other than temporary include: (1) whether the decline is substantial; (2) the duration that the fair value has been less than amortized cost; (3) the financial condition and near-term prospects of the issuer; and (4) the Company's ability and intent to retain the investment for the period of time sufficient to allow for an anticipated recovery in value. In addition, for the non-agency residential mortgage backed securities ("RMBS") portfolio, the Company updates cash flow projections quarterly. A projection is performed for each security based upon the evaluation of prepayment, delinquency, and default rates for the pool of mortgages collateralizing each security, and the projected impact on the course of future prepayments, defaults, and loss in the pool of mortgages, but do not include market prices. As a result, forecasts may change from period to period and additional impairments may be recognized over time as a result of deterioration in the fundamentals of a particular security or group of securities and/or a continuation of heightened mortgage defaults for a period longer than the assumptions used for the forecasts. Both qualitative and quantitative factors are used in creating the Company's RMBS cash flow models. As such, any estimate of impairments is subject to the inherent limitation on the Company's ability to predict the aggregate course of future events. It should therefore be expected that actual losses may vary from any estimate and the Company may recognize additional other-than-temporary losses.

E. Repurchase Agreements and/or Securities Lending Transactions

Not applicable.

F. Real Estate

Not applicable.

G. Investment in Low-Income Housing Tax Credits

Not applicable.

NOTES TO FINANCIAL STATEMENTS

H.	Restricted Assets	
		No change.
I.	Working Capital Finance Investments	
		Not applicable.
J.	Offsetting and Netting of Assets and Liabilities	
		Not applicable.
K.	Structured Notes	
		Not applicable
6.	Joint Ventures, Partnerships and Limited Liability Companies	
	A.	No change.
	B.	No change.
7.	Investment Income	
	A.	No change.
	B.	No change.
8.	Derivative Instruments	
		Not applicable.
9.	Income Taxes	
	A-G.	No change.
10.	Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties	
	A-N.	No change.
11.	Debt	
		Not Applicable.
12.	Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans	
	A-I.	No change.
13.	Capital and Surplus, Shareholders' Dividend Restrictions, and Quasi-Reorganizations	
		No change.
14.	Liabilities, Contingencies and Assessments	
	A.	Contingent Commitments
		Not applicable.
	B.	Assessments
		No change.
	C.	Gain Contingencies
		Not applicable.
	D.	Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits
		No change.
	E.	Joint and Several Liabilities
		Not applicable.
	F.	All Other Contingencies
		No change.
15.	Leases	
	A.	Lessee Leasing Arrangements
		No change.
	B.	Significant Leasing Arrangements
		Not applicable.

NOTES TO FINANCIAL STATEMENTS

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk
- Not applicable.
17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities
- A. Transfers of Receivables reported as Sales
- Not applicable.
- B. Transfer and Servicing of Financial Assets
- (1) - (7) Not applicable.
- C. Wash Sales
- The company did not have any wash sale transactions involving securities with an NAIC Designation 3 or below or unrated.
18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans
- Not applicable.
19. Direct Premium Written/Produced by Managing General Agents/Third-Party Administrators
- Not applicable.
20. Fair Value Measurements
- A. The Company's financial assets and liabilities carried at fair value have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100, "*Fair Value Measurements*". Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This guidance establishes a framework for measuring fair value that includes a hierarchy used to classify the inputs used in measuring fair value. The hierarchy prioritizes the inputs to valuation techniques used to measure fair value into three levels. The level in the fair value hierarchy within which the fair value measurement falls is determined based on the lowest level input that is significant to the fair value measurement.
- (1) The levels of the fair value hierarchy are based on the inputs to the valuation as follows:
- Level 1

Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market. Active markets are defined as a market in which many transactions occur with sufficient frequency and volume to provide pricing information on an ongoing basis.
- Level 2

Observable inputs other than level 1 prices, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active for identical or similar assets or liabilities, or other model driven inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities. Valuations are generally obtained from third-party pricing services for identical or comparable assets or liabilities or through the use of valuation methodologies using observable market inputs.
- Level 3

Instruments whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs reflect management's own assumptions in pricing the asset or liability. Pricing may also be based upon broker quotes that do not represent an offer to transact. Prices are determined using valuation methodologies such as option pricing models, discounted cash flow models and other similar techniques. Non-binding broker quotes, which are utilized when pricing service information is not available, are reviewed for reasonableness based on the Company's understanding of the market, and are generally considered Level 3. To the extent the internally developed valuations use significant unobservable inputs, they are classified as Level 3.
- The Company had no investments held at fair value as of September 30, 2016.
- B. Not applicable.
- C. The following table presents the carrying amounts and estimated fair value of the Company's financial instruments at September 30, 2016:

	Fair Value	Carrying Value	Level 1	Level 2	Level 3	Not Practicable
Assets:						
Bonds	\$ 165,080,930	\$ 158,332,319	\$ —	\$ 165,080,930	\$ —	\$ —
Cash, cash equivalents and short-term investments	9,196,503	9,196,503	—	9,196,503	—	—
Investment income due and accrued	1,104,827	1,104,827	—	1,104,827	—	—
Total assets	<u>\$ 175,382,260</u>	<u>\$ 168,633,649</u>	<u>\$ —</u>	<u>\$ 175,382,260</u>	<u>\$ —</u>	<u>\$ —</u>
Liabilities:						
Deposit fund contracts	2,305,133	\$ 2,305,133	\$ —	\$ —	\$ 2,305,133	\$ —
Total liabilities	<u>\$ 2,305,133</u>	<u>\$ 2,305,133</u>	<u>\$ —</u>	<u>\$ —</u>	<u>\$ 2,305,133</u>	<u>\$ —</u>

Bonds

The fair value of bonds is determined by considering one of four primary sources: (1) security pricing is applied using a hierarchy approach whereby publicly available prices are first sought from nationally recognized third-party pricing services, (2) securities are priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmarks bond indices, (3) securities are priced using an internal pricing model or methodology, and (4) securities are submitted to independent brokers for prices.

NOTES TO FINANCIAL STATEMENTS

The pricing service generally uses a discounted cash-flow model or market approach to determine fair value. Typical inputs used by these pricing services include, but are not limited to; benchmark yields, reported trades, issuer spreads, bids, offers, benchmark securities, estimated cash flows and prepayment speeds.

Independent pricing vendors do not supply prices for private placement bonds. These securities are primarily priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices. Any private securities that cannot be priced using this methodology, are priced using an internally developed model based upon assigned comparable public issues adjusted for liquidity, maturity and rating or are priced based on internal calculations. The Company assigns a credit rating based upon internal analysis.

Prices from pricing services and broker quotes are validated on an ongoing basis to ensure the adequacy and reliability of the fair value measurement. The Company performs both quantitative and qualitative analysis of the prices including initial and ongoing review of third-party pricing methodologies, back testing of recent trades, and a thorough review of pricing trends and statistics.

Cash, cash equivalents, short-term investments and investment income due and accrued

Cash on hand is classified as Level 1. Cash overdrafts (i.e. outstanding checks) are classified as Level 2. Due to the short-term maturities, the carrying value of short-term investments, cash, cash equivalents and investment income due and accrued is presumed to approximate fair value.

Deposit fund contracts

Deposit fund contracts consist of continued interest accounts and the account value is equal to the estimated fair value.

21. Other Items

A. Unusual or Infrequent Items

Not applicable.

B. Troubled Debt Restructuring: Debtors

Not applicable.

C. Other Disclosures

No change.

D. Business Interruption Insurance Recoveries

Not applicable.

E. State Transferable and Non-transferable Tax Credits

Not applicable.

F. Subprime and Midprime Mortgage Related Risk Exposure

No change.

G. Retained Assets

No change.

H. Insurance - Linked Securities

Not applicable.

22. Events Subsequent

As of November 14, 2016, the date the financial statements were available to be issued, there have been no events occurring subsequent to the close of the Company's books or accounts for the accompanying annual statement that would have a material effect on the financial condition of the Company.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

No change.

Section 2 – Ceded Reinsurance Report – Part A

No change.

Section 3 – Ceded Reinsurance Report – Part B

No change.

B. Uncollectible Reinsurance

Not applicable.

C. Commutation of Ceded Reinsurance

Not applicable.

NOTES TO FINANCIAL STATEMENTS

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not applicable.

E-G. Not applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

Not applicable.

25. Change in Incurred Losses and Loss Adjustment Expenses

Not applicable.

26. Intercompany Pooling Arrangements

Not applicable.

27. Structured Settlements

Not applicable.

28. Health Care Receivables

Not applicable.

29. Participating Policies

Not applicable.

30. Premium Deficiency Reserves

Not applicable.

31. Reserves for Life Contracts and Annuity Contracts

No change.

32. Analysis of Annuity Actuarial Reserves and Deposit-Type Liabilities by Withdrawal Characteristics

No change.

33. Premium and Annuity Considerations Deferred and Uncollected

No change.

34. Separate Accounts

Not applicable.

35. Loss/Claim Adjustment Expenses

Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [] No [X]
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes [] No []
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [X] No []
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [X] No []
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
All changes to New York Life's organization chart made during the third quarter of 2016 have been made in the ordinary course of New York Life's business activities.
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [] No [X]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- | | | |
|----------------|-------------------|-------------------|
| 1 | 2 | 3 |
| Name of Entity | NAIC Company Code | State of Domicile |
| | | |
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [] No [] N/A [X]
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2014
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2014
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/21/2016
- 6.4

By what department or departments?
Arizona Department of Insurance
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [] No [] N/A [X]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [] No [] N/A [X]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [X] No []
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
NYLIFE Securities LLC	New York, NY				YES
NYLIFE Distributors LLC	Jersey City, NJ				YES
Eagle Strategies, LLC	New York, NY				YES
New York Life Investment Management LLC	New York, NY				YES
MacKay Shields LLC	New York, NY				YES
Institutional Capital LLC	Chicago, IL				YES
GoldPoint Partners LLC	New York, NY				YES
Cornerstone Capital Management Holdings LLC	New York, NY				YES
NYLIM Service Company LLC	Jersey City, NJ				YES
Private Advisors, L.L.C.	Richmond, VA				YES
Cornerstone Capital Management LLC	Minneapolis, MN				YES
NYL Investors LLC	New York, NY				YES
IndexIQ Advisors LLC	Rye Brook, NY				YES
Madison Capital Funding LLC	Chicago, IL				YES

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$4,720

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$
13.

Amount of real estate and mortgages held in short-term investments:

\$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]
- 14.2

If yes, please complete the following:
- | | 1 | 2 |
|---|---|--|
| | Prior Year-End
Book/Adjusted
Carrying Value | Current Quarter
Book/Adjusted
Carrying Value |
| 14.21 Bonds | \$ | \$ |
| 14.22 Preferred Stock | \$ | \$ |
| 14.23 Common Stock | \$ | \$ |
| 14.24 Short-Term Investments | \$ | \$ |
| 14.25 Mortgage Loans on Real Estate | \$ | \$ |
| 14.26 All Other | \$1,074 | \$ |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$1,074 | \$ |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes [] No []

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$
- 16.3

Total payable for securities lending reported on the liability page

\$

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes ☒ No ☐

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase	4 Metro Tech Center, 16th Floor, Brooklyn, NY 11201
The Northern Trust Company	50 S. LaSalle Street, 2nd Floor, Chicago, IL 60603

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes ☐ No ☒

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
169553	NYL Investors LLC	51 Madison Avenue, New York, NY 10010

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes ☒ No ☐

- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - LIFE & HEALTH

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

1.12

Residential Mortgages

\$

1.13

Commercial Mortgages

\$

1.14

Total Mortgages in Good Standing

\$

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

1.32

Residential Mortgages

\$

1.33

Commercial Mortgages

\$

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

1.42

Residential Mortgages

\$

1.43

Commercial Mortgages

\$

1.44

Total Mortgages in Process of Foreclosure

\$

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

1.62

Residential Mortgages

\$

1.63

Commercial Mortgages

\$

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

2.

Operating Percentages:

2.1

A&H loss percent

%

2.2

A&H cost containment percent

%

2.3

A&H expense percent excluding cost containment expenses

%

3.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

3.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

States, Etc.	1	Direct Business Only					
		Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 Through 5	7 Deposit-Type Contracts
		2 Life Insurance Premiums	3 Annuity Considerations				
1. Alabama	AL	L	633,447			633,447	
2. Alaska	AK	L	483,608			483,608	
3. Arizona	AZ	L	656,919			656,919	
4. Arkansas	AR	L	184,452			184,452	
5. California	CA	L	5,626,362			5,626,362	
6. Colorado	CO	L	483,944			483,944	
7. Connecticut	CT	L	295,194			295,194	
8. Delaware	DE	L	140,788			140,788	
9. District of Columbia	DC	L	118,147			118,147	
10. Florida	FL	L	1,802,870			1,802,870	
11. Georgia	GA	L	1,026,850			1,026,850	
12. Hawaii	HI	L	46,871			46,871	
13. Idaho	ID	L	125,782			125,782	
14. Illinois	IL	L	900,367			900,367	
15. Indiana	IN	L	127,803			127,803	
16. Iowa	IA	L	370,409			370,409	
17. Kansas	KS	L	292,264			292,264	
18. Kentucky	KY	L	311,979			311,979	
19. Louisiana	LA	L	1,126,660			1,126,660	
20. Maine	ME	N	6,049			6,049	
21. Maryland	MD	L	523,824			523,824	
22. Massachusetts	MA	L	491,179			491,179	
23. Michigan	MI	L	574,000			574,000	
24. Minnesota	MN	L	201,167			201,167	
25. Mississippi	MS	L	323,101			323,101	
26. Missouri	MO	L	670,632			670,632	
27. Montana	MT	L	184,167			184,167	
28. Nebraska	NE	L	103,813			103,813	
29. Nevada	NV	L	341,420			341,420	
30. New Hampshire	NH	L	59,357			59,357	
31. New Jersey	NJ	L	797,953			797,953	
32. New Mexico	NM	L	373,276			373,276	
33. New York	NY	N	95,490			95,490	
34. North Carolina	NC	L	480,500			480,500	
35. North Dakota	ND	L	78,775			78,775	
36. Ohio	OH	L	535,355			535,355	
37. Oklahoma	OK	L	306,304			306,304	
38. Oregon	OR	L	376,416			376,416	
39. Pennsylvania	PA	L	760,508			760,508	
40. Rhode Island	RI	L	40,499			40,499	
41. South Carolina	SC	L	561,485			561,485	
42. South Dakota	SD	L	432,585			432,585	
43. Tennessee	TN	L	260,105			260,105	
44. Texas	TX	L	2,869,650			2,869,650	
45. Utah	UT	L	312,099			312,099	
46. Vermont	VT	L	69,111			69,111	
47. Virginia	VA	L	869,513			869,513	
48. Washington	WA	L	1,286,351			1,286,351	
49. West Virginia	WV	L	64,643			64,643	
50. Wisconsin	WI	L	342,026			342,026	
51. Wyoming	WY	L	100,198			100,198	
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N					
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N	4,378			4,378	
58. Aggregate Other Aliens	OT	XXX	59,467			59,467	
59. Subtotal	(a)	49	29,310,112			29,310,112	
90. Reporting entity contributions for employee benefits plans	XXX						
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						
93. Premium or annuity considerations waived under disability or other contract provisions	XXX	513,924				513,924	
94. Aggregate or other amounts not allocable by State	XXX						
95. Totals (Direct Business)	XXX	29,824,036				29,824,036	
96. Plus Reinsurance Assumed	XXX	166,834				166,834	
97. Totals (All Business)	XXX	29,990,870				29,990,870	
98. Less Reinsurance Ceded	XXX	14,245,959				14,245,959	
99. Totals (All Business) less Reinsurance Ceded	XXX	15,744,911				15,744,911	
DETAILS OF WRITE-INS							
58001. MEX Mexico	XXX	10,758				10,758	
58002. ZZZ Other Alien	XXX	48,709				48,709	
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	59,467				59,467	
9401.	XXX						
9402.	XXX						
9403.	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX						
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX						

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

Show each state from which the company has received premiums and considerations, with the amounts received from all lines in each state shown as a single total for that state. Direct Ordinary life premiums are allocated by state on the basis of the address to which the premium notice is sent. *Premium or annuity considerations waived under disability or other contract provisions are shown in one sum on Line 93, Columns 2, 3, 4, 5, 6, and 7. **All U.S. business must be allocated by state regardless of license status.

NOTE: Schedule T should not be used as the basis for state guaranty association assessments.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

The following entities are directly controlled by New York Life Insurance Company (Parent) (entities that are indented are directly controlled by the preceding entity).

New York Life Insurance and Annuity Corporation (See page 12.2 for entity's org chart)(13-3044743) (91596)(DE)	SCP 2005-C21-063 LLC (DE)
NYLIFE Insurance Company of Arizona (52-1530175)(81353)(AZ)	SCP 2005-C21-067 LLC (DE)
New York Life Enterprises LLC (See page 12.2 for entity's org chart)(13-4199614)(DE)	SCP 2005-C21-069 LLC (DE)
NYLIFE LLC (See page 12.2 for entity's org chart)(13-4081725)(DE)	SCP 2005-C21-070 LLC (DE)
New York Life Investment Management Holdings LLC (See page 12.3 for entity's org chart)(52-2206685)(DE)	Madison Capital Funding LLC (DE)
NYLife Real Estate Holdings LLC (See page 12.7 for entity's org chart)(DE)	Madison Avenue Loan Fund GP LLC (DE)
Samsung US Dynamic Asset Allocation Securities Feeder Investment Trust H (ROK)	Madison Avenue Loan Fund LP (80-0920962)(DE)
NYL Real Assets LLC (DE)	Ironshore Investment BL I Ltd. (BMU)
NYL Emerging Manager LLC (DE)	LMF WF Portfolio II, LLC (DE)
NYL Investors LLC (See page 12.2 for entity's org chart) (46-4293486)(DE)	LMF WF Portfolio III, LLC (DE)
NYL Wind Investments LLC (DE)	MCF CLO I LLC (DE)
PTC Acquisitions, LLC (DE)	MCF CLO II LLC (DE)
NYMH-Farmingdale, NY LLC (DE)	MCF CLO III LLC (DE)
NYLMDC-King of Prussia GP, LLC (DE)	MCF CLO IV LLC (DE)
NYLMDC-King of Prussia Realty, LP (DE)	MCF Mezzanine Carry I LLC (DE)
NYMH-Attleboro MA, LLC (DE)	MCF Mezzanine Fund I LLC (DE)
NYMH-Ennis GP, LLC (DE)	Montpelier Carry Parent, LLC (DE)
NYMH-Ennis, L.P. (TX)	Montpelier Carry, LLC (DE)
NYMH-Freeport GP, LLC (DE)	Montpelier GP, LLC (DE)
NYMH-Freeport, L.P. (TX)	Montpelier Fund, L.P. (90-0938480) (DE)
NYMH-Houston GP, LLC (DE)	Young America Holdings, LLC (DE)
NYMH-Houston, L.P. (TX)	YAC.ECOM Incorporated (MN)
NYMH-Plano GP, LLC (DE)	Young America, LLC (MN)
NYMH-Plano, L.P. (TX)	Global Fulfillment Services, Inc. (AZ)
NYMH-San Antonio GP, LLC (DE)	SourceOne Worldwide, Inc. (MN)
NYMH-San Antonio, L.P. (TX)	YA Canada Corporation (CAN)
NYMH-Stephenville GP, LLC (DE)	Zenith Products Holdings, Inc (DE)
NYMH-Stephenville, L.P. (TX)	ZPC Holding Corp. (DE)
NYMH-Taylor GP, LLC (DE)	Zenith Products Corporation (DE)
NYMH-Taylor, L.P. (TX)	MCF Co-Investment GP, LLC (DE)
SCP 2005-C21-002 LLC (DE)	MCF Co-Investment GP, LP (DE)
SCP 2005-C21-003 LLC (DE)	Madison Capital Funding Co-Investment Fund, LP (DE)
SCP 2005-C21-006 LLC (DE)	MCF Fund I LLC (DE)
SCP 2005-C21-007 LLC (DE)	Warwick McAlester Holdings, LLC (DE)
SCP 2005-C21-008 LLC (DE)	Meeco Sullivan, LLC (DE)
SCP 2005-C21-009 LLC (DE)	Electric Avenue, LLC (DE)
SCP 2005-C21-017 LLC (DE)	Silver Spring, LLC (DE)
SCP 2005-C21-018 LLC (DE)	Silver Spring Associates, L.P. (PA)
SCP 2005-C21-021 LLC (DE)	NYLIM Flatiron CLO 2004-1 Ltd. (CYM)
SCP 2005-C21-025 LLC (DE)	NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A (DE)
SCP 2005-C21-031 LLC (DE)	NYLIM Flatiron CLO 2006-1 Ltd. (CYM)
SCP 2005-C21-036 LLC (DE)	NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A (DE)
SCP 2005-C21-041 LLC (DE)	Flatiron CLO 2007-1 Ltd. (CYM)
SCP 2005-C21-043 LLC (DE)	NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A (CYM)
SCP 2005-C21-044 LLC (DE)	Flatiron CLO 2011-1 Ltd. (CYM)
SCP 2005-C21-048 LLC (DE)	Flatiron CLO 2012-1 Ltd. (CYM)
SCP 2005-C21-061 LLC (DE)	

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Insurance Company (Parent) (continued)

Flatiron CLO 2013-1 Ltd. (CYM)
Flatiron CLO 2014-1 Ltd. (CYM)
Flatiron CLO 16 Ltd. (CYM)
Flatiron CLO 2015-1 Ltd. (CYM)
Stratford CDO 2001-1 Ltd. (CYM)
Silverado CLO 2006-II Limited (CYM)
 Silverado 2006-II Equity Holdings LLC, Series A (CYM)
New York Life Funding (CYM)
New York Life Global Funding (DE)
Martingale Road LLC (DE)
UFI-NOR Federal Receivables (NY)
Government Energy Savings Trust 2003-A (NY)
NYL Equipment Issuance Trust (DE)
Cumberland Condominium Association, Inc. (TN)
REEP-IND FREEDOM MA LLC (DE)
REEP-IND AEGEAN MA LLC (DE)
REEP-IND LYMAN MA LLC (DE)
REEP-OFC Drakes Landing CA LLC (DE)
REEP-OFC Bellevue WA LLC (DE)
REEP-IND Kent LLC (DE)
REEP-IND RTG NC LLC (DE)
REEP-IND Fridley MN LLC (MN)
REEP-IND Green Oaks IL LLC (DE)
REEP-MF Issaquah WA LLC (DE)
REEP-MF Chandler AZ LLC
REEP-MF Verde NC LLC (DE)
REEP-MF Mount Vernon GA LLC (DE)
REEP-MF Wallingford WA LLC (DE)
REEP-RTL Brookhaven PA LLC(DE)
REEP-IND CHINO CA LLC (DE)
REEP-OFC CORPORATE POINTE CA LLC (DE)
REEP-OFC VON KARMAN CA LLC (DE)
2015 DIL PORTFOLIO HOLDINGS LLC (DE)
 CT 611 W. JOHNSON AVE LLC (DE)
 CT 550 RESEARCH PKWY LLC (DE)
 CT 160 CORPORATE COURT LLC (DE)
 NJ 663 E. CRESCENT AVE LLC (DE)
 NJ 1881 ROUTE 46 LLC (DE)
 PA 180 KOST RD LLC (DE)
JREP Fund Holdings I, L.P. (CYM)
Jaguar Real Estate Partners L.P. (CYM)
REEP-OFC One Water Ridge NC LLC (DE)
REEP-OFC Two Water Ridge NC LLC (DE)
REEP-OFC Four Water Ridge NC LLC (DE)
REEP-OFC Five Water Ridge NC LLC (DE)
REEP-OFC Six Water Ridge NC LLC (DE)

REEP-OFC Seven Water Ridge NC LLC (DE)
REEP-OFC Eight Water Ridge NC LLC (DE)
REEP-OFC Nine Water Ridge NC LLC (DE)
REEP-OFC Ten Water Ridge NC LLC (DE)
REEP-OFC Eleven Water Ridge NC LLC (DE)
REEP-OFC Water Ridge NC Holdco LLC (DE)
REEP-OFC Water Ridge NC LLC (DE)
REEP-MF Fountain Place MN LLC(DE)

REEP-OFC ONE BOWDOIN SQUARE MA LLC (DE)
REEP-IND 10 WEST AZ LLC(DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Insurance and Annuity Corporation

Ausbil IT - Ausbil Microcap Fund (AUS)
Ausbil IT – Candriam Sustainable Global Equity Fund (AUS)
MacKay Shields Unconstrained Bond Fund (DE)

New York Life Enterprises LLC

New York Life International Holdings Limited (MUS)
NYL Cayman Holdings Ltd. (CYM)
 NYL Worldwide Capital Investments LLC (DE)
Seguros Monterrey New York Life, S.A. de C.V. (MEX)
 Inmobiliaria SMNYL, S.A. de C.V. (MEX)
 Administradora de Conductos SMNYL, S.A. de C.V. (MEX)
 Agencias de Distribucion SMNYL, S.A. de C.V. (MEX)
SEAF Sichuan SME Investment Fund LLC (DE)

NYL Investors LLC

New York Life Investment Management (U.K.) Ltd. (GBR)
NYLIM Holdings NCVAD, GP, LLC (DE)
 McMorgan Northern California Value Add/Development Fund I, L.P. (DE)
 MNCVAD-IND Greenwood CA LLC (DE)
 MNCVAD-IND Concourse CA LLC (DE)
 MNCVAD-IND Norris Canyon CA LLC (DE)
 MNCVAD-CP Norris Canyon LLC (DE)
 MNCVAD-OFC 2665 North First CA LLC (DE)
 MNCVAD-SEAGATE 2665 North First LLC (DE)
 MNCVAD-IND Petaluma CA LLC (DE)
 MNCVAD-OFC Bridgepointe CA LLC (DE)
 MNCVAD-OFC Ridder Park CA LLC (DE)
 MNCVAD GRAYMARK Ridder Park LLC (DE)
 MNCVAD-OFC ONE BAY CA LLC(DE)
 MNCVAD-HARVEST ONE BAY LLC(DE)
 MNCVAD-IND RICHMOND CA LLC (DE)

NYLIFE LLC

Eagle Strategies LLC (DE)
New York Life Capital Corporation (DE)
New York Life Trust Company (13-3808042) (NY)
 New York Life Structured Settlement Trust (NY)
NYL Executive Benefits LLC (DE)
NYLIFE Securities LLC (13-2649692) (DE)
NYLINK Insurance Agency Incorporated (DE)
NYLUK I Company (GBR)
 NYLUK II Company (GBR)
 Gresham Mortgage (GBR)
 W Construction Company (GBR)
 WIM (AIM) (GBR)
 WUT (GBR)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

12.3

<u>New York Life Investment Management Holdings LLC</u>	
Institutional Capital LLC (03-0598064)(DE) ICAP Master Collective Investment Trust – ICAP Large Cap Value Equity Collective Trust (PA) NYLIFE Distributors LLC (13-3741759)(DE) NYLIM Service Company LLC (DE) MacKay Shields LLC (13-4080466)(DE) MacKay Shields Core Plus Opportunities Fund GP LLC (DE) MacKay Shields Core Plus / Opportunities Fund LP (DE) MacKay Shields Credit Strategy Fund Ltd (CYM) MacKay Shields Defensive Bond Arbitrage Fund Ltd. (BMU) MacKay Shields High Yield Active Core Fund GP LLC (DE) MacKay Shields High Yield Active Core Fund LP (DE) MacKay Shields Core Fixed Income Fund GP LLC (DE) MacKay Shields Core Fixed Income Fund LP (45-2733007)(DE) MacKay Municipal Managers Opportunities GP LLC (DE) MacKay Municipal Opportunities Master Fund, L.P. (DE) MacKay Municipal Opportunities Fund, L.P. (DE) MacKay Municipal Managers Credit Opportunities GP LLC (DE) MacKay Municipal Credit Opportunities Master Fund, L.P. (DE) MacKay Municipal Credit Opportunities Fund, L.P. (DE) MacKay Municipal Short Term Opportunities Fund GP LLC (DE) MacKay Municipal Short Term Opportunities Fund LP (45-3041041)(DE) Plainview Funds plc (IRL) Plainview Funds plc – MacKay Shields - Emerging Markets Credit Portfolio (IRL) Plainview Funds plc – MacKay Shields - Flexible Bond Portfolio (IRL) Plainview Funds plc – MacKay Shields - Unconstrained Bond Portfolio (IRL) Plainview Funds plc – MacKay Shields - Floating Rate High Yield Portfolio (IRL) Plainview Funds plc – MacKay Shields Core Plus Opportunities Portfolio (IRL) MacKay Shields Statutory Trust – High Yield Bond Series (CT) MacKay Shields (International) Ltd. (GBR) MacKay Shields (Services) Ltd. (GBR) MacKay Shields UK LLP (GBR) MacKay Shields Global Derivatives LLC (DE) MacKay Municipal Managers Puerto Rico Opportunities GP LLC (DE) MacKay Puerto Rico Opportunities Fund, L.P. (DE) MacKay Puerto Rico Opportunities Feeder Fund, L.P. (DE) MacKay Municipal Managers California Opportunities GP LLC (DE) MacKay Municipal California Opportunities Fund, L.P. (DE) MacKay Municipal New York Opportunities GP LLC (DE) MacKay Municipal New York Opportunities Fund, L.P.(DE) Cornerstone Capital Management Holdings LLC (13-5582869)(DE) Cornerstone Capital Management LLC (41-1763532)(DE) Cornerstone US Equity Market Neutral Fund, LLC (DE) Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC (DE) Cornerstone Capital Management Large-Cap Enhanced Index Fund L.P. (DE) NYL Workforce GP LLC (DE) New York Life Investment Management Holdings International S.á.r.l. (LUX)	New York Life Investment Management Holdings II International S.á.r.l. (LUX) New York Life Investment Management Global Holdings S.á.r.l. (LUX) Candriam Luxco S.á.r.l. (LUX) Ausbil Investment Management Limited (LUX) Ausbil Australia Pty. Ltd. (AUS) Ausbil Asset Management Pty. Ltd. (AUS) Ausbil Dexia Employee Share Trust(AUS) ISPT Holding (BEL) AUSBIL IT – Australian Geared Equity (AUS) Candriam Luxembourg, partnership limited by shares (LUX) BIL Prime Advanced Cash + 100 (LUX) Candriam France, simplified joint-stock company (FRA) Candriam Dublin (IRE) Candriam Monétaire (FRA) Candriam Switzerland LLC, limited liability company (CHE) Candriam Belgium, public limited company (BEL) Candriam Bonds Convertible Opportunities (LUX) Candriam Alternative Return Equity Market Neutral (LUX) Cordius CIG (LUX) New York Life Investment Management LLC (DE) Madison Core Property Fund LLC (DE) MIREF 1500 Quail, LLC (DE) MIREF Mission Heritage, LLC (DE) MIREF Mill Creek, LLC (DE) MIREF Gateway, LLC (DE) MIREF Delta Court, LLC (DE) MIREF Fremont Distribution Center, LLC (DE) 1101 Taylor Road LLC (DE) MIREF Century, LLC (DE) MIREF York Road, LLC (DE) York Road EW, LLC (DE) York Road Retail West, LLC (DE) 2001 EW LLC (DE) 2122 EW LLC (DE) MIREF Saddle River LLC (DE) Via Verde San Dimas, LLC (DE) MIREF DC Corp. (DE) MIREF L Street, LLC (DE) 1901 L Street Corp. (DE) 1901 L Street LLC (DC) MIREF Newpoint Commons, LLC (DE) MIREF Northsight, LLC (DE) MIREF Riverside, LLC (DE) MIREF Corporate Woods, LLC (DE) MIREF Bedminster, LLC (DE) MIREF Barton's Creek, LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

Barton's Lodge Apartments, LLC (DE)	DCM Warehouse Series A, LLC (DE)
MIREF Marketpointe, LLC (DE)	DCM Warehouse Series One, LLC (DE)
MIREF 101 East Crossroads, LLC (DE)	Sixteen West Savannah, LLC (IN)
101 East Crossroads, LLC (DE)	
MIREF Waterview, LLC (DE)	NYLIM RE Mezzanine Fund II Investment Corporation (DE)
MIREF Chain Bridge, LLC (DE)	WFHG GP, LLC (DE)
1991 Chain Bridge Road, LLC (DE)	Workforce Housing Fund I-2007 LP (DE)
MIREF Aptakistic, LLC (DE)	Evolve Asset Management, Ltd. (IND)
Aptakistic Creek Corporate Park, LLC (DE)	GoldPoint Partners LLC (13-4091043) (DE)
MIREF Hawthorne, LLC (DE)	GoldPoint Mezzanine Partners IV GenPar GP, LLC (DE)
MIREF Auburn 277, LLC (DE)	GoldPoint Mezzanine Partners Offshore IV, L.P. (CYM)
MIREF Sumner North, LLC (DE)	GoldPoint Mezzanine Partners IV GenPar LP (DE)
MIREF Wellington, LLC (DE)	GoldPoint Mezzanine Partners IV, LP (DE)
MIREF Warner Center, LLC (DE)	GPP Mezzanine Blocker Holdco A, LP (DE)
MADISON-IND Valley Business Park CA LLC (DE)	GPP Mezzanine Blocker Holdco Preferred A, LP(DE)
MADISON-MF Duluth GA LLC (DE)	GPP Mezzanine Blocker A, LP (DE)
MADISON-MF Casa Santa Fe AZ LLC (DE)	GPP Mezzanine Blocker Holdco B, LP (DE)
MADISON-MF Cabrillo AZ LLC (DE)	GPP Mezzanine Blocker B, LP (DE)
MADISON-OFC Centerstone I CA LLC (DE)	GoldPoint Mezzanine Partners Co-Investment Fund A, LP (DE)
MADISON-OFC Centerstone III CA LLC (DE)	NYLCAP 2010 Co-Invest GenPar GP, LLC (DE)
MADISON-MOB Centerstone IV CA LLC (DE)	NYLCAP 2010 Co-Invest GenPar L.P. (DE)
MADISON-OFC Canyon Commons CA LLC (DE)	NYLCAP 2010 Co-Invest L.P. (DE)
MADISON-OFC Centerpoint Plaza CA LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco A L.P. (DE)
MADISON-IND Logistics NC LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker A L.P. (DE)
MCPF-LRC Logistics LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P. (DE)
MADISON-MF Desert Mirage AZ LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker B L.P. (DE)
MADISON-OFC One Main Place OR LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco C L.P. (DE)
MADISON-IND Fenton MO LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker C L.P. (DE)
MADISON-IND Hitzert Roadway MO LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P. (DE)
MADISON-MF Hoyt OR LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker E L.P. (DE)
MADISON-RTL Clifton Heights PA LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco F L.P. (DE)
MADISON-IND Locust CA LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker F L.P. (DE)
MADISON-OFC Weston Pointe FL LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P. (DE)
MADISON-MF Henderson NV LLC (DE)MCPF-SP Henderson LLC (DE)	NYLCAP 2010 Co-Invest ECI Blocker G L.P. (DE)
MADISON-SP Henderson LLC (DE)	New York Life Capital Partners, LLC (DE)
MADISON-IND VISTA LOGISTICS OR LLC(DE)	New York Life Capital Partners, LP (13-4091045) (DE)
MADISON-SPECHT VISTA LOGISTICS LLC (DE)	New York Life Capital Partners II, LLC (DE)
MADISON-MF MCCADDEN CA LLC (DE)	New York Life Capital Partners II, L.P. (DE)
NYLIM-GCR Fund I, LLC (DE)	New York Life Capital Partners III GenPar GP, LLC (DE)
NYLIM Fund II GP, LLC (DE)	New York Life Capital Partners III GenPar, LP (DE)
NYLIM Real Estate Mezzanine Fund II, LP (DE)	New York Life Capital Partners III, LP (DE)
NYLIM-TND, LLC (DE)	New York Life Capital Partners III-A, LP (DE)
NYLIM-DCM, LLC (DE)	New York Life Capital Partners IV GenPar GP, LLC (DE)
NYLIM-MM, LLC (DE)	New York Life Capital Partners IV GenPar, LP (DE)
DCM-N, LLC (DE)	New York Life Capital Partners IV, LP (DE)
	New York Life Capital Partners IV-A, LP (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
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GoldPoint Partners Co-Investment V GenPar GP LLC (DE)

New York Life Investment Management Holdings LLC (continued)

GoldPoint Partners Co-Investment V GenPar, L.P. (DE)
GoldPoint Partners Co-Investment V, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker A, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker B, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker C, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker D, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker E, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP
GoldPoint Partners Co-Investment V ECI Blocker F, LP
GoldPoint Partners Co-Investment Fund-A, LP

NYLCAP India Funding LLC (DE)
NYLIM-JB Asset Management Co. (Mauritius) LLC (MUS)
New York Life Investment Management India Fund II, LLC (Mauritius) (MUS)
New York Life Investment Management India Fund (FVCI) II, LLC (Mauritius) (MUS)
NYLCAP India Funding III LLC (DE)
NYLIM-Jacob Ballas Asset Management Company III (Mauritius) LLC (MUS)
NYLIM Jacob Ballas India Fund III (Mauritius) LLC (MUS)
NYLIM Jacob Ballas Capital India (FVCI) III (Mauritius) LLC (MUS)
NYLIM Jacob Ballas India (FIL) III (Mauritius) LLC (MUS)
NYLIM Jacob Ballas India Holdings (Mauritius) IV
NYLCAP Holdings (Mauritius) LLC (MUS)
Jacob Ballas Capital India PVT, LTD (MUS)
NYLIM Mezzanine GenPar GP, LLC (DE)
NYLIM Mezzanine GenPar, LP (DE)
New York Life Investment Management Mezzanine Partners, LP (DE)
NYLIM Mezzanine Partners Parallel Fund, LP (DE)
NYLIM Mezzanine Partners II GenPar GP, LLC (DE)
NYLIM Mezzanine Offshore Partners II, LP (CYM)
NYLIM Mezzanine Partners II GenPar, LP (DE)
New York Life Investment Management Mezzanine Partners II, LP (DE)
NYLIM Mezzanine II Luxco S.a.r.l. (LUX)
NYLIM Mezzanine Partners II Parallel Fund, LP (DE)
NYLIM Mezzanine II Parallel Luxco S.a.r.l. (LUX)
Voice Holdco Ltd. (CAN)
NYLCAP Mezzanine Partners III GenPar GP, LLC (DE)
NYLCAP Mezzanine Partners III GenPar, LP (DE)
NYLCAP Mezzanine Partners III-K, LP (DE)
NYLCAP Mezzanine Partners III, LP (DE)

NYLCAP Mezzanine Partners III Parallel Fund, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco A, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker A, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C, LP (DE)
C.B. Fleet TopCo. LLC (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker E, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F,LP
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F, LP
NYLCAP Mezzanine Offshore Partners III, L.P. (DE)
NYLCAP Select Manager GenPar, LP (DE)
NYLCAP Select Manager GenPar GP, LLC (DE)
NYLCAP Select Manager Fund, LP (DE)
NYLCAP Select Manager Cayman Fund, LP (CYM)
NYLCAP Select Manager II GenPar GP, LLC (DE)
NYLCAP Select Manager II GenPar GP L.P. (CYM)
NYLCAP Select Manager Fund II, L.P. (CYM)
NYLCAP Canada GenPar Inc. (CAN)
NYLCAP Select Manager Canada Fund, LP (CAN)
NYLCAP Canada II GenPar Inc. (CAN)
NYLCAP Select Manager Canada Fund II, L.P. (CAN)
GoldPoint Partners Select Manager III GenPar GP, LLC (DE)
GoldPoint Partners Select Manager III GenPar, L.P. (CYM)
GoldPoint Partners Select Manager Fund III, L.P. (CYM)
GoldPoint Partners Select Manager Fund III AIV, L.P.
GoldPoint Partners Canada III GenPar, Inc
GoldPoint Partners Select Manager Canada Fund III, L.P.
Private Advisors LLC (54-1886751)(DE)
PACIF GP, LLC (DE)
Private Advisors Coinvestment Fund, LP (DE)
PACIF Carry Parent, LLC (DE)
PACIF Carry, LLC (DE)
PACIF II Carry Parent, LLC (DE)
PACIF II Carry, LLC (DE)
PACIF II GP, LLC (DE)
Private Advisors Coinvestment Fund II, LP (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

PACIF III Carry Parent, LLC (DE) PACIF III Carry, LLC (DE)	PACIF III GP, LLC (DE) Private Advisors Coinvestment Fund III, LP (46-1360141) (DE)
<u>New York Life Investment Management Holdings LLC (continued)</u>	
PACIF IV GP LLC (DE) Private Advisors Coinvestment Fund IV LP (DE) PACIF IV Carry Parent, LLC (DE) PACIF IV Carry, LLC (DE) PASCCIF GP, LLC (DE) Private Advisors Small Company Coinvestment Fund, LP (DE) PASCCIF Carry Parent, LLC (DE) PASCCIF Carry, LLC (DE) Private Advisors Distressed Opportunities Fund, L.P. (DE) Private Advisors Small Company Buyout Fund II, L.P. (DE) PASCBF III GP, LLC (DE) Private Advisors Small Company Buyout Fund III, LP (DE) PASCBF IV Carry Parent, LLC (DE) PASCBF IV Carry, LLC (DE) PASCBF IV GP, LLC (DE) Private Advisors Small Company Buyout Fund IV, LP (DE) PASCBF V Carry Parent, LLC (DE) PASCBF V Carry, LLC (DE) PASCBF V GP, LLC (DE) Private Advisors Small Company Buyout Fund V, LP (DE) Private Advisors Small Company Buyout V, ERISA Fund, LP (DE) PASCPEF VI Carry Parent, LLC (DE) PASPEF VI Carry, LLC (DE) PASCPEF VI GP, LLC (DE) Private Advisors Small Company Private Equity Fund VI, LP (DE) Private Advisors Small Company Private Equity Fund VI (Cayman), LP (CYM) PASCPEF VII GP, LLC (DE) Private Advisors Small Company Private Equity Fund VII, LP (DE) PASCPEF VII Carry Parent, LLC (DE) PASCPEF VII Carry, LLC (DE) PA Real Assets Carry Parent, LLC (DE)	PA Real Assets Carry, LLC (DE) PA Emerging Manager Carry Parent, LLC (DE) PA Emerging Manager Carry, LLC (DE) RIC I GP, LLC (DE) Richmond Coinvestment Partners I, LP (DE) RIC I Carry Parent, LLC (DE) RIC I Carry, LLC (DE) PARAF GP, LLC (DE) Private Advisors Real Assets Fund, LP (DE) PARAF Carry Parent, LLC (DE) PARAF Carry, LLC (DE) Cuyahoga Capital Partners I Management Group, LLC (DE) Cuyahoga Capital Partners II Management Group LLC (DE) Cuyahoga Capital Partners III Management Group LLC (DE) Cuyahoga Capital Partners IV Management Group LLC (DE) PASF V GP, LLC Private Advisors Secondary Fund V, LP PASF V Carry Parent, LLC PASF V Carry, LLC Cuyahoga Capital Emerging Buyout Partners Management Group LLC (DE) UVF GP, LLC (DE) Undiscovered Value Fund, LP (DE) Undiscovered Value Fund, Ltd. (CYM) Undiscovered Value Master Fund SPC (CYM) Private Advisors Stable Value Fund, Ltd. (DE) Index IQ Holdings Inc. (DE) Financial Development LLC (DE) IndexIQ, Inc. (DE) IndexIQ LLC (DE) IndexIQ Advisors LLC (DE) New York Life Investment Management Asia Limited (CYM)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

NYLife Real Estate Holdings LLC

CC Acquisitions, LP (DE)
Huntsville NYL LLC (DE)
NYL Midwest Apartments LLC (DE)
REEP-MF Cumberland TN LLC (DE)
 Cumberland Apartments, LLC (TN)
REEP-RTL Bradford PA LLC (DE)
REEP-IND Forest Park NJ LLC (DE)
 FP Building 1-2-3 LLC (DE)
 FP Building 4 LLC (DE)
 FP Building 17, LLC (DE)
 FP Building 18, LLC (DE)
 FP Building 19, LLC (DE)
 FP Building 20, LLC (DE)
 FP Mantua Grove LLC (DE)
 FP Lot 1.01 LLC (DE)
REEP-IND NJ LLC (DE)
 NJIND JV LLC (DE)
 NJIND Hook Road LLC (DE)
 NJIND Old Post Road LLC (DE)
 NJIND Brunswick Avenue LLC (DE)
 NJIND Raritan Center LLC (DE)
 NJIND Talmadge Road LLC (DE)
 NJIND Bay Avenue LLC (DE)
 NJIND Melrich Road LLC (DE)
 NJIND Carter Drive LLC (DE)
 NJIND Corbin Street LLC (DE)
REEP-MF Marina Landing WA LLC (DE)
 REEP-SP Marina Landing LLC (DE)
REEP-IND Valwood TX LLC (DE)
REEP-MF Enclave TX LLC (DE)
REEP-MF Mira Loma II TX LLC (DE)
REEP-MF Summitt Ridge CO LLC (DE)
REEP-OF Centerpointe VA LLC (DE)
REEP-OFC 575 Lex NY LLC (DE)
REEP-OFC 575 Lex NY GP LLC (DE)
REEP-OFC Westory DC LLC (DE)
REEP-RTL SASI GA LLC (DE)
REEP-MF Woodridge IL LLC (DE)
REEP-IND Continental NC LLC (DE)
 LRC-Patriot, LLC (DE)
 REEP-LRC Industrial LLC (DE)
REEP-OFC 525 N Tryon NC LLC (DE)
 525 Charlotte Office LLC (DE)
REEP-OFC Royal Centre GA LLC (DE)
 Royal Centre, LLC (DE)
REEP-RTL CTC NY LLC (DE)
 Cortlandt Town Center LLC (DE)
REEP-OFC 5005 LBJ Freeway TX LLC (DE)

5005 LBJ Tower LLC (DE)
REEP-MF SPENCER NV LLC (DE)
REEP-HZ SPENCER JV LLC (DE)
REEP-HZ SPENCER LLC (DE)

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0826	New York Life Group	66915	13-5582869	1583827	0000071633		New York Life Insurance Company	NY	UDP					
0826	New York Life Group	91596	13-3044743	3683691	0000727136		New York Life Insurance & Annuity Corporation	DE	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					Ausbil IT – Ausbil Microcap Fund	AUS	NIA	New York Life Insurance & Annuity Corporation	Ownership	9.925	New York Life Insurance Company	
		00000					Ausbil IT Candriam Sustainable Global Equity Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	38.050	New York Life Insurance Company	
0826	New York Life Group	81353	52-1530175				MacKay Shields Unconstrained Bond Fund	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000	13-4199614				NYLIFE Insurance Company of Arizona	AZ		New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					New York Life Enterprises LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					New York Life International Holdings Limited	MUS	NIA	New York Life Enterprises LLC	Ownership	84.000	New York Life Insurance Company	
		00000					New York Life International Holdings Limited	MUS	NIA	NYL Cayman Holdings Ltd.	Ownership	16.000	New York Life Insurance Company	
		00000					NYL Cayman Holdings Ltd.	CYM	NIA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYL Worldwide Capital Investments, LLC	DE	NIA	NYL Cayman Holdings Ltd.	Ownership	100.000	New York Life Insurance Company	
		00000					Seguros Monterrey New York Life, S.A. de C.V.	MEX	IA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Administradora de Conductos SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	
		00000					Agencias de Distribucion SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	
		00000					Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	
		00000					Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Agencias de Distribucion SMNYL, S.A. de C.V.	Ownership	1.000	New York Life Insurance Company	
		00000					SEAF Sichuan SME Investment Fund LLC	DE	NIA	New York Life Enterprises LLC	Ownership	39.980	New York Life Insurance Company	
		00000					Samsung US Dynamic Asset Allocation Securities Feeder Investment Trust H	KOR	NIA	New York Life Insurance Company	Ownership	49.100	New York Life Insurance Company	
		00000					NYL Real Assets LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					NYL Emerging Manager LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000	13-4081725	2928649	0001270096		NYLIFE LLC	DE	DS	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					Eagle Strategies LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					New York Life Capital Corporation	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYL Executive Benefits LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000	13-2649692		0000071637		NYLIFE Securities LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYLINK Insurance Agency Incorporated	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYLUK I Company	GBR	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	
		00000					NYLUK II Company	GBR	NIA	NYLUK I Company	Ownership	100.000	New York Life Insurance Company	
		00000					Gresham Mortgage	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	
		00000					W Construction Company	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	
		00000					WIM (AIM)	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	
		00000					WUT	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	
		00000					JREP Fund Holdings I, L.P.	CYM	NIA	New York Life Insurance Company	Ownership	12.500	New York Life Insurance Company	
		00000					Jaguar Real Estate Partners L.P.	CYM	NIA	New York Life Insurance Company	Ownership	30.300	New York Life Insurance Company	
		00000	46-4293486				NYL Investors LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		00000					NYLIM Holdings NCVAD, GP, LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001570433		McMorgan Northern California Value Add/Development Fund I, L.P.	DE	NIA	NYLIM Holdings NCVAD, GP, LLC	Ownership	50.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.00000					MNCVAD-IND Greenwood CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					MNCVAD-IND Concourse CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					MNCVAD-IND Norris Canyon CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					MNCVAD-CP Norris Canyon LLC	DE	NIA	MNCVAD-IND Norris Canyon CA LLC	Ownership	94.000	New York Life Insurance Company	
		.00000					MNCVAD-OFC 2665 North First CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					MNCVAD-SEAGATE 2665 North First LLC	DE	NIA	MNCVAD-OFC 2665 North First CA LLC	Ownership	90.000	New York Life Insurance Company	
		.00000					MNCVAD-IND Petaluma CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					MNCVAD-OFC Bridgepointe CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					MNCVAD-OFC Ridder Park CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					MNCVAD-GRAYMARK Ridder Park LLC	DE	NIA	MNCVAD-OFC Ridder Park CA LLC	Ownership	97.500	New York Life Insurance Company	
		.00000					MNCVAD-OFC ONE BAY CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					MNCVAD-HARVEST ONE BAY LLC	DE	NIA	MNCVAD-OFC ONE BAY CA LLC	Ownership	95.000	New York Life Insurance Company	
		.00000					MNCVAD-IND RICHMOND CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	
		.00000					NYL Wind Investments LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					PTC Acquisitions, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLIFE Real Estate Holdings, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					CC Acquisitions, LP	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000		3663273			Huntsville NYL LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYL Midwest Apartments LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-IND FREEDOM MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-IND AEGEAN MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-IND LYMAN MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-IND Kent LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-MF Cumberland TN LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					Cumberland Apartments, LLC	TN	NIA	REEP-MF Cumberland TN LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					Cumberland Condominium Association, Inc.	TN	NIA	New York Life Insurance Company	Board of Directors	0.000	New York Life Insurance Company	
		.00000					REEP-RTL Bradford PA LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-IND Forest Park NJ LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					FP Building 1-2-3 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					FP Building 4 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					FP Building 17, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					FP Building 18, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					FP Building 19, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					FP Building 20, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					FP Mantua Grove LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					FP Lot 1.01 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-IND Continental NC LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					LRC-Patriot, LLC	DE	NIA	REEP-IND Continental NC LLC	Ownership	93.000	New York Life Insurance Company	
		.00000					REC-LRC Industrial LLC	DE	NIA	LRC-Patriot, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-OFC 525 N Tryon NC LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					525 Charlotte Office LLC	DE	NIA	REEP-OFC 525 N Tryon NC LLC	Ownership	95.000	New York Life Insurance Company	
		.00000					REEP-IND RTG NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.00000					REEP-OFC Royal Centre GA LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					Royal Centre, LLC	.DE	NIA	REEP-OFC Royal Centre GA LLC	Ownership	90.000	New York Life Insurance Company	
		.00000					REEP-RTL CTC NY LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					Cortlandt Town Center LLC	.DE	NIA	REEP-RTL CTC NY LLC	Ownership	65.000	New York Life Insurance Company	
		.00000					REEP-OFC 5005 LBJ Freeway TX LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	97.000	New York Life Insurance Company	
		.00000					5005 LBJ Tower LLC	.DE	NIA	REEP-OFC 5005 LBJ Freeway TX LLC	Ownership	97.000	New York Life Insurance Company	
		.00000					REEP-MF SPENCER NV LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-HZ SPENCER JV LLC	.DE	NIA	REEP-MF SPENCER NV LLC	Ownership	92.700	New York Life Insurance Company	
		.00000					REEP-HZ SPENCER LLC	.DE	NIA	REEP-HZ SPENCER JV LLC	Ownership	92.700	New York Life Insurance Company	
		.00000					REEP-RTL Brookhaven PA LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-IND CHINO CA LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-OFC CORPORATE POINTE CA LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-OFC VON KARMAN CA LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-IND Fridley MN LLC	.MN	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-IND Green Oaks IL LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-OFC Bellevue WA LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-IND NJ LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NJIND JV LLC	.DE	NIA	REEP-IND NJ LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NJIND Hook Road LLC	.DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NJIND Old Post Road LLC	.DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NJIND Brunswick Avenue LLC	.DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NJIND Raritan Center LLC	.DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NJIND Talmadge Road LLC	.DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NJIND Bay Avenue LLC	.DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NJIND Melrich Road LLC	.DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NJIND Corbin Street LLC	.DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NJIND Carter Drive LLC	.DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-Enclave TX LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-MF Issaquah WA LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-MF Mira Loma II TX LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-MF Verde NC LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-MF Mount Vernon GA LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-MF Summitt Ridge CO LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-OF Centerpointe VA LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-RTL SASI GA LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-MF Chandler AZ LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-MF Woodridge IL LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-MF Wallingford WA LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-IND Valwood TX LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-MF Marina Landing WA LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-SP Marina Landing LLC	.DE	NIA	REEP-MF Marina Landing WA LLC	Ownership	98.000	New York Life Insurance Company	
		.00000					REEP-OFC 575 Lex NY LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-OFC 575 Lex NY GP LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-OFC Drakes Landing CA LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					REEP-OFC Westory DC LLC	.DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					CT 160 CORPORATE COURT LLC	.DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYMH Farmingdale, NY, LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLMDC King of Prussia GP, LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					NYLMDC King of Prussia Realty, LP	.DE	NIA	NYLMDC King of Prussia GP, LLC	Ownership	100.000	New York Life Insurance Company	
		.00000					NYMH Attleboro MA, LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
		.00000					NYMH-Ennis GP, LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	00000					NYMH-Ennis, L.P.	TX	NIA	NYMH-Ennis GP, LLC	Ownership	100.000	New York Life Insurance Company	
	00000					NYMH-Freeport GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					NYMH-Freeport, L.P.	TX	NIA	NYMH-Freeport GP, LLC	Ownership	100.000	New York Life Insurance Company	
	00000					NYMH-Houston GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					NYMH-Houston, L.P.	TX	NIA	NYMH-Houston GP, LLC	Ownership	100.000	New York Life Insurance Company	
	00000					NYMH-Plano GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					NYMH-Plano, L.P.	TX	NIA	NYMH-Plano GP, LLC	Ownership	100.000	New York Life Insurance Company	
	00000					NYMH-San Antonio GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					NYMH-San Antonio, L.P.	TX	NIA	NYMH-San Antonio GP, LLC	Ownership	100.000	New York Life Insurance Company	
	00000					NYMH-Stephenville GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					NYMH-Stephenville, L.P.	TX	NIA	NYMH-Stephenville GP, LLC	Ownership	100.000	New York Life Insurance Company	
	00000					NYMH-Taylor GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					NYMH-Taylor, L.P.	TX	NIA	NYMH-Taylor GP, LLC	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-002 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-003 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-006 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-007-LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-008 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-009 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-017 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-018 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-021 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-025 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-031 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-036 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-041 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-043 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-044 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-048 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-061 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-063 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-067 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-069 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					SCP 2005-C21-070 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					Silver Spring, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					Silver Spring Associates, L.P.	PA	NIA	Silver Spring, LLC	Ownership	100.000	New York Life Insurance Company	
	00000					2015 DIL PORTFOLIO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership	62.308	New York Life Insurance Company	
	00000					2015 DIL PORTFOLIO HOLDINGS LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	37.692	New York Life Insurance Company	
	00000					CT 611 W. JOHNSON AVE LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	
	00000					CT 550 RESEARCH PKWY LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	
	00000					NJ 663 E. CRESCENT AVE LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	
	00000					NJ 1881 ROUTE 46 LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	
	00000					PA 180 KOST RD LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	
	00000					REEP-OFC One Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					REEP-OFC Two Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					REEP-OFC Four Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					REEP-OFC Five Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					REEP-OFC Six Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					REEP-OFC Seven Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
	00000					REEP-OFC Eight Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.00000					REEP-OFC Nine Water Ridge NC LLCDE	.NIA	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company	
		.00000					REEP-OFC Ten Water Ridge NC LLCDE	.NIA	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company	
		.00000					REEP-OFC Eleven Water Ridge NC LLCDE	.NIA	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company	
		.00000					REEP-OFC Water Ridge NC Holdco LLCDE	.NIA	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company	
		.00000					REEP-OFC Water Ridge NC LLCDE	.NIA	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company	
		.00000					REEP-MF Fountain Place MN LLCDE	.NIA	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company	
		.00000					REEP-OFC ONE BOWDOIN SQUARE MA LLCDE	.NIA	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company	
		.00000					REEP-IND 10 WEST AZ LLCDE	.NIA	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Investment Management Holdings LLCDE	.NIA	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company	
		.00000	52-2206685		0001513831		New York Life Investment Management Asia LimitedCYM	.NIA	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					Index IQ Holdings Inc.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000			0001460140		Financial Development LLCDE	.NIA	Holdings LLC	Ownership.....	74.370	New York Life Insurance Company	
		.00000			0001460140		Financial Development LLCDE	.NIA	Index IQ Holdings Inc.	Ownership.....	25.630	New York Life Insurance Company	
		.00000			0001364028		IndexIQ, Inc.DE	.NIA	Financial Development LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					IndexIQ LLCDE	.NIA	Financial Development LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000			0001415996		IndexIQ Advisors LLCDE	.NIA	IndexIQ LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000	03-0598064		0000050672		Institutional Capital LLCDE	.NIA	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					ICAP Master Collective Investment Trust							
		.00000					ICAP Large Cap Value Equity Collective TrustPA	.NIA	Institutional Capital LLC	Other.....	0.000	New York Life Insurance Company	1
		.00000	13-3741759		0000914898		NYLIFE Distributors LLCDE	.NIA	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000			0001103598		NYLIM Service Company LLCDE	.NIA	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000	13-4080466		0000061227		MacKay Shields LLCDE	.NIA	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MacKay Shields Core Plus Opportunities Fund GP LLCDE	.NIA	MacKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MacKay Shields Core Plus / Opportunities Fund LPDE	.NIA	MacKay Shields Core Plus Opportunities Fund GP LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000			0001502131		MacKay Shields Credit Strategy Fund LTDCYM	.NIA	MacKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MacKay Shields Defensive Bond Arbitrage Fund Ltd.BMU	.NIA	MacKay Shields LLC	Board of Directors.....	0.000	New York Life Insurance Company	
		.00000			0001502133		MacKay Shields Defensive Bond Arbitrage Fund Ltd.BMU	.NIA	New York Life Insurance Company	Ownership.....	13.610	New York Life Insurance Company	
		.00000			0001502133		MacKay Shields Defensive Bond Arbitrage Fund Ltd.BMU	.NIA	MacKay Shields LLC	Ownership.....	1.050	New York Life Insurance Company	
		.00000					MacKay Shields High Yield Active Core Fund GP LLCDE	.NIA	MacKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000			0001502130		MacKay Shields High Yield Active Core Fund LPDE	.NIA	MacKay Shields High Yield Active Core Fund GP LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MacKay Shields Core Fixed Income Fund GP LLCDE	.NIA	MacKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000	45-2733007		0001529525		MacKay Shields Core Fixed Income Fund LPDE	.NIA	MacKay Shields Core Fixed Income Fund GP LLC	Ownership.....	100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000					MacKay Municipal Managers Opportunities GP LLC	.DE	.NIA	MacKay Shields LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001432467		MacKay Municipal Opportunities Master Fund, L.P.	.DE	.NIA	MacKay Municipal Managers Opportunities GP LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001432468		MacKay Municipal Opportunities Fund, L.P.	.DE	.NIA	MacKay Municipal Managers Opportunities GP LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MacKay Municipal Managers Credit Opportunities GP, LLC	.DE	.NIA	MacKay Shields LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001460030		MacKay Municipal Credit Opportunities Master Fund, L.P.	.DE	.NIA	MacKay Municipal Managers Credit Opportunities GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001460023		MacKay Municipal Credit Opportunities Fund, L.P.	.DE	.NIA	MacKay Municipal Managers Credit Opportunities GP, LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MacKay Municipal Short Term Opportunities Fund GP LLC	.DE	.NIA	MacKay Shields LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000	45-3041041		0001532022		MacKay Municipal Short Term Opportunities Fund LP	.DE	.NIA	MacKay Municipal Short Term Opportunities Fund GP LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MacKay Municipal Managers Puerto Rico Opportunities GP LLC	.DE	.NIA	MacKay Shields LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001639566		MacKay Puerto Rico Opportunities Fund, L.P.	.DE	.NIA	MacKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001639564		MacKay Puerto Rico Opportunities Feeder Fund, L.P.	.DE	.NIA	MacKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MacKay Municipal Managers California Opportunities GP LLC	.DE	.NIA	MacKay Shields LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000			0001641693		MacKay Municipal California Opportunities Fund, L.P.	.DE	.NIA	MacKay Municipal Managers California Opportunities GP LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MacKay Municipal New York Opportunities GP LLC	.DE	.NIA	MacKay Shields LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MacKay Municipal New York Opportunities Fund, L.P.	.DE	.NIA	MacKay Municipal New York Opportunities GP LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					Plainview Funds plc	.JRL	.NIA	MacKay Shields LLC	Ownership	.50.000	New York Life Insurance Company	
		.00000					Plainview Funds plc	.JRL	.NIA	MacKay Shields LLC	Board of Directors	.0.000	New York Life Insurance Company	
		.00000					Plainview Funds plc – MacKay Shields – Emerging Markets Credit Portfolio	.JRL	.NIA	New York Life Insurance & Annuity Corporation	Ownership	.99.980	New York Life Insurance Company	
		.00000					Plainview Funds plc – MacKay Shields – Flexible Bond Portfolio	.JRL	.NIA	Plainview Funds Plc	Management	.0.000	New York Life Insurance Company	3
		.00000					Plainview Funds plc – MacKay Shields – Unconstrained Bond Portfolio	.JRL	.NIA	New York Life Insurance Company	Ownership	.21.090	New York Life Insurance Company	
		.00000					Plainview Funds plc – MacKay Shields – Unconstrained Bond Portfolio	.JRL	.NIA	MacKay Shields LLC	Ownership	.1.480	New York Life Insurance Company	
		.00000					Plainview Funds plc – MacKay Shields – Floating Rate High Yield Portfolio	.JRL	.NIA	New York Life Insurance Company	Ownership	.93.010	New York Life Insurance Company	
		.00000					Plainview Funds plc – MacKay Shields – Floating Rate High Yield Portfolio	.JRL	.NIA	MacKay Shields LLC	Ownership	.6.980	New York Life Insurance Company	
		.00000					Plainview Funds plc – MacKay Shields Core Plus Opportunities Portfolio	.JRL	.NIA	MacKay Shields LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MacKay Shields Statutory Trust High Yield Bond Series	.CT	.NIA	MacKay Municipal Short Term Opportunities Fund GP LLC	Management	.0.000	New York Life Insurance Company	3
		.00000					MacKay Shields (International) Ltd.	.GBR	.NIA	MacKay Shields LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MacKay Shields (Services) Ltd.	.GBR	.NIA	MacKay Shields LLC	Ownership	.100.000	New York Life Insurance Company	
		.00000					MacKay Shields UK LLP	.GBR	.NIA	MacKay Shields (International) Ltd.	Ownership	.99.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		00000					MacKay Shields UK LLP	GBR	NIA	MacKay Shields (Services) Ltd.	Ownership	1.000	New York Life Insurance Company	
		00000					MacKay Shields Global Derivatives LLC	DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MacKay Municipal Managers Puerto Rico Opportunities GP LLC	DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MacKay Puerto Rico Opportunities Funds, L.P.	DE	NIA	MacKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MacKay Municipal Managers California Opportunities GP LLC	DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MacKay Municipal Managers California Opportunities Fund, L.P.	DE	NIA	MacKay Municipal Managers California Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Madison Capital Funding LLC	DE	NIA	New York Life Insurance Company	Ownership	55.000	New York Life Insurance Company	
		00000								New York Life Insurance and Annuity Corporation	Ownership	45.000	New York Life Insurance Company	
		00000					Madison Capital Funding LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	
		00000	80-0920962		0001577927		Madison Avenue Loan Fund GP LLC	DE	NIA	Madison Avenue Loan Fund GP LLC	Ownership	100.000	New York Life Insurance Company	
		00000					MCF Co-Investment GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001538585		MCF Co-Investment GP LP	DE	NIA	MCF Co-Investment GP LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001538584		Madison Capital Funding Co-Investment Fund LP	DE	NIA	MCF Co-Investment GP LP	Ownership	100.000	New York Life Insurance Company	
		00000					MCF Fund I LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Warwick McAlester Holdings, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Meeco Sullivan, LLC	DE	NIA	Warwick McAlester Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Electric Avenue, LLC	DE	NIA	Warwick McAlester Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Young America Holdings, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	36.350	New York Life Insurance Company	
		00000					YAC.ECOM Incorporated	MN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Young America, LLC	MN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Global Fulfillment Services, Inc.	AZ	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					SourceOne Worldwide, Inc.	MN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					YA Canada Corporation	CAN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Zenith Products Holdings, Inc	DE	NIA	Madison Capital Funding LLC	Ownership	16.360	New York Life Insurance Company	
		00000			0001347648		ZPC Holding Corp.	DE	NIA	Zenith Products Holdings, Inc	Ownership	100.000	New York Life Insurance Company	
		00000					Zenith Products Corporation	DE	NIA	ZPC Holding Corp.	Ownership	100.000	New York Life Insurance Company	
		00000					Ironshore Investment BL I Ltd.	BMU	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	2
		00000					MCF CLO III LLC	DE	NIA	Madison Capital Funding LLC	Ownership	2.330	New York Life Insurance Company	
		00000					MCF CLO III LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	2
		00000					MCF CLO IV LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	2
		00000					LMF WIF Portfolio II, LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	2
		00000					LMF WIF Portfolio III, LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	2
		00000					MCF CLO I LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	2
		00000					MCF CLO I LLC	DE	NIA	Madison Capital Funding LLC	Ownership	2.530	New York Life Insurance Company	
		00000					MCF CLO II LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	2
		00000	13-5582869		0001453415		Cornerstone Capital Management Holdings LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		00000	41-1763532		0001123570		Cornerstone Capital Management LLC	DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership	51.000	New York Life Insurance Company	
		00000					Cornerstone US Equity Market Neutral Fund, LLC	DE	NIA	Cornerstone Capital Management LLC	Ownership	100.000	New York Life Insurance Company	
		00000					Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001329043		Cornerstone Capital Management Large-Cap Enhanced Index Fund, LP	DE	NIA	Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	

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		.00000					NYL Workforce GP LLC	..DE	..NIA	New York Life Investment Management Holdings LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000			0001133639		New York Life Investment Management LLC	..DE	..NIA	New York Life Investment Management Holdings LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					New York Life Investment Management (U.K.) Ltd.	..GBR	..NIA	NYL Investors LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					Madison Core Property Fund LLC	..DE	..NIA	New York Life Insurance Company	Management	..0.000	New York Life Insurance Company	3
		.00000					MIREF 1500 Quail, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Mission Heritage, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Mill Creek, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Gateway, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Delta Court, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Fremont Distribution Center, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					1101 Taylor Road LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Century, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF York Road, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					York Road EW, LLC	..DE	..NIA	MIREF York Road, LLC	Ownership	..64.800	New York Life Insurance Company	
		.00000					York Road Retail West, LLC	..DE	..NIA	York Road EW, LLC	Ownership	..64.800	New York Life Insurance Company	
		.00000					2001 EW LLC	..DE	..NIA	York Road EW, LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					2122 EW LLC	..DE	..NIA	York Road EW, LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Saddle River LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					Via Verde San Dimas, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF DC Corp.	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF L Street, LLC	..DE	..NIA	MIREF DC Corp.	Ownership	..100.000	New York Life Insurance Company	
		.00000					1901 L Street Corp.	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					1901 L Street LLC	..DC	..NIA	1901 L Street Corp.	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Newpoint Commons, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Northsight, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Riverside, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Corporate Woods, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Bedminster, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Bartons Creek, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					Bartons Lodge Apartments, LLC	..DE	..NIA	MIREF Bartons Creek, LLC	Ownership	..90.000	New York Life Insurance Company	
		.00000					MIREF Marketpointe, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF 101 East Crossroads, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					101 East Crossroads, LLC	..DE	..NIA	MIREF 101 East Crossroads, LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Waterview, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Chain Bridge, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					1991 Chain Bridge Road, LLC	..DE	..NIA	MIREF Chain Bridge, LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Aptakisic, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					Aptakisic Creek Corporate Park, LLC	..DE	..NIA	MIREF Aptakisic, LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Hawthorne, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Auburn 277, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Summer North, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Wellington, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MIREF Warner Center, LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MADISON-IND Valley Business Park CA LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MADISON-MF Duluth GA LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MADISON-MF Casa Santa Fe AZ LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MADISON-MF Cabrillo AZ LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	
		.00000					MADISON-OF Centerstone I CA LLC	..DE	..NIA	Madison Core Property Fund LLC	Ownership	..100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000					MADISON-OFC Centerstone III CA LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-MOB Centerstone IV CA LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-OFC Canyon Commons CA LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-OFC Centerpoint Plaza CA LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-IND Logistics NC LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MCPF-LRC Logistics LLCDE	.NIA	MADISON-IND Logistics NC LLC	Ownership.....	90.000	New York Life Insurance Company	
		.00000					MADISON-MF Desert Mirage AZ LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-OFC One Main Place OR LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-IND Fenton MO LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-IND Hitzert Roadway MO LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-MF Hoyt OR LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-RTL Clifton Heights PA LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-IND Locust CA LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-OFC Weston Pointe FL LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-MF Henderson NV LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MCPF-SP Henderson LLCDE	.NIA	MADISON-MF Henderson NV LLC	Ownership.....	90.000	New York Life Insurance Company	
		.00000					MADISON-SP Henderson LLCDE	.NIA	MCPF-SP Henderson LLC	Ownership.....	90.000	New York Life Insurance Company	
		.00000					MADISON-IND VISTA LOGISTICS OR LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					MADISON-SPECHT VISTA LOGISTICS LLCDE	.NIA	MADISON-IND VISTA LOGISTICS OR LLC	Ownership.....	95.000	New York Life Insurance Company	
		.00000					MADISON-MF MCCADDEN CA LLCDE	.NIA	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Investment Management Holdings International S.á.r.l.LUX	.NIA	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Investment Management Holdings II International S.á.r.l.LUX	.NIA	New York Life Investment Management Holdings International S.á.r.l.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Investment Management Global Holdings S.á.r.l.LUX	.NIA	New York Life Investment Management Holdings II International S.á.r.l.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					Candriam Luxco S.á.r.l.LUX	.NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					Candriam Luxembourg, partnership limited by sharesLUX	.NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership.....	96.000	New York Life Insurance Company	
		.00000					Candriam Luxembourg, partnership limited by sharesLUX	.NIA	Candriam Luxco S.á.r.l.	Ownership.....	4.000	New York Life Insurance Company	
		.00000					Ausbil Investment Management LimitedAUS	.NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership.....	78.350	New York Life Insurance Company	
		.00000					Ausbil Australia Pty. Ltd.AUS	.NIA	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company	
		.00000					Ausbil Asset Management Pty. Ltd.AUS	.NIA	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company	
		.00000					Ausbil Dexia Employee Share TrustAUS	.NIA	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company	
		.00000					ISPT HoldingBEL	.NIA	Ausbil Investment Management Limited	Ownership.....	0.039	New York Life Insurance Company	
		.00000					AUSBIL IT - Australian Geared EquityAUS	.NIA	Ausbil Investment Management Limited	Influence.....	0.000	New York Life Insurance Company	4
		.00000					BIL Prime Advanced Cash + 100LUX	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership.....	35.020	New York Life Insurance Company	
		.00000					BIL Prime Advanced Cash + 100LUX	.NIA	Candriam Belgium, public limited company	Ownership.....	31.240	New York Life Insurance Company	
		.00000					Candriam France, simplified joint-stock companyFRA	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership.....	100.000	New York Life Insurance Company	
		.00000					Candriam Switzerland LLC, limited liability companyCHE	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership.....	100.000	New York Life Insurance Company	
		.00000					Candriam DublinJRL	.NIA	Candriam France, simplified joint-stock company	Ownership.....	100.000	New York Life Insurance Company	
		.00000					Candriam Belgium public limited companyBEL	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership.....	99.990	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Per-centage	Ultimate Controlling Entity(ies)/Person(s)	*
		..00000					Candriam Belgium public limited company	..BEL	..NIA	New York Life Investment Management Global Holdings S.à.r.l.	Ownership	..0.010	New York Life Insurance Company	
		..00000					Candriam Monétaire	..FRA	..NIA	Candriam Belgium public limited company	Ownership	..15.170	New York Life Insurance Company	
		..00000					Candriam Monétaire	..FRA	..NIA	Candriam France, simplified joint-stock company	Ownership	..5.070	New York Life Insurance Company	
		..00000					Cordius CIG	..LUX	..NIA	Candriam Luxembourg, partnership limited by shares	Ownership	..62.960	New York Life Insurance Company	
		..00000					Cordius CIG	..LUX	..NIA	Candriam Belgium public limited company	Ownership	..18.520	New York Life Insurance Company	
		..00000					Cordius CIG	..LUX	..NIA	Candriam France, simplified joint-stock company	Ownership	..18.520	New York Life Insurance Company	
		..00000					Candriam Bonds Convertible Opportunities	..LUX	..NIA	Candriam Luxembourg, partnership limited by shares	Ownership	..29.390	New York Life Insurance Company	
		..00000					Candriam Alternative Return Equity Market Neutral	..LUX	..NIA	Candriam Luxembourg, partnership limited by shares	Ownership	..21.390	New York Life Insurance Company	
		..00000					NYLIM-GCR Fund I, LLC	..DE	..NIA	New York Life Investment Management LLC	Ownership	..50.000	New York Life Insurance Company	
		..00000			0001355337		NYLIM Fund II GP, LLC	..DE	..NIA	New York Life Investment Management LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM Real Estate Mezzanine Fund II, LP	..DE	..NIA	NYLIM Fund II GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM-TND, LLC	..DE	..NIA	NYLIM Real Estate Mezzanine Fund II, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM-DCM, LLC	..DE	..NIA	NYLIM Real Estate Mezzanine Fund II, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM-MM, LLC	..DE	..NIA	NYLIM-DCM, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					DCM-N, LLC	..DE	..NIA	NYLIM-MM, LLC	Ownership	..80.000	New York Life Insurance Company	
		..00000					DCM-N, LLC	..DE	..NIA	NYLIM RE Mezzanine Fund II Investment Corporation	Ownership	..20.000	New York Life Insurance Company	
		..00000					DCM Warehouse Series A, LLC	..DE	..NIA	DCM-N, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					DCM Warehouse Series One, LLC	..DE	..NIA	DCM Warehouse Series A, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					Sixteen West Savannah, LLC	..IN	..NIA	DCM Warehouse Series One, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM RE Mezzanine Fund II Investment Corporation	..DE	..NIA	NYLIM Real Estate Mezzanine Fund II, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001406803		WIFHG, GP LLC	..DE	..NIA	New York Life Investment Management LLC	Ownership	..50.000	New York Life Insurance Company	
		..00000					Workforce Housing Fund I - 2007, LP	..DE	..NIA	WIFHG, GP LLC	Ownership	..50.000	New York Life Insurance Company	
		..00000					Evolvence Asset Management, Ltd.	..JND	..NIA	GoldPoint Partners LLC	Ownership	..24.500	New York Life Insurance Company	
		..00000	13-4091043		0001292892		GoldPoint Partners LLC	..DE	..NIA	New York Life Investment Management Holdings LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP 2010 Co-Invest GenPar GP, LLC	..DE	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP 2010 Co-Invest GenPar L.P.	..DE	..NIA	NYLCAP 2010 Co-Invest GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP 2010 Co-Invest L.P.	..DE	..NIA	NYLCAP 2010 Co-Invest GenPar L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco A L.P.	..DE	..NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP 2010 Co-Invest ECI Blocker A L.P.	..DE	..NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco A L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	..DE	..NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP 2010 Co-Invest ECI Blocker B L.P.	..DE	..NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco C L.P.	..DE	..NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP 2010 Co-Invest ECI Blocker C L.P.	..DE	..NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco C L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P.	..DE	..NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	..100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
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		.00000					NYLCAP 2010 Co-Invest ECI Blocker E L.P. ...	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco F L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker F L.P. ...	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco F L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker G L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000	13-4091045		0001513540		New York Life Capital Partners, LLC	DE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000			0001513533		New York Life Capital Partners, LP	DE	NIA	New York Life Capital Partners, LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000			0001293285		New York Life Capital Partners II, LLC	DE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000			0001293286		New York Life Capital Partners II, LP	DE	NIA	New York Life Capital Partners II, LLC ...	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners III GenPar, LP	DE	NIA	New York Life Capital Partners III GenPar GP, LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners III, LP	DE	NIA	New York Life Capital Partners III GenPar, LP	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners III-A, LP	DE	NIA	New York Life Capital Partners III GenPar GP, LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners IV GenPar, LP	DE	NIA	New York Life Capital Partners IV GenPar GP, LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners IV, LP	DE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership.....	100.000	New York Life Insurance Company	
		.00000					New York Life Capital Partners IV-A, LP	DE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership.....	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V GenPar GP LLC	DE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V GenPar, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar GP LLC	Ownership.....	100.000	New York Life Insurance Company	
		.00000			0001562188		GoldPoint Partners Co-Investment V, L.P. ...	DE	NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership.....	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP ...	Ownership.....	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker A, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP	Ownership.....	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP ...	Ownership.....	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker B, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP	Ownership.....	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP ...	Ownership.....	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker C, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP	Ownership.....	100.000	New York Life Insurance Company	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP ...	Ownership.....	100.000	New York Life Insurance Company	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
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		..00000					GoldPoint Partners Co-Investment V ECI Blocker D, LP	..DE	..NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP	..DE	..NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker E, LP	..DE	..NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP	..DE	..NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment V ECI Blocker F, LP	..DE	..NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Co-Investment Fund-A, LP	..DE	..NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP India Funding LLC	..DE	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM-JB Asset Management Co. (Mauritius) LLC	..MUS	..NIA	NYLCAP India Funding LLC	Ownership	..24.660	New York Life Insurance Company	..5
		..00000			0001356865		New York Life Investment Management India Fund II, LLC (Mauritius)	..MUS	..NIA	NYLIM-JB Asset Management Co. (Mauritius) LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					New York Life Investment Management India Fund (FVCI) II, LLC (Mauritius)	..MUS	..NIA	New York Life Investment Management India Fund II, LLC (Mauritius)	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP India Funding III LLC	..DE	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM-Jacob Ballas Asset Management Company III, (Mauritius) LLC	..MUS	..NIA	NYLCAP India Funding III LLC	Ownership	..24.660	New York Life Insurance Company	..6
		..00000			0001435025		NYLIM Jacob Ballas India Fund III (Mauritius) LLC	..MUS	..NIA	NYLIM-Jacob Ballas Asset Management Company III, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM Jacob Ballas Capital India (FVCI) III (Mauritius) LLC	..MUS	..NIA	NYLIM Jacob Ballas India Fund III (Mauritius) LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM Jacob Ballas India (FII) III (Mauritius) LLC	..MUS	..NIA	NYLIM Jacob Ballas India Fund III (Mauritius) LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM Jacob Ballas India Holdings IV	..MUS	..NIA	New York Life Investment Management LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Holdings (Mauritius) LLC	..MUS	..NIA	New York Life Investment Management Holdings LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001513541		Jacob Ballas Capital India PVT, LTD	..MUS	..NIA	NYLCAP Holdings (Mauritius) LLC	Ownership	..23.300	New York Life Insurance Company	
		..00000			0001513539		NYLIM Mezzanine GenPar GP, LLC	..DE	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM Mezzanine GenPar, LP	..DE	..NIA	NYLIM Mezzanine GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001193500		New York Life Investment Management Mezzanine Partners, LP	..DE	..NIA	NYLIM Mezzanine GenPar, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001259536		NYLIM Mezzanine Partners Parallel Fund LP	..DE	..NIA	NYLIM Mezzanine GenPar, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM Mezzanine Partners II GenPar GP, LLC	..DE	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM Mezzanine Offshore Partners II, LP	..CYM	..NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM Mezzanine Partners II GenPar, LP	..DE	..NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					New York Life Investment Management Mezzanine Partners II, LP	..DE	..NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM Mezzanine II Luxco S.a.r.l.	..LUX	..NIA	New York Life Investment Management Mezzanine Partners II, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001387095		NYLIM Mezzanine Partners II Parallel Fund, LP	..DE	..NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM Mezzanine II Parallel Luxco S.a.r.l.	..LUX	..NIA	NYLIM Mezzanine Partners II Parallel Fund, LP	Ownership	..100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		..00000					Voice Holdco Ltd.CAN	..NIA	NYLIM Mezzanine II Parallel Luxco S.a.r.l.	Ownership.....	..27.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III GenPar GP, LLCDE	..NIA	GoldPoint Partners LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Offshore Partners III, LPDE	..NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III GenPar, LPDE	..NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III-K, LPDE	..NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000			0001482545		NYLCAP Mezzanine Partners III, LPDE	..NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000			0001539552		NYLCAP Mezzanine Partners III Parallel Fund, LPDE	..NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest, LPDE	..NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000			0001483925		NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco A, LPDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker A, LPDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco A, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LPDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LPDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LPDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C, LPDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LPDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LPDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LPDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker E, LPDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F,LPDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F, LPDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F,LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					C.B. Fleet TopCo, LLCDE	..NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP	Ownership.....	..17.000	New York Life Insurance Company	
		..00000					GoldPoint Mezzanine Partners IV GenPar GP, LLCDE	..NIA	GoldPoint Partners LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Mezzanine Partners Offshore IV, L.P.CYM	..NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Mezzanine Partners IV GenPar LPDE	..NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Mezzanine Partners IV, LPDE	..NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership.....	..100.000	New York Life Insurance Company	
		..00000					GPP Mezzanine Blocker Holdco A, LPDE	..NIA	GoldPoint Mezzanine Partners IV, LP	Ownership.....	..100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		..00000					GPP Mezzanine Blocker Holdco Preferred A, LP	..DE	..NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GPP Mezzanine Blocker A, LP	..DE	..NIA	GPP Mezzanine Blocker Holdco A, LP	Ownership	..7.500	New York Life Insurance Company	
		..00000					GPP Mezzanine Blocker A, LP	..DE	..NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	..92.500	New York Life Insurance Company	
		..00000					GPP Mezzanine Blocker Holdco B, LP	..DE	..NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					GPP Mezzanine Blocker B, LP	..DE	..NIA	GPP Mezzanine Blocker Holdco B, LP	Ownership	..4.400	New York Life Insurance Company	
		..00000					GPP Mezzanine Blocker B, LP	..DE	..NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	..95.600	New York Life Insurance Company	
							GoldPoint Mezzanine Partners Co-Investment Fund A, LP	..DE	..NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager GenPar GP, LLC	..DE	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager GenPar, LP	..DE	..NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001441093		NYLCAP Select Manager Fund, LP	..DE	..NIA	NYLCAP Select Manager GenPar, LP	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager Cayman Fund, LP	..CYM	..NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Canada GenPar, Inc.	..CAN	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager Canada Fund, LP	..CAN	..NIA	NYLCAP Canada GenPar, Inc.	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager II GenPar GP, LLC	..DE	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Select Manager II GenPar GP, L.P.	..CYM	..NIA	NYLCAP Select Manager II GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
					0001520743		NYLCAP Select Manager Fund II, L.P.	..CYM	..NIA	NYLCAP Select Manager II GenPar GP, L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLCAP Canada II GenPar, Inc.	..CAN	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
							NYLCAP Select Manager Canada Fund II, L.P.	..CAN	..NIA	NYLCAP Canada II GenPar, Inc.	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Select Manager III	..DE	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Select Manager III	..CYM	..NIA	GoldPoint Partners Select Manager III	Ownership	..100.000	New York Life Insurance Company	
		..00000					GenPar, L.P.	..CYM	..NIA	GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Select Manager Fund III, L.P.	..CYM	..NIA	GoldPoint Partners Select Manager III	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Select Manager Fund III AIV, L.P.	..DE	..NIA	GoldPoint Partners Select Manager III	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Canada III GenPar, Inc	..CAN	..NIA	GenPar, L.P.	Ownership	..100.000	New York Life Insurance Company	
		..00000					GoldPoint Partners Select Manager Canada Fund III, L.P.	..CAN	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					MCF Mezzanine Carry I LLC	..DE	..NIA	GoldPoint Partners Canada III GenPar, Inc	Ownership	..100.000	New York Life Insurance Company	
		..00000					MCF Mezzanine Fund I LLC	..DE	..NIA	Madison Capital Funding LLC	Other	..0.000	New York Life Insurance Company	2
										New York Life Insurance Company	Ownership	..66.670	New York Life Insurance Company	
		..00000					MCF Mezzanine Fund I LLC	..DE	..NIA	New York Life Insurance & Annuity Corporation	Ownership	..33.330	New York Life Insurance Company	
		..00000					Montpelier Carry Parent, LLC	..DE	..NIA	Corporation	Ownership	..100.000	New York Life Insurance Company	
		..00000					Montpelier Carry, LLC	..DE	..NIA	Madison Capital Funding LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					Montpelier GP, LLC	..DE	..NIA	Montpelier Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000	90-0938480		0001570694		Montpelier Fund, L.P.	..DE	..NIA	Madison Capital Funding LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					NYLIM Flatiron CLO 2004-1 Ltd.	..CYM	..OTH	Montpelier GP, LLC	Ownership	..100.000	New York Life Insurance Company	
							NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A	..DE	..OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	7
		..00000					NYLIM Flatiron CLO 2006-1 Ltd.	..CYM	..OTH	NYLIM Flatiron CLO 2004-1 Ltd.	Influence	..0.000	New York Life Insurance Company	7
		..00000					NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A	..DE	..OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	7
		..00000					Flatiron CLO 2007-1 Ltd.	..CYM	..OTH	NYLIM Flatiron CLO 2006-1 Ltd.	Influence	..0.000	New York Life Insurance Company	7
		..00000					NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A	..CYM	..OTH	New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	7
		..00000					Flatiron CLO 2011-1 Ltd.	..CYM	..OTH	NYLIM Flatiron CLO 2007-1 Ltd.	Influence	..0.000	New York Life Insurance Company	7
		..00000								New York Life Insurance Company	Influence	..0.000	New York Life Insurance Company	7

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PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		00000					Flatiron CLO 2012-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	7
		00000					Flatiron CLO 2013-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	7
		00000					Flatiron CLO 2014-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	7
		00000					Flatiron CLO 2014-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	7
		00000					Flatiron CLO 16 Ltd.	CYM	OTH	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	7
		00000					Flatiron CLO 2015-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	7
		00000					Stratford CDO 2001-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	7
		00000		4007034			Silverado CLO 2006-II Limited	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	7
							Silverado CLO 2006-II Equity Holdings LLC, Series A	CYM	OTH	Silverado CLO 2006-II Limited	Influence	0.000	New York Life Insurance Company	7
		00000					New York Life Funding	CYM	OTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	8
		00000					New York Life Global Funding	DE	OTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	8
		00000					Martingale Road LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	
							UFI-NOR Federal Receivables Trust, Series 2009B	NY	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	9
		00000					Government Energy Savings Trust 2003-A	NY	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	9
		00000					NYL Equipment Issuance Trust	DE	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	9
										New York Life Investment Management				
		00000	54-1886751		0001065114		Private Advisors LLC	DE	NIA	Holdings LLC	Ownership	65.850	New York Life Insurance Company	
		00000					PACIF Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PACIF Carry, LLC	DE	NIA	PACIF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PACIF GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	
		00000			0001368975		Private Advisors Coinvestment Fund, LP	DE	NIA	PACIF GP, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PACIF II Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PACIF II Carry, LLC	DE	NIA	PACIF II Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PACIF II GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	
		00000			0001489910		Private Advisors Coinvestment Fund II, LP	DE	NIA	PACIF II GP, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PACIF III Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PACIF III Carry, LLC	DE	NIA	PACIF III Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PACIF III GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	
							Private Advisors Coinvestment Fund III, LP	DE	NIA	PACIF III GP, LLC	Ownership	100.000	New York Life Insurance Company	
		00000	46-1360141		0001562375		PACIF IV GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	
		00000			0001646588		Private Advisors Coinvestment Fund IV, LP	DE	NIA	PACIF IV GP, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PACIF IV Carry Parent, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	
		00000					PACIF IV Carry, LLC	DE	NIA	PACIF IV Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PASCCIF GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	
							Private Advisors Small Company Coinvestment Fund, LP	DE	NIA	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PASCCIF Carry Parent, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	
		00000					PASCCIF Carry, LLC	DE	NIA	PASCCIF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	
							Private Advisors Distressed Opportunities Fund, L.P.	DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	
		00000			0001210098		Private Advisors Small Company Buyout Fund II, L.P.	DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	
		00000					PASCBF III GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	
							Private Advisors Small Company Buyout Fund III, LP	DE	NIA	PASCBF III GP, LLC	Ownership	100.000	New York Life Insurance Company	
		00000			0001374891		PASCBF IV Carry Parent, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	
		00000					PASCBF IV Carry, LLC	DE	NIA	PASCBF IV Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	
		00000					PASCBF IV GP, LLC	DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	

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PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		..00000			0001442524		Private Advisors Small Company Buyout Fund IV, LP	..DE	..NIA	PASCBF IV GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCBF V Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCBF V Carry, LLC	..DE	..NIA	PASBF V Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCBF V GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001537995		Private Advisors Small Company Buyout Fund V, LP	..DE	..NIA	PASCBF V GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001576987		Private Advisors Small Company Buyout V - ERISA Fund, LP	..DE	..NIA	PASCBF V GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCOPEF VI Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCOPEF VI Carry, LLC	..DE	..NIA	PASCOPEF VI Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCOPEF VI GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001595889		Private Advisors Small Company Private Equity Fund VI, LP	..DE	..NIA	PASCOPEF VI GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001635254		Private Advisors Small Company Private Equity Fund VI, LP	..CYM	..NIA	PASCOPEF VI GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCOPEF VII GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					Private Advisors Small Company Private Equity Fund VII, LP	..DE	..NIA	PASCOPEF VII GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCOPEF VII Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASCOPEF VII Carry, LLC	..DE	..NIA	PASCOPEF VII Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PA Real Assets Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PA Real Assets Carry, LLC	..DE	..NIA	PA Real Assets Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PA Emerging Manager Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PA Emerging Manager Carry, LLC	..DE	..NIA	PA Emerging Manager Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					RIC I GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					Richmond Coinvestment Partners I, LP	..DE	..NIA	RIC I GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					RIC I Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					RIC I Carry, LLC	..DE	..NIA	RIC I Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PARAF GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					Private Advisors Real Assets Fund, LP	..DE	..NIA	PARAF GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PARAF Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PARAF Carry, LLC	..DE	..NIA	PARAF Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000		3328161			Cuyahoga Capital Partners I Management Group, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000		3594658			Cuyahoga Capital Partners II Management Group LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000		3729106			Cuyahoga Capital Partners III Management Group LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					Cuyahoga Capital Partners IV Management Group LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASF V GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001646590		Private Advisors Secondary Fund V, LP	..DE	..NIA	PASF V GP, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASF V Carry Parent, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					PASF V Carry, LLC	..DE	..NIA	PASF V Carry Parent, LLC	Ownership	..100.000	New York Life Insurance Company	
		..00000			3835342		Cuyahoga Capital Emerging Buyout Partners Management Group LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000					UVF GP, LLC	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001605509		Undiscovered Value Fund, LP	..DE	..NIA	Private Advisors L.L.C.	Ownership	..100.000	New York Life Insurance Company	
		..00000			0001605502		Undiscovered Value Fund, Ltd.	..CYM	..NIA	Private Advisors L.L.C.	Other	..0.000	New York Life Insurance Company	..10
		..00000					Undiscovered Value Master Fund SPC	..CYM	..NIA	Undiscovered Value Fund, Ltd.	Ownership	..100.000	New York Life Insurance Company	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	*
		..00000	13-3808042	0001033244		New York Life Trust CompanyNY.....	..NIA.....	NYLIFE LLC	Ownership.....	..100.000	New York Life Insurance Company
		..00000		New York Life Structured Settlement TrustNY.....	..NIA.....	New York Life Trust Company	Influence.....	..0.000	New York Life Insurance Company11
		..00000	0001160266		Private Advisors Stable Value Fund, Ltd.CYM.....	..NIA.....	Private Advisors L.L.C.	Ownership.....	..100.000	New York Life Insurance Company

Asterisk	Explanation
1	Investment Advisory Agreement – Institutional Capital LLC is the investment advisor of this collective investment trust, which is offered to third party investors.
2	Contractual Client – Madison Capital Funding LLC, an indirect wholly owned affiliate of the Company, has contractual control of this entity's loan portfolio.
3	Control of this entity is pursuant to an investment management contract with New York Life Investment Management Holdings LLC or affiliate, not through ownership of voting interests.
4	Investment Fund – Fund that invests in listed Australian equities, which is managed by Ausbil Investment Management Limited.
5	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding LLC owns 36% of non-voting carry shares.
6	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding III LLC owns 31.36% of non-voting carry shares.
7	Investment Pool – Investment pool of leveraged loans managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
8	Reliance Relationship – Entire proceeds of the entity are invested in a funding agreement of the Company.
9	Energy Contracts and Aircraft Loans Investments – with 100% of the investments coming from the Company and its wholly owned affiliate New York Life Insurance and Annuity Corporation.
10	Control of this entity is pursuant to an investment management contract with Private Advisors LLC, or affiliate, not through ownership of voting interests.
11	Reliance Relationship – A collective trust of mainly New York Life Insurance and Annuity Corporation assets (98.3%).

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

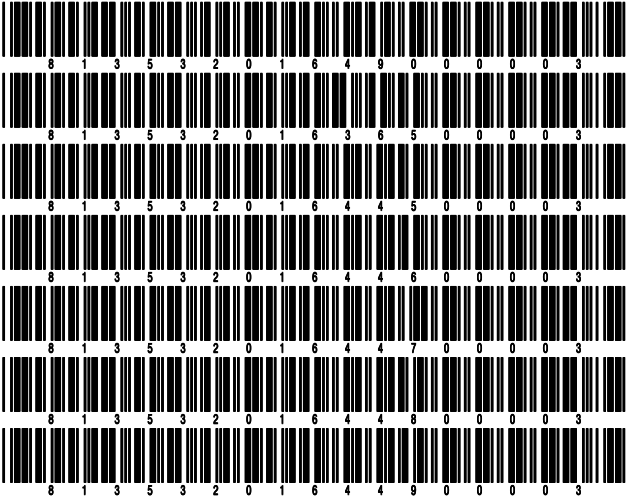
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanation:

1.
2.
3.
4.
5.
6.
7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



NONE

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,074	2,986,240
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		33,721,837
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		(7,690)
6. Total gain (loss) on disposals		7,609
7. Deduct amounts received on disposals	1,074	36,706,922
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		1,074
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		1,074

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	152,855,582	152,817,276
2. Cost of bonds and stocks acquired	32,223,425	31,000,249
3. Accrual of discount	74,211	128,146
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	(8,281)	155,487
6. Deduct consideration for bonds and stocks disposed of	26,601,529	30,999,042
7. Deduct amortization of premium	205,287	244,568
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized	5,803	1,966
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	158,332,318	152,855,582
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	158,332,318	152,855,582

STATEMENT AS OF SEPTEMBER 30, 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	155,344,768	37,781,452	34,653,974	(1,801,102)	156,174,856	155,344,768	156,671,144	159,605,161
2. NAIC 2 (a)	9,633,958		1,505,638	1,361,570	8,736,492	9,633,958	9,489,890	6,471,185
3. NAIC 3 (a)	1,183,558			395,174	1,582,223	1,183,558	1,578,732	1,467,696
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	166,162,284	37,781,452	36,159,612	(44,358)	166,493,571	166,162,284	167,739,766	167,544,042
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds and Preferred Stock	166,162,284	37,781,452	36,159,612	(44,358)	166,493,571	166,162,284	167,739,766	167,544,042

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$; NAIC 2 \$; NAIC 3 \$;
NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	8,493,523	xxx	8,490,105		

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	8,228,570	1,893,679
2. Cost of short-term investments acquired	50,052,403	46,694,633
3. Accrual of discount	9,501	1,777
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	5,025	286
6. Deduct consideration received on disposals	49,801,976	40,361,805
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	8,493,523	8,228,570
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	8,493,523	8,228,570

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards
N O N E

Schedule DB - Part B - Verification - Futures Contracts
N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives
N O N E

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	6,459,892	3,303,988
2. Cost of cash equivalents acquired	46,087,441	46,936,969
3. Accrual of discount	6,680	647
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	908	(22)
6. Deduct consideration received on disposals	51,640,930	43,781,690
7. Deduct amortization of premium	66	
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	913,925	6,459,892
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	913,925	6,459,892