



ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2016
OF THE CONDITION AND AFFAIRS OF THE

NYLIFE Insurance Company of Arizona

NAIC Group Code 0826, 0826 NAIC Company Code 81353 Employer's ID No. 52-1530175
(Current Period) (Prior Period)

Organized under the Laws of Arizona, State of Domicile or Port of Entry AZ,

Country of Domicile United States of America

INCORPORATED/ORGANIZED JULY 23, 1987

COMMENCED BUSINESS DECEMBER 30, 1987

Statutory Home Office.....	14850 N. Scottsdale Road, Suite 400, Scottsdale, AZ, U.S. 85254..
Main Administrative Office.....	51 Madison Avenue, New York, NY, U.S. 10010.....
.....	212-576-7000.....
Mail Address.....	51 Madison Avenue, New York, NY, U.S. 10010.....
Primary Location of Books and Records.....	51 Madison Avenue, New York, NY, U.S. 10010.....
.....	212-576-7000.....
Internet Website address.....	www.newyorklife.com.....
Statutory Statement Contact Person and Phone Number.....	Peter Decandia.....212-576-8249.....
Statutory Statement Contact E-Mail Address.....	statement_contact@newyorklife.com.....
Statutory Statement Contact Fax Number.....	212-252-5699.....

EXECUTIVE OFFICERS

CRAIG LAWRENCE DESANTO
Chairman of the Board, Chief Executive
Officer and President

BARBARA JOAN MCINERNEY
Senior Vice President and Chief
Compliance Officer

MICHAEL FONG
Vice President and Actuary

MARY TERESA HALLAHAN#
Vice President and Treasurer

THOMAS FRANCIS ENGLISH#
Senior Vice President
and Chief Legal Officer

ARTHUR HARUTYUN SETER
Senior Vice President and Chief
Investment Officer

PETER DECANDIA
Corporate Vice President and Controller

COLLEEN ANNE MEADE#
Associate Legal Officer and Secretary

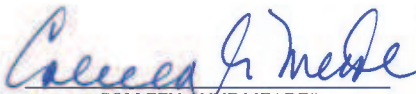
DIRECTORS


CHRISTOPHER THOMAS ASHE
CRAIG LAWRENCE DESANTO
MICHAEL FONG


ROBERT MICHAEL GARDNER
THOMAS JOHN GIRARD
MATTHEW MARTIN GROVE#

State of New York }
County of New York } SS

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures Manual except to the extent that: (1) state law may differ; or, (2) state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.


COLLEEN ANNE MEADE#
Associate Legal Officer and Secretary


MARY TERESA HALLAHAN#
Vice President and Treasurer


PETER DECANDIA
Corporate Vice President and Controller

STEPHEN COSTANZA

Subscribed and sworn to before me this

22 day of February 2017

NOTARY PUBLIC-STATE OF NEW YORK
No. 01CO6327901
Qualified in Westchester County
My Commission Expires July 20, 2019

Officers and Directors who did not occupy the indicated position in the previous annual statement



Is this an original filing? Yes [X] No []
If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

ASSETS

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D)	148,582,826		148,582,826	152,855,583
2. Stocks (Schedule D):				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens				
3.2 Other than first liens				
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$(585,339) , Schedule E - Part 1), cash equivalents (\$4,009,376 , Schedule E - Part 2) and short-term investments (\$393,997 , Schedule DA)	3,818,034		3,818,034	13,166,148
6. Contract loans (including \$ premium notes)				
7. Derivatives (Schedule DB)				
8. Other invested assets (Schedule BA)				1,074
9. Receivables for securities	1,217		1,217	
10. Securities lending reinvested collateral assets (Schedule DL)				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	152,402,077		152,402,077	166,022,805
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	1,019,949		1,019,949	1,115,310
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	(39,433)		(39,433)	(193,933)
15.2 Deferred premiums and agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	23,284,125	329,589	22,954,536	26,711,725
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	387,500		387,500	690,000
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts	83,200		83,200	91,459
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon	622,327		622,327	
18.2 Net deferred tax asset	7,046,209	1,572,428	5,473,781	5,939,363
19. Guaranty funds receivable or on deposit	21,570		21,570	43,205
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				47,209
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	369,502	369,502		
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	185,197,026	2,271,519	182,925,507	200,467,143
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	185,197,026	2,271,519	182,925,507	200,467,143
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)				
2501. Disallowed IMR	231,001	231,001		
2502. Miscellaneous	138,501	138,501		
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	369,502	369,502		

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Year	2 Prior Year
1. Aggregate reserve for life contracts \$70,334,691 (Exh. 5, Line 9999999) less \$ included in Line 6.3 (including \$ Modco Reserve)	70,334,691	89,607,709
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)		
3. Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$ Modco Reserve)	2,293,502	2,944,043
4. Contract claims:		
4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11)	2,901,340	2,212,057
4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11)		
5. Policyholders' dividends \$ and coupons \$ due and unpaid (Exhibit 4, Line 10)		
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$ Modco)		
6.2 Dividends not yet apportioned (including \$ Modco)		
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of lines 4 and 14)	96,822	129,035
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$ assumed and \$ ceded		
9.4 Interest maintenance reserve (IMR, Line 6)		51,680
10. Commissions to agents due or accrued-life and annuity contracts \$ accident and health \$ and deposit-type contract funds \$		
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued (Exhibit 2, Line 12, Col. 6)	99,162	116,618
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 5)		
15.1 Current federal and foreign income taxes, including \$ (161,676) on realized capital gains (losses)		4,724,471
15.2 Net deferred tax liability		
16. Unearned investment income		
17. Amounts withheld or retained by company as agent or trustee	2,019	1,998
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated	46,269	164,060
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve (AVR, Line 16, Col. 7)	494,291	483,376
24.02 Reinsurance in unauthorized and certified (\$) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	2,635,474	3,655,942
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives		
24.09 Payable for securities		5,126,944
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	89,013	72,823
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	78,992,583	109,290,756
27. From Separate Accounts Statement		
28. Total liabilities (Lines 26 and 27)	78,992,583	109,290,756
29. Common capital stock	2,500,000	2,500,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds		
32. Surplus notes		
33. Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1)	98,500,000	98,500,000
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	2,932,924	(9,823,613)
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	101,432,924	88,676,387
38. Totals of Lines 29, 30 and 37 (Page 4, Line 55)	103,932,924	91,176,387
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	182,925,507	200,467,143
DETAILS OF WRITE-INS		
2501. Liability for interest on claims	89,013	72,823
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	89,013	72,823
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 thru 3103 plus 3198)(Line 31 above)		
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above)		

SUMMARY OF OPERATIONS

	1 Current Year	2 Prior Year
1. Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11)	18,496,973	23,306,067
2. Considerations for supplementary contracts with life contingencies		
3. Net investment income (Exhibit of Net Investment Income, Line 17)	5,255,449	5,323,174
4. Amortization of Interest Maintenance Reserve (IMR, Line 5)	(24,170)	6,042
5. Separate Accounts net gain from operations excluding unrealized gains or losses		
6. Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1)	1,026,846	1,050,948
7. Reserve adjustments on reinsurance ceded		
8. Miscellaneous Income:		
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts		
8.2 Charges and fees for deposit-type contracts		
8.3 Aggregate write-ins for miscellaneous income	249	200
9. Total (Lines 1 to 8.3)	24,755,347	29,686,431
10. Death benefits	12,702,056	15,507,677
11. Matured endowments (excluding guaranteed annual pure endowments)		
12. Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8)		
13. Disability benefits and benefits under accident and health contracts	357,878	567,394
14. Coupons, guaranteed annual pure endowments and similar benefits		
15. Surrender benefits and withdrawals for life contracts		
16. Group conversions	4,420,225	4,593,063
17. Interest and adjustments on contract or deposit-type contract funds	19,278	(46,383)
18. Payments on supplementary contracts with life contingencies		
19. Increase in aggregate reserves for life and accident and health contracts	(18,573,018)	(14,883,796)
20. Totals (Lines 10 to 19)	(1,073,581)	5,737,955
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1)	36,883	245,329
22. Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1)		
23. General insurance expenses (Exhibit 2, Line 10, Cols. 1, 2, 3 and 4)	2,570,448	3,079,038
24. Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3)	1,181,137	1,244,152
25. Increase in loading on deferred and uncollected premiums	1,542,368	2,533,577
26. Net transfers to or (from) Separate Accounts net of reinsurance		
27. Aggregate write-ins for deductions	2,973	
28. Totals (Lines 20 to 27)	4,260,228	12,840,051
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	20,495,119	16,846,380
30. Dividends to policyholders		
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	20,495,119	16,846,380
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	8,127,107	4,823,292
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	12,368,012	12,023,088
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$3,552 (excluding taxes of \$(165,228) transferred to the IMR)	(1,425)	9,862
35. Net income (Line 33 plus Line 34)	12,366,587	12,032,950
CAPITAL AND SURPLUS ACCOUNT		
36. Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2)	91,176,387	79,647,503
37. Net income (Line 35)	12,366,587	12,032,950
38. Change in net unrealized capital gains (losses) less capital gains tax of \$		(10,279)
39. Change in net unrealized foreign exchange capital gain (loss)		
40. Change in net deferred income tax	163,393	(1,672,325)
41. Change in nonadmitted assets	(217,528)	710,123
42. Change in liability for reinsurance in unauthorized and certified companies		
43. Change in reserve on account of change in valuation basis, (increase) or decrease		
44. Change in asset valuation reserve	(10,915)	(54,649)
45. Change in treasury stock (Page 3, Lines 36.1 and 36.2, Col. 2 minus Col. 1)		
46. Surplus (contributed to) withdrawn from Separate Accounts during period		
47. Other changes in surplus in Separate Accounts Statement		
48. Change in surplus notes		
49. Cumulative effect of changes in accounting principles		99,537
50. Capital changes:		
50.1 Paid in		
50.2 Transferred from surplus (Stock Dividend)		
50.3 Transferred to surplus		
51. Surplus adjustment:		
51.1 Paid in		
51.2 Transferred to capital (Stock Dividend)		
51.3 Transferred from capital		
51.4 Change in surplus as a result of reinsurance		
52. Dividends to stockholders		
53. Aggregate write-ins for gains and losses in surplus	455,000	423,528
54. Net change in capital and surplus for the year (Lines 37 through 53)	12,756,537	11,528,885
55. Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38)	103,932,924	91,176,387
DETAILS OF WRITE-INS		
08.301. Sundries	249	200
08.302.		
08.303.		
08.398. Summary of remaining write-ins for Line 8.3 from overflow page		
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398)(Line 8.3 above)	249	200
2701. Fines, penalties and fees from regulatory authorities	2,973	
2702.		
2703.		
2798. Summary of remaining write-ins for Line 27 from overflow page		
2799. Totals (Lines 2701 thru 2703 plus 2798)(Line 27 above)	2,973	
5301. Prior period correction	455,000	423,528
5302.		
5303.		
5398. Summary of remaining write-ins for Line 53 from overflow page		
5399. Totals (Lines 5301 thru 5303 plus 5398)(Line 53 above)	455,000	423,528

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

CASH FLOW

	1	2
	Current Year	Prior Year
Cash from Operations		
1. Premiums collected net of reinsurance	20,665,982	25,120,842
2. Net investment income	5,495,177	5,562,445
3. Miscellaneous income	1,036,300	1,057,606
4. Total (Lines 1 through 3)	27,197,459	31,740,893
5. Benefit and loss related payments	16,953,727	19,848,047
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		
7. Commissions, expenses paid and aggregate write-ins for deductions	4,412,370	5,022,355
8. Dividends paid to policyholders		
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	13,557,229	6,090,968
10. Total (Lines 5 through 9)	34,923,326	30,961,370
11. Net cash from operations (Line 4 minus Line 10)	(7,725,867)	779,523
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	43,303,716	30,999,041
12.2 Stocks		
12.3 Mortgage loans		
12.4 Real estate		
12.5 Other invested assets	1,074	36,706,922
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	3,365	264
12.7 Miscellaneous proceeds		
12.8 Total investment proceeds (Lines 12.1 to 12.7)	43,308,155	67,706,227
13. Cost of investments acquired (long-term only):		
13.1 Bonds	39,482,039	30,796,953
13.2 Stocks		
13.3 Mortgage loans		
13.4 Real estate		
13.5 Other invested assets		33,721,837
13.6 Miscellaneous applications	5,126,944	(5,126,944)
13.7 Total investments acquired (Lines 13.1 to 13.6)	44,608,983	59,391,846
14. Net increase (decrease) in contract loans and premium notes		
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	(1,300,828)	8,314,381
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes		
16.2 Capital and paid in surplus, less treasury stock		
16.3 Borrowed funds		
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(653,276)	(875,522)
16.5 Dividends to stockholders		
16.6 Other cash provided (applied)	331,858	582,910
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	(321,418)	(292,612)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(9,348,114)	8,801,292
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year	13,166,148	4,364,856
19.2 End of year (Line 18 plus Line 19.1)	3,818,034	13,166,148

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Capitalized Interest	209,053	203,297
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ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS

	1	2	Ordinary			6	Group		Accident and Health			12
			3	4	5		7	8	9	10	11	
	Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance (a)	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business
1. Premiums and annuity considerations for life and accident and health contracts	18,496,973		18,496,973									
2. Considerations for supplementary contracts with life contingencies												
3. Net investment income	5,255,449		5,255,449									
4. Amortization of Interest Maintenance Reserve (IMR)	(24,170)		(24,170)									
5. Separate Accounts net gain from operations excluding unrealized gains or losses												
6. Commissions and expense allowances on reinsurance ceded	1,026,846		1,026,846									
7. Reserve adjustments on reinsurance ceded												
8. Miscellaneous Income:												
8.1 Fees associated with income from investment management, administration and contract guarantees from Separate Accounts												
8.2 Charges and fees for deposit-type contracts												
8.3 Aggregate write-ins for miscellaneous income	249		249									
9. Totals (Lines 1 to 8.3)	24,755,347		24,755,347									
10. Death benefits	12,702,056		12,702,056									
11. Matured endowments (excluding guaranteed annual pure endowments)												
12. Annuity benefits												
13. Disability benefits and benefits under accident and health contracts	357,878		357,878									
14. Coupons, guaranteed annual pure endowments and similar benefits												
15. Surrender benefits and withdrawals for life contracts												
16. Group conversions	4,420,225		4,420,225									
17. Interest and adjustments on contract or deposit-type contract funds	19,278		16,543		2,735							
18. Payments on supplementary contracts with life contingencies												
19. Increase in aggregate reserves for life and accident and health contracts	(18,573,018)		(18,573,018)									
20. Totals (Lines 10 to 19)	(1,073,581)		(1,076,316)		2,735							
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	36,883		36,883									
22. Commissions and expense allowances on reinsurance assumed												
23. General insurance expenses	2,570,448		2,570,448									
24. Insurance taxes, licenses and fees, excluding federal income taxes	1,181,137		1,181,137									
25. Increase in loading on deferred and uncollected premiums	1,542,368		1,542,368									
26. Net transfers to or (from) Separate Accounts net of reinsurance												
27. Aggregate write-ins for deductions	2,973		2,973									
28. Totals (Lines 20 to 27)	4,260,228		4,257,493		2,735							
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	20,495,119		20,497,854		(2,735)							
30. Dividends to policyholders												
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	20,495,119		20,497,854		(2,735)							
32. Federal income taxes incurred (excluding tax on capital gains)	8,127,107		8,127,107									
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	12,368,012		12,370,747		(2,735)							
DETAILS OF WRITE-INS												
08.301. Sundries	249		249									
08.302.												
08.303.												
08.398. Summary of remaining write-ins for Line 8.3 from overflow page												
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	249		249									
2701. Fines, penalties and fees from regulatory authorities	2,973		2,973									
2702.												
2703.												
2798. Summary of remaining write-ins for Line 27 from overflow page												
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	2,973		2,973									

(a) Includes the following amounts for FEGLI/SGLI: Line 1 , Line 10 , Line 16 , Line 23 , Line 24

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR

	1	2	Ordinary			6	Group	
			3	4	5		7	8
	Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance	Annuities
Involving Life or Disability Contingencies (Reserves)								
(Net of Reinsurance Ceded)								
1. Reserve December 31, prior year	89,607,709		89,607,709					
2. Tabular net premiums or considerations	28,622,242		28,622,242					
3. Present value of disability claims incurred	433,202		433,202		XXX			
4. Tabular interest	3,302,072		3,302,072					
5. Tabular less actual reserve released	(1,433,726)		(1,433,726)					
6. Increase in reserve on account of change in valuation basis								
7. Other increases (net)	2,960,718		2,960,718					
8. Totals (Lines 1 to 7)	123,492,217		123,492,217					
9. Tabular cost	50,081,558		50,081,558		XXX			
10. Reserves released by death	80,584		80,584	XXX	XXX			XXX
11. Reserves released by other terminations (net)	2,636,935		2,636,935					
12. Annuity, supplementary contract and disability payments involving life contingencies	358,449		358,449					
13. Net transfers to or (from) Separate Accounts								
14. Total Deductions (Lines 9 to 13)	53,157,526		53,157,526					
15. Reserve December 31, current year	70,334,691		70,334,691					

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT OF NET INVESTMENT INCOME

		1	2
		Collected During Year	Earned During Year
1.	U.S. Government bonds	(a)509,105513,147
1.1	Bonds exempt from U.S. tax	(a)	
1.2	Other bonds (unaffiliated)	(a)4,985,2874,885,884
1.3	Bonds of affiliates	(a)	
2.1	Preferred stocks (unaffiliated)	(b)	
2.11	Preferred stocks of affiliates	(b)	
2.2	Common stocks (unaffiliated)		
2.21	Common stocks of affiliates		
3.	Mortgage loans	(c)	
4.	Real estate	(d)	
5	Contract loans		
6	Cash, cash equivalents and short-term investments	(e)23,20223,202
7	Derivative instruments	(f)	
8.	Other invested assets		
9.	Aggregate write-ins for investment income(177)(177)
10.	Total gross investment income	5,517,417	5,422,056
11.	Investment expenses		(g)166,276
12.	Investment taxes, licenses and fees, excluding federal income taxes		(g)331
13.	Interest expense		(h)
14.	Depreciation on real estate and other invested assets		(i)
15.	Aggregate write-ins for deductions from investment income		
16.	Total deductions (Lines 11 through 15)166,607
17.	Net investment income (Line 10 minus Line 16)		5,255,449
DETAILS OF WRITE-INS			
0901.	Miscellaneous sources(177)(177)
0902.		
0903.		
0998.	Summary of remaining write-ins for Line 9 from overflow page		
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)(177)(177)
1501.		
1502.		
1503.		
1598.	Summary of remaining write-ins for Line 15 from overflow page		
1599.	Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		

- (a) Includes \$92,795 accrual of discount less \$279,609 amortization of premium and less \$102,780 paid for accrued interest on purchases.
- (b) Includes \$ accrual of discount less \$ amortization of premium and less \$ paid for accrued dividends on purchases.
- (c) Includes \$ accrual of discount less \$ amortization of premium and less \$ paid for accrued interest on purchases.
- (d) Includes \$ for company's occupancy of its own buildings; and excludes \$ interest on encumbrances.
- (e) Includes \$22,478 accrual of discount less \$ amortization of premium and less \$ paid for accrued interest on purchases.
- (f) Includes \$ accrual of discount less \$ amortization of premium.
- (g) Includes \$ investment expenses and \$ investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ interest on surplus notes and \$ interest on capital notes.
- (i) Includes \$ depreciation on real estate and \$ depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

		1	2	3	4	5
		Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1.	U.S. Government bonds(31,810)	(31,810)		
1.1	Bonds exempt from U.S. tax					
1.2	Other bonds (unaffiliated)(434,028)(7,480)(441,508)		
1.3	Bonds of affiliates					
2.1	Preferred stocks (unaffiliated)					
2.11	Preferred stocks of affiliates					
2.2	Common stocks (unaffiliated)					
2.21	Common stocks of affiliates					
3.	Mortgage loans					
4.	Real estate					
5.	Contract loans					
6.	Cash, cash equivalents and short-term investments3,365	3,365		
7.	Derivative instruments					
8.	Other invested assets					
9.	Aggregate write-ins for capital gains (losses)					
10.	Total capital gains (losses)(462,473)(7,480)(469,953)		
DETAILS OF WRITE-INS						
0901.					
0902.					
0903.					
0998.	Summary of remaining write-ins for Line 9 from overflow page					
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)					

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT - 1 PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

	1	2	Ordinary		5	Group		Accident and Health			11
			3	4		6	7	8	9	10	
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business
FIRST YEAR (other than single)											
1. Uncollected											
2. Deferred and accrued											
3. Deferred , accrued and uncollected:											
3.1 Direct											
3.2 Reinsurance assumed											
3.3 Reinsurance ceded											
3.4 Net (Line 1 + Line 2)											
4. Advance											
5. Line 3.4 - Line 4											
6. Collected during year:											
6.1 Direct	(56)		(56)								
6.2 Reinsurance assumed											
6.3 Reinsurance ceded	1,350		1,350								
6.4 Net	(1,406)		(1,406)								
7. Line 5 + Line 6.4	(1,406)		(1,406)								
8. Prior year (uncollected + deferred and accrued - advance) ..											
9. First year premiums and considerations:											
9.1 Direct	(56)		(56)								
9.2 Reinsurance assumed											
9.3 Reinsurance ceded	1,350		1,350								
9.4 Net (Line 7 - Line 8)	(1,406)		(1,406)								
SINGLE											
10. Single premiums and considerations:											
10.1 Direct											
10.2 Reinsurance assumed											
10.3 Reinsurance ceded											
10.4 Net											
RENEWAL											
11. Uncollected	1,110,324		1,110,324								
12. Deferred and accrued	15,334,732		15,334,732								
13. Deferred, accrued and uncollected:											
13.1 Direct	18,187,287		18,187,287								
13.2 Reinsurance assumed											
13.3 Reinsurance ceded	1,742,230		1,742,230								
13.4 Net (Line 11 + Line 12)	16,445,056		16,445,056								
14. Advance	96,822		96,822								
15. Line 13.4 - Line 14	16,348,234		16,348,234								
16. Collected during year:											
16.1 Direct	39,042,551		39,042,551								
16.2 Reinsurance assumed	227,116		227,116								
16.3 Reinsurance ceded	18,652,278		18,652,278								
16.4 Net	20,617,388		20,617,388								
17. Line 15 + Line 16.4	36,965,622		36,965,622								
18. Prior year (uncollected + deferred and accrued - advance) ..	18,467,244		18,467,244								
19. Renewal premiums and considerations:											
19.1 Direct	36,877,375		36,877,375								
19.2 Reinsurance assumed	227,116		227,116								
19.3 Reinsurance ceded	18,606,112		18,606,112								
19.4 Net (Line 17 - Line 18)	18,498,379		18,498,379								
TOTAL											
20. Total premiums and annuity considerations:											
20.1 Direct	36,877,319		36,877,319								
20.2 Reinsurance assumed	227,116		227,116								
20.3 Reinsurance ceded	18,607,462		18,607,462								
20.4 Net (Lines 9.4 + 10.4 + 19.4)	18,496,973		18,496,973								

EXHIBIT - 1 PART 2 - DIVIDENDS AND COUPONS APPLIED, REINSURANCE COMMISSIONS
AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)

	1	2	Ordinary		5	Group		Accident and Health			11
			3	4		6	7	8	9	10	
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business
DIVIDENDS AND COUPONS APPLIED (included in Part 1)											
21. To pay renewal premiums											
22. All other											
REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED											
23. First year (other than single):											
23.1 Reinsurance ceded											
23.2 Reinsurance assumed											
23.3 Net ceded less assumed											
24. Single:											
24.1 Reinsurance ceded											
24.2 Reinsurance assumed											
24.3 Net ceded less assumed											
25. Renewal:											
25.1 Reinsurance ceded	1,026,846		1,026,846								
25.2 Reinsurance assumed											
25.3 Net ceded less assumed	1,026,846		1,026,846								
26. Totals:											
26.1 Reinsurance ceded (Page 6, Line 6)	1,026,846		1,026,846								
26.2 Reinsurance assumed (Page 6, Line 22)											
26.3 Net ceded less assumed	1,026,846		1,026,846								
COMMISSIONS INCURRED (direct business only)											
27. First year (other than single)											
28. Single											
29. Renewal	36,883		36,883								
30. Deposit-type contract funds											
31. Totals (to agree with Page 6, Line 21)	36,883		36,883								

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT 2 - GENERAL EXPENSES

	Insurance				5	6
	1	Accident and Health		4		
		2	3			
	Life	Cost Containment	All Other	All Other Lines of Business	Investment	Total
1. Rent	275,187				4,988	280,175
2. Salaries and wages	1,516,397				145,658	1,662,055
3.11 Contributions for benefit plans for employees	266,325					266,325
3.12 Contributions for benefit plans for agents	19,943					19,943
3.21 Payments to employees under non-funded benefit plans	41					41
3.22 Payments to agents under non-funded benefit plans	2,836					2,836
3.31 Other employee welfare	32,569					32,569
3.32 Other agent welfare	78					78
4.1 Legal fees and expenses	12,537					12,537
4.2 Medical examination fees	51					51
4.3 Inspection report fees	596					596
4.4 Fees of public accountants and consulting actuaries	88,768					88,768
4.5 Expense of investigation and settlement of policy claims	(4,715)					(4,715)
5.1 Traveling expenses	51,724				1,995	53,719
5.2 Advertising	118,732					118,732
5.3 Postage, express, telegraph and telephone	91,518				832	92,350
5.4 Printing and stationery	16,216					16,216
5.5 Cost or depreciation of furniture and equipment	20,995					20,995
5.6 Rental of equipment	20,839					20,839
5.7 Cost or depreciation of EDP equipment and software	229,344				4,157	233,501
6.1 Books and periodicals	379					379
6.2 Bureau and association fees	10,353					10,353
6.3 Insurance, except on real estate	14,218					14,218
6.4 Miscellaneous losses	25,700					25,700
6.5 Collection and bank service charges	11,025					11,025
6.6 Sundry general expenses	100,901				8,646	109,547
6.7 Group service and administration fees						
6.8 Reimbursements by uninsured plans						
7.1 Agency expense allowance	36,650					36,650
7.2 Agents' balances charged off (less \$ recovered)	41,006					41,006
7.3 Agency conferences other than local meetings	80,504					80,504
9.1 Real estate expenses	790					790
9.2 Investment expenses not included elsewhere	2					2
9.3 Aggregate write-ins for expenses	(511,061)					(511,061)
10. General expenses incurred	2,570,448				166,276	(a) 2,736,724
11. General expenses unpaid December 31, prior year	116,618					116,618
12. General expenses unpaid December 31, current year	99,162					99,162
13. Amounts receivable relating to uninsured plans, prior year						
14. Amounts receivable relating to uninsured plans, current year						
15. General expenses paid during year (Lines 10+11-12-13+14)	2,587,904				166,276	2,754,180
DETAILS OF WRITE-INS						
09.301. Elimination of Intercompany balances	(511,061)					(511,061)
09.302.						
09.303.						
09.398. Summary of remaining write-ins for Line 9.3 from overflow page						
09.399. Totals (Lines 09.301 thru 09.303 plus 09.398) (Line 9.3 above)	(511,061)					(511,061)

(a) Includes management fees of \$ 155,271 to affiliates and \$ 102,834 to non-affiliates.

EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOME TAXES)

		Insurance			4	5
		1	2	3		
		Life	Accident and Health	All Other Lines of Business	Investment	Total
1.	Real estate taxes	1,406				1,406
2.	State insurance department licenses and fees	198,437				198,437
3.	State taxes on premiums	737,307				737,307
4.	Other state taxes, including \$					
	for employee benefits	8,220				8,220
5.	U.S. Social Security taxes	181,381			331	181,712
6.	All other taxes	54,386				54,386
7.	Taxes, licenses and fees incurred	1,181,137			331	1,181,468
8.	Taxes, licenses and fees unpaid December 31, prior year					
9.	Taxes, licenses and fees unpaid December 31, current year.....					
10.	Taxes, licenses and fees paid during year (Lines 7 + 8 - 9)	1,181,137			331	1,181,468

EXHIBIT 4 - DIVIDENDS OR REFUNDS

	1	2
	Life	Accident and Health
1. Applied to pay renewal premiums		
2. Applied to shorten the endowment or premium-paying period		
3. Applied to provide paid-up additions		
4. Applied to provide paid-up annuities		
5. Total Lines 1 through 4		
6. Paid in cash		
7. Left on deposit		
8. Aggregate write-ins for dividend or refund options		
9. Total Lines 5 through 8		
10. Amount due and unpaid		
11. Provision for dividends or refunds payable in the following calendar year		
12. Terminal dividends		
13. Provision for deferred dividend contracts		
14. Amount provisionally held for deferred dividend contracts not included in Line 13		
15. Total Lines 10 through 14		
16. Total from prior year		
17. Total dividends or refunds (Lines 9 + 15 - 16)		
DETAILS OF WRITE-INS		
0801.		
0802.		
0803.		
0898. Summary of remaining write-ins for Line 8 from overflow page		
0899. Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above)		

NONE

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

EXHIBIT 5 - INTERROGATORIES

1.1

Has the reporting entity ever issued both participating and non-participating contracts?.....

Yes [] No [X]

1.2

If not, state which kind is issued.
Non-participating

2.1

Does the reporting entity at present issue both participating and non-participating contracts?.....

Yes [] No [X]

2.2

If not, state which kind is issued.
The Company no longer issues insurance policies.

3.

Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements?.....
If so, attach a statement that contains the determination procedures, answers to the interrogatories and an actuarial opinion as described in the instructions.

Yes [X] No []

4.

Has the reporting entity any assessment or stipulated premium contracts in force?
If so, state:
4.1 Amount of insurance?\$
4.2 Amount of reserve?\$
4.3 Basis of reserve:
4.4 Basis of regular assessments:
4.5 Basis of special assessments:
4.6 Assessments collected during the year\$

Yes [] No [X]

5.

If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts.

6.

Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis?
6.1 If so, state the amount of reserve on such contracts on the basis actually held:\$
6.2 That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently approved by the state of domicile for valuing individual annuity benefits:\$
Attach statement of methods employed in their valuation.

Yes [] No [X]

7.

Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year?
7.1 If yes, state the total dollar amount of assets covered by these contracts or agreements\$
7.2 Specify the basis (fair value, amortized cost, etc.) for determining the amount:
7.3 State the amount of reserves established for this business:\$
7.4 Identify where the reserves are reported in the blank:

Yes [] No [X]

8.

Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year?
8.1 If yes, state the total dollar amount of account value covered by these contracts or agreements:\$
8.2 State the amount of reserves established for this business:\$
8.3 Identify where the reserves are reported in the blank:

Yes [] No [X]

9.

Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year?
9.1 If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders:\$
9.2 State the amount of reserves established for this business:\$
9.3 Identify where the reserves are reported in the blank:

Yes [] No [X]

EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR

1	Valuation Basis		4
	2	3	
Description of Valuation Class	Changed From	Changed To	Increase in Actuarial Reserve Due to Change
NONE			
9999999 - Total (Column 4, only)			

Exhibit 6 - Aggregate Reserves for Accident and Health Contracts

N O N E

EXHIBIT 7 - DEPOSIT TYPE CONTRACTS

	1	2	3	4	5	6
	Total	Guaranteed Interest Contracts	Annuities Certain	Supplemental Contracts	Dividend Accumulations or Refunds	Premium and Other Deposit Funds
1. Balance at the beginning of the year before reinsurance	2,944,043			2,944,043		
2. Deposits received during the year						
3. Investment earnings credited to the account	2,735			2,735		
4. Other net change in reserves						
5. Fees and other charges assessed						
6. Surrender charges						
7. Net surrender or withdrawal payments	653,276			653,276		
8. Other net transfers to or (from) Separate Accounts						
9. Balance at the end of current year before reinsurance (Lines 1+2+3+4-5-6-7-8)	2,293,502			2,293,502		
10. Reinsurance balance at the beginning of the year						
11. Net change in reinsurance assumed						
12. Net change in reinsurance ceded						
13. Reinsurance balance at the end of the year (Lines 10+11-12)						
14. Net balance at the end of current year after reinsurance (Lines 9 + 13)	2,293,502			2,293,502		

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 1 - Liability End of Current Year											
	1	2	Ordinary			6	Group		Accident and Health		
			3	4	5		7	8	9	10	11
	Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other
1. Due and unpaid:											
1.1 Direct											
1.2 Reinsurance assumed											
1.3 Reinsurance ceded											
1.4 Net											
2. In course of settlement:											
2.1 Resisted											
2.11 Direct											
2.12 Reinsurance assumed											
2.13 Reinsurance ceded											
2.14 Net			(b)	(b)		(b)	(b)				
2.2 Other											
2.21 Direct	4,257,083		4,257,083								
2.22 Reinsurance assumed											
2.23 Reinsurance ceded	1,892,276		1,892,276								
2.24 Net	2,364,807		(b) 2,364,807	(b)		(b)	(b)		(b)	(b)	(b)
3. Incurred but unreported:											
3.1 Direct	1,140,533		1,140,533								
3.2 Reinsurance assumed											
3.3 Reinsurance ceded	604,000		604,000								
3.4 Net	536,533		(b) 536,533	(b)		(b)	(b)		(b)	(b)	(b)
4. TOTALS											
4.1 Direct	5,397,616		5,397,616								
4.2 Reinsurance assumed											
4.3 Reinsurance ceded	2,496,276		2,496,276								
4.4 Net	2,901,340	(a)	(a) 2,901,340				(a)				

(a) Including matured endowments (but not guaranteed annual pure endowments) unpaid amounting to \$ in Column 2, \$ in Column 3 and \$ in Column 7.

(b) Include only portion of disability and accident and health claim liabilities applicable to assumed "accrued" benefits. Reserves (including reinsurance assumed and net of reinsurance ceded) for unaccrued benefits for Ordinary Life Insurance \$3,017,759

Individual Annuities \$, Credit Life (Group and Individual) \$, and Group Life \$, are included in Page 3, Line 1, (See Exhibit 5, Section on Disability Disabled Lives); and for Group Accident and Health \$ Credit (Group and Individual) Accident and Health \$, and Other Accident and Health \$ are included in Page 3, Line 2 (See Exhibit 6, Claim Reserve).

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 2 - Incurred During the Year

	1 Total	2 Industrial Life (a)	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health		
			3 Life Insurance (b)	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance (c)	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other
1. Settlements During the Year:											
1.1 Direct	24,909,375		24,909,375								
1.2 Reinsurance assumed											
1.3 Reinsurance ceded	12,841,224		12,841,224								
1.4 Net (d)	12,068,151		12,068,151								
2. Liability December 31, current year from Part 1:											
2.1 Direct	5,397,616		5,397,616								
2.2 Reinsurance assumed											
2.3 Reinsurance ceded	2,496,276		2,496,276								
2.4 Net	2,901,340		2,901,340								
3. Amounts recoverable from reinsurers December 31, current year	387,500		387,500								
4. Liability December 31, prior year:											
4.1 Direct	6,489,557		6,489,557								
4.2 Reinsurance assumed											
4.3 Reinsurance ceded	4,277,500		4,277,500								
4.4 Net	2,212,057		2,212,057								
5. Amounts recoverable from reinsurers December 31, prior year	690,000		690,000								
6. Incurred Benefits											
6.1 Direct	23,817,434		23,817,434								
6.2 Reinsurance assumed											
6.3 Reinsurance ceded	10,757,500		10,757,500								
6.4 Net	13,059,934		13,059,934								

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ in Line 1.1, \$ in Line 1.4.
\$ in Line 6.1, and \$ in Line 6.4.

(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ in Line 1.1, \$ in Line 1.4.
\$ in Line 6.1, and \$ in Line 6.4.

(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ in Line 1.1, \$ in Line 1.4.
\$ in Line 6.1, and \$ in Line 6.4.

(d) Includes \$ premiums waived under total and permanent disability benefits.

EXHIBIT OF NON-ADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D)			
2. Stocks (Schedule D):			
2.1 Preferred stocks			
2.2 Common stocks			
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens			
3.2 Other than first liens.....			
4. Real estate (Schedule A):			
4.1 Properties occupied by the company			
4.2 Properties held for the production of income.....			
4.3 Properties held for sale			
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA)			
6. Contract loans			
7. Derivatives (Schedule DB)			
8. Other invested assets (Schedule BA)			
9. Receivables for securities			
10. Securities lending reinvested collateral assets (Schedule DL)			
11. Aggregate write-ins for invested assets			
12. Subtotals, cash and invested assets (Lines 1 to 11)			
13. Title plants (for Title insurers only)			
14. Investment income due and accrued			
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection			
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due	329,589	420,490	90,901
15.3 Accrued retrospective premiums and contracts subject to redetermination			
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers			
16.2 Funds held by or deposited with reinsured companies			
16.3 Other amounts receivable under reinsurance contracts			
17. Amounts receivable relating to uninsured plans			
18.1 Current federal and foreign income tax recoverable and interest thereon			
18.2 Net deferred tax asset	1,572,428	943,453	(628,975)
19. Guaranty funds receivable or on deposit			
20. Electronic data processing equipment and software			
21. Furniture and equipment, including health care delivery assets			
22. Net adjustment in assets and liabilities due to foreign exchange rates			
23. Receivables from parent, subsidiaries and affiliates			
24. Health care and other amounts receivable			
25. Aggregate write-ins for other than invested assets	369,502	690,048	320,546
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	2,271,519	2,053,991	(217,528)
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			
28. Total (Lines 26 and 27)	2,271,519	2,053,991	(217,528)
DETAILS OF WRITE-INS			
1101.			
1102.			
1103.			
1198. Summary of remaining write-ins for Line 11 from overflow page			
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)			
2501. Miscellaneous	138,501	690,048	551,547
2502. Disallowed IMR	231,001		(231,001)
2503.			
2598. Summary of remaining write-ins for Line 25 from overflow page			
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	369,502	690,048	320,546

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. The accompanying financial statements of NYLIFE Insurance Company of Arizona (“the Company”) have been prepared using accounting practices prescribed or permitted by the Arizona Insurance Department.

The Arizona Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of Arizona for determining and reporting the financial position and results of operations of an insurance company and for determining its solvency under Arizona Insurance Law. The National Association of Insurance Commissioners’ (“NAIC”) *Accounting Practices and Procedures Manual* (“NAIC SAP”) has been adopted as a component of prescribed or permitted practices by the state of Arizona. Prescribed statutory accounting practices include state laws and regulations. Permitted statutory accounting practices encompass accounting practices that are not prescribed; such practices differ from state to state, may differ from company to company within a state, and may change in the future. Arizona has adopted all prescribed accounting practices found in NAIC SAP. The Company has no permitted practices.

	SSAP #	F/S Page	F/S Line #	2016	2015
<u>Net Income</u>					
Net income Arizona state basis (Page 4, Line 35, Columns 1 & 2)	XXX	XXX	XXX	\$ 12,366,587	\$ 12,032,950
State prescribed practices that increase/(decrease) NAIC SAP:				—	—
State permitted practices that increase/(decrease) NAIC SAP:				—	—
(4) Net income, NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ 12,366,587</u>	<u>\$ 12,032,950</u>
<u>Capital and Surplus</u>					
Statutory capital and surplus Arizona state basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 103,932,924	\$ 91,176,387
State prescribed practices that increase/(decrease) NAIC SAP:				—	—
State permitted practices that increase/(decrease) NAIC SAP:				—	—
(8) Capital and surplus, NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 103,932,924</u>	<u>\$ 91,176,387</u>

B. The preparation of financial statements requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities at the date of the financial statements. Management is also required to disclose contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results may differ from those estimates.

C. Life premiums are taken into income over the premium-paying period of the policies. Commissions and other costs associated with acquiring new business are charged to operations as incurred (the Company has not issued any new policies since 2011). Amounts received or paid under deposit type contracts without mortality or morbidity risk are not reported as income or benefits but are recorded directly as an adjustment to the liability for deposit funds.

Policy reserves are based on mortality tables and valuation interest rates, which are consistent with statutory requirements and are designed to be sufficient to provide for contractual benefits. The Company holds reserves greater than those developed under the minimum statutory reserving rules when the valuation actuary determines that the minimum statutory reserves are inadequate.

The Company has established policy reserves (excluding the effects of reinsurance) on contracts issued January 1, 2001 and later that exceed the minimum amounts determined under Appendix A-820, “Minimum Life and Annuity Reserve Standards” of NAIC SAP by approximately \$1,910. The change in reserves increased net gain from operations before federal income taxes for the year ended December 31, 2016 by approximately \$2,790.

In addition, the Company uses the following accounting policies:

- (1) Short-term investments consist of securities with remaining maturities of one year or less but greater than three months at the time of acquisition and are carried at amortized cost, which approximates fair value. Cash and cash equivalents include cash on hand, amounts due from banks and highly liquid debt instruments that have original maturities of three months or less at the date of purchase and are stated at amortized cost.
- (2) Bonds not backed by loans are stated at amortized cost using the interest method. Bonds in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. The cost basis of bonds is adjusted for impairments in value deemed to be other than temporary, with the difference between the bond’s amortized cost and its fair value recognized as a realized loss reported in net income. The new cost basis of an impaired bond is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary impairment (“OTTI”), the impaired bond is accounted for as if it had been purchased on the measurement date of the impairment. Refer to Note 20 – Fair Value Measurements, for discussion of valuation methods for bonds.
- (3)–(5) The Company does not own any common stock, preferred stock, real estate or mortgage loans.
- (6) Loan-backed and structured securities, which are included in bonds, are valued at amortized cost using the interest method including current assumptions of projected cash flows. Loan-backed and structured securities in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. Amortization of the premium or accretion of discount from the purchase of these securities considers the estimated timing and amount of cash flows of the underlying loans, including prepayment assumptions based on data obtained from external sources or internal estimates. Projected future cash flows are updated monthly, and the amortized cost and effective yield of the securities are adjusted as necessary to reflect historical prepayment experience and changes in estimated future prepayments. For high credit quality loan-backed and structured securities (those rated AA or above at the date of acquisition), the adjustments to amortized cost are recorded as a charge or credit to net investment income in accordance with the retrospective method. For loan-backed and structured securities that are not of high credit quality (those rated below AA at date of acquisition), certain floating rate securities and securities with the potential for a loss of a portion of the original investment due to contractual prepayments (e.g. interest only securities), the effective yield is adjusted prospectively for any changes in estimated cash flows. Refer to Note 20 – Fair Value Measurements, for discussion of valuation methods for bonds.

The cost basis of loan-backed and structured securities is adjusted for impairments in value that are deemed to be other than temporary. An other-than-temporary loss is recognized in net income when it is anticipated that the amortized cost

NOTES TO FINANCIAL STATEMENTS

will not be recovered. The entire difference between the loan-backed or structured security's amortized cost and its fair value is recognized in net income only when the Company (1) has the intent to sell the security or (2) it does not have the intent and ability to hold the security to recovery. If neither of these two conditions exists, a realized loss is recognized in net income for the difference between the amortized cost basis of the security and the net present value of projected future cash flows expected to be collected. The net present value is calculated by discounting the Company's best estimate of projected future cash flows at the effective interest rate implicit in the loan-backed or structured security prior to impairment.

The new cost basis of an impaired security is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary loss, the impaired loan-backed or structured security is accounted for as if it had been purchased on the measurement date of the impairment. Accordingly, the discount (or reduced premium) based on the new cost basis may be accreted into net investment income in future periods based on prospective changes in cash flow estimates, to reflect adjustments to the effective yield.

- (7) The Company has no investments in subsidiaries or affiliates.
- (8) The Company has no investments in limited partnerships or limited liability companies.
- (9) The Company does not have any derivative instruments.
- (10) The Company does not utilize anticipated investment income as a factor in the premium deficiency calculation.
- (11) The Company does not issue or have any in force accident and health policies.
- (12) The Company has not changed its capitalization policy from the prior year.
- (13) The Company does not have any pharmaceutical rebates receivable.

D. Going Concern

The Company does not have any doubt about its ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

Change in Accounting Principles

Accounting changes adopted to conform to the provisions of NAIC SAP or other state prescribed practices are reported as changes in accounting principles. The cumulative effect of changes in accounting principles is generally reported as an adjustment to unassigned funds (surplus) in the period of the change in accounting principle. Generally, the cumulative effect is the difference between the amount of capital and surplus at the beginning of the year and the amount of capital and surplus that would have been reported at that date if the new accounting principles had been applied retroactively for all prior periods.

In 2015, the NAIC provided clarification on the accounting for prepayment penalties on bonds. Specifically, the NAIC proposed changes to SSAP No. 26, *"Bonds, Excluding Loan-Backed and Structured Securities"*, and SSAP No. 43R, *"Loan-Backed and Structured Securities"*, to clarify that a prepayment penalty represents the amount of proceeds received over the par value of the bond and that such amount should be recorded in investment income. Historically, the Company had reported prepayment penalties as realized gains and deferred them in the Interest Maintenance Reserve ("IMR") based on the Company's interpretation of the IMR instructions. Based on the new clarification from the NAIC, a cumulative effect of change in accounting principle was recorded as of January 1, 2015 to remove any unamortized amounts from the IMR related to prepayment penalties. The cumulative impact of the change increased surplus by \$99,537 at January 1, 2015.

Prior Period Correction

In 2016, the Company corrected an error related to waiver of premium benefits incurred but not reported ("IBNR") and in course of settlement ("ICOS") disability reserves. The Company calculated the prior year reserves based on claims experience data that incorrectly identified certain claims as IBNR. To correct this error, the Company reduced its disability reserves and recorded a prior period correction that increased statutory surplus by \$455,000 on an after-tax basis.

3. Business Combinations and Goodwill

Not applicable.

4. Discontinued Operations

Not applicable.

5. Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

Not applicable.

B. Debt Restructuring

Not applicable.

C. Reverse Mortgages

Not applicable.

D. Loan-Backed Securities

- (1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from external sources such as Bloomberg, Intex and Blackrock Solutions.
- (2) The Company does not have any loan-backed and structured securities which are other-than-temporarily impaired where the Company intends to sell, or does not have the intent and ability to hold until recovery as of December 31, 2016.

NOTES TO FINANCIAL STATEMENTS

(3) The following table lists each security at a CUSIP level where the present value of cash flows expected to be collected is less than the amortized cost basis during the current year:

IMPAIRMENTS TAKEN ON CURRENT HOLDINGS DURING THE CURRENT YEAR						
(1)	(2)	(3)	(4)	(5)	(6)	(7)
CUSIP ¹	Amortized cost before current period OTTI	Projected Cash Flows	Current Period Recognized OTTI	Amortized Cost After OTTI	Fair Value	Financial Statement Reporting Period
251511AC5	\$ 60,848	\$ 59,796	\$ 1,052	\$ 59,796	\$ 59,332	12/31/2016
251511AF8	36,037	35,412	625	35,412	34,992	12/31/2016
251511AC5	65,714	63,008	2,706	63,008	61,597	9/30/2016
251511AF8	39,089	37,317	1,772	37,317	36,310	9/30/2016
251511AC5	68,280	67,443	837	67,443	63,339	6/30/2016
251511AF8	40,604	40,116	488	40,116	37,317	6/30/2016
Total	XXX	XXX	\$ 7,480	XXX	XXX	

¹ Only the impaired lots within each CUSIP are included within this table.

(4) The following table presents the Company’s gross unrealized losses and fair values of loan-backed and structured securities, aggregated by the length of time that the individual securities have been in a continuous unrealized loss position at December 31, 2016:

	Less than 12 months		12 months or greater		Total	
	Fair Value	Losses	Fair Value	Losses	Fair Value	Losses
Total temporarily impaired securities	\$ 18,182,042	\$ 458,593	\$ 337,496	\$ 27,523	\$ 18,519,538	\$ 486,116

(5) The Company performs quantitative and qualitative analysis to determine if a decline in fair value was temporary. For those securities where the decline was considered temporary, the Company did not take an impairment when it had the ability and intent to hold until recovery. Factors considered in evaluating whether a decline in value is other than temporary include: (1) whether the decline is substantial; (2) the duration that the fair value has been less than amortized cost; (3) the financial condition and near-term prospects of the issuer; and (4) the Company's ability and intent to retain the investment for the period of time sufficient to allow for an anticipated recovery in value. In addition, for the non-agency residential mortgage backed securities ("RMBS") portfolio, the Company updates cash flow projections quarterly. A projection is performed for each security based upon the evaluation of prepayment, delinquency, and default rates for the pool of mortgages collateralizing each security, and the projected impact on the course of future prepayments, defaults, and loss in the pool of mortgages, but do not include market prices. As a result, forecasts may change from period to period and additional impairments may be recognized over time as a result of deterioration in the fundamentals of a particular security or group of securities and/or a continuation of heightened mortgage defaults for a period longer than the assumptions used for the forecasts. Both qualitative and quantitative factors are used in creating the Company's RMBS cash flow models. As such, any estimate of impairments is subject to the inherent limitation on the Company's ability to predict the aggregate course of future events. It should therefore be expected that actual losses may vary from any estimate and the Company may recognize additional other-than-temporary losses.

E. Repurchase Agreements and/or Securities Lending Transactions

Not applicable.

F. Real Estate

Not applicable.

G. Investment in Low-Income Housing Tax Credits

Not applicable.

NOTES TO FINANCIAL STATEMENTS

H. Restricted Assets

(1) Restricted assets (including pledged):

Restricted Asset Category	Gross (Admitted and Nonadmitted) Restricted							8	9	Percentage	
	Current Year					6	7			10	11
	1	2	3	4	5						
	Total General Account (G/A)	G/A Assets Supporting Separate Accounts (S/A) Activity (a)	Total S/A Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)	Total Nonadmitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted and Non-admitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)
a. Subject to contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	0.000%	0.000%
b. Collateral held under security lending agreements	—	—	—	—	—	—	—	—	—	0.000%	0.000%
c. Subject to repurchase agreements	—	—	—	—	—	—	—	—	—	0.000%	0.000%
d. Subject to reverse repurchase agreements	—	—	—	—	—	—	—	—	—	0.000%	0.000%
e. Subject to dollar repurchase agreements	—	—	—	—	—	—	—	—	—	0.000%	0.000%
f. Subject to dollar reverse repurchase agreements	—	—	—	—	—	—	—	—	—	0.000%	0.000%
g. Placed under option contracts	—	—	—	—	—	—	—	—	—	0.000%	0.000%
h. Letter stock or securities restricted as to sale-excluding FHLB capital stock	—	—	—	—	—	—	—	—	—	0.000%	0.000%
i. FHLB capital stock	—	—	—	—	—	—	—	—	—	0.000%	0.000%
j. On deposit with states	3,802,257	—	—	—	3,802,257	3,836,382	(34,125)	—	3,802,257	2.053%	2.079%
k. On deposit with other regulatory bodies	—	—	—	—	—	—	—	—	—	0.000%	0.000%
l. Pledged as collateral to FHLB (including assets backing funding agreements)	—	—	—	—	—	—	—	—	—	0.000%	0.000%
m. Pledged as collateral not captured in other categories	—	—	—	—	—	—	—	—	—	0.000%	0.000%
n. Other restricted assets	—	—	—	—	—	—	—	—	—	0.000%	0.000%
o. Total Restricted Assets	\$3,802,257	\$ —	\$ —	\$ —	\$3,802,257	\$3,836,382	\$ (34,125)	\$ —	\$3,802,257	2.053%	2.079%

- (a) Subset of column 1
(b) Subset of column 3
(c) Column 5 divided by Asset page, Column 1, Line 28
(d) Column 9 divided by Asset page, Column 3, Line 28

- (2) There were no assets pledged as collateral not captured in other categories at December 31, 2016 and 2015.
(3) There were no other restricted assets at December 31, 2016 and 2015.
(4) There were no other assets received as collateral and reflected as assets at December 31, 2016 and 2015.

I. Working Capital Finance Investments

Not applicable.

J. Offsetting and Netting of Assets and Liabilities

Not applicable.

K. Structured Notes

Not applicable.

L. 5* Securities

Not applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

- A. The Company had no investments in joint ventures, limited partnerships or limited liability companies that exceeded 10% of its admitted assets.
B. The Company did not recognize any impairment write down for its investments in partnerships during 2016.

7. Investment Income

- A. Due and accrued investment income is excluded from surplus when amounts are over 90 days past due or collection is uncertain.
B. There was no investment income due and accrued excluded from surplus at December 31, 2016.

8. Derivative Instruments

Not applicable.

NOTES TO FINANCIAL STATEMENTS

9. Income Taxes

A. The components of the net deferred tax assets ("DTAs") and net deferred tax liabilities ("DTLs") at December 31, 2016 and 2015 were as follows:

(1)		2016		
		Ordinary	Capital	Total
(a)	Gross DTAs	\$ 17,926,279	\$ 2,912	\$ 17,929,191
(b)	Statutory valuation allowance	—	—	—
(c)	Adjusted gross DTAs (1a - 1b)	17,926,279	2,912	17,929,191
(d)	Nonadmitted DTAs	1,572,208	220	1,572,428
(e)	Subtotal net admitted DTAs (1c - 1d)	16,354,071	2,692	16,356,763
(f)	Gross DTLs	10,880,290	2,692	10,882,982
(g)	Net admitted DTAs/(DTLs) (1e - 1f)	\$ 5,473,781	\$ —	\$ 5,473,781
		2015		
		Ordinary	Capital	Total
(a)	Gross DTAs	\$ 18,215,974	\$ 7,443	\$ 18,223,417
(b)	Statutory valuation allowance	—	—	\$ —
(c)	Adjusted gross DTAs (1a - 1b)	18,215,974	7,443	18,223,417
(d)	Nonadmitted DTAs	938,702	4,751	943,453
(e)	Subtotal net admitted DTAs (1c - 1d)	17,277,272	2,692	17,279,964
(f)	Gross DTLs	11,337,909	2,692	11,340,601
(g)	Net admitted DTAs/(DTLs) (1e - 1f)	\$ 5,939,363	\$ —	\$ 5,939,363
		Change During 2016		
		Ordinary	Capital	Total
(a)	Gross DTAs	\$ (289,695)	\$ (4,531)	\$ (294,226)
(b)	Statutory valuation allowance	—	—	—
(c)	Adjusted gross DTAs (1a - 1b)	(289,695)	(4,531)	(294,226)
(d)	Nonadmitted DTAs	633,506	(4,531)	628,975
(e)	Subtotal net admitted DTAs (1c - 1d)	(923,201)	—	(923,201)
(f)	Gross DTLs	(457,619)	—	(457,619)
(g)	Net admitted DTAs/(DTLs) (1e - 1f)	\$ (465,582)	\$ —	\$ (465,582)
(2) The admission calculation components were as follows:		2016		
		Ordinary	Capital	Total
(a)	Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 5,473,781	\$ —	\$ 5,473,781
(b)	Adjusted gross DTAs expected to be realized (excluding the amount of DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2 below):	—	—	—
	1. Adjusted gross DTAs expected to be realized following the balance sheet date	—	—	—
	2. Adjusted gross DTAs allowed per limitation threshold	XXX	XXX	14,768,871
(c)	Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	10,880,290	2,692	10,882,982
(d)	DTAs admitted as the result of application of SSAP No. 101. Total (2(a)+2(b)+2(c))	\$ 16,354,071	\$ 2,692	\$ 16,356,763
		2015		
		Ordinary	Capital	Total
(a)	Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 5,939,363	\$ —	\$ 5,939,363
(b)	Adjusted gross DTAs expected to be realized (excluding the amount of DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2 below):	—	—	—
	1. Adjusted gross DTAs expected to be realized following the balance sheet date	—	—	—
	2. Adjusted gross DTAs allowed per limitation threshold	XXX	XXX	12,785,554
(c)	Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	11,337,909	2,692	11,340,601
(d)	DTAs admitted as the result of application of SSAP No. 101. Total (2(a)+2(b)+2(c))	\$ 17,277,272	\$ 2,692	\$ 17,279,964
		Change During 2016		
		Ordinary	Capital	Total
(a)	Federal income taxes paid in prior years recoverable through loss carrybacks	\$ (465,582)	\$ —	\$ (465,582)
(b)	Adjusted gross DTAs expected to be realized (excluding the amount of DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2 below):	—	—	—
	1. Adjusted gross DTAs expected to be realized following the balance sheet date	—	—	—
	2. Adjusted gross DTAs allowed per limitation threshold	XXX	XXX	1,983,317
(c)	Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	(457,619)	—	(457,619)
(d)	DTAs admitted as the result of application of SSAP No. 101. Total (2(a)+2(b)+2(c))	\$ (923,201)	\$ —	\$ (923,201)

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NOTES TO FINANCIAL STATEMENTS

(3) The ratio used to determine the applicable period used in 2(b)1 above and the amount of adjusted capital and surplus used to determine the percentage threshold limitation in 2(b)2 above are as follows:

	December 31, 2016	December 31, 2015
(a) Ratio percentage used to determine recovery period and threshold limitation amount	2824%	2024%
(b) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)2 above.	\$ 98,459,143	\$ 85,237,024

(4) There was no impact on adjusted gross and net admitted DTAs due to tax planning strategies at December 31, 2016 and 2015. The Company did not use reinsurance in its tax planning strategies.

B. The Company has no unrecognized DTL at December 31, 2016 and 2015.

C. Significant components of income taxes incurred and the changes in DTAs and DTLs for the years ended December 31, 2016 and 2015 were as follows:

(1) Current Income Tax:	2016	2015	Change
(a) Federal	\$ 8,127,107	\$ 4,823,292	\$ 3,303,815
(b) Foreign	—	—	—
(c) Subtotal	8,127,107	4,823,292	3,303,815
(d) Federal income tax on net capital gains	(161,676)	53,860	(215,536)
(e) Utilization of capital loss carry-forward	—	—	—
(f) Other	245,000	228,053	16,947
(g) Federal and foreign income taxes incurred	\$ 8,210,431	\$ 5,105,205	\$ 3,105,226
(2) DTAs:	2016	2015	Change
(a) Ordinary			
(1) Discounting of unpaid losses	\$ —	\$ —	\$ —
(2) Unearned premium reserve	—	—	—
(3) Policyholder reserve	10,964,890	10,434,774	530,116
(4) Investments	—	—	—
(5) Deferred acquisition costs	6,801,145	7,450,508	(649,363)
(6) Policyholder dividends accrual	—	—	—
(7) Fixed Assets	78,944	77,843	1,101
(8) Compensation and benefits accrual	—	—	—
(9) Pension accrual	—	—	—
(10) Receivables - nonadmitted	—	—	—
(11) Net operating loss carry-forward	—	—	—
(12) Tax credit carry-forward	—	—	—
(13) Other	81,300	252,849	(171,549)
(99) Subtotal	17,926,279	18,215,974	(289,695)
(b) Statutory valuation allowance adjustment	—	—	—
(c) Nonadmitted	1,572,208	938,702	633,506
(d) Admitted ordinary DTA (2a99 - 2b - 2c)	16,354,071	17,277,272	(923,201)
(e) Capital			
(1) Investments	2,912	7,443	(4,531)
(2) Net capital loss carry-forward	—	—	—
(3) Real estate	—	—	—
(4) Other	—	—	—
(99) Subtotal	2,912	7,443	(4,531)
(f) Statutory valuation allowance adjustment	—	—	—
(g) Nonadmitted	220	4,751	(4,531)
(h) Admitted capital DTA (2e99 - 2f - 2g)	2,692	2,692	—
(i) Total admitted DTA (2d + 2h)	\$ 16,356,763	\$ 17,279,964	\$ (923,201)
(3) DTLs:	2016	2015	Change
(a) Ordinary			
(1) Investments	\$ 157,791	\$ 149,078	\$ 8,713
(2) Fixed assets	—	—	—
(3) Deferred & uncollected premium	9,240,740	9,281,227	(40,487)
(4) Policyholder reserves	1,481,759	1,907,604	(425,845)
(5) Other	—	—	—
(99) Subtotal	10,880,290	11,337,909	(457,619)
(b) Capital			
(1) Investments	2,692	2,692	—
(2) Real estate	—	—	—
(3) Other	—	—	—
(99) Subtotal	2,692	2,692	—
(c) Total DTL (3a99 + 3b99)	10,882,982	11,340,601	(457,619)
(4) Net admitted DTAs/(DTLs) (2i - 3c)	\$ 5,473,781	\$ 5,939,363	\$ (465,582)
Deferred income tax expense on change in net unrealized capital gains and losses			\$ —
Decrease in net deferred tax related to other items			163,393
Increase in DTAs nonadmitted			(628,975)
Total decrease in net admitted DTAs			\$ (465,582)

NOTES TO FINANCIAL STATEMENTS

- (5) The Company had no tax credits for years ended December 31, 2016 and 2015.
- (6) The Company does not have operating loss carry-forwards for December 31, 2016.
- (7) The Company had no adjustments of DTAs or DTLs for enacted changes in tax laws or rates, or a change in the tax status.
- (8) The Company had no adjustments to gross DTAs because of a change in circumstances that causes a change in judgment about the realizability of the related DTAs.

D. The Company's income tax expense for the years ended December 31, 2016 and 2015 and change in DTAs/DTLs at December 31, 2016 and 2015 differed from the amount obtained by applying the statutory rate of 35% to net gain from operations after dividends to policyholders and before federal income taxes for the following reasons:

	2016	2015	Change
Net gain from operations after dividends to policyholders and before federal income taxes @35%	\$ 7,173,292	\$ 5,896,233	\$ 1,277,059
Net realized capital (losses)/gains at 35%	(161,676)	53,825	\$ (215,501)
Amortization of IMR	8,459	(2,115)	\$ 10,574
Accruals in surplus	426,572	—	\$ 426,572
Non-admitted assets	144,007	(238,046)	\$ 382,053
Audit liability provision	211,043	613,758	\$ (402,715)
Prior period correction	245,000	228,053	\$ 16,947
Other	341	(2,231)	\$ 2,572
Income tax incurred and change in net DTAs during period	<u>\$ 8,047,038</u>	<u>\$ 6,549,477</u>	<u>\$ 1,497,561</u>
Federal income tax reported in the Summary of Operations	8,127,107	4,823,292	3,303,815
Capital gains tax expense incurred	(161,676)	53,860	(215,536)
Decrease in net DTAs	(163,393)	1,672,325	(1,835,718)
Decrease in current and deferred taxes reported in prior period correction	245,000	—	245,000
Total statutory income taxes	<u>\$ 8,047,038</u>	<u>\$ 6,549,477</u>	<u>\$ 1,497,561</u>

- E. (1) The Company did not have any operating loss and tax credit carry forwards available for tax purposes.
- (2) The following income taxes incurred in current and prior years will be available for recoupment in the event of future net losses:

Year 2016	\$ 7,975,349
Year 2015	\$ 4,584,809
Year 2014	\$ 3,112,240

- (3) At December 31, 2016, the Company had no protective tax deposits on deposit with the Internal Revenue Service under Section 6603 of the Internal Revenue Service Code.

F. The Company's federal income tax return is consolidated with the following entities:

- i. New York Life Insurance Company ("New York Life")
- ii. New York Life Insurance and Annuity Corporation ("NYLIAC")
- iii. NYLIFE LLC and its domestic affiliates ("NYLIFE LLC")
- iv. New York Life Investment Management Holdings LLC ("NYL Investments") and its domestic subsidiaries
- v. New York Life Enterprises ("NYLE") and its domestic subsidiaries
- vi. NYL Investors LLC ("NYL Investors")

The Company files a consolidated federal income tax return with New York Life and certain of New York Life's domestic insurance and non-insurance subsidiaries. The consolidated income tax liability is allocated among the members of the group in accordance with a tax allocation agreement. The tax allocation agreement provides that each member of the group is allocated its share of the consolidated tax provision or benefit, determined generally on a separate company basis, but may, where applicable, recognize the tax benefits of net operating losses or capital losses utilizable in the consolidated group. Intercompany tax balances are settled quarterly on an estimated basis with a final settlement within 30 days of the filing of the consolidated return.

G. The Company does not anticipate any significant changes to its total unrecognized tax benefits within the next 12 months.

NOTES TO FINANCIAL STATEMENTS

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

The following note contains related party transactions in excess of a \$175,000 materiality level.

A-C. In 2016, the Company did not receive any capital contribution from, nor pay any dividend to, its parent company, New York Life.

The Company compensates New York Life and NYLIAC for policy credits associated with converting the Company’s term policies to permanent cash value life insurance policies that are issued by New York Life and NYLIAC without any additional underwriting. For the year ended December 31, 2016, the Company incurred charges of \$807,306 and \$3,612,919 paid or payable to New York Life and NYLIAC, respectively for these services. For the year ended December 31, 2015, the Company incurred charges of \$832,713 and \$3,760,350 paid or payable to New York Life and NYLIAC, respectively for these services.

D. At December 31, 2016 and 2015, the Company reported \$2,635,474 and \$3,655,942 respectively, as amounts payable to parent and affiliates. The terms of the underlying agreements generally require that these amounts be settled in cash within 90 days.

E. The Company has a credit agreement with New York Life dated August 11, 2004 under which the Company may borrow from New York Life in an amount of up to \$10,000,000. During 2016 and 2015, the credit facility was not used, no interest was paid, and there was no outstanding balance due.

F. New York Life provides the Company with certain services and facilities including, but not limited to accounting, tax and auditing services, legal services, actuarial services, electronic data processing operations and communications operations. New York Life charges the Company for the identified costs associated with these services and facilities under the terms of a service agreement between New York Life and the Company. For the years ended December 31, 2016 and 2015, the fees incurred associated with these services and facilities, amounted to \$3,171,626 and \$3,308,264 respectively.

The Company has entered into an investment advisory agreement with New York Life Investment Management LLC (“NYLIM”), an indirect wholly owned subsidiary of New York Life, whereby NYLIM provides investment advisory and administrative services to the Company. On May 1, 2014 NYLIM assigned its investment advisory rights and obligations under this agreement to NYL Investors, a wholly owned subsidiary of New York Life. For the years ended December 31, 2016 and 2015, the total cost for these services amounted to \$152,264 and \$150,157 respectively, which is included in the costs of services charged by New York Life to the Company.

G. All outstanding shares of the Company are owned by the parent company, New York Life, a mutual insurance company domiciled in the State of New York.

H. The Company does not own any shares of an upstream affiliate either directly or through its subsidiaries.

I-K. The Company does not have an investment in a SCA entity.

L. The Company does not hold investments in downstream non-insurance holding companies.

M. The Company does not have any affiliated common stock investments.

N. The Company does not hold investments in insurance SCA.

11. Debt

A. The Company has not issued any debt.

B. The Company has not issued any debt to the Federal Home Loan Bank.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

See section G.

B. Plan Asset Investment Policies and Strategies

See section G.

C. Determination of Fair Values

See section G.

D. Long-term Rate of Return on Plan Assets

See section G.

E. Defined Contribution Plans

See section G.

F. Multiemployer Plans

Not applicable.

NOTES TO FINANCIAL STATEMENTS

G. Consolidated/Holding Company Plans

The Company participates in the cost of the following plans sponsored by New York Life: (1) certain postretirement life and health benefits for retired employees and agents including their eligible dependents, (2) certain defined benefit pension plans for eligible employees and agents, (3) certain defined contribution plans for substantially all employees and agents and (4) postemployment benefits. The expense for these plans is allocated to the Company in accordance with an intercompany cost sharing agreement. The liabilities for these plans are included with the liabilities for the corresponding plan of New York Life. The Company's share of the cost of these plans was as follows for the year ended December 31, 2016 and 2015:

	2016	2015
Postretirement life and health	\$ 27,448	\$ 177,783
Defined benefit pension	115,254	185,219
Defined contribution	41,257	39,567
Postemployment benefits	679	9,791
Total	\$ 184,638	\$ 412,360

H. Postemployment Benefits and Compensated Absences

See section G.

I. Impact of Medicare Modernization Act on Postretirement Benefit (INT 04-17)

See section G.

13. Capital and Surplus, Shareholders' Dividend Restrictions, and Quasi-Reorganizations

- (1) The Company has 30,000 shares authorized, with a par value of \$100 per share with 25,000 shares issued and outstanding. All shares are common stock and all are owned by New York Life.
- (2) Not applicable.
- (3) The Company is subject to restrictions on the payment of dividends to New York Life. Under the Arizona Insurance Law, cash dividends to stockholders may only be paid out of an insurer's available surplus funds which are derived from realized net profits on its business. Stock dividends may be paid out of any available surplus funds that exceed the aggregate amount of surplus loaned to the insurer. No surplus funds have been loaned to the Company. In addition, generally, no extraordinary dividend (as described under Arizona Revised Statute ("A.R.S.") Section 20-481.19) may be paid or distributed to stockholders without the prior approval of the Director of Insurance of Arizona. At December 31, 2016, the Company had surplus of \$2,932,923.
- (4) During the year ended December 31, 2016, the Company did not declare a dividend to its sole shareholder New York Life.
- (5) Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.
- (6) No restrictions have been placed on the unassigned surplus funds of the Company.
- (7) The Company did not have any advances to surplus.
- (8) The Company did not hold stock, including stock of affiliated companies, for any special purpose.
- (9) The Company did not hold any special surplus funds.
- (10) The Company did not have any unassigned funds (surplus) represented or reduced by cumulative net unrealized losses, gross of deferred taxes as at December 31, 2016.
- (11) The Company has not issued any surplus notes.
- (12)-(13) The Company has never had a quasi-reorganization.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

(1) Commitments or contingent commitments

The Company does not have any commitments or contingent commitments.

(2) Guarantees

The Company does not have any guarantees.

(3) Guarantee obligations

The Company does not have any guarantee obligations.

B. Assessments

(1)–(2) The Company is not aware of any significant insolvencies of insurance companies, which would result in material assessments on the Company and as a result, no provision has been made, either as an asset or liability for amounts to be paid on insurer insolvencies.

C. Gain Contingencies

Not applicable.

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

The Company's exposure to extra contractual obligations and bad faith losses is immaterial.

NOTES TO FINANCIAL STATEMENTS

E. Joint and Several Liabilities

Not applicable.

F. All Other Contingencies

The Company, along with New York Life and NYLIAC, is a defendant in one consolidated purported class action suit arising from its agency sales force. The lawsuit seeks, among other things, extra contractual damages. Notwithstanding the uncertain nature of litigation, the outcome of which cannot be predicted, the Company believes that, after provisions made in the financial statements, the ultimate liability that could result from this litigation would not have a material adverse effect on the Company's financial position; however, it is possible that a settlement or adverse determination in this action or other proceedings in the future could have a material adverse effect on the Company's operating results for a given year.

Subject to the above, the Company has no pending legal proceedings that would have a material adverse effect on the financial position of the Company.

15. Leases

A. Lessee Leasing Arrangements

(1)a The Company is a party to an affiliated group air transportation services agreement entered into with NYLIFE LLC, a direct wholly owned subsidiary of New York Life, in November 2004. Under the terms of the agreement the Company, in conjunction with certain specified affiliates, leases an aircraft from NYLIFE LLC. Costs associated with the lease are determined on a fully allocated basis and allotted to the parties based on usage. The Company's share of expenses associated with the lease of the aircraft was \$2,412 in 2016. The agreement expires in 2019. The aircraft is to be used by members of senior management and directors for business travel under certain circumstances. Personal use of the aircraft by employees and directors is not permitted.

(1)b-(3)b Not applicable.

B. Significant Leasing Arrangements

Not applicable.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables reported as Sales

Not applicable.

B. Transfer and Servicing of Financial Assets

(1) - (7) Not applicable.

C. Wash Sales

(1) The Company did not have any wash sale transactions during 2016.

(2) The company had no wash sale transactions with an NAIC rating designation of 3 through 6 for the year ended December 31, 2016.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not applicable.

19. Direct Premium Written/Produced by Managing General Agents/Third-Party Administrators

The Company did not have any direct premium written/produced by managing general agents/third-party administrators during 2016.

NOTES TO FINANCIAL STATEMENTS

20. Fair Value Measurements

A. The Company's financial assets and liabilities carried at fair value have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100, *Fair Value Measurements*. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This guidance establishes a framework for measuring fair value that includes a hierarchy used to classify the inputs used in measuring fair value. The hierarchy prioritizes the inputs to valuation techniques used to measure fair value into three levels. The level in the fair value hierarchy within which the fair value measurement falls is determined based on the lowest level input that is significant to the fair value measurement.

(1) The levels of the fair value hierarchy are based on the inputs to the valuation as follows:

- Level 1**

Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market. Active markets are defined as a market in which many transactions occur with sufficient frequency and volume to provide pricing information on an ongoing basis.
- Level 2**

Observable inputs other than level 1 prices, such as quoted prices in active markets for similar assets or liabilities; quoted prices in markets that are not active for identical or similar assets or liabilities, or other model driven inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities. Valuations are generally obtained from third-party pricing services for identical or comparable assets or liabilities or through the use of valuation methodologies using observable market inputs.
- Level 3**

Instruments whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs reflect management's own assumptions in pricing the asset or liability. Pricing may also be based upon broker quotes that do not represent an offer to transact. Prices are determined using valuation methodologies such as option pricing models, discounted cash flow models and other similar techniques. Non-binding broker quotes, which are utilized when pricing service information is not available, are reviewed for reasonableness based on the Company's understanding of the market, and are generally considered Level 3. To the extent the internally developed valuations use significant unobservable inputs, they are classified as Level 3.

The Company had no investments held at fair value as of December 31, 2016.

- B. Not Applicable.
- C. The following table presents the carrying amounts and estimated fair value of the Company's financial instruments at December 31, 2016:

	Fair Value	Carrying Value	Level 1	Level 2	Level 3	Not Practicable
Assets:						
Bonds	\$149,779,073	\$148,582,826		\$149,779,073		
Cash, cash equivalents and short-term investments	3,818,034	3,818,034		3,818,034		
Investment income due and accrued	1,019,949	1,019,949		1,019,949		
Total assets	<u>\$154,617,056</u>	<u>\$153,420,809</u>	<u>\$ —</u>	<u>\$154,617,056</u>	<u>\$ —</u>	<u>\$ —</u>

Bonds

The fair value of bonds is determined by considering one of four primary sources: (1) security pricing is applied using a hierarchy approach whereby publicly available prices are first sought from nationally recognized third-party pricing services, (2) securities are priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmarks bond indices, (3) securities are priced using an internal pricing model or methodology, and (4) securities are submitted to independent brokers for prices.

The pricing service generally uses a discounted cash-flow model or market approach to determine fair value. Typical inputs used by these pricing services include, but are not limited to; benchmark yields, reported trades, issuer spreads, bids, offers, benchmark securities, estimated cash flows and prepayment speeds.

Independent pricing vendors do not supply prices for private placement bonds. These securities are primarily priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices. Any private securities that cannot be priced using this methodology, are priced using an internally developed model based upon assigned comparable public issues adjusted for liquidity, maturity and rating or are priced based on internal calculations. The Company assigns a credit rating based upon internal analysis.

Prices from pricing services and broker quotes are validated on an ongoing basis to ensure the adequacy and reliability of the fair value measurement. The Company performs both quantitative and qualitative analysis of the prices including initial and ongoing review of third-party pricing methodologies, back testing of recent trades, and a thorough review of pricing trends and statistics.

Cash, cash equivalents, short-term investments and investment income due and accrued

Cash on hand is classified as Level 1. Cash overdrafts (i.e. outstanding checks) are classified as Level 2. Due to the short-term maturities, the carrying value of short-term investments, cash equivalents and investment income due and accrued is presumed to approximate fair value.

NOTES TO FINANCIAL STATEMENTS

21. Other Items

A. Unusual or Infrequent Items

Not applicable.

B. Troubled Debt Restructuring: Debtors

Not applicable.

C. Other Disclosures

Assets with a carrying value of \$3,802,257 at December 31, 2016 were on deposit with government authorities or trustees as required by certain state insurance laws.

D. Business Interruption Insurance Recoveries

Not applicable.

E. State Transferable and Non-transferable Tax Credits

Not applicable.

F. Subprime and Midprime Mortgage Related Risk Exposure

(1) The Company categorizes mortgage securities with an average FICO score (credit score) of 625 or less as “subprime” mortgage securities and mortgage securities with an average FICO score of greater than 625 and less than 700 as “midprime” mortgage securities. Securities with an average FICO score of 700 or greater are characterized as “prime”. The delinquency, credit loss, prepayment rate of the pool of mortgages collateralizing the investment and credit enhancement available for the investment are reviewed. Cash flow forecasts for each subprime and midprime mortgage security using estimates of future prepayment, delinquency, default and loss severity rates are prepared and are stress tested. This analysis shows that the majority of the unrealized losses associated with the Company’s subprime and midprime mortgage holdings is due to market dislocation and is not reflective of the projected cash flows for the portfolio of securities or how these securities have performed to date.

(2) The Company does not engage in subprime residential or commercial mortgage lending and therefore has no direct exposure through investments in subprime mortgage loans.

(3) The Company has exposure to subprime and midprime residential mortgage lending through its fixed maturity investments that are collateralized by mortgages that have characteristics of subprime or midprime lending. Subprime residential mortgage lending is the origination of residential mortgage loans to customers with weak credit profiles, including using relaxed mortgage-underwriting standards that provide for affordable mortgage products. These investments are primarily in the form of asset-backed securities (“ABS”) supported by subprime or midprime residential mortgage loans or collateralized debt securities (“CDOs”) that contain a subprime or midprime loan component. The collective carrying value of these investments is \$191,238 representing 0.13% of total fixed maturity investments. Of this amount, 100% had credit quality ratings below “AA”. There was no common stock subprime or midprime exposure. The Company manages its subprime and midprime risk exposure by limiting the Company’s holdings in these types of instruments, and performing ongoing analysis of cash flows, prepayment speeds, default rates and other stress variables.

The Company’s general account fixed maturity investments that are collateralized by residential mortgages that have characteristics of subprime or midprime lending are:

Midprime-Type	Actual Cost	Book Adjusted Carrying Value	Fair Value	OTTI Losses
Residential mortgage-backed securities	\$ 191,238	\$ 191,238	\$ 201,031	\$ 116,110

(4) The Company does not have underwriting exposure to subprime mortgage risk through mortgage guaranty or financial guaranty insurance coverage.

G. Retained Assets

(1) Effective June 1, 2012, the Company ceased offering retained asset accounts as a settlement option to life insurance beneficiaries. Prior to that date, beneficiaries could select the retained asset account as a settlement option for satisfying individual life insurance claims of \$10,000 or more. Retained asset accounts are interest-bearing draft accounts administered by an unaffiliated bank and beneficiaries may access available funds by writing a check for any amount up to the full remaining balance of the net claim settlement. The Company’s aggregate liability for retained asset accounts is reported as a component of liability for deposit-type contracts on Page 3 – Liabilities, Surplus and other Funds.

Interest rates for retained asset accounts are not guaranteed and are declared periodically at the discretion of the Company. The following interest rates were paid to retained asset account holders in 2016:

Effective Date		Interest Rate
From	Through	
01/01/2016	01/10/2016	0.10%
1/11/2016	5/8/2016	0.11%
5/9/2016	5/15/2016	0.10%
5/16/2016	12/31/2016	0.11%

The applicable fees charged for retained asset accounts in 2016 were as follows:

Description	Amount Charged
Overnight delivery of additional checkbooks	\$15 weekday, \$22 weekend
Checks returned for insufficient funds	\$10 per occurrence
Stop payment requests	\$12 per request

NOTES TO FINANCIAL STATEMENTS

(2) The following table presents the number and balance of retained asset accounts in-force at December 31, 2016 and 2015, respectively:

	In-Force			
	2016		2015	
	Number	Amount	Number	Amount
Up to and including 12 months	—	\$ —	—	\$ —
13 to 24 months	—	—	—	—
25 to 36 months	—	—	—	—
37 to 48 months	—	—	5	170,578
49 to 60 months	3	62,849	9	1,695,422
Over 60 months	22	2,230,653	20	1,078,043
Total	25	\$ 2,293,502	34	\$ 2,944,043

(3) The following table presents the Company's retained asset accounts at December 31, 2016:

	Individual	
	Number	Amount
Retained asset accounts at the beginning of the year	34	\$ 2,944,043
Retained asset accounts issued/added during the year	—	—
Investment earnings credited to retained asset accounts during the year	N/A	2,735
Fees and other charges assessed to retained asset accounts during the year		
Retained asset accounts transferred to state unclaimed property funds during the year		
Retained asset accounts closed/withdrawn during at the year	(9)	(653,276)
Retained asset accounts at the end of the year	25	\$ 2,293,502

H. Insurance-Linked Securities ("ILS") Contracts

Not applicable

22. Events Subsequent

At February 24, 2017, the date the financial statements were available to be issued, there have been no events occurring subsequent to the close of the Company's books or accounts for the accompanying annual statement that would have a material effect on the financial condition of the Company.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company? Yes () No (X). If yes, give full details.
- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes () No (X). If yes, give full details.

Section 2 – Ceded Reinsurance Report – Part A

- (1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits? Yes () No (X).
- a. If yes, what is the estimated amount of the aggregate reduction in surplus of a unilateral cancellation by the reinsurer as of the date of this statement, for those agreements in which cancellation results in a net obligation of the company to the reinsurer, and for which such obligation is not presently accrued? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate.
- b. What is the total amount of reinsurance credits taken, whether as an asset or as a reduction of a liability, for these agreements in this statement?
- (2) Does the company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts which, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes () No (X). If yes, give full details.

Section 3 – Ceded Reinsurance Report – Part B

- (1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. If all reinsurance agreements were terminated by either party as of the date of the statement, the resulting reduction in surplus due to loss of reserve credits net of unearned premium would be approximately \$7,000,000.
- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts which were in-force or which had existing reserves established by the Company as of the effective date of the agreement? Yes () No (X). If yes, what is the amount of reinsurance credits, whether an asset or a reduction of liability, taken for such new agreements or amendments?

NOTES TO FINANCIAL STATEMENTS

B. Uncollectible Reinsurance

Not applicable.

C. Commutation of Ceded Reinsurance

Not applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not applicable.

E-G. Not applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

Not applicable.

25. Change in Incurred Losses and Loss Adjustment Expenses

Not applicable.

26. Intercompany Pooling Arrangements

Not applicable.

27. Structured Settlements

Not applicable.

28. Health Care Receivables

Not applicable.

29. Participating Policies

Not applicable.

30. Premium Deficiency Reserves

Not applicable.

31. Reserves for Life Contracts and Annuity Contracts

- (1) The Company waives deductions of deferred fractional premiums upon death of the insured and returns a portion of the final premium beyond the date of death. No surrender values are promised in excess of the total reserves included in other sections of Exhibit 5.
- (2) Certain substandard policies were valued on tables that are multiples of the standard table.
- (3) At December 31, 2016, the Company had \$4,030,000 of insurance in force for which the gross premiums are less than the net premiums according to the standard of valuation set by the State of Arizona. Reserves to cover the above insurance totaled the net amount of \$5,250 at year-end and are reported in Exhibit 5 - Miscellaneous Reserves.
- (4) The tabular interest (Page 7, Line 4) and tabular less actual reserves released (Page 7, Line 5) have been determined by formula as described in the instructions for Page 7. The tabular cost (Page 7, Line 9) has been determined by formula as described in the instructions for Page 7 adjusted for the difference in valuation mortality in different years between the tabular cost of mortality floor and the rest of the Regulation XXX calculation. (See item 6 of this footnote).
- (5) The tabular interest on funds not involving life contingencies on Exhibit 7, Line 3 is generally the interest actually credited to or accrued on such funds.
- (6) The details for "other increases (net)" on Page 7, Line 7 are:

	<u>Ordinary Life</u>
Effects attributable to differences in valuation mortality between the tabular cost floor and the rest of the reserve calculation, and between the direct and ceded reserves for policies reinsured under other than coinsurance	\$ 6,328,166
Impact of change in X factors	32,551
Impact of updated disability IBNR/ICOS factors	(3,400,000)
Total	<u>\$ 2,960,717</u>

NOTES TO FINANCIAL STATEMENTS

32. Analysis of Annuity Actuarial Reserves and Deposit-Type Liabilities by Withdrawal Characteristics

	Amount	% of Total
A. Subject to discretionary withdrawal:		
(1) With market value adjustment	\$ —	0%
(2) At book value less current surrender charge of 5% of more	—	0%
(3) Market value	—	0%
(4) Total with market value adjustment or at fair value (Total of 1 through 3)	—	0%
(5) At book value without adjustment (minimal or no charge or adjustment)	2,293,502	100%
B. Not subject to discretionary withdrawal	—	0%
C. Total (gross)	2,293,502	100%
D. Reinsurance ceded	—	—
E. Total (net) * (C) – (D)	\$ 2,293,502	100%

* Reconciliation of total annuity actuarial reserves and deposit fund liabilities

F. Life & Accident & Health Annual Statement:	Amount
Exhibit 7, Line 14, Total (net)	\$ 2,293,502

33. Premium and Annuity Considerations Deferred and Uncollected

A. Deferred and uncollected life insurance premiums at December 31, 2016, were as follows:

Type	Gross	Net of Loading
Ordinary new business	\$ —	\$ —
Ordinary renewal	\$ 16,445,056	\$ 23,244,691
Total	\$ 16,445,056	\$ 23,244,691

34. Separate Accounts

Not applicable.

35. Loss/Claim Adjustment Expenses

Not applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

1.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1, 1A and 2

Yes ☒ No ☐

1.2

If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations?

Yes ☒ No ☐ N/A ☐

1.3

State Regulating?

Arizona

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒

2.2

If yes, date of change:

3.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2014

3.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2014

3.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/21/2016

3.4

By what department or departments?
Arizona Department of Insurance

3.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☒ No ☐ N/A ☐

3.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ N/A ☐

4.1

During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.11 sales of new business?
4.12 renewals?

Yes ☐ No ☒
Yes ☐ No ☒

4.2

During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.21 sales of new business?
4.22 renewals?

Yes ☐ No ☒
Yes ☐ No ☒

5.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes ☐ No ☒

5.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

6.1

Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒

6.2

If yes, give full information:

7.1

Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?

Yes ☐ No ☒

7.2

If yes,
7.21 State the percentage of foreign control;
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

1 Nationality	2 Type of Entity

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [X] No []
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
NYLIFE Securities LLC	New York, NY				YES
NYLIFE Distributors LLC	Jersey City, NJ				YES
Eagle Strategies LLC	New York, NY				YES
New York Life Investment Management LLC	New York, NY				YES
Mackay Shields LLC	New York, NY				YES
Institutional Capital LLC	Chicago, IL				YES
GoldPoint Partners LLC	New York, NY				YES
Cornerstone Capital Management Holdings LLC	New York, NY				YES
NYLIM Service Company LLC	Jersey City, NJ				YES
Private Advisors, L.L.C.	Richmond, VA				YES
Cornerstone Capital Management LLC	Minneapolis, MN				YES
NYL Investors LLC	New York, NY				YES
IndexIQ Advisors LLC	Rye Brook, NY				YES
Madison Capital Funding LLC	Chicago, IL				YES

9.

What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?

PricewaterhouseCoopers LLP, 300 Madison Avenue, New York, NY 10017
- 10.1

Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation?

Yes [] No [X]
- 10.2

If the response to 10.1 is yes, provide information related to this exemption:
- 10.3

Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation?

Yes [] No [X]
- 10.4

If the response to 10.3 is yes, provide information related to this exemption:
- 10.5

Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws?

Yes [] No [X] N/A []
- 10.6

If the response to 10.5 is no or n/a, please explain
Pursuant to Arizona Revised Statutes Section 20-698 and the NAIC Model Audit Rule, NYLIFE Insurance Company of Arizona is not required to comply with the independence requirements of the Model Audit Rule as its aggregate annual premiums are less \$300 million.
11.

What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?

Stephen McNamara, FSA, MAAA, Vice President & Actuary, NYLIFE Insurance Company of Arizona, 51 Madison Ave, Room 711, NY, NY 10010
- 12.1

Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly?

Yes [] No [X]
- 12.11

Name of real estate holding company
- 12.12

Number of parcels involved
- 12.13

Total book/adjusted carrying value

\$
- 12.2

If, yes provide explanation:
13.

FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:
- 13.1

What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?
- 13.2

Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located?

Yes [] No []
- 13.3

Have there been any changes made to any of the trust indentures during the year?

Yes [] No []
- 13.4

If answer to (13.3) is yes, has the domiciliary or entry state approved the changes?

Yes [] No [] N/A []
- 14.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes [X] No []
- (a)

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b)

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c)

Compliance with applicable governmental laws, rules and regulations;
- (d)

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e)

Accountability for adherence to the code.
- 14.11

If the response to 14.1 is No, please explain:
- 14.2

Has the code of ethics for senior managers been amended?

Yes [X] No []
- 14.21

If the response to 14.2 is yes, provide information related to amendment(s).

In 2016 the Code of Conduct was revised to reflect updates to the contact persons for various policies.
- 14.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 14.31

If the response to 14.3 is yes, provide the nature of any waiver(s).

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? Yes [] No [X]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? Yes [X] No []
17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? Yes [X] No []
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? Yes [X] No []

FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? Yes [] No [X]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):

20.11 To directors or other officers\$

20.12 To stockholders not officers\$

20.13 Trustees, supreme or grand (Fraternal Only)\$
- 20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):

20.21 To directors or other officers\$

20.22 To stockholders not officers\$

20.23 Trustees, supreme or grand (Fraternal Only)\$
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? Yes [] No [X]
- 21.2 If yes, state the amount thereof at December 31 of the current year:

21.21 Rented from others\$

21.22 Borrowed from others\$

21.23 Leased from others\$

21.24 Other\$
- 22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? Yes [] No [X]
- 22.2 If answer is yes:

22.21 Amount paid as losses or risk adjustment\$

22.22 Amount paid as expenses\$

22.23 Other amounts paid\$
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:\$

INVESTMENT

- 24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03) Yes [X] No []
- 24.02 If no, give full and complete information relating thereto
- 24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)
- 24.04 Does the Company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? Yes [] No [] N/A [X]
- 24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs.\$
- 24.06 If answer to 24.04 is no, report amount of collateral for other programs.\$
- 24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? Yes [] No [] N/A [X]
- 24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? Yes [] No [] N/A [X]
- 24.09 Does the reporting entity or the reporting entity 's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? Yes [] No [] N/A [X]

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

24.10 For the reporting entity’s security lending program state the amount of the following as December 31 of the current year:

24.101	Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$	
24.102	Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$	
24.103	Total payable for securities lending reported on the liability page.	\$	

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03). Yes ☒ No ☐

25.2	If yes, state the amount thereof at December 31 of the current year:	25.21 Subject to repurchase agreements	\$	
		25.22 Subject to reverse repurchase agreements	\$	
		25.23 Subject to dollar repurchase agreements	\$	
		25.24 Subject to reverse dollar repurchase agreements	\$	
		25.25 Placed under option agreements	\$	
		25.26 Letter stock or securities restricted as to sale - excluding FHLB Capital Stock	\$	
		25.27 FHLB Capital Stock	\$	
		25.28 On deposit with states	\$	3,802,257
		25.29 On deposit with other regulatory bodies	\$	
		25.30 Pledged as collateral - excluding collateral pledged to an FHLB	\$	
		25.31 Pledged as collateral to FHLB - including assets backing funding agreements	\$	
		25.32 Other	\$	

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes ☐ No ☒

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes ☐ No ☐ N/A ☒
If no, attach a description with this statement.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes ☐ No ☒

27.2 If yes, state the amount thereof at December 31 of the current year. \$

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes ☒ No ☐

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
JP Morgan Chase	4 Metro Tech Center, 16th Floor, Brooklyn, NY 11201
The Northern Trust Company	50 S. LaSalle Street, 2nd Floor, Chicago, IL 60603

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? Yes ☐ No ☒

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1	2
Name of Firm or Individual	Affiliation
NYL Investors LLC	A.....
.....

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?..... Yes [] No [X]

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's assets?..... Yes [] No [X]

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
169553	NYL Investors LLC	SEC	DS.....
.....

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? Yes [] No [X]

29.2 If yes, complete the following schedule:

1	2	3
CUSIP #	Name of Mutual Fund	Book/Adjusted Carrying Value
29.2999 - Total		

29.3 For each mutual fund listed in the table above, complete the following schedule:

1	2	3	4
Name of Mutual Fund (from above table)	Name of Significant Holding of the Mutual Fund	Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	Date of Valuation
.....

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1	2	3
	Statement (Admitted) Value	Fair Value	Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds	152,986,199	154,182,446	1,196,247
30.2 Preferred stocks			
30.3 Totals	152,986,199	154,182,446	1,196,247

30.4 Describe the sources or methods utilized in determining the fair values:
See Note 20

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes [X] No []

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes [] No [X]

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:
Independent pricing vendors are used to value Schedule D assets. Broker quotes are used on a limited basis from approved brokers when independent pricing vendors do not provide quotes.

32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

32.2 If no, list exceptions:
.....

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

OTHER

33.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any?\$10,353

33.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1	2
Name	Amount Paid

34.1 Amount of payments for legal expenses, if any?\$

34.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1	2
Name	Amount Paid

35.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any?\$

35.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1	2
Name	Amount Paid

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

PART 2 - LIFE INTERROGATORIES

1.1

Does the reporting entity have any direct Medicare Supplement Insurance in force?

Yes [] No [X]

1.2

If yes, indicate premium earned on U.S. business only

\$

1.3

What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit?

\$

1.31

Reason for excluding:

1.4

Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above.

\$

1.5

Indicate total incurred claims on all Medicare Supplement insurance.

\$

1.6

Individual policies:

Most current three years:

1.61

Total premium earned

\$

1.62

Total incurred claims

\$

1.63

Number of covered lives

All years prior to most current three years

1.64

Total premium earned

\$

1.65

Total incurred claims

\$

1.66

Number of covered lives

1.7

Group policies:

Most current three years:

1.71

Total premium earned

\$

1.72

Total incurred claims

\$

1.73

Number of covered lives

All years prior to most current three years

1.74

Total premium earned

\$

1.75

Total incurred claims

\$

1.76

Number of covered lives

2.

Health Test:

1

Current Year

2

Prior Year

2.1

Premium Numerator

2.2

Premium Denominator

18,496,973

23,306,067

2.3

Premium Ratio (2.1/2.2)

0.000

0.000

2.4

Reserve Numerator

2.5

Reserve Denominator

62,582,355

78,655,967

2.6

Reserve Ratio (2.4/2.5)

0.000

0.000

3.1

Does this reporting entity have Separate Accounts?

Yes [] No [X]

3.2

If yes, has a Separate Accounts Statement been filed with this Department?

Yes [] No [] N/A []

3.3

What portion of capital and surplus funds of the reporting entity covered by assets in the Separate Accounts statement, is not currently distributable from the Separate Accounts to the general account for use by the general account?

\$

3.4

State the authority under which Separate Accounts are maintained:

3.5

Was any of the reporting entity's Separate Accounts business reinsured as of December 31?

Yes [] No []

3.6

Has the reporting entity assumed by reinsurance any Separate Accounts business as of December 31?

Yes [] No []

3.7

If the reporting entity has assumed Separate Accounts business, how much, if any, reinsurance assumed receivable for reinsurance of Separate Accounts reserve expense allowances is included as a negative amount in the liability for "Transfers to Separate Accounts due or accrued (net)"?

4.1

Are personnel or facilities of this reporting entity used by another entity or entities or are personnel or facilities of another entity or entities used by this reporting entity (except for activities such as administration of jointly underwritten group contracts and joint mortality or morbidity studies)?

Yes [X] No []

4.2

Net reimbursement of such expenses between reporting entities:

4.21

Paid

\$

3,235,548

4.22

Received

\$

5.1

Does the reporting entity write any guaranteed interest contracts?

Yes [] No [X]

5.2

If yes, what amount pertaining to these lines is included in:

5.21

Page 3, Line 1

\$

5.22

Page 4, Line 1

\$

6.

FOR STOCK REPORTING ENTITIES ONLY:

6.1

Total amount paid in by stockholders as surplus funds since organization of the reporting entity:

\$

98,500,000

7.

Total dividends paid stockholders since organization of the reporting entity:

7.11

Cash

\$

7.12

Stock

\$

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

8.1 Does the company reinsure any Workers' Compensation Carve-Out business defined as: Yes [] No [X]

Reinsurance (including retrocessional reinsurance) assumed by life and health insurers of medical, wage loss and death benefits of the occupational illness and accident exposures, but not the employers liability exposures, of business originally written as workers' compensation insurance.

8.2 If yes, has the reporting entity completed the Workers' Compensation Carve-Out Supplement to the Annual Statement? Yes [] No []

8.3 If 8.1 is yes, the amounts of earned premiums and claims incurred in this statement are:

1

Reinsurance Assumed

2

Reinsurance Ceded

3

Net Retained

8.31 Earned premium
8.32 Paid claims
8.33 Claim liability and reserve (beginning of year)
8.34 Claim liability and reserve (end of year)
8.35 Incurred claims

8.4 If reinsurance assumed included amounts with attachment points below \$1,000,000, the distribution of the amounts reported in Lines 8.31 and 8.34 for Column (1) are:

Attachment Point

1
Earned Premium

2
Claim Liability and Reserve

8.41 <\$25,000
8.42 \$25,000 - 99,999
8.43 \$100,000 - 249,999
8.44 \$250,000 - 999,999
8.45 \$1,000,000 or more

8.5 What portion of earned premium reported in 8.31, Column 1 was assumed from pools?\$

9.1 Does the company have variable annuities with guaranteed benefits? Yes [] No [X]

9.2 If 9.1 is yes, complete the following table for each type of guaranteed benefit.

Type		3	4	5	6	7	8	9
1	2	Waiting Period Remaining	Account Value Related to Col. 3	Total Related Account Values	Gross Amount of Reserve	Location of Reserve	Portion Reinsured	Reinsurance Reserve Credit
Guaranteed Death Benefit	Guaranteed Living Benefit							

10. For reporting entities having sold annuities to another insurer where the insurer purchasing the annuities has obtained a release of liability from the claimant (payee) as the result of the purchase of an annuity from the reporting entity only:

10.1 Amount of loss reserves established by these annuities during the current year:\$

10.2 List the name and location of the insurance company purchasing the annuities and the statement value on the purchase date of the annuities.

1	2
	Statement Value on Purchase Date of Annuities (i.e., Present Value)
P&C Insurance Company And Location	

11.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

11.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$

11.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

11.4 If yes, please provide the balance of funds administered as of the reporting date. \$

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

12.1 Are any of the captive affiliates reported on Schedule S, Part 3, authorized reinsurers? Yes [] No [] N/A [X]

12.2 If the answer to 12.1 is yes, please provide the following:

1 Company Name	2 NAIC Company Code	3 Domiciliary Jurisdiction	4 Reserve Credit	Assets Supporting Reserve Credit		
				5 Letters of Credit	6 Trust Agreements	7 Other

13. Provide the following for individual ordinary life insurance* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded):

13.1 Direct Premium Written\$38,719,873

13.2 Total Incurred Claims\$24,324,055

13.3 Number of Covered Lives27,288

*Ordinary Life Insurance Includes
Term (whether full underwriting,limited underwriting,jet issue,"short form app")
Whole Life (whether full underwriting,limited underwriting,jet issue,"short form app")
Variable Life (with or without secondary gurantee)
Universal Life (with or without secondary gurantee)
Variable Universal Life (with or without secondary gurantee)

FIVE-YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.
Show amounts of life insurance in this exhibit in thousands (OMIT \$000)

	1 2016	2 2015	3 2014	4 2013	5 2012
Life Insurance in Force (Exhibit of Life Insurance)					
1. Ordinary - whole life and endowment (Line 34, Col. 4)					
2. Ordinary - term (Line 21, Col. 4, less Line 34, Col. 4)	12,441,411	15,518,497	18,888,206	22,679,474	27,122,196
3. Credit life (Line 21, Col. 6)					
4. Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4)					
5. Industrial (Line 21, Col. 2)					
6. FEGLI/SGLI (Lines 43 & 44, Col. 4)					
7. Total (Line 21, Col. 10)	12,441,411	15,518,497	18,888,206	22,679,474	27,122,196
New Business Issued (Exhibit of Life Insurance)					
8. Ordinary - whole life and endowment (Line 34, Col. 2)					
9. Ordinary - term (Line 2, Col. 4, less Line 34, Col. 2)					
10. Credit life (Line 2, Col. 6)					
11. Group (Line 2, Col. 9)					
12. Industrial (Line 2, Col. 2)					
13. Total (Line 2, Col. 10)					
Premium Income - Lines of Business (Exhibit 1 - Part 1)					
14. Industrial life (Line 20.4, Col. 2)					
15.1 Ordinary-life insurance (Line 20.4, Col. 3)	18,496,973	23,306,067	32,988,534	24,918,157	29,445,662
15.2 Ordinary-individual annuities (Line 20.4, Col. 4)					
16 Credit life (group and individual) (Line 20.4, Col. 5)					
17.1 Group life insurance (Line 20.4, Col. 6)					
17.2 Group annuities (Line 20.4, Col. 7)					
18.1 A & H-group (Line 20.4, Col. 8)					
18.2 A & H-credit (group and individual) (Line 20.4, Col. 9)					
18.3 A & H-other (Line 20.4, Col. 10)					
19. Aggregate of all other lines of business (Line 20.4, Col. 11)					
20. Total	18,496,973	23,306,067	32,988,534	24,918,157	29,445,662
Balance Sheet (Pages 2 & 3)					
21. Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3)	182,925,507	200,467,143	199,780,662	194,934,357	197,130,101
22. Total liabilities excluding Separate Accounts business (Page 3, Line 26)	78,992,583	109,290,756	120,133,159	126,742,637	138,112,041
23. Aggregate life reserves (Page 3, Line 1)	70,334,691	89,607,709	105,143,086	110,790,350	119,483,643
24. Aggregate A & H reserves (Page 3, Line 2)					
25. Deposit-type contract funds (Page 3, Line 3)	2,293,502	2,944,043	3,816,561	5,108,786	8,529,654
26. Asset valuation reserve (Page 3, Line 24.01)	494,291	483,376	428,727	373,405	323,543
27. Capital (Page 3, Lines 29 and 30)	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
28. Surplus (Page 3, Line 37)	101,432,924	88,676,387	77,147,503	65,691,720	56,518,060
Cash Flow (Page 5)					
29. Net Cash from Operations (Line 11)	(7,725,867)	779,523	8,377,724	4,677,211	4,400,005
Risk-Based Capital Analysis					
30. Total adjusted capital	104,427,215	91,659,763	80,076,230	68,565,124	59,341,603
31. Authorized control level risk - based capital	3,504,146	4,235,710	4,983,446	4,258,425	4,744,992
Percentage Distribution of Cash, Cash Equivalents and Invested Assets (Page 2, Col. 3) (Line No. /Page 2, Line 12, Col. 3) x 100.0					
32. Bonds (Line 1)	97.5	92.1	95.4	86.4	91.9
33. Stocks (Lines 2.1 and 2.2)					
34. Mortgage loans on real estate(Lines 3.1 and 3.2)					
35. Real estate (Lines 4.1, 4.2 and 4.3)					
36. Cash, cash equivalents and short-term investments (Line 5)	2.5	7.9	2.7	11.1	6.2
37. Contract loans (Line 6)					
38. Derivatives (Page 2, Line 7)					
39. Other invested assets (Line 8)		0.0	1.9	2.6	1.9
40. Receivables for securities (Line 9)	0.0				
41. Securities lending reinvested collateral assets (Line 10)					
42. Aggregate write-ins for invested assets (Line 11)					
43. Cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0

FIVE-YEAR HISTORICAL DATA

(Continued)

	1 2016	2 2015	3 2014	4 2013	5 2012
Investments in Parent, Subsidiaries and Affiliates					
44. Affiliated bonds (Schedule D Summary, Line 12, Col. 1)					
45. Affiliated preferred stocks (Schedule D Summary, Line 18, Col. 1)					
46. Affiliated common stocks (Schedule D Summary Line 24, Col. 1),					
47. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10)					
48. Affiliated mortgage loans on real estate					
49. All other affiliated		1,074	2,986,240	3,954,728	2,793,908
50. Total of above Lines 44 to 49		1,074	2,986,240	3,954,728	2,793,908
51. Total Investment in Parent included in Lines 44 to 49 above					
Total Nonadmitted and Admitted Assets					
52. Total nonadmitted assets (Page 2, Line 28, Col. 2)	2,271,519	2,053,991	2,764,114	4,628,595	6,789,882
53. Total admitted assets (Page 2, Line 28, Col. 3)	182,925,507	200,467,143	199,780,662	194,934,357	197,130,101
Investment Data					
54. Net investment income (Exhibit of Net Investment Income)	5,255,449	5,323,173	5,228,655	5,181,315	5,741,238
55. Realized capital gains (losses) (Page 4, Line 34, Column 1)	(1,425)	9,862	(6,227)	(20,171)	(20,401)
56. Unrealized capital gains (losses) (Page 4, Line 38, Column 1)		(10,279)	67	219	23
57. Total of above Lines 54, 55 and 56	5,254,024	5,322,756	5,222,495	5,161,363	5,720,860
Benefits and Reserve Increases (Page 6)					
58. Total contract benefits - life (Lines 10, 11, 12, 13, 14 and 15 Col. 1, minus Lines 10, 11,12, 13, 14 and 15 Cols. 9, 10 and 11)	13,059,934	16,075,071	17,234,126	12,591,134	14,585,364
59. Total contract benefits - A & H (Lines 13 & 14, Cols. 9, 10 & 11)					
60. Increase in life reserves - other than group and annuities (Line 19, Cols. 2 and 3)	(18,573,018)	(14,883,796)	(5,202,348)	(8,693,293)	638,610
61. Increase in A & H reserves (Line 19, Cols. 9, 10 & 11)					
62. Dividends to policyholders (Line 30, Col. 1)					
Operating Percentages					
63. Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23, less Line 6)/(Page 6, Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.0	8.5	9.8	5.2	10.9	14.9
64. Lapse percent (ordinary only) [(Exhibit of Life Insurance, Col. 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Col. 4, Lines 1 & 21)] x 100.0	18.0	16.0	15.0	13.5	12.8
65. A & H loss percent (Schedule H, Part 1, Lines 5 and 6, Col. 2)					
66. A & H cost containment percent (Schedule H, Pt. 1, Line 4, Col. 2)					
67. A & H expense percent excluding cost containment expenses (Schedule H, Pt. 1, Line 10, Col. 2)					
A & H Claim Reserve Adequacy					
68. Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1 Col. 2)					
69. Prior years' claim liability and reserve - group health (Schedule H, Part 3, Line 3.2 Col. 2)					
70. Incurred losses on prior years' claims-health other than group (Schedule H, Part 3, Line 3.1 Col. 1 less Col. 2)					
71. Prior years' claim liability and reserve-health other than group (Schedule H, Part 3, Line 3.2 Col. 1 less Col. 2)					
Net Gains From Operations After Federal Income Taxes by Lines of Business (Page 6, Line 33)					
72. Industrial life (Col. 2)					
73. Ordinary - life (Col. 3)	12,370,747	12,023,550	10,845,009	7,911,262	3,564,944
74. Ordinary - individual annuities (Col. 4)					
75. Ordinary-supplementary contracts (Col. 5)	(2,735)	(462)	199,418	181,507	286,134
76. Credit life (Col. 6)					
77. Group life (Col. 7)					
78. Group annuities (Col. 8)					
79. A & H-group (Col. 9)					
80. A & H-credit (Col. 10)					
81. A & H-other (Col. 11)					
82. Aggregate of all other lines of business (Col. 12)					
83. Total (Col. 1)	12,368,012	12,023,088	11,044,427	8,092,769	3,851,078

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [] No []

If no, please explain:

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT OF LIFE INSURANCE

	Industrial		Ordinary		Credit Life (Group and Individual)		Group			10 Total Amount of Insurance (a)
	1	2	3	4	5	6	Number of		9	
	Number of Policies	Amount of Insurance (a)	Number of Policies	Amount of Insurance (a)	Number of Individual Policies and Group Certificates	Amount of Insurance (a)	7 Policies	8 Certificates	Amount of Insurance (a)	
1. In force end of prior year			33,521	15,518,497						15,518,497
2. Issued during year										
3. Reinsurance assumed										
4. Revived during year			106	33,069						33,069
5. Increased during year (net)										
6. Subtotals, Lines 2 to 5			106	33,069						33,069
7. Additions by dividends during year	XXX		XXX		XXX		XXX	XXX		
8. Aggregate write-ins for increases										
9. Totals (Lines 1 and 6 to 8)			33,627	15,551,566						15,551,566
Deductions during year:										
10. Death			89	24,050			XXX			24,050
11. Maturity							XXX			
12. Disability							XXX			
13. Expiry										
14. Surrender			198	83,827						83,827
15. Lapse			4,621	2,436,772						2,436,772
16. Conversion			995	547,899			XXX	XXX	XXX	547,899
17. Decreased (net)			81	17,607						17,607
18. Reinsurance										
19. Aggregate write-ins for decreases										
20. Totals (Lines 10 to 19)			5,984	3,110,155						3,110,155
21. In force end of year (Line 9 minus Line 20)			27,643	12,441,411						12,441,411
22. Reinsurance ceded end of year	XXX		XXX	6,202,717	XXX		XXX	XXX		6,202,717
23. Line 21 minus Line 22	XXX		XXX	6,238,693	XXX	(b)	XXX	XXX		6,238,693
DETAILS OF WRITE-INS										
0801.										
0802.										
0803.										
0898. Summary of remaining write-ins for Line 8 from overflow page										
0899. TOTALS (Lines 0801 thru 0803 plus 0898) (Line 8 above)										
1901.										
1902.										
1903.										
1998. Summary of remaining write-ins for Line 19 from overflow page										
1999. TOTALS (Lines 1901 thru 1903 plus 1998) (Line 19 above)										

(a) Amounts of life insurance in this exhibit shall be shown in thousands (omit 000)

(b) Group \$; Individual \$

EXHIBIT OF LIFE INSURANCE (Continued)

ADDITIONAL INFORMATION ON INSURANCE IN FORCE END OF YEAR

		Industrial		Ordinary	
		1	2	3	4
		Number of Policies	Amount of Insurance (a)	Number of Policies	Amount of Insurance (a)
24.	Additions by dividends	XXX		XXX	
25.	Other paid-up insurance				
26.	Debit ordinary insurance	XXX	XXX		

ADDITIONAL INFORMATION ON ORDINARY INSURANCE

		Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
		1	2	3	4
Term Insurance Excluding Extended Term Insurance		Number of Policies	Amount of Insurance (a)	Number of Policies	Amount of Insurance (a)
27.	Term policies - decreasing				
28.	Term policies - other			27,643	12,441,411
29.	Other term insurance - decreasing	XXX		XXX	
30.	Other term insurance	XXX		XXX	
31.	Totals (Lines 27 to 30)			27,643	12,441,411
Reconciliation to Lines 2 and 21:					
32.	Term additions	XXX		XXX	
33.	Totals, extended term insurance	XXX	XXX		
34.	Totals, whole life and endowment				
35.	Totals (Lines 31 to 34)			27,643	12,441,411

CLASSIFICATION OF AMOUNT OF INSURANCE (a) BY PARTICIPATING STATUS

		Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
		1	2	3	4
		Non-Participating	Participating	Non-Participating	Participating
36.	Industrial				
37.	Ordinary			12,441,411	
38.	Credit Life (Group and Individual)				
39.	Group				
40.	Totals (Lines 36 to 39)			12,441,411	

ADDITIONAL INFORMATION ON CREDIT LIFE AND GROUP INSURANCE

		Credit Life		Group	
		1	2	3	4
		Number of Individual Policies or Group Certificates	Amount of Insurance	Number of Certificates	Amount of Insurance (a)
41.	Amount of insurance included in Line 2 ceded to other companies	XXX		XXX	
42.	Number in force end of year if the number under insured groups is allocated on a pro-rata basis				XXX
43.	Federal Employees' Group Life Insurance included in Line 21				
44.	Servicemen's Group Life Insurance included in Line 21				
45.	Group Permanent Insurance included in Line 21				

ADDITIONAL ACCIDENTAL DEATH BENEFITS

46.	Amount of additional accidental death benefits in force end of year under ordinary policies (a)	52,260
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BASIS OF CALCULATION FOR ORDINARY TERM INSURANCE

47.	State basis of calculation of (47.1) decreasing term insurance contracts included in Family Income Mortgage Protection, etc., policies and riders and of (47.2) term insurance on wife and children under Family, Parent and Child, etc., policies and riders included above:	
47.1	
47.2	

POLICIES WITH DISABILITY PROVISIONS

		Industrial		Ordinary		Credit		Group	
		1	2	3	4	5	6	7	8
Disability Provisions		Number of Policies	Amount of Insurance (a)	Number of Policies	Amount of Insurance (a)	Number of Policies	Amount of Insurance (a)	Number of Certificates	Amount of Insurance (a)
48.	Waiver of Premium			14,336	6,278,914				
49.	Disability Income								
50.	Extended Benefits			XXX	XXX				
51.	Other								
52.	Total		(b)	14,336	(b) 6,278,914		(b)		(b)

(a) Amounts of life insurance in this exhibit shall be shown in thousands (omit 000)
(b) See Paragraph 9 of the Annual Audited Financial Reports in the General section of the annual statement instructions

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES

SUPPLEMENTARY CONTRACTS				
	Ordinary		Group	
	1 Involving Life Contingencies	2 Not Involving Life Contingencies	3 Involving Life Contingencies	4 Not Involving Life Contingencies
1. In force end of prior year		34		
2. Issued during year				
3. Reinsurance assumed				
4. Increased during year (net)				
5. Total (Lines 1 to 4)		34		
Deductions during year:				
6. Decreased (net)		10		
7. Reinsurance ceded				
8. Totals (Lines 6 and 7)		10		
9. In force end of year		24		
10. Amount on deposit		(a) 2,293,502		(a)
11. Income now payable				
12. Amount of income payable	(a)	(a)	(a)	(a)

ANNUITIES				
	Ordinary		Group	
	1 Immediate	2 Deferred	3 Contracts	4 Certificates
1. In force end of prior year				
2. Issued during year				
3. Reinsurance assumed				
4. Increased during year (net)				
5. Totals (Lines 1 to 4)				
Deductions during year:				
6. Decreased (net)				
7. Reinsurance ceded				
8. Totals (Lines 6 and 7)				
9. In force end of year				
Income now payable:				
10. Amount of income payable	(a)	XXX	XXX	(a)
Deferred fully paid:				
11. Account balance	XXX	(a)	XXX	(a)
Deferred not fully paid:				
12. Account balance	XXX	(a)	XXX	(a)

ACCIDENT AND HEALTH INSURANCE						
	Group		Credit		Other	
	1 Certificates	2 Premiums in Force	3 Policies	4 Premiums in Force	5 Policies	6 Premiums in Force
1. In force end of prior year						
2. Issued during year						
3. Reinsurance assumed						
4. Increased during year (net)		XXX		XXX		XXX
5. Totals (Lines 1 to 4)		XXX		XXX		XXX
Deductions during year:						
6. Conversions		XXX	XXX	XXX	XXX	XXX
7. Decreased (net)		XXX		XXX		XXX
8. Reinsurance ceded		XXX				XXX
9. Totals (Lines 6 to 8)		XXX		XXX		XXX
10. In force end of year		(a)		(a)		(a)

DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS		
	1 Deposit Funds Contracts	2 Dividend Accumulations Contracts
1. In force end of prior year		
2. Issued during year		
3. Reinsurance assumed		
4. Increased during year (net)		
5. Totals (Lines 1 to 4)		
Deductions During Year:		
6. Decreased (net)		
7. Reinsurance ceded		
8. Totals (Lines 6 and 7)		
9. In force end of year		
10. Amount of account balance	(a)	(a)

(a) See Paragraph 9 of the Annual Audited Financial Reports in the General section of the annual statement instructions.

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE

INTEREST MAINTENANCE RESERVE	
	1 Amount
1. Reserve as of December 31, Prior Year	51,680
2. Current year's realized pre-tax capital gains/(losses) of \$ (472,079) transferred into the reserve net of taxes of \$ (165,228)	(306,852)
3. Adjustment for current year's liability gains/(losses) released from the reserve	
4. Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3)	(255,171)
5. Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4)	(24,170)
6. Reserve as of December 31, current year (Line 4 minus Line 5)	(231,002)

AMORTIZATION				
Year of Amortization	1 Reserve as of December 31, Prior Year	2 Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	3 Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	4 Balance Before Reduction for Current Year's Amortization (Cols. 1 + 2 + 3)
1. 2016	(7,925)	(16,245)		(24,170)
2. 2017	1,737	(36,774)		(35,037)
3. 2018	8,611	(37,638)		(29,027)
4. 2019	12,742	(37,855)		(25,113)
5. 2020	11,001	(38,339)		(27,338)
6. 2021	6,455	(39,075)		(32,619)
7. 2022	3,016	(35,309)		(32,294)
8. 2023	1,234	(28,247)		(27,014)
9. 2024	1,469	(20,597)		(19,128)
10. 2025	1,275	(12,653)		(11,377)
11. 2026	986	(4,119)		(3,133)
12. 2027	1,026			1,026
13. 2028	1,086			1,086
14. 2029	1,127			1,127
15. 2030	1,191			1,191
16. 2031	1,252			1,252
17. 2032	1,320			1,320
18. 2033	1,250			1,250
19. 2034	1,037			1,037
20. 2035	806			806
21. 2036	553			553
22. 2037	259			259
23. 2038	95			95
24. 2039	58			58
25. 2040	19			19
26. 2041				
27. 2042				
28. 2043				
29. 2044				
30. 2045				
31. 2046 and Later				
32. Total (Lines 1 to 31)	51,680	(306,852)		(255,171)

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

ASSET VALUATION RESERVE

	Default Component			Equity Component			7 Total Amount (Cols. 3 + 6)
	1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1 + 2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4 + 5)	
1. Reserve as of December 31, prior year	483,372		483,372		4	4	483,376
2. Realized capital gains/(losses) net of taxes - General Account	(1,382)		(1,382)				(1,382)
3. Realized capital gains/(losses) net of taxes - Separate Accounts							
4. Unrealized capital gains/(losses) net of deferred taxes - General Account							
5. Unrealized capital gains/(losses) net of deferred taxes - Separate Accounts							
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves							
7. Basic contribution	79,469		79,469				79,469
8. Accumulated balances (Lines 1 through 5 - 6 + 7)	561,460		561,460		3	3	561,463
9. Maximum reserve	494,291		494,291				494,291
10. Reserve objective	364,194		364,194				364,194
11. 20% of (Line 10 - Line 8)	(39,453)		(39,453)		(1)	(1)	(39,454)
12. Balance before transfers (Lines 8 + 11)	522,007		522,007		2	2	522,009
13. Transfers							
14. Voluntary contribution							
15. Adjustment down to maximum/up to zero	(27,716)		(27,716)		(2)	(2)	(27,718)
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	494,291		494,291				494,291

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Number	NAIC Designation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
LONG-TERM BONDS												
1.		Exempt Obligations	17,706,837	XXX	XXX	17,706,837	0.0000		0.0000		0.0000	
2.	1	Highest Quality	120,103,084	XXX	XXX	120,103,084	0.0004	48,041	0.0023	276,237	0.0030	360,309
3.	2	High Quality	9,291,867	XXX	XXX	9,291,867	0.0019	17,655	0.0058	53,893	0.0090	83,627
4.	3	Medium Quality	1,481,038	XXX	XXX	1,481,038	0.0093	13,774	0.0230	34,064	0.0340	50,355
5.	4	Low Quality		XXX	XXX		0.0213		0.0530		0.0750	
6.	5	Lower Quality		XXX	XXX		0.0432		0.1100		0.1700	
7.	6	In or Near Default		XXX	XXX		0.0000		0.2000		0.2000	
8.		Total Unrated Multi-class Securities Acquired by Conversion		XXX	XXX		XXX		XXX		XXX	
9.		Total Long-Term Bonds (Sum of Lines 1 through 8)	148,582,826	XXX	XXX	148,582,826	XXX	79,469	XXX	364,194	XXX	494,291
PREFERRED STOCK												
10.	1	Highest Quality		XXX	XXX		0.0004		0.0023		0.0030	
11.	2	High Quality		XXX	XXX		0.0019		0.0058		0.0090	
12.	3	Medium Quality		XXX	XXX		0.0093		0.0230		0.0340	
13.	4	Low Quality		XXX	XXX		0.0213		0.0530		0.0750	
14.	5	Lower Quality		XXX	XXX		0.0432		0.1100		0.1700	
15.	6	In or Near Default		XXX	XXX		0.0000		0.2000		0.2000	
16.		Affiliated Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
17.		Total Preferred Stocks (Sum of Lines 10 through 16)		XXX	XXX		XXX		XXX		XXX	
SHORT - TERM BONDS												
18.		Exempt Obligations	393,996	XXX	XXX	393,996	0.0000		0.0000		0.0000	
19.	1	Highest Quality		XXX	XXX		0.0004		0.0023		0.0030	
20.	2	High Quality		XXX	XXX		0.0019		0.0058		0.0090	
21.	3	Medium Quality		XXX	XXX		0.0093		0.0230		0.0340	
22.	4	Low Quality		XXX	XXX		0.0213		0.0530		0.0750	
23.	5	Lower Quality		XXX	XXX		0.0432		0.1100		0.1700	
24.	6	In or Near Default		XXX	XXX		0.0000		0.2000		0.2000	
25.		Total Short - Term Bonds (Sum of Lines 18 through 24)	393,996	XXX	XXX	393,996	XXX		XXX		XXX	
DERIVATIVE INSTRUMENTS												
26.		Exchange Traded		XXX	XXX		0.0004		0.0023		0.0030	
27.	1	Highest Quality		XXX	XXX		0.0004		0.0023		0.0030	
28.	2	High Quality		XXX	XXX		0.0019		0.0058		0.0090	
29.	3	Medium Quality		XXX	XXX		0.0093		0.0230		0.0340	
30.	4	Low Quality		XXX	XXX		0.0213		0.0530		0.0750	
31.	5	Lower Quality		XXX	XXX		0.0432		0.1100		0.1700	
32.	6	In or Near Default		XXX	XXX		0.0000		0.2000		0.2000	
33.		Total Derivative Instruments		XXX	XXX		XXX		XXX		XXX	
34.		Total (Lines 9 + 17 + 25 + 33)	148,976,822	XXX	XXX	148,976,822	XXX	79,469	XXX	364,194	XXX	494,291

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols.4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
		MORTGAGE LOANS										
		In Good Standing:										
35.		Farm Mortgages - CM1 - Highest Quality			XXX		0.0010		0.0050		0.0065	
36.		Farm Mortgages - CM2 - High Quality			XXX		0.0035		0.0100		0.0130	
37.		Farm Mortgages - CM3 - Medium Quality			XXX		0.0060		0.0175		0.0225	
38.		Farm Mortgages - CM4 - Low Medium Quality			XXX		0.0105		0.0300		0.0375	
39.		Farm Mortgages - CM5 - Low Quality			XXX		0.0160		0.0425		0.0550	
40.		Residential Mortgages - Insured or Guaranteed			XXX		0.0003		0.0006		0.0010	
41.		Residential Mortgages - All Other			XXX		0.0013		0.0030		0.0040	
42.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0003		0.0006		0.0010	
43.		Commercial Mortgages - All Other - CM1 - Highest Quality			XXX		0.0010		0.0050		0.0065	
44.		Commercial Mortgages - All Other - CM2 - High Quality			XXX		0.0035		0.0100		0.0130	
45.		Commercial Mortgages - All Other - CM3 - Medium Quality			XXX		0.0060		0.0175		0.0225	
46.		Commercial Mortgages - All Other - CM4 - Low Medium Quality			XXX		0.0105		0.0300		0.0375	
47.		Commercial Mortgages - All Other - CM5 - Low Quality			XXX		0.0160		0.0425		0.0550	
		Overdue, Not in Process:										
48.		Farm Mortgages			XXX		0.0420		0.0760		0.1200	
49.		Residential Mortgages - Insured or Guaranteed			XXX		0.0005		0.0012		0.0020	
50.		Residential Mortgages - All Other			XXX		0.0025		0.0058		0.0090	
51.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0005		0.0012		0.0020	
52.		Commercial Mortgages - All Other			XXX		0.0420		0.0760		0.1200	
		In Process of Foreclosure:										
53.		Farm Mortgages			XXX		0.0000		0.1700		0.1700	
54.		Residential Mortgages - Insured or Guaranteed			XXX		0.0000		0.0040		0.0040	
55.		Residential Mortgages - All Other			XXX		0.0000		0.0130		0.0130	
56.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0000		0.0040		0.0040	
57.		Commercial Mortgages - All Other			XXX		0.0000		0.1700		0.1700	
58.		Total Schedule B Mortgages (Sum of Lines 35 through 57)			XXX		XXX		XXX		XXX	
59.		Schedule DA Mortgages			XXX		0.0030		0.0100		0.0130	
60.		Total Mortgage Loans on Real Estate (Lines 58 + 59)			XXX		XXX		XXX		XXX	

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
COMMON STOCK												
1.		Unaffiliated - Public		XXX	XXX		0.0000		0.1300 (a)		0.1300 (a)	
2.		Unaffiliated - Private		XXX	XXX		0.0000		0.1600		0.1600	
3.		Federal Home Loan Bank		XXX	XXX		0.0000		0.0050		0.0080	
4.		Affiliated - Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
Affiliated - Investment Subsidiary:												
5.		Fixed Income - Exempt Obligations					XXX		XXX		XXX	
6.		Fixed Income - Highest Quality					XXX		XXX		XXX	
7.		Fixed Income - High Quality					XXX		XXX		XXX	
8.		Fixed Income - Medium Quality					XXX		XXX		XXX	
9.		Fixed Income - Low Quality					XXX		XXX		XXX	
10.		Fixed Income - Lower Quality					XXX		XXX		XXX	
11.		Fixed Income - In/Near Default					XXX		XXX		XXX	
12.		Unaffiliated Common Stock - Public					0.0000		0.1300 (a)		0.1300 (a)	
13.		Unaffiliated Common Stock - Private					0.0000		0.1600		0.1600	
14.		Real Estate					(b)		(b)		(b)	
15.		Affiliated - Certain Other (See SVO Purposes and Procedures Manual)		XXX	XXX		0.0000		0.1300		0.1300	
16.		Affiliated - All Other		XXX	XXX		0.0000		0.1600		0.1600	
17.		Total Common Stock (Sum of Lines 1 through 16)					XXX		XXX		XXX	
REAL ESTATE												
18.		Home Office Property (General Account only)					0.0000		0.0750		0.0750	
19.		Investment Properties					0.0000		0.0750		0.0750	
20.		Properties Acquired in Satisfaction of Debt					0.0000		0.1100		0.1100	
21.		Total Real Estate (Sum of Lines 18 through 20)					XXX		XXX		XXX	
OTHER INVESTED ASSETS												
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS												
22.		Exempt Obligations		XXX	XXX		0.0000		0.0000		0.0000	
23.	1	Highest Quality		XXX	XXX		0.0004		0.0023		0.0030	
24.	2	High Quality		XXX	XXX		0.0019		0.0058		0.0090	
25.	3	Medium Quality		XXX	XXX		0.0093		0.0230		0.0340	
26.	4	Low Quality		XXX	XXX		0.0213		0.0530		0.0750	
27.	5	Lower Quality		XXX	XXX		0.0432		0.1100		0.1700	
28.	6	In or Near Default		XXX	XXX		0.0000		0.2000		0.2000	
29.		Total with Bond Characteristics (Sum of Lines 22 through 28)		XXX	XXX		XXX		XXX		XXX	

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF PREFERRED STOCKS										
30.	1	Highest Quality		XXX	XXX		0.0004		0.0023		0.0030	
31.	2	High Quality		XXX	XXX		0.0019		0.0058		0.0090	
32.	3	Medium Quality		XXX	XXX		0.0093		0.0230		0.0340	
33.	4	Low Quality		XXX	XXX		0.0213		0.0530		0.0750	
34.	5	Lower Quality		XXX	XXX		0.0432		0.1100		0.1700	
35.	6	In or Near Default		XXX	XXX		0.0000		0.2000		0.2000	
36.		Affiliated Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
37.		Total with Preferred Stock Characteristics (Sum of Lines 30 through 36)		XXX	XXX		XXX		XXX		XXX	
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF MORTGAGE LOANS										
		In Good Standing Affiliated:										
38.		Mortgages - CM1 - Highest Quality			XXX		0.0010		0.0050		0.0065	
39.		Mortgages - CM2 - High Quality			XXX		0.0035		0.0100		0.0130	
40.		Mortgages - CM3 - Medium Quality			XXX		0.0060		0.0175		0.0225	
41.		Mortgages - CM4 - Low Medium Quality			XXX		0.0105		0.0300		0.0375	
42.		Mortgages - CM5 - Low Quality			XXX		0.0160		0.0425		0.0550	
43.		Residential Mortgages - Insured or Guaranteed			XXX		0.0003		0.0006		0.0010	
44.		Residential Mortgages - All Other		XXX	XXX		0.0013		0.0030		0.0040	
45.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0003		0.0006		0.0010	
		Overdue, Not in Process Affiliated:										
46.		Farm Mortgages			XXX		0.0420		0.0760		0.1200	
47.		Residential Mortgages - Insured or Guaranteed			XXX		0.0005		0.0012		0.0020	
48.		Residential Mortgages - All Other			XXX		0.0025		0.0058		0.0090	
49.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0005		0.0012		0.0020	
50.		Commercial Mortgages - All Other			XXX		0.0420		0.0760		0.1200	
		In Process of Foreclosure Affiliated:										
51.		Farm Mortgages			XXX		0.0000		0.1700		0.1700	
52.		Residential Mortgages - Insured or Guaranteed			XXX		0.0000		0.0040		0.0040	
53.		Residential Mortgages - All Other			XXX		0.0000		0.0130		0.0130	
54.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0000		0.0040		0.0040	
55.		Commercial Mortgages - All Other			XXX		0.0000		0.1700		0.1700	
56.		Total Affiliated (Sum of Lines 38 through 55)			XXX		XXX		XXX		XXX	
57.		Unaffiliated - In Good Standing With Covenants			XXX		(c)		(c)		(c)	
58.		Unaffiliated - In Good Standing Defeased With Government Securities			XXX		0.0010		0.0050		0.0065	
59.		Unaffiliated - In Good Standing Primarily Senior			XXX		0.0035		0.0010		0.0130	
60.		Unaffiliated - In Good Standing All Other			XXX		0.0060		0.0175		0.0225	
61.		Unaffiliated - Overdue, Not in Process			XXX		0.0420		0.0760		0.1200	
62.		Unaffiliated - In Process of Foreclosure			XXX		0.0000		0.1700		0.1700	
63.		Total Unaffiliated (Sum of Lines 57 through 62)			XXX		XXX		XXX		XXX	
64.		Total with Mortgage Loan Characteristics (Lines 56 + 63)			XXX		XXX		XXX		XXX	

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols.4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK										
65.		Unaffiliated Public		XXX	XXX		0.0000		0.1300 (a)		0.1300 (a)	
66.		Unaffiliated Private		XXX	XXX		0.0000		0.1600		0.1600	
67.		Affiliated Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
68.		Affiliated Certain Other (See SVO Purposes & Procedures Manual)		XXX	XXX		0.0000		0.1300		0.1300	
69.		Affiliated Other - All Other		XXX	XXX		0.0000		0.1600		0.1600	
70.		Total with Common Stock Characteristics (Sum of Lines 65 through 69)		XXX	XXX		XXX		XXX		XXX	
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE										
71.		Home Office Property (General Account only)					0.0000		0.0750		0.0750	
72.		Investment Properties					0.0000		0.0750		0.0750	
73.		Properties Acquired in Satisfaction of Debt					0.0000		0.1100		0.1100	
74.		Total with Real Estate Characteristics (Sum of Lines 71 through 73)					XXX		XXX		XXX	
		LOW INCOME HOUSING TAX CREDIT INVESTMENTS										
75.		Guaranteed Federal Low Income Housing Tax Credit					0.0003		0.0006		0.0010	
76.		Non-guaranteed Federal Low Income Housing Tax Credit					0.0063		0.0120		0.0190	
77.		Guaranteed State Low Income Housing Tax Credit					0.0003		0.0006		0.0010	
78.		Non-guaranteed State Low Income Housing Tax Credit					0.0063		0.0120		0.0190	
79.		All Other Low Income Housing Tax Credit					0.0273		0.0600		0.0975	
80.		Total LIHTC (Sum of Lines 75 through 79)					XXX		XXX		XXX	
		ALL OTHER INVESTMENTS										
81.		NAIC 1 Working Capital Finance Investments		XXX			0.0000		0.0037		0.0037	
82.		NAIC 2 Working Capital Finance Investments		XXX			0.0000		0.0120		0.0120	
83.		Other Invested Assets - Schedule BA		XXX			0.0000		0.1300		0.1300	
84.		Other Short-Term Invested Assets - Schedule DA		XXX			0.0000		0.1300		0.1300	
85.		Total All Other (Sum of Lines 81, 82, 83 and 84)		XXX			XXX		XXX		XXX	
86.		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 29, 37, 64, 70, 74, 80 and 85)					XXX		XXX		XXX	

(a) Times the company's weighted average portfolio beta (Minimum .10, Maximum .20).
(b) Determined using the same factors and breakdowns used for directly owned real estate.
(c) This will be the factor associated with the risk category determined in the company generated worksheet.

Asset Valuation Reserve Replications (Synthetic) Assets

N O N E

Schedule F - Claims

N O N E

Schedule H - Part 1 - Analysis of Underwriting Operations

N O N E

Schedule H - Part 2 - Reserves and Liabilities

N O N E

Schedule H - Part 3 - Prior Year's Claim Reserves and Liabilities

N O N E

Schedule H - Part 4 - Reinsurance

N O N E

Schedule H - Part 5 - Health Claims

N O N E

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE S - PART 1 - SECTION 1

Reinsurance Assumed Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsured Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsured	5 Domiciliary Jurisdiction	6 Type of Reinsurance Assumed	7 Amount of In Force at End of Year	8 Reserve	9 Premiums	10 Reinsurance Payable on Paid and Unpaid Losses	11 Modified Coinsurance Reserve	12 Funds Withheld Under Coinsurance
0399999. Total General Account - U.S. Affiliates											
0699999. Total General Account - Non-U.S. Affiliates											
0799999. Total General Account - Affiliates											
68723	86-0742727	01/01/2000	New York Life Agents Reinsurance Company	AZ	OTH/I	124,949,284		227,116			
0899999. General Account - U.S. Non-Affiliates						124,949,284		227,116			
1099999. Total General Account - Non-Affiliates						124,949,284		227,116			
1199999. Total General Account						124,949,284		227,116			
1499999. Total Separate Accounts - U.S. Affiliates											
1799999. Total Separate Accounts - Non-U.S. Affiliates											
1899999. Total Separate Accounts - Affiliates											
2199999. Total Separate Accounts - Non-Affiliates											
2299999. Total Separate Accounts											
2399999. Total U.S. (Sum of 0399999, 0899999, 1499999 and 1999999)						124,949,284		227,116			
2499999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999 and 2099999)											
9999999 - Totals						124,949,284		227,116			

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE S - PART 1 - SECTION 2

Reinsurance Assumed Accident and Health Insurance Listed by Reinsured Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsured	5 Domiciliary Jurisdiction	6 Type of Reinsurance Assumed	7 Premiums	8 Unearned Premiums	9 Reserve Liability Other Than for Unearned Premiums	10 Reinsurance Payable on Paid and Unpaid Losses	11 Modified Coinsurance Reserve	12 Funds Withheld Under Coinsurance
NONE											
9999999 - Totals											

SCHEDULE S - PART 2

[illegible]

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE S - PART 3 - SECTION 1

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Amount in Force at End of Year	Reserve Credit Taken		11 Premiums	Outstanding Surplus Relief		14 Modified Coinsurance Reserve	15 Funds Withheld Under Coinsurance
								9	10		12	13		
								Current Year	Prior Year		Current Year	Prior Year		
66915	13-5582869	02/11/1998	New York Life Insurance Company	NY	LTC/I	QL				209,985				
0299999. General Account - Authorized U.S. Affiliates - Other										209,985				
0399999. Total General Account - Authorized U.S. Affiliates										209,985				
0699999. Total General Account - Authorized Non-U.S. Affiliates														
0799999. Total General Account - Authorized Affiliates										209,985				
80659	38-0397420	06/01/2001	Canada Life Assurance Company	MI	YRT/I	QL	8,415,000	48,060	77,355	62,593				
86258	13-2572994	12/06/1976	General Re Life Corporation	CT	YRT/I	QL	55,454,500	209,420	310,050	318,222				
88340	59-2859797	04/01/2014	Hannover Life Reassurance Company of America	FL	YRT/I	QL	19,230,223	39,460	39,460	20,735				
86346	58-0828824	02/11/1998	Munich American Reassurance Company	GA	YRT/I	QL	990,192,818	3,181,737	3,527,723	2,761,397				
66346	58-0828824	02/11/1998	Munich American Reassurance Company	GA	CO/I	QL	111,780,503	593,862	623,277	518,019				
68723	86-0742727	02/11/1998	New York Life Agents Reinsurance Company	AZ	YRT/I	QL	283,491,312			593,576				
93572	43-1235868	02/11/1998	RGA Reinsurance Company	MO	CO/I	QL	335,341,480	1,780,693	1,868,831	1,554,048				
93572	43-1235868	03/30/1998	RGA Reinsurance Company	MO	YRT/I	QL	2,387,847,315	7,252,498	8,354,249	6,041,364				
68713	84-0499703	02/11/1998	Security Life of Denver Insurance Company	CO	CO/I	QL	223,560,977	1,186,864	1,245,590	1,026,826				
82627	06-0839705	05/26/2000	Swiss Re Life and Health America Inc.	CT	YRT/I	QL	488,404,255	1,702,893	1,796,352	1,648,182				
86231	39-0989781	02/11/1998	Transamerica Life Insurance Company	IA	CO/I	QL	223,560,952	1,186,858	1,245,585	1,036,029				
86231	39-0989781	02/11/1998	Transamerica Life Insurance Company	IA	YRT/I	QL	1,075,438,112	3,256,221	3,713,380	2,816,485				
0899999. General Account - Authorized U.S. Non-Affiliates								6,202,717,447	20,438,566	22,801,852	18,397,476			
1099999. Total General Account - Authorized Non-Affiliates								6,202,717,447	20,438,566	22,801,852	18,397,476			
1199999. Total General Account Authorized								6,202,717,447	20,438,566	22,801,852	18,607,461			
1499999. Total General Account - Unauthorized U.S. Affiliates														
1799999. Total General Account - Unauthorized Non-U.S. Affiliates														
1899999. Total General Account - Unauthorized Affiliates														
2199999. Total General Account - Unauthorized Non-Affiliates														
2299999. Total General Account Unauthorized														
2599999. Total General Account - Certified U.S. Affiliates														
2899999. Total General Account - Certified Non-U.S. Affiliates														
2999999. Total General Account - Certified Affiliates														
3299999. Total General Account - Certified Non-Affiliates														
3399999. Total General Account Certified														
3499999. Total General Account Authorized, Unauthorized and Certified								6,202,717,447	20,438,566	22,801,852	18,607,461			
3799999. Total Separate Accounts - Authorized U.S. Affiliates														
4099999. Total Separate Accounts - Authorized Non-U.S. Affiliates														
4199999. Total Separate Accounts - Authorized Affiliates														
4499999. Total Separate Accounts - Authorized Non-Affiliates														
4599999. Total Separate Accounts Authorized														
4899999. Total Separate Accounts - Unauthorized U.S. Affiliates														
5199999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates														
5299999. Total Separate Accounts - Unauthorized Affiliates														
5599999. Total Separate Accounts - Unauthorized Non-Affiliates														
5699999. Total Separate Accounts Unauthorized														
5999999. Total Separate Accounts - Certified U.S. Affiliates														
6299999. Total Separate Accounts - Certified Non-U.S. Affiliates														
6399999. Total Separate Accounts - Certified Affiliates														
6699999. Total Separate Accounts - Certified Non-Affiliates														
6799999. Total Separate Accounts Certified														
6899999. Total Separate Accounts Authorized, Unauthorized and Certified														
6999999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3799999, 4299999, 4899999, 5399999, 5999999 and 6499999)								6,202,717,447	20,438,566	22,801,852	18,607,461			
7099999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 4099999, 4399999, 5199999, 5499999, 6299999 and 6599999)														
9999999 - Totals								6,202,717,447	20,438,566	22,801,852	18,607,461			

Schedule S - Part 3 - Section 2

N O N E

Schedule S - Part 4

N O N E

Schedule S - Part 4 - Bank Footnote

N O N E

Schedule S - Part 5

N O N E

Schedule S - Part 5 - Bank Footnote

N O N E

SCHEDULE S - PART 6
Five Year Exhibit of Reinsurance Ceded Business
(000 OMITTED)

	1 2016	2 2015	3 2014	4 2013	5 2012
A. OPERATIONS ITEMS					
1. Premiums and annuity considerations for life and accident and health contracts	18,607	19,843	18,431	29,870	33,145
2. Commissions and reinsurance expense allowances	1,027	1,051	1,110	1,182	1,191
3. Contract claims	10,758	15,104	16,536	19,852	37,576
4. Surrender benefits and withdrawals for life contracts					
5. Dividends to policyholders					
6. Reserve adjustments on reinsurance ceded					
7. Increase in aggregate reserve for life and accident and health contracts	(2,363)	(3,097)	(13,755)	(6,711)	(6,489)
B. BALANCE SHEET ITEMS					
8. Premiums and annuity considerations for life and accident and health contracts deferred and uncollected	1,742	1,788	1,698	3,131	3,109
9. Aggregate reserves for life and accident and health contracts	20,439	22,802	25,899	39,654	46,365
10. Liability for deposit-type contracts					
11. Contract claims unpaid	2,496	4,278	3,712	7,837	4,968
12. Amounts recoverable on reinsurance	388	690	1,027	261	3,380
13. Experience rating refunds due or unpaid					
14. Policyholders' dividends (not included in Line 10)					
15. Commissions and reinsurance expense allowances due	83	91	99	94	112
16. Unauthorized reinsurance offset					
17. Offset for reinsurance with Certified Reinsurers					
C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)					
18. Funds deposited by and withheld from (F)					
19. Letters of credit (L)					
20. Trust agreements (T)					
21. Other (O)					
D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)					
22. Multiple Beneficiary Trust					
23. Funds deposited by and withheld from (F)					
24. Letters of credit (L)					
25. Trust agreements (T)					
26. Other (O)					

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit for Ceded Reinsurance

	1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
ASSETS (Page 2, Col. 3)			
1. Cash and invested assets (Line 12)	152,402,077		152,402,077
2. Reinsurance (Line 16)	470,700	(470,700)	
3. Premiums and considerations (Line 15)	22,915,103	1,742,230	24,657,333
4. Net credit for ceded reinsurance	XXX	21,663,312	21,663,312
5. All other admitted assets (balance)	7,137,627		7,137,627
6. Total assets excluding Separate Accounts (Line 26)	182,925,507	22,934,842	205,860,349
7. Separate Account assets (Line 27)			
8. Total assets (Line 28)	182,925,507	22,934,842	205,860,349
LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
9. Contract reserves (Lines 1 and 2)	70,334,691	20,438,566	90,773,257
10. Liability for deposit-type contracts (Line 3)	2,293,502		2,293,502
11. Claim reserves (Line 4)	2,901,340	2,496,276	5,397,616
12. Policyholder dividends/reserves (Lines 5 through 7)			
13. Premium & annuity considerations received in advance (Line 8)	96,822		96,822
14. Other contract liabilities (Line 9)			
15. Reinsurance in unauthorized companies (Line 24.02 minus inset amount)			
16. Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount)			
17. Reinsurance with Certified Reinsurers (Line 24.02 inset amount)			
18. Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount)			
19. All other liabilities (balance)	3,366,228		3,366,228
20. Total liabilities excluding Separate Accounts (Line 26)	78,992,583	22,934,842	101,927,425
21. Separate Account liabilities (Line 27)			
22. Total liabilities (Line 28)	78,992,583	22,934,842	101,927,425
23. Capital & surplus (Line 38)	103,932,924	XXX	103,932,924
24. Total liabilities, capital & surplus (Line 39)	182,925,507	22,934,842	205,860,349
NET CREDIT FOR CEDED REINSURANCE			
25. Contract reserves	20,438,566		
26. Claim reserves	2,496,276		
27. Policyholder dividends/reserves			
28. Premium & annuity considerations received in advance			
29. Liability for deposit-type contracts			
30. Other contract liabilities			
31. Reinsurance ceded assets	470,700		
32. Other ceded reinsurance recoverables			
33. Total ceded reinsurance recoverables	23,405,542		
34. Premiums and considerations	1,742,230		
35. Reinsurance in unauthorized companies			
36. Funds held under reinsurance treaties with unauthorized reinsurers			
37. Reinsurance with Certified Reinsurers			
38. Funds held under reinsurance treaties with Certified Reinsurers			
39. Other ceded reinsurance payables/offsets			
40. Total ceded reinsurance payable/offsets	1,742,230		
41. Total net credit for ceded reinsurance	21,663,312		

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Allocated by States and Territories

States, Etc.			1	Direct Business Only					
				Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 through 5	7 Deposit-Type Contracts
				2 Life Insurance Premiums	3 Annuity Considerations				
Active Status									
1.	Alabama	AL	L	876,347				876,347	
2.	Alaska	AK	L	599,154				599,154	
3.	Arizona	AZ	L	862,895				862,895	
4.	Arkansas	AR	L	249,297				249,297	
5.	California	CA	L	7,344,557				7,344,557	
6.	Colorado	CO	L	672,202				672,202	
7.	Connecticut	CT	L	382,453				382,453	
8.	Delaware	DE	L	163,738				163,738	
9.	District of Columbia	DC	L	136,090				136,090	
10.	Florida	FL	L	2,282,723				2,282,723	
11.	Georgia	GA	L	1,347,792				1,347,792	
12.	Hawaii	HI	L	59,569				59,569	
13.	Idaho	ID	L	160,461				160,461	
14.	Illinois	IL	L	1,205,333				1,205,333	
15.	Indiana	IN	L	161,585				161,585	
16.	Iowa	IA	L	508,456				508,456	
17.	Kansas	KS	L	410,010				410,010	
18.	Kentucky	KY	L	376,437				376,437	
19.	Louisiana	LA	L	1,425,116				1,425,116	
20.	Maine	ME	N	8,766				8,766	
21.	Maryland	MD	L	719,375				719,375	
22.	Massachusetts	MA	L	628,463				628,463	
23.	Michigan	MI	L	724,070				724,070	
24.	Minnesota	MN	L	257,481				257,481	
25.	Mississippi	MS	L	417,339				417,339	
26.	Missouri	MO	L	847,659				847,659	
27.	Montana	MT	L	242,447				242,447	
28.	Nebraska	NE	L	150,628				150,628	
29.	Nevada	NV	L	447,332				447,332	
30.	New Hampshire	NH	L	72,250				72,250	
31.	New Jersey	NJ	L	1,063,186				1,063,186	
32.	New Mexico	NM	L	494,600				494,600	
33.	New York	NY	N	113,685				113,685	
34.	North Carolina	NC	L	615,905				615,905	
35.	North Dakota	ND	L	90,511				90,511	
36.	Ohio	OH	L	711,667				711,667	
37.	Oklahoma	OK	L	393,796				393,796	
38.	Oregon	OR	L	476,154				476,154	
39.	Pennsylvania	PA	L	1,029,585				1,029,585	
40.	Rhode Island	RI	L	51,350				51,350	
41.	South Carolina	SC	L	725,656				725,656	
42.	South Dakota	SD	L	566,430				566,430	
43.	Tennessee	TN	L	326,739				326,739	
44.	Texas	TX	L	3,863,243				3,863,243	
45.	Utah	UT	L	439,135				439,135	
46.	Vermont	VT	L	89,581				89,581	
47.	Virginia	VA	L	1,107,572				1,107,572	
48.	Washington	WA	L	1,678,261				1,678,261	
49.	West Virginia	WV	L	98,807				98,807	
50.	Wisconsin	WI	L	446,342				446,342	
51.	Wyoming	WY	L	139,321				139,321	
52.	American Samoa	AS	N						
53.	Guam	GU	N						
54.	Puerto Rico	PR	N						
55.	U.S. Virgin Islands	VI	N						
56.	Northern Mariana Islands	MP	N						
57.	Canada	CAN	N	14,148				14,148	
58.	Aggregate Other Alien	OT	XXX	93,347				93,347	
59.	Subtotal	(a)	49	38,369,046				38,369,046	
90.	Reporting entity contributions for employee benefits plans	XXX							
91.	Dividends or refunds applied to purchase paid-up additions and annuities	XXX							
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX							
93.	Premium or annuity considerations waived under disability or other contract provisions	XXX		673,449				673,449	
94.	Aggregate or other amounts not allocable by State	XXX							
95.	Totals (Direct Business)	XXX		39,042,495				39,042,495	
96.	Plus reinsurance assumed	XXX		227,116				227,116	
97.	Totals (All Business)	XXX		39,269,611				39,269,611	
98.	Less reinsurance ceded	XXX		18,603,629				18,603,629	
99.	Totals (All Business) less Reinsurance Ceded	XXX		20,665,982	(b)			20,665,982	
DETAILS OF WRITE-INS									
58001.	ZZZ Other Alien	XXX		93,347				93,347	
58002.		XXX							
58003.		XXX							
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX							
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		93,347				93,347	
9401.		XXX							
9402.		XXX							
9403.		XXX							
9498.	Summary of remaining write-ins for Line 94 from overflow page	XXX							
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX							

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

Explanation of basis of allocation by states, etc., of premiums and annuity considerations

Show each state from which the company has received premiums and considerations, with the amounts received from all lines in each state shown as a single total for that state.

Direct Ordinary life premiums are allocated by state on the basis of the address to which the premium notice is sent. *Premium or annuity considerations waived under disability or other contract provisions are shown in one sum on Line 93, Columns 2, 3, 4, 5, 6, and 7. **All U.S. business must be allocated by state regardless of license status. NOTE:

Schedule T should not be used as the basis for state guaranty association assessments.

(a) Insert the number of L responses except for Canada and Other Alien.

(b) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10, or with Schedule H, Part 1, Line 1, indicate which: Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10.

SCHEDULE T - PART 2
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN

Allocated by States and Territories

			Direct Business Only				
			1	2	3	4	6
States, Etc.			Life (Group and Individual)	Annuities (Group and Individual)	Disability Income (Group and Individual)	Long-Term Care (Group and Individual)	Totals
1.	Alabama	AL	876,347				876,347
2.	Alaska	AK	599,154				599,154
3.	Arizona	AZ	862,895				862,895
4.	Arkansas	AR	249,297				249,297
5.	California	CA	7,344,557				7,344,557
6.	Colorado	CO	672,202				672,202
7.	Connecticut	CT	382,453				382,453
8.	Delaware	DE	163,738				163,738
9.	District of Columbia	DC	136,090				136,090
10.	Florida	FL	2,282,723				2,282,723
11.	Georgia	GA	1,347,792				1,347,792
12.	Hawaii	HI	59,569				59,569
13.	Idaho	ID	160,461				160,461
14.	Illinois	IL	1,205,333				1,205,333
15.	Indiana	IN	161,585				161,585
16.	Iowa	IA	508,456				508,456
17.	Kansas	KS	410,010				410,010
18.	Kentucky	KY	376,437				376,437
19.	Louisiana	LA	1,425,116				1,425,116
20.	Maine	ME	8,766				8,766
21.	Maryland	MD	719,375				719,375
22.	Massachusetts	MA	628,463				628,463
23.	Michigan	MI	724,070				724,070
24.	Minnesota	MN	257,481				257,481
25.	Mississippi	MS	417,339				417,339
26.	Missouri	MO	847,659				847,659
27.	Montana	MT	242,447				242,447
28.	Nebraska	NE	150,628				150,628
29.	Nevada	NV	447,332				447,332
30.	New Hampshire	NH	72,250				72,250
31.	New Jersey	NJ	1,063,186				1,063,186
32.	New Mexico	NM	494,600				494,600
33.	New York	NY	113,685				113,685
34.	North Carolina	NC	615,905				615,905
35.	North Dakota	ND	90,511				90,511
36.	Ohio	OH	711,667				711,667
37.	Oklahoma	OK	393,796				393,796
38.	Oregon	OR	476,154				476,154
39.	Pennsylvania	PA	1,029,585				1,029,585
40.	Rhode Island	RI	51,350				51,350
41.	South Carolina	SC	725,656				725,656
42.	South Dakota	SD	566,430				566,430
43.	Tennessee	TN	326,739				326,739
44.	Texas	TX	3,863,243				3,863,243
45.	Utah	UT	439,135				439,135
46.	Vermont	VT	89,581				89,581
47.	Virginia	VA	1,107,572				1,107,572
48.	Washington	WA	1,678,261				1,678,261
49.	West Virginia	WV	98,807				98,807
50.	Wisconsin	WI	446,342				446,342
51.	Wyoming	WY	139,321				139,321
52.	American Samoa	AS					
53.	Guam	GU					
54.	Puerto Rico	PR					
55.	U.S. Virgin Islands	VI					
56.	Northern Mariana Islands	MP					
57.	Canada	CAN	14,148				14,148
58.	Aggregate Other Alien	OT	93,347				93,347
59.	Total		38,369,046				38,369,046

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

The following entities are directly controlled by New York Life Insurance Company (Parent) (entities that are indented are directly controlled by the preceding entity).

New York Life Insurance and Annuity Corporation (See page 51.2 for entity's org chart)(13-3044743) (91596)(DE)
NYLIFE Insurance Company of Arizona (52-1530175)(81353)(AZ)
New York Life Enterprises LLC (See page 51.2 for entity's org chart)(13-4199614)(DE)
NYLIFE LLC (See page 51.2 for entity's org chart)(13-4081725)(DE)
New York Life Investment Management Holdings LLC (See page 51.3 for entity's org chart)(52-2206685)(DE)
NYLife Real Estate Holdings LLC (See page 51.7 for entity's org chart)(DE)
NYL Real Assets LLC (DE)
NYL Emerging Manager LLC (DE)
NYL Investors LLC (See page 51.2 for entity's org chart) (46-4293486)(DE)
NYL Wind Investments LLC (DE)
PTC Acquisitions, LLC (DE)
NYMH-Farmingdale, NY LLC (DE)
NYLMDC-King of Prussia GP, LLC (DE)
 NYLMDC-King of Prussia Realty, LP (DE)
NYMH-Attleboro MA, LLC (DE)
NYMH-Ennis GP, LLC (DE)
 NYMH-Ennis, L.P. (TX)
NYMH-Freeport GP, LLC (DE)
 NYMH-Freeport, L.P. (TX)
NYMH-Houston GP, LLC (DE)
 NYMH-Houston, L.P. (TX)
NYMH-Plano GP, LLC (DE)
 NYMH-Plano, L.P. (TX)
NYMH-San Antonio GP, LLC (DE)
 NYMH-San Antonio, L.P. (TX)
NYMH-Stephenville GP, LLC (DE)
 NYMH-Stephenville, L.P. (TX)
NYMH-Taylor GP, LLC (DE)
 NYMH-Taylor, L.P. (TX)
SCP 2005-C21-002 LLC (DE)
SCP 2005-C21-003 LLC (DE)
SCP 2005-C21-006 LLC (DE)
SCP 2005-C21-007 LLC (DE)
SCP 2005-C21-008 LLC (DE)
SCP 2005-C21-009 LLC (DE)
SCP 2005-C21-017 LLC (DE)
SCP 2005-C21-018 LLC (DE)
SCP 2005-C21-021 LLC (DE)
SCP 2005-C21-025 LLC (DE)
SCP 2005-C21-031 LLC (DE)
SCP 2005-C21-036 LLC (DE)
SCP 2005-C21-041 LLC (DE)
SCP 2005-C21-043 LLC (DE)
SCP 2005-C21-044 LLC (DE)
SCP 2005-C21-048 LLC (DE)
SCP 2005-C21-061 LLC (DE)
SCP 2005-C21-063 LLC (DE)

SCP 2005-C21-067 LLC (DE)
SCP 2005-C21-069 LLC (DE)
SCP 2005-C21-070 LLC (DE)
Madison Capital Funding LLC (DE)
 Madison Avenue Loan Fund GP LLC (DE)
 Madison Avenue Loan Fund LP (80-0920962)(DE)
Ironshore Investment BL I Ltd. (BMU)
LMF WF Portfolio II, LLC (DE)
LMF WF Portfolio III, LLC (DE)
MCF CLO I LLC (DE)
MCF CLO II LLC (DE)
MCF CLO III LLC (DE)
MCF CLO IV LLC (DE)
MCF Mezzanine Carry I LLC (DE)
MCF Mezzanine Fund I LLC (DE)
Montpelier Carry Parent, LLC (DE)
 Montpelier Carry, LLC (DE)
Montpelier GP, LLC (DE)
 Montpelier Fund, L.P. (90-0938480) (DE)
Young America Holdings, LLC (DE)
 YAC.ECOM Incorporated (MN)
 Young America, LLC (MN)
 Global Fulfillment Services, Inc. (AZ)
 SourceOne Worldwide, Inc. (MN)
 YA Canada Corporation (CAN)
Zenith Products Holdings, Inc (DE)
 ZPC Holding Corp. (DE)
 Zenith Products Corporation (DE)
MCF Co-Investment GP, LLC (DE)
 MCF Co-Investment GP, LP (DE)
 Madison Capital Funding Co-Investment Fund, LP (DE)
MCF Fund I LLC (DE)
Warwick McAlester Holdings, LLC (DE)
 Meeco Sullivan, LLC (DE)
 Electric Avenue, LLC (DE)
Silver Spring, LLC (DE)
 Silver Spring Associates, L.P. (PA)
 NYLIM Alternatives LLC (DE)
NYLIM Flatiron CLO 2004-1 Ltd. (CYM)
 NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A (DE)
NYLIM Flatiron CLO 2006-1 Ltd. (CYM)
 NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A (DE)
Flatiron CLO 2007-1 Ltd. (CYM)
 NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A (CYM)
Flatiron CLO 2011-1 Ltd. (CYM)
Flatiron CLO 2012-1 Ltd. (CYM)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Insurance Company (Parent) (continued)

Flatiron CLO 2013-1 Ltd. (CYM)
Flatiron CLO 2014-1 Ltd. (CYM)
Flatiron CLO 17 Ltd. (CYM)
Flatiron CLO 2015-1 Ltd. (CYM)
Stratford CDO 2001-1 Ltd. (CYM)
Silverado CLO 2006-II Limited (CYM)
 Silverado 2006-II Equity Holdings LLC, Series A (CYM)
New York Life Funding (CYM)
New York Life Global Funding (DE)
Martingale Road LLC (DE)
UFI-NOR Federal Receivables (NY)
Government Energy Savings Trust 2003-A (NY)
NYL Equipment Issuance Trust (DE)
NYL Equipment Issuance Trust 2014-2 (DE)
Cortlandt Town Center LLC (DE)
REEP-IND FREEDOM MA LLC (DE)
REEP-IND AEGEAN MA LLC (DE)
REEP-IND LYMAN MA LLC (DE)
REEP-OFC Drakes Landing CA LLC (DE)
REEP-OFC Bellevue WA LLC (DE)
REEP-IND Kent LLC (DE)
REEP-IND RTG NC LLC (DE)
REEP-IND Fridley MN LLC (MN)
REEP-IND Green Oaks IL LLC (DE)
REEP-MF Issaquah WA LLC (DE)
REEP-MF Chandler AZ LLC
REEP-MF Verde NC LLC (DE)
REEP-MF Mount Vernon GA LLC (DE)
REEP-MF Wallingford WA LLC (DE)
REEP-RTL Brookhaven PA LLC (DE)
REEP-IND CHINO CA LLC (DE)
REEP-OFC CORPORATE POINTE CA LLC (DE)
REEP-OFC VON KARMAN CA LLC (DE)
2015 DIL PORTFOLIO HOLDINGS LLC (DE)
 CT 611 W. JOHNSON AVE LLC (DE)
 CT 550 RESEARCH PKWY LLC (DE)
 CT 160 CORPORATE COURT LLC (DE)
 NJ 663 E. CRESCENT AVE LLC (DE)
 NJ 1881 ROUTE 46 LLC (DE)
 PA 180 KOST RD LLC (DE)
JREP Fund Holdings I, L.P. (CYM)
Jaguar Real Estate Partners L.P. (CYM)
REEP-OFC One Water Ridge NC LLC (DE)
REEP-OFC Two Water Ridge NC LLC (DE)
REEP-OFC Four Water Ridge NC LLC (DE)
REEP-OFC Five Water Ridge NC LLC (DE)

REEP-OFC Six Water Ridge NC LLC (DE)
REEP-OFC Seven Water Ridge NC LLC (DE)
REEP-OFC Eight Water Ridge NC LLC (DE)
REEP-OFC Nine Water Ridge NC LLC (DE)
REEP-OFC Ten Water Ridge NC LLC (DE)
REEP-OFC Eleven Water Ridge NC LLC (DE)
REEP-OFC Water Ridge NC Holdco LLC (DE)
REEP-MF Fountain Place MN LLC (DE)
 REEP-MF FOUNTAIN PLACE LLC
REEP-OFC ONE BOWDOIN SQUARE MA LLC (DE)
REEP-IND 10 WEST AZ LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Insurance and Annuity Corporation

Ausbil IT - Ausbil Microcap Fund (AUS)
Ausbil IT – Candriam Sustainable Global Equity Fund (AUS)
MacKay Shields Unconstrained Bond Fund (DE)

New York Life Enterprises LLC

New York Life International Holdings Limited (MUS)
NYL Cayman Holdings Ltd. (CYM)
 NYL Worldwide Capital Investments LLC (DE)
Seguros Monterrey New York Life, S.A. de C.V. (MEX)
 Inmobiliaria SMNYL, S.A. de C.V. (MEX)
 Administradora de Conductos SMNYL, S.A. de C.V. (MEX)
 Agencias de Distribucion SMNYL, S.A. de C.V. (MEX)
SEAF Sichuan SME Investment Fund LLC (DE)

NYL Investors LLC

New York Life Investment Management (U.K.) Ltd. (GBR)
NYLIM Holdings NCVAD, GP, LLC (DE)
 McMorgan Northern California Value Add/Development Fund I, L.P. (DE)
 MNCVAD-IND Greenwood CA LLC (DE)
 MNCVAD-IND Norris Canyon CA LLC (DE)
 MNCVAD-CP Norris Canyon LLC (DE)
 MNCVAD-OFC 2665 North First CA LLC (DE)
 MNCVAD-SEAGATE 2665 North First LLC (DE)
 MNCVAD-IND Petaluma CA LLC (DE)
 MNCVAD-OFC Bridgepointe CA LLC (DE)
 MNCVAD-OFC Ridder Park CA LLC (DE)
 MNCVAD GRAYMARK Ridder Park LLC (DE)
 MNCVAD-OFC ONE BAY CA LLC(DE)
 MNCVAD-HARVEST ONE BAY LLC(DE)
 MNCVAD-IND RICHMOND CA LLC (DE)

NYLIFE LLC

Eagle Strategies LLC (DE)
New York Life Capital Corporation (DE)
New York Life Trust Company (13-3808042) (NY)
NYL Executive Benefits LLC (DE)
NYLIFE Securities LLC (13-2649692) (DE)
NYLINK Insurance Agency Incorporated (DE)
NYLUK I Company (GBR)
 NYLUK II Company (GBR)
 Gresham Mortgage (GBR)
 W Construction Company (GBR)
 WIM (AIM) (GBR)
 WUT (GBR)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

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<u>New York Life Investment Management Holdings LLC</u>	
Institutional Capital LLC (03-0598064)(DE) NYLIFE Distributors LLC (13-3741759)(DE) NYLIM Service Company LLC (DE) MacKay Shields LLC (13-4080466)(DE) MacKay Shields Core Plus Opportunities Fund GP LLC (DE) MacKay Shields Core Plus / Opportunities Fund LP (DE) MacKay Shields Credit Strategy Fund Ltd (CYM) MacKay Shields Defensive Bond Arbitrage Fund Ltd. (BMU) MacKay Shields High Yield Active Core Fund GP LLC (DE) MacKay Shields High Yield Active Core Fund LP (DE) MacKay Shields Core Fixed Income Fund GP LLC (DE) MacKay Shields Core Fixed Income Fund LP (45-2733007)(DE) MacKay Municipal Managers Opportunities GP LLC (DE) MacKay Municipal Opportunities Master Fund, L.P. (DE) MacKay Municipal Opportunities Fund, L.P. (DE) MacKay Municipal Managers Credit Opportunities GP LLC (DE) MacKay Municipal Credit Opportunities Master Fund, L.P. (DE) MacKay Municipal Credit Opportunities Fund, L.P. (DE) MacKay Municipal Short Term Opportunities Fund GP LLC (DE) MacKay Municipal Short Term Opportunities Fund LP (45-3041041)(DE) Plainview Funds plc (IRL) Plainview Funds plc – MacKay Shields - Emerging Markets Credit Portfolio (IRL) Plainview Funds plc – MacKay Shields - Flexible Bond Portfolio (IRL) Plainview Funds plc – MacKay Shields - Unconstrained Bond Portfolio (IRL) Plainview Funds plc – MacKay Shields - Floating Rate High Yield Portfolio (IRL) Plainview Funds plc – MacKay Shields Core Plus Opportunities Portfolio (IRL) MacKay Shields Statutory Trust – High Yield Bond Series (CT) MacKay Shields (International) Ltd. (GBR) MacKay Shields (Services) Ltd. (GBR) MacKay Shields UK LLP (GBR) MacKay Shields Global Derivatives LLC (DE) MacKay Municipal Managers Puerto Rico Opportunities GP LLC (DE) MacKay Puerto Rico Opportunities Fund, L.P. (DE) MacKay Puerto Rico Opportunities Feeder Fund, L.P. (DE) MacKay Municipal Managers California Opportunities GP LLC (DE) MacKay Municipal Capital Trading GP LLC MacKay Municipal Capital Trading Master Fund, L.P. MacKay Municipal Capital Trading Fund, L.P. MacKay Municipal California Opportunities Fund, L.P. (DE) MacKay Municipal New York Opportunities GP LLC (DE) MacKay Municipal New York Opportunities Fund, L.P.(DE) Cornerstone Capital Management Holdings LLC (13-5582869)(DE) Cornerstone Capital Management LLC (41-1763532)(DE) Cornerstone US Equity Market Neutral Fund, LLC (DE) Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC (DE) Cornerstone Capital Management Large-Cap Enhanced Index Fund L.P. (DE) NYL Workforce GP LLC (DE) New York Life Investment Management Holdings International S.á.r.l. (LUX)	New York Life Investment Management Holdings II International S.á.r.l. (LUX) New York Life Investment Management Global Holdings S.á.r.l. (LUX) Candriam Luxco S.á.r.l. (LUX) Ausbil Investment Management Limited (LUX) Ausbil Australia Pty. Ltd. (AUS) Ausbil Asset Management Pty. Ltd. (AUS) Ausbil Investment Management Ltd Employee Share Trust(AUS) Candriam Luxembourg, partnership limited by shares (LUX) Candriam Luxembourg Italy Branch Candriam Luxembourg UK Establishment Candriam Luxembourg Germany Branch Candriam Luxembourg US Branch Candriam Luxembourg Spain Branch Candriam Luxembourg Netherland Branch Candriam Luxembourg MENA Branch (Dubai, UAE) BIL Prime Advanced Cash + 100 (LUX) Candriam France, simplified joint-stock company (FRA) Candriam Monétaire (FRA) Candriam Switzerland LLC, limited liability company (CHE) Candriam Belgium, public limited company (BEL) Candriam Bonds Convertible Opportunities (LUX) Candriam Alternative Return Equity Market Neutral (LUX) Cordius CIG (LUX) New York Life Investment Management LLC (DE) Madison Core Property Fund LLC (DE) MIREF 1500 Quail, LLC (DE) MIREF Mill Creek, LLC (DE) MIREF Gateway, LLC (DE) MIREF Delta Court, LLC (DE) MIREF Fremont Distribution Center, LLC (DE) 1101 Taylor Road LLC (DE) MIREF Century, LLC (DE) MIREF York Road, LLC (DE) York Road EW, LLC (DE) York Road Retail West, LLC (DE) 2001 EW LLC (DE) 2122 EW LLC (DE) MIREF Saddle River LLC (DE) Via Verde San Dimas, LLC (DE) MIREF DC Corp. (DE) MIREF L Street, LLC (DE) 1901 L Street Corp. (DE) 1901 L Street LLC (DC) MIREF Newpoint Commons, LLC (DE) MIREF Northsight, LLC (DE) MIREF Riverside, LLC (DE) MIREF Corporate Woods, LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

MIREF Bedminster, LLC (DE)
MIREF Barton's Creek, LLC (DE)
 Barton's Lodge Apartments, LLC (DE)
MIREF Marketpointe, LLC (DE)
MIREF 101 East Crossroads, LLC (DE)
 101 East Crossroads, LLC (DE)
MIREF Waterview, LLC (DE)
MIREF Chain Bridge, LLC (DE)
 1991 Chain Bridge Road, LLC (DE)
MIREF Aptakasic, LLC (DE)
 Aptakasic Creek Corporate Park, LLC (DE)
MIREF Hawthorne, LLC (DE)
MIREF Auburn 277, LLC (DE)
MIREF Sumner North, LLC (DE)
MIREF Wellington, LLC (DE)
MIREF Warner Center, LLC (DE)
MADISON-IND Valley Business Park CA LLC (DE)
MADISON-MF Duluth GA LLC (DE)
MADISON-MF Casa Santa Fe AZ LLC (DE)
MADISON-MF Cabrillo AZ LLC (DE)
MADISON-OFC Centerstone I CA LLC (DE)
MADISON-OFC Centerstone III CA LLC (DE)
MADISON-MOB Centerstone IV CA LLC (DE)
MADISON-OFC Canyon Commons CA LLC (DE)
MADISON-OFC Centerpoint Plaza CA LLC (DE)
MADISON-IND Logistics NC LLC (DE)
 MCPF-LRC Logistics LLC (DE)
MADISON-MF Desert Mirage AZ LLC (DE)
MADISON-OFC One Main Place OR LLC (DE)
MADISON-IND Fenton MO LLC (DE)
MADISON-IND Hitzert Roadway MO LLC (DE)
MADISON-MF Hoyt OR LLC (DE)
MADISON-RTL Clifton Heights PA LLC (DE)
MADISON-IND Locust CA LLC (DE)
MADISON-OFC Weston Pointe FL LLC (DE)
 MADISON-MF Henderson NV LLC (DE)MCPF-SP Henderson LLC (DE)
 MADISON-SP Henderson LLC (DE)
 MADISON-IND VISTA LOGISTICS OR LLC(DE)
 MADISON-SPECHT VISTA LOGISTICS LLC (DE)
 MADISON-MF MCCADDEN CA LLC (DE)
NYLIM-GCR Fund I, LLC (DE)
NYLIM Fund II GP, LLC (DE)
 NYLIM Real Estate Mezzanine Fund II, LP (DE)
 NYLIM-TND, LLC (DE)
 NYLIM-DCM, LLC (DE)

NYLIM-MM, LLC (DE)
 DCM-N, LLC (DE)
 DCM Warehouse Series A, LLC (DE)
 DCM Warehouse Series One, LLC (DE)
 Sixteen West Savannah, LLC (IN)
NYLIM RE Mezzanine Fund II Investment Corporation (DE)
WFHG GP, LLC (DE)
 Workforce Housing Fund I-2007 LP (DE)
 Evolve Asset Management, Ltd. (IND)
GoldPoint Partners LLC (13-4091043) (DE)
 GoldPoint Mezzanine Partners IV GenPar GP, LLC (DE)
 GoldPoint Mezzanine Partners Offshore IV, L.P. (CYM)
 GoldPoint Mezzanine Partners IV GenPar LP (DE)
 GoldPoint Mezzanine Partners IV, LP (DE)
 GPP Mezzanine Blocker Holdco A, LP (DE)
 GPP Mezzanine Blocker Holdco Preferred A, LP(DE)
 GPP Mezzanine Blocker A, LP (DE)
 GPP Mezzanine Blocker Holdco B, LP (DE)
 GPP Mezzanine Blocker B, LP (DE)
 GoldPoint Mezzanine Partners Co-Investment Fund A, LP (DE)
NYLCAP 2010 Co-Invest GenPar GP, LLC (DE)
 NYLCAP 2010 Co-Invest GenPar L.P. (DE)
 NYLCAP 2010 Co-Invest L.P. (DE)
 NYLCAP 2010 Co-Invest ECI Blocker Holdco A L.P. (DE)
 NYLCAP 2010 Co-Invest ECI Blocker A L.P. (DE)
 NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P. (DE)
 NYLCAP 2010 Co-Invest ECI Blocker B L.P. (DE)
 NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P. (DE)
 NYLCAP 2010 Co-Invest ECI Blocker E L.P. (DE)
 NYLCAP 2010 Co-Invest ECI Blocker Holdco F L.P. (DE)
 NYLCAP 2010 Co-Invest ECI Blocker F L.P. (DE)
 NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P. (DE)
 NYLCAP 2010 Co-Invest ECI Blocker G L.P. (DE)
New York Life Capital Partners, LLC (DE)
 New York Life Capital Partners, LP (13-4091045) (DE)
New York Life Capital Partners II, LLC (DE)
 New York Life Capital Partners II, L.P. (DE)
New York Life Capital Partners III GenPar GP, LLC (DE)
 New York Life Capital Partners III GenPar, LP (DE)
 New York Life Capital Partners III, LP (DE)
 New York Life Capital Partners III-A, LP (DE)
New York Life Capital Partners IV GenPar GP, LLC (DE)
 New York Life Capital Partners IV GenPar, LP (DE)
 New York Life Capital Partners IV, LP (DE)
 New York Life Capital Partners IV-A, LP (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

GoldPoint Partners Co-Investment V GenPar GP LLC (DE)
GoldPoint Partners Co-Investment V GenPar, L.P. (DE)
GoldPoint Partners Co-Investment V, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker A, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker B, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker C, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker D, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker E, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP
GoldPoint Partners Co-Investment V ECI Blocker F, LP
GoldPoint Partners Co-Investment Fund-A, LP
NYLCAP India Funding LLC (DE)
NYLIM-JB Asset Management Co. (Mauritius) LLC (MUS)
New York Life Investment Management India Fund II, LLC (Mauritius) (MUS)
New York Life Investment Management India Fund (FVCI) II, LLC (Mauritius) (MUS)
NYLCAP India Funding III LLC (DE)
NYLIM-Jacob Ballas Asset Management Company III (Mauritius) LLC (MUS)
NYLIM Jacob Ballas India Fund III (Mauritius) LLC (MUS)
NYLIM Jacob Ballas Capital India (FVCI) III (Mauritius) LLC (MUS)
NYLIM Jacob Ballas India (FII) III (Mauritius) LLC (MUS)
NYLIM Jacob Ballas India Holdings (Mauritius) IV
NYLCAP Holdings (Mauritius) LLC (MUS)
Jacob Ballas Capital India PVT, LTD (MUS)
NYLIM Mezzanine GenPar GP, LLC (DE)
NYLIM Mezzanine GenPar, LP (DE)
New York Life Investment Management Mezzanine Partners, LP (DE)
NYLIM Mezzanine Partners Parallel Fund, LP (DE)
NYLIM Mezzanine Partners II GenPar GP, LLC (DE)
NYLIM Mezzanine Offshore Partners II, LP (CYM)
NYLIM Mezzanine Partners II GenPar, LP (DE)
New York Life Investment Management Mezzanine Partners II, LP (DE)
NYLIM Mezzanine II Luxco S.a.r.l. (LUX)
NYLIM Mezzanine Partners II Parallel Fund, LP (DE)
NYLIM Mezzanine II Parallel Luxco S.a.r.l. (LUX)
Voice Holdco Ltd. (CAN)
NYLCAP Mezzanine Partners III GenPar GP, LLC (DE)
NYLCAP Mezzanine Partners III GenPar, LP (DE)
NYLCAP Mezzanine Partners III-K, LP (DE)
NYLCAP Mezzanine Partners III, LP (DE)
NYLCAP Mezzanine Partners III Parallel Fund, LP (DE)

NYLCAP Mezzanine Partners III 2012 Co-Invest, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco A, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker A, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C, LP (DE)
C.B. Fleet TopCo. LLC (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F,LP
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F, LP
NYLCAP Mezzanine Offshore Partners III, L.P. (DE)
NYLCAP Select Manager GenPar, LP (DE)
NYLCAP Select Manager GenPar GP, LLC (DE)
NYLCAP Select Manager Fund, LP (DE)
NYLCAP Select Manager Cayman Fund, LP (CYM)
NYLCAP Select Manager II GenPar GP, LLC (DE)
NYLCAP Select Manager II GenPar GP L.P. (CYM)
NYLCAP Select Manager Fund II, L.P. (CYM)
NYLCAP Canada GenPar Inc. (CAN)
NYLCAP Select Manager Canada Fund, LP (CAN)
NYLCAP Canada II GenPar Inc. (CAN)
NYLCAP Select Manager Canada Fund II, L.P. (CAN)
GoldPoint Partners Select Manager III GenPar GP, LLC (DE)
GoldPoint Partners Select Manager III GenPar, L.P. (CYM)
GoldPoint Partners Select Manager Fund III, L.P. (CYM)
GoldPoint Partners Select Manager Fund III AIV, L.P.
GoldPoint Partners Canada III GenPar, Inc
GoldPoint Partners Select Manager Canada Fund III, L.P.
Private Advisors LLC (54-1886751)(DE)
PACIF GP, LLC (DE)
Private Advisors Coinvestment Fund, LP (DE)
PACIF Carry Parent, LLC (DE)
PACIF Carry, LLC (DE)
PACIF II Carry Parent, LLC (DE)
PACIF II Carry, LLC (DE)
PACIF II GP, LLC (DE)
Private Advisors Coinvestment Fund II, LP (DE)
PACIF III Carry Parent, LLC (DE)
PACIF III Carry, LLC (DE)
PACIF III GP, LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

Private Advisors Coinvestment Fund III, LP (46-1360141) (DE)
PACIF IV GP LLC (DE)
Private Advisors Coinvestment Fund IV LP (DE)
PACIF IV Carry Parent, LLC (DE)
PACIF IV Carry, LLC (DE)
PASCCIF GP, LLC (DE)
Private Advisors Small Company Coinvestment Fund, LP (DE)
PASCCIF Carry Parent, LLC (DE)
PASCCIF Carry, LLC (DE)
Private Advisors Small Company Buyout Fund II, L.P. (DE)
PASCBF III GP, LLC (DE)
Private Advisors Small Company Buyout Fund III, LP (DE)
PASCBF IV Carry Parent, LLC (DE)
PASCBF IV Carry, LLC (DE)
PASCBF IV GP, LLC (DE)
Private Advisors Small Company Buyout Fund IV, LP (DE)
PASCBF V Carry Parent, LLC (DE)
PASCBF V Carry, LLC (DE)
PASCBF V GP, LLC (DE)
Private Advisors Small Company Buyout Fund V, LP (DE)
Private Advisors Small Company Buyout V, ERISA Fund, LP (DE)
PASCPEF VI Carry Parent, LLC (DE)
PASPEF VI Carry, LLC (DE)
PASCPEF VI GP, LLC (DE)
Private Advisors Small Company Private Equity Fund VI, LP (DE)
Private Advisors Small Company Private Equity Fund VI (Cayman), LP (CYM)
PASCPEF VII GP, LLC (DE)
Private Advisors Small Company Private Equity Fund VII, LP (DE)
PASCPEF VII Carry Parent, LLC (DE)
PASCPEF VII Carry, LLC (DE)
PA Real Assets Carry Parent, LLC (DE)
PA Real Assets Carry, LLC (DE)
PA Emerging Manager Carry Parent, LLC (DE)
PA Emerging Manager Carry, LLC (DE)
RIC I GP, LLC (DE)
Richmond Coinvestment Partners I, LP (DE)
RIC I Carry Parent, LLC (DE)
RIC I Carry, LLC (DE)
PARAF GP, LLC (DE)
Private Advisors Real Assets Fund, LP (DE)
PARAF Carry Parent, LLC (DE)
PARAF Carry, LLC (DE)
Cuyahoga Capital Partners I Management Group, LLC (DE)
Cuyahoga Capital Partners II Management Group LLC (DE)
Cuyahoga Capital Partners III Management Group LLC (DE)
Cuyahoga Capital Partners IV Management Group LLC (DE)
PASF V GP, LLC
Private Advisors Secondary Fund V, LP
PASF V Carry Parent, LLC
PASF V Carry, LLC

Cuyahoga Capital Emerging Buyout Partners Management Group LLC (DE)
UVF GP, LLC (DE)
Undiscovered Value Fund, LP (DE)
Undiscovered Value Fund, Ltd. (CYM)
Undiscovered Value Master Fund SPC (CYM)
Private Advisors Stable Value Fund, Ltd. (DE)
Index IQ Holdings Inc. (DE)
Financial Development LLC (DE)
IndexIQ, Inc. (DE)
IndexIQ LLC (DE)
IndexIQ Advisors LLC (DE)
New York Life Investment Management Asia Limited (CYM)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

NYLife Real Estate Holdings LLC

CC Acquisitions, LP (DE)
Huntsville NYL LLC (DE)
REEP-MF Cumberland TN LLC (DE)
 Cumberland Apartments, LLC (TN)
REEP-RTL Bradford PA LLC (DE)
REEP-IND Forest Park NJ LLC (DE)
 FP Building 1-2-3 LLC (DE)
 FP Building 4 LLC (DE)
 FP Building 17, LLC (DE)
 FP Building 18, LLC (DE)
 FP Building 19, LLC (DE)
 FP Building 20, LLC (DE)
 FP Mantua Grove LLC (DE)
 Lot 1.01 LLC (DE) FP
REEP-OFC Mallory TN LLC
REEP-IND NJ LLC (DE)
 NJIND JV LLC (DE)
 NJIND Hook Road LLC (DE)
 NJIND Raritan Center LLC (DE)
 NJIND Talmadge Road LLC (DE)
 NJIND Bay Avenue LLC (DE)
 NJIND Melrich Road LLC (DE)
 NJIND Carter Drive LLC (DE)
 NJIND Corbin Street LLC (DE)
REEP-MF Marina Landing WA LLC (DE)
 REEP-SP Marina Landing LLC (DE)
REEP-IND Valwood TX LLC (DE)
REEP-MF Enclave TX LLC (DE)
REEP-MF Mira Loma II TX LLC (DE)
REEP-MF Summitt Ridge CO LLC (DE)
REEP-OF Centerpointe VA LLC (DE)
REEP-OFC 575 Lex NY LLC (DE)
REEP-OFC 575 Lex NY GP LLC (DE)
REEP-OFC Westory DC LLC (DE)
REEP-RTL SASI GA LLC (DE)
REEP-MF Woodridge IL LLC (DE)
REEP-IND Continental NC LLC (DE)
 LRC-Patriot, LLC (DE)
 REEP-LRC Industrial LLC (DE)
REEP-OFC 525 N Tryon NC LLC (DE)
 525 Charlotte Office LLC (DE)
REEP-OFC Royal Centre GA LLC (DE)
 Royal Centre, LLC (DE)
REEP-RTL CTC NY LLC (DE)
REEP-OFC 5005 LBJ Freeway TX LLC (DE)
 5005 LBJ Tower LLC (DE)
REEP-MF SPENCER NV LLC (DE)
 REEP-HZ SPENCER JV LLC (DE)
 REEP-HZ SPENCER LLC (DE)
REEP-OFC/RTL MARKET ROSS TX LLC (DE)

MARKET ROSS TX JV LLC (DE)
MARKET ROSS TX GARAGE OWNER LLC (DE)
MARKET ROSS TX OFFICE OWNER LLC (DE)
MARKET ROSS TX RETAIL OWNER LLC (DE)
REEP-OFC Mallory TN LLC (DE)
 3665 Mallory JV LLC (DE)
REEP-OFC WATER RIDGE NC LLC (DE)

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner- ship Provide Percen- tage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Re- quired? (Y/N)	16 *
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi- ciliary Loca- tion	Relation- ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)					
0826	New York Life Group	66915	13-5582869	1583827	0000071633		New York Life Insurance Company New York Life Insurance & Annuity Corporation	NY	UDP						
0826	New York Life Group	91596	13-3044743	3683691	0000727136			DE	IA	New York Life Insurance Company New York Life Insurance & Annuity Corporation	Ownership	100.000	New York Life Insurance Company	N	
		00000					Ausbil IT - Ausbil Microcap Fund Ausbil IT Candriam Sustainable Global Equity Fund	AUS	NIA		Ownership	9.810	New York Life Insurance Company	N	
		00000						AUS	NIA		Ownership	37.560	New York Life Insurance Company	N	
		00000					MacKay Shields Unconstrained Bond Fund	DE	NIA		Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group	81353	52-1530175				NYLIFE Insurance Company of Arizona	AZ		New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		00000	13-4199614				New York Life Enterprises LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		00000					New York Life International Holdings Limited	MUS	NIA	New York Life Enterprises LLC	Ownership	84.380	New York Life Insurance Company	N	
		00000					New York Life International Holdings Limited	MUS	NIA		Ownership	15.620	New York Life Insurance Company	N	
		00000					NYL Cayman Holdings Ltd.	CYM	NIA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	N	
		00000					NYL Worldwide Capital Investments, LLC	DE	NIA	NYL Cayman Holdings Ltd.	Ownership	100.000	New York Life Insurance Company	N	
		00000					Seguros Monterrey New York Life, S.A. de C.V.	MEX	IA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	N	
		00000					Administradora de Conductos SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	N	
		00000					Agencias de Distribucion SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	N	
		00000					Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	N	
		00000					Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Agencias de Distribucion SMNYL, S.A. de C.V.	Ownership	1.000	New York Life Insurance Company	N	
		00000					SEAF Sichuan SME Investment Fund LLC	DE	NIA	New York Life Enterprises LLC	Ownership	39.980	New York Life Insurance Company	N	
		00000					NYL Real Assets LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		00000					NYL Emerging Manager LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		00000	13-4081725	2928649	0001270096		NYLIFE LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		00000					Eagle Strategies LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
		00000					New York Life Capital Corporation	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
		00000					NYL Executive Benefits LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
		00000	13-2649692		0000071637		NYLIFE Securities LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
		00000					NYLINK Insurance Agency Incorporated	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
		00000					NYLUK I Company	GBR	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
		00000					NYLUK II Company	GBR	NIA	NYLUK I Company	Ownership	100.000	New York Life Insurance Company	N	
		00000					Gresham Mortgage	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
		00000					W Construction Company	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
		00000					WIM (AIM)	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
		00000					WUT	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
		00000					JREP Fund Holdings I, L.P.	CYM	NIA	New York Life Insurance Company	Ownership	12.500	New York Life Insurance Company	N	
		00000					Jaguar Real Estate Partners L.P.	CYM	NIA	New York Life Insurance Company	Ownership	30.300	New York Life Insurance Company	N	
		00000	46-4293486				NYL Investors LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		00000					NYLIM Holdings NCVAD, GP, LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
		00000					McMorgan Northern California Value Add/Development Fund I, L.P.	DE	NIA	NYLIM Holdings NCVAD, GP, LLC	Ownership	50.000	New York Life Insurance Company	N	
		00000					MNCVAD-IND Greenwood CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
		00000					MNCVAD-IND Norris Canyon CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
		00000					MNCVAD-CP Norris Canyon LLC	DE	NIA	MNCVAD-IND Norris Canyon CA LLC	Ownership	94.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000					MNCVAD-OFC 2665 North First CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MNCVAD-SEAGATE 2665 North First LLC	DE	NIA	MNCVAD-OFC 2665 North First CA LLC	Ownership	90.000	New York Life Insurance Company	N	
		.00000					MNCVAD-IND Petaluma CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MNCVAD-OFC Bridgepointe CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MNCVAD-OFC Ridder Park CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MNCVAD-GRAYMARK Ridder Park LLC	DE	NIA	MNCVAD-OFC Ridder Park CA LLC	Ownership	97.500	New York Life Insurance Company	N	
		.00000					MNCVAD-OFC ONE BAY CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MNCVAD-HARVEST ONE BAY LLC	DE	NIA	MNCVAD-OFC ONE BAY CA LLC	Ownership	95.000	New York Life Insurance Company	N	
		.00000					MNCVAD-IND RICHMOND CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYL Wind Investments LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		.00000					PTC Acquisitions, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLIFE Real Estate Holdings, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		.00000					CC Acquisitions, LP	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000		3663273			Huntsville NYL LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-IND FREEDOM MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-IND AEGEAN MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-IND LYMAN MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-IND Kent LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-MF Cumberland TN LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					Cumberland Apartments, LLC	TN	NIA	REEP-MF Cumberland TN LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-RTL Bradford PA LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-IND Forest Park NJ LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					FP Building 1-2-3 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					FP Building 4 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					FP Building 17, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					FP Building 18, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					FP Building 19, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					FP Building 20, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					FP Mantua Grove LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					FP Lot 1.01 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-IND Continental NC LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					LRC-Patriot, LLC	DE	NIA	REEP-IND Continental NC LLC	Ownership	93.000	New York Life Insurance Company	N	
		.00000					REEP-LRC Industrial LLC	DE	NIA	LRC-Patriot, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-OFC 525 N Tryon NC LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					525 Charlotte Office LLC	DE	NIA	REEP-OFC 525 N Tryon NC LLC	Ownership	95.000	New York Life Insurance Company	N	
		.00000					REEP-IND RTG NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-OFC Royal Centre GA LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					Royal Centre, LLC	DE	NIA	REEP-OFC Royal Centre GA LLC	Ownership	90.000	New York Life Insurance Company	N	
		.00000					REEP-RTL CTC NY LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-OFC 5005 LBJ Freeway TX LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	97.000	New York Life Insurance Company	N	
		.00000					5005 LBJ Tower LLC	DE	NIA	REEP-OFC 5005 LBJ Freeway TX LLC	Ownership	97.000	New York Life Insurance Company	N	
		.00000					REEP-MF SPENCER NV LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-HZ SPENCER JV LLC	DE	NIA	REEP-MF SPENCER NV LLC	Ownership	92.700	New York Life Insurance Company	N	
		.00000					REEP-HZ SPENCER LLC	DE	NIA	REEP-HZ SPENCER JV LLC	Ownership	92.700	New York Life Insurance Company	N	
		.00000					REEP-RTL Brookhaven PA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		.00000					REEP-OFC Mallory TN LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					3665 Mallory JV LLC	DE	NIA	REEP-OFC Mallory TN LLC	Ownership	90.900	New York Life Insurance Company	N	
		.00000					REEP-IND CHINO CA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.00000					REEP-OFC CORPORATE POINTE CA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-OFC VON KARMAN CA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					Cortlandt Town Center LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-IND Fridley MN LLC	MN	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-IND Green Oaks IL LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-OFC Bellevue WA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-IND NJ LLC	DE	NIA.....	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NJIND JV LLC	DE	NIA.....	REEP-IND NJ LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NJIND Hook Road LLC	DE	NIA.....	NJIND JV LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NJIND Raritan Center LLC	DE	NIA.....	NJIND JV LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NJIND Talmadge Road LLC	DE	NIA.....	NJIND JV LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NJIND Bay Avenue LLC	DE	NIA.....	NJIND JV LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NJIND Melrich Road LLC	DE	NIA.....	NJIND JV LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NJIND Corbin Street LLC	DE	NIA.....	NJIND JV LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NJIND Carter Drive LLC	DE	NIA.....	NJIND JV LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-Enclave TX LLC	DE	NIA.....	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-MF Issaquah WA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-MF Mira Loma II TX LLC	DE	NIA.....	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-MF Verde NC LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-MF Mount Vernon GA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-MF Summitt Ridge CO LLC	DE	NIA.....	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-OF Centerpointe VA LLC	DE	NIA.....	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-RTL SASI GA LLC	DE	NIA.....	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-MF Chandler AZ LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-MF Woodridge IL LLC	DE	NIA.....	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-MF Wallingford WA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-IND Valwood TX LLC	DE	NIA.....	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-MF Marina Landing WA LLC	DE	NIA.....	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-SP Marina Landing LLC	DE	NIA.....	REEP-MF Marina Landing WA LLC	Ownership.....	98.000	New York Life Insurance CompanyN	
		.00000					REEP-OFC 575 Lex NY LLC	DE	NIA.....	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-OFC 575 Lex NY GP LLC	DE	NIA.....	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-OFC Drakes Landing CA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					REEP-OFC Westory DC LLC	DE	NIA.....	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					CT 160 CORPORATE COURT LLC	DE	NIA.....	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH Farmingdale, NY, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYLMDC King of Prussia GP, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYLMDC King of Prussia Realty, LP	DE	NIA.....	NYLMDC King of Prussia GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH Attleboro MA, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-Ennis GP, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-Ennis, L.P.	TX	NIA.....	NYMH-Ennis GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-Freeport GP, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-Freeport, L.P.	TX	NIA.....	NYMH-Freeport GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-Houston GP, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-Houston, L.P.	TX	NIA.....	NYMH-Houston GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-Plano GP, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-Plano, L.P.	TX	NIA.....	NYMH-Plano GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-San Antonio GP, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-San Antonio, L.P.	TX	NIA.....	NYMH-San Antonio GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-Stephenville GP, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-Stephenville, L.P.	TX	NIA.....	NYMH-Stephenville GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-Taylor GP, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					NYMH-Taylor, L.P.	TX	NIA.....	NYMH-Taylor GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					SCP 2005-C21-002 LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	
		.00000					SCP 2005-C21-003 LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance CompanyN	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.00000					SCP 2005-C21-006 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-007-LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-008 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-009 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-017 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-018 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-021 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-025 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-031 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-036 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-041 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-043 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-044 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-048 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-061 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-063 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-067 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-069 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					SCP 2005-C21-070 LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Silver Spring, LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Silver Spring Associates, L.P.	.PA	NIA	Silver Spring, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					2015 DIL PORTFOLIO HOLDINGS LLC	.DE	NIA	New York Life Insurance Company	Ownership	62.308	New York Life Insurance Company	.N	
		.00000								New York Life Insurance and Annuity Corporation	Ownership	37.692	New York Life Insurance Company	.N	
		.00000					2015 DIL PORTFOLIO HOLDINGS LLC	.DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					CT 611 W. JOHNSON AVE LLC	.DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					CT 550 RESEARCH PKWY LLC	.DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NJ 663 E. CRESCENT AVE LLC	.DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NJ 1881 ROUTE 46 LLC	.DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					PA 180 KOST RD LLC	.DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC One Water Ridge NC LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC Two Water Ridge NC LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC Four Water Ridge NC LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC Five Water Ridge NC LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC Six Water Ridge NC LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC Seven Water Ridge NC LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC Eight Water Ridge NC LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC Nine Water Ridge NC LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC Ten Water Ridge NC LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC Eleven Water Ridge NC LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC Water Ridge NC Holdco LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC Water Ridge NC LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-MF Fountain Place MN LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-MF FOUNTAIN PLACE LLC	.DE	NIA	REEP-MF Fountain Place MN LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-OFC ONE BOWDOIN SQUARE MA LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					REEP-IND 10 WEST AZ LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000	52-2206685		0001513831		New York Life Investment Management Holdings LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					New York Life Investment Management Asia Limited	.CYM	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Index IQ Holdings Inc.	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000			0001460140		Financial Development LLC	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	74.370	New York Life Insurance Company	.N	
		.00000			0001460140		Financial Development LLC	.DE	NIA	Index IQ Holdings Inc.	Ownership	25.630	New York Life Insurance Company	.N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi- ciliary Loca- tion	Relation- ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)					
		.00000			0001364028		IndexIQ, Inc.	DE	NIA	Financial Development LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					IndexIQ LLC	DE	NIA	Financial Development LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001415996		IndexIQ Advisors LLC	DE	NIA	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000	03-0598064		0000050672		Institutional Capital LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000	13-3741759		0000914898		NYLIFE Distributors LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001103598		NYLIM Service Company LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000	13-4080466		0000061227		MackKay Shields LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Shields Core Plus Opportunities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Shields Core Plus / Opportunities Fund LP	DE	NIA	MackKay Shields Core Plus Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001502131		MackKay Shields Credit Strategy Fund LTD	CYM	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001502133		MackKay Shields Defensive Bond Arbitrage Fund Ltd.	BMJ	NIA	MackKay Shields LLC	Board of Directors	0.000	New York Life Insurance Company	N	
		.00000			0001502133		MackKay Shields Defensive Bond Arbitrage Fund Ltd.	BMJ	NIA	New York Life Insurance Company	Ownership	13.640	New York Life Insurance Company	N	
		.00000			0001502133		MackKay Shields Defensive Bond Arbitrage Fund Ltd.	BMJ	NIA	MackKay Shields LLC	Ownership	0.720	New York Life Insurance Company	N	
		.00000					MackKay Shields High Yield Active Core Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001502130		MackKay Shields High Yield Active Core Fund LP	DE	NIA	MackKay Shields High Yield Active Core Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Shields Core Fixed Income Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000	45-2733007		0001529525		MackKay Shields Core Fixed Income Fund LP	DE	NIA	MackKay Shields Core Fixed Income Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Municipal Managers Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001432467		MackKay Municipal Opportunities Master Fund, L.P.	DE	NIA	MackKay Municipal Managers Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001432468		MackKay Municipal Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Municipal Managers Credit Opportunities GP, LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001460030		MackKay Municipal Credit Opportunities Master Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001460023		MackKay Municipal Credit Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Municipal Short Term Opportunities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000	45-3041041		0001532022		MackKay Municipal Short Term Opportunities Fund LP	DE	NIA	MackKay Municipal Short Term Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Municipal New York Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Municipal New York Opportunities Fund, L.P.	DE	NIA	MackKay Municipal New York Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Municipal Capital Trading GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Municipal Capital Trading Master Fund, L.P.	DE	NIA	MackKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
							MackKay Municipal Capital Trading Fund, L.P.	DE	NIA	MackKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					Plainview Funds plc	IRL	NIA	MackKay Shields LLC	Ownership	50.000	New York Life Insurance Company	N	
		.00000					Plainview Funds plc	IRL	NIA	MackKay Shields LLC	Board of Directors	0.000	New York Life Insurance Company	N	
		.00000					Plainview Funds plc - MackKay Shields - Emerging Markets Credit Portfolio	IRL	NIA	New York Life Insurance & Annuity Corporation	Ownership	99.980	New York Life Insurance Company	N	
		.00000					Plainview Funds plc - MackKay Shields - Flexible Bond Portfolio	IRL	NIA	Plainview Funds Plc	Management	0.000	New York Life Insurance Company	N	3
		.00000					Plainview Funds plc - MackKay Shields - Unconstrained Bond Portfolio	IRL	NIA	New York Life Insurance Company	Ownership	16.910	New York Life Insurance Company	N	
		.00000					Plainview Funds plc - MackKay Shields - Unconstrained Bond Portfolio	IRL	NIA	MackKay Shields LLC	Ownership	1.510	New York Life Insurance Company	N	
		.00000					Plainview Funds plc - MackKay Shields - Floating Rate High Yield Portfolio	IRL	NIA	New York Life Insurance Company	Ownership	93.010	New York Life Insurance Company	N	
		.00000					Plainview Funds plc - MackKay Shields - Floating Rate High Yield Portfolio	IRL	NIA	MackKay Shields LLC	Ownership	6.980	New York Life Insurance Company	N	
		.00000					Plainview Funds plc - MackKay Shields Core Plus Opportunities Portfolio	IRL	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Shields Statutory Trust High Yield Bond Series	CT	NIA	MackKay Municipal Short Term Opportunities Fund GP LLC	Management	0.000	New York Life Insurance Company	N	3
		.00000					MackKay Shields (International) Ltd.	GBR	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Shields (Services) Ltd.	GBR	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Shields UK LLP	GBR	NIA	MackKay Shields (International) Ltd.	Ownership	99.000	New York Life Insurance Company	N	
		.00000					MackKay Shields UK LLP	GBR	NIA	MackKay Shields (Services) Ltd.	Ownership	1.000	New York Life Insurance Company	N	
		.00000					MackKay Shields Global Derivatives LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Municipal Managers Puerto Rico Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Puerto Rico Opportunities Funds, L.P.	DE	NIA	MackKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001639564		MackKay Puerto Rico Opportunities Feeder Fund, L.P.	DE	NIA	MackKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Municipal Managers California Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MackKay Municipal Managers California Opportunities Fund, L.P.	DE	NIA	Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					Madison Capital Funding LLC	DE	NIA	New York Life Insurance Company	Ownership	55.000	New York Life Insurance Company	N	
		.00000					Madison Capital Funding LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	45.000	New York Life Insurance Company	N	
		.00000					Madison Avenue Loan Fund GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000	80-0920962		0001577927		Madison Avenue Loan Fund LP	DE	NIA	Madison Avenue Loan Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MCF Co-Investment GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001538585		MCF Co-Investment GP LP	DE	NIA	MCF Co-Investment GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001538584		Madison Capital Funding Co-Investment Fund LP	DE	NIA	MCF Co-Investment GP LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					MCF Fund I LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					Warwick McAlester Holdings, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					Meeco Sullivan, LLC	DE	NIA	Warwick McAlester Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					Electric Avenue, LLC	DE	NIA	Warwick McAlester Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					Young America Holdings, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	36.350	New York Life Insurance Company	N	
		.00000					YAC.ECOM Incorporated	MN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					Young America, LLC	MN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					Global Fulfillment Services, Inc.	AZ	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					SourceOne Worldwide, Inc.	MN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					YA Canada Corporation	CAN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000					Zenith Products Holdings, Inc	DE	NIA.....	Madison Capital Funding LLC	Ownership.....	16.360	New York Life Insurance CompanyN.....	
		.00000			0001347648		ZPC Holding Corp.	DE	NIA.....	Zenith Products Holdings, Inc	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					Zenith Products Corporation	DE	NIA.....	ZPC Holding Corp.	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					Ironshore Investment BL I Ltd.	BMU	NIA.....	Madison Capital Funding LLC	Other.....	0.000	New York Life Insurance CompanyN.....	2
		.00000					MCF CLO III LLC	DE	NIA.....	Madison Capital Funding LLC	Ownership.....	2.330	New York Life Insurance CompanyN.....	
		.00000					MCF CLO III LLC	DE	NIA.....	Madison Capital Funding LLC	Other.....	0.000	New York Life Insurance CompanyN.....	2
		.00000					MCF CLO IV LLC	DE	NIA.....	Madison Capital Funding LLC	Other.....	0.000	New York Life Insurance CompanyN.....	2
		.00000					LMF WF Portfolio II, LLC	DE	NIA.....	Madison Capital Funding LLC	Other.....	0.000	New York Life Insurance CompanyN.....	2
		.00000					LMF WF Portfolio III, LLC	DE	NIA.....	Madison Capital Funding LLC	Other.....	0.000	New York Life Insurance CompanyN.....	2
		.00000					MCF CLO I LLC	DE	NIA.....	Madison Capital Funding LLC	Other.....	0.000	New York Life Insurance CompanyN.....	2
		.00000					MCF CLO I LLC	DE	NIA.....	Madison Capital Funding LLC	Ownership.....	2.530	New York Life Insurance CompanyN.....	
		.00000					MCF CLO II LLC	DE	NIA.....	Madison Capital Funding LLC	Other.....	0.000	New York Life Insurance CompanyN.....	2
		.00000	13-5582869		0001453415		New York Life Investment Management Holdings LLC	DE	NIA.....	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000	41-1763532		0001123570		Cornerstone Capital Management LLC	DE	NIA.....	Cornerstone Capital Management Holdings LLC	Ownership.....	51.000	New York Life Insurance CompanyN.....	
		.00000					Cornerstone US Equity Market Neutral Fund, LLC	DE	NIA.....	Cornerstone Capital Management LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	DE	NIA.....	Cornerstone Capital Management Holdings LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000			0001329043		Cornerstone Capital Management Large-Cap Enhanced Index Fund, LP	DE	NIA.....	Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					NYL Workforce GP LLC	DE	NIA.....	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000			0001133639		New York Life Investment Management LLC	DE	NIA.....	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					New York Life Investment Management (U.K.) Ltd.	GBR	NIA.....	NYL Investors LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					Madison Core Property Fund LLC	DE	NIA.....	New York Life Insurance Company	Management	0.000	New York Life Insurance CompanyN.....	3
		.00000					MIREF 1500 Quail, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF Mill Creek, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF Gateway, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF Delta Court, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF Fremont Distribution Center, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					1101 Taylor Road LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF Century, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF York Road, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					York Road EW, LLC	DE	NIA.....	MIREF York Road, LLC	Ownership.....	64.800	New York Life Insurance CompanyN.....	
		.00000					York Road Retail West, LLC	DE	NIA.....	York Road EW, LLC	Ownership.....	64.800	New York Life Insurance CompanyN.....	
		.00000					2001 EW LLC	DE	NIA.....	York Road EW, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					2122 EW LLC	DE	NIA.....	York Road EW, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF Saddle River LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					Via Verde San Dimas, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF DC Corp.	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF L Street, LLC	DE	NIA.....	MIREF DC Corp.	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					1901 L Street Corp.	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					1901 L Street LLC	DC	NIA.....	1901 L Street Corp.	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF Newport Commons, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF Northsight, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF Riverside, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF Corporate Woods, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF Bedminster, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					MIREF Bartons Creek, LLC	DE	NIA.....	Madison Core Property Fund LLC	Ownership.....	100.000	New York Life Insurance CompanyN.....	
		.00000					Bartons Lodge Apartments, LLC	DE	NIA.....	MIREF Bartons Creek, LLC	Ownership.....	90.000	New York Life Insurance CompanyN.....	

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000					MIREF Marketpointe, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MIREF 101 East Crossroads, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					101 East Crossroads, LLC	.DE	NIA	MIREF 101 East Crossroads, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MIREF Waterview, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MIREF Chain Bridge, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					1991 Chain Bridge Road, LLC	.DE	NIA	MIREF Chain Bridge, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MIREF Aptakasic, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Aptakasic Creek Corporate Park, LLC	.DE	NIA	MIREF Aptakasic, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MIREF Hawthorne, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MIREF Auburn 277, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MIREF Sumner North, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MIREF Wellington, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MIREF Warner Center, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-IND Valley Business Park CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-MF Duluth GA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-MF Casa Santa Fe AZ LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-MF Cabrillo AZ LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-OFC Centerstone I CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-OFC Centerstone III CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-MOB Centerstone IV CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-OFC Canyon Commons CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-OFC Centerpoint Plaza CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-IND Logistics NC LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MCPP-LRC Logistics LLC	.DE	NIA	MADISON-IND Logistics NC LLC	Ownership	90.000	New York Life Insurance Company	.N	
		.00000					MADISON-MF Desert Mirage AZ LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-OFC One Main Place OR LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-IND Fenton MO LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-IND Hitzert Roadway MO LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-MF Hoyt OR LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-RTL Clifton Heights PA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-IND Locust CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-OFC Weston Pointe FL LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-MF Henderson NV LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MCPP-SP Henderson LLC	.DE	NIA	MADISON-MF Henderson NV LLC	Ownership	90.000	New York Life Insurance Company	.N	
		.00000					MADISON-SP Henderson LLC	.DE	NIA	MCPP-SP Henderson LLC	Ownership	90.000	New York Life Insurance Company	.N	
		.00000					MADISON-IND VISTA LOGISTICS OR LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MADISON-SPECHT VISTA LOGISTICS LLC	.DE	NIA	MADISON-IND VISTA LOGISTICS OR LLC	Ownership	95.000	New York Life Insurance Company	.N	
		.00000					MADISON-MF MCCADDEN CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					New York Life Investment Management Holdings International S.á.r.l.	.LUX	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					New York Life Investment Management Holdings II International S.á.r.l.	.LUX	NIA	New York Life Investment Management Holdings International S.á.r.l.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					New York Life Investment Management Global Holdings S.á.r.l.	.LUX	NIA	New York Life Investment Management Holdings II International S.á.r.l.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Candriam Luxco S.á.r.l.	.LUX	NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Candriam Luxembourg, partnership limited by shares	.LUX	NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	96.000	New York Life Insurance Company	.N	
		.00000					Candriam Luxembourg, partnership limited by shares	.LUX	NIA	Candriam Luxco S.á.r.l.	Ownership	4.000	New York Life Insurance Company	.N	
		.00000					Ausbil Investment Management Limited	.AUS	NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	78.350	New York Life Insurance Company	.N	
		.00000					Ausbil Australia Pty. Ltd.	.AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Ausbil Asset Management Pty. Ltd.	.AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	.N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000					Ausbil Investment Management Limited Employee Share Trust	.AUS	.NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					BIL Prime Advanced Cash + 100	.LUX	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership	36.140	New York Life Insurance Company	.N	
		.00000					BIL Prime Advanced Cash + 100	.LUX	.NIA	Candriam Belgium, public limited company	Ownership	32.230	New York Life Insurance Company	.N	
		.00000					Candriam France, simplified joint-stock company	.FRA	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Candriam Switzerland LLC, limited liability company	.CHE	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Candriam Belgium public limited company	.BEL	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership	99.990	New York Life Insurance Company	.N	
		.00000					Candriam Belgium public limited company	.BEL	.NIA	New York Life Investment Management Global Holdings S.à.r.l.	Ownership	0.010	New York Life Insurance Company	.N	
		.00000					Candriam Monétaire	.FRA	.NIA	Candriam Belgium public limited company	Ownership	16.400	New York Life Insurance Company	.N	
		.00000					Candriam Monétaire	.FRA	.NIA	Candriam France, simplified joint-stock company	Ownership	5.480	New York Life Insurance Company	.N	
		.00000					Cordius CIG	.LUX	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership	68.040	New York Life Insurance Company	.N	
		.00000					Cordius CIG	.LUX	.NIA	Candriam Belgium public limited company	Ownership	15.980	New York Life Insurance Company	.N	
		.00000					Cordius CIG	.LUX	.NIA	Candriam France, simplified joint-stock company	Ownership	15.980	New York Life Insurance Company	.N	
		.00000					Candriam Bonds Convertible Opportunities	.LUX	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership	29.540	New York Life Insurance Company	.N	
		.00000					Candriam Alternative Return Equity Market Neutral	.LUX	.NIA	Candriam Luxembourg, partnership limited by shares	Ownership	21.390	New York Life Insurance Company	.N	
		.00000					NYLIM-GCR Fund I, LLC	.DE	.NIA	New York Life Investment Management LLC	Ownership	50.000	New York Life Insurance Company	.N	
		.00000					NYLIM Fund II GP, LLC	.DE	.NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000			0001355337		NYLIM Real Estate Mezzanine Fund II, LP	.DE	.NIA	NYLIM Fund II GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLIM-TND, LLC	.DE	.NIA	NYLIM Real Estate Mezzanine Fund II, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLIM-DCM, LLC	.DE	.NIA	NYLIM Real Estate Mezzanine Fund II, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLIM-MM, LLC	.DE	.NIA	NYLIM-DCM, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					DCM-N, LLC	.DE	.NIA	NYLIM-MM, LLC	Ownership	80.000	New York Life Insurance Company	.N	
		.00000					DCM-N, LLC	.DE	.NIA	NYLIM RE Mezzanine Fund II Investment Corporation	Ownership	20.000	New York Life Insurance Company	.N	
		.00000					DCM Warehouse Series A, LLC	.DE	.NIA	DCM-N, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					DCM Warehouse Series One, LLC	.DE	.NIA	DCM Warehouse Series A, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Sixteen West Savannah, LLC	.IN	.NIA	DCM Warehouse Series One, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLIM RE Mezzanine Fund II Investment Corporation	.DE	.NIA	NYLIM Real Estate Mezzanine Fund II, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					WFG3, GP LLC	.DE	.NIA	New York Life Investment Management LLC	Ownership	50.000	New York Life Insurance Company	.N	
		.00000			0001406803		Workforce Housing Fund I – 2007, LP	.DE	.NIA	WFG3, GP LLC	Ownership	50.000	New York Life Insurance Company	.N	
		.00000					Evolveance Asset Management, Ltd.	.IND	.NIA	GoldPoint Partners LLC	Ownership	24.500	New York Life Insurance Company	.N	
		.00000	13-4091043		0001292892		GoldPoint Partners LLC	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP 2010 Co-Invest GenPar GP, LLC	.DE	.NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP 2010 Co-Invest GenPar L.P.	.DE	.NIA	NYLCAP 2010 Co-Invest GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP 2010 Co-Invest L.P.	.DE	.NIA	NYLCAP 2010 Co-Invest GenPar L.P.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco A L.P.	.DE	.NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker A L.P.	.DE	.NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco A L.P.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	.DE	.NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	.N	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
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		.00000					NYLCAP 2010 Co-Invest ECI Blocker B L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker E L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco F L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker F L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco F L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP 2010 Co-Invest ECI Blocker G L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000	13-4091045		0001513540		New York Life Capital Partners, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001513533		New York Life Capital Partners, LP	DE	NIA	New York Life Capital Partners, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001293285		New York Life Capital Partners II, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001293286		New York Life Capital Partners II, LP	DE	NIA	New York Life Capital Partners II, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					New York Life Capital Partners III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					New York Life Capital Partners III GenPar, LP	DE	NIA	New York Life Capital Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					New York Life Capital Partners III, LP	DE	NIA	New York Life Capital Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					New York Life Capital Partners III-A, LP	DE	NIA	New York Life Capital Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					New York Life Capital Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					New York Life Capital Partners IV GenPar, LP	DE	NIA	New York Life Capital Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					New York Life Capital Partners IV, LP	DE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					New York Life Capital Partners IV-A, LP	DE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GoldPoint Partners Co-Investment V GenPar GP LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GoldPoint Partners Co-Investment V GenPar, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar GP LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001562188		GoldPoint Partners Co-Investment V, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker A, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker B, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker C, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000					GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI					
		.00000					Blocker D, LP	.DE	.NIA	Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					GoldPoint Partners Co-Investment V ECI								
		.00000					Blocker Holdco E, LP	.DE	.NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI					
		.00000					Blocker E, LP	.DE	.NIA	Blocker Holdco E, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					GoldPoint Partners Co-Investment V ECI								
		.00000					Blocker Holdco F, LP	.DE	.NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI					
		.00000					Blocker F, LP	.DE	.NIA	Blocker Holdco F, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					GoldPoint Partners Co-Investment Fund-A, LP	.DE	.NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP India Funding LLC	.DE	.NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLIM-JB Asset Management Co. (Mauritius) LLC	.MUS	.NIA						
		.00000								NYLCAP India Funding LLC	Ownership	24.660	New York Life Insurance Company	.N	5
		.00000			0001356865		New York Life Investment Management India Fund II, LLC (Mauritius)	.MUS	.NIA	NYLIM-JB Asset Management Co. (Mauritius) LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					New York Life Investment Management India Fund (FVCI) II, LLC (Mauritius)	.MUS	.NIA	New York Life Investment Management India Fund II, LLC (Mauritius)	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP India Funding III LLC	.DE	.NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLIM-Jacob Ballas Asset Management Company III, (Mauritius) LLC	.MUS	.NIA						
		.00000			0001435025		NYLIM Jacob Ballas India Fund III (Mauritius) LLC	.MUS	.NIA	NYLCAP India Funding III LLC	Ownership	24.660	New York Life Insurance Company	.N	6
		.00000					NYLIM Jacob Ballas Capital India (FVCI) III (Mauritius) LLC	.MUS	.NIA	NYLIM-Jacob Ballas Asset Management Company III, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLIM Jacob Ballas India (FII) III (Mauritius) LLC	.MUS	.NIA	NYLIM Jacob Ballas India Fund III (Mauritius) LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLIM Jacob Ballas India Holdings IV	.MUS	.NIA	NYLIM Jacob Ballas India Fund III (Mauritius) LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000								New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP Holdings (Mauritius) LLC	.MUS	.NIA	Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Jacob Ballas Capital India PVT, LTD	.MUS	.NIA	NYLCAP Holdings (Mauritius) LLC	Ownership	23.300	New York Life Insurance Company	.N	
		.00000			0001513541		NYLIM Mezzanine GenPar GP, LLC	.DE	.NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000			0001513539		NYLIM Mezzanine GenPar, LP	.DE	.NIA	NYLIM Mezzanine GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					New York Life Investment Management Mezzanine Partners, LP	.DE	.NIA						
		.00000			0001193500		NYLIM Mezzanine Partners Parallel Fund LP	.DE	.NIA	NYLIM Mezzanine GenPar, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000			0001259536		NYLIM Mezzanine Partners II GenPar GP, LLC	.DE	.NIA	NYLIM Mezzanine GenPar, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000								GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLIM Mezzanine Offshore Partners II, LP	.CYM	.NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000								NYLIM Mezzanine Partners II GenPar GP, LLC					
		.00000					NYLIM Mezzanine Partners II GenPar, LP	.DE	.NIA		Ownership	100.000	New York Life Insurance Company	.N	
		.00000					New York Life Investment Management Mezzanine Partners II, LP	.DE	.NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000								New York Life Investment Management Mezzanine Partners II, LP					
		.00000					NYLIM Mezzanine II Luxco S.a.r.l.	.LUX	.NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLIM Mezzanine Partners II Parallel Fund, LP	.DE	.NIA	NYLIM Mezzanine Partners II Parallel Fund, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000			0001387095					NYLIM Mezzanine Partners II GenPar, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLIM Mezzanine II Parallel Luxco S.a.r.l.	.LUX	.NIA	NYLIM Mezzanine Partners II Parallel Fund, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000								NYLIM Mezzanine II Parallel Luxco S.a.r.l.					
		.00000					Voice Holdco Ltd	.CAN	.NIA		Ownership	27.000	New York Life Insurance Company	.N	
		.00000					NYLCAP Mezzanine Partners III GenPar GP, LLC	.DE	.NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.00000					NYLCAP Mezzanine Offshore Partners III, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III GenPar, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III-K, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001482545		NYLCAP Mezzanine Partners III, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001539552		NYLCAP Mezzanine Partners III Parallel Fund, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000			0001483925		NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco A, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker A, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco A, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker E, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F,LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F,LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					C.B. Fleet TopCo, LLC	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP	Ownership	17.000	New York Life Insurance Company	N	
		.00000					GoldPoint Mezzanine Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GoldPoint Mezzanine Partners Offshore IV, L.P.	CYM	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GoldPoint Mezzanine Partners IV GenPar LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GoldPoint Mezzanine Partners IV, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GPP Mezzanine Blocker Holdco A, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GPP Mezzanine Blocker Holdco Preferred A, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GPP Mezzanine Blocker A, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GPP Mezzanine Blocker A, LP	DE	NIA	GPP Mezzanine Blocker Holdco A, LP	Ownership	7.500	New York Life Insurance Company	N	
		.00000					GPP Mezzanine Blocker Holdco B, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	92.500	New York Life Insurance Company	N	
		.00000					GPP Mezzanine Blocker B, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					GPP Mezzanine Blocker B, LP	DE	NIA	GPP Mezzanine Blocker Holdco B, LP	Ownership	4.400	New York Life Insurance Company	N	
		.00000					GPP Mezzanine Blocker B, LP	DE	NIA	GPP Mezzanine Blocker Holdco B, LP	Ownership	95.600	New York Life Insurance Company	N	
		.00000					GoldPoint Mezzanine Partners Co-Investment Fund A, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	95.600	New York Life Insurance Company	N	
		.00000					Fund A, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company	N	
		.00000					NYLCAP Select Manager GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000					NYLCAP Select Manager GenPar, LP	.DE	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000			0001441093		NYLCAP Select Manager Fund, LP	.DE	NIA	NYLCAP Select Manager GenPar, LP	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP Select Manager Cayman Fund, LP	.CYM	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP Canada GenPar, Inc.	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP Select Manager Canada Fund, LP	.CAN	NIA	NYLCAP Canada GenPar, Inc.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP Select Manager II GenPar GP, LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP Select Manager II GenPar GP, L.P.	.CYM	NIA	NYLCAP Select Manager II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000			0001520743		NYLCAP Select Manager Fund II, L.P.	.CYM	NIA	NYLCAP Select Manager II GenPar GP, L.P.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP Canada II GenPar, Inc.	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLCAP Select Manager Canada Fund II, L.P.	.CAN	NIA	NYLCAP Canada II GenPar, Inc.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					GoldPoint Partners Select Manager III GenPar GP, LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					GoldPoint Partners Select Manager III GenPar, L.P.	.CYM	NIA	GoldPoint Partners Select Manager III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					GoldPoint Partners Select Manager Fund III, L.P.	.CYM	NIA	GoldPoint Partners Select Manager III GenPar, L.P.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					GoldPoint Partners Select Manager Fund III AIV, L.P.	.DE	NIA	GoldPoint Partners Select Manager III GenPar, L.P.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					GoldPoint Partners Canada III GenPar, Inc	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					GoldPoint Partners Select Manager Canada Fund III, L.P.	.CAN	NIA	GoldPoint Parners Canada III GenPar, Inc	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					MCF Mezzanine Carry I LLC	.DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	2
		.00000					MCF Mezzanine Fund I LLC	.DE	NIA	New York Life Insurance Company	Ownership	66.670	New York Life Insurance Company	.N	
		.00000								New York Life Insurance & Annuity Corporation	Ownership	33.330	New York Life Insurance Company	.N	
		.00000					MCF Mezzanine Fund I LLC	.DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Montpelier Carry Parent, LLC	.DE	NIA	Montpelier Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Montpelier Carry, LLC	.DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000	90-0938480		0001570694		Montpelier GP, LLC	.DE	NIA	Montpelier GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Montpelier Fund, L.P.	.DE	NIA	Montpelier GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					NYLIM Flatiron CLO 2004-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A	.DE	OTH	NYLIM Flatiron CLO 2004-1 Ltd.	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					NYLIM Flatiron CLO 2006-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A	.DE	OTH	NYLIM Flatiron CLO 2006-1 Ltd.	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					Flatiron CLO 2007-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A	.CYM	OTH	NYLIM Flatiron CLO 2007-1 Ltd.	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					Flatiron CLO 2011-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					Flatiron CLO 2012-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					Flatiron CLO 2013-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					Flatiron CLO 2014-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					Flatiron CLO 2014-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					Flatiron CLO 17 Ltd.	.CYM	OTH	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	7
		.00000					Flatiron CLO 2015-1 Ltd	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					Stratford CDO 2001-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
		.00000		4007034			Silverado CLO 2006-II Limited	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					Silverado CLO 2006-II Equity Holdings LLC, Series A	.CYM	OTH	Silverado CLO 2006-II Limited	Influence	0.000	New York Life Insurance Company	.N	7
		.00000					New York Life Funding	.CYM	OTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	.N	8
		.00000					New York Life Global Funding	.DE	OTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	.N	8
		.00000					Martingale Road LLC	.DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					UFI-NOR Federal Receivables Trust, Series 2009B	.NY	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	9

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000					Government Energy Savings Trust 2003-ANY.	.DTH.	New York Life Insurance Company	Influence.....	0.000	New York Life Insurance CompanyN.	..9
		.00000					NYL Equipment Issuance TrustDE.	.DTH.	New York Life Insurance Company	Influence.....	0.000	New York Life Insurance CompanyN.	..9
		.00000					NYL Equipment Issuance Trust 2014-2DE.	.DTH.	New York Life Insurance Company	Influence.....	0.000	New York Life Insurance CompanyN.	..9
		.00000	54-1886751		0001065114		Private Advisors LLCDE.	.NIA.	New York Life Investment Management Holdings LLC	Ownership.....	65.850	New York Life Insurance CompanyN.	
		.00000					PACIF Carry Parent, LLCDE.	.NIA.	Private Advisors LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PACIF Carry, LLCDE.	.NIA.	PACIF Carry Parent, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PACIF GP, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000			0001368975		Private Advisors Coinvestment Fund, LPDE.	.NIA.	PACIF GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PACIF II Carry Parent, LLCDE.	.NIA.	Private Advisors LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PACIF II Carry, LLCDE.	.NIA.	PACIF II Carry Parent, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PACIF II GP, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000			0001489910		Private Advisors Coinvestment Fund II, LPDE.	.NIA.	PACIF II GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PACIF III Carry Parent, LLCDE.	.NIA.	Private Advisors LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PACIF III Carry, LLCDE.	.NIA.	PACIF III Carry Parent, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PACIF III GP, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000	46-1360141		0001562375		Private Advisors Coinvestment Fund III, LPDE.	.NIA.	PACIF III GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PACIF IV GP, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000			0001646588		Private Advisors Coinvestment Fund IV, LPDE.	.NIA.	PACIF IV GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PACIF IV Carry Parent, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PACIF IV Carry, LLCDE.	.NIA.	PACIF IV Carry Parent, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCCIF GP, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					Private Advisors Small Company Coinvestment Fund, LPDE.	.NIA.	PASCCIF GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCCIF Carry Parent, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCCIF Carry, LLCDE.	.NIA.	PASCCIF Carry Parent, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000			0001278583		Private Advisors Small Company Buyout Fund II, L.P.DE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCBF III GP, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000			0001374891		Private Advisors Small Company Buyout Fund III, LPDE.	.NIA.	PASCBF III GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCBF IV Carry Parent, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCBF IV Carry, LLCDE.	.NIA.	PASCBF IV Carry Parent, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCBF IV GP, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000			0001442524		Private Advisors Small Company Buyout Fund IV, LPDE.	.NIA.	PASCBF IV GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCBF V Carry Parent, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCBF V Carry, LLCDE.	.NIA.	PASBF V Carry Parent, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCBF V GP, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000			0001537995		Private Advisors Small Company Buyout Fund V, LPDE.	.NIA.	PASCBF V GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000			0001576987		Private Advisors Small Company Buyout V – ERISA Fund, LPDE.	.NIA.	PASCBF V GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCPPEF VI Carry Parent, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCPPEF VI Carry, LLCDE.	.NIA.	PASCPPEF VI Carry Parent, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCPPEF VI GP, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000			0001595889		Private Advisors Small Company Private Equity Fund VI, LPDE.	.NIA.	PASCPPEF VI GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					Private Advisors Small Company Private Equity Fund VI, LPCYM.	.NIA.	PASCPPEF VI GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCPPEF VII GP, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					Private Advisors Small Company Private Equity Fund VII, LPDE.	.NIA.	PASCPPEF VII GP, LLC	Ownership.....	100.000	New York Life Insurance CompanyN.	
		.00000					PASCPPEF VII Carry Parent, LLCDE.	.NIA.	Private Advisors L.L.C.	Ownership.....	100.000	New York Life Insurance CompanyN.	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000					PASOPEF VII Carry, LLC	.DE	NIA	PASOPEF VII Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					PA Real Assets Carry Parent, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					PA Real Assets Carry, LLC	.DE	NIA	PA Real Assets Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					PA Emerging Manager Carry Parent, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					PA Emerging Manager Carry, LLC	.DE	NIA	PA Emerging Manager Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					RIC I GP, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Richmond Coinvestment Partners I, LP	.DE	NIA	RIC I GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					RIC I Carry Parent, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					RIC I Carry, LLC	.DE	NIA	RIC I Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					PARAF GP, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Private Advisors Real Assets Fund, LP	.DE	NIA	PARAF GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					PARAF Carry Parent, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					PARAF Carry, LLC	.DE	NIA	PARAF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000		3328161			Cuyahoga Capital Partners I Management Group, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000		3594658			Cuyahoga Capital Partners II Management Group LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000		3729106			Cuyahoga Capital Partners III Management Group LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					Cuyahoga Capital Partners IV Management Group LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					PASF V GP, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000			0001646590		Private Advisors Secondary Fund V, LP	.DE	NIA	PASF V GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					PASF V Carry Parent, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					PASF V Carry, LLC	.DE	NIA	PASF V Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
		.00000		3835342			Cuyahoga Capital Emerging Buyout Partners Management Group LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000					UVF GP, LLC	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000			0001605509		Undiscovered Value Fund, LP	.DE	NIA	Private Advisors L.L.C.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000			0001605502		Undiscovered Value Fund, Ltd.	.CYM	NIA	Private Advisors L.L.C.	Other	0.000	New York Life Insurance Company	.N	10
		.00000					Undiscovered Value Master Fund SPC	.CYM	NIA	Undiscovered Value Fund, Ltd.	Ownership	100.000	New York Life Insurance Company	.N	
		.00000	13-3808042		0001033244		New York Life Trust Company	.NY	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	.N	

Asterisk	Explanation
1	Investment Advisory Agreement – Institutional Capital LLC is the investment advisor of this collective investment trust, which is offered to third party investors.
2	Contractual Client – Madison Capital Funding LLC, an indirect wholly owned affiliate of the Company, has contractual control of this entity's loan portfolio.
3	Control of this entity is pursuant to an investment management contract with New York Life Investment Management Holdings LLC or affiliate, not through ownership of voting interests.
4	Investment Fund – Fund that invests in listed Australian equities, which is managed by Ausbil Investment Management Limited.
5	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding LLC owns 36% of non-voting carry shares.
6	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding III LLC owns 31.36% of non-voting carry shares.
7	Investment Pool – Investment pool of leveraged loans managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
8	Reliance Relationship – Entire proceeds of the entity are invested in a funding agreement of the Company.
9	Energy Contracts and Aircraft Loans Investments – with 100% of the investments coming from the Company and its wholly owned affiliate New York Life Insurance and Annuity Corporation.
10	Control of this entity is pursuant to an investment management contract with Private Advisors LLC, or affiliate, not through ownership of voting interests.

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

[illegible]

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Responses
MARCH FILING	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?	YES
3. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?	YES
4. Will an actuarial opinion be filed by March 1?	YES
APRIL FILING	
5. Will Management's Discussion and Analysis be filed by April 1?	YES
6. Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1?	YES
7. Will the Adjustment Form (if required) be filed with the state of domicile and the NAIC by April 1?	YES
8. Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
JUNE FILING	
9. Will an audited financial report be filed by June 1?	YES
10. Will Accountant's Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES
AUGUST FILING	
11. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1?	YES

The following supplemental reports are required to be filed as part of your annual statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

MARCH FILING	
12. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1?	NO
13. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
14. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1?	NO
15. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	NO
16. Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	YES
17. Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1?	YES
18. Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1?	NO
19. Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1?	NO
20. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
21. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
22. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1?	NO
23. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
24. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
25. Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1?	NO
26. Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1?	NO

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

27.	Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1?	NO
28.	Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1?	NO
29.	Will the Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	NO
30.	Will the Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	NO
31.	Will the Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	NO
32.	Will the Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	NO
33.	Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1?	NO
34.	Will the Worker's Compensation Carve-Out Supplement be filed by March 1?	NO
35.	Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1?	YES
36.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?	NO
37.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
38.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
39.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?.....	SEE EXPLANATION
40.	Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by Actuarial Opinion and Memorandum Regulation (Model 822), Section 7A(5) be filed with the state of domicile by March 15?	
APRIL FILING		
41.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO
42.	Will the Interest-Sensitive Life Insurance Products Report Forms be filed with the state of domicile and the NAIC by April 1?	NO
43.	Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1?	NO
44.	Will the Accident and Health Policy Experience Exhibit be filed by April 1?	NO
45.	Will the Analysis of Annuity Operations by Lines of Business be filed with the state of domicile and the NAIC by April 1?	NO
46.	Will the Analysis of Increase in Annuity Reserves During the Year be filed with the state of domicile and the NAIC by April 1?	NO
47.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	NO
48.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1?	NO
49.	Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30?	NO
50.	Will the Supplemental XXX/AXXX Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1?	YES
AUGUST FILING		
51.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	NO
Explanations:		
12.		
13.		
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39.	Exempt - total written and assumed premium is below stated threshold.	
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42.		
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46.		
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49.		
51.		
Bar Codes:		
12.	SIS Stockholder Information Supplement [Document Identifier 420]	
13.	Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]	
14.	Trusted Surplus Statement [Document Identifier 490]	
15.	Participating Opinion for Exhibit 5 [Document Identifier 371]	
18.	Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit [Document Identifier 443]	
19.	Actuarial Opinion on Synthetic Guaranteed Investment Contracts [Document Identifier 444]	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

20.	Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]	 <div>813532016445000000</div>
21.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]	 <div>813532016446000000</div>
22.	Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]	 <div>813532016447000000</div>
23.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]	 <div>813532016448000000</div>
24.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]	 <div>813532016449000000</div>
25.	C-3 RBC Certifications Required Under C-3 Phase I [Document Identifier 450]	 <div>813532016450000000</div>
26.	C-3 RBC Certifications Required Under C-3 Phase II [Document Identifier 451]	 <div>813532016451000000</div>
27.	Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities [Document Identifier 452]	 <div>813532016452000000</div>
28.	Modified Guaranteed Annuity Model Regulation [Document Identifier 453]	 <div>813532016453000000</div>
29.	Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII [Document Identifier 436]	 <div>813532016436000000</div>
30.	Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII [Document Identifier 437]	 <div>813532016437000000</div>
31.	Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII [Document Identifier 438]	 <div>813532016438000000</div>
32.	Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII [Document Identifier 439]	 <div>813532016439000000</div>
33.	Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities [Document Identifier 454]	 <div>813532016454000000</div>
34.	Workers' Compensation Carve-Out Supplement [Document Identifier 495]	 <div>813532016495000000</div>
36.	Medicare Part D Coverage Supplement [Document Identifier 365]	 <div>813532016365000000</div>
37.	Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]	 <div>813532016322400000</div>
38.	Relief from the one-year cooling off period for independent CPA [Document Identifier 225]	 <div>813532016225000000</div>
41.	Long-Term Care Experience Reporting Forms [Document Identifier 306]	 <div>813532016306000000</div>
42.	Interest-Sensitive Life Insurance Products Report Forms [Document Identifier 280]	 <div>813532016280000000</div>
43.	Credit Insurance Experience Exhibit [Document Identifier 230]	 <div>813532016230000000</div>
44.	Accident and Health Policy Experience Exhibit [Document Identifier 210]	 <div>813532016210000000</div>
45.	Analysis of Annuity Operations by Lines of Business [Document Identifier 510]	 <div>813532016510000000</div>
46.	Analysis of Increase in Annuity Reserves During the Year [Document Identifier 515]	 <div>813532016515000000</div>
47.	Supplemental Health Care Exhibit (Parts 1, 2 and 3) [Document Identifier 216]	 <div>813532016216000000</div>
48.	Supplemental Health Care Exhibit's Expense Allocation Report [Document Identifier 217]	 <div>813532016217000000</div>
49.	Actuarial Memorandum Required by Actuarial Guideline XXXVIII 8D [Document Identifier 435]	 <div>813532016435000000</div>
51.	Management's Report of Internal Control Over Financial Reporting [Document Identifier 223]	 <div>813532016223000000</div>

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1	2	3	4	5	6
	Amount	Percentage	Amount	Securities Lending Reinvested Collateral Amount	Total (Col. 3 + 4) Amount	Percentage
1. Bonds:						
1.1 U.S. treasury securities	7,976,749	5.234	7,976,749		7,976,749	5.234
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies	70,838	0.046	70,838		70,838	0.046
1.22 Issued by U.S. government sponsored agencies		0.000				0.000
1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities)	2,622,741	1.721	2,622,741		2,622,741	1.721
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S. :						
1.41 States, territories and possessions general obligations		0.000				0.000
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations		0.000				0.000
1.43 Revenue and assessment obligations		0.000				0.000
1.44 Industrial development and similar obligations		0.000				0.000
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA	9,659,251	6.338	9,659,251		9,659,251	6.338
1.512 Issued or guaranteed by FNMA and FHLMC	1,163,654	0.764	1,163,654		1,163,654	0.764
1.513 All other		0.000				0.000
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA	15,510,833	10.178	15,510,833		15,510,833	10.178
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521		0.000				0.000
1.523 All other	5,550,082	3.642	5,550,082		5,550,082	3.642
2. Other debt and other fixed income securities (excluding short-term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities)	84,257,972	55.287	84,257,972		84,257,972	55.287
2.2 Unaffiliated non-U.S. securities (including Canada)	21,770,706	14.285	21,770,706		21,770,706	14.285
2.3 Affiliated securities		0.000				0.000
3. Equity interests:						
3.1 Investments in mutual funds		0.000				0.000
3.2 Preferred stocks:						
3.21 Affiliated		0.000				0.000
3.22 Unaffiliated		0.000				0.000
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated		0.000				0.000
3.32 Unaffiliated		0.000				0.000
3.4 Other equity securities:						
3.41 Affiliated		0.000				0.000
3.42 Unaffiliated		0.000				0.000
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated		0.000				0.000
3.52 Unaffiliated		0.000				0.000
4. Mortgage loans:						
4.1 Construction and land development		0.000				0.000
4.2 Agricultural		0.000				0.000
4.3 Single family residential properties		0.000				0.000
4.4 Multifamily residential properties		0.000				0.000
4.5 Commercial loans		0.000				0.000
4.6 Mezzanine real estate loans		0.000				0.000
5. Real estate investments:						
5.1 Property occupied by company		0.000				0.000
5.2 Property held for production of income (including \$ of property acquired in satisfaction of debt)		0.000				0.000
5.3 Property held for sale (including \$ property acquired in satisfaction of debt)		0.000				0.000
6. Contract loans		0.000				0.000
7. Derivatives		0.000				0.000
8. Receivables for securities	1,217	0.001	1,217		1,217	0.001
9. Securities Lending (Line 10, Asset Page reinvested collateral)		0.000		XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments	3,818,034	2.505	3,818,034		3,818,034	2.505
11. Other invested assets		0.000				0.000
12. Total invested assets	152,402,077	100.000	152,402,077		152,402,077	100.000

Schedule A - Verification - Real Estate

N O N E

Schedule B - Verification - Mortgage Loans

N O N E

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year	1,074
2.	Cost of acquired:	
	2.1 Actual cost at time of acquisition (Part 2, Column 8)	
	2.2 Additional investment made after acquisition (Part 2, Column 9)	
3.	Capitalized deferred interest and other:	
	3.1 Totals, Part 1, Column 16	
	3.2 Totals, Part 3, Column 12	
4.	Accrual of discount	
5.	Unrealized valuation increase (decrease):	
	5.1 Totals, Part 1, Column 13	
	5.2 Totals, Part 3, Column 9	
6.	Total gain (loss) on disposals, Part 3, Column 19	
7.	Deduct amounts received on disposals, Part 3, Column 16	1,074
8.	Deduct amortization of premium and depreciation	
9.	Total foreign exchange change in book/adjusted carrying value:	
	9.1 Totals, Part 1, Column 17	
	9.2 Totals, Part 3, Column 14	
10.	Deduct current year's other than temporary impairment recognized:	
	10.1 Totals, Part 1, Column 15	
	10.2 Totals, Part 3, Column 11	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	
12.	Deduct total nonadmitted amounts	
13.	Statement value at end of current period (Line 11 minus Line 12)	

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year	152,855,582
2.	Cost of bonds and stocks acquired, Part 3, Column 7	39,691,092
3.	Accrual of discount	92,795
4.	Unrealized valuation increase (decrease):	
	4.1. Part 1, Column 12	
	4.2. Part 2, Section 1, Column 15	
	4.3. Part 2, Section 2, Column 13	
	4.4. Part 4, Column 11	
5.	Total gain (loss) on disposals, Part 4, Column 19	(465,838)
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7	43,303,716
7.	Deduct amortization of premium	279,609
8.	Total foreign exchange change in book/adjusted carrying value:	
	8.1. Part 1, Column 15	
	8.2. Part 2, Section 1, Column 19	
	8.3. Part 2, Section 2, Column 16	
	8.4. Part 4, Column 15	
9.	Deduct current year's other than temporary impairment recognized:	
	9.1. Part 1, Column 14	7,480
	9.2. Part 2, Section 1, Column 17	
	9.3. Part 2, Section 2, Column 14	
	9.4. Part 4, Column 13	7,480
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	148,582,826
11.	Deduct total nonadmitted amounts	
12.	Statement value at end of current period (Line 10 minus Line 11)	148,582,826

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS					
Governments (Including all obligations guaranteed by governments)	1. United States	17,706,834	17,558,469	18,022,121	17,671,486
	2. Canada	2,622,742	2,663,263	2,617,495	2,625,000
	3. Other Countries				
	4. Totals	20,329,576	20,221,732	20,639,616	20,296,486
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals				
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals				
U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions	7. Totals	16,674,488	16,836,774	16,664,387	16,597,754
Industrial and Miscellaneous, SVO Identified Funds and Hybrid Securities (unaffiliated)	8. United States	89,808,056	90,647,403	90,059,690	89,182,639
	9. Canada	3,490,092	3,507,898	3,491,185	3,500,000
	10. Other Countries	18,280,614	18,565,266	18,206,304	18,275,000
	11. Totals	111,578,762	112,720,567	111,757,179	110,957,639
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	148,582,826	149,779,073	149,061,182	147,851,879
PREFERRED STOCKS Industrial and Miscellaneous (unaffiliated)	14. United States				
	15. Canada				
	16. Other Countries				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
COMMON STOCKS Industrial and Miscellaneous (unaffiliated)	20. United States				
	21. Canada				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	148,582,826	149,779,073	149,061,182	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.7	9 Total from Col. 6 Prior Year	10 % From Col. 7 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1	5,781,649	8,058,549	4,554,907	3,442,036	273,068	XXX	22,110,209	14.5	30,437,982	18.2	22,110,209	
1.2 NAIC 2						XXX						
1.3 NAIC 3						XXX						
1.4 NAIC 4						XXX						
1.5 NAIC 5						XXX						
1.6 NAIC 6						XXX						
1.7 Totals	5,781,649	8,058,549	4,554,907	3,442,036	273,068	XXX	22,110,209	14.5	30,437,982	18.2	22,110,209	
2. All Other Governments												
2.1 NAIC 1	999,943	874,763	748,037			XXX	2,622,743	1.7	3,021,941	1.8	2,622,743	
2.2 NAIC 2						XXX						
2.3 NAIC 3						XXX						
2.4 NAIC 4						XXX						
2.5 NAIC 5						XXX						
2.6 NAIC 6						XXX						
2.7 Totals	999,943	874,763	748,037			XXX	2,622,743	1.7	3,021,941	1.8	2,622,743	
3. U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1						XXX						
3.2 NAIC 2						XXX						
3.3 NAIC 3						XXX						
3.4 NAIC 4						XXX						
3.5 NAIC 5						XXX						
3.6 NAIC 6						XXX						
3.7 Totals						XXX						
4. U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed												
4.1 NAIC 1						XXX						
4.2 NAIC 2						XXX						
4.3 NAIC 3						XXX						
4.4 NAIC 4						XXX						
4.5 NAIC 5						XXX						
4.6 NAIC 6						XXX						
4.7 Totals						XXX						
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1	637,158	1,912,906	3,170,273	9,821,643	1,132,508	XXX	16,674,488	10.9	15,280,716	9.1	16,674,488	
5.2 NAIC 2						XXX						
5.3 NAIC 3						XXX						
5.4 NAIC 4						XXX						
5.5 NAIC 5						XXX						
5.6 NAIC 6						XXX						
5.7 Totals	637,158	1,912,906	3,170,273	9,821,643	1,132,508	XXX	16,674,488	10.9	15,280,716	9.1	16,674,488	

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA
SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.7	9 Total from Col. 6 Prior Year	10 % From Col. 7 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)												
6.1 NAIC 1	10,749,325	33,358,769	56,505,604	192,156		XXX	100,805,854	65.9	110,864,522	66.2	87,923,804	12,882,050
6.2 NAIC 2	100,566	3,295,386	5,895,915			XXX	9,291,867	6.1	6,471,185	3.9	8,792,219	499,648
6.3 NAIC 3		1,481,038				XXX	1,481,038	1.0	1,467,696	0.9	1,481,038	
6.4 NAIC 4						XXX						
6.5 NAIC 5						XXX						
6.6 NAIC 6						XXX						
6.7 Totals	10,849,891	38,135,193	62,401,519	192,156		XXX	111,578,759	72.9	118,803,403	70.9	98,197,061	13,381,698
7. Hybrid Securities												
7.1 NAIC 1						XXX						
7.2 NAIC 2						XXX						
7.3 NAIC 3						XXX						
7.4 NAIC 4						XXX						
7.5 NAIC 5						XXX						
7.6 NAIC 6						XXX						
7.7 Totals						XXX						
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1						XXX						
8.2 NAIC 2						XXX						
8.3 NAIC 3						XXX						
8.4 NAIC 4						XXX						
8.5 NAIC 5						XXX						
8.6 NAIC 6						XXX						
8.7 Totals						XXX						
9. SVO Identified Funds												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.2 NAIC 2	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.3 NAIC 3	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.4 NAIC 4	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.5 NAIC 5	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.7 Totals	XXX	XXX	XXX	XXX	XXX				XXX	XXX		

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA
SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1	2	3	4	5	6	7	8	9	10	11	12
NAIC Designation	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 10.7	Total from Col. 6 Prior Year	% From Col. 7 Prior Year	Total Publicly Traded	Total Privately Placed (a)
10. Total Bonds Current Year												
10.1 NAIC 1	(d) 18,168,075	44,204,987	64,978,821	13,455,835	1,405,576		142,213,294	93.0	XXX	XXX	129,331,244	12,882,050
10.2 NAIC 2	(d) 100,566	3,295,386	5,895,915				9,291,867	6.1	XXX	XXX	8,792,219	499,648
10.3 NAIC 3	(d)	1,481,038					1,481,038	1.0	XXX	XXX	1,481,038	
10.4 NAIC 4	(d)								XXX	XXX		
10.5 NAIC 5	(d)						(c)		XXX	XXX		
10.6 NAIC 6	(d)						(c)		XXX	XXX		
10.7 Totals	18,268,641	48,981,411	70,874,736	13,455,835	1,405,576		(b) 152,986,199	100.0	XXX	XXX	139,604,501	13,381,698
10.8 Line 10.7 as a % of Col. 7	11.9	32.0	46.3	8.8	0.9		100.0	XXX	XXX	XXX	91.3	8.7
11. Total Bonds Prior Year												
11.1 NAIC 1	32,416,309	42,887,399	65,740,427	12,346,057	6,214,969	XXX	XXX	XXX	159,605,161	95.3	146,198,640	13,406,521
11.2 NAIC 2	1,012,301	2,052,534	3,361,176	41,337	3,837	XXX	XXX	XXX	6,471,185	3.9	5,471,785	999,400
11.3 NAIC 3	397,213	1,070,483				XXX	XXX	XXX	1,467,696	0.9	1,070,483	397,213
11.4 NAIC 4						XXX	XXX	XXX				
11.5 NAIC 5						XXX	XXX	XXX	(c)			
11.6 NAIC 6						XXX	XXX	XXX	(c)			
11.7 Totals	33,825,823	46,010,416	69,101,603	12,387,394	6,218,806	XXX	XXX	XXX	(b) 167,544,042	100.0	152,740,908	14,803,134
11.8 Line 11.7 as a % of Col. 9	20.2	27.5	41.2	7.4	3.7	XXX	XXX	XXX	100.0	XXX	91.2	8.8
12. Total Publicly Traded Bonds												
12.1 NAIC 1	16,038,236	40,657,776	57,924,374	13,305,280	1,405,576		129,331,242	84.5	146,198,640	87.3	129,331,242	XXX
12.2 NAIC 2	100,566	2,795,738	5,895,915				8,792,219	5.7	5,471,785	3.3	8,792,219	XXX
12.3 NAIC 3		1,481,038					1,481,038	1.0	1,070,483	0.6	1,481,038	XXX
12.4 NAIC 4												XXX
12.5 NAIC 5												XXX
12.6 NAIC 6												XXX
12.7 Totals	16,138,802	44,934,552	63,820,289	13,305,280	1,405,576		139,604,499	91.3	152,740,908	91.2	139,604,499	XXX
12.8 Line 12.7 as a % of Col. 7	11.6	32.2	45.7	9.5	1.0		100.0	XXX	XXX	XXX	100.0	XXX
12.9 Line 12.7 as a % of Line 10.7, Col. 7, Section 10	10.5	29.4	41.7	8.7	0.9		91.3	XXX	XXX	XXX	91.3	XXX
13. Total Privately Placed Bonds												
13.1 NAIC 1	2,129,839	3,547,211	7,054,447	150,555			12,882,052	8.4	13,406,521	8.0	XXX	12,882,052
13.2 NAIC 2		499,648					499,648	0.3	999,400	0.6	XXX	499,648
13.3 NAIC 3									397,213	0.2	XXX	
13.4 NAIC 4											XXX	
13.5 NAIC 5											XXX	
13.6 NAIC 6											XXX	
13.7 Totals	2,129,839	4,046,859	7,054,447	150,555			13,381,700	8.7	14,803,134	8.8	XXX	13,381,700
13.8 Line 13.7 as a % of Col. 7	15.9	30.2	52.7	1.1			100.0	XXX	XXX	XXX	XXX	100.0
13.9 Line 13.7 as a % of Line 10.7, Col. 7, Section 10	1.4	2.6	4.6	0.1			8.7	XXX	XXX	XXX	XXX	8.7

(a) Includes \$ 10,341,668 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ current year, \$ prior year of bonds with Z designations and \$, current year \$ prior year of bonds with Z* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z*" means the SVO could not evaluate the obligation because valuation procedures for the security class are under regulatory review.

(c) Includes \$ current year, \$ prior year of bonds with 5* designations and \$, current year \$ prior year of bonds with 6* designations. "5*" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 4,403,373 ; NAIC 2 \$; NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA
SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.6	9 Total from Col. 6 Prior Year	10 % From Col. 7 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1. U.S. Governments												
1.1 Issuer Obligations	5,772,872	6,607,250				XXX	12,380,122	8.1	20,233,098	12.1	12,380,122	
1.2 Residential Mortgage-Backed Securities		39,115	52,607	9,435		XXX	101,157	0.1	101,374	0.1	101,157	
1.3 Commercial Mortgage-Backed Securities		1,380,911	4,471,513	3,432,601	273,068	XXX	9,558,093	6.2	9,609,743	5.7	9,558,093	
1.4 Other Loan-Backed and Structured Securities	8,777	31,273	30,787			XXX	70,837	0.0	493,767	0.3	70,837	
1.5 Totals	5,781,649	8,058,549	4,554,907	3,442,036	273,068	XXX	22,110,209	14.5	30,437,982	18.2	22,110,209	
2. All Other Governments												
2.1 Issuer Obligations	999,943	874,763	748,037			XXX	2,622,743	1.7	3,021,941	1.8	2,622,743	
2.2 Residential Mortgage-Backed Securities						XXX						
2.3 Commercial Mortgage-Backed Securities						XXX						
2.4 Other Loan-Backed and Structured Securities						XXX						
2.5 Totals	999,943	874,763	748,037			XXX	2,622,743	1.7	3,021,941	1.8	2,622,743	
3. U.S. States, Territories and Possessions, Guaranteed												
3.1 Issuer Obligations						XXX						
3.2 Residential Mortgage-Backed Securities						XXX						
3.3 Commercial Mortgage-Backed Securities						XXX						
3.4 Other Loan-Backed and Structured Securities						XXX						
3.5 Totals						XXX						
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.1 Issuer Obligations						XXX						
4.2 Residential Mortgage-Backed Securities						XXX						
4.3 Commercial Mortgage-Backed Securities						XXX						
4.4 Other Loan-Backed and Structured Securities						XXX						
4.5 Totals						XXX						
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed												
5.1 Issuer Obligations						XXX						
5.2 Residential Mortgage-Backed Securities	621,496	1,832,070	3,000,917	9,154,163	902,187	XXX	15,510,833	10.1	14,103,500	8.4	15,510,833	
5.3 Commercial Mortgage-Backed Securities	15,662	80,836	169,356	667,480	230,321	XXX	1,163,655	0.8	1,177,216	0.7	1,163,655	
5.4 Other Loan-Backed and Structured Securities						XXX						
5.5 Totals	637,158	1,912,906	3,170,273	9,821,643	1,132,508	XXX	16,674,488	10.9	15,280,716	9.1	16,674,488	
6. Industrial and Miscellaneous												
6.1 Issuer Obligations	10,087,882	35,388,860	59,419,326	150,556		XXX	105,046,624	68.7	107,025,952	63.9	93,354,963	11,691,661
6.2 Residential Mortgage-Backed Securities	36,249	74,724	38,664	41,601		XXX	191,238	0.1	237,168	0.1	191,238	
6.3 Commercial Mortgage-Backed Securities	725,760	1,689,555	2,943,529			XXX	5,358,844	3.5	10,570,026	6.3	4,650,860	707,984
6.4 Other Loan-Backed and Structured Securities		982,053				XXX	982,053	0.6	970,257	0.6		982,053
6.5 Totals	10,849,891	38,135,192	62,401,519	192,157		XXX	111,578,759	72.9	118,803,403	70.9	98,197,061	13,381,698
7. Hybrid Securities												
7.1 Issuer Obligations						XXX						
7.2 Residential Mortgage-Backed Securities						XXX						
7.3 Commercial Mortgage-Backed Securities						XXX						
7.4 Other Loan-Backed and Structured Securities						XXX						
7.5 Totals						XXX						
8. Parent, Subsidiaries and Affiliates												
8.1 Issuer Obligations						XXX						
8.2 Residential Mortgage-Backed Securities						XXX						
8.3 Commercial Mortgage-Backed Securities						XXX						
8.4 Other Loan-Backed and Structured Securities						XXX						
8.5 Totals						XXX						

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA
SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.6	9 Total from Col. 6 Prior Year	10 % From Col. 7 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
9. SVO Identified Funds												
9.1 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.2 Bond Mutual Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
9.3 Totals	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
10. Total Bonds Current Year												
10.1 Issuer Obligations	16,860,697	42,870,873	60,167,363	150,556		XXX	120,049,489	78.5	XXX	XXX	108,357,828	11,691,661
10.2 Residential Mortgage-Backed Securities	657,745	1,945,909	3,092,188	9,205,199	902,187	XXX	15,803,228	10.3	XXX	XXX	15,803,228	
10.3 Commercial Mortgage-Backed Securities	741,422	3,151,302	7,584,398	4,100,081	503,389	XXX	16,080,592	10.5	XXX	XXX	15,372,608	707,984
10.4 Other Loan-Backed and Structured Securities	8,777	1,013,326	30,787			XXX	1,052,890	0.7	XXX	XXX	70,837	982,053
10.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
10.6 Totals	18,268,641	48,981,410	70,874,736	13,455,836	1,405,576		152,986,199	100.0	XXX	XXX	139,604,501	13,381,698
10.7 Line 10.6 as a % of Col. 7	11.9	32.0	46.3	8.8	0.9		100.0	XXX	XXX	XXX	91.3	8.7
11. Total Bonds Prior Year												
11.1 Issuer Obligations	29,712,443	40,820,022	59,024,202	724,324		XXX	XXX	XXX	130,280,991	77.8	117,572,659	12,708,332
11.2 Residential Mortgage-Backed Securities	24,269	689,891	1,537,308	6,579,407	5,611,167	XXX	XXX	XXX	14,442,041	8.6	14,442,041	
11.3 Commercial Mortgage-Backed Securities	4,065,792	3,415,991	8,358,958	4,908,605	607,639	XXX	XXX	XXX	21,356,985	12.7	20,647,588	709,397
11.4 Other Loan-Backed and Structured Securities	23,319	1,084,512	181,135	175,058		XXX	XXX	XXX	1,464,024	0.9	78,620	1,385,404
11.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11.6 Totals	33,825,823	46,010,416	69,101,603	12,387,394	6,218,806	XXX	XXX	XXX	167,544,041	100.0	152,740,908	14,803,133
11.7 Line 11.6 as a % of Col. 9	20.2	27.5	41.2	7.4	3.7	XXX	XXX	XXX	100.0	XXX	91.2	8.8
12. Total Publicly Traded Bonds												
12.1 Issuer Obligations	14,730,858	39,806,067	53,820,900			XXX	108,357,825	70.8	117,572,659	70.2	108,357,825	XXX
12.2 Residential Mortgage-Backed Securities	657,745	1,945,909	3,092,188	9,205,199	902,187	XXX	15,803,228	10.3	14,442,041	8.6	15,803,228	XXX
12.3 Commercial Mortgage-Backed Securities	741,422	3,151,302	6,876,414	4,100,081	503,389	XXX	15,372,608	10.0	20,647,588	12.3	15,372,608	XXX
12.4 Other Loan-Backed and Structured Securities	8,777	31,273	30,787			XXX	70,837	0.0	78,620	0.0	70,837	XXX
12.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX		XXX
12.6 Totals	16,138,802	44,934,551	63,820,289	13,305,280	1,405,576		139,604,498	91.3	152,740,908	91.2	139,604,498	XXX
12.7 Line 12.6 as a % of Col. 7	11.6	32.2	45.7	9.5	1.0		100.0	XXX	XXX	XXX	100.0	XXX
12.8 Line 12.6 as a % of Line 10.6, Col. 7, Section 10	10.5	29.4	41.7	8.7	0.9		91.3	XXX	XXX	XXX	91.3	XXX
13. Total Privately Placed Bonds												
13.1 Issuer Obligations	2,129,839	3,064,806	6,346,463	150,556		XXX	11,691,664	7.6	12,708,332	7.6	XXX	11,691,664
13.2 Residential Mortgage-Backed Securities						XXX					XXX	
13.3 Commercial Mortgage-Backed Securities			707,984			XXX	707,984	0.5	709,397	0.4	XXX	707,984
13.4 Other Loan-Backed and Structured Securities		982,053				XXX	982,053	0.6	1,385,404	0.8	XXX	982,053
13.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX	XXX	
13.6 Totals	2,129,839	4,046,859	7,054,447	150,556			13,381,701	8.7	14,803,133	8.8	XXX	13,381,701
13.7 Line 13.6 as a % of Col. 7	15.9	30.2	52.7	1.1			100.0	XXX	XXX	XXX	XXX	100.0
13.8 Line 13.6 as a % of Line 10.6, Col. 7, Section 10	1.4	2.6	4.6	0.1			8.7	XXX	XXX	XXX	XXX	8.7

ANNUAL STATEMENT FOR THE YEAR 2016 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE DA - VERIFICATION BETWEEN YEARS

Short-Term Investments

	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year	8,228,570	8,228,570			
2. Cost of short-term investments acquired	67,122,803	67,122,803			
3. Accrual of discount	13,043	13,043			
4. Unrealized valuation increase (decrease)					
5. Total gain (loss) on disposals	3,066	3,066			
6. Deduct consideration received on disposals	74,973,485	74,973,485			
7. Deduct amortization of premium					
8. Total foreign exchange change in book/adjusted carrying value					
9. Deduct current year's other than temporary impairment recognized					
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	393,997	393,997			
11. Deduct total nonadmitted amounts					
12. Statement value at end of current period (Line 10 minus Line 11)	393,997	393,997			

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - VERIFICATION BETWEEN YEARS

(Cash Equivalents)

	1	2	3
	Total	Bonds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year	6,459,892	6,459,892	
2. Cost of cash equivalents acquired	79,780,020	79,780,020	
3. Accrual of discount	9,901	9,901	
4. Unrealized valuation increase (decrease)			
5. Total gain (loss) on disposals	299	299	
6. Deduct consideration received on disposals	82,240,270	82,240,270	
7. Deduct amortization of premium	466	466	
8. Total foreign exchange change in book/adjusted carrying value			
9. Deduct current year's other than temporary impairment recognized			
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	4,009,376	4,009,376	
11. Deduct total nonadmitted amounts			
12. Statement value at end of current period (Line 10 minus Line 11)	4,009,376	4,009,376	

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment: