

LIFE AND ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION

NAIC Group Code _

QUARTERLY STATEMENT

AS OF MARCH 31, 2019

OF THE CONDITION AND AFFAIRS OF THE

NYLIFE Insurance Company of Arizona

<u>0826</u>, <u>0826</u> NAIC Company Code 81353 Employer's ID No. 52-1530175

Country of Domicile	e <u>United States of America</u>
INCORPORATED/ORGANIZED JULY 23, 1987	COMMENCED BUSINESS DECEMBER 30, 1987
Statutory Home Office	14850 N. Scottsdale Road, Suite 400, Scottsdale, AZ, U.S. 85254 51 Madison Avenue, New York, NY, U.S. 10010
Mail Address Primary Location of Books and Records	51 Madison Avenue, New York, NY, U.S. 10010
Internet Website address	www.newyorklife.com. Peter Decandia201-942-8290. statement_contact@newyorklife.com 201-942-2158.
THOMAS FRANCIS ENGLISH Senior Vice President	Senior Vice President
	and Chief Financial Officer BARBARA JOAN MCINERNEY Senior Vice President and Chief Compliance Officer
ARTHUR HARUTYUN SETER Senior Vice President and Chief Investment Officer	STEPHEN JOHN MCNAMARA Vice President and Actuary
and only an obtain office.	
PETER JOSEPH DECANDIA Corporate Vice President and Controller	MARY TERESA HALLAHAN Vice President and Treasurer
PETER JOSEPH DECANDIA	
PETER JOSEPH DECANDIA Corporate Vice President and Controller COLLEEN ANNE MEADE Associate Legal Officer and Secretary	
PETER JOSEPH DECANDIA Corporate Vice President and Controller COLLEEN ANNE MEADE Associate Legal Officer and Secretary	Vice President and Treasurer
PETER JOSEPH DECANDIA Corporate Vice President and Controller COLLEEN ANNE MEADE Associate Legal Officer and Secretary	Vice President and Treasu

State of New York County of New York

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures Manual except to the extent that: (1) state law may differ; or, (2) state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

COLLEEN ANNE MEADE
Associate Legal Officer and Secretary

MARY TERESA HALLAHAN Vice President and Treasurer

PETER DECANDIA Corporate Vice President and Controller

STEPHEN COSTANZA

NOTARY PUBLIC-STATE OF NEW YORK

No. 01CO6327901

Qualified in Westchester County

My Commission Expires July 20, 2019

Is this an original filing? Yes [X] No [] If no: 1. State the amendment number 2. Date filed

3. Number of pages attached

Subscribed and sworn to before me this

day of May 2019

 ${\it \# Officers \ and \ Directors \ who \ did \ not \ occupy \ the \ indicated \ position \ in \ the \ previous \ annual \ statement}$

ASSETS

	_		Current Statement Date		4
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1.	Bonds	147,516,436	Tronaumitou 7 toodto	147.516.436	147,271,235
		147,510,430		147,510,430	147,271,200
2.					
	2.1 Preferred stocks				
	2.2 Common stocks				
3.	Mortgage loans on real estate:				
	3.1 First liens				
	3.2 Other than first liens				
4.	Real estate:				
	4.1 Properties occupied by the company (less \$				
	encumbrances)				
	4.2 Properties held for the production of income (less				
	\$ encumbrances)				
	4.3 Properties held for sale (less \$				
	encumbrances)				
5.	Cash (\$(928, 154)), cash equivalents				
Э.	(\$4.523,361) and short-term				
	(, , , , , , , , , , , , , , , , , , ,	7 000 000		7 000 000	0 077 050
	investments (\$3,428,083)				
6.	Contract loans (including \$ premium notes)				
7.	Derivatives				
8.	Other invested assets				
9.	Receivables for securities	5,000		5,000	
10.	Securities lending reinvested collateral assets				
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)	154,544,726		154,544,726	151,148,585
13.	Title plants less \$ charged off (for Title insurers				
	only)				
14.	Investment income due and accrued	1,016,811		1,016,811	979 , 155
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection.	549.417	242.999	306.418	151.094
	15.2 Deferred premiums, agents' balances and installments booked but	,	,	,	, ,
	deferred and not yet due (including \$				
	earned but unbilled premiums)	19 138 013		19, 138, 013	19 440 603
					10,440,000
	15.3 Accrued retrospective premiums (\$				
	contracts subject to redetermination (\$				
16.		050 440		050 440	4 004 500
	16.1 Amounts recoverable from reinsurers				1,031,500
	16.2 Funds held by or deposited with reinsured companies				
	16.3 Other amounts receivable under reinsurance contracts			106,146	98,345
17.	9				
18.1	Current federal and foreign income tax recoverable and interest thereon				
18.2	Net deferred tax asset		12,120	1,880,105	2,111,890
19.	Guaranty funds receivable or on deposit	37,727		37,727	37,727
20.	Electronic data processing equipment and software				
21.	Furniture and equipment, including health care delivery assets				
	(\$				
22.	Net adjustment in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates				853
24.	Health care (\$) and other amounts receivable				
25.	Aggregate write-ins for other than invested assets				
26.	Total assets excluding Separate Accounts, Segregated Accounts and		207,001		
20.	Protected Cell Accounts (Lines 12 to 25)	179, 164, 645	492,710	178,671,935	176,583,174
27.	From Separate Accounts, Segregated Accounts and Protected Cell				
28.	Accounts Total (Lines 26 and 27)	179, 164, 645	492,710	178,671,935	176,583,174
20.	DETAILS OF WRITE-INS	170, 104,040	402,710	170,071,000	170,500,174
1101					
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page		ļ		
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501.	Disallowed IMR	183 , 185	183, 185		
2502.	Miscellaneous	54,406	54,406		
2503.					
2598.	Summary of remaining write-ins for Line 25 from overflow page				
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	237,591	237,591		

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31 Prior Year
1.	Aggregate reserve for life contracts \$		
2. 3.	Aggregate reserve for accident and health contracts (including \$ Modco Reserve)		
4.	Contract claims: 4.1 Life		
_	4.2 Accident and health		
	Policyholders' dividends/refunds to members \$ and coupons \$ due and unpaid		
6.	Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
	6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$		
	6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$		
7.	Amount provisionally held for deferred dividend policies not included in Line 6		
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less		
•	\$ discount; including \$ accident and health premiums	83,321	105 , 147
9.	Contract liabilities not included elsewhere: 9.1 Surrender values on canceled contracts		
	9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health		
	Service Act		
	ceded 9.4 Interest Maintenance Reserve		
10.	Commissions to agents due or accrued-life and annuity contracts \$, accident and health \$		
11.	Commissions and expense allowances payable on reinsurance assumed		
12. 13.	General expenses due or accrued	26,864	26,864
13.	allowances recognized in reserves, net of reinsured allowances)		
14.	Taxes, licenses and fees due or accrued, excluding federal income taxes	(112,421)	2,575
	Current federal and foreign income taxes, including \$ on realized capital gains (losses)		
15.2 16.	Net deferred tax liability		
17.	Amounts withheld or retained by reporting entity as agent or trustee		
18.	Amounts held for agents' account, including \$ agents' credit balances		
19.	Remittances and items not allocated		
20. 21.	Net adjustment in assets and liabilities due to foreign exchange rates Liability for benefits for employees and agents if not included above		
22.	Borrowed money \$ and interest thereon \$		
23.	Dividends to stockholders declared and unpaid		
24.	Miscellaneous liabilities: 24.01 Asset valuation reserve	472 762	479 616
	24.02 Reinsurance in unauthorized and certified (\$		
	24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
	24.04 Payable to parent, subsidiaries and affiliates		1,699,546
	24.06 Liability for amounts held under uninsured plans		
	24.07 Funds held under coinsurance		
	24.08 Derivatives		
	24.09 Payable for securities		
	24.11 Capital notes \$ and interest thereon \$		
25.	Aggregate write-ins for liabilities	46,484 63,665,886	46,583 63,153,822
26. 27.	Total liabilities excluding Separate Accounts business (Lines 1 to 25)	, ,	03, 133,622
28.	Total liabilities (Lines 26 and 27)		63,153,822
29.	Common capital stock	2,500,000	2,500,000
30.	Preferred capital stock		
31. 32.	Aggregate write-ins for other than special surplus funds		
33.	Gross paid in and contributed surplus		98,500,000
34.	Aggregate write-ins for special surplus funds		10 400 050
35. 36.	Unassigned funds (surplus)	14,000,049	12,429,352
	36.1		
	36.2 shares preferred (value included in Line 30 \$		
37. 38.	Surplus (Total Lines 31+32+33+34+35-36) (including \$	112,506,049 115,006,049	110,929,352 113,429,352
39.	Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	178,671,935	176,583,174
	DETAILS OF WRITE-INS	, ,	, ,
2502.	Liability for interest on claims		46,583
2503. 2598.	Summary of remaining write-ins for Line 25 from overflow page		
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	46,484	46,583
3101.			
3102. 3103.			
3103. 3198.	Summary of remaining write-ins for Line 31 from overflow page		
3199.	Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)		
3401.			
3402. 3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page		
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

SUMMARY OF OPERATIONS

		1	2	3
		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1.	Premiums and annuity considerations for life and accident and health contracts		3,706,820	13.673.636
	Considerations for supplementary contracts with life contingencies.		' '	
3.	Net investment income	1, 132, 414	1,125,288	4,488,170
4.	Amortization of Interest Maintenance Reserve (IMR)			(31,272)
5.	Separate Accounts net gain from operations excluding unrealized gains or losses			
	Commissions and expense allowances on reinsurance ceded		268,614	981,800
7. 8.	Reserve adjustments on reinsurance ceded			
0.	8.1 Income from fees associated with investment management, administration and contract			
	guarantees from Separate Accounts			
	8.3 Aggregate write-ins for miscellaneous income	100		223
9.	Totals (Lines 1 to 8.3)	4,060,212	5,093,317	19,112,557
10.	Death benefits	2,848,875	2,567,325	8,902,244
11.	Matured endowments (excluding guaranteed annual pure endowments)			
12.	Annuity benefits		047.750	
13.	Disability benefits and benefits under accident and health contracts			891,611
14. 15.	Coupons, guaranteed annual pure endowments and similar benefits			1, 184
16.		924,615		3,560,115
17.	Interest and adjustments on contract or deposit-type contract funds	8.186	8,300	63,375
18.	Payments on supplementary contracts with life contingencies			
19.	Increase in aggregate reserves for life and accident and health contracts		(2,484,053)	(1,573,580)
20.	Totals (Lines 10 to 19)	1,158,449	994,570	11,844,949
21.	Commissions on premiums, annuity considerations, and deposit-type contract funds (direct			
	business only)	32,447	15,973	(789)
22.	Commissions and expense allowances on reinsurance assumed		041 671	0.000.000
23.	General insurance expenses and fraternal expenses	559,8/1	841,671	3,369,309
24. 25.	Insurance taxes, licenses and fees, excluding federal income taxes	∠30, 184 124 ∩60	292 , 145 206 , 126	
25. 26.	Net transfers to or (from) Separate Accounts net of reinsurance		200, 120	
27.	Aggregate write-ins for deductions	65	175	174
28.	Totals (Lines 20 to 27)	2,231,084	2,350,660	16,647,411
29.	Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus	2,201,001	2,000,000	10,0,
	Line 28)	1,829,128	2,742,657	2,465,146
30.	Dividends to policyholders and refunds to members			
31.	Net gain from operations after dividends to policyholders, refunds to members and before federal			
	income taxes (Line 29 minus Line 30)		2,742,657	2,465,146
32.	Federal and foreign income taxes incurred (excluding tax on capital gains)	202,460	512,231	(433,919)
33.	Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	1 626 668	2,230,426	2,899,065
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital	1,020,000	2,200,420	2,000,000
04.	gains tax of \$			
	transferred to the IMR)	(254)	505	(1,181)
35.	Net income (Line 33 plus Line 34)	1,626,414	2,230,931	2,897,884
	CAPITAL AND SURPLUS ACCOUNT	, ,	, ,	
36.	Capital and surplus, December 31, prior year	113,429,352	110,303,263	110,303,263
37.	Net income (Line 35)	1,626,414	2,230,931	2,897,884
	Change in net unrealized capital gains (losses) less capital gains tax of \$			
39.	Change in net unrealized foreign exchange capital gain (loss)			
40.	Change in net deferred income tax	(219,664)	(109,594)	(739,415)
41.	Change in nonadmitted assets			972 , 162
42.	Change in liability for reinsurance in unauthorized and certified companies			
43. 44.	Change in reserve on account of change in valuation basis, (increase) or decrease Change in asset valuation reserve			(A 5A2)
45.	Change in treasury stock		(2,007)	(4,542)
46.	Surplus (contributed to) withdrawn from Separate Accounts during period			
47.	Other changes in surplus in Separate Accounts Statement			
48.	Change in surplus notes			
49.	Cumulative effect of changes in accounting principles			
	Capital changes:			
	50.1 Paid in			
	50.3 Transferred to surplus			
51.	Surplus adjustment:			
	51.1 Paid in			
	51.3 Transferred from capital			
	51.4 Change in surplus as a result of reinsurance			
52.	Dividends to stockholders			
	Aggregate write-ins for gains and losses in surplus			
	Net change in capital and surplus for the year (Lines 37 through 53)	1,576,697	2,193,228	3,126,089
55.	Capital and surplus, as of statement date (Lines 36 + 54)	115,006,049	112,496,491	113,429,352
	DETAILS OF WRITE-INS			
	Sundries	100		223
	Summary of remaining write-ins for Line 8.3 from overflow page			
	Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	100	475	223
			175	174
	Summary of remaining write-ins for Line 27 from overflow page	65	175	174
	Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)			1/4
	Summary of remaining write-ins for Line 53 from overflow page			
	Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)			
	- ' '	l .		

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	2,692,420	3,911,191	14,253,770
2.	Net investment income	1,128,224	1,137,053	4,651,766
3.	Miscellaneous income	274,602	250,998	985,716
4.	Total (Lines 1 to 3)	4,095,246	5,299,242	19,891,252
5.	Benefit and loss related payments	3,403,432	2,994,526	12,794,785
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	989,965	1,110,579	4,328,203
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of \$ tax on capital			
	gains (losses)		(299)	369, 194
10.	Total (Lines 5 through 9)	4,393,397	4,104,806	17,492,182
11.	Net cash from operations (Line 4 minus Line 10)	(298, 151)	1,194,436	2,399,070
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	2,255,754	4,805,717	17,045,568
	12.2 Stocks			
	12.3 Mortgage loans			
	12.4 Real estate			
	12.5 Other invested assets			
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	37	29	295
	12.7 Miscellaneous proceeds			
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	2,255,791	4,805,746	17,045,863
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	2,507,630	3,983,395	17,922,470
	13.2 Stocks			
	13.3 Mortgage loans			
	13.4 Real estate			
	13.5 Other invested assets			
	13.6 Miscellaneous applications	(2,520,391)		
	13.7 Total investments acquired (Lines 13.1 to 13.6)	(12,761)	3,983,395	17,922,470
14.	Net increase (or decrease) in contract loans and premium notes			
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	2,268,552	822,351	(876,607
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes			
	16.2 Capital and paid in surplus, less treasury stock			
	16.3 Borrowed funds			
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	(18,555)	(4,750)	(326,944
	16.5 Dividends to stockholders			
	16.6 Other cash provided (applied)	1,194,094	201,504	(507,304
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	1,175,539	196,754	(834,248
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.		3,145,940	2,213,541	688,215
19.	Cash, cash equivalents and short-term investments:	. ,	. ,	, -
	•	3,877,350	3, 189, 135	3 . 189 . 135
		, ,	, -,	, -,

Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001. Capitalized interest	39,346	41,630	164,068

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	DIRECT PREMIUMS AND DEPOSIT-TYPE (JUNIKACIS	2	3
		Current Year	∠ Prior Year	Prior Year Ended
		To Date	To Date	December 31
1.	Industrial life	 		
2.	Ordinary life insurance	6,258,236	7,877,478	28,924,614
3.	Ordinary individual annuities			
4.	Credit life (group and individual)			
5.	Group life insurance			
6.	Group annuities			
7.	A & H - group			
8.	A & H - credit (group and individual)			
9.	A & H - other			
10.	Aggregate of all other lines of business			
11.	Subtotal (Lines 1 through 10)	6,258,236	7,877,478	28,924,614
12.	Fraternal (Fraternal Benefit Societies Only)	ļ		
13.	Subtotal (Lines 11 through 12)	6,258,236	7,877,478	28,924,614
14.	Deposit-type contracts			
15.	Total (Lines 13 and 14)	6,258,236	7,877,478	28,924,614
	DETAILS OF WRITE-INS			
1001.				
1002.				
1003.				
1098.	Summary of remaining write-ins for Line 10 from overflow page			
1099.	Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. The accompanying financial statements of NYLIFE Insurance Company of Arizona ("the Company") have been prepared using accounting practices prescribed or permitted by the Arizona Insurance Department.

The Arizona Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of Arizona for determining and reporting the financial position and results of operations of an insurance company and for determining its solvency under Arizona Insurance Law. The National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures Manual ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the state of Arizona. Prescribed statutory accounting practices include state laws and regulations. Permitted statutory accounting practices encompass accounting practices that are not prescribed; such practices differ from state to state, may differ from company to company within a state, and may change in the future. Arizona has adopted all prescribed accounting practices found in NAIC SAP. The Company has no permitted practices.

A reconciliation of the Company's net income and capital and surplus at March 31, 2019 and December 31, 2018 between practices prescribed or permitted by the State of Arizona and NAIC SAP is shown below:

	SSAP #	F/S Page	F/S Line #	2019	2018
Net Income					
Net income Arizona state basis (Page 4, Line 35, (1) Columns 1 & 3)	XXX	XXX	XXX	\$ 1,626,414	\$ 2,897,884
State prescribed practices that increase/(decrease) (2) NAIC SAP:				_	_
State permitted practices that increase/(decrease) (3) NAIC SAP:				_	_
(4) Net income, NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 1,626,414	\$ 2,897,884
Capital and Surplus					
Statutory capital and surplus Arizona state basis (5) (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$115,006,049	\$113,429,352
State prescribed practices that increase/(decrease) (6) NAIC SAP:				_	_
State permitted practices that increase/(decrease) (7) NAIC SAP:					
(8) Capital and surplus, NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$115,006,049	\$113,429,352

B. No change.

- C. (1) No change
 - Bonds not backed by loans are stated at amortized cost using the interest method. Bonds in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. The cost basis of bonds is adjusted for impairments in value deemed to be other than temporary, with the difference between the bond's amortized cost and its fair value recognized as a realized loss reported in net income. The new cost basis of an impaired bond is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary impairment ("OTTI"), the impaired bond is accounted for as if it had been purchased on the measurement date of the impairment. Refer to Note 20 Fair Value Measurements, for a discussion of the valuation approach and methods for bonds.
 - (3) (5) No change.
 - (6) Loan-backed and structured securities, which are included in bonds, are valued at amortized cost using the interest method including current assumptions of projected cash flows. Loan-backed and structured securities in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. Amortization of the premium or accretion of discount from the purchase of these securities considers the estimated timing and amount of cash flows of the underlying loans, including prepayment assumptions based on data obtained from external sources or internal estimates. Projected future cash flows are updated monthly, and the amortized cost and effective yield of the securities are adjusted as necessary to reflect historical prepayment experience and changes in estimated future prepayments. For high credit quality loan-backed and structured securities (those rated AA or above at the date of acquisition), the adjustments to amortized cost are recorded as a charge or credit to net investment income in accordance with the retrospective method. For loan-backed and structured securities that are not of high credit quality (those rated below AA at date of acquisition), certain floating rate securities and securities with the potential for a loss of a portion of the original investment due to contractual prepayments (e.g. interest only securities), the effective yield is adjusted prospectively for any changes in estimated cash flows. Refer to Note 20 Fair Value Measurements, for a discussion of the valuation approach and methods for bonds.

The cost basis of loan-backed and structured securities is adjusted for impairments in value that are deemed to be other than temporary. An other-than-temporary loss is recognized in net income when it is anticipated that the amortized cost will not be recovered. The entire difference between the loan-backed or structured security's amortized cost and its fair value is recognized in net income only when the Company (1) has the intent to sell the security or (2) it does not have the intent and ability to hold the security to recovery. If neither of these two conditions exists, a realized loss is recognized in net income for the difference between the amortized cost basis of the security and the net present value of projected future cash flows expected to be collected. The net present value is calculated by discounting the Company's best estimate of projected future cash flows at the effective interest rate implicit in the loan-backed or structured security prior to impairment.

The new cost basis of an impaired security is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary loss, the impaired loan-backed or structured security is accounted for as if it had been purchased on the measurement date of the impairment. Accordingly, the discount (or reduced premium) based on the new cost basis may be accreted into net investment income in future periods based on prospective changes in cash flow estimates, to reflect adjustments to the effective yield.

(7) - (13) No change.

D. Going Concern

The Company does not have any doubt about its ability to continue as a going concern.

NOTES TO FINANCIAL STATEMENTS

2. Accounting Changes and Corrections of Errors

Not applicable.

3. Business Combinations and Goodwill

Not applicable.

4. Discontinued Operations

Not applicable.

Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

Not applicable

B. Debt Restructuring

Not applicable.

C. Reverse Mortgages

Not applicable.

D. Loan-Backed Securities

- (1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from external sources such as Intex and Blackrock Solutions.
- (2) The Company does not have any loan-backed and structured securities which are other-than-temporarily impaired where the Company intends to sell, or does not have the intent and ability to hold until recovery, at March 31, 2019.
- (3) As of March 31, 2019, the Company did not have any securities where the present value of cash flows expected to be collected is less than the amortized cost basis during the current year.
- (4) The following table presents the Company's gross unrealized losses and fair values of loan-backed and structured securities, aggregated by the length of time that the individual securities have been in a continuous unrealized loss position at March 31, 2019:

	Less than 12 months			12 months	greater	Total				
Fair Va		Fair Value Losses		Fair Value	Losses		Fair Value		Losses	
Total temporarily impaired securities	\$		\$	_	\$ 18,244,206	\$	387,759	\$ 18,244,206	\$	387,759

- (5) The Company performs quantitative and qualitative analysis to determine if a decline in fair value was temporary. For those securities where the decline was considered temporary, the Company did not take an impairment when it had the ability and intent to hold until recovery. Factors considered in evaluating whether a decline in value is other than temporary include: (1) whether the decline is substantial; (2) the duration that the fair value has been less than amortized cost; (3) the financial condition and near-term prospects of the issuer; and (4) the Company's ability and intent to retain the investment for the period of time sufficient to allow for an anticipated recovery in value. In addition, for the non-agency residential mortgage backed securities ("RMBS") portfolio, the Company updates cash flow projections quarterly. A projection is performed for each security based upon the evaluation of prepayment, delinquency, and default rates for the pool of mortgages collateralizing each security, and the projected impact on the course of future prepayments, defaults, and loss in the pool of mortgages, but do not include market prices. As a result, forecasts may change from period to period and additional impairments may be recognized over time as a result of deterioration in the fundamentals of a particular security or group of securities and/or a continuation of heightened mortgage defaults for a period longer than the assumptions used for the forecasts. Both qualitative and quantitative factors are used in creating the Company's RMBS cash flow models. As such, any estimate of impairments is subject to the inherent limitation on the Company's ability to predict the aggregate course of future events. It should therefore be expected that actual losses may vary from any estimate and the Company may recognize additional other-than-temporary losses.
- E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable.

F. Repurchase Agreements Transaction Accounted for as Secured Borrowing

Not applicable

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

J. Real Estate

Not applicable.

NOTES TO FINANCIAL STATEMENTS

Low-Income Housing Tax Credits

C.

Gain Contingencies

Not applicable.

		Not applicable.
	L.	Restricted Assets
		No change.
	М.	Working Capital Finance Investments
		Not applicable.
	N.	Offsetting and Netting of Assets and Liabilities
		Not applicable.
	Ο.	Structured Notes
		Not applicable.
	P.	5GI Securities
		Not applicable.
	Q.	Short Sales
		Not applicable.
	R.	Prepayment Penalty and Acceleration Fees
		The following represents the Company's securities sold, redeemed or otherwise disposed as a result of a callable feature (including make whole call provisions) and the aggregate amount of investment income generated as a result of a prepayment penalty and/or acceleration fee.
		General Account
		Number of CUSIPs —
		Aggregate Amount of Investment Income \$ —
6.	Joint	Ventures, Partnerships and Limited Liability Companies
	A.	No change.
	В.	No change.
7.	Inves	tment Income
	A.	No change.
	В.	No change.
8.	Deriv	ative Instruments
	Not ap	pplicable.
9.		ne Taxes
10.	A - I.	No change. nation Concerning Parent, Subsidiaries, Affiliates and Other Related Parties
		No change.
11.	Debt	. The Grange.
		pplicable.
12.	Retire	ement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement fit Plans
	A - I.	No change.
13.	Capit	al and Surplus, Shareholders' Dividend Restrictions, and Quasi-Reorganizations
	No ch	ange.
14.	Liabil	ities, Contingencies and Assessments
	A.	Contingent Commitments
		Not applicable.
	В.	Assessments
		No change.

NOTES TO FINANCIAL STATEMENTS

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

No change.

E. Joint and Several Liabilities

Not applicable.

F. All Other Contingencies

No change.

15. Leases

A. Lessee Operating Lease

No change.

B. Lessor Leases

Not applicable.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit

Not applicable.

- 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities
 - A. Transfers of Receivables reported as Sales

Not applicable.

B. Transfer and Servicing of Financial Assets

Not applicable.

- C. Wash Sales
 - (1) In the course of the Company's investment management activities, securities may be sold and repurchased within 30 days of the sale date to enhance the Company's yield on its investment portfolio.
 - (2) The Company did not have any wash sales where securities with an NAIC rating designation of 3 or below, or unrated, were sold during the three months ended March 31, 2019 and reacquired within 30 days of the sale date.
- 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not applicable

19. Direct Premium Written/Produced by Managing General Agents/Third-Party Administrators

Not applicable.

- 20. Fair Value Measurements
 - A. The Company's financial assets and liabilities carried at fair value have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100, Fair Value Measurements. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This guidance establishes a framework for measuring fair value that includes a hierarchy used to classify the inputs used in measuring fair value. The hierarchy prioritizes the inputs to valuation techniques used to measure fair value into three levels. The level in the fair value hierarchy within which the fair value measurement falls is determined based on the lowest level input that is significant to the fair value measurement.
 - (1) The levels of the fair value hierarchy are based on the inputs to the valuation as follows:
 - Level 1 Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market. Active markets are defined as a market in which many transactions occur with sufficient frequency and volume to provide pricing information on an ongoing basis.
 - Level 2 Observable inputs other than level 1 prices, such as quoted prices in active markets for similar assets or liabilities; quoted prices in markets that are not active for identical or similar assets or liabilities, or other model driven inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities. Valuations are generally obtained from third-party pricing services for identical or comparable assets or liabilities or through the use of valuation methodologies using observable market inputs.
 - Level 3 Instruments whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs reflect management's own assumptions in pricing the asset or liability. Pricing may also be based upon broker quotes that do not represent an offer to transact. Prices are determined using valuation methodologies such as option pricing models, discounted cash flow models and other similar techniques. Non-binding broker quotes, which are utilized when pricing service information is not available, are reviewed for reasonableness based on the Company's understanding of the market, and are generally considered Level 3. To the extent the internally developed valuations use significant unobservable inputs, they are classified as Level 3.

The Company had no investments held at fair value at March 31, 2019.

B. Not applicable

NOTES TO FINANCIAL STATEMENTS

C. The following table presents the carrying amounts and estimated fair value of the Company's financial instruments at March 31, 2019:

	Fair Value	Carrying Value Level 1		Level 2 Level 3			N	let Asset Value (NAV)	Not Practicable		
Assets:											
Bonds	\$148,517,736	\$147,516,436	\$	_	\$148,517,736	\$	_	\$	_	\$	_
Cash, cash equivalents and short-term investments	7,023,290	7,023,290		209,003	6,814,287		_		_		_
Investment income due and accrued	1,016,811	1,016,811		_	1,016,811		_		_		
Total assets	\$156,557,837	\$155,556,537	\$	209,003	\$156,348,834	\$		\$	_	\$	

Bonds

The fair value of bonds is determined by considering one of four primary sources: (1) security pricing is applied using a hierarchy approach whereby publicly available prices are first sought from nationally recognized third-party pricing services, (2) securities are priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmarks bond indices, (3) securities are priced using an internal pricing model or methodology, and (4) securities are submitted to independent brokers for prices.

The pricing service generally uses an income-based approach by using a discounted cash-flow model or it may use a market approach by looking at recent trades of a specific security to determine fair value on public securities or a combination of the two. Typical inputs used by these pricing services include, but are not limited to; benchmark yields, reported trades, issuer spreads, bids, offers, benchmark securities, estimated cash flows and prepayment speeds.

Independent pricing vendors do not supply prices for private placement bonds. These securities are primarily priced using a market approach such as a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices. Any private securities that cannot be priced using this methodology, are priced using an internally developed model based upon assigned comparable public issues adjusted for liquidity, maturity and rating or are priced based on internal calculations. The Company assigns a credit rating based upon internal analysis.

Prices from pricing services and broker quotes are validated on an ongoing basis to ensure the adequacy and reliability of the fair value measurement. The Company performs both quantitative and qualitative analysis of the prices including initial and ongoing review of third-party pricing methodologies, back testing of recent trades, and a thorough review of pricing trends and statistics and is classified as Level 2.

Cash, cash equivalents, short-term investments and investment income due and accrued

Cash on hand is classified as Level 1. Cash overdrafts (i.e. outstanding checks) are classified as Level 2. Due to the short-term maturities, the carrying value of short-term investments, cash equivalents and investment income due and accrued is presumed to approximate fair value.

- **D.** If it is not practicable for an entity to estimate the fair value of that financial instrument or a class of financial instruments, the following shall be disclosed:
 - (1) (2) Not applicable.
- E. Not applicable.

21. Other Items

A. Unusual or Infrequent Items

Not applicable.

B. Troubled Debt Restructuring: Debtors

Not applicable.

C. Other Disclosures

No change.

D. Business Interruption Insurance Recoveries

Not applicable

E. State Transferable and Non-transferable Tax Credits

Not applicable.

F. Subprime and Midprime Mortgage Related Risk Exposure

No change.

G. Retained Assets

No change.

H. Insurance-Linked Securities ("ILS") Contracts

Not applicable.

NOTES TO FINANCIAL STATEMENTS

22. Events Subsequent

At May 13, 2019, the date the financial statements were available to be issued, there have been no events occurring subsequent to the close of the Company's books or accounts for the accompanying annual statement that would have a material effect on the financial condition of the Company.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

No change.

Section 2 - Ceded Reinsurance Report - Part A

No change

Section 3 - Ceded Reinsurance Report - Part B

No change.

B. Uncollectible Reinsurance

Not applicable.

C. Commutation of Ceded Reinsurance

Not applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not applicable.

E - G. Not applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

Not applicable

25. Change in Incurred Losses and Loss Adjustment Expenses

Not applicable.

26. Intercompany Pooling Arrangements

Not applicable.

27. Structured Settlements

Not applicable.

28. Health Care Receivables

Not applicable.

29. Participating Policies

Not applicable.

30. Premium Deficiency Reserves

Not applicable.

31. Reserves for Life Contracts and Annuity Contracts

No change.

32. Analysis of Annuity Actuarial Reserves and Deposit-Type Liabilities by Withdrawal Characteristics

No change.

33. Premium and Annuity Considerations Deferred and Uncollected

No change.

34. Separate Accounts

Not applicable.

35. Loss/Claim Adjustment Expenses

Not applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1	Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?	Yes	[]	No [[X]	
1.2	If yes, has the report been filed with the domiciliary state?	Yes	[]	No [[]	
2.1	Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?	Yes	[]	No [[X]	
2.2	If yes, date of change:					
3.1	Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A.	Yes	[X]	No [[]	
2.2		V		L Na I	r V 1	
3.2	Have there been any substantial changes in the organizational chart since the prior quarter end?	ies		No [[
3.3	If the response to 3.2 is yes, provide a brief description of those changes.					
3.4	Is the reporting entity publicly traded or a member of a publicly traded group?	Yes	[]	No [[X]	
3.5	If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.					
4.1	Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?	Yes	[]	No [[X]	
4.2	If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.					
	1 Name of Entity NAIC Company Code State of Domicile					
5.	If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?	i 1	No [] N.	/A [X	
6.1	State as of what date the latest financial examination of the reporting entity was made or is being made.		12/3	1/2014	ļ	
6.2	State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.		12/3	1/2014	ļ	
6.3	State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).		06/2	1/2016	i	
6.4	By what department or departments?					
6.5	Arizona Department of Insurance Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes	[X]	No [] N	/A [
6.6	Have all of the recommendations within the latest financial examination report been complied with?Yes	[X]	No [] N	/A [
7.1	Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?	Yes	[]	No [[X]	
7.2	If yes, give full information:					
8.1	Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?	Yes	[]	No [[X]	
8.2	If response to 8.1 is yes, please identify the name of the bank holding company.					
8.3	Is the company affiliated with one or more banks, thrifts or securities firms?	Yes	[X]	No [[]	
8.4	If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.					

8.4	If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal
	regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit
	Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
	New York, NY				YES
NYLIFE Distributors LLC	Jersey City, NJ				YES
Eagle Strategies LLC	New York, NY				YES
New York Life Investment Management LLC					YES
MacKay Shields LLC	New York, NY				YES
GoldPoint Partners LLC	New York, NY				YES
NYLIM Service Company LLC	Jersey City, NJ				YES
Private Advisors, L.L.C.	Richmond, VA				YES
Credit Value Partners, LLC	Greenwich, CT				YES
NYL Investors LLC					YES
IndexIQ Advisors LLC					YES
Madison Capital Funding LLC	Chicago, IL				YES
CHIPC Evergreen General, LLC					YES
CHIPC PE General, LLC	Greenwich, CT				YES
CVP IV General LLC	Greenwich, CT				YES
CVP CLO Advisors, LLC	Greenwich, CT				YES
CVP CLO Manager, LLC	Greenwich, CT				YES

GENERAL INTERROGATORIES

9.1	similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;	 Yes [Χ]	No	[]
	(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;				
	(c) Compliance with applicable governmental laws, rules and regulations;				
	(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and(e) Accountability for adherence to the code.				
9.11	If the response to 9.1 is No, please explain:				
9.2	Has the code of ethics for senior managers been amended?	 Yes [1	No	[X]
9.21	If the response to 9.2 is Yes, provide information related to amendment(s).		•		
9.3	Have any provisions of the code of ethics been waived for any of the specified officers?	 Yes]	No	[X]
9.31	If the response to 9.3 is Yes, provide the nature of any waiver(s).				
	FINANCIAL				
10.1 10.2	Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?				
	INVESTMENT				
11.1	Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for	Vac I	. 1	No	r v 1
11.2	use by another person? (Exclude securities under securities lending agreements.) If yes, give full and complete information relating thereto:	 162 [J	INO	[X]
12.	Amount of real estate and mortgages held in other invested assets in Schedule BA:				
13. 14.1	Amount of real estate and mortgages held in short-term investments:				
14.2	If yes, please complete the following:	 103 [1		[\]
	1 Prior Year-End		Curre	2 ent O	uarter
	Book/Adjusted		Bool	k/Adj	usted
14.04	Carrying Value				√alue
	Bonds \$ Preferred Stock \$				
	Common Stock \$				
	Short-Term Investments \$				
	Mortgage Loans on Real Estate\$				
	All Other\$				
	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)\$				
	Total Investment in Parent included in Lines 14.21 to 14.26 above\$				
15.1	Has the reporting entity entered into any hedging transactions reported on Schedule DB?				
15.2	If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?	 Yes []	No	[]
16.	For the reporting entity's security lending program, state the amount of the following as of the current statement date:				
	16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$ 			
	16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$ 			
	16.3. Total payable for securities lending reported on the liability page	\$			

GENERAL INTERROGATORIES

17. 17.1	offices, vaults or safet custodial agreement v Outsourcing of Critical	y deposit boxes, v vith a qualified bar I Functions, Custo	Special Deposits, real estate, movere all stocks, bonds and other seak or trust company in accordance dial or Safekeeping Agreements of requirements of the NAIC Financi	ecurities, over e with Section of the NAIC	wned throughout to on 1, III - General Financial Conditi	the current year I Examination C on Examiners H	held pursuant to a onsiderations, F. landbook?	Yes	[X]	No []
		1				2				
	IDM O	Name of Cust	odian(s)	070 B I		Custodian Addr	ess			
	UPO IIIVESTOI SELVICE	5 11ust		I Flace V	ille maile, moilt	ieai, Quilob or	I, Callaua			
17.2	For all agreements that location and a comple		vith the requirements of the NAIC	Financial C	ondition Examine	ers Handbook, p	rovide the name,			
	1 Name((s)	2 Location(s)		(3 Complete Expla	nation(s)			
17.3 17.4	Have there been any of If yes, give full information	•	g name changes, in the custodian(to:	s) identified	d in 17.1 during th	e current quarte	r?	Yes	[] N	No [X]
	1 Old Custo	odian	2 New Custodian	Da	3 ate of Change		4 Reason			
17.5	make investment deci	sions on behalf of	ivestment advisors, investment ma the reporting entity. For assets th tment accounts"; "handle securi	at are mana	aged internally by					
		Name of Firm	l n or Individual	Δf	2 filiation					
				A						
	•									
			d in the table for Question 17.5, do more than 10% of the reporting e					Yes	[]	No [X]
	17.5098 For firms/indi total assets u	viduals unaffiliate inder managemer	d with the reporting entity (i.e. desing aggregate to more than 50% of the state of	gnated with the reportin	n a "U") listed in th g entity's assets?	he table for Que	stion 17.5, does the	Yes	[]	No [X]
17.6	For those firms or inditable below.	viduals listed in th	e table for 17.5 with an affiliation of	code of "A"	(affiliated) or "U"	(unaffiliated), pr	ovide the information for t	he		
	1		2			3	4		5 Investr	ment
	Central Registration								Manage Agreer	
	Depository Number		Name of Firm or Individual		Legal Entity	Identifier (LEI)	Registered With		(IMA) I	
	169553	NYL Investors LL	C		5493000EG09W0	QURS721	SEC		DS	
	Have all the filing requ If no, list exceptions:	Lirements of the P	urposes and Procedures Manual o		Investment Analy		followed?			
19.	a. Documentation security is not a b. Issuer or obligo c. The insurer has	necessary to per available. or is current on all s an actual expect	eporting entity is certifying the follomit a full credit analysis of the secton contracted interest and principal pation of ultimate payment of all co 5GI securities?	urity does r ayments. ntracted int	not exist or an NA erest and principa	IC CRP credit ra	ating for an FE or PL	Yes	[]	No [X]
20.	a. The security wa b. The reporting er c. The NAIC Designon a current privity. d. The reporting er	s purchased prior ntity is holding cap gnation was derive vate letter rating h ntity is not permitte	reporting entity is certifying the fol to January 1, 2018. iital commensurate with the NAIC of from the credit rating assigned beld by the insurer and available for each to share this credit rating of the	Designation by an NAIC r examination PL security	n reported for the CRP in its legal on on by state insura y with the SVO.	security. capacity as a NF ince regulators.	RSRO which is shown			
	Has the reporting entit	ty self-designated	PLGI securities?					Yes	[]	No [X]

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and	Accident Health Companies/Fraternal Benefit Societies: Report the statement value of mortgage loans at the end of this reporting period for the following categories:	1
	1.1 Long-Term Mortgages In Good Standing	Amount
	1.11 Farm Mortgages	.\$
	1.12 Residential Mortgages	.\$
	1.13 Commercial Mortgages	.\$
	1.14 Total Mortgages in Good Standing	.\$
	1.2 Long-Term Mortgages In Good Standing with Restructured Terms	
	1.21 Total Mortgages in Good Standing with Restructured Terms	\$
	1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months	
	1.31 Farm Mortgages	.\$
	1.32 Residential Mortgages	\$
	1.33 Commercial Mortgages	.\$
	1.34 Total Mortgages with Interest Overdue more than Three Months	.\$
	1.4 Long-Term Mortgage Loans in Process of Foreclosure	
	1.41 Farm Mortgages	.\$
	1.42 Residential Mortgages	.\$
	1.43 Commercial Mortgages	.\$
	1.44 Total Mortgages in Process of Foreclosure	.\$
1.5	Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	\$
1.6	Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter	
	1.61 Farm Mortgages	.\$
	1.62 Residential Mortgages	.\$
	1.63 Commercial Mortgages	\$
	1.64 Total Mortgages Foreclosed and Transferred to Real Estate	.\$
2.	Operating Percentages:	
	2.1 A&H loss percent	9
	2.2 A&H cost containment percent	9
	2.3 A&H expense percent excluding cost containment expenses	9
3.1	Do you act as a custodian for health savings accounts?	Yes [] No [X]
3.2	If yes, please provide the amount of custodial funds held as of the reporting date	\$
3.3	Do you act as an administrator for health savings accounts?	Yes [] No [X]
3.4	If yes, please provide the balance of the funds administered as of the reporting date	_\$
4.	Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?	Yes [X] No []
4.1	If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?	Yes [] No []
Fratern	al Benefit Societies Only:	
5.1	In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?	Yes [] No [] N/A []
5.2	If no, explain:	
6.1	Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?	Yes [] No []
6.2	If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?	

Date	Outstanding Lien Amount

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

Showing All New Reinsurance Treaties - Current Year to Date 1 2 3 4 5 6 7 8 9												
1	2	3 4	5	6	7	8	9 Effective					
NAIC Company	ID	Effective	Domiciliary	Type of Reinsurance		Certified Reinsurer Rating	Date of Certified Reinsurer					
Code	Number	Date Name of Reinsurer	Jurisdiction	Ceded	Type of Reinsurer	(1 through 6)	Rating					
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SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

		Current	Cai		ated by States a	Direct Bus	iness Only		1
		1		Life Co	ontracts 3	4 Accident and	5	6	7
				_	3	Health Insurance			
		Activ	6			Premiums, Including Policy,		Total	
		Statu		Life Insurance	Annuity	Membership	Other	Columns	Deposit-Type
1.	States, Etc. AlabamaAl	(a)		Premiums 154,584	Considerations	and Other Fees	Considerations	2 Through 5 154,584	Contracts
2.	Alaska Al			77,629				77,629	
3.	Arizona Az			115,655				115,655	
4.	Arkansas AF			33,012				33,012	
5.	California			1,330,905				1,330,905	
6. 7.	Connecticut			96,757 49,337				96,757 49,337	
8.	Delaware			42,780				42,780	
9.	District of Columbia			12,067				12,067	
10.	FloridaFL			359, 103				359, 103	
11.	GeorgiaG,	٠		227,569				227,569	
12. 13.	Hawaii HI			6,863 27,484				6,863 27,484	
14.	Illinois L			219,908				219,908	
15.	Indiana IN	L		39, 113				39, 113	
16.	lowaIA	L		72,221				72,221	
17. 18.	Kansas Kantucky Kantucky Kantucky Kantucky Kantucky Kantucky Kantucky			84,541 51,552				84,541	
19.	Louisiana L			212,058				51,552 212,058	
20.	Maine M			950				950	
21.	Maryland M)L		126,523				126,523	
22.	Massachusetts			70,094	ļ	ļ		70,094	
23. 24.	Minnesota M			120,486				120,486	
24. 25.	Mississippi			44,825 65,993				44,825 65,993	
26.	Missouri Mi			129,447				129,447	
27.	Montana M	г		53,015				53,015	
28.	Nebraska NI			21,491				21,491	
29. 30.	New HampshireNI			72,336 9,462				72,336 9,462	
31.	New Jersey			174,412				9,462	
32.	New Mexico			96,423				96,423	
33.	New York N			32,693				32,693	
34.	North Carolina			108,391				108,391	
35. 36.	North Dakota NI			9,898 117,723				9,898 117,723	
37.	Oklahoma Ol			103,579				103.579	
38.	Oregon O			81,414				81,414	
39.	Pennsylvania PA			172,677				172,677	
40.	Rhode Island RI			9,474				9,474	
41. 42.	South Carolina			113,618 143,319				113,618 143,319	
43.	Tennessee			60,900				60,900	
44.	TexasT			669,013				669,013	
45.	UtahU			67,533				67,533	
46.	Vermont			14,338				14,338	
47. 48.	Virginia V/			179,425 296,762				179,425	
40. 49.	West Virginia W			296, 762				15,254	
50.	Wisconsin W			58,380				58,380	
51.	WyomingW			21,038				21,038	
52.	American Samoa								
53. 54.	Guam GI			94				94	
54. 55.	U.S. Virgin Islands			94				94	
56.	Northern Mariana Islands M	>N							
57.	Canada CA	ANN							
58.	Aggregate Other Aliens			27,582				27,582	
59. 90.	Subtotal	XXX	·	6,501,700				6,501,700	
	plans	xxx	(
91.	Dividends or refunds applied to purchase paid-up		,						
92.	additions and annuities Dividends or refunds applied to shorten endowm		·				 		
	or premium paying period	XXX	(
93.	Premium or annuity considerations waived unde disability or other contract provisions		(207,096				207,096	
94.	Aggregate or other amounts not allocable by Sta							207,000	
95.	Totals (Direct Business)	XXX	(6,708,796				6,708,796	
96.	Plus Reinsurance Assumed			29,820				29,820	
97 98.	Totals (All Business) Less Reinsurance Ceded			6,738,616 4,046,197				6,738,616 4,046,197	
99.	Totals (All Business) less Reinsurance Ceded	XXX		2,692,419				2,692,419	
	DETAILS OF WRITE-INS	7000		_,.52,.10				_,.52,.70	
58001.	ZZZ other alien	xxx		27,582				27,582	
58002.				}	}	}	}	}	
58003.	Summary of remaining write-ins for Line 58 from	XXX	(
J0998.	overflow page	xxx	(
58999.	Totals (Lines 58001 through 58003 plus			07.500				07.500	
9401.	58998)(Line 58 above)	XXX		27,582				27,582	
9401. 9402.		XXX		<u> </u>	<u> </u>	<u> </u>	 	<u> </u>	
9403.		XXX							
9498.	Summary of remaining write-ins for Line 94 from								
9499.	overflow page Totals (Lines 9401 through 9403 plus 9498)(Line	XXX	C						
3-133.	94 above)	XXX	(_	<u> </u>	<u> </u>	<u> </u>			
	e Status Counts: censed or Chartered - Licensed Insurance carr					- Registered - No		·	

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.49 R - Registered - Non-domiciled RRGs. Q - Qualified - Qualified or accredited reinsurer.8

Show each state from which the company has received premiums and considerations, with the amounts received from all lines in each state shown as a single total for that state. Direct Ordinary life premiums are allocated by state on the basis of the address to which the premium notice is sent. *Premium or annuity considerations waived under disability or other contract provisions are shown in one sum on Line 93, Columns 2, 3, 4, 5, 6, and 7. **All U.S. business must be allocated by state regardless of license status. NOTE: Schedule T should not be used as the basis for state guaranty association assessments.

The following entities are directly controlled by New York Life Insurance Company (Parent) (entities that are indented are directly controlled by the preceding entity).

```
New York Life Insurance and Annuity Corporation (91596) (DE)
                                                                                                                   SCP 2005-C21-063 LLC (DE)
NYLIFE Insurance Company of Arizona (81353) (AZ)
                                                                                                                   SCP 2005-C21-067 LLC (DE)
New York Life Enterprises LLC (See page 12.2 for entity's org chart) (DE)
                                                                                                                   SCP 2005-C21-069 LLC (DE)
NYLIFE LLC (See page 12.2 for entity's org chart) (DE)
                                                                                                                   SCP 2005-C21-070 LLC (DE)
New York Life Investment Management Holdings LLC (See page 12.4 for entity's org chart) (DE)
                                                                                                                   NYMH-Ennis GP. LLC (DE)
NYLife Real Estate Holdings LLC (See page 12.9 for entity's org chart) (DE)
                                                                                                                         NYMH-Ennis, L.P. (TX)
NYL Real Assets LLC (DE)
                                                                                                                   NYMH-Freeport GP, LLC (DE)
NYL Emerging Manager LLC (DE)
                                                                                                                         NYMH-Freeport, L.P. (TX)
NYL Wind Investments LLC (DE)
                                                                                                                   NYMH-Houston GP, LLC (DE)
NYL Investors LLC (See page 12.3 for entity's org chart) (DE)
                                                                                                                         NYMH-Houston, L.P. (TX)
Madison Capital Funding LLC (See page 12.2 for entity's org chart) (DE)
                                                                                                                   NYMH-Plano GP. LLC (DE)
NYLIM Jacob Ballas India Holdings IV (MUS)
                                                                                                                         NYMH-Plano, L.P. (TX)
NYLIM Flatiron CLO 2004-1 Ltd. (CYM)
                                                                                                                   NYMH-San Antonio GP. LLC (DE)
      NYLIM Flatiron CLO 2004-1 Equity Holdings LLC. Series A (DE)
                                                                                                                         NYMH-San Antonio, L.P. (TX)
NYLIM Flatiron CLO 2006-1 Ltd. (CYM)
                                                                                                                   NYMH-Stephenville GP. LLC (DE)
                                                                                                                         NYMH-Stephenville, L.P. (TX)
      NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A (DE)
                                                                                                                   NYMH-Taylor GP. LLC (DE)
Flatiron CLO 2007-1 Ltd. (CYM)
      NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A (CYM)
                                                                                                                         NYMH-Taylor, L.P. (TX)
                                                                                                                   NYMH-Attleboro MA, LLC (DE)
Flatiron CLO 2011-1 Ltd. (CYM)
Flatiron CLO 2012-1 Ltd. (CYM)
                                                                                                                   NYMH-Farmingdale, NY, LLC (DE)
                                                                                                                   NYLMDC-King of Prussia GP, LLC (DE)
Flatiron CLO 2013-1 Ltd. (CYM)
                                                                                                                         NYLMDC-King of Prussia Realty, LP (DE)
Flatiron CLO 2014-1 Ltd. (CYM)
Flatiron CLO 2015-1 Ltd (CYM)
                                                                                                                   2015 DIL PORTFOLIO HOLDINGS LLC (DE)
Flatiron CLO 17 Ltd. (CYM)
                                                                                                                         NJ 663 E. CRESCENT AVE LLC (DE)
Flatiron CLO 18 Ltd. (CYM)
                                                                                                                        NJ 1881 ROUTE 46 LLC (DE)
Flatiron CLO 18 Funding Ltd. (CYM)
                                                                                                                         PA 180 KOST RD LLC (DE)
Stratford CDO 2001-1 Ltd. (CYM)
                                                                                                                   2017 CT REO HOLDINGS LLC (DE)
Silverado CLO 2006-II Limited (CYM)
                                                                                                                         CT 611 W. JOHNSON AVE LLC (DE)
      Silverado CLO 2006-II Equity Holdings LLC, Series A (CYM)
                                                                                                                         CT 550 RESEARCH PKWY LLC (DE)
Silver Spring, LLC (DE)
                                                                                                                         CT 160 CORPORATE COURT LLC (DE)
      Silver Spring Associates, L.P. (PA)
                                                                                                                   Cortlandt Town Center LLC (DE)
SCP 2005-C21-002 LLC (DE)
                                                                                                                   REEP-IND 10 WEST AZ LLC (DE)
SCP 2005-C21-003 LLC (DE)
                                                                                                                   REEP-IND 4700 Nall TX LLC (DE)
SCP 2005-C21-006 LLC (DE)
                                                                                                                   REEP-IND Aegean MA LLC (DE)
SCP 2005-C21-007-LLC (DE)
                                                                                                                   REEP-IND Alpha TX LLC (DE)
SCP 2005-C21-008 LLC (DE)
                                                                                                                   REEP-IND CDC NC LLC (DE)
SCP 2005-C21-009 LLC (DE)
                                                                                                                   REEP-IND CHINO CA LLC (DE)
SCP 2005-C21-017 LLC (DE)
                                                                                                                   REEP-IND FREEDOM MA LLC (DE)
SCP 2005-C21-018 LLC (DE)
                                                                                                                   REEP-IND Fridley MN LLC (MN)
SCP 2005-C21-021 LLC (DE)
                                                                                                                   REEP-IND Green Oaks IL LLC (DE)
SCP 2005-C21-025 LLC (DE)
                                                                                                                   REEP-IND Kent LLC (DE)
SCP 2005-C21-031 LLC (DE)
                                                                                                                   REEP-IND LYMAN MA LLC (DE)
SCP 2005-C21-036 LLC (DE)
                                                                                                                   REEP-IND RTG NC LLC (DE)
SCP 2005-C21-041 LLC (DE)
                                                                                                                   REEP-IND Simonton TX LLC (DE)
SCP 2005-C21-043 LLC (DE)
                                                                                                                   REEP-IND Valley View TX LLC (DE)
SCP 2005-C21-044 LLC (DE)
                                                                                                                   REEP-IND Valwood TX LLC (DE)
SCP 2005-C21-048 LLC (DE)
                                                                                                                   REEP-MF 960 East Paces Ferry GA LLC (DE)
                                                                                                                   REEP-MF Mount Vernon GA LLC (DE)
SCP 2005-C21-061 LLC (DE)
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New York Life Insurance Company (Parent) (continued)

```
REEP-MF 960 EPF Opco GA LLC (DE)
REEP-MF Issaguah WA LLC (DE)
REEP-MF Mount Laurel NJ LLC (DE)
REEP-MF Verde NC LLC (DE)
REEP-MF Wallingford WA LLC (DE)
REEP-OFC Bellevue WA LLC (DE)
REEP-OFC WATER RIDGE NC HOLDCO LLC (DE)
REEP-OFC ONE WATER RIDGE NC LLC (DE)
REEP-OFC TWO WATER RIDGE NC LLC (DE)
REEP-OFC FOUR WATER RIDGE NC LLC (DÉ)
REEP-OFC FIVE WATER RIDGE NC LLC (DE)
REEP-OFC SIX WATER RIDGE NC LLC (DE)
REEP-OFC SEVEN WATER RIDGE NC LLC (DE)
REEP-OFC EIGHT WATER RIDGE NC LLC (DE)
REEP-OFC NINE WATER RIDGE NC LLC (DE)
REEP-OFC TEN WATER RIDGE NC LLC (DE)
REEP-OFC ELEVEN WATER RIDGE NC LLC (DE)
REEP-MF FOUNTAIN PLACE MN LLC (DE)
     REEP-MF FOUNTAIN PLACE LLC (DE)
REEP-OFC 2300 Empire CA LLC (DE)
REEP-IND 10 WEST II AZ LLC (DE)
REEP-RTL Flemington NJ LLC (DE)
REEP-RTL Mill Creek NJ LLC (DE)
NJIND Raritan Center LLC (DE)
NJIND Talmadge Road LLC (DE)
NJIND Melrich Road LLC (DE)
FP Building 18, LLC (DE)
FP Building 19, LLC (DE)
PTC Acquisitions, LLC (DE)
Martingale Road LLC (DE)
New York Life Funding (CYM)
New York Life Global Funding (DE)
NYL Equipment Issuance Trust (DE)
NYL Equipment Issuance Trust 2014-2 (DE)
Government Energy Savings Trust 2003-A (NY)
UFI-NOR Federal Receivables Trust, Series 2009B (NY)
JREP Fund Holdings I, L.P. (CYM)
Jaquar Real Estate Partners L.P. (CYM)
NYLIFE Office Holdings Member LLC (DE)
     NYLIFE Office Holdings LLC (DE)
           NYLIFE Office Holdings REIT LLC (DE)
                 REEP-OFC DRAKES LANDING CA LLC (DE)
                REEP-OFC CORPORATE POINTE CA LLC (DE)
                 REEP-OFC VON KARMAN CA LLC (DE)
                 REEP-OFC ONE BOWDOIN SQUARE MA LLC (DE)
                 REEP-OFC 525 N Tryon NC LLC (DE)
                      525 Charlotte Office LLC (DE)
           NYLIFE Office Holdings Acquisition REIT LLC (DE)
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REEP-OFC Westory DC LLC (DE)

NYLIC HKP Member LLC (DE)

MSVEF Investor LLC (DE)

MSVEF Feeder LP (DE)

MSVEF REIT LLC (DE)

Madison Square Value Enhancement Fund LP (DE)

MSVEF-MF Evanston GP LLC (DE)

MSVEF-MF Evanston IL LP (DE)

New York Life Enterprises LLC

SEAF Sichuan SME Investment Fund LLC (DE)

New York Life International Holdings Limited (MUS)

MAX Ventures and Industries Limited (IND)

NYL Cayman Holdings Ltd. (CYM)

NYL Worldwide Capital Investments LLC (DE)

Seguros Monterrey New York Life, S.A. de C.V. (MEX)

Administradora de Conductos SMNYL, S.A. de C.V. (MEX)

Agencias de Distribucion SMNYL, S.A. de C.V. (MEX)

Inmobiliaria SMNYL. S.A. de C.V. (MEX)

NYLIFE LLC

Eagle Strategies LLC (DE)
New York Life Capital Corporation (DE)
New York Life Trust Company (NY)
NYL Executive Benefits LLC (DE)
NYLIFE Securities LLC (DE)
NYLINK Insurance Agency Incorporated (DE)
NYLUK I Company (GBR)
NYLUK II Company (GBR)
Gresham Mortgage (GBR)
W Construction Company (GBR)
WUT (GBR)
WIM (AIM) (GBR)

Madison Capital Funding LLC

MCF Co-Investment GP LLC (DE) MCF Co-Investment GP LP (DE) Madison Capital Funding Co-Investment Fund LP (DE) Madison Avenue Loan Fund GP LLC (DE) Madison Avenue Loan Fund LP (DE) MCF Fund I LLC (DE) MCF Hanwha Fund LLC (DE) Ironshore Investment BL Ì Ltd. (BMU) MCF CLO IV LLC (DE) MCF CLO V LLC (DE) MCF CLO VI LLC (DE) MCF CLO VII LLC (DÉ) MCF CLO VIII Ltd. (DE) MCF CLO VIII LLC (DE) MCF KB Fund LLC (DE) MCF KB Fund II LLC (DE) Montpelier Carry Parent, LLC (DE) Montpelier Carry, LLC (DE) Montpelier GP, LLC (DE) Montpelier Fund, L.P. (DE) MCF Mezzanine Carry I LLC (DE) MCF Mezzanine Fund I LLC (DE) MCF PD Fund GP LLC (DE) MCF PD Fund LP (DE) Warwick Seller Representative, LLC (DE) Young America Holdings, LLC (DE) YAC.ECOM Incorporated (MN) Young America, LLC (MN) Global Fulfillment Services, Inc. (AZ) SourceOne Worldwide, Inc. (MN) YA Canada Corporation (CAN) Zenith Products Holdings, Inc. (DE) ZPC Holding Corp. (DE) Zenith Products Corporation (DE)

NYL Investors LLC

NYL Investors (U.K.) Limited (GBR) NYL Investors REIT Manager LLC (DE) NYLIM Holdings NCVAD, GP, LLC (DE) McMorgan Northern California Value Add/Development Fund I, L.P. (DE) MNCVAD-IND Greenwood CA LLC (DE) MNCVAD-IND Norris Canyon CA LL (DÉ) MNCVAD-CP Norris Canvon LLC (DE) MNCVAD-IND Petaluma CA LLC (DE) MNCVAD-OFC 2665 NORTH FIRST CA LLC (DE) MNCVAD-SEAGATE 2665 NORTH FIRST LLC (DE) MNCVAD-OFC Bridgepointe CA LLC (DE) MNCVAD-OFC RIDDER PARK CA LLC (DE) MNCVAD-GRAYMARK RIDDER PARK LLC (DE) MNCVAD-OFC ONE BAY CA LLC (DE) MNCVAD-HARVEST ONE BAY LLC (DE) MNCVAD-IND RICHMOND CA LLC (DE) NYL Investors NCVAD II GP. LLC (DE) McMorgan Northern California Value Add/Development Fund II, LP (DE) MNCVAD II-MF HENLEY CA LLC (DE) MNCVAD II-SP HENLEY JV LLC (DE) MNCVAD II-SP HENLEY OWNER LLC (DE) MNCVAD II-OFC 770 L Street CA LLC (DE) MNCVAD II-MF UNION CA LLC (DE) MNCVAD II- HOLLIDAY UNION JV LLC (DE) MSVEF GP LLC (DE) Madison Core Property Fund LLC (DE) MIREF 1500 Quail, LLC (DE) MIREF Mill Creek, LLC (DE) MIREF Gateway, LLC (DE) MIREF Delta Court, LLC (DE) MIREF Fremont Distribution Center, LLC (DE) MIREF Century, LLC (DE) MIREF Saddle River LLC (DE) MIREF Newpoint Commons, LLC (DE) MIREF Northsight, LLC (DE) MIREF Riverside, LLC (DE) MIREF Corporate Woods, LLC (DE) MIREF Bedminster, LLC (DE) MIREF Barton's Creek, LLC (DE) Barton's Lodge Apartments, LLC (DE) MIREF Marketpointe, LLC (DE) MIREF 101 East Crossroads, LLC (DE) 101 East Crossroads, LLC (DE) MIREF Chain Bridge, LLC (DE) 1991 Chain Bridge Road, LLC (DE) MIREF Hawthorne, LLC (DE) MIREF Auburn 277, LLC (DE)

MIREF Sumner North, LLC (DE)

MIREF Wellington, LLC (DE) MIREF Warner Center, LLC (DE) MADISON-IND Valley Business Park CA LLC (DE) MADISON-MF Duluth GA LLC (DE) MADISON-OFC Centerstone I CA LLC (DE) MADISON-OFC Centerstone III CA LLC (DÉ) MADISON-MOB Centerstone IV CA LLC (DE) MADISON-OFC Centerpoint Plaza CA LLC (DE) MADISON-IND Logistics NC LLC(DE) MCPF-LRC Logistics LLC (DE) MADISON-MF Desert Mirage AZ LLC (DE) MADISON-OFC One Main Place OR LLC (DE) MADISON-IND Fenton MO LLC (DE) MADISON-IND Hitzert Roadway MO LLC (DE) MADISON-MF Hoyt OR LLC (DE) MADISON-RTL Clifton Heights PA LLC (DE) MADISON-IND Locust CA LLC (DE) MADISON-OFC Weston Pointe FL LLC (DE) MADISON-MF Henderson NV LLC (DE) MCPF-SP Henderson LLC (DE) MADISON-SP Henderson LLC (DE) MADISON-IND VISTA LOGISTICS OR LLC (DE) MADISON-SPECHT VISTA LOGISTICS LLC (DE) MADISON-MF MCCADDEN CA LLC (DE) MADISON-OFC 1201 WEST IL LLC (DE) MADISON-MCCAFFERY 1201 WEST IL LLC (DE)

MADISON-MF CRESTONE AZ LLC (DE)

New York Life Investment Management Holdings LLC

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New York Life Investment Management Asia Limited (CYM)
                                                                                                                              MacKay Shields US Equity Market Neutral Fund GP LLC (DE)
MacKay Shields LLC (DE)
                                                                                                                                    MacKay Cornerstone US Equity Market Neutral Fund LP (DE)
      MacKay Shields Core Plus Opportunities Fund GP LLC (DE)
                                                                                                                              MacKay Shields Intermediate Bond Fund GP LLC (DE)
            MacKay Shields Core Plus / Opportunities Fund LP (DE)
                                                                                                                                    MacKay Shields Intermediate Bond Fund LP (DE)
      MacKay Municipal Managers Opportunities GP LLC (DE)
                                                                                                                              MacKay Shields General Partner (L/S) LLC (DE)
            MacKay Municipal Opportunities Master Fund, L.P. (DE)
                                                                                                                                    MacKay Shields Long/Short Fund LP (DE)
            MacKay Municipal Opportunities Fund, L.P. (DE)
                                                                                                                                    MacKay Shields Long/Short Fund (Master) LP (DE)
      MacKay Municipal Managers Credit Opportunities GP, LLC (DE)
                                                                                                                              MacKay Municipal Managers Opportunities Allocation GP LLC (DE)
            MacKay Municipal Credit Opportunities Master Fund, L.P. (DE)
                                                                                                                                    MacKay Municipal Opportunities Allocation Master Fund LP (DE)
            MacKay Municipal Credit Opportunities Fund. L.P. (DE)
                                                                                                                                    MacKay Municipal Opportunities Allocation Fund A LP (DE)
            MacKay Municipal Credit Opportunities HL Fund, L.P. (DE)
                                                                                                                                    MacKay Municipal Opportunities Allocation Fund B LP (DE)
      MacKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC (CYM)
                                                                                                                              CVP Holdings, LLC (DE)
            MacKay Municipal Credit Opportunities HL (Cayman) Fund, LP (CYM)
                                                                                                                                    CVP CLO Manager, LLC (DE)
      MacKay Municipal Short Term Opportunities Fund GP LLC (DE)
                                                                                                                                          CVP CLO Holdings GP LLC (DE)
            MacKay Municipal Short Term Opportunities Fund LP (DE)
                                                                                                                                                CVP CLO Holdings, LP (CYM)
      Plainview Funds plc (IRL)
                                                                                                                                                      CVP CLO Advisors, LLC (DE)
            Plainview Funds plc - MacKay Shields Unconstrained Bond Portfolio (IRL)
                                                                                                                                    Credit Value Partners, LLC (DE)
            Plainview Funds plc - MacKay Shields Floating Rate High Yield Portfolio (IRL)
                                                                                                                                          CHIPC Evergreen General, LLC (DE)
            Plainview Funds plc - MacKay Shields Core Plus Opportunities Portfolio (IRL)
                                                                                                                                                CVP High Income Private Credit Master Fund, LP (CYM)
            Plainview Funds plc - MacKay Shields High Yield Crossover Portfolio (IRL)
                                                                                                                                          CVP Loan Servicing LLC (DE)
            MacKay Shields Statutory Trust – High Yield Bond Series (CT)
                                                                                                                                          CHIPC PE General, LLC (DE)
                                                                                                                                                CHIPC PE Intermediate Fund. LP (CYM)
      MacKay Shields High Yield Active Core Fund GP LLC (DE)
            MacKay Shields High Yield Active Core Fund LP (DE)
                                                                                                                                                CVP High Income Private Credit PE Fund (Cayman), LP (CYM)
      MacKay Shields Credit Strategy Fund Ltd (CYM)
                                                                                                                                                CVP High Income Private Credit PE Fund. LP (DE)
      MacKay Shields Credit Strategy Partners LP (DE)
                                                                                                                                          CVF IV General, LLC (DE)
      Mackay Shields Defensive Bond Arbitrage Fund Ltd. (BMU)
                                                                                                                                                Credit Value Fund IV, LP (DE)
                                                                                                                                                Credit Value Fund (Cayman) IV, LP (CYM)
      MacKay Shields Core Fixed Income Fund GP LLC (DE)
            MacKay Shields Core Fixed Income Fund LP (DE)
                                                                                                                                                Credit Value Intermediate Fund IV. LP (CYM)
      MacKay Shields Select High Yield Bond Fund GP LLC (DE)
                                                                                                                                                Credit Value Master Fund IV-A, LP (CYM)
            MacKay Shields Select High Yield Bond Fund LP (DE)
                                                                                                                                                Credit Value Master Fund IV-B, LP (CYM)
            MacKay Shields High Yield Crossover Fund LP (DE)
                                                                                                                                                CVF IV Vert LLC (DE)
      MacKay Shields (International) Ltd. (GBR)
                                                                                                                                                CVF IV-A1 Vert LLC (DE)
      MacKay Shields (Services) Ltd. (GBR)
                                                                                                                                          CVP SPV LLC (DE)
            MacKay Shields UK LLP (GBR)
                                                                                                                                          CVP SPV LLC Series I (DE)
      MacKay Shields Global Derivatives LLC (DE)
                                                                                                                                          CVP SPV LLC Series II (DE)
      MacKay Municipal Managers Puerto Rico Opportunities GP LLC (DE)
                                                                                                                                          CVP SPV LLC Series III (DE)
            MacKay Puerto Rico Opportunities Funds, L.P. (DE)
                                                                                                                                          CVP Europe Investment Management Limited (IRL)
            MacKay Puerto Rico Opportunities Feeder Fund, L.P. (CYM)
                                                                                                                                          MKS CVF V General LLC (DE)
      MacKay Municipal Managers California Opportunities GP LLC (DE)
                                                                                                                                                MacKay Shields Credit Value Fund V, LP (DE)
            MacKay Municipal Managers California Opportunities Fund, L.P. (DE)
                                                                                                                                                MacKay Shields Credit Value Master Fund V, LP (CYM)
      MacKav Municipal New York Opportunities GP LLC (DE)
                                                                                                                                                MacKay Shields Credit Value Intermediate Fund V, LP (CYM)
            MacKay Municipal New York Opportunities Fund, L.P. (DE)
                                                                                                                        Cornerstone Capital Management Holdings LLC (DE)
            MacKay Municipal Opportunity HL Fund, L.P. (DE)
                                                                                                                              Cornerstone US Equity Market Neutral Fund, LLC (DE)
                                                                                                                              Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC (DE)
      MacKay Municipal Capital Trading GP LLC (DE)
            MacKay Municipal Capital Trading Master Fund, L.P (DE)
                                                                                                                                    Cornerstone Capital Management Large-Cap Enhanced Index Fund, L.P. (DE)
            MacKay Municipal Capital Trading Fund, L.P. (DE)
                                                                                                                        GoldPoint Partners LLC (DE)
                                                                                                                              New York Life Capital Partners, L.L.C. (DE)
      MacKay Municipal Managers Strategic Opportunities GP LLC (DE)
            MacKav Municipal Managers Strategic Opportunities Fund, L.P. (DE)
                                                                                                                                    New York Life Capital Partners, L.P. (DE)
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New York Life Investment Management Holdings LLC (continued)

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New York Life Capital Partners II, L.L.C. (DE)
      New York Life Capital Partners II, LP (DE)
New York Life Capital Partners III GenPar GP. LLC (DE)
      New York Life Capital Partners III GenPar. L.P. (DE)
            New York Life Capital Partners III. L.P. (DE)
            New York Life Capital Partners III-A, L.P. (DE)
New York Life Capital Partners IV GenPar GP. LLC (DE)
      New York Life Capital Partners IV GenPar. L.P. (DE)
            New York Life Capital Partners IV, L.P. (DE)
            New York Life Capital Partners IV-A. L.P. (DE)
GoldPoint Mezzanine Partners IV GenPar GP. LLC (DE)
      GoldPoint Mezzanine Partners IV GenPar, LP (DE)
            GoldPoint Mezzanine Partners Co-Investment Fund A, LP (DE)
            GoldPoint Mezzanine Partners IV. LP (DE)
                  GPP Mezzanine Blocker Holdco A. LP (DE)
                  GPP Mezzanine Blocker Holdco Preferred A. LP (DE)
                  GPP Mezzanine Blocker Holdco B. LP (DE)
                  GPP Mezzanine Blocker Holdco C. LP (DE)
                  GPP Mezzanine Blocker Holdco D. LP (DE)
                  GPP Mezzanine Blocker Holdco E. LP (DE)
                         GPP Mezz IV ECI Aggregator, LP (DE)
                  GPP Mezzanine Blocker Holdco F. LP (DE)
                  GPP Mezzanine Blocker Holdco H. LP (DE)
      GoldPoint Mezzanine Partners Offshore IV. L.P. (CYM)
GoldPoint Partners Co-Investment V GenPar GP LLC (DE)
      GoldPoint Partners Co-Investment V GenPar, L.P. (DE)
            GoldPoint Partners Co-Investment Fund A. LP (DE)
            GoldPoint Partners Co-Investment V. LP (DE)
                  GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP (DE)
                         GoldPoint Partners Co-Investment V ECI Blocker B, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco C. LP (DE)
                         GoldPoint Partners Co-Investment V ECI Blocker C, LP (DE)
                  GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP (DE)
                         GoldPoint Partners Co-Investment V ECI Blocker D. LP (DE)
                  GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP (DE)
                         GoldPoint Partners Co-Investment V ECI Blocker E. LP (DE)
                  GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP (DE)
                         GoldPoint Partners Co-Investment V ECI Blocker F, LP (DE)
                  GoldPoint Partners Co-Investment V ECI Blocker Holdco G. LP (DE)
                         GoldPoint Partners Co-Investment V ECI Blocker G, LP (DE)
GoldPoint Private Debt Partners V GenPar GP, LLC (DE)
GoldPoint Partners FA I. LLC (DE)
GoldPoint Partners Select Manager III GenPar GP, LLC (DE)
      GoldPoint Partners Select Manager III GenPar, L.P. (CYM)
            GoldPoint Partners Select Manager Fund III, L.P. (CYM)
            GoldPoint Partners Select Manager Fund III AIV, L.P. (DE)
GoldPoint Partners Select Manager IV GenPar GP, LLC (DE)
      GoldPoint Partners Select Manager IV GenPar, L.P. (DE)
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GoldPoint Partners Select Manager Fund IV, L.P. (DE)
GoldPoint Partners Canada III GenPar Inc (CAN)
      GoldPoint Partners Select Manager Canada Fund III. L.P. (CAN)
GoldPoint Partners Canada IV GenPar Inc. (CAN)
      GoldPoint Partners Select Manager Canada Fund IV. L.P. (CAN)
GoldPoint Partners Co-Investment VI GenPar GP LLC (DE)
      GoldPoint Partners Co-Investment VI GenPar. LP (DE)
            GoldPoint Partners Co-Investment VI, LP (DE)
            GPP VI - ECI Aggregator LP (DE)
            GPP VI Blocker A LLC (DF)
            GPP VI Blocker B LLC (DE)
GoldPoint Private Credit GenPar GP. LLC (DE)
      GoldPoint Private Credit Fund, LP (DE)
NYLCAP 2010 Co-Invest GenPar GP, LLC (DE)
      NYLCAP 2010 Co-Invest GenPar L.P. (DE)
            NYLCAP 2010 Co-Invest L.P. (DE)
                  NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P. (DE)
                        NYLCAP 2010 Co-Invest FCI Blocker B L.P. (DF)
                  NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P. (DE)
                        NYLCAP 2010 Co-Invest ECI Blocker E L.P. (DE)
GoldPoint Partners Canada GenPar, Inc. (CAN)
      NYLCAP Select Manager Canada Fund, LP (CAN)
NYLCAP Canada II GenPar, Inc. (CAN)
      NYLCAP Select Manager Canada Fund II. L.P. (CAN)
NYLIM Mezzanine Partners II GenPar GP. LLC (DE)
      NYLIM Mezzanine Offshore Partners II, LP (CYM)
      NYLIM Mezzanine Partners II GenPar, LP (DF)
            New York Life Investment Management Mezzanine Partners II. LP (DE)
            NYLIM Mezzanine Partners II Parallel Fund, LP (DE)
                  NYLIM Mezzanine II Parallel Luxco S.á.r.l. (LÚX)
                        Voice Holdco Ltd. (CAN)
NYLCAP Mezzanine Partners III GenPar GP, LLC (DE)
      NYLCAP Mezzanine Partners III GenPar, LP (DE)
            NYLCAP Mezzanine Partners III-K, LP (DE)
            NYLCAP Mezzanine Partners III, LP (DE)
            NYLCAP Mezzanine Partners III Parallel Fund, LP (DE)
            NYLCAP Mezzanine Partners III 2012 Co-Invest, LP (DE)
                  NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP (DE)
                        NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP (DE)
                  NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP (DE)
                         NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C, LP (DE)
                  NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP (DE)
                        NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LP (DE)
                  NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F, LP (DE)
                        NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F. LP (DE)
      NYLCAP Mezzanine Offshore Partners III, L.P. (CYM)
NYLCAP Select Manager GenPar GP, LLC (DE)
      NYLCAP Select Manager GenPar, LP (DE)
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New York Life Investment Management Holdings LLC (continued)

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NYLCAP Select Manager Fund, LP (DE)
                                                                                                                                   IQ Hedge Macro Tracker ETF (DE)
            NYLCAP Select Manager Cayman Fund, LP (CYM)
                                                                                                                                   IQ Hedge Market Neutral Tracker ETF (DE)
      NYLCAP Select Manager II GenPar GP, LLC (DE)
                                                                                                                                  IQ Hedge Multi-Strategy Tracker (DE)
            NYLCAP Select Manager II GenPar GP. L.P. (CYM)
                                                                                                                                  IQ Leaders GTAA Tracker ETF (DE)
                  NYLCAP Select Manager Fund II, L.P. (CYM)
                                                                                                                                  IQ Merger Arbitrage ETF (DE)
      NYLCAP India Funding LLC (DE)
                                                                                                                                  IQ Real Return ETF (DE)
                                                                                                                                  IQ S&P High Yield Low Volatility Bd ETF (DE)
            NYLIM-JB Asset Management Co., LLC (MUS)
                  New York Life Investment Management India Fund II. LLC (MUS)
                                                                                                                                  IQ Short Duration Enhanced Cor Bd US ETF (DE)
                        New York Life Investment Management India Fund (FVCI) II. LLC (MUS)
                                                                                                                                  IQ US Real Estate Small Cap ETF (DE)
      NYLCAP India Funding III LLC (DE)
                                                                                                                      New York Life Investment Management Holdings International S.á.r.I (LUX)
            NYLIM-Jacob Ballas Asset Management Company III, LLC (MUS)
                                                                                                                            New York Life Investment Management Holdings II International S.á.r.I. (LUX)
                  NYLIM Jacob Ballas India Fund III. LLC (MUS)
                                                                                                                                  Candriam Group S.á.r.I (LUX)
                        NYLIM Jacob Ballas Capital India (FVCI) III. LLC (MUS)
                                                                                                                                        CGH UK Acquisition Company Limited (GBR)
                        NYLIM Jacob Ballas India (FII) III. LLC (MUS)
                                                                                                                                              Tristan Capital Partners Holdings Limited (GBR)
      Evolvence Asset Management, Ltd. (CYM)
                                                                                                                                        Candriam Luxco S.á.r.l. (LUX)
            EIF Managers Limited (MUS)
                                                                                                                                        Candriam Luxembourg (LUX)
            EIF Managers II Limited (MUS)
                                                                                                                                              Candriam Belgium (BÉL)
NYLCAP Holdings (Mauritius) LLC (MUS)
                                                                                                                                              Candriam France (FRA)
      Jacob Ballas Capital India PVT. Ltd. (MUS)
                                                                                                                                                     Candriam Monétaire SICAV (FRA)
NYLIM Service Company LLC (DE)
                                                                                                                                               Candriam Switzerland LLC (CHE)
NYL Workforce GP LLC (DE)
                                                                                                                                              Belfius Fund (LUX)
                                                                                                                                              BIL Prime Advanced (LUX)
New York Life Investment Management LLC (DE)
      New York Life Investment Management Hong Kong Limited (DE)
                                                                                                                                                     BIL Primed Advanced Cash +100 (LUX)
      NYLIM Fund II GP. LLC (DE)
                                                                                                                                              BIL Invest (LUX)
            NYLIM Real Estate Mezzanine Fund II. LP (DE)
                                                                                                                                              Cordius (LUX)
                  NYLIM-TND, LLC (DE)
                                                                                                                                                     Cordius CIG (LUX)
      WFHG. GP LLC (DE)
                                                                                                                                              Candriam Absolute Return (LUX)
            Workforce Housing Fund I-2007, LP (DE)
                                                                                                                                                     Candriam Absolute Return Long Short Digital Equity (LUX)
                                                                                                                                                     Candriam Absolute Return Systematic Long Short Equity (LUX)
Index IQ Holdings Inc. (DE)
IndexIQ, Inc. (DE)
                                                                                                                                              Candriam Alternative (LUX)
IndexIQ LLC (DE)
                                                                                                                                                     Candriam Alternative Equity Market Neutral (LUX)
      IndexIQ Advisors LLC (DE)
                                                                                                                                                     Candriam Alternative Systemat (LUX)
      IndexIQ Active ETF Trust (DE)
                                                                                                                                              Candriam Bonds (LUX)
            IQ MacKay Shields Muncpl Insrd ETF (DE)
                                                                                                                                                     Candriam Bonds Convertible Defensive (LUX)
            IQ MacKay Shields Muncpl Intern ETF (DE)
                                                                                                                                                     Candriam Bonds Convertible Opportunities (LUX)
      IndexIQ ETF Trust (DE)
                                                                                                                                                     Candriam Bonds Credit Opportunities (LUX)
            IQ 50 Percent Hedged FTSE Europe ETF (DE)
                                                                                                                                                     Candriam Bonds Emerging Debt Local Currencies (LUX)
            IQ 50 Percent Hedged FTSE Intl ETF (DE)
                                                                                                                                                     Candriam Bonds Emerging Markets (LUX)
            IQ 50 Percent Hedged FTSE Japan ETF (DE)
                                                                                                                                                     Candriam Bonds Emerging Markets Total Return (LUX)
            IQ 500 International ETF (DE)
                                                                                                                                                     Candriam Bonds Euro Corporate (LUX)
            IQ Chaikin US Large Cap ETF (DE)
                                                                                                                                                     Candriam Bonds Euro Government (LUX)
            IQ Chaikin US Small Cap ETF (DE)
                                                                                                                                                     Candriam Bonds Euro High Yield (LUX)
            IQ Enhanced Core Bond US ETF (DE)
                                                                                                                                                     Candriam Bonds Euro Short Term (LUX)
            IQ Enhanced Core Plus Bond US ETF (DE)
                                                                                                                                                     Candriam Bonds Global High Yield (LUX)
            IQ Global Agribusiness Small Cap ETF (DE)
                                                                                                                                                     Candriam Bonds International (LUX)
            IQ Global Resources ETF (DE)
                                                                                                                                                     Candriam Bonds Total Return (LUX)
            IQ Hedge Event-Driven Tracker ETF (DE)
                                                                                                                                               Candriam Business Equities (BEL)
            IQ Hedge Long/Short Tracker ETF (DE)
                                                                                                                                               Candriam Equities L (LUX)
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New York Life Investment Management Holdings LLC (continued)

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Candriam Equities L Emerging Markets (LUX)
                                                                                                                              ISPT Holding (AUS)
                  Candriam Equities L Europe Conviction (LUX)
                                                                                                                             Ausbil Investment Management Limited Employee Share Trust (AUS)
                  Candriam Equities L Europe Small & Mid Caps (LUX)
                                                                                                                                    Ausbil 130/30 Focus Fund (AUS)
                  Candriam Equities L Global Demography (LUX)
                                                                                                                                    Ausbil Dividend Income Fund (AUS)
                  Candriam Equities L Robotics & Innovation Technology (LUX)
                                                                                                                                    Ausbil Active Sustainable Equity Fund (AUS)
            Candriam Fund (LUX)
                                                                                                                                    Ausbil Australian Active Equity Fund (AUS)
            Candriam GF (LUX)
                                                                                                                                    Ausbil Australian Concentrated Equity Fund (AUS)
                  Candriam GF Global Equity Opportunities (LUX)
                                                                                                                                    Ausbil Australian Emerging Leaders Fund (AUS)
                  Candriam GF Short Duration US High Yield Bonds (LUX)
                                                                                                                                    Ausbil Australian Geared Equity Fund (AUS)
                  Candriam GF U.S. Equity Opportunities (LUX)
                                                                                                                                    Ausbil Australian Smallcap Fund (AUS)
                  Candriam GF US High Yield Corporate Bonds (LUX)
                                                                                                                                    Ausbil Balanced Fund (AUS)
            Candriam Global Alpha (FRA)
                                                                                                                                    Ausbil EGS Focus Fund (AÚS)
                                                                                                                                    Ausbil Global Essential Infrastructure Fund (AUS)
            Candriam Index Arbitrage (FRA)
            Candriam L (LUX)
                                                                                                                                    Ausbil Global Resources Fund (AUS)
                  Candriam L Conservative Asset Allocation (LUX)
                                                                                                                                    Ausbil Global SmallCap Fund (AUS)
                  Candriam L Multi-Asset Income (LUX)
                                                                                                                                    Ausbil Microcap Fund (AUS)
                  Candriam L Multi-Asset Income & Growth (LUX)
                                                                                                                                    MacKay Shields Unconstrained Bond Fund (AUS)
                  Candriam L Multi-Asset Premia (LUX)
                                                                                                                                    Ausbil IT – Ausbil Microcap Fund (AUS)
                                                                                                                                    Ausbil IT – Candriam Sustainable Global Equity Fund (AUS)
            Candriam Money Market (LUX)
                  Candriam Money Market Euro AAA (LUX)
                                                                                                           NYLIFE Distributors LLC (DE)
            Candriam Multi-Strategies (LUX)
                                                                                                           Private Advisors L.L.C. (DE)
            Candriam Risk Arbitrage (FRA)
                                                                                                                 PACIF Carry Parent, LLC (DE)
            Candriam SRI (LUX)
                                                                                                                        PACIF Carry, LLC (DE)
                  Candriam SRI Bond Emerging Markets (LUX)
                                                                                                                 PACIF GP. LLC (DE)
                                                                                                                        Private Advisors Coinvestment Fund, LP (DE)
                  Candriam SRI Bond Euro (LUX)
                  Candriam SRI Bond Euro Corporate (LUX)
                                                                                                                 PACIF II GP, LLC (DE)
                  Candriam SRI Bond Global High Yield (LUX)
                                                                                                                        Private Advisors Coinvestment Fund II. LP (DE)
                  Candriam SRI Defensive Asset Allocation (LUX)
                                                                                                                 PACIF II Carry Parent, LLC (DE)
                  Candriam SRI Equity Emerging Markets (LUX)
                                                                                                                       PACIF II Carry, LLC (DE)
                  Candriam SRI Equity EMU (LUX)
                                                                                                                 PACIF III GP, LLC (DE)
                  Candriam SRI Equity Europe (LUX)
                                                                                                                       Private Advisors Coinvestment Fund III. LP (DE)
                  Candriam SRI Equity North America (LUX)
                                                                                                                 PACIF III Carry Parent, LLC (DE)
                  Candriam SRI Equity World (LUX)
                                                                                                                       PACIF III Carry, LLC (DE)
                                                                                                                 PACIF IV GP. LLC (DE)
            Candriam Sustainable (LUX)
                  Candriam Sustainable Euro Corporate Bonds (LUX)
                                                                                                                        Private Advisors Coinvestment Fund IV, LP (DE)
                  Candriam Sustainable Europe (LUX)
                                                                                                                 PACIF IV Carry Parent, LLC (DE)
                  Candriam Sustainable North America (LUX)
                                                                                                                        PACIF IV Carry, LLC (DE)
            Candriam Treasury Management (FRA)
                                                                                                                 PA Hedged Equity Fund, L.P. (DE)
            Candriam World Alternative (LUX)
                                                                                                                 Private Advisors Hedged Equity Fund (QP), L.P. (DE)
                  Candriam World Alternative Alphamax (LUX)
                                                                                                                        Private Advisors Hedged Equity Master Fund (DE)
                                                                                                                 PASCBF III GP, LLC (DE)
            Cleome Index (LUX)
                  Cleome Index EMU Equities (LUX)
                                                                                                                        Private Advisors Small Company Buyout Fund III, LP (DE)
                  Cleome Index World Equities (LUX)
                                                                                                                 PASCBF IV GP, LLC (DE)
Ausbil Investment Management Limited (AUS)
                                                                                                                        Private Advisors Small Company Buyout Fund IV, LP (DE)
      Ausbil Australia Pty. Ltd. (AUS)
                                                                                                                 PASCBF IV Carry Parent, LLC (DE)
      Ausbil Asset Management Pty. Ltd. (AUS)
                                                                                                                        PASCBF IV Carry, LLC (DE)
      Ausbil Focus Investment Company Limited (AUS)
                                                                                                                 PASCBF V GP, LLC (DE)
      Ausbil Global Infrastructure Pty. Limited (AUS)
                                                                                                                        Private Advisors Small Company Buyout Fund V, LP (DE)
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New York Life Investment Management Holdings LLC (continued)

Private Advisors Small Company Buyout V-ERISA Fund, LP (DE) PASCBF V Carry Parent, LLC (DE) PASCBF V Carry, LLC (DE) PASCPEF VI Carry Parent, LLC (DE) PASCPEF VI Carry, LLC (DE) PASCPEF VI GP, LLC (DE) Private Advisors Small Company Private Equity Fund VI. LP (DE) Private Advisors Small Company Private Equity Fund VI (Cayman), LP (CYM) PASCPEF VII GP, LLC (DE) Private Advisors Small Company Private Equity Fund VII. LP (DE) Private Advisors Small Company Private Equity Fund VII (Cayman), LP (CYM) PASCPEF VII Carry Parent, LLC (DE) PASCPEF VII Carry, LLC (DE) PASCPEF VIII GP. LLC (DE) Private Advisors Small Company Private Equity Fund VIII, LP (DE) Private Advisors Small Company Private Equity Fund VIII (Cayman), LP (DE) Cuvahoga Capital Partners I Management Group, LLC (DE) Cuyahoga Capital Partners I, L.P. (DE) Cuvahoga Capital Partners II Management Group LLC (DE) Cuyahoga Capital Partners II LP (DE) Cuyahoga Capital Partners III Management Group LLC (DE) Cuvahoga Capital Partners III LP (DE) Cuyahoga Capital Partners IV Management Group LLC (DE) Cuvahoga Capital Partners IV LP(DE) Cuyahoga Capital Emerging Buyout Partners Management Group LLC (DE) Cuyahoga Capital Emerging Buyout Partners LP (DE) PA Real Assets Carry Parent, LLC (DE) PA Real Assets Carry, LLC (DE) PA Emerging Manager Carry Parent, LLC (DE) PA Emerging Manager Carry, LLC (DE) RIC I GP. LLC (DE) Richmond Coinvestment Partners I, LP (DE) RIC I Carry Parent, LLC (DE) RIC I Carry, LLC (DE) PASF V GP, LLC (DE) Private Advisors Secondary Fund V, LP (DE) PASF V Carry Parent, LLC (DE) PASF V Carry, LLC (DE) PARAF GP, LLC (DE) Private Advisors Real Assets Fund, LP (DE) PARAF Carry Parent, LLC (DE) PARAF Carry, LLC (DE) PASCCIF GP, LLC (DE) Private Advisors Small Company Coinvestment Fund, LP (DE) Private Advisors Small Company Coinvestment Fund-ERISA, LP (DE) PASCCIF Carry Parent, LLC (DE) PASCCIF Carry, LLC (DE) PARAF II GP LLC (DE)

Private Advisors Real Assets Fund II LP (DE)
Private Advisors Hedged Equity Fund, Ltd. (CYM)
Private Advisors Hedged Equity Fund (QP), Ltd. (CYM)
Private Advisors Hedged Equity Master Fund, Ltd. (CYM)
Washington Pike GP, LLC (DE)
Washington Pike LP (DE)
PAMMF GP, LLC (DE)
PA Middle Market Fund, LP (DE)
PA Strategic Opportunities Fund GP, LLC (DE)
PA Strategic Opportunities Fund, LP (DE)
UVF GP, LLC (DE)
Undiscovered Value Fund, LP (DE)
Undiscovered Value Fund, Ltd. (CYM)
Undiscovered Value Master Fund SPC (CYM)

NYLife Real Estate Holdings LLC

Huntsville NYL LLC (DE) CC Acquisitions, LP (DE) REEP-IND Cedar Farms TN LLC (DE) Cedar Farms JV LLC (DE) REEP-IND Continental NC LLC (DE) LRC-Patriot, LLC (DE) REEP-LRC Industrial LLC (DE) REEP-IND Forest Park NJ LLC (DE) FP Building 4 LLC (DE) FP Building 1-2-3 LLC (DE) FP Building 17, LLC (DE) FP Building 20, LLC (DE) FP Mantua Grove LLC (DE) FP Lot 1.01 LLC (DE) REEP-IND NJ LLC (DE) NJIND JV LLC (DE) NJIND Hook Road LLC (DE) NJIND Bay Avenue LLC (DE) NJIND Corbin Street LLC (DE) REEP-MF Cumberland TN LLC (DE) Cumberland Apartments, LLC (TN) REEP-MF Enclave TX LLC (DE) Enclave CAF LLC (DE) REEP-MF Marina Landing WA LLC (DE) REEP-SP Marina Landing LLC (DE) REEP-MF Mira Loma II TX LLC (DE) Mira Loma II. LLC (DE) REEP-MF Summitt Ridge CO LLC (DE) Summitt Ridge Apartments, LLC (DE) REEP-MF Woodridge IL LLC (DE) REEP-OF Centerpointe VA LLC (DE) Centerpointe (Fairfax) Holdings LLC (DE) REEP-OFC 575 Lex NY LLC (DE) REEP-OFC 575 Lex NY GP LLC (DE) Maple REEP-OFC 575 Lex Holdings LP (DE) Maple REEP-OFC 575 Lex Owner LLC (DE) REEP-RTL SASI GA LLC (DE) REEP-RTL Bradford PA LLC (DE) REEP-OFC Royal Centre GA LLC (DE) Royal Centre, LLC (DE) REEP-RTL CTC NY LLC (DE) REEP-OFC 5005 LBJ Freeway TX LLC (DE) 5005 LBJ Tower LLC (DE) REEP-MF SPENCER NV LLC (DE) REEP-HZ SPENCER JV LLC (DE) REEP-HZ SPENCER LLC (DE) REEP-OFC/RTL MARKET ROSS TX LLC (DE)

MARKET ROSS TX JV LLC (DE)

MARKET ROSS TX GARAGE OWNER LC (DE) MARKET ROSS TX OFFICE OWNER LLC (DE) MARKET ROSS TX RETAIL OWNER LLC (DE) REEP-OFC Mallory TN LLC (DE) 3665 Mallory JV LLC (DE) REEP-OFC WATER RIDGE NC LLC (DE) REEP-OFC VIRIDIAN AZ LLC (DE) REEP-HINES VIRIDIAN JV LLC (DE) REEP-OFC 2300 Empire LLC (DE) REEP-MF Wynnewood PA LLC (DE) Wynnewood JV LLC (DE) REEP-MU Fayetteville NC LLC (DE) 501 Fayetteville JV LLC (DE) 501 Favetteville Owner LLC (DE) REEP-MU SOUTH GRAHAM NC LLC (DE) 401 SOUTH GRAHAM JV LLC (DE) 401 SOUTH GRAHAM OWNER LLC (DE)

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											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NIAIO					Name of								
_		NAIC				if Publicly Traded	Names of	ciliary	_ to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
0826 New	w York Life Group	66915	13-5582869	1583827	0000071633		New York Life Insurance Company	NY	UDP						
	·						New York Life Insurance and Annuity								
0826 New	w York Life Group	91596	13-3044743	3683691	0000727136		Corporation	DE	IA	New York Life Insurance Company	Ownership	100,000	New York Life Insurance Company	N	
	W York Life Group	81353	52-1530175				NYLIFE Insurance Company of Arizona	A7		New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			13-4199614				New York Life Enterprises LLC	AZ DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N.	
		-	13-4081725	2928649	0001270096		NYLIFE LLC	DE	NI A	New York Life Insurance Company	Ownership.		New York Life Insurance Company	N	
		-	10 4001720	2020040	0001270030		New York Life Investment Management Holdings			New York Erre modrance company	Owner Strip.		Tork Life modifiance company		
			52-2206682		0001513831		LLC	ne ne	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			27-0166422		0001010001		NYLife Real Estate Holdings, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	- N	1
			47-2379075				NYL Real Assets LLC	DE		New York Life Insurance Company	Ownership		New York Life Insurance Company	. N N	
			47 250250						NI A					. N N	
		-	47-2530753				NYL Emerging Manager LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		·
		-					NYL Wind Investments LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			46-4293486	-	0001606720		NYL Investors LLC	DE	NI A	New York Life Insurance Company	Ownership		New York Life Insurance Company	N	
			36-4715120				Madison Capital Funding LLC	DE	NI A	New York Life Insurance Company	Ownership	55.000	New York Life Insurance Company	N	
										New York Life Insurance and Annuity					
			36-4715120				Madison Capital Funding LLC	DE	NI A	Corporation	Ownership	45.000	New York Life Insurance Company	N	
			26-2806813				MCF Co-Investment GP LLC	DE	NI A	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-2806864		0001538585		MCF Co-Investment GP LP	DE	NI A	MCF Co-Investment GP LLC	Ownership.	100.000	New York Life Insurance Company	N	
							Madison Capital Funding Co-Investment Fund LF	•			·				
			26-2806918		0001538584		3	DE	NIA	MCF Co-Investment GP LP	Ownership	100.000	New York Life Insurance Company	N	
			80-0920962				Madison Avenue Loan Fund GP LLC	DE	NI A	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
			61-1711540		0001577927		Madison Avenue Loan Fund LP	DE	NI A	Madison Avenue Loan Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			01 11 11010				MCF Fund LLC	DE	NIA	Madison Capital Funding LLC	Ownership.		New York Life Insurance Company	N	
		-	30-1143853				MCF Hanwha Fund LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			. 00 1140000				Ironshore Investment BL Ltd.	BMU	NIA	Madison Capital Funding LLC	Other		New York Life Insurance Company	N	1
		-	46-2213974				MCF CLO IV LLC	DE	NIA	New York Life Insurance Company	Ownership	6.700	New York Life Insurance Company	N N	
			46-2213974				MCF CLO IV LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	. NN	
		-	81-4067250											N	1
							MCF CLO V LLC	DE	NI A	New York Life Insurance Company	Ownership	5.000	New York Life Insurance Company		
		-	81-4067250				MCF CLO V LLC	DE	NI A	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
		-	82-1943737				MCF CLO VI LLC	DE	NI A	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
		-	82-2734635				MCF CLO VII LLC	DE	NI A	Madison Capital Funding LLC	Other		New York Life Insurance Company	N	1
							MCF CLO VIII Ltd	DE	NI A	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
							MCF CLO VIII LLC	DE	NI A	MCF CLO VIII Ltd	Ownership		New York Life Insurance Company	N	
			36-4883128				MCF KB Fund LLC	DE	NI A	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	
			61-1907486				MCF KB Fund II LLC	DE	NI A	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			37-1749427				Montpelier Carry Parent, LLC	DE	NI A	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
							Montpelier Carry, LLC	DE	NI A	Montpelier Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-2042988	.			Montpelier GP, LLC	DE	NI A	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	<u> </u>
			46-2042988		0001570694		Montpelier Fund, L.P.	DE	NI A	Montpelier GP, LLC	Ownership		New York Life Insurance Company	N	
			35-2537165				MCF Mezzanine Carry LLC	DE	NI A	Madison Capital Funding LLC	Other		New York Life Insurance Company	N	1
			32-0469843				MCF Mezzanine Fund I LLC	DE	NI A	New York Life Insurance Company	Ownership	66.670	New York Life Insurance Company	N	J
										New York Life Insurance and Annuity					
			32-0469843				MCF Mezzanine Fund I LLC	DE	NIA	Corporation	Ownership	33.330	New York Life Insurance Company	N	
		-	02 0100010				MCF PD Fund GP LLC	DE	NI A	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
		-	98-1450997				MCF PD Fund LP	DE	NIA	MCF PD Fund GP LLC	Other	0.000	New York Life Insurance Company	N N	11
		-	16600+1 00				Warwick Seller Representative, LLC	DE	NIA	Madison Capital Funding LLC	Ownership		New York Life Insurance Company	. N	1
		-					Young America Holdings, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	36.350	New York Life Insurance Company	. N	1
							YAC.ECOM Incorporated	MN		Young America Holdings, LLC	Ownership			- IINL	
				0044454					NI A				New York Life Insurance Company	- [N	
				2644154			Young America, LLC	MN	NI A	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		-					Global Fulfillment Services, Inc.	AZ	NI A	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
							SourceOne Worldwide, Inc.	MN	NI A	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
							YA Canada Corporation	CAN	NI A	Young America, LLC	Ownership		New York Life Insurance Company	. N	
							Zenith Products Holdings, Inc	DE	NI A	Madison Capital Funding LLC	Ownership		New York Life Insurance Company	N	
		.1			0001347648		ZPC Holding Corp.	DE	NI A	Zenith Products Holdings Inc.	Ownership	100.000	New York Life Insurance Company	N	.1

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						Name of Constition			Deletion					SCA	
						Name of Securities			Relation-		Board,	Owner-			
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
Codo	Croup reamo	0000	Hamboi	TROOP	Unit	international)	Zenith Products Corporation	DE	NIA	ZPC Holding Corp.	Ownership	100.000	New York Life Insurance Company	N N	+
								MUS	NIA	New York Life Insurance Company	Ownership		New York Life Insurance Company	N	
							NYLIM Jacob Ballas India Holdings IV								
							NYLIM Flatiron CLO 2004-1 Ltd.	CYM	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			07 4050444				NYLIM Flatiron CLO 2004-1 Equity Holdings		0.711						_
			27-4658141				LLC, Series A	DE	HTQ	NYLIM Flatiron CLO 2004-1 Ltd	Influence	0.000	New York Life Insurance Company	N	5
							NYLIM Flatiron CLO 2006-1 Ltd.	CYM	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
							NYLIM Flatiron CLO 2006-1 Equity Holdings								
			27-4658881				LLC, Series A	DE	DTH	NYLIM Flatiron CLO 2006-1 Ltd	Influence	0.000	New York Life Insurance Company	N	5
							Flatiron CLO 2007-1 Ltd.	CYM	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
					1		NYLIM Flatiron CLO 2007-1 Equity Holdings							1	
			27-4706466				LLC, Series A	CYM	OTH	Flatiron CLO 2007-1 Ltd.	Influence	0.000	New York Life Insurance Company	N	5
			98-1011066		1		Flatiron CLO 2011-1 Ltd.	CYM	OTH.	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			99-0372384				Flatiron CLO 2012-1 Ltd.	CYM	OTH.	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			98-1075997				Flatiron CLO 2013-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			98-1089000				Flatiron CLO 2014-1 Ltd.	CYM	0TH	New York Life Insurance Company	Influence		New York Life Insurance Company	N	5
			98-1180305				Flatiron CLO 2015-1 Ltd	CYM	0TH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N N	5
			98-1330289				Flatiron CLO 17 Ltd.	CYM	OTH	New York Life Insurance Company	Influence		New York Life Insurance Company	N	5
			. 90-1330209				Flatiron CLO 17 Ltd.	CYM			Influence			N N	
									OTH	New York Life Insurance Company		0.000	New York Life Insurance Company		5
							Flatiron CLO 18 Funding Ltd.	CYM	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							Stratford CDO 2001-1 Ltd.	CYM	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	9
				4007034			Silverado CLO 2006-II Limited	CYM	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
							Silverado CLO 2006-II Equity Holdings LLC,								
			27-4659041				Series A	CYM	DTH	Silverado CLO 2006-II Limited	Influence	0.000	New York Life Insurance Company	N	5
							Silver Spring, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							Silver Spring Associates, L.P.	PA	NI A	Silver Spring, LLC	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-002 LLC	DE	NI A	New York Life Insurance Company	Ownership.	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-003 LLC	DE	NI A	New York Life Insurance Company	Ownership.	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-006 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N.	
							SCP 2005-C21-007-LLC	DE	NIA	New York Life Insurance Company	Ownership.		New York Life Insurance Company	N	1
							SCP 2005-C21-008 LLC	DE	NIA	New York Life Insurance Company	Ownership		New York Life Insurance Company	N N	
							SCP 2005-C21-009 LLC	DE	NIA	New York Life Insurance Company	Ownership.		New York Life Insurance Company	N N	
							SCP 2005-C21-009 LLC	DE	NIA	New York Life Insurance Company	Ownership		New York Life Insurance Company	N	
							SCP 2005-C21-017 LLC	DE	NIA			100.000			
										New York Life Insurance Company	Ownership		New York Life Insurance Company	N	
							SCP 2005-C21-021 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-025 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			-				SCP 2005-C21-031 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	ļ
							SCP 2005-C21-036 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-041 LLC	DE	NI A	New York Life Insurance Company	Ownership		New York Life Insurance Company	N	
							SCP 2005-C21-043 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-044 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
							SCP 2005-C21-048 LLC	DE	NI A	New York Life Insurance Company	Ownership.	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-061 LLC	DE	NIA	New York Life Insurance Company	Ownership.	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-063 LLC	DE	NI A	New York Life Insurance Company	Ownership.	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-067 LLC	DE	NIA	New York Life Insurance Company	Ownership		New York Life Insurance Company	N	1
							SCP 2005-C21-069 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N N	1
							SCP 2005-C21-009 LLC	DE	NIA	New York Life Insurance Company	Ownership		New York Life Insurance Company	N N	
							NYMH-Ennis GP. LLC	DE	NIA	New York Life Insurance Company	Ownership.		New York Life Insurance Company	N N	1
														- ^N	
							NYMH-Ennis, L.P.	TX	NI A	NYMH-Ennis GP, LLC	Ownership		New York Life Insurance Company	- N	
							NYMH-Freeport GP, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Freeport, L.P.	TX	NI A	NYMH-Freeport GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Houston GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	. N	
							NYMH-Houston, L.P.	TX	NI A	NYMH-Houston GP, LLC	Ownership		New York Life Insurance Company	N	
1							NYMH-Plano GP, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
				1		1	NYMH-Plano, L.P.	TX	NIA	NYMH-Plano GP, LLC	Ownership		New York Life Insurance Company	N	

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						Name of Securities			Relation-		Board,	Owner-		SCA	'
								D:							'
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
Codo	Croup Hame	0000	Hambon	TROOD	Ont	international)	NYMH-San Antonio GP. LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N N	+
							NYMH—San Antonio, L.P.	TX		NYMH-San Antonio GP. LLC	Ownership	100.000	New York Life Insurance Company	N	
									NI A						
							NYMH-Stephenville GP, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Stephenville, L.P.	TX	NI A	NYMH-Stephenville GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Taylor GP, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Taylor, L.P.	TX	NI A	NYMH-Taylor GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYMH Attleboro MA, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Farmingdale, NY, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	.] [,]
							NYLMDC-King of Prussia GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N.]
		1					NYLMDC-King of Prussia Realty, LP	DE	NI A.	NYLMDC King of Prussia GP, LLC	Ownership	100.000	New York Life Insurance Company	N	1
			47-3304035				2015 DIL PORTFOLIO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership.	100.000	New York Life Insurance Company	N	
			47-3372912				NJ 663 E. CRESCENT AVE LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership.	100.000	New York Life Insurance Company	N.	1
			47-3372912					DE	NIA		Ownership			N	
							NJ 1881 ROUTE 46 LLC			2015 DIL PORTFOLIO HOLDINGS LLC		100.000	New York Life Insurance Company		
			47-3444658				PA 180 KOST RD LLC	DE	NI A	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-2586171				2017 CT REO HOLDINGS LLC	DE	NI A	New York Life Insurance Company	Ownership	62.308	New York Life Insurance Company	N	
										New York Life Insurance and Annuity					
			82-2586171				2017 CT REO HOLDINGS LLC	DE	NI A	Corporation	Ownership		New York Life Insurance Company	N	.
			47-3329770				CT 611 W. JOHNSON AVE LLC	DE	NI A	2017 CT REO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	N	.]
			47-3317261				CT 550 RESEARCH PKWY LLC	DE	NI A	2017 CT REO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	N	1
			47-3358263				CT 160 CORPORATE COURT LLC	DE	NIA	2017 CT REO HOLDINGS LLC	Ownership.	100.000	New York Life Insurance Company	N	1
			17 0000200				Cortlandt Town Center LLC	DE	NIA	New York Life Insurance Company	Ownership.	100.000	New York Life Insurance Company	N	1
							REEP-IND 10 WEST AZ LLC	DE	NIA	New York Life Insurance Company	Ownership.	100.000	New York Life Insurance Company	N	
														N	
							REEP-IND 4700 Nall TX LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			37-1768259				REEP-IND Aegean MA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Alpha TX LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			83-2598877				REEP-IND CDC NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND CHINO CA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			61-1738919				REEP-IND FREEDOM MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100,000	New York Life Insurance Company	N	
							REEP-IND Fridley MN LLC	MN	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N]
							REEP-IND Green Oaks IL LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
							REEP-IND Kent LLC	DE	NIA	New York Life Insurance Company	Ownership.	100.000	New York Life Insurance Company	N	
			32-0442193				REEP-IND LYMAN MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	NN	
			32-0442 193				REEP-IND RTG NC LLC								
								DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Simonton TX LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Valley View TX LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
,							REEP-IND Valwood TX LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF 960 East Paces Ferry GA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			82-1945938				REEP-MF 960 EPF Opco GA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	.1'
						l	REEP-MF Issaguah WA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
							REEP-MF Mount Vernon GA LLC	DE	NIA	New York Life Insurance Company	Ownership.	100.000	New York Life Insurance Company	N	1
		-					REEP-MF Mount Laurel NJ LLC	DE	NIA	New York Life Insurance Company	Ownership.	100.000	New York Life Insurance Company	N	1
							REEP-MF Verde NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
														N N	1
							REEP-MF Wallingford WA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		1
							REEP-OFC Bellevue WA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	4
							REEP-OFC WATER RIDGE NC HOLDCO LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	4
							REEP-OFC ONE WATER RIDGE NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC TWO WATER RIDGE NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			l	l	I	l	REEP-OFC FOUR WATER RIDGE NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	IN	J '
							REEP-OFC FIVE WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
							REEP-OFC SIX WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
							REEP-OFC STA WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
								DE	NI A			100.000	New York Life Insurance Company	N	
							REEP-OFC EIGHT WATER RIDGE NC LLC			New York Life Insurance Company	Ownership			IN	1
							REEP-OFC NINE WATER RIDGE NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	·
				<u> </u>			REEP-OFC TEN WATER RIDGE NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	<u></u>

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						Name of Securities			Relation-		Board,	Owner-		SCA	'
						Exchange		Domi-	ship		Management,	ship		Filing	'
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	'
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	'
Code	Croup Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage		(Y/N)	
Code	Group Name	Code	Number	KSSD	CIN	international)					/		Entity(ies)/Person(s)	(Y/IN)	<u> </u>
							REEP-OFC ELEVEN WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	'
			81-2351415				REEP-MF FOUNTAIN PLACE MN LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			81-2456809				REEP-MF FOUNTAIN PLACE LLC	DE	NI A	REEP-MF FOUNTAIN PLACE MN LLC	Ownership	100.000	New York Life Insurance Company	N	'
							REEP-OFC 2300 EMPIRE CA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND 10 WEST II AZ LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	.]'
							REEP-RTL Fleminaton NJ LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	'
							REEP-RTL Mill Creek NJ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
							NJIND Raritan Center LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
							NJIND Talmadge Road LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NJIND Melrich Road LLC	DE				100.000	New York Life Insurance Company	N	
									NI A	New York Life Insurance Company	Ownership				
							FP Building 18, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	·'
							FP Building 19, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	'
							PTC Acquisitions, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	. '
							Martingale Road LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Funding	CYM	DTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	N	6
							New York Life Global Funding	DE	DTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	N	6
							NYL Equipment Issuance Trust	DE	0TH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
							NYL Equipment Issuance Trust 2014-2	DE	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
							Government Energy Savings Trust 2003-A	NY	0TH	New York Life Insurance Company	Influence	D.000	New York Life Insurance Company	N	7
							UFI-NOR Federal Receivables Trust. Series	IN1	חוע	New fork Life insurance company	Initiuence	000	new fork Life insurance company	IV	
															1 _ '
							2009B	NY	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
							JREP Fund Holdings I, L.P.	CYM	NI A	New York Life Insurance Company	Ownership	12.500	New York Life Insurance Company	N	
							Jaguar Real Estate Partners L.P.	CYM	NI A	New York Life Insurance Company	Ownership	30.300	. New York Life Insurance Company	N	'
							NYLIFE Office Holdings Member LLC	DE	NI A	New York Life Insurance Company	Ownership	51.000	New York Life Insurance Company	N	
					0001711406		NYLIFE Office Holdings LLC	DE	NI A	NYLIFE Office Holdings Member LLC	Ownership	51.000	. New York Life Insurance Company	N	.]'
					0001728620		NYLIFE Office Holdings REIT LLC	DE	NIA	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company	N.	
							REEP-OFC DRAKES LANDING LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	1
							REEP-OFC CORPORATE POINTE CA LLC	DE	NI A	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N.	1
							REEP-OFC VON KARMAN CA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC ONE BOWDOIN SQUARE MA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
			47.0504000				REEP-OFC 525 N Tryon NC LLC	DE	NI A	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-2591038				525 Charlotte Office LLC	DE	NIA	REEP-OFC 525 N Tryon NC LLC	Ownership	100.000	New York Life Insurance Company	N	.4'
					0001728621		NYLIFE Office Holdings Acquisition REIT LLC .	DE	NI A	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
										NYLIFE Office Holdings Acquisition REIT LLC					'
							REEP-OFC Westory DC LLC	DE	NI A		Ownership	100.000	New York Life Insurance Company	N	
							NYLIC HKP Member LLC	DE	NIA	New York Life Insurance Company	Ownership	67.974	New York Life Insurance Company	N	'
										New York Life Insurance and Annuity	, , , , , , , , , , , , , , , , , , , ,]
				1			NYLIC HKP Member LLC	DE	NIA	Corporation	Ownership	32.026	New York Life Insurance Company	N	1 '
							MSVEF Investor LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
					0001742549		MSVEF Feeder LP	DE	NIA	MSVEF Investor LLC	Ownership				1
					0001/42549							55.560	New York Life Insurance Company	N	'
							MSVEF REIT LLC	DE	NI A	MSVEF Feeder LP	Ownership	55.560	New York Life Insurance Company	N	·'
							Madison Square Value Enhancement Fund LP	DE	NI A	MSVEF REIT LLC	Ownership	51.000	New York Life Insurance Company	N	4'
							MSVEF-MF Evanston GP LLC	DE	NI A	Madison Square Value Enhancement Fund LP	Ownership	51.000	. New York Life Insurance Company	N	. '
							MSVEF-MF Evanston II LP	DE	NI A	MSVEF-MF Evanston GP LLC	Ownership	51.000	New York Life Insurance Company	N	. '
							SEAF Sichuan SME Investment Fund LLC	DE	NI A	New York Life Enterprises LLC	Ownership	39.980	New York Life Insurance Company	N	.]'
							New York Life International Holdings Limited						,	1	1
			98-0412951	İ				MUS	NIA	New York Life Enterprises LLC	Ownership.	84.380	New York Life Insurance Company	N	1 '
							New York Life International Holdings Limited			בווס בוונסוףו וססס בבס	V		The state of the s		1
			98-0412951	İ			INOW TOTA LITE THE HILEHIALTONAL HUTUINGS LIMITED	MUS	NI A	NYL Cayman Holdings Ltd.	Ownership	15.620	New York Life Insurance Company	M	1 '
			30-0412931						NI A		Owner SITIP	10.020			1
				1			l			New York Life International Holdings		04 400		1	1 '
							MAX Ventures and Industries Limited	IND	NI A	Limited	Ownership	21.400	New York Life Insurance Company	N	·'
							MAX Ventures and Industries Limited	IND	NI A	New York Life Insurance Company	Ownership	1.400	New York Life Insurance Company	N	4'
ı							NYL Cayman Holdings Ltd.	CYM	NI A	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	N	.4'
					•	i	NYL Worldwide Capital Investments, LLC	DE	NI A	NYL Cayman Holdings Ltd	Ownership	100.000	New York Life Insurance Company	1	

										ING COMPANT					
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
											Type	If			1
											of Control	Control			1
															1
											(Ownership,	is		ls an	1
						Name of Securities			Relation-		Board,	Owner-		SCA	1
						Exchange		Domi-	ship		Management,	ship		Filing	1
		NIAIO					N f								1
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	1
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	1 '
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
0000	Croup Haine	0000	110111001	TROOD	Oiix	international)		uon	Linary	(realite of Energy) Green)	Carer)	tago	Enary(loo)/1 Groom(c)	(1/14)	
							Seguros Monterrey New York Life, S.A. de C.V.								1 ,
								MEX	IA	New York Life Enterprises LLC	Ownership	99.998	. New York Life Insurance Company	N	
							Seguros Monterrey New York Life, S.A. de C.V.								1
								MEX	IA	NYL Worldwide Capital Investments	Ownership	0.002	New York Life Insurance Company	N	1 ,
							Administradora de Conductos SMNYL, S.A. de			Seguros Monterrey New York Life, S.A. de					
							C.V.	MEX	NIA	C.V	Ownership	99.000	New York Life Insurance Company	N	1 ,
								VIEX	NI A		. Owner Strip	99.000	new fork Life insurance company	N	
							Agencias de Distribucion SMNYL, S.A. de C.V.			Seguros Monterrey New York Life, S.A. de					1
								MEX	NI A	C.V	Ownership	99.000	. New York Life Insurance Company	N	
										Seguros Monterrey New York Life, S.A. de					1 '
			1	1	1	l	Inmobiliaria SMNYL, S.A. de C.V.	MEX	NI A	C.V.	Ownership.	99.000	New York Life Insurance Company	N	1 ,
							ob.iiuiiu omiie, o.n. uo o.v.			Agencias de Distribucion SMNYL. S.A. de					
			1	1	1	l		MEN.			l	4 000	N V 1 1 1 1 0	.,	1 1
							Inmobiliaria SMNYL, S.A. de C.V.	MEX	NI A	C.V	Ownership	1.000	New York Life Insurance Company	. N	
			26-1483563	.			Eagle Strategies LLC	DE	NI A	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	∤
			13-3853547				New York Life Capital Corporation	DE	NI A	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	1
			13-3808042		0001033244	1	New York Life Trust Company	NY	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	1
			13-5582869		0001000211		NYL Executive Benefits LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
					0000074007										
			27-0145686		0000071637		NYLIFE Securities LLC	DE	NI A	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	{
			13-3929029				NYLINK Insurance Agency Incorporated	DE	NI A	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLUK I Company	GBR	NI A	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLUK II Company	GBR	NI A	NYLUK I Company	Ownership	100.000	New York Life Insurance Company	N	1 1
							Gresham Mortgage	GBR	NI A	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
								GBR	NIA	NYLUK II Company	Ownership.	100.000		N N	
							W Construction Company						New York Life Insurance Company		
							WUT	GBR	NI A	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
							WIM (AIM)	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
							NYL Investors (U.K.) Limited	GBR	NI A	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	1
							NYL Investors REIT Manager LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N.	
							NYLIM Holdings NCVAD GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
								UE	NI A	NTL Trivestors LLC	. Owner strip	100.000	. New fork Life insurance company	NL	
							McMorgan Northern California Value								1 ,
					0001570433		Add/Development Fund I, L.P.	DE	NI A	NYLIM Holdings NCVAD GP LLC	Ownership	50.000	. New York Life Insurance Company	N	
										McMorgan Northern California Value					1 ,
							MNCVAD-IND Greenwood CA LLC	DE	NIA	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	1 ,
							INTO THE GLOCITIOGG ON LEG			McMorgan Northern California Value	owner our p		Tork Erro modranos company		
							INDUAD IND N	DE				400.000	N V I I I I O		1 ,
							MNCVAD-IND Norris Canyon CA LLC		NI A	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD-CP Norris Canyon LLC	DE	NI A	MNCVAD-IND Norris Canyon CA LLC	Ownership	94.000	New York Life Insurance Company	N	∤
				1	1	1				McMorgan Northern California Value	1				1 1
				1	1		MNCVAD-IND Petaluma CA LLC	DE	NIA	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	1 '
				1	1				T	McMorgan Northern California Value					1
			1	1	1		MNOVAD OFC OCCE MODILI FIRST OF 11 O	DE.	NIA		O-manahi -	100 000	New Yearly Life Leaves Communication	A.I	1
							MNCVAD-OFC 2665 NORTH FIRST CA LLC	DE		Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	{
							MNCVAD-SEAGATE 2665 NORTH FIRST LLC	DE	NI A	MNCVAD-OFC 2665 North First CA LLC	Ownership	90.000	. New York Life Insurance Company	N	Įl
			1	1	1	l			1	McMorgan Northern California Value	1				1 1
				1	1		MNCVAD-OFC Bridgepointe CA LLC	DE	NIA	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	1
										McMorgan Northern California Value	1				
			1	1	1	1	MAKEVAD OFC DIDDED DADK OA 110	DE.	NI A		O-marahi-	100 000	New Yearly Life Leaves Communication	A.I	1 1
							MNCVAD-OFC RIDDER PARK CA LLC	DE	NI A	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	{
							MNCVAD-GRAYMARK RIDDER PARK LLC	DE	NI A	MNCVAD-OFC RIDDER PARK CA LLC	Ownership	97.500	. New York Life Insurance Company	N	{l
			1	1	1	1				McMorgan Northern California Value	1				1 1
			l	1	1	l	MNCVAD-OFC ONEBAY CA LLC	DE	NI A	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	1 /
							MNCVAD-HARVEST ONE BAY LLC	DE	NIA	MNCVAD-OFC ONEBAY CA LLC	Ownership	95.000	New York Life Insurance Company	N	
							THE THE PERSON OF THE PART LEV			Mollorgon Northorn Collifernia Value					
			1	1	1	1	THIOTAD THE DISTRICTE OF THE	D=		McMorgan Northern California Value	l	400 000	N V I I'I C		1 '
							MNCVAD-IND RICHMOND CA LLC	DE	NI A	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	{l
							NYL Investors NCVAD II GP, LLC	DE	NI A	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	1
				1	1	1	McMorgan Northern California Value				1		. ,		1
			1	1	0001705770	1	Add/Development Fund II, LP	DE	NIA	NYL Investors NCVAD II GP, LLC	Ownership	50.000	New York Life Insurance Company	N	1 ,
					0001100110		naa/ beveropilicitt Turiu TT, Li	UL	NI 7		- Omioi Sili p		Their fork Life Hisurance company		
			1	1	1	1	INVOLVED ALL ME LEEN EV. O			McMorgan Northern California Value	l	400.005		1	1 1
			<u> </u>	1	1		MNCVAD II-MF HENLEY CA LLC	DE	NI A	Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company	_ N	<u> </u>

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											Type	lf] ,
											of Control	Control		ļ	
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi	ship		Management,	ship		Filing] !
								Domi-	- 1					5] !
_		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-] !
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?] !
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
							MNCVAD II-SP HENLEY JV LLC	DE	NI A	MNCVAD II-MF HENLEY CA LLC	Ownership	90.000	New York Life Insurance Company	N	
							MNCVAD II-SP HENLEY OWNER LLC	DE	NI A	MNCVAD II-SP HENLEY JV LLC	Ownership	100.000	New York Life Insurance Company	N	.]
										McMorgan Northern California Value				ļ] !
							MNCVAD II-OFC 770 L Street CA LLC	DE	NI A	Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company	N	.]
										McMorgan Northern California Value]
							MNCVAD II-MF UNION CA LLC	DE	NIA	Add/Development Fund II. L.P.	Ownership	100.000	New York Life Insurance Company	N] !
							MNCVAD II- HOLIDAY UNION JV LLC	DE	NIA	MNCVAD II-MF UNION CA LLC	Ownership	90.000	New York Life Insurance Company	N	
							MSVEF GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Madison Core Property Fund LLC	DE	NIA	NYL Investors LLC	Management	0.000	New York Life Insurance Company	N	11
							MIREF 1500 Quail, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	1/
							MIREF Mill Creek. LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	1
							MIREF Gateway, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership.	100.000	New York Life Insurance Company	N N	1
							MIREF Delta Court, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Fremont Distribution Center, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	NN.	1
							MIREF Century, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000		NN	
							MIREF Saddle River LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	NN.	
								DE						N N	
							MIREF Newpoint Commons, LLC	DE	NI A NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Northsight, LLC			Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Riverside, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Corporate Woods, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Bedminster, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Bartons Creek, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							Bartons Lodge Apartments, LLC	DE	NI A	MIREF Bartons Creek, LLC	Ownership	90.000	New York Life Insurance Company	N	
							MIREF Marketpointe, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	. N	
							MIREF 101 East Crossroads, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							101 East Crossroads, LLC	DE	NI A	MIREF 101 East Crossroads, LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Chain Bridge, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							1991 Chain Bridge Road, LLC	DE	NI A	MIREF Chain Bridge, LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Hawthorne, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Auburn 277, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Sumner North, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Wellington, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	.]
							MIREF Warner Center, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	.]
							MADISON-IND Valley Business Park CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MF Duluth GA LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N]
							MADISON-OFC Centerstone CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
1							MADISON-OFC Centerstone III CA LLC	DE	NI A.	Madison Core Property Fund LLC	Ownership.	100.000	New York Life Insurance Company	N	1
i							MADISON-MOB Centerstone IV CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership.	100.000	New York Life Insurance Company	N N	1
							MADISON-OFC Centerpoint Plaza CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership.	100.000	New York Life Insurance Company	N	1
			47-2279230				MADISON-IND Logistics NC LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	1
			47-2283899				MCPF-LRC Logistics LLC	DE	NIA	MADISON-IND Logistics NC LLC	Ownership.	90.000	New York Life Insurance Company	NN	1
			TI-2200033				MADISON-MF Desert Mirage AZ LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000		NN	1
							MADISON-OFC One Main Place OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	NN.	
							MADISON-IND Fenton MO LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company New York Life Insurance Company	N N	
							MADISON-IND Fenton WU LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N N	1
														N N	
							MADISON-MF Hoyt OR LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-RTL Clifton Heights PA LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
			47 5040000				MADISON-IND Locust CA LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	4
			47-5640009				MADISON-OFC Weston Pointe FL LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MF Henderson NV LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	l
							MCPF-SP Henderson LLC	DE	NI A	MADISON-MF Henderson NV LLC	Ownership	90.000	New York Life Insurance Company	. N	4
							MADISON-SP Henderson LLC	DE	NI A	MCPF-SP Henderson LLC	Ownership	90.000	New York Life Insurance Company	N	l
							MADISON-IND VISTA LOGISTICS OR LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-SPECHT VISTA LOGISTICS LLC	DE	NI A	MADISON-IND VISTA LOGISTICS OR LLC	Ownership		New York Life Insurance Company	N	.1

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											Type	If			ł
											of Control	Control			1
											(Ownership,			lo on	1
											, ,	is		Is an	1
						Name of Securities			Relation-		Board,	Owner-		SCA	1
						Exchange		Domi-	ship		Management,	ship		Filing	ı
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	ı l
Group		Company	ID	Federal		(U.S. or	Parent. Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence.	Percen-	Ultimate Controlling	quired?	ı l
	Craun Nama			RSSD	CIIV										
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	
							MADISON-MF MCCADDEN CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-OFC 1201 WEST IL LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MCCAFFERY 1201 WEST IL LLC	DE	NI A	MADISON-OFC 1201 WEST IL LLC	Ownership	92.500	New York Life Insurance Company	N	
							MADISON-MF CRESTONE AZ LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	ı
							New York Life Investment Management Asia			New York Life Investment Management	·				ı l
							Limited	CYM	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	ı
							Limitod			New York Life Investment Management	omior on p		Tork Erro modrano company		
			13-4080466		0000061227		Mankay Chialda II C	DE	NI A	Holdings LLC	O-manah i -	100 000	New York Life Insurance Company	N N	ı l
			13-4000400		0000061227		MacKay Shields LLC	UE	NI A	nordings LLC	Ownership	100.000	. New fork Life insurance company	N	
							MacKay Shields Core Plus Opportunities Fund								ı
			27-2850988				GP LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
				1			MacKay Shields Core Plus / Opportunities	1		MacKay Shields Core Plus Opportunities					, 1
			27-2851036		0001502131		Fund LP	DE	NI A	Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							MacKay Municipal Managers Opportunities GP						,	1	, 1
			27-0676586				LLC	DE	NI A	MacKay Shields LLC	Ownership.	100.000	New York Life Insurance Company	N	ı
			27 0070000				MacKay Municipal Opportunities Master Fund,	DL		MacKay Municipal Managers Opportunities GP	Omici sirip.		THE TOTAL LITE THOUSANDER COMPANY		1
			26-2332835		0001432467		L.P.	DE	NIA	inackay municipal managers opportunities or	O-manah i -	100 000	New York Life Insurance Company	N N	ı
			20-2332033		000 1432467		L.F		NIA	LLLU	Ownership	100.000	. New fork Life insurance company	N	
										MacKay Municipal Managers Opportunities GP					.
			22-2267512		0001432468		MacKay Municipal Opportunities Fund, L.P	DE	NI A	LLC	Ownership	100.000	New York Life Insurance Company	N	
							MacKay Municipal Managers Credit								ı
			27-0676650				Opportunities GP, LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
							MacKay Municipal Credit Opportunities Master			MacKay Municipal Managers Credit	•				ı
			30-0523736		0001460030		Fund, L.P.	DE	NI A	Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	ı
			00 0020/00				MacKay Municipal Credit Opportunities Fund,			MacKay Municipal Managers Credit	o		Ton Ton Erro modiano company		1
			30-0523739		0001460023		L.P.	DE	NIA	Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	ı
			30-0323739		0001400023			DE	NIA		Owner Sirrp	100.000	. New fork Life Hisurance Company	N	
					0001700100		MacKay Municipal Credit Opportunities HL			MacKay Municipal Managers Credit		400 000		l l	ı
			38-4019880		0001700102		Fund, L.P.	DE	NI A	Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							MacKay Municipal Managers Credit								ı
			98-1374021				Opportunities HL (Cayman) GP LLC	CYM	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
							MacKay Municipal Credit Opportunities HL			MacKay Municipal Managers Credit					ı
			98-1370729		0001710885		(Cayman) Fund, LP	CYM	NIA	Opportunities HL (Cayman) GP LLC	Ownership	100.000	New York Life Insurance Company	N	1
							MacKay Municipal Short Term Opportunities			, , , , , , , , , , , , , , , , , , , ,					1
			45-3040968				Fund GP LLC	DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	ı
			+0 00+0000				MacKay Municipal Short Term Opportunities			MacKay Municipal Short Term Opportunities	Office 3111p	100.000	INCH TOTA LITE HISUTATION COMPANY		
			45 0044044		0004500000			DE	ALL A		O	100 000	New Years Life Lawrence Comment	M	.
			45-3041041		0001532022		Fund LP	DE	NI A	Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	,
							Plainview Funds plc	IRL	NI A	MacKay Shields LLC	Ownership	50.000	. New York Life Insurance Company	N	
							Plainview Funds plc	IRL	NIA	MacKay Shields LLC	Board of Directors	0.000	New York Life Insurance Company	N	,l
				1			Plainview Funds plc - MacKay Shields -	1							,
							Unconstrained Bond Portfolio	IRL	NI A	New York Life Insurance Company	Management	0.000	New York Life Insurance Company	N	2
							Plainview Funds plc - MacKay Shields -				•			"1	
				1			Unconstrained Bond Portfolio	IRL	NI A	MacKay Shields LLC	Ownership	1.140	New York Life Insurance Company	N	,
		-1					Plainview Funds plc - MacKay Shields -			muonuy Unitered LLO	ν πιοι στι μ		Thou fork Life insurance company		,
				1				IDI	NII A	New York Life Incurence Com-one	O	01 670	New York Life Incurence Comes	I M	,
							Floating Rate High Yield Portfolio	IRL	NI A	New York Life Insurance Company	Ownership	91.670	New York Life Insurance Company	N	,
				l			Plainview Funds plc - MacKay Shields -							1 1	, I
							Floating Rate High Yield Portfolio	IRL	NI A	MacKay Shields LLC	Ownership	8.320	New York Life Insurance Company	N	₁
				1			Plainview Funds plc - MacKay Shields Core	1							,
							Plus Opportunities Portfolio	IRL	NI A	MacKay Shields LLC	Management	0.000	New York Life Insurance Company	N	2
							MacKay Shields Statutory Trust High Yield								
			22-6861385				Bond Series	CT	NI A	Plainview Funds plc	Management	0.000	New York Life Insurance Company	N	2
			22 -000 1003				Plainview Funds plc - MacKay Shields High	01		I raminion runus pro	managonont	000.0	Their fork Life Hisurance company	IV	4
				1				IP!	AU A	Markey Object to 110	W	0.000	New Years Life Leaves 0	₁ ,	, ,
							Yield Crossover Portfolio	IRL	NI A	MacKay Shields LLC	Management	0.000	New York Life Insurance Company	N	2
				1			Plainview Funds plc - MacKay Shields High	1							,
							Yield Crossover Portfolio	IRL	NI A	MacKay Shields LLC	Ownership	3.310	New York Life Insurance Company	N	
				l			MacKay Shields High Yield Active Core Fund GF)							, l
			27-3064248	l			II C	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	, 1

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Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	1 '
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
							MacKay Shields High Yield Active Core Fund LP			MacKay Shields High Yield Active Core Fund				(/	t'
			00 4040740		0004500400		mackay Sillerus High Fretu Active Cole Fullu Li	DE	A11.A	GP LLC	0 1:	400 000	N V I I ' C I		1 '
			26-4248749		0001502130			DE	NI A		Ownership	100.000	New York Life Insurance Company	N	·
			98-0540507				MacKay Shields Credit Strategy Fund Ltd	CYM	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	'
			13-4357172	3859263			MacKay Shields Credit Strategy Partners LP	DE	NI A	MacKay Shields LLC	Ownership.	100.000	New York Life Insurance Company	N	1'
			13-4080466				MacKay Shields General Partner (L/S) LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	1 '
			13-4185570				MacKay Shields Long/Short Fund LP	DE	NIA	MacKay Shields General Partner (L/S) LLC	Ownership.	100.000	New York Life Insurance Company	N	
			02-0633343											N	
			02-0633343				MacKay Shields Long/Short Fund (Master) LP	DE	NI A	MacKay Shields General Partner (L/S) LLC	Ownership	100.000	New York Life Insurance Company	N	{'
							MacKay Municipal Managers Opportunities								1 '
							Allocation GP LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	1'
					1	1	MacKay Municipal Managers Opportunities			MacKay Municipal Managers Opportunities	1	1			1 ",
			83-3051488			1	Allocation Master Fund LP	DE	NIA	Allocation GP LLC	Ownership	100.000	New York Life Insurance Company	N	1 '
			00-000 1400					UC	NIA		Owner 2111h		INCH TOTA LITE HISUTANCE COMPANY		1'
							MacKay Municipal Managers Opportunities			MacKay Municipal Managers Opportunities					1 '
			83-3085547				Allocation Fund A LP	DE	NI A	Allocation GP LLC	Ownership	100.000	New York Life Insurance Company	N	 '
							MacKay Municipal Managers Opportunities			MacKay Municipal Managers Opportunities	•				1 '
			83-3088001				Allocation Fund B LP	DE	NIA	Allocation GP LLC	Ownership	100.000	New York Life Insurance Company	N	1 '
			47-2426303				CVP Holdings LLC	DE	NIA	MacKay Shields LLC	Ownership	65.000	New York Life Insurance Company	N	1
											- · · · · · · · · · · · · · · · · · · ·				
			46-5492608				CVP CLO Manager LLC	DE	NI A	CVP Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	{'
							CVP CLO Holdings GP LLC	DE	NI A	CVP CLO Manager LLC	Ownership	100.000	New York Life Insurance Company	N	
							CVP CLO Holdings, LP	CYM	NIA	CVP CLO Holdings GP LLC	Ownership	100.000	New York Life Insurance Company	N	1 '
							CVP CLO Advisors, LLC	DE	NIA	CVP CLO Holdings LP	Ownership	100.000	New York Life Insurance Company	N	1
								DE	NIA	CVP Holdings LLC	Ownership	100.000		N	
							Credit Value Partners, LLC						New York Life Insurance Company		
							CHIPC Evergreen General LLC	DE	NI A	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	N	
							CVP High Income Private Credit Master Fund LP								1 '
							_	CYM	NIA	CHIPC Evergreen General LLC	Ownership.	100.000	New York Life Insurance Company	N	1 '
			27-3015409				CVP Loan Servicing LLC	DE	NI A	Credit Value Partners. LLC	Ownership	100.000	New York Life Insurance Company	N	
			21-0010403				CHIPC PE General, LLC	DE	NIA		Ownership		New York Life Insurance Company	N	1
										Credit Value Partners, LLC		100.000			{
							CHIPC PE Intermediate Fund, LP	CYM	NI A	CHIPC PE General, LLC	Ownership	100.000	New York Life Insurance Company	N	·
							CVP High Income Private Credit PE Fund								1 '
					0001672455		(Cavman), LP	CYM	NIA	CHIPC PE General, LLC	Ownership	100.000	New York Life Insurance Company	N	1 '
					0001672457		CVP High Income Private Credit PE Fund, LP	DE	NIA	CHIPC PE General, LLC	Ownership	_100.000	New York Life Insurance Company	N	
					0001072407		CVF IV General, LLC	DE	NIA	Credit Value Partners. LLC	Ownership.	100.000	New York Life Insurance Company	N	
					0001650747		Credit Value Fund IV, LP	DE	NIA	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	N	'
					0001647880		Credit Value Fund (Cayman) IV, LP	CYM	NI A	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	N	'
						l	Credit Value Intermediate Fund IV, LP	CYM	NIA	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	N	1'
							Credit Value Master Fund IV-A, LP	CYM	NI A	CVF IV General. LLC	Ownership.	100.000	New York Life Insurance Company	N	1
							Credit Value Master Fund IV-B, LP	CYM	NIA	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	N	1
															{'
							CVF IV Vert LLC	DE	NI A	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	N	{'
							CVF IV-A1 Vert LLC	DE	NI A	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	N	1'
				l		l	CVP SPV LLC	DE	NI A	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	N	1'
							CVP SPV LLC Series I	DE	NIA	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	N	1
							CVP SPV LLC Series II	DE	NIA	Credit Value Partners, LLC	Ownership.	100.000	New York Life Insurance Company	N	1
															f'
							CVP SPV LLC Series III	DE	NI A	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	N	{'
							CVP Europe Investment Management Limited	IRL	NIA	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	N	 '
			l	l	l	l	MKS CVF V General LLC	DE	NI A	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	N	J '
							MacKay Shields Credit Value Fund V, LP	DE	NI A	MKS CVF V General LLC	Ownership	100.000	New York Life Insurance Company	N	1
							MacKay Shields Credit Value Master Fund V, LP			mile on a delicital LEC	omior on p		Their roll Life insurance company		1
			1			1	wachay Silletus Credit Value Master Fund V, LP			MKO OVE V O LILO	l	400.000	N V 1 1 1 2		1 '
								CYM	NI A	MKS CVF V General LLC	Ownership	100.000	New York Life Insurance Company	N	{'
			1			1	MacKay Shields Credit Value Intermediate Fund				1			1	1 '
							V, LP	CYM	NIA	MKS CVF V General LLC	Ownership	100.000	New York Life Insurance Company	N	1 '
							MacKay Shields Defensive Bond Arbitrage Fund						The state of the s		1
			1		0001500100	1		DMI	NIA	MacKav Shields LLC	Board of Directors	0.000	New York Life Incurence Come	N	1 '
					0001502133		Ltd.	BMU	NI A	Macray Sillelus LLC	DOWLD OF DILECTORS	0.000	New York Life Insurance Company	N	{'
			1			1	MacKay Shields Defensive Bond Arbitrage Fund				1			1	1 '
		1		1	0001502133	1	I td	BMU	NI A	New York Life Insurance Company	Ownership	12.780	New York Life Insurance Company	N N	1 '

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							MacKay Shields Defensive Bond Arbitrage Fund			(riamine or aming) record		10.30	=::::(0)	()	
					0004500400			DATE	ALL A	H 1/ 01: 11 110	0 1:	0.400	N V 1 1 1 C 1		1
					0001502133		Ltd	BMU	NI A	MacKay Shields LLC	Ownership	D. 130	New York Life Insurance Company	N	
							MacKay Shields Core Fixed Income Fund GP LLC								1
			45-2732939					DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
										MacKay Shields Core Fixed Income Fund GP					1
			45-2733007		0001529525		MacKay Shields Core Fixed Income Fund LP	DE	NIA	IIC	Ownership	100.000	New York Life Insurance Company	N	1
			. 10 2/0000/		0001020020		MacKay Shields Select High Yield Bond Fund GP				owner our p		Their fork Erre mourance company		
			00 4700450				mackay Sillerus Select High Field Bolld Fulld dr		ALL A	H 1/ 01: 11 110	0 1:	400 000	N V 1 1 1 C 1		1
			82-1760156				LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
					1	1	MacKay Shields Select High Yield Bond Fund LP			MacKay Shields Select High Yield Bond Fund					1
			81-4553436		0001703194			DE	NI A	GP LLC	Ownership.	100.000	New York Life Insurance Company	N	1
										MacKay Shields Select High Yield Bond Fund	1	_			
			81-4560451		1	1	MacKay Shields High Yield Crossover Fund LP .	DE	NI A	GP LLC	Ownership	100.000	New York Life Insurance Company	N	1 1
														N.	
			98-1108933				MacKay Shields (International) Ltd.	GBR	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1108959				MacKay Shields (Services) Ltd.	GBR	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1108940				MacKay Shields UK LLP	GBR	NI A	MacKay Shields (International) Ltd	Ownership	99.000	New York Life Insurance Company	N	1
			98-1108940				MacKay Shields UK LLP	GBR	NI A	MacKay Shields (Services) Ltd.	Ownership	1.000	New York Life Insurance Company	N	1
							MacKay Shields Global Derivatives LLC	DE	NI A	MacKay Shields LLC	Ownership		New York Life Insurance Company	N	
							MacKay Municipal Managers Puerto Rico	UL		mackay officias LLC	Owner Sirrp		INCW TOTA LITE HISUTATICE COMPANY		
															1 1
			47-2950749				Opportunities GP LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	{
							MacKay Puerto Rico Opportunities Funds, L.P.			MacKay Municipal Managers Puerto Rico					1 1
			47-2960789		0001639566			DE	NIA	Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	1 1
							MacKay Puerto Rico Opportunities Feeder Fund,			MacKay Municipal Managers Puerto Rico					
			98-1230069		0001639564		I P	CYM	NI A	Opportunities GP LLC	Ownership	100.000	New York Life Incomes Com-one	M	1 1
			. 90-1230009		000 1039304			DTIVL	NI A	opportunities of LLC	Owner Strip	100.000	New York Life Insurance Company	IV	
							MacKay Municipal Managers California								1 1
			47-3358622				Opportunities GP LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
							MacKay Municipal Managers California			MacKay Municipal Managers California	·				1 1
							Opportunities Fund, L.P.	DE	NI A	Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	1 .
							MacKay Municipal New York Opportunities GP			opportunition of LEO	owner our p		Tork Erro mourande company		
			04 0404704					DE	ALL A	H 1/ 01: 11 110	0 1:	400 000	N V 1 1 1 C 1		1
			81-2401724				LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
							MacKay Municipal New York Opportunities			MacKay Municipal New York Opportunities GP					1 1
			38-4002797		0001685030		Fund, L.P.	DE	NI A	LLC	Ownership	100.000	New York Life Insurance Company	N	1
										MacKay Municipal New York Opportunities GP	·		' '		1 1
					0001700100		MacKay Municipal Opportunity HL Fund LP	DE	NIA	IIC	Ownership	100.000	New York Life Insurance Company	N	1 1
			01 0575505		0001700100					MaeVay Chialda II C				NI	
			81-2575585				MacKay Municipal Capital Trading GP LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
						ĺ	MacKay Municipal Capital Trading Master								(J
,			36-4846547				Fund, L.P	DE	NI A	MacKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company	N	4 J
						ĺ	MacKay Municipal Capital Trading Fund, L.P.								1
			37-1836504		1	1	,	DE	NIA	MacKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company	N	1 1
						1	MacKay Municipal Managers Ctratesia	UL		macray manicipal capital flauling of LLC	οιποι σπιρ		Into Tork Life Hisurance company	IVL	
					1	1	MacKay Municipal Managers Strategic				l	400 005	lu v	1	1
			81-4932734				Opportunities GP LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	{
					1	1	MacKay Municipal Strategic Opportunities			MacKay Municipal Managers Strategic					(J
			37-1846456		0001701742	1	Fund LP	DE	NI A	Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	1
			1		1		MacKay Shields US Equity Market Neutral Fund								
			82-1728099		1	1	GP LLC	DE	NIA	MacKav Shields LLC	Ownership	100.000	New York Life Insurance Company	N	1
			- 02-1/20099					UC			Owner 2011 h		INCH TOLK LITE INSULANCE COMPANY	IVL	
						ĺ	MacKay Cornerstone US Equity Market Neutral			MacKay Shields US Equity Market Neutral	L			1	1
			27-4320205		0001510983		Fund LP	DE	NI A	Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	4
					1	1	MacKay Shields Intermediate Bond Fund GP LLC								(J
			82-1715543		1	1		DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	(J
										MacKay Shields Intermediate Bond Fund GP			The state of the s		
			00 4740000		0004745004	1	Markey Objected Intermediate Dand E. 115	חר	ALL A	I I A	0	100 000	New Yearle Life Incomment Comme		1
			82-1716026		0001715261		MacKay Shields Intermediate Bond Fund LP	DE	NI A	LLL	Ownership	100.000	New York Life Insurance Company	N	
						ĺ				New York Life Investment Management					1
					0001453415		Cornerstone Capital Management Holdings LLC.	DE	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	1
							Cornerstone US Equity Market Neutral Fund,								""]
					1	1	IIC	DE	NI A	Cornerstone Capital Management LLC	Ownership	100 000	New York Life Insurance Company	N	(J
							LLV	UE		OUTHORS TO THE CAPITAL MAHAYEMENT LLC	OHIIO 9111 P		- Inter TOTA LITE THOUSANCE COMPANY	IV	

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Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
	'					,	Cornerstone Capital Management Large-Cap		,	Cornerstone Capital Management Holdings LLC	,		, , , , , , , , , , , , , , , , , , ,	\	
							Enhanced Index Fund GP. LLC	DE	NI A		Ownership	100.000	New York Life Insurance Company	N	
							Cornerstone Capital Management Large-Cap			Cornerstone Capital Management Large-Cap			l		
					0001329043		Enhanced Index Fund. LP	DE	NI A	Enhanced Index Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001020010		Elinanoca maox i ana, El			New York Life Investment Management	Office Griff		Their fork Erro mourance company		
							GoldPoint Partners LLC	DE	NIA	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001513540		New York Life Capital Partners, LLC	DE	NIA	GoldPoint Partners LLC	Ownership.	100.000	New York Life Insurance Company	N	
					0001513533		New York Life Capital Partners, LP	DE	NIA	New York Life Capital Partners, LLC	Owner ship.	100.000	New York Life Insurance Company	N	
								DE				100.000	New York Life Insurance Company	N	
					0001293285		New York Life Capital Partners II, LLC		NI A	GoldPoint Partners LLC	Ownership		New York Life Insurance Company		
					0001293286		New York Life Capital Partners II, LP	DE	NI A	New York Life Capital Partners II, LLC	Ownership	100.000	New York Life Insurance Company	N	
				İ			New York Life Capital Partners III GenPar GP,			0.1.0.1.0.1.0.1.0	l	400.005	L. v	1 l	
							LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Capital Partners III GenPar, LP			New York Life Capital Partners III GenPar					
								DE	NI A	GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
										New York Life Capital Partners III GenPar,					
							New York Life Capital Partners III, LP	DE	NI A	LP	Ownership	100.000	New York Life Insurance Company	N	
							· ·			New York Life Capital Partners III GenPar,					
							New York Life Capital Partners III-A, LP	DE	NIA	LP	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Capital Partners IV GenPar GP,								
							LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Capital Partners IV GenPar, LP	UL		New York Life Capital Partners IV GenPar	Owner Sirrp.		. New York Erre mourance company		
							New Tork Life dapital raithers iv deliar, Li	DE	NIA	GP. LLC	Ownership	100.000	New York Life Insurance Company	N	
								UE	NI A	New York Life Capital Partners IV GenPar,	Owner Sirrp		New fork Life insurance company		
							New Years Life Consider Deaders IV LD	DE	NIA	new fork life capital Partners IV GenPar,	0h:	100 000	New Years Life Learning Comment	NI.	
							New York Life Capital Partners IV, LP	DE	NIA	LT	Ownership	100.000	New York Life Insurance Company	N	
										New York Life Capital Partners IV GenPar,		400 000			
							New York Life Capital Partners IV-A, LP	DE	NI A	₽	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Mezzanine Partners IV GenPar GP,								
							LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
										GoldPoint Mezzanine Partners IV GenPar GP,					
							GoldPoint Mezzanine Partners IV GenPar LP	DE	NI A	LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Mezzanine Partners Co-Investment								
					0001670568		Fund A, LP	DE	NI A	GoldPoint Mezzanine Partners IV GenPar LP .	Ownership	100.000	New York Life Insurance Company	N	
					0001652367		GoldPoint Mezzanine Partners IV, LP	DE	NI A	GoldPoint Mezzanine Partners IV GenPar LP .	Ownership.	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco A, LP	DE	NI A	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	l
							GPP Mezzanine Blocker Holdco Preferred A. LP								
				İ			,	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	l N	
							GPP Mezzanine Blocker Holdco B, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	1
							GPP Mezzanine Blocker Holdco C, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco D, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N N	
														N N	
							GPP Mezzanine Blocker Holdco E, LP	DE	NI A	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV ECI Aggregator LP	DE	NI A	GPP Mezzanine Blocker Holdco E, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco F, LP	DE	NI A	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco H, LP	DE	NI A	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
				İ			GoldPoint Mezzanine Partners Offshore IV,			GoldPoint Mezzanine Partners IV GenPar GP,	1		1		
							L.P	CYM	NI A	LLC	Ownership	100.000	New York Life Insurance Company	N	
				İ			GoldPoint Partners Co-Investment V GenPar GP				1		1		
							LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
				1	1		GoldPoint Partners Co-Investment V GenPar,			GoldPoint Partners Co-Investment V GenPar	1			1 7	l
					l		L.P.	DE	NI A	GP LLC	Ownership.	100.000	New York Life Insurance Company	N	l
										GoldPoint Partners Co-Investment V GenPar,			1		
					0001670563		GoldPoint Partners Co-Investment Fund-A, LP	DE	NI A	L.P.	Ownership	100.000	New York Life Insurance Company	N	
					000 107 0000		dorar office at theirs of three their rullu-A, Li .				νπιοι σιτιρ	100.000	The Tork Life Hisurance company		
)				
					0001562188		GoldPoint Partners Co-Investment V. L.P.	DE	NIA	GoldPoint Partners Co-Investment Fund-A, LF	Ownership	100.000	New York Life Insurance Company	M	

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						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	, ,
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	, ,
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
0000	Croup Hume	0000	Hamboi	ROOD	Oiix	intornational)	GoldPoint Partners Co-Investment V ECI	don	Linky	(Name of Enary) Groom	outer)	lago	Linuty(100)/1 crocii(c)	(1/14)	
							Blocker Holdco B. LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	, ,
							GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI					
							Blocker B, LP	DE	NI A	Blocker Holdco B, LP	Ownership	100.000	. New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI								, ,
							Blocker Holdco C, LP	DE	NI A	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	. New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI					, ,
							Blocker C, LP	DE	NI A	Blocker Holdco C, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI					400.005			,
		-					Blocker Holdco D, LP	DE	NI A	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI	DE	AULA	GoldPoint Partners Co-Investment V ECI	0	100 000	New York Life Leaves One	M	,
		-					Blocker D, LP	DE	NI A	Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	N	
							Blocker Holdco E, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	, ,
							GoldPoint Partners Co-Investment V ECI	UE	NIA	GoldPoint Partners Co-Investment V ECI	Owner Sirrp	100.000			
							Blocker E, LP	DE	NIA	Blocker Holdco E, LP	Ownership.	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI	UL		Diocker Hordeo E, El	Owner strip		Their fork Life modifice company		
							Blocker Holdco F, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	, ,
							GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI			Total Life induitation company		
							Blocker F, LP	DE	NIA	Blocker Holdco F, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI			The state of the s			. ,		, ,
							Blocker Holdco G,LP	DE	NI A	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	. New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI					, ,
							Blocker G,LP	DE	NI A	Blocker Holdco G,LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Private Debt Partners V GenPar GP,								
							LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners FA I, LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager III GenPar GP, LLC	DE	NII A	Out disputation and the	0	100 000	New York Life Insurance Company	N.	, ,
							GoldPoint Partners Select Manager III GenPar,	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							I D	CYM	NI A	GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	, ,
							GoldPoint Partners Select Manager Fund III,	DIWL		GoldPoint Partners Select Manager III	Owner Sirr p				
					0001644721		L.P.	CYM	NIA	GenPar . LP	Ownership	100.000	New York Life Insurance Company	N	, ,
					0001011121		GoldPoint Partners Select Manager Fund III			GoldPoint Partners Select Manager III	owner on p		Tork Erro modrance company		
							AIV, L.P.	DE	NI A	GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager IV GenPar			,	1				
							GP, LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager IV GenPar,			GoldPoint Partners Select Manager IV GenPar					, ,
							L.P	DE	NI A	GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager Fund IV,			GoldPoint Partners Select Manager IV				.	, ,
		-			0001725867		L.P.	DE	NI A	GenPar, L.P	Ownership	100.000	New York Life Insurance Company	N	
		-					GoldPoint Partners Canada III GenPar, Inc	CAN	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager Canada Fund		AU A	0-1-ID-1	0	100 000	New York Life Leaves One		, ,
							Cold Daint Bounces Consider IV Company Inc.	CAN	NI A	GoldPoint Parners Canada III GenPar, Inc	Ownership	100.000	New York Life Insurance Company	N	
		-					GoldPoint Parners Canada IV GenPar Inc GoldPoint Partners Select Manager Canada Fund	CAN	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							IV, L.P.	CAN	NI A	GoldPoint Parners Canada IV GenPar Inc	Ownership	100.000	New York Life Insurance Company	N	, ,
							GoldPoint Partners Co-Investment VI GenPar GP		NI /	COTOR OTHER CATHOLS CAHAGA IV COM AT THE	omioi siii p	100.000	Their fork Life Hisurance company		
							LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	, ,
							GoldPoint Partners Co-Investment VI GenPar,			GoldPoint Partners Co-Investment VI GenPar					
							LP	DE	NI A	GP LLC	Ownership	100.000	New York Life Insurance Company	N	
										GoldPoint Partners Co-Investment VI GenPar,			,		
		.			0001712763		GoldPoint Partners Co-Investment VI LP	DE	NI A	LP	Ownership	100.000	New York Life Insurance Company	N	
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						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
	•					,			,	GoldPoint Partners Co-Investment VI GenPar,		Ŭ	, , , , , ,	1	
							GPP VI - ECI Aggregator LP	DE	NI A	LP	Ownership	100.000	New York Life Insurance Company	N	J
										GoldPoint Partners Co-Investment VI GenPar,	,]		
							GPP VI Blocker A LLC	DE	NI A	LP	Ownership	100.000	New York Life Insurance Company	N	J
										GoldPoint Partners Co-Investment VI GenPar,	,]		
							GPP VI Blocker B LLC	DE	NIA	LP	Ownership.	100.000	New York Life Insurance Company	N	
							GoldPoint Private Credit GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001718352		GoldPoint Private Credit Fund, LP	DE	NIA	GoldPoint Partners LLC	Ownership.	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest GenPar L.P.	DE	NI A	NYLCAP 2010 Co-Invest GenPar GP, LLC	Ownership.	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest L.P.	DE	NI A	NYLCAP 2010 Co-Invest GenPar L.P.	Ownership	_100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest ECI Blocker Holdco B				,	T		1	
							I P	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership.	100.000	New York Life Insurance Company	N	
										NYLCAP 2010 Co-Invest ECI Blocker Holdco B	отпотот р		The roll 2110 modification company		
							NYLCAP 2010 Co-Invest ECI Blocker B L.P	DE	NIA	I P	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest ECI Blocker Holdco E						Total Control Control Company		
							L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	N	
		-								NYLCAP 2010 Co-Invest ECI Blocker Holdco E	отпологир		The roll 2110 modification company		
							NYLCAP 2010 Co-Invest ECI Blocker E L.P	DE	NIA	L.P.	Ownership	100.000	New York Life Insurance Company	N	
		-					Goldpoint Partners Canada GenPar, Inc.	CAN	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
		-					NYLCAP Select Manager Canada Fund, LP	CAN	NI A	NYLCAP Canada GenPar . Inc .	Ownership	100.000	New York Life Insurance Company	N	
		-					NYLCAP Canada II GenPar, Inc.	CAN	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
		-					NYLCAP Select Manager Canada Fund II, L.P	CAN	NI A	NYLCAP Canada II GenPar, Inc.	Ownership		New York Life Insurance Company	N	
		-					NYLIM Mezzanine Partners II GenPar GP, LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
		-								NYLIM Mezzanine Partners II GenPar GP, LLC			Total Control Control Company		
							NYLIM Mezzanine Offshore Partners II, LP	CYM	NI A		Ownership	100.000	New York Life Insurance Company	N	
							meranic original ratios of the control of the contr			NYLIM Mezzanine Partners II GenPar GP, LLC			Total Control Control Company		
							NYLIM Mezzanine Partners II GenPar, LP	DE	NIA		Ownership	100.000	New York Life Insurance Company	N	
							New York Life Investment Management Mezzanine				отпот отграни		Total Control Control Company		
					0001372441		Partners II. LP	DE	NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Mezzanine Partners II Parallel Fund, LP			The mode and the contract of t	отполот р		Total Control Control Company		
					0001387095		THE IN MOLECULAR CONTROL OF THE CONT	DE	NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
		-								NYLIM Mezzanine Partners II Parallel Fund.	отполот р		Total Control Control Company		
				1			NYLIM Mezzanine II Parallel Luxco S.a.r.l	LUX	NIA	LP	Ownership	_100.000	New York Life Insurance Company	N	
										NYLIM Mezzanine II Parallel Luxco S.a.r.l.					
				1			Voice Holdco Ltd.	CAN	NIA		Ownership	27.000	New York Life Insurance Company	N	
		1					NYLCAP Mezzanine Partners III GenPar GP, LLC						1	1	
		1		1				DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
		1								NYLCAP Mezzanine Partners III GenPar GP.			on End marano company]
		1		1			NYLCAP Mezzanine Partners III GenPar, LP	DE	NIA	LLC	Ownership	100.000	New York Life Insurance Company	N N	
							NYLCAP Mezzanine Partners III-K, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership.	100.000	New York Life Insurance Company	N	
					0001482545		NYLCAP Mezzanine Partners III, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership		New York Life Insurance Company	N	
					3301102010		NYLCAP Mezzanine Partners III Parallel Fund,						The roll Elle modified company		
		1		1	0001539552		LP	DE	NI A	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N N	
					330 1000002		NYLCAP Mezzanine Partners III 2012 Co-Invest,						The roll Elle modified company		
		1		1			IP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
		1					NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-	551 5111 p		ork Erro modranoc company		1
		1		1			ECI Blocker Holdco B, LP	DE	NIA	Invest. LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-			ork Erro modranoc company		1
		1		1			ECI Blocker B, LP	DE	NI A	Invest ECI Blocker Holdco B, LP	Ownership	100.000	New York Life Insurance Company	N N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-			The roll Elle modified company	,	
		1		1			ECI Blocker Holdco C, LP	DE	NIA	Invest. LP	Ownership	100.000	New York Life Insurance Company	N N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-	5				
		1		1			ECI Blocker C. LP	DE	NI A	Invest ECI Blocker Holdco C, LP	Ownership	100 000	New York Life Insurance Company	N	
		-								LOI DIOONOI HOTUOU U, LI			or it Erro mourance company		

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						Name of Securities			Relation-		Board,	Owner-		SCA	
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		NAIC				if Publicly Traded	Names of	ciliary	to	5: " 6 : " 11	Attorney-in-Fact,	Provide		Re-	
Group	-	Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
							NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-					
							ECI Blocker Holdco D, LP	DE	NI A	Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-					
							ECI Blocker D, LP	DE	NI A	Invest ECI Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-					
							ECI Blocker Holdco F,LP	DE	NI A	Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest	DE		NYLCAP Mezzanine Partners III 2012 Co-		400 000	N V I I''	.,	
							ECI Blocker F, LP	DE	NIA	Invest ECI Blocker Holdco F,LP	Ownership	100.000	New York Life Insurance Company	N	
					0004400005		100 O O O O O O O O O O O O O O O O O O	0)///	NIA	NYLCAP Mezzanine Partners III GenPar GP,		400 000	N V I I''	.,	
					0001483925		NYLCAP Mezzanine Offshore Partners III, LP	CYM		GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company New York Life Insurance Company	IV	
		-					NYLCAP Select Manager GenPar GP, LLC	DE	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	. N	
					0001441093		NYLCAP Select Manager GenPar, LP NYLCAP Select Manager Fund, LP	DE	NIA NIA	NYLCAP Select Manager GenPar GP, LLC NYLCAP Select Manager GenPar, LP	Ownership	100.000	New York Life Insurance Company	. N	
					000 144 1093		NYLCAP Select Manager Fund, LP NYLCAP Select Manager Cayman Fund, LP	DE	NIANIA	NYLCAP Select Manager GenPar, LP NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		····
							NYLCAP Select Manager II GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N N	
							NYLCAP Select Manager II GenPar GP, LLC	CYM	NIA	NYLCAP Select Manager II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001520743		NYLCAP Select Manager Fund II, L.P.	CYM	NIA	NYLCAP Select Manager II GenPar GP, LP	Ownership	100.000	New York Life Insurance Company	N N	
					0001320743		NYLCAP India Funding LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM-JB Asset Management Co., LLC	MUS	NIA	NYLCAP India Funding LLC	Ownership	24.660	New York Life Insurance Company	N N	3
							New York Life Investment Management India			NILON INGIA FUNGTING ELO	owner strip	24.000	New Tork Life Hisurance company		
					0001356865		Fund 11. LLC	MUS	NI A	NYLIM-JB Asset Management Co., LLC	Ownership	100.000	New York Life Insurance Company	N	
		-			0001000000		New York Life Investment Management India	WOU		New York Life Investment Management India	owner strip		Tork Erre mourance company		
							Fund (FVCI) II, LLC	MUS	NIA	Fund II, LLC	Ownership	100.000	New York Life Insurance Company	N	
		-					NYLCAP India Funding III LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	1
							NYLIM-Jacob Ballas Asset Management Company								
							III. LLC	MUS	NI A	NYLCAP India Funding III LLC	Ownership.	24,660	New York Life Insurance Company	N	4
										NYLIM-Jacob Ballas Asset Management	·				
					0001435025		NYLIM Jacob Ballas India Fund III, LLC	MUS	NI A	Company III, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Jacob Ballas Capital India (FVCI) III,			. ,	·				
							LLC	MUS	NI A	NYLIM Jacob Ballas India Fund III, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Jacob Ballas India (FII) III, LLC	MUS	NI A	NYLIM Jacob Ballas India Fund III, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Evolvence Asset Management, Ltd	CYM	NI A	GoldPoint Partners LLC	Ownership	24.500	New York Life Insurance Company	N	
							EIF Managers Limited	MUS	NI A	Evolvence Asset Management, Ltd	Ownership	58.720	New York Life Insurance Company	N	
		-					EIF Managers II Limited	MUS	NI A	Evolvence Asset Management, Ltd	Ownership	55.000	New York Life Insurance Company	N	
		1								New York Life Investment Management					
		-					NYLCAP Holdings (Mauritius) LLC	MUS	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							Jacob Ballas Capital India PVT, Ltd	MUS	NI A	NYLCAP Holdings (Mauritius) LLC	Ownership	23.300	New York Life Insurance Company	N	
		1								New York Life Investment Management	L			l	
			22-3704242		0001103598		NYLIM Service Company LLC	DE	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
		1					100 W 17 OP 110			New York Life Investment Management	l	46			
							NYL Workforce GP LLC	DE	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
		1	F0 0000005		000440000		N V 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	D=	M* *	New York Life Investment Management	l	400 000	N V 1 1 1 2	ļ.,.	
			52-2206685		0001133639		New York Life Investment Management LLC	DE	NIA	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
		1					New York Life Investment Management Hong Kong		NILA	New York 1 if a lawsed-and Heaven 1 110	0	100.000	New Years Life Lawrence Occur	N.	
							NYLIM Fund II GP, LLC	DE	NIA NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company	N	
		-			0001255227			DE		New York Life Investment Management LLC	Ownership		New York Life Insurance Company New York Life Insurance Company	. N	
					0001355337		NYLIM Real Estate Mezzanine Fund II, LP NYLIM-TND. LLC	DE	NI A NI A	NYLIM Fund II GP, LLC	Ownership	100.000	New York Life Insurance Company New York Life Insurance Company	. N	
		-					WFHG, GP LLC	DE	NIA	NYLIM Real Estate Mezzanine Fund II, LP New York Life Investment Management LLC	Ownership		New York Life Insurance Company	. N	
				4643807	0001406803		Workforce Housing Fund I - 2007, LP	DE	NIA NIA	WFHG, GP LLC	Ownership	50.000	New York Life Insurance Company	. i\L	
		-		4040001	000 1400003		mork to the mousting rund 1 - 2007, LP	VE	NI A	New York Life Investment Management	owner strip		new fork Life Hisurance company	IV	
		1					Index IQ Holdings Inc.	DE	NIA	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
		-					much is notunings inc.	UL	NI 7	New York Life Investment Management	omioi silip		Their Tork Life Hisurance company		
		1	56-2581173		0001364028		IndexIQ, Inc.	DE	NI A	Holdings LLC	Ownership	74 370	New York Life Insurance Company	N	
		-	JU 2001110		OTOTOLO		aon. q, IIIo.	.	·	yo LLV	1 0 VI VIII P		JIK EITO HIOGIAHOO OOMPAHY		

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Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
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			56-2581173		0001364028		IndexIQ, Inc.	DE	NI A	Index IQ Holdings Inc.	Ownership	25.630	New York Life Insurance Company	N	
										New York Life Investment Management					
			02-0811751		0001483922		IndexIQ LLC	DE	NIA	Holdings LLC	Ownership	74.370	New York Life Insurance Company	N	
			02-0811751		0001483922		IndexIQ LLC	DE	NI A	Index IQ Holdings Inc	Ownership	25.630	New York Life Insurance Company	N	
			02-0811753		0001415996		IndexIQ Advisors LLC	DE	NIA	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company	N	
			02 0011100		0001110000		IndexIQ Active ETF Trust	DE							
							INDEXIC ACTIVE EIF ITUST	UE	NI A	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company	N	
										New York Life Insurance and Annuity					
							IQ MacKay Shields Muncpl Insrd ETF	DE	NI A	Corporation	Ownership.	100.000	New York Life Insurance Company	N	
							ra machay officias mulicht filsta ETF	E			omici sitih	100.000	THOW THE LITE HISUIANCE COMPANY	- INL	1
			1	1					1	New York Life Insurance and Annuity			1	1	1
			1	1			IQ MacKay Shields Muncpl Intern ETF	DE	NI A	Corporation	Ownership.	97.000	New York Life Insurance Company	N	1
							IndexIQ ETF Trust	DE	NIA	IndexIQ LLC	Ownership			N	1
												100.000	New York Life Insurance Company		
							IQ 50 Percent Hedged FTSE Europe ETF	DE	NI A	New York Life Insurance Company	Ownership	0.060	New York Life Insurance Company	N	
				1			• • • • • • • • • • • • • • • • • • • •			New York Life Investment Management			1		1
							IQ 50 Percent Hedged FTSE Intl E	DE	NI A	Holdings LLC	Ownership	9.340	New York Life Insurance Company	. N	
							IQ 50 Percent Hedged FTSE Japan ETF	DE	NI A	New York Life Insurance Company	Ownership	0.830	New York Life Insurance Company	N	
							To so I crocitt ricaged I for bapair Eir				Offici Sirip	000.0	Their fork Life insurance company		
										New York Life Investment Management					
							IQ 500 International ETF	DE	NI A	Holdings LLC	Ownership	0.420	New York Life Insurance Company	N	
										New York Life Insurance and Annuity			1		1
							IQ 500 International ETF	DE	NI A	Corporation	Ownership	0.080	New York Life Insurance Company	N	
										New York Life Investment Management	,				
							IQ Chaikin US Large Cap ETF	DE	NI A	Holdings LLC	Ownership	10.820	New York Life Insurance Company	N	
										New York Life Investment Management					
							IQ Chaikin US Small Cap ETF	DE	NIA	Holdings LLC	Ownership	7.350	New York Life Insurance Company	N	
							TU CHATKIH OS SHATT CAP ETF	. VE	NI A		Owner ship	000	New fork Life insurance company	IV	
										New York Life Investment Management					
							IQ Enhanced Core Bond US ETF	DE	NI A	Holdings LLC	Ownership	1.800	New York Life Insurance Company	N	
							To Elinando dolo Bona do En				omici dirip		Their fork Erro mourance company		
										New York Life Investment Management					
							IQ Enhanced Core Plus Bond US ETF	DE	NI A	Holdings LLC	Ownership.	1.930	New York Life Insurance Company	N	
							IQ Global Agribusiness Small Cap ETF	DE	NIA	IndexIQ ETF Trust	Ownership	100.000	New York Life Insurance Company	N.	
							To diobal Agribusiness omali cap Eli				Offici Sirip	100.000	Their fork Life insurance company		
										New York Life Investment Management					
							IQ Global Resources ETF	DE	NIA	Holdings LLC	Ownership	2.970	New York Life Insurance Company	N	
							14 010041 1100041 000 211						The roll 2110 mountains company		
										New York Life Insurance and Annuity					
							IQ Hedge Event-Driven Tracker ETF	DE	NI A	Corporation	Ownership	0.050	New York Life Insurance Company	N	
		1	1	1	1	1		1	1	New York Life Insurance and Annuity	· · · · · · · · · · · · · · · · · · ·	1	1	1	1
			1	1			IO Hadaa Lang/Chart Translate CTC	חר	NIA		O-manah i -	0.050	New Yearly Life Impurement Commencer	A.I	1
							IQ Hedge Long/Short Tracker ETF	DE		Corporation	Ownership	0.050	New York Life Insurance Company	N	
		.1	l	l	l		IQ Hedge Macro Tracker ETF	DE	NI A	IndexIQ ETF Trust	Ownership	100.000	New York Life Insurance Company	N	
							IQ Hedge Market Neutral Tracker ETF	DE	NI A	IndexIQ ETF Trust	Ownership		New York Life Insurance Company	N	1
															1
							IQ Hedge Multi-Strategy Tracker ETF	DE	NI A	IndexIQ ETF Trust	Ownership		New York Life Insurance Company	N	
1				1			IQ Leaders GTAA Tracker ETF	DE	NIA	New York Life Insurance Company	Ownership	0.760	New York Life Insurance Company	N	
			1							IndexIQ ETF Trust				N	1
							IQ Merger Arbitrage ETF	DE	NI A		Ownership		New York Life Insurance Company		
							IQ Real Return ETF	DE	NI A	IndexIQ ETF Trust	Ownership	100.000	New York Life Insurance Company	N	
				1			IQ S&P High Yield Low Volatility Bd ETF	DE	NI A	New York Life Insurance Company	Ownership.	1.250	New York Life Insurance Company	N	1
							TV OCH THE HILLOW VOIG LITTLY DU EIF	UE	NIA		AMILE 9111h	1.200	INCH TOTA LITE HISUTATICE COMPANY	- IVL	1
			1	1					1	New York Life Investment Management				1	1
			1	1			IQ S&P High Yield Low Volatility Bd ETF	DE	NI A	Holdings LLC	Ownership	0.720	New York Life Insurance Company	N	1
										New Years Life Income and April 1	J		1	-	1
			1	1					1	New York Life Insurance and Annuity				1	1
							IQ Short Duration Enhanced Cor Bd US ETF	DE	NI A	Corporation	Ownership	0.060	New York Life Insurance Company	N	
							IQ US Real Estate Small Cap ETF	DE	NI A	IndexIQ ETF Trust	Ownership		New York Life Insurance Company	N	1
								UE			owner surp	100.000	INCW TOLK LITE HISULATICE COMPANY	- IVL	1
				I			New York Life Investment Management Holdings			New York Life Investment Management				1	1
			1	1			International S.á.r.I.	LUX	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	1
													I	-	1
1			1	1			New York Life Investment Management Holdings		1	New York Life Investment Management				1	
		.1	l	1	I	l	II International S.á.r.I.	LUX	NI A	Holdings International S.á.r.I.	Ownership	100.000	New York Life Insurance Company	N	.1
		1							I				I		1
			1	1				l		New York Life Investment Management	1.		1	1	1
							Candriam Group S.á.r.I.	LUX	NI A	Holdings II International S.á.r.l	Ownership	100.000	New York Life Insurance Company	. N	
				1			CGH UK Acquisition Company Limited	GBR	NIA	Candriam Group S.á.r.I.	Ownership		New York Life Insurance Company	N.	1
															1
1		1	1		1	I	Tristan Capital Partners Holdings Limited	GBR	NI A	CGH UK Acquisition Company Limited	Ownership	40.000	New York Life Insurance Company	N	1

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											(Ownership,	is		Is an	1 1
						Name of Constition			Dalatian						1
						Name of Securities			Relation-		Board,	Owner-		SCA	1
						Exchange		Domi-	ship		Management,	ship		Filing	1
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	1 1
Group		Company	ID	Federal		(U.Ś. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	1
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
Ocac	Group Hame	0000	Hambon	TROOP	Ont	international)	Candriam Luxco S.á.r.I.	LUX	NIA	Candriam Group S.á.r.I.	Ownership.	100.000	New York Life Insurance Company		-
							Candriam Luxembourg	LUX	NIA	Candriam Group S.á.r.I.	Ownership	95.060	New York Life Insurance Company	N	
								LUX	NIA		Other	0.000	New York Life Insurance Company	NN.	10
							Candriam Luxembourg		1	Candriam Luxco S.á.r.I.					10
							Candriam Belgium	BEL	NI A	Candriam Luxembourg	Ownership	99.990	New York Life Insurance Company	N	
							Candriam Belgium	BEL	NI A	Candriam Group S.á.r.I.	Ownership	0.010	New York Life Insurance Company	N	{
							Candriam France	FRA	NI A	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	N	
							Candriam Monétaire SICAV	FRA	NI A	Candriam Belgium	Ownership	1.660	New York Life Insurance Company	N	{
							Candriam Monétaire SICAV	FRA	NI A	Candriam France	Ownership	0.980	New York Life Insurance Company	N	
							Candriam Switzerland LLC	CHE	NI A	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	N	
							Belfius Fund	LUX	NI A	Candriam Luxembourg	Board of Directors	0.000	New York Life Insurance Company	N	
		.					BIL Prime Advanced	LUX	NI A	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	N	1
							BIL Prime Advanced Cash + 100	LUX	NI A	Candriam Luxembourg	Ownership.	36.440	New York Life Insurance Company	N	1
							BIL Prime Advanced Cash + 100	LUX	NI A	Candriam Belgium	Ownership	32.510	New York Life Insurance Company	N	1
							BIL Invest	LUX	NI A	Candriam Luxembourg	Ownership	_100.000	New York Life Insurance Company	N	1 1
							Cordius	LUX	NIA	Candriam Luxembourg	Ownership	4.280	New York Life Insurance Company	N	
							Cordius	LUX	NI A	Candriam Belgium	Ownership	1.010	New York Life Insurance Company	N	1
							Cordius	LUX	NI A	Candriam France	Ownership.	1.010	New York Life Insurance Company	N	
							Cordius CIG	LUX	NIA	Candriam Luxembourg	Ownership	68.040	New York Life Insurance Company	N N	
							Cordius CIG	LUX	NI A	Candriam Belgium	Ownership	15.980	New York Life Insurance Company	N	
							Cordius CIG	LUX	NIA	Candriam France				N	1
											Ownership	15.980	New York Life Insurance Company		
							Candriam Absolute Return	LUX	NI A	Candriam Luxembourg	Ownership	D. 150	New York Life Insurance Company	N	{
										New York Life Insurance and Annuity					1
							Candriam Absolute Return	LUX	NI A	Corporation	Ownership	33.620	. New York Life Insurance Company	. N	
							Candriam Absolute Return Long Short Digital								1
							Equity	LUX	NI A	Candriam Luxembourg	Ownership	0.110	New York Life Insurance Company	N	
							Candriam Absolute Return Long Short Digital			New York Life Insurance and Annuity					1
							Equity	LUX	NI A	Corporation	Ownership	61.790	. New York Life Insurance Company	N	1
							Candriam Absolute Return Systematic Long			·					1
							Short Equity	LUX	NI A	Candriam Luxembourg	Ownership	0.200	New York Life Insurance Company	N	1
							Candriam Alternative	LUX	NI A	Candriam Luxembourg	Ownership	5.180	New York Life Insurance Company	N	1
										New York Life Insurance and Annuity					
							Candriam Alternative	LUX	NIA	Corporation	Ownership	11.460	New York Life Insurance Company	N	1
							Candriam Alternative Equity Market Neutral	LUX	NI A.	Candriam Luxembourg	Ownership	21.140	New York Life Insurance Company	N	1
							ound fall Artornative Equity market neather			New York Life Insurance and Annuity	omior on p.		Tork Erro modranos company		
							Candriam Alternative Equity Market Neutral	LUX	NI A	Corporation	Ownership.	48.220	New York Life Insurance Company	N	1
							Candriam Alternative Equity market Neutral	LUX	NIA	Candriam Luxembourg	Ownership	0.210	New York Life Insurance Company	N N	
							Candriam Bonds	LUX	NIA	Candriam Luxembourg	Ownership.	0.050	New York Life Insurance Company	N N	[
							Candriam Bonds	LUX	NIA				New York Life Insurance Company	NN	
										Candriam France	Ownership	0.010			{
							Candriam Bonds Convertible Defensive	LUX		Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam Bonds Convertible Opportunities	LUX		Candriam Luxembourg	Ownership	25.320	New York Life Insurance Company	N	
							Candriam Bonds Credit Opportunities	LUX	NI A	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam Bonds Emerging Debt Local Currencies								1 1
								LUX	NI A	Candriam Luxembourg	Ownership	<u>.</u> .0.100	New York Life Insurance Company	N	
							Candriam Bonds Emerging Markets	LUX	NI A	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
		1					Candriam Bonds Emerging Markets Total Return	1						1 ,	1 1
		.						LUX	NI A	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	1
							Candriam Bonds Euro Corporate	LUX	NI A	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	1
		.					Candriam Bonds Euro Government	LUX	NI A	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	1
							Candriam Bonds Euro High Yield	LUX	NI A	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	1
							Candriam Bonds Euro High Yield	LUX	NI A	Candriam France	Ownership	0.090	New York Life Insurance Company	N]
							Candriam Bonds Euro Short Term	_LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Bonds Global High Yield	LUX	NI A	Candriam Luxembourg	Ownership.	0.080	New York Life Insurance Company	N	
							Candriam Bonds International	LUX	NIA	Candriam Luxembourg	Ownership	0.070	New York Life Insurance Company	N N	
							Candriam Bonds Total Return	LUX	NI A	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Canulian Donus Total Return	LUX	NI A	Canul Lam Luxembourg	Uwiter Strip	V.UZU	.inew fork life insurance company	. IV	

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											of Control	Control			İ
											(Ownership,			Is an	i
												is			i
						Name of Securities			Relation-		Board,	Owner-		SCA	1
						Exchange		Domi-	ship		Management,	ship		Filing	i
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	i
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	i
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
Code	Group Name	Code	Number	KOOD	CIK	internationar)				((1/14)	
							Candriam Business Equities	BEL	NI A	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	N	
							Candriam Equities L	LUX	NI A	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Equities L Emerging Markets	LUX	NI A	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Equities L Europe Conviction	LUX	NI A	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Equities L Europe Small & Mid Caps _	LUX	NI A	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	1
							Candriam Equities L Global Demography	LUX	NI A	Candriam Luxembourg	Ownership.	0.010	New York Life Insurance Company	N	1
							Candriam Equities L Robotics & Innovation				'				1
							Technology	LUX	NI A	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	i
							Candriam Fund	LUX	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	N	
							ourier raili Furiu			New York Life Insurance and Annuity	omici siri p	100.000	THOSE TOTAL ETTO THOUSAIDE COMPANY		
				1		1	Candrian CE	LUX	NI A		Ownership	04 440	New York Life Incom O	N.	į l
							Candriam GF	LUX	NI A	Corporation	Ownership	84.110	New York Life Insurance Company	N	
		1		1						New York Life Insurance and Annuity				1	(l
							Candriam GF Global Equity Opportunities	LUX	NI A	Corporation	Ownership	97.720	New York Life Insurance Company	N	
							Candriam GF Short Duration US High Yield			New York Life Insurance and Annuity					i
							Bonds	LUX	NI A	Corporation	Ownership	50.820	New York Life Insurance Company	N	1
										New York Life Insurance and Annuity	·				i
							Candriam GF U.S. Equity Opportunities	LUX	NIA	Corporation	Ownership	98.110	New York Life Insurance Company	N	i
							canariam ar erer Equity opportunities			New York Life Insurance and Annuity			Total Company		
							Candriam GF US High Yield Corporate Bonds	LUX	NIA	Corporation	Ownership		New York Life Insurance Company	N	i
							Candriam Global Alpha	FRA	NIA	Candriam Luxembourg	Ownership	0.160	New York Life Insurance Company	N	
								FRA	NIA				New York Life Insurance Company	N	
							Candriam Index Arbitrage		1	Candriam Luxembourg	Ownership	0.010			
							Candriam L	LUX	NI A	Candriam Luxembourg	Ownership	0.060	New York Life Insurance Company	N	
										New York Life Insurance and Annuity					i
							Candriam L	LUX	NI A	Corporation	Ownership	1.870	New York Life Insurance Company	N	
							Candriam L Conservative Asset Allocation	LUX	NI A	Candriam Luxembourg	Ownership	0. 160	New York Life Insurance Company	N	
							Candriam L Multi-Asset Income	LUX	NIA	Candriam Luxembourg	Ownership	0.060	New York Life Insurance Company	N	
							Candriam L Multi-Asset Income & Growth	LUX	NI A	Candriam Luxembourg	Ownership.	0.050	New York Life Insurance Company	N	i
										New York Life Insurance and Annuity					
							Candriam L Multi-Asset Premia	LUX	NI A	Corporation	Ownership	74.980	New York Life Insurance Company	N	i
							Candriam Money Market	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Money Market Euro AAA	LUX	NIA	Candriam Luxembourg	Ownership	0.040	New York Life Insurance Company	N	
							Candriam Multi-Strategies	LUX		Candriam Belgium	Ownership	9.410	New York Life Insurance Company	N	
									NI A						
							Candriam Multi-Strategies	LUX	NI A	Candriam France	Ownership	14.420	New York Life Insurance Company	N	
							Candriam Multi-Strategies	LUX	NI A	Candriam Luxembourg	Ownership	33.120	New York Life Insurance Company	N	·
							Candriam Risk Arbitrage	FRA	NI A	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam SRI	LUX	NI A	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	ļ
							Candriam SRI	LUX	NI A	Candriam Belgium	Ownership	0.250	New York Life Insurance Company	N	l
							Candriam SRI Bond Emerging Markets	LUX	NI A	Candriam Luxembourg	Ownership	0.080	New York Life Insurance Company	N	1 1
							Candriam SRI Bond Euro	LUX	NIA	Candriam Luxembourg	Ownership.	0.110	New York Life Insurance Company	N	
							Candriam SRI Bond Euro Corporate	LUX	NIA	Candriam Luxembourg	Ownership	0.040	New York Life Insurance Company	N	
		-					Candriam SRI Bond Global High Yield	LUX	NIA	Candriam Luxembourg	Ownership	0.090	New York Life Insurance Company	N	
							Candriam SRI Defensive Asset Allocation	LUX	NIA	Candriam Belgium	Ownership	5.660	New York Life Insurance Company	N	
									1					N N	
							Candriam SRI Equity Emerging Markets	LUX	NI A	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company		
							Candriam SRI Equity EMU	LUX	NI A	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam SRI Equity Europe	LUX	NI A	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	·
							Candriam SRI Equity North America	LUX	NI A	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam SRI Equity World	LUX	NI A	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam Sustainable	LUX	NI A	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Sustainable Euro Corporate Bonds	LUX	NI A	Candriam Luxembourg	Ownership	0.150	New York Life Insurance Company	N	
				l			Candriam Sustainable Europe	_LUX	NI A	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam Sustainable North America	_LUX	NIA	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	
							Candriam Treasury Management	FRA	NIA	Candriam Luxembourg	Ownership.		New York Life Insurance Company	N N	
								LUX						N N	
							Candriam World Alternative	LUX	NIA	Candriam Luxembourg	Ownership	0.080	New York Life Insurance Company	N	1

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											Type	If		-	
											of Control	Control			
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filina	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
0			ın	Fadanal			Parent, Subsidiaries			Discotto Constantla di la c			Lillian ata Cantanillian		
Group		Company	ID.	Federal		(U.S. or		Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
										New York Life Insurance and Annuity					
							Candriam World Alternative	LUX	NI A	Corporation	Ownership	12.200	New York Life Insurance Company	N	
										New York Life Insurance and Annuity	·				
							Candriam World Alternative Alphamax	LUX	NIA	Corporation	Ownership	17.440	New York Life Insurance Company	N	
		-					Candriam World Alternative Alphamax	LUX	NIA	Candriam Luxembourg	Ownership	0.110	New York Life Insurance Company	N	
							Cleome Index	LUX	NI A	Candriam France	Ownership	0.010	New York Life Insurance Company	N	
							Cleome Index EMU Equities	LUX	NIA	Candriam France	Ownership	0.050	New York Life Insurance Company	N	
									1						
							Cleome Index World Equities	LUX	NI A	Candriam France	Ownership	0.040	New York Life Insurance Company	N	
										New York Life Investment Management					
				-			Ausbil Investment Management Limited	AUS	NI A	Holdings II International S.á.r.l.	Ownership	79.250	New York Life Insurance Company	N	
				.			Ausbil Australia Pty. Ltd.	AUS	NI A	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Asset Management Pty. Ltd	AUS	NI A	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Focus Investment Company Limited	AUS	NI A	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	N	J
							Ausbil Global Infrastructure Pty. Limited	AUS	NI A	Ausbil Investment Management Limited	Ownership.		New York Life Insurance Company	N	1
							Ausbil Global Infrastructure Pty. Limited	AUS	NI A.	Ausbil Investment Management Limited	Ownership	45.000	New York Life Insurance Company	N	13
							Ausbil Investment Management Limited Employee			Adabit investment management Enimited	Owner strip		Their fork Effe modifiance company		10
									NI A	Ausbil Investment Management Limited	Ownership	100.000	New Yearly Life Incurence Commence	N	
							Share Trust	AUS	NI A				New York Life Insurance Company		
							ISPT Holding	AUS	NI A	Ausbil Investment Management Limited	Ownership	0.370	New York Life Insurance Company	N	
										Ausbil Investment Management Limited					
							Ausbil 130/30 Focus Fund	AUS	NI A	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	12
										Ausbil Investment Management Limited					
							Ausbil Dividend Income Fund	AUS	NI A	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
										New York Life Insurance and Annuity	·				
							Ausbil Dividend Income Fund	AUS	NI A	Corporation	Ownership	99.660	New York Life Insurance Company	N	
							Tradition of the state of the s			New York Life Insurance and Annuity			The fork Erro modrane company		
							Ausbil Active Sustainable Equity Fund	AUS	NI A	Corporation	Ownership	65.910	New York Life Insurance Company	N	
							Ausbit Active oustamable Equity Fund			Ausbil Investment Management Limited	- Owner simp		New Tork Life Hisurance company		
								4110				400.000	N V I I''		
							Ausbil Australian Active Equity Fund	AUS	NI A	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
										Ausbil Investment Management Limited					
							Ausbil Australian Concentrated Equity Fund	AUS	NI A	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
										Ausbil Investment Management Limited					
							Ausbil Australian Emerging Leaders Fund	AUS	NI A	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
										Ausbil Investment Management Limited	·				
							Ausbil Australian Geared Equity Fund	AUS	NIA	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
										Ausbil Investment Management Limited					1
		1		1			Ausbil Australian Smallcap Fund	AUS	NI A	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
		-		-			nuovii nuotiaiiaii oliaiiteapitulu			Ausbil Investment Management Limited	Omici Silip		. Incir fork Life Hisurance company		
		1		1			Aughit Deleased Found	4110	NII A		0	100.000	New Years Life January Com	N	
		-		-			Ausbil Balanced Fund	AUS	NI A	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
										Ausbil Investment Management Limited	L		I	l	
		-		-			Ausbil EGS Focus Fund	AUS	NI A	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	·
										Ausbil Investment Management Limited					
				.			Ausbil Global Essential Infrastructure Fund	AUS	NI A	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
		1		1						Ausbil Investment Management Limited			1		
L		.1		.	1	l	Ausbil Global Resources Fund	AUS	NI A	Employee Share Trust	Ownership	99.570	New York Life Insurance Company	N	J
										Ausbil Investment Management Limited	1				
							Ausbil Global SmallCap Fund	AUS	NIA	Employee Share Trust	Ownership	74.040	New York Life Insurance Company	N	
							Adopti diobat dilativap tuliu			New York Life Insurance and Annuity	Omnor on p.	070.7	Their fork Erre modranee company		1
							Ausbill Microcap Fund	AUS	NIA	Corporation	Ownership	21.030	New York Life Insurance Company	N	
				-			AUSDITT MICTOCAP FUND		INI A		. Ownership	∠1.030	. INEW TOTA LITE TRISUTATION COMPANY	NL	
							W K 01:11 H 4 : 10 15 :	4110		Ausbil Investment Management Limited	l	07.000	In v		
				-			MacKay Shields Unconstrained Bond Fund	AUS	NI A	Employee Share Trust	Ownership	97.920	New York Life Insurance Company	N	
		1		1				1		New York Life Insurance and Annuity			1		
				.			Ausbil IT - Ausbil Microcap Fund	AUS	NI A	Corporation	Ownership	19.650	New York Life Insurance Company	N	
		1		1			Ausbil IT - Candriam Sustainable Global			New York Life Insurance and Annuity			1		
				.	1		Equity Fund	AUS	NI A	Corporation	Ownership	100.000	New York Life Insurance Company	N	J

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											(Ownership,	is		ls an	
						Name of Constition			Deletion			-			
						Name of Securities		D:	Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
_		NAIC				if Publicly Traded	Names of	ciliary	_ to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
										New York Life Investment Management				ļ ļ	
			56-2412827		0000914898		NYLIFE Distributors LLC	DE	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
										New York Life Investment Management					
			54-1886751		0001065114		Private Advisors LLC	DE	NI A	Holdings LLC	Ownership	67.590	New York Life Insurance Company	. N	
			45-2572635				PACIF Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	. N	
			45-2591793				PACIF Carry, LLC	DE	NI A	PACIF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	. N	
							PACIF GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	. N	
			20-4877177		0001368975		Private Advisors Coinvestment Fund, LP	DE	NI A	PACIF GP, LLC	Ownership	100.000	New York Life Insurance Company	. N	
		-	00 4000477				PACIF II GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	{
			26-1662477		0001489910		Private Advisors Coinvestment Fund II, LP	DE	NI A	PACIF II GP, LLC	Ownership	100.000	New York Life Insurance Company	. N N	
			45-2591588				PACIF II Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	·····
			45-2591860				PACIF II Carry, LLC	DE	NI A	PACIF II Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company New York Life Insurance Company	N	
			46-1360141		0001562375		Private Advisors Coinvestment Fund III, LP	DE	NIA	PACIF III GP. LLC	Ownership	100.000	New York Life Insurance Company	N. N.	
			46-2548534		0001302373		PACIF III Carry Parent, LLC	DE	NIA	Private Advisors LLC	Owner ship	100.000	New York Life Insurance Company	N. N.	
			80-0916710				PACIF III Carry, LLC	DE	NIA	PACIF III Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			00-0910710				PACIF IV GP. LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	NN	
			47-4247870		0001646588		Private Advisors Coinvestment Fund IV, LP	DE	NIA	PACIF IV GP. LLC	Ownership	100.000	New York Life Insurance Company	. NN	
			41-4241010		000 1040300		PACIF IV Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	NN	
			47-4489053				PACIF IV Carry, LLC	DE	NIA	PACIF IV Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N N	
			03-0532213		0001322607		PA Hedged Equity Fund, L.P.	DE	NIA	Private Advisors LLC	Ownership		New York Life Insurance Company	N	
			. 00-0002210		0001022007		Private Advisors Hedged Equity Fund (QP),	UL	NI /	TITIVATE AUVISOIS LLC	Owner Sirrp		New Tork Life Hisurance company		
			54-2051483		0001459910		I P	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			01 2001100		0001100010					Private Advisors Hedged Equity Fund (QP),	owner or p		Their fork Erro mourance company		
			20-5904617				Private Advisors Hedged Equity Master Fund	DE	NI A	L.P.	Other	0.000	New York Life Insurance Company	N	8
			20 000 10 11				PASCBF III GP. LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Private Advisors Small Company Buyout Fund								
			20-4838202		0001374891		III. LP	DE	NIA	PASCBF III GP, LLC	Ownership	100,000	New York Life Insurance Company	N	
							PASCBF IV GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N]
							Private Advisors Small Company Buyout Fund				· ·		. ,		
			26-1662399		0001442524		IV, LP	DE	NI A	PASCBF IV GP, LLC	Ownership.	100.000	New York Life Insurance Company	N	
			45-2573409				PASCBF IV Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-2591925				PASCBF IV Carry, LLC	DE	NI A	PASCBF IV Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCBF V GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	ļ
							Private Advisors Small Company Buyout Fund							1	
			45-4078336		0001537995		V, LP	DE	NI A	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company	. N	
			l	I	1		Private Advisors Small Company Buyout V -	1						1	
		.	46-1799496		0001576987		ERISA Fund, LP	DE	NI A	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-2714292				PASCBF V Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	. N	
		-	35-2476750				PASCBF V Carry, LLC	DE	NI A	PASBF V Carry Parent, LLC	Ownership		New York Life Insurance Company	N	·····
							PASCPEF VI Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership		New York Life Insurance Company	N	
		-	47-4523581				PASCPEF VI Carry, LLC	DE	NI A	PASCPEF VI Carry Parent, LLC	Ownership		New York Life Insurance Company	N	ł
							PASCPEF VI GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			40, 400,4000		0004505000		Private Advisors Small Company Private	חר	NII A	DAGGET VI OD 110	0	100.000	New Years Life Lawrence Come		
			46-4301623		0001595889		Equity Fund VI, LP	DE	NI A	PASCPEF VI GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			00 1000000	I	0001635054		Private Advisors Small Company Private	CVI	NII A	DASCREE VI CD. LLC	O-marah i	100.000	New Yearly Life Incurred Comment	A.I	
			98-1223903		0001635254		Equity Fund VI (Cayman), LP	CYM	NI A	PASCPEF VI GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCPEF VII GP, LLCPrivate Advisors Small Company Private	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5430553		0001657189			DE	NIA	PASCPEF VII GP, LLC	Ownership	100.000	New York Life Incomes Comes	N.	
			41-0430003		000 1007 189		Equity Fund VII, LPPrivate Advisors Small Company Private	UE	INI A	PROOFER VII UP, LLU	owner strip	100.000	New York Life Insurance Company	NL	
			98-1286549	I	0001711424		Equity Fund VII (Cayman), LP	CYM	NIA	PASCPEF VII GP. LLC	Ownership	100.000	New York Life Insurance Company	M	
			50-1200049		0001/11424		PASCPEF VII Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership		New York Life Insurance Company	NI	
			47-5442078				PASCPEF VII Carry, LLC	DE	NIA	PASCPEF VII Carry Parent LLC	Ownership		New York Life Insurance Company	NI	
			TI -0442010				ILUOVILI TIL VALLY, LLV	UL	INI /	I nouse it it oaily laidil LLO	- I omioi sitty	100.000	The lork Life Hourance company	.	4

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											of Control	Control			
											(Ownership,	is		Is an	
						Name of Securities			Deletion					SCA	
									Relation-		Board,	Owner-			
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
Code	Group Name	Code	Number	KSSD	CIK	international)								(1/IN)	+
							PASCPEF VIII GP LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Private Advisors Small Company Private								
			82-2059912				Equity Fund VIII, LP	DE	NI A	PASCPEF VIII GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							Private Advisors Small Company Private								
			82-2042371		0001711426		Equity Fund VIII (Cayman), LP	DE	NI A	PASCPEF VIII GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			1				Cuyahoga Capital Partners Management				,	T			1
			47-0948254	3328161			Group, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			. 47 0040204				uroup, LEO			Cuyahoga Capital Partners I Management	owner strip	100.000	New York Erre mourance company		
			47-0948256	3328170			Owner on On Hall Bankson I. I. B.	DE	ALL A	Group, LLC	Other	0.000	New York Life Insurance Company	NI NI	
			47-0948236	33281/0			Cuyahoga Capital Partners I, L.P.	VE	NI A	Group, LLC	Utner	0.000	New York Life Insurance Company	N	8
				l		1	Cuyahoga Capital Partners II Management			L		1	L	l	1
			71-1014616	3594658			Group LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	. N	
						1				Cuyahoga Capital Partners II Management		1			1
			71-1014620	3594676			Cuyahoga Capital Partners II LP	DE	NI A	Group LLC	Other	0.000	New York Life Insurance Company	N	8
							Cuyahoga Capital Partners III Management								
			26-1989297	3729106			Group LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			. 20 1000201	0720100			di dap LEO			Cuyahoga Capital Partners III Management	owner strip	100.000	Their fork Effe modifiance company		
			26-1989419	0700445			Owner on On the Denter of LLL ID	DE	NIA	Group LLC	Other	0.000	No. Vools Life Loomana Orange	NI.	8
			. 26-1989419	3729115			Cuyahoga Capital Partners III LP	UE	NI A	Group LLC	Utner	0.000	New York Life Insurance Company	N	8
							Cuyahoga Capital Partners IV Management								
			26-4331000				Group LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
										Cuyahoga Capital Partners IV Management					
			26-4331219		0001514824		Cuyahoga Capital Partners IV LP	DE	NI A	Group LLC	Other	0.000	New York Life Insurance Company	N	8
							Cuyahoga Capital Emerging Buyout Partners			•			. ,		
			26-3698069	3835342			Management Group LLC	DE	NI A	Private Advisors LLC	Ownership	100 000	New York Life Insurance Company	N	
							Cuyahoga Capital Emerging Buyout Partners LP			Cuyahoga Capital Emerging Buyout Partners	owner on p		Now York Erro mourance company		
			26-3698209	3835351			ouyanoga capitai Lileiging buyout i ai theis Li	DE	NI A	Management Group LLC	0ther	0.000	New York Life Insurance Company	N	8
			. 20-3098209	. 3833331			DI D I I I I I I I I I I I I I I I I I								8
							PA Real Assets Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4479441				PA Real Assets Carry, LLC	DE	NI A	PA Real Assets Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Emerging Manager Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Emerging Manager Carry, LLC	DE	NI A	PA Emerging Manager Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							RIC I GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4146929				Richmond Coinvestment Partners I, LP	DE	NI A	RIC I GP. LLC	Ownership	100.000	New York Life Insurance Company	N	1
		-					RIC I Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4511149				RIC I Carry, LLC	DE	NIA	RIC I Carry Parent, LLC	Owner ship.	100.000	New York Life Insurance Company	N	1
			8411164				PASE V GP. LLC	DE					New York Life Insurance Company	N N	1
		-	47 4050440		0004040500				NIA	Private Advisors LLC	Ownership	100.000			
			47-4252449		0001646590		Private Advisors Secondary Fund V, LP	DE	NI A	PASF V GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.					PASF V Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4498336				PASF V Carry, LLC	DE	NI A	PASF V Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.					PARAF GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	.]
			47-5323045		0001656546		Private Advisors Real Assets Fund, LP	DE	NI A	PARAF GP. LLC	Ownership	100.000	New York Life Insurance Company	N	1
							PARAF Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	1
		1	47-5392508				PARAF Carry, LLC	DE	NIA	PARAF Carry Parent, LLC	Ownership.	100.000	New York Life Insurance Company	N	1
			-1-0092000					DE						NN	1
			-				PASCCIF GP, LLC	UE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	IV	
					1	1	Private Advisors Small Company Coinvestment			L	L		L	1	1
			47-5230804	.	0001660017		Fund, LP	DE	NI A	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
						1	Private Advisors Small Company Coinvestment	1				1			1
			81-4614299	.	0001691962		Fund ERISA, LP	DE	NI A	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
]		I		PASCCIF Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	.]
			47-5472308				PASCCIF Carry, LLC	DE	NI A	PASCCIF Carry Parent, LLC	Ownership		New York Life Insurance Company	N	1
			82-3120890				PARAF II GP LLC	DE	NI A	Private Advisors LLC	Ownership		New York Life Insurance Company	N	1
					0001701164				NIA	PARAF II GP LLC			New York Life Insurance Company	NN	
			. 82-3541209		0001721164		Private Advisors Real Assets Fund II LP	DE			Ownership	100.000	. INEW TOTK LITE INSURANCE COMPANY		
					0001223706		Private Advisors Hedged Equity Fund, Ltd	CYM	NI A	Private Advisors LLC	Other	0.000	New York Life Insurance Company	N	8
						1	Private Advisors Hedged Equity Fund (QP),					1			1
			20-1079864	1	0001459910	1	11 td	CYM	NI A	Private Advisors LLC	Other	0.000	New York Life Insurance Company	I M	1 8

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						Name of Securities			Relation-		Board,	Owner-		SCA	1
						Exchange		Domi-	ship		Management,	ship		Filina	1
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	1
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Group		Company		Federal	0114	(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	_ '
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
							Private Advisors Hedged Equity Master Fund,			Private Advisors Hedged Equity Fund (QP),					1
			98-0626772				Ltd.	CYM	NI A	Ltd.	Other	0.000	New York Life Insurance Company	N	8
							Washington Pike GP, LLC	. DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Washington Pike, LP	DE	NI A	Washington Pike GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PAMMF GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			83-1689912		0001762448		PA Middle Market Fund, LP	DE	NI A	PAMMF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Strategic Opportunities Fund GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	!
							PA Strategic Opportunities Fund, LP	DE	NI A	PA Strategic Opportunities Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	N	!
							UVF GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	!
			46-5163324		0001605509		Undiscovered Value Fund, LP	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
		.	98-1164867		0001605502		Undiscovered Value Fund, Ltd.	CYM	NI A	Private Advisors LLC	Other	0.000	New York Life Insurance Company	N	8
			98-1164329				Undiscovered Value Master Fund SPC	CYM	NI A	Undiscovered Value Fund, Ltd	Other	0.000	New York Life Insurance Company	N	8
										New York Life Investment Management					1
			81-5158468				NYLIM Alternatives LLC	DE	NI A	Holdings LLC	Ownership.	100.000	New York Life Insurance Company	N	!
				3663273			Huntsville NYL LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	.]!
							CC Acquisitions, LP	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership.	100.000	New York Life Insurance Company	N	J!
							REEP-IND Cedar Farms TN LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N]
							Cedar Farms JV LLC	DE	NI A	REEP-IND Cedar Farms TN LLC	Ownership.	90.000	New York Life Insurance Company	N	1
			61-1724274				REEP-IND Continental NC LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	_100.000	New York Life Insurance Company	N	
			46-3561738				LRC-Patriot, LLC	DE	NIA	REEP-IND Continental NC LLC	Ownership.	93.000	New York Life Insurance Company	N	
			35-2496884				REEP-LRC Industrial LLC	DE	NIA	LRC-Patriot, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Forest Park NJ LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	1
							FP Building 4 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	1
							FP Building 1-2-3 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	1
							FP Building 17, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	1
							FP Building 20, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	1
							FP Mantua Grove LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N N	1
							FP Lot 1.01 LLC	DE	NI A	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND NJ LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N N	1
							NJIND JV LLC	DE	NIA	REEP-IND NJ LLC	Ownership	93.000	New York Life Insurance Company	N	
							NJIND Hook Road LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N N	
							NJIND Bay Avenue LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N N	
							NJIND Corbin Street LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N N	
							REEP-MF Cumberland TN LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Cumberland Apartments, LLC		NIA	REEP-MF Cumberland TN LLC	Ownership.	100.000	New York Life Insurance Company	N	
							REEP-MF Enclave TX LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N N	
							Enclave CAF LLC	DE	NIA	REEP-MF Enclave TX LLC	Ownership.	100.000	New York Life Insurance Company	N N	
							REEP-MF Marina Landing WA LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	IV	
			1				REEP-SP Marina Landing UA LLC	. DE	NIA	REEP-MF Marina Landing WA LLC	Ownership	98.000	New York Life Insurance Company	N N	
		-					REEP-MF Mira Loma II TX LLC		NIA						
		-	-					DE		NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N N	
		-					Mira Loma II, LLC		NI A	REEP-MF Mira Loma II TX LLC	Ownership		New York Life Insurance Company	. N N	
		-					REEP-MF Summitt Ridge CO LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							Summitt Ridge Apartments, LLC	DE	NI A	REEP-MF Summitt Ridge CO LLC	Ownership	100.000	New York Life Insurance Company	N	
		-					REEP-MF Woodridge IL LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	l
		-					REEP-OF Centerpointe VA LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
		-	-				Centerpointe Fairfax Holdings LLC	DE	NI A	REEP-OF Centerpointe VA LLC	Ownership	50.000	New York Life Insurance Company	N	
							REEP-OFC 575 Lex NY LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		-					REEP-OFC 575 Lex NY GP LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	ļl
							Maple REEP-OFC 575 Lex Holdings LP	DE	NI A	REEP-OFC 575 Lex NY GP LLC	Ownership	50.000	New York Life Insurance Company	N	
		-	-				Maple REEP-OFC 575 Lex Owner LLC	DE	NI A	REEP-OFC 575 Lex NY GP LLC	Ownership	50.000	New York Life Insurance Company	N	ļ
							REEP-RTL SASI GA LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-RTL Bradford PA LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		.					REEP-OFC Royal Centre GA LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		1	1	1	1		Roval Centre, LLC	DE	NI A	REEP-OFC Royal Centre GA LLC	Ownership	90.000	New York Life Insurance Company	N	1

SCHEDULE Y

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1	2	3	4	5	б	/	8	9	10	11	_12	13	14	15	16
											Туре	lf			
											of Control	Control			
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board.	Owner-		SCA	
						Exchange		Domi-	ship		Management.	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent. Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence.	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
Code	Group Name	Code	Number	KOOD	CIK	international)	REEP-RTL CTC NY LLC		,				New York Life Insurance Company	(1/14)	
								DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000		N	
							REEP-OFC 5005 LBJ Freeway TX LLC	DE		NYLife Real Estate Holdings, LLC	Ownership		New York Life Insurance Company	N	
							5005 LBJ Tower LLC	DE		REEP-OFC 5005 LBJ Freeway TX LLC	Ownership		New York Life Insurance Company	N	
							REEP-MF SPENCER NV LLC	DE		NYLife Real Estate Holdings, LLC	Ownership		New York Life Insurance Company	N	
							REEP-HZ SPENCER JV LLC	DE		REEP-MF SPENCER NV LLC	Ownership		New York Life Insurance Company	N	
							REEP-HZ SPENCER LLC	DE		REEP-HZ SPENCER JV LLC	Ownership		New York Life Insurance Company	N	
							REEP-OFC/RTL MARKET ROSS TX LLC	DE			Ownership		New York Life Insurance Company	N	
			37-1842612				MARKET ROSS TX JV LLC	DE		REEP-OFC/RTL MARKET ROSS TX LLC	Ownership		New York Life Insurance Company	N	
			61-1808552				MARKET ROSS TX GARAGE OWNER LC	DE		REEP-OFC/RTL MARKET ROSS TX LLC	Ownership		New York Life Insurance Company	N	
			36-4852864				MARKET ROSS TX OFFICE OWNER LLC	DE		REEP-OFC/RTL MARKET ROSS TX LLC	Ownership		New York Life Insurance Company	N	
			32-0511592				MARKET ROSS TX RETAIL OWNER LLC	DE		REEP-OFC/RTL MARKET ROSS TX LLC	Ownership		New York Life Insurance Company	N	
							REEP-OFC Mallory TN LLC	DE		NYLife Real Estate Holdings, LLC	Ownership		New York Life Insurance Company	N	
							3665 Mallory JV LLC	DE		REEP-OFC Mallory TN LLC	Owner sh i p		New York Life Insurance Company	N	
							REEP-OFC Water Ridge NC LLC	DE		NYLife Real Estate Holdings, LLC	Ownership		New York Life Insurance Company	N	
							REEP-OFC Viridian AZ LLC	DE		NYLife Real Estate Holdings, LLC	Ownership		New York Life Insurance Company	N	
							REEP-Hines Viridian JV LLC	DE		REEP-OFC Viridian AZ LLC	Ownership		New York Life Insurance Company	N	
							REEP-OFC 2300 EMPIRE LLC	DE		NYLife Real Estate Holdings, LLC	Ownership		New York Life Insurance Company	N	
							REEP-MF Wynnewood PA LLC	DE		NYLife Real Estate Holdings, LLC	Ownership		New York Life Insurance Company	N	
			30-1018932				Wynnewood JV LLC	DE		REEP-MF Wynnewood PA LLC	Ownership		New York Life Insurance Company	N	
							REEP-MU Fayetteville NC LLC	DE		NYLife Real Estate Holdings, LLC	Ownership		New York Life Insurance Company	N	
							501 Fayetteville JV LLC	DE		REEP-MU Fayetteville NC LLC	Ownership		New York Life Insurance Company	N	
							501 Fayetteville Owner LLC	DE		501 Fayetteville JV LLC	Ownership		New York Life Insurance Company	N	
							REEP-MU SOUTH GRAHAM NC LLC	DE		NYLife Real Estate Holdings, LLC	Ownership		New York Life Insurance Company	N	
							401 SOUTH GRAHAM JV LLC	DE		REEP-MU SOUTH GRAHAM NC LLC	Ownership		New York Life Insurance Company	N	
							401 SOUTH GRAHAM OWNER LLC	DE	NI A	401 SOUTH GRAHAM JC LLC	Ownership	100.000	New York Life Insurance Company	N	
											•				

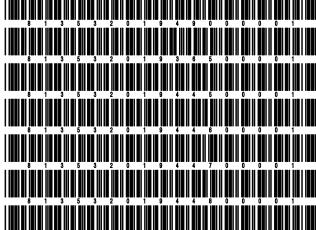
Asterisk	Explanation
1	Contractual Client - Madison Capital Funding LLC, an indirect wholly owned affiliate of the Company, has contractual control of this entity's loan portfolio.
2	Control of this entity is pursuant to an investment management contract with New York Life Investment Management Holdings LLC or affiliate, not through ownership of voting interests.
	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding LLC owns 36% of non-voting carry shares.
4	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding III LLC owns 31.36% of non-voting carry shares.
5	Investment Pool - Investment pool of leveraged loans managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
6	Reliance Relationship - Entire proceeds of the entity are invested in a funding agreement of the Company.
7	Energy Contracts and Aircraft Loans Investments - with 100% of the investments coming from the Company and its wholly owned affiliate New York Life Insurance and Annuity Corporation.
	Control of this entity is pursuant to an investment management contract with Private Advisors LLC, or affiliate, not through ownership of voting interests.
9	Investment Pool - Investment pool of mixed assets managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
10	One share held by Candriam Luxco S.á.r.I.
11	Control of this entity is pursuant to a management contract with NYL Investors LLC.
12	Ausbil Investment Management Limited has sole authority over the management of the fund.
13	45% of Ausbil Global Infrastructure Pty. Limited is owned by employees of the company.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO NO
3.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO NO
4.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8.	Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A
	Explanation:	
1.		
2.		
3.		
4.		
5.		
6.		
7.		
	Bar Code:	
1.	Trusteed Surplus Statement [Document Identifier 490]	
2.	Medicare Part D Coverage Supplement [Document Identifier 365]	
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- Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
- 4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
- Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
- 6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
- 7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



STATEMENT AS OF MARCH 31, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A - VERIFICATION

Real Estate

		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted rying		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	Mongage Loans		
		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and mortgage in lest plant and mitmen ses		
9.	Total foreign exchange change in book value/receded involument exchange accrued interest		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	147,271,235	146,403,003
2.	Cost of bonds and stocks acquired	2,546,976	18,086,538
3.	Accrual of discount	21,504	
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals	(10,813)	11,349
6.	Deduct consideration for bonds and stocks disposed of	2,255,753	17,067,271
7.	Deduct amortization of premium	56,712	240,126
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		26,600
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees		21,704
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	147,516,437	147,271,235
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)	147,516,437	147,271,235

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

-	ig the current Quarter to	r all Bonds and Prefe			_	2	_	
	Book/Adjusted	2	3	4	5 Book/Adjusted	6 Book/Adjusted	7 Book/Adjusted	8 Book/Adjusted
	Carrying Value	Acquisitions	Dispositions	Non-Trading Activity	Carrying Value	Carrying Value	Carrying Value	Carrying Value
	Beginning	During	During	During	End of	End of	End of	December 31
NAIC Designation	of Current Quarter	Current Quarter	Current Quarter	Current Quarter	First Quarter	Second Quarter	Third Quarter	Prior Year
BONDS								
BONDO								
1. NAIC 1 (a)	138,741,957	24,471,289	20,577,851	756 , 133	143,391,528			138,741,957
2. NAIC 2 (a)			512,548	(756,507)	11,867,348			13, 136, 403
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	151,878,360	24,471,289	21,090,399	(374)	155,258,876			151,878,360
DDEFEDDED CTOOK								
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds and Preferred Stock	151,878,360	24,471,289	21,090,399	(374)	155,258,876			151,878,360

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a) DOUN/AU	usicu Can	yiiiy vai	ide coldiiii id	n the end of	the current	eporting	penou in	ciudes tile	IOHOWITIC	j allioulit o	1 311011-16111	i aliu casii e	quivaiciii	ים פטווטם	INAICU	iesiyilalibil

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	3,428,082	XXX	3,423,782		

SCHEDULE DA - VERIFICATION

Short-Term Investments

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of short-term investments acquired	3,423,782	
3.	Accrual of discount	4,300	
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals		
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	3,428,082	
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	3,428,082	

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards NONE

Schedule DB - Part B - Verification - Futures Contracts

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open **NONE**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open **NONE**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

NONE

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	(Oddii Equivalento)	1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	4,666,728	4,938,805
2.	Cost of cash equivalents acquired	27,490,222	135,054,277
3.	Accrual of discount	30,538	122,321
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals	37	295
6.	Deduct consideration received on disposals	27,664,164	135,448,970
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	4,523,361	4,666,728
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	4,523,361	4,666,728