



# QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2019

OF THE CONDITION AND AFFAIRS OF THE

# NYLIFE Insurance Company of Arizona

NAIC Group Code 0826, 0826 NAIC Company Code 81353 Employer's ID No. 52-1530175  
(Current Period) (Prior Period)

Organized under the Laws of Arizona, State of Domicile or Port of Entry AZ,

Country of Domicile United States of America

INCORPORATED/ORGANIZED JULY 23, 1987

COMMENCED BUSINESS DECEMBER 30, 1987

Statutory Home Office .....	14850 N. Scottsdale Road, Suite 400, Scottsdale, AZ, U.S. 85254..
Main Administrative Office.....	51 Madison Avenue, New York, NY, U.S. 10010.....
.....	212-576-7000.....
Mail Address .....	51 Madison Avenue, New York, NY, U.S. 10010.....
Primary Location of Books and Records .....	51 Madison Avenue, New York, NY, U.S. 10010.....
.....	212-576-7000.....
Internet Website address.....	www.newyorklife.com.....
Statutory Statement Contact Person and Phone Number....	Peter Decandia.....201-942-8290.....
Statutory Statement Contact E-Mail Address .....	statement_contact@newyorklife.com .....
Statutory Statement Contact Fax Number .....	201-942-2158.....

## EXECUTIVE OFFICERS

### ALEXANDER IBBITSON MUNRO COOK

*Chairman of the Board,  
President and Chief Executive Officer*

### THOMAS FRANCIS ENGLISH

*Senior Vice President  
and Chief Legal Officer*

### ARTHUR HARUTYUN SETER

*Senior Vice President  
and Chief Investment Officer*

### MARY TERESA HALLAHAN

*Vice President  
and Treasurer*

### PETER JOSEPH DECANDIA

*Corporate Vice President  
and Controller*

### BARBARA JOAN MCINERNEY

*Senior Vice President  
and Chief Compliance Officer*

### JOEL MARTIN STEINBERG #

*Senior Vice President, Chief Risk Officer  
and Interim Chief Financial Officer*

### STEPHEN JOHN MCNAMARA

*Vice President  
and Actuary*

### COLLEEN ANNE MEADE

*Associate Legal Officer  
and Secretary*

## DIRECTORS

ALEXANDER IBBITSON MUNRO COOK

ROBERT MICHAEL GARDNER

JODI LYNN KRAVITZ

ERIC JEFFREY LYNN

STEPHEN JOHN MCNAMARA

State of New York }  
County of New York } SS

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures Manual* except to the extent that: (1) state law may differ; or, (2) state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

COLLEEN ANNE MEADE Associate Legal Officer and Secretary	MARY TERESA HALLAHAN Vice President and Treasurer	PETER DECANDIA Corporate Vice President and Controller

STEPHEN COSTANZA  
NOTARY PUBLIC-STATE OF NEW YORK

No. 01CO6327901

Qualified in Westchester County

My Commission Expires 07-20-2023

Subscribed and sworn to before me this

11 day of November 2019

# Officers and Directors who did not occupy the indicated position in the previous annual statement

a. Is this an original filing? Yes [ X ] No [ ]

b. If no: 1. State the amendment number ...  
2. Date filed ...  
3. Number of pages attached ...

## STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	148,729,247		148,729,247	147,271,235
2. Stocks:				
2.1 Preferred stocks .....				
2.2 Common stocks .....				
3. Mortgage loans on real estate:				
3.1 First liens .....				
3.2 Other than first liens .....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....				
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....				
4.3 Properties held for sale (less \$ encumbrances) .....				
5. Cash (\$ .....(205,650) ), cash equivalents (\$ .....3,669,702 ) and short-term investments (\$ ..... ) .....	3,464,052		3,464,052	3,877,350
6. Contract loans (including \$ ..... premium notes) .....				
7. Derivatives .....				
8. Other invested assets .....				
9. Receivables for securities .....				
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	152,193,299		152,193,299	151,148,585
13. Title plants less \$ ..... charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	1,012,977		1,012,977	979,155
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	1,473,481	1,029,300	444,181	151,094
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	17,224,481		17,224,481	19,440,603
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	426,847		426,847	1,031,500
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....	42,232		42,232	98,345
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....				1,583,422
18.2 Net deferred tax asset .....	2,072,790	4,644	2,068,146	2,111,890
19. Guaranty funds receivable or on deposit .....	54,766		54,766	37,727
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	2,539		2,539	853
24. Health care (\$ ..... ) and other amounts receivable .....				
25. Aggregate write-ins for other than invested assets .....	230,854	230,854		
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	174,734,266	1,264,798	173,469,468	176,583,174
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. Total (Lines 26 and 27)	174,734,266	1,264,798	173,469,468	176,583,174
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501. Disallowed IMR .....	169,386	169,386		
2502. Miscellaneous .....	61,468	61,468		
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	230,854	230,854		

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ 50,185,623 less \$ included in Line 6.3 (including \$ Modco Reserve)	50,185,623	57,904,772
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)		
3. Liability for deposit-type contracts (including \$ Modco Reserve)	1,295,579	1,393,705
4. Contract claims:		
4.1 Life	2,172,614	1,485,038
4.2 Accident and health		
5. Policyholders' dividends/refunds to members \$ and coupons \$ due and unpaid		
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ Modco)		
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ Modco)		
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums	116,712	105,147
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$ assumed and \$ ceded		
9.4 Interest Maintenance Reserve		
10. Commissions to agents due or accrued-life and annuity contracts \$ , accident and health \$ and deposit-type contract funds \$		
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued	26,864	26,864
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	(134,483)	2,575
15.1 Current federal and foreign income taxes, including \$ (9,140) on realized capital gains (losses)	353,294	
15.2 Net deferred tax liability		
16. Unearned investment income		
17. Amounts withheld or retained by reporting entity as agent or trustee	1,998	1,998
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated	9,527	7,978
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	473,401	479,616
24.02 Reinsurance in unauthorized and certified (\$ ) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	1,350,316	1,699,546
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives		
24.09 Payable for securities		
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	46,584	46,583
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	55,898,029	63,153,822
27. From Separate Accounts Statement		
28. Total liabilities (Lines 26 and 27)	55,898,029	63,153,822
29. Common capital stock	2,500,000	2,500,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds		
32. Surplus notes		
33. Gross paid in and contributed surplus	98,500,000	98,500,000
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	16,571,439	12,429,352
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$ )		
36.2 shares preferred (value included in Line 30 \$ )		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	115,071,439	110,929,352
38. Totals of Lines 29, 30 and 37	117,571,439	113,429,352
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	173,469,468	176,583,174
<b>DETAILS OF WRITE-INS</b>		
2501. Liability for interest on claims	46,584	46,583
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	46,584	46,583
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)		
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

## SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	9,047,810	9,261,361	13,673,636
2. Considerations for supplementary contracts with life contingencies			
3. Net investment income	3,419,730	3,363,683	4,488,170
4. Amortization of Interest Maintenance Reserve (IMR)	(22,585)	(23,502)	(31,272)
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded	746,943	757,911	981,800
7. Reserve adjustments on reinsurance ceded			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	101	224	223
9. Totals (Lines 1 to 8.3)	13,191,999	13,359,677	19,112,557
10. Death benefits	7,168,027	7,498,744	8,902,244
11. Matured endowments (excluding guaranteed annual pure endowments)			
12. Annuity benefits			
13. Disability benefits and benefits under accident and health contracts	671,324	645,301	891,611
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	8	747	1,184
16. Group conversions	2,952,626	2,813,173	3,560,115
17. Interest and adjustments on contract or deposit-type contract funds	42,130	24,315	63,375
18. Payments on supplementary contracts with life contingencies			
19. Increase in aggregate reserves for life and accident and health contracts	(7,719,149)	(7,664,334)	(1,573,580)
20. Totals (Lines 10 to 19)	3,114,966	3,317,946	11,844,949
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	(2)	2,665	(789)
22. Commissions and expense allowances on reinsurance assumed			
23. General insurance expenses and fraternal expenses	1,996,984	2,517,872	3,369,309
24. Insurance taxes, licenses and fees, excluding federal income taxes	613,763	684,681	838,931
25. Increase in loading on deferred and uncollected premiums	1,618,549	21,872	594,837
26. Net transfers to or (from) Separate Accounts net of reinsurance			
27. Aggregate write-ins for deductions	1,059	175	174
28. Totals (Lines 20 to 27)	7,345,319	6,545,211	16,647,411
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	5,846,680	6,814,466	2,465,146
30. Dividends to policyholders and refunds to members			
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	5,846,680	6,814,466	2,465,146
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	1,070,964	708,168	(433,919)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	4,775,716	6,106,298	2,899,065
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ (6,414) (excluding taxes of \$ (2,726) transferred to the IMR)	8,253	(2,079)	(1,181)
35. Net income (Line 33 plus Line 34)	4,783,969	6,104,219	2,897,884
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
36. Capital and surplus, December 31, prior year	113,429,352	110,303,263	110,303,263
37. Net income (Line 35)	4,783,969	6,104,219	2,897,884
38. Change in net unrealized capital gains (losses) less capital gains tax of \$			
39. Change in net unrealized foreign exchange capital gain (loss)			
40. Change in net deferred income tax	(39,100)	(512,043)	(739,415)
41. Change in nonadmitted assets	(608,997)	977,758	972,162
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			
44. Change in asset valuation reserve	6,215	25,187	(4,542)
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus			
54. Net change in capital and surplus for the year (Lines 37 through 53)	4,142,087	6,595,121	3,126,089
55. Capital and surplus, as of statement date (Lines 36 + 54)	117,571,439	116,898,384	113,429,352
<b>DETAILS OF WRITE-INS</b>			
08.301. Sundries	101	224	223
08.302.			
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page			
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	101	224	223
2701. Fines, penalties and fees from regulatory authorities	1,059	175	174
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page			
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	1,059	175	174
5301.			
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page			
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)			

## STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	8,615,877	10,816,127	14,253,770
2. Net investment income .....	2,552,518	3,431,817	4,651,766
3. Miscellaneous income .....	801,188	797,609	985,716
4. Total (Lines 1 to 3) .....	11,969,583	15,045,553	19,891,252
5. Benefit and loss related payments .....	9,992,164	9,948,949	12,794,785
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	2,729,377	3,290,340	4,328,203
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	(874,892)	812,000	369,194
10. Total (Lines 5 through 9) .....	11,846,649	14,051,289	17,492,182
11. Net cash from operations (Line 4 minus Line 10) .....	122,934	994,264	2,399,070
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	5,148,925	13,332,249	17,045,568
12.2 Stocks .....			
12.3 Mortgage loans .....			
12.4 Real estate .....			
12.5 Other invested assets .....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	1,171	282	295
12.7 Miscellaneous proceeds .....			
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	5,150,096	13,332,531	17,045,863
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	6,623,024	10,946,697	17,922,470
13.2 Stocks .....			
13.3 Mortgage loans .....			
13.4 Real estate .....			
13.5 Other invested assets .....			
13.6 Miscellaneous applications .....			
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	6,623,024	10,946,697	17,922,470
14. Net increase (or decrease) in contract loans and premium notes .....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(1,472,928)	2,385,834	(876,607)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock .....			
16.3 Borrowed funds .....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(107,851)	(115,708)	(326,944)
16.5 Dividends to stockholders .....			
16.6 Other cash provided (applied) .....	1,044,547	(7,126)	(507,303)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	936,696	(122,834)	(834,247)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(413,298)	3,257,264	688,215
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	3,877,350	3,189,135	3,189,135
19.2 End of period (Line 18 plus Line 19.1) .....	3,464,052	6,446,399	3,877,350
Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001. Capitalized interest .....	114,952	123,074	164,068

## EXHIBIT 1

### DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life .....			
2. Ordinary life insurance .....	18,764,630	20,659,789	28,924,614
3. Ordinary individual annuities .....			
4. Credit life (group and individual) .....			
5. Group life insurance .....			
6. Group annuities .....			
7. A & H - group .....			
8. A & H - credit (group and individual) .....			
9. A & H - other .....			
10. Aggregate of all other lines of business .....			
11. Subtotal (Lines 1 through 10) .....	18,764,630	20,659,789	28,924,614
12. Fraternal (Fraternal Benefit Societies Only) .....			
13. Subtotal (Lines 11 through 12) .....	18,764,630	20,659,789	28,924,614
14. Deposit-type contracts .....			
15. Total (Lines 13 and 14)	18,764,630	20,659,789	28,924,614
DETAILS OF WRITE-INS			
1001. ....			
1002. ....			
1003. ....			
1098. Summary of remaining write-ins for Line 10 from overflow page .....			
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			

## NOTES TO FINANCIAL STATEMENTS

## 1. Summary of Significant Accounting Policies and Going Concern

- A. The accompanying financial statements of NYLIFE Insurance Company of Arizona ("the Company") have been prepared using accounting practices prescribed or permitted by the Arizona Insurance Department.

The Arizona Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of Arizona for determining and reporting the financial position and results of operations of an insurance company and for determining its solvency under Arizona Insurance Law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the state of Arizona. Prescribed statutory accounting practices include state laws and regulations. Permitted statutory accounting practices encompass accounting practices that are not prescribed; such practices differ from state to state, may differ from company to company within a state, and may change in the future. Arizona has adopted all prescribed accounting practices found in NAIC SAP. The Company has no permitted practices.

A reconciliation of the Company's net income and capital and surplus at September 30, 2019 and December 31, 2018 between practices prescribed or permitted by the State of Arizona and NAIC SAP is shown below:

	SSAP #	F/S Page	F/S Line #	2019	2018
<u>Net Income</u>					
Net income Arizona state basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ 4,783,969	\$ 2,897,884
(1) State prescribed practices that increase/(decrease) NAIC SAP:				—	—
(2) State permitted practices that increase/(decrease) NAIC SAP:				—	—
(3) Net income, NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ 4,783,969</u>	<u>\$ 2,897,884</u>
<u>Capital and Surplus</u>					
Statutory capital and surplus Arizona state basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 117,571,439	\$ 113,429,352
(5) State prescribed practices that increase/(decrease) NAIC SAP:				—	—
(6) State permitted practices that increase/(decrease) NAIC SAP:				—	—
(7) Capital and surplus, NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 117,571,439</u>	<u>\$ 113,429,352</u>

- B. No change.

- C. (1) No change.

(2) Bonds not backed by loans are stated at amortized cost using the interest method. Bonds in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. The cost basis of bonds is adjusted for impairments in value deemed to be other than temporary, with the difference between the bond's amortized cost and its fair value recognized as a realized loss reported in net income. The new cost basis of an impaired bond is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary impairment ("OTTI"), the impaired bond is accounted for as if it had been purchased on the measurement date of the impairment. Refer to Note 20 – Fair Value Measurements, for a discussion of the valuation approach and methods for bonds.

- (3) - (5) No change.

(6) Loan-backed and structured securities, which are included in bonds, are valued at amortized cost using the interest method including current assumptions of projected cash flows. Loan-backed and structured securities in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. Amortization of the premium or accretion of discount from the purchase of these securities considers the estimated timing and amount of cash flows of the underlying loans, including prepayment assumptions based on data obtained from external sources or internal estimates. Projected future cash flows are updated monthly, and the amortized cost and effective yield of the securities are adjusted as necessary to reflect historical prepayment experience and changes in estimated future prepayments. For high credit quality loan-backed and structured securities (those rated AA or above at the date of acquisition), the adjustments to amortized cost are recorded as a charge or credit to net investment income in accordance with the retrospective method. For loan-backed and structured securities that are not of high credit quality (those rated below AA at date of acquisition), certain floating rate securities and securities with the potential for a loss of a portion of the original investment due to contractual prepayments (e.g. interest only securities), the effective yield is adjusted prospectively for any changes in estimated cash flows. Refer to Note 20 – Fair Value Measurements, for a discussion of the valuation approach and methods for bonds.

The cost basis of loan-backed and structured securities is adjusted for impairments in value that are deemed to be other than temporary. An other-than-temporary loss is recognized in net income when it is anticipated that the amortized cost will not be recovered. The entire difference between the loan-backed or structured security's amortized cost and its fair value is recognized in net income only when the Company (1) has the intent to sell the security or (2) it does not have the intent and ability to hold the security to recovery. If neither of these two conditions exists, a realized loss is recognized in net income for the difference between the amortized cost basis of the security and the net present value of projected future cash flows expected to be collected. The net present value is calculated by discounting the Company's best estimate of projected future cash flows at the effective interest rate implicit in the loan-backed or structured security prior to impairment.

The new cost basis of an impaired security is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary loss, the impaired loan-backed or structured security is accounted for as if it had been purchased on the measurement date of the impairment. Accordingly, the discount (or reduced premium) based on the new cost basis may be accreted into net investment income in future periods based on prospective changes in cash flow estimates, to reflect adjustments to the effective yield.

- (7) - (13) No change.

## D. Going Concern

The Company does not have any doubt about its ability to continue as a going concern.

## NOTES TO FINANCIAL STATEMENTS

### 2. Accounting Changes and Corrections of Errors

Not applicable.

### 3. Business Combinations and Goodwill

Not applicable.

### 4. Discontinued Operations

Not applicable.

### 5. Investments

#### A. Mortgage Loans, including Mezzanine Real Estate Loans

Not applicable.

#### B. Debt Restructuring

Not applicable.

#### C. Reverse Mortgages

Not applicable.

#### D. Loan-Backed Securities

- (1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from external sources such as Intex and Blackrock Solutions.
- (2) The Company does not have any loan-backed and structured securities which are other-than-temporarily impaired where the Company intends to sell, or does not have the intent and ability to hold until recovery, at September 30, 2019.
- (3) As of September 30, 2019, the Company did not have any securities where the present value of cash flows expected to be collected is less than the amortized cost basis during the current year.
- (4) The following table presents the Company's gross unrealized losses and fair values of loan-backed and structured securities, aggregated by the length of time that the individual securities have been in a continuous unrealized loss position at September 30, 2019:

	Less than 12 months		12 months or greater		Total	
	Fair Value	Losses	Fair Value	Losses	Fair Value	Losses
Total	\$ 235,125	\$ 23	\$ 167,291	\$ 2,180	\$ 402,416	\$ 2,203

- (5) The Company performs quantitative and qualitative analysis to determine if a decline in fair value was temporary. For those securities where the decline was considered temporary, the Company did not take an impairment when it had the ability and intent to hold until recovery. Factors considered in evaluating whether a decline in value is other than temporary include: (1) whether the decline is substantial; (2) the duration that the fair value has been less than amortized cost; (3) the financial condition and near-term prospects of the issuer; and (4) the Company's ability and intent to retain the investment for the period of time sufficient to allow for an anticipated recovery in value. In addition, for the non-agency residential mortgage backed securities ("RMBS") portfolio, the Company updates cash flow projections quarterly. A projection is performed for each security based upon the evaluation of prepayment, delinquency, and default rates for the pool of mortgages collateralizing each security, and the projected impact on the course of future prepayments, defaults, and loss in the pool of mortgages, but do not include market prices. As a result, forecasts may change from period to period and additional impairments may be recognized over time as a result of deterioration in the fundamentals of a particular security or group of securities and/or a continuation of heightened mortgage defaults for a period longer than the assumptions used for the forecasts. Both qualitative and quantitative factors are used in creating the Company's RMBS cash flow models. As such, any estimate of impairments is subject to the inherent limitation on the Company's ability to predict the aggregate course of future events. It should therefore be expected that actual losses may vary from any estimate and the Company may recognize additional other-than-temporary losses.

#### E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable.

#### F. Repurchase Agreements Transaction Accounted for as Secured Borrowing

Not applicable.

#### G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

#### H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

#### I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

#### J. Real Estate

Not applicable.



## NOTES TO FINANCIAL STATEMENTS

**K. Low-Income Housing Tax Credits**

Not applicable.

**L. Restricted Assets**

No change.

**M. Working Capital Finance Investments**

Not applicable.

**N. Offsetting and Netting of Assets and Liabilities**

Not applicable.

**O. Structured Notes**

Not applicable.

**P. 5GI Securities**

Not applicable.

**Q. Short Sales**

Not applicable.

**R. Prepayment Penalty and Acceleration Fees**

The following represents the Company's securities sold, redeemed or otherwise disposed as a result of a callable feature (including make whole call provisions) and the aggregate amount of investment income generated as a result of a prepayment penalty and/or acceleration fee.

	General Account
Number of CUSIPs	1
Aggregate Amount of Investment Income	\$ 10,528

**6. Joint Ventures, Partnerships and Limited Liability Companies**

A. No change.

B. No change.

**7. Investment Income**

A. No change.

B. No change.

**8. Derivative Instruments**

Not applicable.

**9. Income Taxes**

A - I. No change.

**10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

A - O. No change.

**11. Debt**

Not applicable.

**12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

A - I. No change.

**13. Capital and Surplus, Shareholders' Dividend Restrictions, and Quasi-Reorganizations**

No change.

**14. Liabilities, Contingencies and Assessments**

**A. Contingent Commitments**

Not applicable.

**B. Assessments**

No change.

**C. Gain Contingencies**

Not applicable.

## NOTES TO FINANCIAL STATEMENTS

**D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits**

No change.

**E. Joint and Several Liabilities**

Not applicable.

**F. All Other Contingencies**

No change.

**15. Leases**

**A. Lessee Operating Lease**

No change.

**B. Lessor Leases**

Not applicable.

**16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

Not applicable.

**17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

**A. Transfers of Receivables reported as Sales**

Not applicable.

**B. Transfer and Servicing of Financial Assets**

Not applicable.

**C. Wash Sales**

(1) In the course of the Company's investment management activities, securities may be sold and repurchased within 30 days of the sale date to enhance the Company's yield on its investment portfolio.

(2) The Company did not have any wash sales where securities with an NAIC rating designation of 3 or below, or unrated, were sold during the three months ended September 30, 2019 and reacquired within 30 days of the sale date.

**18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

Not applicable.

**19. Direct Premium Written/Produced by Managing General Agents/Third-Party Administrators**

Not applicable.

**20. Fair Value Measurements**

**A.** The Company's financial assets and liabilities carried at fair value have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100, *Fair Value Measurements*. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This guidance establishes a framework for measuring fair value that includes a hierarchy used to classify the inputs used in measuring fair value. The hierarchy prioritizes the inputs to valuation techniques used to measure fair value into three levels. The level in the fair value hierarchy within which the fair value measurement falls is determined based on the lowest level input that is significant to the fair value measurement.

(1) The levels of the fair value hierarchy are based on the inputs to the valuation as follows:

**Level 1** Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market. Active markets are defined as a market in which many transactions occur with sufficient frequency and volume to provide pricing information on an ongoing basis.

**Level 2** Observable inputs other than level 1 prices, such as quoted prices in active markets for similar assets or liabilities; quoted prices in markets that are not active for identical or similar assets or liabilities, or other model driven inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities. Valuations are generally obtained from third-party pricing services for identical or comparable assets or liabilities or through the use of valuation methodologies using observable market inputs.

**Level 3** Instruments whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs reflect management's own assumptions in pricing the asset or liability. Pricing may also be based upon broker quotes that do not represent an offer to transact. Prices are determined using valuation methodologies such as option pricing models, discounted cash flow models and other similar techniques. Non-binding broker quotes, which are utilized when pricing service information is not available, are reviewed for reasonableness based on the Company's understanding of the market, and are generally considered Level 3. To the extent the internally developed valuations use significant unobservable inputs, they are classified as Level 3.

The Company had no investments held at fair value at September 30, 2019.

**B.** Not applicable.

## NOTES TO FINANCIAL STATEMENTS

- C. The following table presents the carrying amounts and estimated fair value of the Company's financial instruments at September 30, 2019:

	Fair Value	Carrying Value	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable
Assets:							
Bonds	\$154,383,648	\$148,729,247	\$ —	\$154,383,648	\$ —	\$ —	\$ —
Cash, cash equivalents and short-term investments	3,464,052	3,464,052	69,002	3,395,050	—	—	—
Investment income due and accrued	1,012,977	1,012,977	—	1,012,977	—	—	—
Total assets	<u>\$158,860,677</u>	<u>\$153,206,276</u>	<u>\$ 69,002</u>	<u>\$158,791,675</u>	<u>\$ —</u>	<u>\$ —</u>	<u>\$ —</u>

**Bonds**

The fair value of bonds is determined by considering one of four primary sources: (1) security pricing is applied using a hierarchy approach whereby publicly available prices are first sought from nationally recognized third-party pricing services, (2) securities are priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices, (3) securities are priced using an internal pricing model or methodology, and (4) securities are submitted to independent brokers for prices.

The pricing service generally uses an income-based approach by using a discounted cash-flow model or it may use a market approach by looking at recent trades of a specific security to determine fair value on public securities or a combination of the two. Typical inputs used by these pricing services include, but are not limited to; benchmark yields, reported trades, issuer spreads, bids, offers, benchmark securities, estimated cash flows and prepayment speeds.

Independent pricing vendors do not supply prices for private placement bonds. These securities are primarily priced using a market approach such as a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices. Any private securities that cannot be priced using this methodology, are priced using an internally developed model based upon assigned comparable public issues adjusted for liquidity, maturity and rating or are priced based on internal calculations. The Company assigns a credit rating based upon internal analysis.

Prices from pricing services and broker quotes are validated on an ongoing basis to ensure the adequacy and reliability of the fair value measurement. The Company performs both quantitative and qualitative analysis of the prices including initial and ongoing review of third-party pricing methodologies, back testing of recent trades, and a thorough review of pricing trends and statistics and is classified as Level 2.

**Cash, cash equivalents, short-term investments and investment income due and accrued**

Cash on hand is classified as Level 1. Cash overdrafts (i.e. outstanding checks) are classified as Level 2. Due to the short-term maturities, the carrying value of short-term investments, cash equivalents and investment income due and accrued is presumed to approximate fair value.

- D. If it is not practicable for an entity to estimate the fair value of that financial instrument or a class of financial instruments, the following shall be disclosed:

(1) - (2) Not applicable.

- E. Not applicable.

**21. Other Items****A. Unusual or Infrequent Items**

Not applicable.

**B. Troubled Debt Restructuring: Debtors**

Not applicable.

**C. Other Disclosures**

No change.

**D. Business Interruption Insurance Recoveries**

Not applicable.

**E. State Transferable and Non-transferable Tax Credits**

Not applicable.

**F. Subprime and Midprime Mortgage Related Risk Exposure**

No change.

**G. Retained Assets**

No change.

**H. Insurance-Linked Securities ("ILS") Contracts**

Not applicable.

## NOTES TO FINANCIAL STATEMENTS

**22. Events Subsequent**

At November 13, 2019, the date the financial statements were available to be issued, there have been no events occurring subsequent to the close of the Company's books or accounts for the accompanying annual statement that would have a material effect on the financial condition of the Company.

**23. Reinsurance**

**A. Ceded Reinsurance Report**

Section 1 – General Interrogatories

No change.

Section 2 – Ceded Reinsurance Report – Part A

No change.

Section 3 – Ceded Reinsurance Report – Part B

No change.

**B. Uncollectible Reinsurance**

Not applicable.

**C. Commutation of Ceded Reinsurance**

Not applicable.

**D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation**

Not applicable.

**E - G.** Not applicable.

**24. Retrospectively Rated Contracts and Contracts Subject to Redetermination**

Not applicable.

**25. Change in Incurred Losses and Loss Adjustment Expenses**

Not applicable.

**26. Intercompany Pooling Arrangements**

Not applicable.

**27. Structured Settlements**

Not applicable.

**28. Health Care Receivables**

Not applicable.

**29. Participating Policies**

Not applicable.

**30. Premium Deficiency Reserves**

Not applicable.

**31. Reserves for Life Contracts and Annuity Contracts**

No change.

**32. Analysis of Annuity Actuarial Reserves and Deposit-Type Liabilities by Withdrawal Characteristics**

No change.

**33. Premium and Annuity Considerations Deferred and Uncollected**

No change.

**34. Separate Accounts**

Not applicable.

**35. Loss/Claim Adjustment Expenses**

Not applicable.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ ] N/A [ X ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2014
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2014
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 06/21/2016
- 6.4 By what department or departments?  
Arizona Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ X ] No [ ] N/A [ ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
NYLIFE Securities LLC	New York, NY				YES
NYLIFE Distributors LLC	Jersey City, NJ				YES
Eagle Strategies LLC	New York, NY				YES
New York Life Investment Management LLC	New York, NY				YES
MacKay Shields LLC	New York, NY				YES
GoldPoint Partners LLC	New York, NY				YES
NYLIM Service Company LLC	Jersey City, NJ				YES
Private Advisors, L.L.C.	Richmond, VA				YES
Credit Value Partners, LLC	Greenwich, CT				YES
NYL Investors LLC	New York, NY				YES
IndexIQ Advisors LLC	New York, NY				YES
Madison Capital Funding LLC	Chicago, IL				YES
CHIPC Evergreen General, LLC	Greenwich, CT				YES
CHIPC PE General, LLC	Greenwich, CT				YES
CVP IV General, LLC	Greenwich, CT				YES
CVP CLO Advisors, LLC	Greenwich, CT				YES
CVP CLO Manager, LLC	Greenwich, CT				YES
Candriam Luxembourg S.C.A.	Luxembourg, LUX				YES
Candriam France S.A.S.	Paris, FRA				YES

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Candriam Belgium S.A. ....	Brussels, BEL .....				YES

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ .....

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]
- 11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ .....
13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [  ] No [  ]
- 14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....	\$ .....
14.24 Short-Term Investments .....	\$ .....	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ .....	\$ .....
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [  ] No [  ]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [  ] No [  ]
- If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....
- 16.3 Total payable for securities lending reported on the liability page. .... \$ .....

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase .....	270 Park Avenue, New York, NY 10017 .....
The Northern Trust Company .....	50 S LaSalle Street, Chicago, IL 60603 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
NYL Investors LLC .....	A.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? ..... Yes [ ] No [ X ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? ..... Yes [ ] No [ X ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
169553 .....	NYL Investors LLC .....	5493000EG09W0QURS721 .....	SEC .....	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

- Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

- Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]



## GENERAL INTERROGATORIES

### PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

**Life and Accident Health Companies/Fraternal Benefit Societies:**

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1  
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages ..... \$ .....
- 1.12 Residential Mortgages ..... \$ .....
- 1.13 Commercial Mortgages ..... \$ .....
- 1.14 Total Mortgages in Good Standing ..... \$ \_\_\_\_\_
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms ..... \$ \_\_\_\_\_
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages ..... \$ .....
- 1.32 Residential Mortgages ..... \$ .....
- 1.33 Commercial Mortgages ..... \$ .....
- 1.34 Total Mortgages with Interest Overdue more than Three Months ..... \$ \_\_\_\_\_
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages ..... \$ .....
- 1.42 Residential Mortgages ..... \$ .....
- 1.43 Commercial Mortgages ..... \$ .....
- 1.44 Total Mortgages in Process of Foreclosure ..... \$ .....
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) ..... \$ \_\_\_\_\_
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages ..... \$ .....
- 1.62 Residential Mortgages ..... \$ .....
- 1.63 Commercial Mortgages ..... \$ .....
- 1.64 Total Mortgages Foreclosed and Transferred to Real Estate ..... \$ \_\_\_\_\_
2. Operating Percentages:
- 2.1 A&H loss percent ..... %
- 2.2 A&H cost containment percent ..... %
- 2.3 A&H expense percent excluding cost containment expenses ..... %
- 3.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$ .....
- 3.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$ .....
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

**Fraternal Benefit Societies Only:**

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? ..... Yes [ ] No [ ] N/A [ ]
- 5.2 If no, explain:  
.....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? ..... Yes [ ] No [ ]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....	.....

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Jurisdiction	6 Type of Reinsured Contract	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
<b>NONE</b>								

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Current Year To Date - Allocated by States and Territories

1	Direct Business Only						
	2	3	4	5	6	7	
States, Etc.	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts	
1. Alabama	AL	L	459,207			459,207	
2. Alaska	AK	L	244,650			244,650	
3. Arizona	AZ	L	452,582			452,582	
4. Arkansas	AR	L	91,889			91,889	
5. California	CA	L	3,627,980			3,627,980	
6. Colorado	CO	L	311,810			311,810	
7. Connecticut	CT	L	127,047			127,047	
8. Delaware	DE	L	90,379			90,379	
9. District of Columbia	DC	L	43,004			43,004	
10. Florida	FL	L	1,015,720			1,015,720	
11. Georgia	GA	L	683,309			683,309	
12. Hawaii	HI	L	20,460			20,460	
13. Idaho	ID	L	84,256			84,256	
14. Illinois	IL	L	610,116			610,116	
15. Indiana	IN	L	84,221			84,221	
16. Iowa	IA	L	200,740			200,740	
17. Kansas	KS	L	194,588			194,588	
18. Kentucky	KY	L	198,199			198,199	
19. Louisiana	LA	L	635,526			635,526	
20. Maine	ME	N	2,081			2,081	
21. Maryland	MD	L	395,993			395,993	
22. Massachusetts	MA	L	204,259			204,259	
23. Michigan	MI	L	406,282			406,282	
24. Minnesota	MN	L	131,753			131,753	
25. Mississippi	MS	L	189,353			189,353	
26. Missouri	MO	L	414,419			414,419	
27. Montana	MT	L	115,095			115,095	
28. Nebraska	NE	L	55,856			55,856	
29. Nevada	NV	L	186,200			186,200	
30. New Hampshire	NH	L	28,203			28,203	
31. New Jersey	NJ	L	572,051			572,051	
32. New Mexico	NM	L	260,000			260,000	
33. New York	NY	N	65,798			65,798	
34. North Carolina	NC	L	318,701			318,701	
35. North Dakota	ND	L	20,464			20,464	
36. Ohio	OH	L	348,359			348,359	
37. Oklahoma	OK	L	274,538			274,538	
38. Oregon	OR	L	257,850			257,850	
39. Pennsylvania	PA	L	473,676			473,676	
40. Rhode Island	RI	L	20,702			20,702	
41. South Carolina	SC	L	330,077			330,077	
42. South Dakota	SD	L	254,233			254,233	
43. Tennessee	TN	L	156,741			156,741	
44. Texas	TX	L	1,917,894			1,917,894	
45. Utah	UT	L	205,739			205,739	
46. Vermont	VT	L	31,607			31,607	
47. Virginia	VA	L	484,482			484,482	
48. Washington	WA	L	800,453			800,453	
49. West Virginia	WV	L	46,250			46,250	
50. Wisconsin	WI	L	152,394			152,394	
51. Wyoming	WY	L	53,801			53,801	
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N	188			188	
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N					
58. Aggregate Other Aliens	OT	XXX	57,105			57,105	
59. Subtotal	XXX		18,408,280			18,408,280	
90. Reporting entity contributions for employee benefits plans	XXX						
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		677,570			677,570	
94. Aggregate or other amounts not allocable by State	XXX						
95. Totals (Direct Business)	XXX		19,085,850			19,085,850	
96. Plus Reinsurance Assumed	XXX		83,758			83,758	
97. Totals (All Business)	XXX		19,169,608			19,169,608	
98. Less Reinsurance Ceded	XXX		10,553,732			10,553,732	
99. Totals (All Business) less Reinsurance Ceded	XXX		8,615,876			8,615,876	
DETAILS OF WRITE-INS							
58001. ZZZ other alien	XXX		57,105			57,105	
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		57,105			57,105	
9401.	XXX						
9402.	XXX						
9403.	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX						
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX						

(a) Active Status Counts:

- L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....49
- E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....
- N - None of the above - Not allowed to write business in the state.....8
- R - Registered - Non-domiciled RRGs.....
- Q - Qualified - Qualified or accredited reinsurer.....

Direct Ordinary life premiums are allocated by State on the basis of the address to which the premium notice is sent. \*Premium or annuity considerations waived under disability or other contract provisions are shown in one sum on Line 93, Columns 2, 3, 4, 5, 6, and 7. \*\* All U.S. business must be allocated by state regardless of license status. NOTE: Schedule T should not be used as the basis for the state guaranty association assessments.

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

The following entities are directly controlled by New York Life Insurance Company (Parent) (entities that are indented are directly controlled by the preceding entity).

New York Life Insurance and Annuity Corporation (91596) (DE)  
 NYLIFE Insurance Company of Arizona (81353) (AZ)  
 New York Life Enterprises LLC (See page 12.2 for entity's org chart) (DE)  
 NYLIFE LLC (See page 12.2 for entity's org chart) (DE)  
 NYL Investors LLC (See page 12.3 for entity's org chart) (DE)  
 Madison Capital Funding LLC (See page 12.2 for entity's org chart) (DE)  
 New York Life Investment Management Holdings LLC (See page 12.4 for entity's org chart) (DE)  
 NYLife Real Estate Holdings LLC (See page 12.10 for entity's org chart) (DE)  
 NYL Real Assets LLC (DE)  
 NYL Emerging Manager LLC (DE)  
 NYL Wind Investments LLC (DE)  
 NYLIC HKP Member LLC (DE)  
 NYLIM Jacob Ballas India Holdings IV (MUS)  
 NYLIM Flatiron CLO 2004-1 Ltd. (CYM)  
     NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A (DE)  
 NYLIM Flatiron CLO 2006-1 Ltd. (CYM)  
     NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A (DE)  
 Flatiron CLO 2007-1 Ltd. (CYM)  
     NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A (CYM)  
 Flatiron CLO 2011-1 Ltd. (CYM)  
 Flatiron CLO 2012-1 Ltd. (CYM)  
 Flatiron CLO 2013-1 Ltd. (CYM)  
 Flatiron CLO 2014-1 Ltd. (CYM)  
 Flatiron CLO 2015-1 Ltd (CYM)  
 Flatiron CLO 17 Ltd. (CYM)  
 Flatiron CLO 18 Ltd. (CYM)  
 Flatiron CLO 18 Funding Ltd. (CYM)  
 Stratford CDO 2001-1 Ltd. (CYM)  
 Silverado CLO 2006-II Limited (CYM)  
     Silverado CLO 2006-II Equity Holdings LLC, Series A (CYM)  
 Silver Spring, LLC (DE)  
     Silver Spring Associates, L.P. (PA)  
 SCP 2005-C21-002 LLC (DE)  
 SCP 2005-C21-003 LLC (DE)  
 SCP 2005-C21-006 LLC (DE)  
 SCP 2005-C21-007-LLC (DE)  
 SCP 2005-C21-008 LLC (DE)  
 SCP 2005-C21-009 LLC (DE)  
 SCP 2005-C21-017 LLC (DE)  
 SCP 2005-C21-018 LLC (DE)  
 SCP 2005-C21-021 LLC (DE)  
 SCP 2005-C21-025 LLC (DE)  
 SCP 2005-C21-031 LLC (DE)  
 SCP 2005-C21-036 LLC (DE)  
 SCP 2005-C21-041 LLC (DE)  
 SCP 2005-C21-043 LLC (DE)  
 SCP 2005-C21-044 LLC (DE)  
 SCP 2005-C21-048 LLC (DE)

SCP 2005-C21-061 LLC (DE)  
 SCP 2005-C21-063 LLC (DE)  
 SCP 2005-C21-067 LLC (DE)  
 SCP 2005-C21-069 LLC (DE)  
 SCP 2005-C21-070 LLC (DE)  
 NYMH-Ennis GP, LLC (DE)  
     NYMH-Ennis, L.P. (TX)  
 NYMH-Freeport GP, LLC (DE)  
     NYMH-Freeport, L.P. (TX)  
 NYMH-Houston GP, LLC (DE)  
     NYMH-Houston, L.P. (TX)  
 NYMH-Plano GP, LLC (DE)  
     NYMH-Plano, L.P. (TX)  
 NYMH-San Antonio GP, LLC (DE)  
     NYMH-San Antonio, L.P. (TX)  
 NYMH-Stephenville GP, LLC (DE)  
     NYMH-Stephenville, L.P. (TX)  
 NYMH-Taylor GP, LLC (DE)  
     NYMH-Taylor, L.P. (TX)  
 NYMH-Attleboro MA, LLC (DE)  
 NYMH-Farmingdale, NY, LLC (DE)  
 NYLMDC-King of Prussia GP, LLC (DE)  
     NYLMDC-King of Prussia Realty, LP (DE)  
 2015 DIL PORTFOLIO HOLDINGS LLC (DE)  
     PA 180 KOST RD LLC (DE)  
 2017 CT REO HOLDINGS LLC (DE)  
 Cortlandt Town Center LLC (DE)  
 REEP-IND 10 WEST AZ LLC (DE)  
 REEP-IND 4700 Nall TX LLC (DE)  
 REEP-IND Aegean MA LLC (DE)  
 REEP-IND Alpha TX LLC (DE)  
 REEP-IND MCP VIII NC LLC (DE)  
 REEP-IND CHINO CA LLC (DE)  
 REEP-IND FREEDOM MA LLC (DE)  
 REEP-IND Fridley MN LLC (MN)  
 REEP-IND Green Oaks IL LLC (DE)  
 REEP-IND Kent LLC (DE)  
 REEP-IND LYMAN MA LLC (DE)  
 REEP-IND MCP II NC LLC (DE)  
 REEP-IND MCP III NC LLC (DE)  
 REEP-IND MCP IV NC LLC (DE)  
 REEP-IND MCP V NC LLC (DE)  
 REEP-IND MCP VII NC LLC (DE)  
 REEP-IND RTG NC LLC (DE)  
 REEP-IND Simonton TX LLC (DE)  
 REEP-IND Valley View TX LLC (DE)  
 REEP-IND Valwood TX LLC (DE)  
 REEP-MF 960 East Paces Ferry GA LLC (DE)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

New York Life Insurance Company (Parent) (continued)

REEP-MF 960 EPF Opco GA LLC (DE)  
 REEP-MF Gateway TAF UT LLC (DE)  
     REEP-WP Gateway TAB JV LLC (DE)  
 REEP-MF Issaquah WA LLC (DE)  
 REEP-MF Mount Vernon GA LLC (DE)  
 REEP-MF Mount Laurel NJ LLC (DE)  
 REEP-MF Verde NC LLC (DE)  
 REEP-MF Wallingford WA LLC (DE)  
 REEP-OFC Bellevue WA LLC (DE)  
 REEP-OFC WATER RIDGE NC HOLDCO LLC (DE)  
 REEP-OFC ONE WATER RIDGE NC LLC (DE)  
 REEP-OFC TWO WATER RIDGE NC LLC (DE)  
 REEP-OFC FOUR WATER RIDGE NC LLC (DE)  
 REEP-OFC FIVE WATER RIDGE NC LLC (DE)  
 REEP-OFC SIX WATER RIDGE NC LLC (DE)  
 REEP-OFC SEVEN WATER RIDGE NC LLC (DE)  
 REEP-OFC EIGHT WATER RIDGE NC LLC (DE)  
 REEP-OFC NINE WATER RIDGE NC LLC (DE)  
 REEP-OFC TEN WATER RIDGE NC LLC (DE)  
 REEP-OFC ELEVEN WATER RIDGE NC LLC (DE)  
 REEP-MF FOUNTAIN PLACE MN LLC (DE)  
     REEP-MF FOUNTAIN PLACE LLC (DE)  
 REEP-OFC 2300 Empire CA LLC (DE)  
 REEP-IND 10 WEST II AZ LLC (DE)  
 REEP-RTL Flemington NJ LLC (DE)  
 REEP-RTL Mill Creek NJ LLC (DE)  
 NJIND Raritan Center LLC (DE)  
 NJIND Talmadge Road LLC (DE)  
 NJIND Melrich Road LLC (DE)  
 FP Building 18, LLC (DE)  
 FP Building 19, LLC (DE)  
 PTC Acquisitions, LLC (DE)  
 Martingale Road LLC (DE)  
 New York Life Funding (CYM)  
 New York Life Global Funding (DE)  
 NYL Equipment Issuance Trust (DE)  
 NYL Equipment Issuance Trust 2014-2 (DE)  
 Government Energy Savings Trust 2003-A (NY)  
 UFI-NOR Federal Receivables Trust, Series 2009B (NY)  
 JREP Fund Holdings I, L.P. (CYM)  
 Jaguar Real Estate Partners L.P. (CYM)  
 NYLIFE Office Holdings Member LLC (DE)  
     NYLIFE Office Holdings LLC (DE)  
         NYLIFE Office Holdings REIT LLC (DE)  
             REEP-OFC DRAKES LANDING CA LLC (DE)  
             REEP-OFC CORPORATE POINTE CA LLC (DE)  
             REEP-OFC VON KARMAN CA LLC (DE)  
             REEP-OFC ONE BOWDOIN SQUARE MA LLC (DE)

REEP-OFC 525 N Tryon NC LLC (DE)  
     525 Charlotte Office LLC (DE)  
 NYLIFE Office Holdings Acquisition REIT LLC (DE)  
     REEP-OFC Westory DC LLC (DE)  
 MSVEF Investor LLC (DE)  
     MSVEF Feeder LP (DE)  
         MSVEF REIT LLC (DE)  
             Madison Square Value Enhancement Fund LP (DE)  
                 MSVEF-MF Evanston GP LLC (DE)  
                 MSVEF-MF Evanston IL LP (DE)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

**New York Life Enterprises LLC**

SEAF Sichuan SME Investment Fund LLC (DE)  
 New York Life International Holdings Limited (MUS)  
     MAX Ventures and Industries Limited (IND)  
 NYL Cayman Holdings Ltd. (CYM)  
     NYL Worldwide Capital Investments LLC (DE)  
 Seguros Monterrey New York Life, S.A. de C.V. (MEX)  
     Administradora de Conductos SMNYL, S.A. de C.V. (MEX)  
     Agencias de Distribucion SMNYL, S.A. de C.V. (MEX)  
     Inmobiliaria SMNYL, S.A. de C.V. (MEX)

**NYLIFE LLC**

Eagle Strategies LLC (DE)  
 New York Life Capital Corporation (DE)  
 New York Life Trust Company (NY)  
 NYL Executive Benefits LLC (DE)  
 NYLIFE Securities LLC (DE)  
 NYLINK Insurance Agency Incorporated (DE)  
 NYLUK I Company (GBR)  
     NYLUK II Company (GBR)  
         Gresham Mortgage (GBR)  
         W Construction Company (GBR)  
         WUT (GBR)  
         WIM (AIM) (GBR)

**Madison Capital Funding LLC**

MCF Co-Investment GP LLC (DE)  
     MCF Co-Investment GP LP (DE)  
         Madison Capital Funding Co-Investment Fund LP (DE)  
 Madison Avenue Loan Fund GP LLC (DE)  
     Madison Avenue Loan Fund LP (DE)  
 MCF Fund I LLC (DE)  
 MCF Hanwha Fund LLC (DE)  
 Ironshore Investment BL I Ltd. (BMU)  
 MCF CLO IV LLC (DE)  
 MCF CLO V LLC (DE)  
 MCF CLO VI LLC (DE)  
 MCF CLO VII LLC (DE)  
 MCF CLO VIII Ltd. (DE)  
     MCF CLO VIII LLC (DE)  
 MCF CLO IX Ltd. (CYM)  
     MCF CLO IX LLC (DE)  
 MCF KB Fund LLC (DE)  
 MCF KB Fund II LLC (DE)  
 MCF Hyundai Fund LLC (DE)  
 Montpelier Carry Parent, LLC (DE)  
     Montpelier Carry, LLC (DE)  
 Montpelier GP, LLC (DE)  
     Montpelier Fund, L.P. (DE)  
 MCF Mezzanine Carry I LLC (DE)  
 MCF Mezzanine Fund I LLC (DE)  
 MCF PD Fund GP LLC (DE)  
     MCF PD Fund LP (DE)  
 MCF Senior Debt Funds 2019-I GP LLC (DE)  
     MCF Senior Debt Fund 2019-I LP (DE)  
 Warwick Seller Representative, LLC (DE)  
 Young America Holdings, LLC (DE)  
     YAC.ECOM Incorporated (MN)  
     Young America, LLC (MN)  
         Global Fulfillment Services, Inc. (AZ)  
         SourceOne Worldwide, Inc. (MN)  
         YA Canada Corporation (CAN)  
 Zenith Products Holdings, Inc. (DE)  
     ZPC Holding Corp. (DE)  
         Zenith Products Corporation (DE)

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

#### NYL Investors LLC

NYL Investors (U.K.) Limited (GBR)  
 NYL Investors REIT Manager LLC (DE)  
 NYLIM Holdings NCVAD, GP, LLC (DE)  
     McMorgan Northern California Value Add/Development Fund I, LP (DE)  
         MNCVAD-IND Norris Canyon CA LL (DE)  
             MNCVAD-CP Norris Canyon LLC (DE)  
         MNCVAD-OFC 2665 NORTH FIRST CA LLC (DE)  
             MNCVAD-SEAGATE 2665 NORTH FIRST LLC (DE)  
         MNCVAD-OFC Bridgepointe CA LLC (DE)  
         MNCVAD-OFC RIDDER PARK CA LLC (DE)  
             MNCVAD-GRAYMARK RIDDER PARK LLC (DE)  
         MNCVAD-OFC ONE BAY CA LLC (DE)  
             MNCVAD-HARVEST ONE BAY LLC (DE)  
         MNCVAD-IND RICHMOND CA LLC (DE)  
 NYL Investors NCVAD II GP, LLC (DE)  
     McMorgan Northern California Value Add/Development Fund II, LP (DE)  
         MNCVAD II-MF HENLEY CA LLC (DE)  
             MNCVAD II-SP HENLEY JV LLC (DE)  
                 MNCVAD II-SP HENLEY OWNER LLC (DE)  
         MNCVAD II-OFC 770 L Street CA LLC (DE)  
         MNCVAD II-MF UNION CA LLC (DE)  
             MNCVAD II- HOLLIDAY UNION JV LLC (DE)  
         MNCVAD II-OFC HARBORS CA LLC (DE)  
             MNCVAD II-SEAGATE HARBORS LLC (DE)  
 MSSDF Member LLC (DE)  
     Madison Square Structured Debt Fund LP (DE)  
 MSSDF GP LLC (DE)  
     MSSDF REIT LLC (DE)  
         MSSDF REIT Funding Sub I LLC (DE)  
 MSVEF GP LLC (DE)  
 MCPF GP LLC (DE)  
 Madison Core Property Fund LP (DE)  
     MCPF Holdings Manager LLC (DE)  
     MCPF MA Holdings LLC (DE)  
     MCPF Holdings LLC (DE)  
     MIREF 1500 Quail, LLC (DE)  
     MIREF Mill Creek, LLC (DE)  
     MIREF Gateway, LLC (DE)  
     MIREF Delta Court, LLC (DE)  
     MIREF Fremont Distribution Center, LLC (DE)  
     MIREF Century, LLC (DE)  
     MIREF Saddle River LLC (DE)  
     MIREF Newpoint Commons, LLC (DE)  
     MIREF Northsight, LLC (DE)  
     MIREF Riverside, LLC (DE)  
     MIREF Corporate Woods, LLC (DE)  
     MIREF Bedminster, LLC (DE)  
     Barton's Lodge Apartments, LLC (DE)

MIREF Marketpointe, LLC (DE)  
 MIREF 101 East Crossroads, LLC (DE)  
     101 East Crossroads, LLC (DE)  
 MIREF Hawthorne, LLC (DE)  
 MIREF Auburn 277, LLC (DE)  
 MIREF Sumner North, LLC (DE)  
 MIREF Wellington, LLC (DE)  
 MIREF Warner Center, LLC (DE)  
 MADISON-MF Duluth GA LLC (DE)  
 MADISON-OFC Centerstone I CA LLC (DE)  
 MADISON-OFC Centerstone III CA LLC (DE)  
 MADISON-MOB Centerstone IV CA LLC (DE)  
 MADISON-OFC Centerpoint Plaza CA LLC (DE)  
 MADISON-IND Logistics NC LLC (DE)  
     MCPF-LRC Logistics LLC (DE)  
 MADISON-MF Desert Mirage AZ LLC (DE)  
 MADISON-OFC One Main Place OR LLC (DE)  
 MADISON-IND Fenton MO LLC (DE)  
 MADISON-IND Hitzert Roadway MO LLC (DE)  
 MADISON-MF Hoyt OR LLC (DE)  
 MADISON-RTL Clifton Heights PA LLC (DE)  
 MADISON-IND Locust CA LLC (DE)  
 MADISON-OFC Weston Pointe FL LLC (DE)  
 MADISON-MF Henderson NV LLC (DE)  
     MCPF-SP Henderson LLC (DE)  
         MADISON-SP Henderson LLC (DE)  
 MADISON-IND VISTA LOGISTICS OR LLC (DE)  
     MADISON-SPECHT VISTA LOGISTICS LLC (DE)  
 MADISON-MF MCCADDEN CA LLC (DE)  
 MADISON-OFC 1201 WEST IL LLC (DE)  
     MADISON-MCCAFFERY 1201 WEST IL LLC (DE)  
 MADISON-MF CRESTONE AZ LLC (DE)

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

#### New York Life Investment Management Holdings LLC

New York Life Investment Management Asia Limited (CYM)  
MacKay Shields LLC (DE)

MacKay Shields Core Plus Opportunities Fund GP LLC (DE)  
MacKay Shields Core Plus / Opportunities Fund LP (DE)

MacKay Municipal Managers Opportunities GP LLC (DE)  
MacKay Municipal Opportunities Master Fund, L.P. (DE)  
MacKay Municipal Opportunities Fund, L.P. (DE)

MacKay Municipal Managers Credit Opportunities GP, LLC (DE)  
MacKay Municipal Credit Opportunities Master Fund, L.P. (DE)  
MacKay Municipal Credit Opportunities Fund, L.P. (DE)  
MacKay Municipal Credit Opportunities HL Fund, L.P. (DE)

MacKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC (CYM)  
MacKay Municipal Credit Opportunities HL (Cayman) Fund, LP (CYM)

MacKay Municipal Short Term Opportunities Fund GP LLC (DE)  
MacKay Municipal Short Term Opportunities Fund LP (DE)

Plainview Funds plc (IRL)  
Plainview Funds plc - MacKay Shields Unconstrained Bond Portfolio (IRL)  
Plainview Funds plc - MacKay Shields Floating Rate High Yield Portfolio (IRL)  
Plainview Funds plc - MacKay Shields Core Plus Opportunities Portfolio (IRL)  
MacKay Shields Statutory Trust – High Yield Bond Series (CT)  
Plainview Funds plc - MacKay Shields High Yield Crossover Portfolio (IRL)

MacKay Shields High Yield Active Core Fund GP LLC (DE)  
MacKay Shields High Yield Active Core Fund LP (DE)

MacKay Shields Credit Strategy Fund Ltd (CYM)  
MacKay Shields Credit Strategy Partners LP (DE)

MacKay Shields Defensive Bond Arbitrage Fund Ltd. (BMU)  
MacKay Shields Core Fixed Income Fund GP LLC (DE)

MacKay Shields Core Fixed Income Fund LP (DE)  
MacKay Shields Select High Yield Bond Fund GP LLC (DE)

MacKay Shields Select High Yield Bond Fund LP (DE)  
MacKay Shields High Yield Crossover Fund LP (DE)

MacKay Shields (International) Ltd. (GBR)  
MacKay Shields (Services) Ltd. (GBR)

MacKay Shields UK LLP (GBR)  
MacKay Shields Global Derivatives LLC (DE)

MacKay Municipal Managers Puerto Rico Opportunities GP LLC (DE)  
MacKay Puerto Rico Opportunities Funds, L.P. (DE)

MacKay Puerto Rico Opportunities Feeder Fund, L.P. (CYM)  
MacKay Municipal Managers California Opportunities GP LLC (DE)

MacKay Municipal Managers California Opportunities Fund, L.P. (DE)  
MacKay Municipal New York Opportunities GP LLC (DE)

MacKay Municipal New York Opportunities Fund, L.P. (DE)  
MacKay Municipal Opportunity HL Fund, L.P. (DE)

MacKay Municipal Capital Trading GP LLC (DE)  
MacKay Municipal Capital Trading Master Fund, L.P. (DE)

MacKay Municipal Capital Trading Fund, L.P. (DE)  
MacKay Municipal Managers Strategic Opportunities GP LLC (DE)

MacKay Municipal Managers Strategic Opportunities Fund, L.P. (DE)

MacKay Shields US Equity Market Neutral Fund GP LLC (DE)  
MacKay Cornerstone US Equity Market Neutral Fund LP (DE)

MacKay Shields Intermediate Bond Fund GP LLC (DE)  
MacKay Shields Intermediate Bond Fund LP (DE)

MacKay Shields General Partner (L/S) LLC (DE)  
MacKay Shields Long/Short Fund LP (DE)  
MacKay Shields Long/Short Fund (Master) LP (DE)

MacKay Municipal Managers Opportunities Allocation GP LLC (DE)  
MacKay Municipal Opportunities Allocation Master Fund LP (DE)  
MacKay Municipal Opportunities Allocation Fund A LP (DE)  
MacKay Municipal Opportunities Allocation Fund B LP (DE)

MacKay Municipal Managers U.S. Infrastructure - Opportunities GP LLC (DE)  
MacKay Municipal U.S. Infrastructure Opportunities Fund LP (DE)

MacKay Municipal Managers High Yield Select GP LLC (DE)  
MacKay Municipal High Yield Select Fund LP (DE)

CVP Holdings, LLC (DE)  
CVP CLO Manager, LLC (DE)  
CVP CLO Holdings GP LLC (DE)  
CVP CLO Holdings, LP (CYM)  
CVP CLO Advisors, LLC (DE)

Credit Value Partners, LLC (DE)  
CHIPC Evergreen General, LLC (DE)  
CVP High Income Private Credit Master Fund, LP (CYM)

CVP Loan Servicing LLC (DE)  
CHIPC PE General, LLC (DE)

CHIPC PE Intermediate Fund, LP (CYM)  
CVP High Income Private Credit PE Fund (Cayman), LP (CYM)  
CVP High Income Private Credit PE Fund, LP (DE)

CVF IV General, LLC (DE)  
Credit Value Fund IV, LP (DE)

Credit Value Fund (Cayman) IV, LP (CYM)  
Credit Value Intermediate Fund IV, LP (CYM)

Credit Value Master Fund IV-A, LP (CYM)  
Credit Value Master Fund IV-B, LP (CYM)

CVF IV Vert LLC (DE)  
CVF IV-A1 Vert LLC (DE)

CVP SPV LLC (DE)  
CVP SPV LLC Series I (DE)

CVP SPV LLC Series II (DE)  
CVP SPV LLC Series III (DE)

CVP Europe Investment Management Limited (IRL)  
MKS CVF V General LLC (DE)

MacKay Shields Credit Value Fund V, LP (DE)  
MacKay Shields Credit Value Master Fund V, LP (CYM)

MacKay Shields Credit Value Intermediate Fund V, LP (CYM)  
MacKay Shields Credit Value Fund (Cayman) V, LP (CYM)

Cornerstone Capital Management Holdings LLC (DE)

Madison Square Investors Asian Equity Market Neutral Fund GP, LLC (DE)



## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

#### New York Life Investment Management Holdings LLC (continued)

Cornerstone US Equity Market Neutral Fund, LLC (DE)	GoldPoint Partners Select Manager III GenPar, L.P. (CYM)
Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC (DE)	GoldPoint Partners Select Manager Fund III, L.P. (CYM)
Cornerstone Capital Management Large-Cap Enhanced Index Fund, L.P. (DE)	GoldPoint Partners Select Manager Fund III AIV, L.P. (DE)
GoldPoint Partners LLC (DE)	GoldPoint Partners Select Manager IV GenPar GP, LLC (DE)
New York Life Capital Partners, L.L.C. (DE)	GoldPoint Partners Select Manager IV GenPar, L.P. (DE)
New York Life Capital Partners, L.P. (DE)	GoldPoint Partners Select Manager Fund IV, L.P. (DE)
New York Life Capital Partners II, L.L.C. (DE)	GoldPoint Partners Canada III GenPar Inc (CAN)
New York Life Capital Partners II, LP (DE)	GoldPoint Partners Select Manager Canada Fund III, L.P. (CAN)
New York Life Capital Partners III GenPar GP, LLC (DE)	GoldPoint Partners Canada IV GenPar Inc. (CAN)
New York Life Capital Partners III GenPar, L.P. (DE)	GoldPoint Partners Select Manager Canada Fund IV, L.P. (CAN)
New York Life Capital Partners III, L.P. (DE)	GoldPoint Partners Co-Investment VI GenPar GP LLC (DE)
New York Life Capital Partners III-A, L.P. (DE)	GoldPoint Partners Co-Investment VI GenPar, LP (DE)
New York Life Capital Partners IV GenPar GP, LLC (DE)	GoldPoint Partners Co-Investment VI, LP (DE)
New York Life Capital Partners IV GenPar, L.P. (DE)	GPP VI – ECI Aggregator LP (DE)
New York Life Capital Partners IV, L.P. (DE)	GPP VI Blocker A LLC (DE)
New York Life Capital Partners IV-A, L.P. (DE)	GPP VI Blocker B LLC (DE)
GoldPoint Mezzanine Partners IV GenPar GP, LLC (DE)	GoldPoint Private Credit GenPar GP, LLC (DE)
GoldPoint Mezzanine Partners IV GenPar, LP (DE)	GoldPoint Private Credit Fund, LP (DE)
GoldPoint Mezzanine Partners Co-Investment Fund A, LP (DE)	NYLCAP 2010 Co-Invest GenPar GP, LLC (DE)
GoldPoint Mezzanine Partners IV, LP (DE)	NYLCAP 2010 Co-Invest GenPar L.P. (DE)
GPP Mezzanine Blocker Holdco A, LP (DE)	NYLCAP 2010 Co-Invest L.P. (DE)
GPP Mezzanine Blocker Holdco Preferred A, LP (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P. (DE)
GPP Mezzanine Blocker Holdco B, LP (DE)	NYLCAP 2010 Co-Invest ECI Blocker B L.P. (DE)
GPP Mezzanine Blocker Holdco C, LP (DE)	NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P. (DE)
GPP Mezzanine Blocker Holdco D, LP (DE)	NYLCAP 2010 Co-Invest ECI Blocker E L.P. (DE)
GPP Mezzanine Blocker Holdco E, LP (DE)	GoldPoint Partners Canada GenPar, Inc. (CAN)
GPP Mezz IV ECI Aggregator, LP (DE)	NYLCAP Select Manager Canada Fund, LP (CAN)
GPP Mezzanine Blocker Holdco F, LP (DE)	NYLCAP Canada II GenPar, Inc. (CAN)
GPP Mezzanine Blocker Holdco H, LP (DE)	NYLCAP Select Manager Canada Fund II, L.P. (CAN)
GoldPoint Mezzanine Partners Offshore IV, L.P. (CYM)	NYLIM Mezzanine Partners II GenPar GP, LLC (DE)
GoldPoint Partners Co-Investment V GenPar GP LLC (DE)	NYLIM Mezzanine Offshore Partners II, LP (CYM)
GoldPoint Partners Co-Investment V GenPar, L.P. (DE)	NYLIM Mezzanine Partners II GenPar, LP (DE)
GoldPoint Partners Co-Investment Fund A, LP (DE)	New York Life Investment Management Mezzanine Partners II, LP (DE)
GoldPoint Partners Co-Investment V, LP (DE)	NYLIM Mezzanine Partners II Parallel Fund, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP (DE)	NYLIM Mezzanine II Parallel Luxco S.á.r.l. (LUX)
GoldPoint Partners Co-Investment V ECI Blocker B, LP (DE)	Voice Holdco Ltd. (CAN)
GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP (DE)	NYLCAP Mezzanine Partners III GenPar GP, LLC (DE)
GoldPoint Partners Co-Investment V ECI Blocker C, LP (DE)	NYLCAP Mezzanine Partners III GenPar, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP (DE)	NYLCAP Mezzanine Partners III-K, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker D, LP (DE)	NYLCAP Mezzanine Partners III, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker E, LP (DE)	NYLCAP Mezzanine Partners III Parallel Fund, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP (DE)	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker F, LP (DE)	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker Holdco G, LP (DE)	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP (DE)
GoldPoint Partners Co-Investment V ECI Blocker G, LP (DE)	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP (DE)
GoldPoint Private Debt Partners V GenPar GP, LLC (DE)	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C, LP (DE)
GoldPoint Partners FA I, LLC (DE)	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP (DE)
GoldPoint Partners Select Manager III GenPar GP, LLC (DE)	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LP (DE)

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

#### New York Life Investment Management Holdings LLC (continued)

<p>           NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F, LP (DE)            NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F, LP (DE)            NYLCAP Mezzanine Offshore Partners III, L.P. (CYM)            NYLCAP Select Manager GenPar GP, LLC (DE)            NYLCAP Select Manager GenPar, LP (DE)            NYLCAP Select Manager Fund, LP (DE)            NYLCAP Select Manager Cayman Fund, LP (CYM)            NYLCAP Select Manager II GenPar GP, LLC (DE)            NYLCAP Select Manager II GenPar GP, L.P. (CYM)            NYLCAP Select Manager Fund II, L.P. (CYM)            NYLCAP India Funding LLC (DE)            NYLIM-JB Asset Management Co., LLC (MUS)            New York Life Investment Management India Fund II, LLC (MUS)            New York Life Investment Management India Fund (FVCI) II, LLC (MUS)            NYLCAP India Funding III LLC (DE)            NYLIM-Jacob Ballas Asset Management Co. III, LLC (MUS)            NYLIM Jacob Ballas India Fund III, LLC (MUS)            NYLIM Jacob Ballas Capital India (FVCI) III, LLC (MUS)            NYLIM Jacob Ballas India (FII) III, LLC (MUS)            Evolve Asset Management, Ltd. (CYM)            EIF Managers Limited (MUS)            EIF Managers II Limited (MUS)            NYLCAP Holdings (Mauritius) LLC (MUS)            Jacob Ballas Capital India PVT, Ltd. (MUS)            Industrial Assets Holdings Limited (MUS)            NYLIM Service Company LLC (DE)            NYL Workforce GP LLC (DE)            New York Life Investment Management LLC (DE)            NYLIM Fund II GP, LLC (DE)            NYLIM Real Estate Mezzanine Fund II, LP (DE)            NYLIM-TND, LLC (DE)            New York Life Investment Management Hong Kong Limited (CHN)            WFHG, GP LLC (DE)            Workforce Housing Fund I-2007, LP (DE)            Index IQ Holdings Inc. (DE)            IndexIQ, Inc. (DE)            IndexIQ LLC (DE)            IndexIQ Advisors LLC (DE)            IndexIQ Active ETF Trust (DE)            IQ MacKay Shields Municipal Insured ETF (DE)            IQ MacKay Shields Municipal Intermediate ETF (DE)            IndexIQ ETF Trust (DE)            IQ 50 Percent Hedged FTSE International ETF (DE)            IQ 50 Percent Hedged FTSE Japan ETF (DE)            IQ 500 International ETF (DE)            IQ Chaikin US Large Cap ETF (DE)            IQ Chaikin US Small Cap ETF (DE)            IQ Enhanced Core Bond US ETF (DE)         </p>	<p>           IQ Enhanced Core Plus Bond US ETF (DE)            IQ Global Resources ETF (DE)            IQ Hedge Event-Driven Tracker ETF (DE)            IQ Hedge Long/Short Tracker ETF (DE)            IQ Leaders GTAA Tracker ETF (DE)            IQ S&amp;P High Yield Low Volatility Bd ETF (DE)            IQ Short Duration Enhanced Cor Bd US ETF (DE)            IQ Ultra Short Duration ETF (DE)            New York Life Investment Management Holdings International S.à.r.l. (LUX)            New York Life Investment Management Holdings II International S.à.r.l. (LUX)            Candriam Group S.à.r.l. (LUX)            CGH UK Acquisition Company Limited (GBR)            Tristan Capital Partners Holdings Limited (GBR)            EPISO 4 Incentive Partners LLP (GBR)            Tristan Capital Limited (GBR)            Tristan Capital Partners LLP (GBR)            CCP III Co-Investment (GP) Limited (GBR)            CCP III Co-Investment LP (GBR)            CCP IV Co-Investment LP (GBR)            CCP III (GP) LLP (GBR)            CCP III Incentive Partners (GP) Limited (GBR)            CCP III Incentive Partners LP (GBR)            CCP IV Incentive Partners LP (GBR)            Curzon Capital Partners III (GP) Limited (GBR)            EPISO 3 Co-Investment (GP) Limited (GBR)            EPISO 3 Co-Investment LP (GBR)            EPISO 3 Incentive Partners (GP) Limited (GBR)            EPISO 3 Incentive Partners LP (GBR)            EPISO 3 IOM Limited (IMN)            CCP IV (GP) LLP (GBR)            Curzon Capital Partners IV (GP) Limited (GBR)            EPISO 4 Co-Investment LLP (GBR)            EPISO 4 (GP) LLP (GBR)            CCP 5 GP LLP (GBR)            CCP 5 Co-Investment LLP (GBR)            CCP 5 Pool Partnership GP Limited (NJ)            CCP 5 Pool Partnership SLP (NJ)            Tristan Capital Partners Asset Management Limited (GBR)            TCP Poland Spolka z ograniczoną odpowiedzialnością (POL)            TCP Co-Investment (GP) S.à.r.l. (LUX)            TCP Co-Investment SCSP (LUX)            TCP Incentive Partners SCSP (LUX)            TCP Incentive Partners (GP) S.à.r.l. (LUX)            German Property Performance Partners Investors Feeder Verwaltungs GmbH (DEU)            EPISO 4 (GP) S.à.r.l. (LUX)            EPISO 4 (GP) II S.à.r.l. (LUX)         </p>
--	---

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

#### New York Life Investment Management Holdings LLC (continued)

Candriam Luxco S.á.r.l. (LUX)	Candriam Fund Sustainable European Equities Fossil Free (LUX)
Candriam Luxembourg (LUX)	Candriam GF (LUX)
Candriam Belgium (BEL)	Candriam GF Global Equity Opportunities (LUX)
Candriam France (FRA)	Candriam GF Short Duration US High Yield Bonds (LUX)
Candriam Monétaire SICAV (FRA)	Candriam GF U.S. Equity Opportunities (LUX)
Candriam Switzerland LLC (CHE)	Candriam GF US High Yield Corporate Bonds (LUX)
Belfius Fund (LUX)	Candriam GF US Corporate Bonds (LUX)
Belfius Equities (LUX)	Candriam Global Alpha (LUX)
Cordius (LUX)	Candriam Index Arbitrage (LUX)
Cordius CIG (LUX)	Candriam L (LUX)
IndexIQ (LUX)	Candriam L Balanced Asset Allocation (LUX)
IndexIQ Factors Sustainable Corporate Euro Bond (LUX)	Candriam L Conservative Asset Allocation (LUX)
IndexIQ Factors Sustainable Sovereign Euro Bond (LUX)	Candriam L Defensive Asset Allocation (LUX)
IndexIQ Factors Sustainable Europe Equity (LUX)	Candriam L Dynamic Asset Allocation (LUX)
IndexIQ Factors Sustainable EMU Equity (LUX)	Candriam L Multi-Asset Income (LUX)
IndexIQ Factors Sustainable Japan Equity (LUX)	Candriam L Multi-Asset Income & Growth (LUX)
Candriam Absolute Return (LUX)	Candriam L Multi-Asset Premia (LUX)
Candriam Absolute Return Equity Market Neutral (LUX)	Candriam Money Market (LUX)
Candriam Absolute Return Long Short Digital Equity (LUX)	Candriam Money Market Euro AAA (LUX)
Candriam Alternative (LUX)	Candriam Multi-Strategies (LUX)
Candriam Alternative Systemat (LUX)	Candriam Quant (LUX)
Candriam Bonds (LUX)	Candriam Quant Equities USA (LUX)
Candriam Bonds Capital Securities (LUX)	Candriam Risk Arbitrage (FRA)
Candriam Bonds Convertible Defensive (LUX)	Candriam SRI (LUX)
Candriam Bonds Convertible Opportunities (LUX)	Candriam SRI Bond Euro Aggregate Index (LUX)
Candriam Bonds Credit Opportunities (LUX)	Candriam SRI Bond Emerging Markets (LUX)
Candriam Bonds Emerging Debt Local Currencies (LUX)	Candriam SRI Bond Euro (LUX)
Candriam Bonds Emerging Markets (LUX)	Candriam SRI Bond Euro Corporate (LUX)
Candriam Bonds Emerging Markets Total Return (LUX)	Candriam SRI Bond Global High Yield (LUX)
Candriam Bonds Euro Corporate (LUX)	Candriam SRI Defensive Asset Allocation (LUX)
Candriam Bonds Euro Government (LUX)	Candriam SRI Equity Climate Action (LUX)
Candriam Bonds Euro High Yield (LUX)	Candriam SRI Equity Emerging Markets (LUX)
Candriam Bonds Euro Short Term (LUX)	Candriam SRI Equity EMU (LUX)
Candriam Bonds Euro Long Term (LUX)	Candriam SRI Equity Europe (LUX)
Candriam Bonds Global High Yield (LUX)	Candriam SRI Equity North America (LUX)
Candriam Bonds Global Sovereign Quality (LUX)	Candriam SRI Equity World (LUX)
Candriam Bonds International (LUX)	Candriam SRI Money Market Euro (LUX)
Candriam Bonds Total Return (LUX)	Candriam Sustainable (LUX)
Candriam Business Equities (BEL)	Candriam Sustainable Euro Corporate Bonds (LUX)
Candriam Diversified Futures (BEL)	Candriam Sustainable Euro Bonds (LUX)
Candriam Equities L (LUX)	Candriam Sustainable Euro Short Term Bonds (LUX)
Candriam Equities L Emerging Markets (LUX)	Candriam Sustainable Europe (LUX)
Candriam Equities L Europe Conviction (LUX)	Candriam Sustainable North America (LUX)
Candriam Equities L Europe Small & Mid Caps (LUX)	Candriam Sustainable High (LUX)
Candriam Equities L Global Demography (LUX)	Candriam Sustainable World Bonds (LUX)
Candriam Equities L Robotics & Innovation Technology (LUX)	Candriam World Alternative (LUX)
Candriam Fund (LUX)	Candriam World Alternative Alphamax (LUX)
Candriam Fund Sustainable Euro Corporate Bonds Fossil Free (LUX)	Candriam Patrimoine Obli-Inter (LUX)

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

#### New York Life Investment Management Holdings LLC (continued)

Cleome Index (LUX)	Private Advisors Coinvestment Fund IV, LP (DE)
Cleome Index EMU Equities (LUX)	PACIF IV Carry Parent, LLC (DE)
Cleome Index Euro Long Term Bonds (LUX)	PACIF IV Carry, LLC (DE)
Cleome Index Euro Short Term Bonds (LUX)	PAMMF GP, LLC (DE)
Cleome Index Global Equities (LUX)	PA Middle Market Fund, LP (DE)
Cleome Index Pacific Equities (LUX)	PA Hedged Equity Fund, L.P. (DE)
Cleome Index World Equities (LUX)	Private Advisors Hedged Equity Fund (QP), L.P. (DE)
CMM (LUX)	Private Advisors Hedged Equity Master Fund (DE)
Paricor (LUX)	PASOF GP, LLC (DE)
Paricor Patrimonium (LUX)	PA Strategic Opportunities Fund, LP (DE)
Ausbil Investment Management Limited (AUS)	PASCBF III GP, LLC (DE)
Ausbil Australia Pty. Ltd. (AUS)	Private Advisors Small Company Buyout Fund III, LP (DE)
Ausbil Asset Management Pty. Ltd. (AUS)	PASCBF IV GP, LLC (DE)
Ausbil Focus Investment Company Limited (AUS)	Private Advisors Small Company Buyout Fund IV, LP (DE)
Ausbil Global Infrastructure Pty. Limited (AUS)	PASCBF IV Carry Parent, LLC (DE)
ISPT Holding (AUS)	PASCBF IV Carry, LLC (DE)
Ausbil Investment Management Limited Employee Share Trust (AUS)	PASCBF V GP, LLC (DE)
Ausbil 130/30 Focus Fund (AUS)	Private Advisors Small Company Buyout Fund V, LP (DE)
Ausbil Dividend Income Fund (AUS)	Private Advisors Small Company Buyout V-ERISA Fund, LP (DE)
Ausbil Active Sustainable Equity Fund (AUS)	PASCBF V Carry Parent, LLC (DE)
Ausbil Australian Active Equity Fund (AUS)	PASCBF V Carry, LLC (DE)
Ausbil Australian Concentrated Equity Fund (AUS)	PASCPEF VI Carry Parent, LLC (DE)
Ausbil Australian Emerging Leaders Fund (AUS)	PASCPEF VI Carry, LLC (DE)
Ausbil Australian Geared Equity Fund (AUS)	PASCPEF VI GP, LLC (DE)
Ausbil Australian Smallcap Fund (AUS)	Private Advisors Small Company Private Equity Fund VI, LP (DE)
Ausbil Balanced Fund (AUS)	Private Advisors Small Company Private Equity Fund VI (Cayman), LP (CYM)
Ausbil EGS Focus Fund (AUS)	PASCPEF VII GP, LLC (DE)
Ausbil Global Essential Infrastructure Fund (AUS)	Private Advisors Small Company Private Equity Fund VII, LP (DE)
Ausbil Global Resources Fund (AUS)	Private Advisors Small Company Private Equity Fund VII (Cayman), LP (CYM)
Ausbil Global SmallCap Fund (AUS)	PASCPEF VII Carry Parent, LLC (DE)
Ausbil Microcap Fund (AUS)	PASCPEF VII Carry, LLC (DE)
Ausbil IT - Candriam Sustainable Global Equity Fund (AUS)	PASCPEF VIII GP, LLC (DE)
MacKay Shields Unconstrained Bond Fund (AUS)	Private Advisors Small Company Private Equity Fund VIII, LP (DE)
NYLIFE Distributors LLC (DE)	Private Advisors Small Company Private Equity Fund VIII (Cayman), LP (DE)
Private Advisors L.L.C. (DE)	PASCPEF IX GP, LLC (DE)
PACIF Carry Parent, LLC (DE)	Private Advisors Small Company Private Equity Fund IX, LP (DE)
PACIF Carry, LLC (DE)	Cuyahoga Capital Partners I Management Group, LLC (DE)
PACIF GP, LLC (DE)	Cuyahoga Capital Partners I, L.P. (DE)
Private Advisors Coinvestment Fund, LP (DE)	Cuyahoga Capital Partners II Management Group LLC (DE)
PACIF II GP, LLC (DE)	Cuyahoga Capital Partners II LP (DE)
Private Advisors Coinvestment Fund II, LP (DE)	Cuyahoga Capital Partners III Management Group LLC (DE)
PACIF II Carry Parent, LLC (DE)	Cuyahoga Capital Partners III LP (DE)
PACIF II Carry, LLC (DE)	Cuyahoga Capital Partners IV Management Group LLC (DE)
PACIF III GP, LLC (DE)	Cuyahoga Capital Partners IV LP (DE)
Private Advisors Coinvestment Fund III, LP (DE)	Cuyahoga Capital Emerging Buyout Partners Management Group LLC (DE)
PACIF III Carry Parent, LLC (DE)	Cuyahoga Capital Emerging Buyout Partners LP (DE)
PACIF III Carry, LLC (DE)	PA Real Assets Carry Parent, LLC (DE)
PACIF IV GP, LLC (DE)	PA Real Assets Carry, LLC (DE)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

New York Life Investment Management Holdings LLC (continued)

PA Emerging Manager Carry Parent, LLC (DE)  
    PA Emerging Manager Carry, LLC (DE)  
RIC I GP, LLC (DE)  
    Richmond Coinvestment Partners I, LP (DE)  
RIC I Carry Parent, LLC (DE)  
    RIC I Carry, LLC (DE)  
PASF V GP, LLC (DE)  
    Private Advisors Secondary Fund V, LP (DE)  
PASF V Carry Parent, LLC (DE)  
    PASF V Carry, LLC (DE)  
PARAF GP, LLC (DE)  
    Private Advisors Real Assets Fund, LP (DE)  
PARAF Carry Parent, LLC (DE)  
    PARAF Carry, LLC (DE)  
PASCCIF GP, LLC (DE)  
    Private Advisors Small Company Coinvestment Fund, LP (DE)  
    Private Advisors Small Company Coinvestment Fund-ERISA, LP (DE)  
PASCCIF Carry Parent, LLC (DE)  
    PASCCIF Carry, LLC (DE)  
PARAF II GP LLC (DE)  
    Private Advisors Real Assets Fund II, LP (DE)  
Private Advisors Hedged Equity Fund, Ltd. (CYM)  
Private Advisors Hedged Equity Fund (QP), Ltd. (CYM)  
    Private Advisors Hedged Equity Master Fund, Ltd. (CYM)  
UVF GP, LLC (DE)  
Undiscovered Value Fund, LP (DE)  
Undiscovered Value Fund, Ltd. (CYM)  
    Undiscovered Value Master Fund SPC (CYM)  
Washington Pike GP, LLC (DE)  
    Washington Pike LP (DE)  
PAMMF GP, LLC (DE)  
    PA Middle Market Fund, LP (DE)  
    PA Strategic Opportunities Fund GP, LLC (DE)  
    PA Strategic Opportunities Fund, LP (DE)

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

#### NYLife Real Estate Holdings LLC

Huntsville NYL LLC (DE)  
 CC Acquisitions, LP (DE)  
 REEP-IND Cedar Farms TN LLC (DE)  
     Cedar Farms JV LLC (DE)  
 REEP-IND Continental NC LLC (DE)  
     LRC-Patriot, LLC (DE)  
         REEP-LRC Industrial LLC (DE)  
 REEP-IND Forest Park NJ LLC (DE)  
     FP Building 4 LLC (DE)  
     FP Building 1-2-3 LLC (DE)  
     FP Building 17, LLC (DE)  
     FP Building 20, LLC (DE)  
     FP Mantua Grove LLC (DE)  
     FP Lot 1.01 LLC (DE)  
 REEP-IND NJ LLC (DE)  
     NJIND JV LLC (DE)  
         NJIND Hook Road LLC (DE)  
         NJIND Bay Avenue LLC (DE)  
         NJIND Corbin Street LLC (DE)  
 REEP-MF Cumberland TN LLC (DE)  
     Cumberland Apartments, LLC (TN)  
 REEP-MF Enclave TX LLC (DE)  
     Enclave CAF LLC (DE)  
 REEP-MF Marina Landing WA LLC (DE)  
     REEP-SP Marina Landing LLC (DE)  
 REEP-MF Mira Loma II TX LLC (DE)  
     Mira Loma II, LLC (DE)  
 REEP-MF Summitt Ridge CO LLC (DE)  
     Summitt Ridge Apartments, LLC (DE)  
 REEP-MF Woodridge IL LLC (DE)  
 REEP-OF Centerpointe VA LLC (DE)  
     Centerpointe (Fairfax) Holdings LLC (DE)  
 REEP-OFC 575 Lex NY LLC (DE)  
 REEP-OFC 575 Lex NY GP LLC (DE)  
     Maple REEP-OFC 575 Lex Holdings LP (DE)  
     Maple REEP-OFC 575 Lex Owner LLC (DE)  
 REEP-RTL SASI GA LLC (DE)  
 REEP-RTL Bradford PA LLC (DE)  
 REEP-OFC Royal Centre GA LLC (DE)  
     Royal Centre, LLC (DE)  
 REEP-RTL CTC NY LLC (DE)  
 REEP-OFC 5005 LBJ Freeway TX LLC (DE)  
     5005 LBJ Tower LLC (DE)  
 REEP-MF SPENCER NV LLC (DE)  
     REEP-HZ SPENCER JV LLC (DE)  
     REEP-HZ SPENCER LLC (DE)  
 REEP-OFC/RTL MARKET ROSS TX LLC (DE)  
     MARKET ROSS TX JV LLC (DE)

MARKET ROSS TX GARAGE OWNER LC (DE)  
 MARKET ROSS TX OFFICE OWNER LLC (DE)  
 MARKET ROSS TX RETAIL OWNER LLC (DE)  
 REEP-OFC Mallory TN LLC (DE)  
     3665 Mallory JV LLC (DE)  
 REEP-OFC WATER RIDGE NC LLC (DE)  
 REEP-OFC VIRIDIAN AZ LLC (DE)  
     REEP-HINES VIRIDIAN JV LLC (DE)  
 REEP-OFC 2300 Empire LLC (DE)  
 REEP-MF Wynnewood PA LLC (DE)  
     Wynnewood JV LLC (DE)  
 REEP-MU Fayetteville NC LLC (DE)  
     501 Fayetteville JV LLC (DE)  
     501 Fayetteville Owner LLC (DE)  
 REEP-MU SOUTH GRAHAM NC LLC (DE)  
     401 SOUTH GRAHAM JV LLC (DE)  
     401 SOUTH GRAHAM OWNER LLC (DE)  
 REEP-IND COMMERCE CITY CO LLC (DE)  
     REEP-BRENNAN COMMERCE CITY JV LLC (DE)  
 REEP-MF ART TOWER OR LLC (DE)  
     REEP-WP ART TOWER JV LLC (DE)  
 REEP-OFC Mass Ave MA LLC (DE)

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0826	New York Life Group	66915	13-5582869	1583827	0000071633		New York Life Insurance Company	NY	UDP						
.0826	New York Life Group	91596	13-3044743	3683691	0000727136		New York Life Insurance and Annuity Corporation	DE	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group	81353	52-1530175				NYLIFE Insurance Company of Arizona	AZ		New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			13-4199614				New York Life Enterprises LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			13-4081725	2928649	0001270096		NYLIFE LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			46-4293486		0001606720		NYL Investors LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			36-4715120				Madison Capital Funding LLC	DE	NIA	New York Life Insurance Company	Ownership	55.000	New York Life Insurance Company	N	
			36-4715120				Madison Capital Funding LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	45.000	New York Life Insurance Company	N	
			52-2206682		0001513831		New York Life Investment Management Holdings LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			27-0166422				NYLife Real Estate Holdings, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			47-2379075				NYL Real Assets LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			47-2530753				NYL Emerging Manager LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYL Wind Investments LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYLIC HKP Member LLC	DE	NIA	New York Life Insurance Company	Ownership	67.974	New York Life Insurance Company	N	
							NYLIC HKP Member LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	32.026	New York Life Insurance Company	N	
			26-2806813				MCF Co-Investment GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-2806864		0001538585		MCF Co-Investment GP LP	DE	NIA	MCF Co-Investment GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-2806918		0001538584		Madison Capital Funding Co-Investment Fund LP	DE	NIA	MCF Co-Investment GP LP	Ownership	100.000	New York Life Insurance Company	N	
			80-0920962				Madison Avenue Loan Fund GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
			61-1711540		0001577927		Madison Avenue Loan Fund LP	DE	NIA	Madison Avenue Loan Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							MCF Fund I LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
			30-1143853				MCF Hanwha Fund LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
							Ironshore Investment BL I Ltd.	BMU	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			46-2213974				MCF CLO IV LLC	DE	NIA	New York Life Insurance Company	Ownership	6.700	New York Life Insurance Company	N	
			46-2213974				MCF CLO IV LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			81-4067250				MCF CLO V LLC	DE	NIA	New York Life Insurance Company	Ownership	5.000	New York Life Insurance Company	N	
			81-4067250				MCF CLO V LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			82-1943737				MCF CLO VI LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			82-2734635				MCF CLO VII LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
							MCF CLO VIII Ltd	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
							MCF CLO VIII LLC	DE	NIA	MCF CLO VIII Ltd	Ownership	100.000	New York Life Insurance Company	N	
							MCF CLO IX Ltd	CYM	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
							MCF CLO IX LLC	DE	NIA	MCF CLO IX Ltd.	Ownership	100.000	New York Life Insurance Company	N	
			36-4883128				MCF KB Fund LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			61-1907486				MCF KB Fund II LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
							MCF Hyundai Fund LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			37-1749427				Montpelier Carry Parent, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
							Montpelier Carry, LLC	DE	NIA	Montpelier Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-2042988				Montpelier GP, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-2042988		0001570694		Montpelier Fund, L.P.	DE	NIA	Montpelier GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			35-2537165				MCF Mezzanine Carry I LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			32-0469843				MCF Mezzanine Fund I LLC	DE	NIA	New York Life Insurance Company	Ownership	66.670	New York Life Insurance Company	N	
							New York Life Insurance and Annuity Corporation	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	33.330	New York Life Insurance Company	N	
			32-0469843				MCF Mezzanine Fund I LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
							MCF PD Fund GP LLC	DE	NIA	MCF PD Fund GP LLC	Other	0.000	New York Life Insurance Company	N	1
			98-1450997				MCF PD Fund LP	DE	NIA	MCF PD Fund GP LLC	Other	0.000	New York Life Insurance Company	N	1
							MCF Senior Debt Fund 2019-I GP LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			83-4242231				MCF Senior Debt Fund 2019-I LP	DE	NIA	MCF Senior Debt Fund 2019-I GP LLC	Other	0.000	New York Life Insurance Company	N	1
							Warwick Seller Representative, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
				2644154			Young America Holdings, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	36.350	New York Life Insurance Company	N	
							YAC.ECOM Incorporated	MN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Young America, LLC	MN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Global Fulfillment Services, Inc.	AZ	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
							SourceOne Worldwide, Inc.	MN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
							YA Canada Corporation	CAN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001347648		Zenith Products Holdings, Inc	DE	NIA	Madison Capital Funding LLC	Ownership	16.360	New York Life Insurance Company	N	
							ZPC Holding Corp.	DE	NIA	Zenith Products Holdings Inc.	Ownership	100.000	New York Life Insurance Company	N	
							Zenith Products Corporation	DE	NIA	ZPC Holding Corp.	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Jacob Ballas India Holdings IV	MUS	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Flatiron CLO 2004-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			27-4658141				NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A	DE	OTH	NYLIM Flatiron CLO 2004-1 Ltd.	Influence	0.000	New York Life Insurance Company	N	5
							NYLIM Flatiron CLO 2006-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			27-4658881				NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A	DE	OTH	NYLIM Flatiron CLO 2006-1 Ltd.	Influence	0.000	New York Life Insurance Company	N	5
							Flatiron CLO 2007-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
							NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A	CYM	OTH	Flatiron CLO 2007-1 Ltd.	Influence	0.000	New York Life Insurance Company	N	5
			27-4706466				Flatiron CLO 2011-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			98-1011066				Flatiron CLO 2012-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			99-0372384				Flatiron CLO 2013-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			98-1075997				Flatiron CLO 2014-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			98-1089000				Flatiron CLO 2015-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			98-1180305				Flatiron CLO 17 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			98-1330289				Flatiron CLO 18 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
							Flatiron CLO 18 Funding Ltd.	CYM	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
				4007034			Stratford CDO 2001-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	9
							Silverado CLO 2006-II Limited	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			27-4659041				Silverado CLO 2006-II Equity Holdings LLC, Series A	CYM	OTH	Silverado CLO 2006-II Limited	Influence	0.000	New York Life Insurance Company	N	5
							Silver Spring, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							Silver Spring Associates, L.P.	PA	NIA	Silver Spring, LLC	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-002 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-003 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-006 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-007-LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-008 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-009 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-017 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-018 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-021 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-025 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-031 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-036 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-041 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-043 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-044 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-048 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-061 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-063 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-067 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-069 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							SCP 2005-C21-070 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	

13.1



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
							NYMH-Ennis GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Ennis, L.P.	TX	NIA	NYMH-Ennis GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Freepport GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Freepport, L.P.	TX	NIA	NYMH-Freepport GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Houston GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Houston, L.P.	TX	NIA	NYMH-Houston GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Plano GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Plano, L.P.	TX	NIA	NYMH-Plano GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-San Antonio GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-San Antonio, L.P.	TX	NIA	NYMH-San Antonio GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Stephenville GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Stephenville, L.P.	TX	NIA	NYMH-Stephenville GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Taylor GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Taylor, L.P.	TX	NIA	NYMH-Taylor GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYMH Attleboro MA, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYMH-Farmingdale, NY, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYLMDC-King of Prussia GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYLMDC-King of Prussia Realty, LP	DE	NIA	NYLMDC King of Prussia GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-3304035				2015 DIL PORTFOLIO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			47-3444658				PA 180 KOST RD LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-2586171				2017 CT REO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership	62.308	New York Life Insurance Company	N	
							2017 CT REO HOLDINGS LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	37.692	New York Life Insurance Company	N	
			82-2586171				Cortlandt Town Center LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND 10 WEST AZ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND 4700 NaII TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			37-1768259				REEP-IND Aegean MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Alpha TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			83-2598877				REEP-IND MCP VIII NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND CHINO CA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			61-1738919				REEP-IND FREEDOM MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Fridley MN LLC	MN	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Green Oaks IL LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Kent LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			32-0442193				REEP-IND LYMAN MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			83-4607723				REEP-IND MCP II NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			83-4626597				REEP-IND MCP III NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			83-4646530				REEP-IND MCP IV NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			83-4685915				REEP-IND MCP V NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			83-4592121				REEP-IND MCP VII NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND MCP III OWNER NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND RTG NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Simonton TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Valley View TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Valwood TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			82-1945938				REEP-MF 960 East Paces Ferry GA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF 960 EPF Opco GA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Gateway TAF UT LLC	DE	NIA	New York Life Insurance Company	Ownership	99.000	New York Life Insurance Company	N	
							REEP-MF Gateway TAF UT LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	1.000	New York Life Insurance Company	N	
							REEP-WP Gateway TAB JV LLC	DE	NIA	REEP-MF Gateway TAF UT LLC	Ownership	99.000	New York Life Insurance Company	N	
							REEP-WP Gateway TAB JV LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	1.000	New York Life Insurance Company	N	
							REEP-MF Issaquah WA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
							REEP-MF Mount Vernon GA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Mount Laurel NJ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Verde NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Wallingford WA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC Bellevue WA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC WATER RIDGE NC HOLDCO LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC ONE WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC TWO WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC FOUR WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC FIVE WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC SIX WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC SEVEN WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC EIGHT WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC NINE WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC TEN WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC ELEVEN WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			81-2351415				REEP-MF FOUNTAIN PLACE MN LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			81-2456809				REEP-MF FOUNTAIN PLACE MN LLC	DE	NIA	REEP-MF FOUNTAIN PLACE MN LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC 2300 EMPIRE CA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND 10 WEST II AZ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-RTL Flemington NJ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							REEP-RTL Mill Creek NJ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NJIND Raritan Center LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NJIND Talmadge Road LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NJIND Melrich Road LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							FP Building 18, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							FP Building 19, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							PTC Acquisitions, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							Martingale Road LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Funding	CYM	OTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	N	6
							New York Life Global Funding	DE	OTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	N	6
							NYL Equipment Issuance Trust	DE	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
							NYL Equipment Issuance Trust 2014-2	DE	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
							Government Energy Savings Trust 2003-A	NY	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
							UFI-NOR Federal Receivables Trust, Series 2009B	NY	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
							JREP Fund Holdings I, L.P.	CYM	NIA	New York Life Insurance Company	Ownership	12.500	New York Life Insurance Company	N	
							Jaguar Real Estate Partners L.P.	CYM	NIA	New York Life Insurance Company	Ownership	30.300	New York Life Insurance Company	N	
							NYLIFE Office Holdings Member LLC	DE	NIA	New York Life Insurance Company	Ownership	51.000	New York Life Insurance Company	N	
					0001711406		NYLIFE Office Holdings LLC	DE	NIA	NYLIFE Office Holdings Member LLC	Ownership	51.000	New York Life Insurance Company	N	
					0001728620		NYLIFE Office Holdings REIT LLC	DE	NIA	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC DRAKES LANDING LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC CORPORATE POINTE CA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC VON KARMAN CA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC ONE BONDINOI SQUARE MA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC 525 N Tryon NC LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-2591038				525 Charlotte Office LLC	DE	NIA	REEP-OFC 525 N Tryon NC LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001728621		NYLIFE Office Holdings Acquisition REIT LLC	DE	NIA	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC Westory DC LLC	DE	NIA	NYLIFE Office Holdings Acquisition REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							MSVEF Investor LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
					0001742549		MSVEF Feeder LP	DE	NIA	MSVEF Investor LLC	Ownership	55.560	New York Life Insurance Company	N	
							MSVEF REIT LLC	DE	NIA	MSVEF Feeder LP	Ownership	55.560	New York Life Insurance Company	N	
							Madison Square Value Enhancement Fund LP	DE	NIA	MSVEF REIT LLC	Ownership	51.000	New York Life Insurance Company	N	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
							MSVEF-MF Evanston GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	51.000	New York Life Insurance Company	N	
							MSVEF-MF Evanston II LP	DE	NIA	MSVEF-MF Evanston GP LLC	Ownership	51.000	New York Life Insurance Company	N	
							SEAF Sichuan SME Investment Fund LLC	DE	NIA	New York Life Enterprises LLC	Ownership	39.980	New York Life Insurance Company	N	
			98-0412951				New York Life International Holdings Limited	MUS	NIA	New York Life Enterprises LLC	Ownership	84.380	New York Life Insurance Company	N	
			98-0412951				New York Life International Holdings Limited	MUS	NIA	NYL Cayman Holdings Ltd.	Ownership	15.620	New York Life Insurance Company	N	
							MAX Ventures and Industries Limited	IND	NIA	New York Life International Holdings Limited	Ownership	21.400	New York Life Insurance Company	N	
							MAX Ventures and Industries Limited	IND	NIA	New York Life Insurance Company	Ownership	1.400	New York Life Insurance Company	N	
							NYL Cayman Holdings Ltd.	CYM	NIA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYL Worldwide Capital Investments, LLC	DE	NIA	NYL Cayman Holdings Ltd.	Ownership	100.000	New York Life Insurance Company	N	
							Seguros Monterrey New York Life, S.A. de C.V.	MEX	IA	New York Life Enterprises LLC	Ownership	99.998	New York Life Insurance Company	N	
							Seguros Monterrey New York Life, S.A. de C.V.	MEX	IA	NYL Worldwide Capital Investments	Ownership	0.002	New York Life Insurance Company	N	
							Administradora de Conductos SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	N	
							Agencias de Distribucion SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	N	
							Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	N	
							Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Agencias de Distribucion SMNYL, S.A. de C.V.	Ownership	1.000	New York Life Insurance Company	N	
			26-1483563				Eagle Strategies LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-3853547				New York Life Capital Corporation	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-3808042		0001033244		New York Life Trust Company	NY	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-5582869				NYL Executive Benefits LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-0145686		0000071637		NYLIFE Securities LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-3929029				NYLINK Insurance Agency Incorporated	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLUK I Company	GBR	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLUK II Company	GBR	NIA	NYLUK I Company	Ownership	100.000	New York Life Insurance Company	N	
							Gresham Mortgage	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
							W Construction Company	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
							WUT	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
							WIM (AIM)	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
							NYL Investors (U.K.) Limited	GBR	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYL Investors REIT Manager LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Holdings NCVAD GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001570433		McMorgan Northern California Value Add/Development Fund I, LP	DE	NIA	NYLIM Holdings NCVAD GP LLC	Ownership	50.000	New York Life Insurance Company	N	
							MNCVAD-IND Norris Canyon CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD-CP Norris Canyon LLC	DE	NIA	MNCVAD-IND Norris Canyon CA LLC	Ownership	94.000	New York Life Insurance Company	N	
							MNCVAD-OFC 2665 NORTH FIRST CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD-SEAGATE 2665 NORTH FIRST LLC	DE	NIA	MNCVAD-OFC 2665 North First CA LLC	Ownership	90.000	New York Life Insurance Company	N	
							MNCVAD-OFC Bridgepointe CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD-OFC RIDDER PARK CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD-GRAYMARK RIDDER PARK LLC	DE	NIA	MNCVAD-OFC RIDDER PARK CA LLC	Ownership	97.500	New York Life Insurance Company	N	
							MNCVAD-OFC ONEBAY CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
							MNCVAD-HARVEST ONE BAY LLC	DE	NIA	MNCVAD-OFC ONEBAY CA LLC	Ownership	95.000	New York Life Insurance Company	N	
							MNCVAD-IND RICHMOND CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							NYL Investors NCVAD II GP, LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001705770		McMorgan Northern California Value Add/Development Fund II, LP	DE	NIA	NYL Investors NCVAD II GP, LLC	Ownership	50.000	New York Life Insurance Company	N	
							MNCVAD II-MF HENLEY CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD II-SP HENLEY JV LLC	DE	NIA	MNCVAD II-MF HENLEY CA LLC	Ownership	90.000	New York Life Insurance Company	N	
							MNCVAD II-SP HENLEY OWNER LLC	DE	NIA	MNCVAD II-SP HENLEY JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD II-OFC 770 L Street CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD II-MF UNION CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD II- HOLLIDAY UNION JV LLC	DE	NIA	MNCVAD II-MF UNION CA LLC	Ownership	90.000	New York Life Insurance Company	N	
							MNCVAD II-OFC HARBORS CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD II-SEAGATE HARBORS LLC	DE	NIA	MNCVAD II-OFC HARBORS CA LLC	Ownership	90.000	New York Life Insurance Company	N	
							MSSDF Member LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Madison Square Structured Debt Fund LP	DE	NIA	MSSDF Member LLC	Ownership	100.000	New York Life Insurance Company	N	
							MSSDF GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
							MSSDF REIT LLC	DE	NIA	MSSDF GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							MSSDF REIT Funding Sub I LLC	DE	NIA	MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							MSVEF GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
							MCPF GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Madison Core Property Fund LP	DE	NIA	NYL Investors LLC	Management	0.000	New York Life Insurance Company	N	11
							MCPF Holdings Manager LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MCPF MA Holdings LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MCPF Holdings LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MIREF 1500 Quail, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Mill Creek, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Gateway, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Delta Court, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Fremont Distribution Center, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Century, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Saddle River LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Newpoint Commons, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Northsight, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Riverside, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Corporate Woods, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Bedminster, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	N	
							Bartons Lodge Apartments, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	90.000	New York Life Insurance Company	N	
							MIREF Marketpointe, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF 101 East Crossroads, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							101 East Crossroads, LLC	DE	NIA	MIREF 101 East Crossroads, LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Hawthorne, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Auburn 277, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Sumner North, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Wellington, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Warner Center, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MF Duluth GA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-OFC Centerstone I CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-OFC Centerstone III CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MOB Centerstone IV CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	

135

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
			47-2279230				MADISON-OFC Centerpoint Plaza CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-2283899				MADISON-IND Logistics NC LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MCPF-LRC Logistics LLC	DE	NIA	MADISON-IND Logistics NC LLC	Ownership	90.000	New York Life Insurance Company	N	
							MADISON-MF Desert Mirage AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-OFC One Main Place OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-IND Fenton MO LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-IND Hitzert Roadway MO LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MF Hoyt OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-RTL Clifton Heights PA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5640009				MADISON-IND Locust CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-OFC Weston Pointe FL LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MF Henderson NV LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MCPF-SP Henderson LLC	DE	NIA	MADISON-MF Henderson NV LLC	Ownership	90.000	New York Life Insurance Company	N	
							MADISON-SP Henderson LLC	DE	NIA	MCPF-SP Henderson LLC	Ownership	90.000	New York Life Insurance Company	N	
							MADISON-IND VISTA LOGISTICS OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-SPECHT VISTA LOGISTICS LLC	DE	NIA	MADISON-IND VISTA LOGISTICS OR LLC	Ownership	95.000	New York Life Insurance Company	N	
							MADISON-MF MCCADDEN CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-OFC 1201 WEST IL LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MCCAFFERY 1201 WEST IL LLC	DE	NIA	MADISON-OFC 1201 WEST IL LLC	Ownership	92.500	New York Life Insurance Company	N	
							MADISON-MF CRESTONE AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Investment Management Asia Limited	CYM	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-4080466		0000061227		MackKay Shields LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-2850988				MackKay Shields Core Plus Opportunities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-2851036		0001502131		MackKay Shields Core Plus / Opportunities Fund LP	DE	NIA	MackKay Shields Core Plus Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-0676586				MackKay Municipal Managers Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-2332835		0001432467		MackKay Municipal Opportunities Master Fund, L.P.	DE	NIA	MackKay Municipal Managers Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			22-2267512		0001432468		MackKay Municipal Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-0676650				MackKay Municipal Managers Credit Opportunities GP, LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			30-0523736		0001460030		MackKay Municipal Credit Opportunities Master Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			30-0523739		0001460023		MackKay Municipal Credit Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			38-4019880		0001700102		MackKay Municipal Credit Opportunities HL Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1374021				MackKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC	CYM	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1370729		0001710885		MackKay Municipal Credit Opportunities HL (Cayman) Fund, LP	CYM	NIA	MackKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-3040968				MackKay Municipal Short Term Opportunities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-3041041		0001532022		MackKay Municipal Short Term Opportunities Fund LP	DE	NIA	MackKay Municipal Short Term Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							Plainview Funds plc	IRL	NIA	MackKay Shields LLC	Ownership	50.000	New York Life Insurance Company	N	
							Plainview Funds plc	IRL	NIA	MackKay Shields LLC	Board of Directors	0.000	New York Life Insurance Company	N	
							Plainview Funds plc - MackKay Shields Emerging Markets Credit Portfolio	IRL	NIA	New York Life Insurance Company	Management	0.000	New York Life Insurance Company	N	2

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
							Plainview Funds plc - MacKay Shields Emerging Markets Credit Portfolio	.IRL	.NIA	New York Life Insurance and Annuity Corporation	Management	0.000	New York Life Insurance Company	.N	2
							Plainview Funds plc - MacKay Shields Unconstrained Bond Portfolio	.IRL	.NIA	New York Life Insurance Company	Management	0.000	New York Life Insurance Company	.N	2
							Plainview Funds plc - MacKay Shields Unconstrained Bond Portfolio	.IRL	.NIA	MacKay Shields LLC	Ownership	0.730	New York Life Insurance Company	.N	
							Plainview Funds plc - MacKay Shields Floating Rate High Yield Portfolio	.IRL	.NIA	New York Life Insurance Company	Ownership	90.670	New York Life Insurance Company	.N	
							Plainview Funds plc - MacKay Shields Floating Rate High Yield Portfolio	.IRL	.NIA	MacKay Shields LLC	Ownership	9.320	New York Life Insurance Company	.N	
							Plainview Funds plc - MacKay Shields Core Plus Opportunities Portfolio	.IRL	.NIA	MacKay Shields LLC	Management	0.000	New York Life Insurance Company	.N	2
			22-6861385				MacKay Shields Statutory Trust High Yield Bond Series	.CT	.NIA	Plainview Funds plc	Management	0.000	New York Life Insurance Company	.N	2
							Plainview Funds plc - MacKay Shields High Yield Crossover Portfolio	.IRL	.NIA	New York Life Insurance Company	Management	0.000	New York Life Insurance Company	.N	2
							Plainview Funds plc - MacKay Shields High Yield Crossover Portfolio	.IRL	.NIA	MacKay Shields LLC	Ownership	3.320	New York Life Insurance Company	.N	
			27-3064248				MacKay Shields High Yield Active Core Fund GP LLC	.DE	.NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
					0001502130		MacKay Shields High Yield Active Core Fund LP	.DE	.NIA	MacKay Shields High Yield Active Core Fund GP LLC	Ownership	100.000	New York Life Insurance Company	.N	
			26-4248749				MacKay Shields Credit Strategy Fund Ltd	.CYM	.NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
			98-0540507				MacKay Shields Credit Strategy Partners LP	.DE	.NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
			13-4357172		3859263		MacKay Shields Defensive Bond Arbitrage Fund Ltd.	.BMJ	.NIA	MacKay Shields LLC	Ownership	0.130	New York Life Insurance Company	.N	
					0001502133		MacKay Shields Defensive Bond Arbitrage Fund Ltd.	.BMJ	.NIA	New York Life Insurance Company	Ownership	12.740	New York Life Insurance Company	.N	
							MacKay Shields Core Fixed Income Fund GP LLC	.DE	.NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
			45-2732939				MacKay Shields Core Fixed Income Fund LP	.DE	.NIA	MacKay Shields Core Fixed Income Fund GP LLC	Ownership	100.000	New York Life Insurance Company	.N	
			45-2733007		0001529525		MacKay Shields Select High Yield Bond Fund GP LLC	.DE	.NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
			82-1760156				MacKay Shields Select High Yield Bond Fund LP	.DE	.NIA	MacKay Shields Select High Yield Bond Fund GP LLC	Ownership	100.000	New York Life Insurance Company	.N	
			81-4553436		0001703194		MacKay Shields Select High Yield Bond Fund GP LLC	.DE	.NIA	MacKay Shields Select High Yield Bond Fund GP LLC	Ownership	100.000	New York Life Insurance Company	.N	
			81-4560451				MacKay Shields High Yield Crossover Fund LP	.DE	.NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
			98-1108933				MacKay Shields (International) Ltd.	.GBR	.NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
			98-1108959				MacKay Shields (Services) Ltd.	.GBR	.NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
			98-1108940				MacKay Shields UK LLP	.GBR	.NIA	MacKay Shields (International) Ltd.	Ownership	99.000	New York Life Insurance Company	.N	
			98-1108940				MacKay Shields UK LLP	.GBR	.NIA	MacKay Shields (Services) Ltd.	Ownership	1.000	New York Life Insurance Company	.N	
							MacKay Shields Global Derivatives LLC	.DE	.NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
			47-2950749				MacKay Municipal Managers Puerto Rico Opportunities GP LLC	.DE	.NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
			47-2960789		0001639566		MacKay Puerto Rico Opportunities Funds, L.P.	.DE	.NIA	MacKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	.N	
			98-1230069		0001639564		MacKay Puerto Rico Opportunities Feeder Fund, L.P.	.CYM	.NIA	MacKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	.N	
			47-3358622				MacKay Municipal Managers California Opportunities GP LLC	.DE	.NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
							MacKay Municipal Managers California Opportunities Fund, L.P.	.DE	.NIA	MacKay Municipal Managers California Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	.N	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
			81-2401724				Mackay Municipal New York Opportunities GP LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			38-4002797		0001685030		Mackay Municipal New York Opportunities Fund, L.P.	DE	NIA	Mackay Municipal New York Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			81-2575585		0001700100		Mackay Municipal Opportunity HL Fund LP	DE	NIA	Mackay Municipal New York Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			36-4846547				Mackay Municipal Capital Trading GP LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			37-1836504				Mackay Municipal Capital Trading Master Fund, L.P.	DE	NIA	Mackay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			81-4932734				Mackay Municipal Capital Trading Fund, L.P.	DE	NIA	Mackay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			37-1846456		0001701742		Mackay Municipal Managers Strategic Opportunities GP LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-1728099				Mackay Municipal Strategic Opportunities Fund LP	DE	NIA	Mackay Municipal Managers Strategic Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-4320205		0001510983		Mackay Shields US Equity Market Neutral Fund GP LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-1715543				Mackay Cornerstone US Equity Market Neutral Fund LP	DE	NIA	Mackay Shields US Equity Market Neutral Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-1716026		0001715261		Mackay Shields Intermediate Bond Fund GP LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-4080466				Mackay Shields Intermediate Bond Fund LP	DE	NIA	Mackay Shields Intermediate Bond Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-4185570				Mackay Shields General Partner (L/S) LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			02-0633343				Mackay Shields Long/Short Fund LP	DE	NIA	Mackay Shields General Partner (L/S) LLC	Ownership	100.000	New York Life Insurance Company	N	
							Mackay Shields Long/Short Fund (Master) LP	DE	NIA	Mackay Shields General Partner (L/S) LLC	Ownership	100.000	New York Life Insurance Company	N	
							Mackay Municipal Managers Opportunities Allocation GP LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			83-3051488				Mackay Municipal Managers Opportunities Allocation Master Fund LP	DE	NIA	Mackay Municipal Managers Opportunities Allocation GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			83-3085547				Mackay Municipal Managers Opportunities Allocation Fund A LP	DE	NIA	Mackay Municipal Managers Opportunities Allocation GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			83-3088001				Mackay Municipal Managers Opportunities Allocation Fund B LP	DE	NIA	Mackay Municipal Managers Opportunities Allocation GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							Mackay Municipal Managers U.S. Infrastructure - Opportunities GP LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			83-3010096				Mackay Municipal U.S. Infrastructure Opportunities Fund LP	DE	NIA	Mackay Municipal Managers U.S. Infrastructure - Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			84-2017635				Mackay Municipal Managers High Yield Select GP LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			84-2046842				Mackay Municipal High Yield Select Fund LP	DE	NIA	Mackay Municipal Managers High Yield Select GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-2426303				CVP Holdings LLC	DE	NIA	Mackay Shields LLC	Ownership	60.000	New York Life Insurance Company	N	
			46-5492608				CVP CLO Manager LLC	DE	NIA	CVP Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							CVP CLO Holdings GP LLC	DE	NIA	CVP CLO Manager LLC	Ownership	100.000	New York Life Insurance Company	N	
							CVP CLO Holdings LP	CYM	NIA	CVP CLO Holdings GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							CVP CLO Advisors, LLC	DE	NIA	CVP CLO Holdings LP	Ownership	100.000	New York Life Insurance Company	N	
							Credit Value Partners, LLC	DE	NIA	CVP Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							CHIPC Evergreen General LLC	DE	NIA	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	N	
							CVP High Income Private Credit Master Fund LP	CYM	NIA	CHIPC Evergreen General LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-3015409				CVP Loan Servicing LLC	DE	NIA	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	N	
							CHIPC PE General, LLC	DE	NIA	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	N	
							CHIPC PE Intermediate Fund, LP	CYM	NIA	CHIPC PE General, LLC	Ownership	100.000	New York Life Insurance Company	N	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
					0001672455		CVP High Income Private Credit PE Fund (Cayman), LP	..CYM	..NIA	CHIPC PE General, LLC	Ownership	100.000	New York Life Insurance Company	..N	
					0001672457		CVP High Income Private Credit PE Fund, LP	..DE	..NIA	CHIPC PE General, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							CVF IV General, LLC	..DE	..NIA	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	..N	
					0001650747		Credit Value Fund IV, LP	..DE	..NIA	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	..N	
					0001647880		Credit Value Fund (Cayman) IV, LP	..CYM	..NIA	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							Credit Value Intermediate Fund IV, LP	..CYM	..NIA	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							Credit Value Master Fund IV-A, LP	..CYM	..NIA	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							Credit Value Master Fund IV-B, LP	..CYM	..NIA	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							CVF IV Vert LLC	..DE	..NIA	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							CVF IV-A1 Vert LLC	..DE	..NIA	CVF IV General, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							CVP SPV LLC	..DE	..NIA	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							CVP SPV LLC Series I	..DE	..NIA	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							CVP SPV LLC Series II	..DE	..NIA	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							CVP SPV LLC Series III	..DE	..NIA	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							CVP Europe Investment Management Limited	..JRL	..NIA	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							MKS CVF V General LLC	..DE	..NIA	Credit Value Partners, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							MacKay Shields Credit Value Fund V, LP	..DE	..NIA	MKS CVF V General LLC	Ownership	100.000	New York Life Insurance Company	..N	
							MacKay Shields Credit Value Master Fund V, LP	..CYM	..NIA	MKS CVF V General LLC	Ownership	100.000	New York Life Insurance Company	..N	
							MacKay Shields Credit Value Intermediate Fund V, LP	..CYM	..NIA	MKS CVF V General LLC	Ownership	100.000	New York Life Insurance Company	..N	
							MacKay Shields Credit Value Fund (Cayman) V, LP	..CYM	..NIA	MKS CVF V General LLC	Ownership	100.000	New York Life Insurance Company	..N	
					0001453415		Cornerstone Capital Management Holdings LLC	..DE	..NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	..N	
							Madison Square Investors Asian Equity Market Neutral Fund GP, LLC	..DE	..NIA	Cornerstone Capital Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	..N	
							Cornerstone US Equity Market Neutral Fund, LLC	..DE	..NIA	Cornerstone Capital Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	..N	
							Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	..DE	..NIA	Cornerstone Capital Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	..N	
					0001329043		Cornerstone Capital Management Large-Cap Enhanced Index Fund, LP	..DE	..NIA	Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							GoldPoint Partners LLC	..DE	..NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	..N	
					0001513540		New York Life Capital Partners, LLC	..DE	..NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	..N	
					0001513533		New York Life Capital Partners, LP	..DE	..NIA	New York Life Capital Partners, LLC	Ownership	100.000	New York Life Insurance Company	..N	
					0001293285		New York Life Capital Partners II, LLC	..DE	..NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	..N	
					0001293286		New York Life Capital Partners II, LP	..DE	..NIA	New York Life Capital Partners II, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							New York Life Capital Partners III GenPar GP, LLC	..DE	..NIA	New York Life Capital Partners III, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							New York Life Capital Partners III GenPar, LP	..DE	..NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	..N	
							New York Life Capital Partners III, LP	..DE	..NIA	New York Life Capital Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	..N	
							New York Life Capital Partners III-A, LP	..DE	..NIA	New York Life Capital Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	..N	
							New York Life Capital Partners IV GenPar GP, LLC	..DE	..NIA	New York Life Capital Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	..N	
							New York Life Capital Partners IV GenPar, LP	..DE	..NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	..N	
							New York Life Capital Partners IV, LP	..DE	..NIA	New York Life Capital Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	..N	
								..DE	..NIA	New York Life Capital Partners IV GenPar, LP	Ownership	100.000	New York Life Insurance Company	..N	



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
							New York Life Capital Partners IV-A, LP	DE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Mezzanine Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Mezzanine Partners IV GenPar LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001670568		GoldPoint Mezzanine Partners Co-Investment Fund A, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company	N	
					0001652367		GoldPoint Mezzanine Partners IV, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco A, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco Preferred A, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco B, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco C, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco D, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco E, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezz IV ECI Aggregator LP	DE	NIA	GPP Mezzanine Blocker Holdco E, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco F, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco H, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Mezzanine Partners Offshore IV, L.P.	CYM	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V GenPar GP LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V GenPar, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar GP LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001670563		GoldPoint Partners Co-Investment Fund-A, LP	DE	NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company	N	
					0001562188		GoldPoint Partners Co-Investment V, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker B, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker C, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker D, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker E, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker F, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker Holdco G,LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker G,LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco G,LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Private Debt Partners V GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners FA I, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	

13.10

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
							GoldPoint Partners Select Manager III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager III GenPar, L.P.	CYM	NIA	GoldPoint Partners Select Manager III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001644721		GoldPoint Partners Select Manager Fund III, L.P.	CYM	NIA	GoldPoint Partners Select Manager III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager Fund III AIV, L.P.	DE	NIA	GoldPoint Partners Select Manager III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager IV GenPar, L.P.	DE	NIA	GoldPoint Partners Select Manager IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001725867		GoldPoint Partners Select Manager Fund IV, L.P.	DE	NIA	GoldPoint Partners Select Manager IV GenPar, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Canada III GenPar, Inc	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager Canada Fund III, L.P.	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Canada IV GenPar Inc	CAN	NIA	GoldPoint Partners Canada III GenPar, Inc	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager Canada Fund IV, L.P.	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment VI GenPar GP LLC	DE	NIA	GoldPoint Partners Canada IV GenPar Inc	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment VI GenPar, LP	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001712763		GoldPoint Partners Co-Investment VI LP	DE	NIA	GoldPoint Partners Co-Investment VI GenPar GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							GPP VI - ECI Aggregator LP	DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP VI Blocker A LLC	DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP VI Blocker B LLC	DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
					0001718352		GoldPoint Private Credit GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Private Credit Fund, LP	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest GenPar L.P.	DE	NIA	NYLCAP 2010 Co-Invest GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest L.P.	DE	NIA	NYLCAP 2010 Co-Invest GenPar L.P.	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest ECI Blocker B L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest ECI Blocker E L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P.	Ownership	100.000	New York Life Insurance Company	N	
							Goldpoint Partners Canada GenPar, Inc.	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager Canada Fund, LP	CAN	NIA	NYLCAP Canada GenPar, Inc.	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Canada II GenPar, Inc.	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager Canada Fund II, L.P.	CAN	NIA	NYLCAP Canada II GenPar, Inc.	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Mezzanine Partners II GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Mezzanine Offshore Partners II, LP	CYM	NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Mezzanine Partners II GenPar, LP	DE	NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
					0001372441		New York Life Investment Management Mezzanine Partners II, LP	DE	NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
					0001387095		NYLIM Mezzanine Partners II Parallel Fund, LP	DE	NIA	NYLIM Mezzanine Partners II GenPar, LP NYLIM Mezzanine Partners II Parallel Fund, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Mezzanine II Parallel Luxco S.a.r.l.	LUX	NIA	NYLIM Mezzanine II Parallel Luxco S.a.r.l.	Ownership	100.000	New York Life Insurance Company	N	
							Voice Holdco Ltd.	CAN	NIA		Ownership	27.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III GenPar, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001482545		NYLCAP Mezzanine Partners III-K, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III Parallel Fund, LP	DE	NIA		Ownership	100.000	New York Life Insurance Company	N	
					0001539552		NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F, LP	Ownership	100.000	New York Life Insurance Company	N	
					0001483925		NYLCAP Mezzanine Offshore Partners III, LP	CYM	NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager GenPar, LP	DE	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001441093		NYLCAP Select Manager Fund, LP	DE	NIA	NYLCAP Select Manager GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager Cayman Fund, LP	CYM	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager II GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager II GenPar GP, L.P.	CYM	NIA	NYLCAP Select Manager II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001520743		NYLCAP Select Manager Fund II, L.P.	CYM	NIA	NYLCAP Select Manager II GenPar GP, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP India Funding LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM-JB Asset Management Co., LLC	MUS	NIA	NYLCAP India Funding LLC	Ownership	24.660	New York Life Insurance Company	N	3
					0001356865		New York Life Investment Management India Fund II, LLC	MUS	NIA	NYLIM-JB Asset Management Co., LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Investment Management India Fund (FVCI) II, LLC	MUS	NIA	New York Life Investment Management India Fund II, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP India Funding III LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM-Jacob Ballas Asset Management Co. III, LLC	MUS	NIA		Ownership	24.660	New York Life Insurance Company	N	4
					0001435025		NYLIM Jacob Ballas India Fund III, LLC	MUS	NIA	NYLCAP India Funding III LLC NYLIM-Jacob Ballas Asset Management Company III, LLC	Ownership	100.000	New York Life Insurance Company	N	

13.12

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*	
							NYLIM Jacob Ballas Capital India (FVCI) III, LLC	.MUS	.NIA	NYLIM Jacob Ballas India Fund III, LLC	Ownership	100.000	New York Life Insurance Company	.N		
							NYLIM Jacob Ballas India (FII) III, LLC	.MUS	.NIA	NYLIM Jacob Ballas India Fund III, LLC	Ownership	100.000	New York Life Insurance Company	.N		
							Evolvement Asset Management, Ltd.	.CYM	.NIA	GoldPoint Partners LLC	Ownership	24.500	New York Life Insurance Company	.N		
							EIF Managers Limited	.MUS	.NIA	Evolvement Asset Management, Ltd.	Ownership	58.720	New York Life Insurance Company	.N		
							EIF Managers II Limited	.MUS	.NIA	Evolvement Asset Management, Ltd.	Ownership	55.000	New York Life Insurance Company	.N		
										New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N		
							NYLCAP Holdings (Mauritius) LLC	.MUS	.NIA	NYLCAP Holdings (Mauritius) LLC	Ownership	23.300	New York Life Insurance Company	.N		
							Jacob Ballas Capital India PVT, Ltd.	.MUS	.NIA	NYLCAP Holdings (Mauritius) LLC	Ownership	28.020	New York Life Insurance Company	.N		
							Industrial Assets Holdings Limited	.MUS	.NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N		
			22-3704242		0001103598		NYLIM Service Company LLC	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N		
							NVL Workforce GP LLC	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N		
			52-2206685		0001133639		New York Life Investment Management LLC	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N		
					0001355337		NYLIM Fund II GP, LLC	.DE	.NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company	.N		
							NYLIM Real Estate Mezzanine Fund II, LP	.DE	.NIA	NYLIM Fund II GP, LLC	Ownership	100.000	New York Life Insurance Company	.N		
							NYLIM-TND, LLC	.DE	.NIA	NYLIM Real Estate Mezzanine Fund II, LP	Ownership	100.000	New York Life Insurance Company	.N		
							New York Life Investment Management Hong Kong Limited	.CHN	.NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company	.N		
							WFGH, GP LLC	.DE	.NIA	New York Life Investment Management LLC	Ownership	50.000	New York Life Insurance Company	.N		
					4643807		Workforce Housing Fund I - 2007, LP	.DE	.NIA	WFGH, GP LLC	Ownership	50.000	New York Life Insurance Company	.N		
										New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N		
			56-2581173		0001364028		IndexIQ Holdings Inc.	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N		
							IndexIQ, Inc.	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership	74.370	New York Life Insurance Company	.N		
			02-0811751		0001483922		IndexIQ LLC	.DE	.NIA	IndexIQ Holdings Inc.	Ownership	25.630	New York Life Insurance Company	.N		
			02-0811751		0001483922		IndexIQ LLC	.DE	.NIA	IndexIQ Holdings Inc.	Ownership	100.000	New York Life Insurance Company	.N		
			02-0811753		0001415996		IndexIQ Advisors LLC	.DE	.NIA	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company	.N		
							IndexIQ Active ETF Trust	.DE	.NIA	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company	.N		
										New York Life Insurance and Annuity Corporation	Ownership	74.080	New York Life Insurance Company	.N		
							IQ MacKay Shields Municipal Insured ETF	.DE	.NIA	New York Life Insurance and Annuity Corporation	Ownership	91.210	New York Life Insurance Company	.N		
							IQ MacKay Shields Municipal Intermediate ETF	.DE	.NIA	New York Life Insurance and Annuity Corporation	Ownership	100.000	New York Life Insurance Company	.N		
							IndexIQ ETF Trust	.DE	.NIA	IndexIQ LLC	Ownership	58.360	New York Life Insurance Company	.N		
										New York Life Investment Management Holdings LLC	Ownership	41.460	New York Life Insurance Company	.N		
							IQ 50 Percent Hedged FTSE International ETF	.DE	.NIA	New York Life Insurance Company	Ownership	85.960	New York Life Insurance Company	.N		
							IQ 50 Percent Hedged FTSE Japan ETF	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership	5.820	New York Life Insurance Company	.N		
							IQ 500 International ETF	.DE	.NIA	New York Life Insurance and Annuity Corporation	Ownership	93.360	New York Life Insurance Company	.N		
										New York Life Investment Management Holdings LLC	Ownership	74.450	New York Life Insurance Company	.N		
							IQ 500 International ETF	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership	81.820	New York Life Insurance Company	.N		
							IQ Chaikin US Large Cap ETF	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership	24.240	New York Life Insurance Company	.N		
							IQ Chaikin US Small Cap ETF	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership	74.210	New York Life Insurance Company	.N		
							IQ Enhanced Core Bond US ETF	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership					
							IQ Enhanced Core Plus Bond US ETF	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership					
							IQ Global Resources ETF	.DE	.NIA	New York Life Investment Management Holdings LLC	Ownership					

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
						IQ Hedge Event-Driven Tracker ETF	IQ Hedge Event-Driven Tracker ETF	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	40.020	New York Life Insurance Company	N	
						IQ Hedge Long/Short Tracker ETF	IQ Hedge Long/Short Tracker ETF	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	40.700	New York Life Insurance Company	N	
						IQ Leaders GTAA Tracker ETF	IQ Leaders GTAA Tracker ETF	DE	NIA	New York Life Insurance Company	Ownership	50.640	New York Life Insurance Company	N	
						IQ S&P High Yield Low Volatility Bd ETF	IQ S&P High Yield Low Volatility Bd ETF	DE	NIA	New York Life Insurance Company	Ownership	31.390	New York Life Insurance Company	N	
						IQ S&P High Yield Low Volatility Bd ETF	IQ S&P High Yield Low Volatility Bd ETF	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	40.950	New York Life Insurance Company	N	
						IQ Short Duration Enhanced Core Bd US ETF	IQ Short Duration Enhanced Core Bd US ETF	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	0.110	New York Life Insurance Company	N	
						IQ Ultra Short Duration ETF	IQ Ultra Short Duration ETF	DE	NIA	New York Life Insurance Company	Ownership	83.320	New York Life Insurance Company	N	
						New York Life Investment Management Holdings International	New York Life Investment Management Holdings International	LUX	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Investment Management Holdings II International	New York Life Investment Management Holdings II International	LUX	NIA	New York Life Investment Management Holdings International	Ownership	100.000	New York Life Insurance Company	N	
						Candriam Group	Candriam Group	LUX	NIA	Holdings II International	Ownership	100.000	New York Life Insurance Company	N	
						CGH UK Acquisition Company Limited	CGH UK Acquisition Company Limited	GBR	NIA	Candriam Group	Ownership	100.000	New York Life Insurance Company	N	
						Tristan Capital Partners Holdings Limited	Tristan Capital Partners Holdings Limited	GBR	NIA	CGH UK Acquisition Company Limited	Ownership	40.000	New York Life Insurance Company	N	
						EPI SO 4 Incentive Partners LLP	EPI SO 4 Incentive Partners LLP	GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	4.700	New York Life Insurance Company	N	
						Tristan Capital Limited	Tristan Capital Limited	GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	100.000	New York Life Insurance Company	N	
						Tristan Capital Partners LLP	Tristan Capital Partners LLP	GBR	NIA	Tristan Capital Limited	Ownership	92.000	New York Life Insurance Company	N	
						CCP III Co-Investment (GP) Limited	CCP III Co-Investment (GP) Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	
						CCP III Co-Investment LP	CCP III Co-Investment LP	GBR	NIA	COP III Co-Investment (GP) Limited	Ownership	100.000	New York Life Insurance Company	N	
						CCP IV Co-Investment LP	CCP IV Co-Investment LP	GBR	NIA	COP III Co-Investment (GP) Limited	Ownership	100.000	New York Life Insurance Company	N	
						CCP III (GP) LLP	CCP III (GP) LLP	GBR	NIA	Tristan Capital Partners LLP	Ownership	50.000	New York Life Insurance Company	N	
						CCP III Incentive Partners (GP) Limited	CCP III Incentive Partners (GP) Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	
						CCP III Incentive Partners LP	CCP III Incentive Partners LP	GBR	NIA	COP III Incentive Partners (GP) Limited	Ownership	100.000	New York Life Insurance Company	N	
						CCP IV Co-Investment LP	CCP IV Co-Investment LP	GBR	NIA	COP III Incentive Partners (GP) Limited	Ownership	100.000	New York Life Insurance Company	N	
						Curzon Capital Partners III (GP) Limited	Curzon Capital Partners III (GP) Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	
						EPI SO 3 Co-Investment (GP) Limited	EPI SO 3 Co-Investment (GP) Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	
						EPI SO 3 Co-Investment LP	EPI SO 3 Co-Investment LP	GBR	NIA	EPI SO 3 Co-Investment (GP) Limited	Ownership	100.000	New York Life Insurance Company	N	
						EPI SO 3 Incentive Partners (GP) Limited	EPI SO 3 Incentive Partners (GP) Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	
						EPI SO 3 Incentive Partners LP	EPI SO 3 Incentive Partners LP	GBR	NIA	EPI SO 3 Incentive Partners (GP) Limited	Ownership	100.000	New York Life Insurance Company	N	
						EPI SO 3 IOM Limited	EPI SO 3 IOM Limited	JMN	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	
						CCP IV (GP) LLP	CCP IV (GP) LLP	GBR	NIA	Tristan Capital Partners LLP	Ownership	50.000	New York Life Insurance Company	N	
						Curzon Capital Partners IV (GP) Limited	Curzon Capital Partners IV (GP) Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	
						EPI SO 4 Co-Investment LLP	EPI SO 4 Co-Investment LLP	GBR	NIA	Tristan Capital Partners LLP	Ownership	50.000	New York Life Insurance Company	N	
						EPI SO 4 (GP) LLP	EPI SO 4 (GP) LLP	GBR	NIA	EPI SO 4 Co-Investment LLP	Ownership	16.000	New York Life Insurance Company	N	
						CCP 5 GP LLP	CCP 5 GP LLP	GBR	NIA	Tristan Capital Partners LLP	Ownership	33.000	New York Life Insurance Company	N	
						CCP 5 Co-Investment LLP	CCP 5 Co-Investment LLP	GBR	NIA	Tristan Capital Partners LLP	Ownership	50.000	New York Life Insurance Company	N	
						CCP 5 Pool Partnership GP Limited	CCP 5 Pool Partnership GP Limited	NJ	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	
						CCP 5 Pool Partnership SLP	CCP 5 Pool Partnership SLP	NJ	NIA	COP 5 Pool Partnership GP Limited	Ownership	100.000	New York Life Insurance Company	N	
						Tristan Capital Partners Asset Management Limited	Tristan Capital Partners Asset Management Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	
						TCP Poland Spółka z ograniczona odpowiedzialnoscia	TCP Poland Spółka z ograniczona odpowiedzialnoscia	POL	NIA	Tristan Capital Partners Asset Management Limited	Ownership	100.000	New York Life Insurance Company	N	
						TCP Co-Investment (GP) S.á.r.l.	TCP Co-Investment (GP) S.á.r.l.	LUX	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	
						TCP Co-Investment SCSP	TCP Co-Investment SCSP	LUX	NIA	TCP Co-Investment (GP) S.á.r.l.	Ownership	100.000	New York Life Insurance Company	N	
						TCP Incentive Partners SCSP	TCP Incentive Partners SCSP	LUX	NIA	TCP Co-Investment (GP) S.á.r.l.	Ownership	100.000	New York Life Insurance Company	N	
						TCP Incentive Partners (GP) S.á.r.l.	TCP Incentive Partners (GP) S.á.r.l.	LUX	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	
						German Property Performance Partners Investors Feeder Verwaltungs GmbH	German Property Performance Partners Investors Feeder Verwaltungs GmbH	DEU	NIA	TCP Incentive Partners (GP) S.á.r.l.	Ownership	100.000	New York Life Insurance Company	N	
						EPI SO 4 (GP) S.á.r.l.	EPI SO 4 (GP) S.á.r.l.	LUX	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	

13.14

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
							EPISO 4 (GP) II S.à.r.l.	LUX	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	N	
							Candriam Luxco S.à.r.l.	LUX	NIA	Candriam Group	Ownership	100.000	New York Life Insurance Company	N	
							Candriam Luxembourg	LUX	NIA	Candriam Group	Ownership	91.006	New York Life Insurance Company	N	
							Candriam Luxembourg	LUX	NIA	Candriam Luxco S.à.r.l.	Other	0.000	New York Life Insurance Company	N	10
							Candriam Belgium	BEL	NIA	Candriam Luxembourg	Ownership	99.990	New York Life Insurance Company	N	
							Candriam Belgium	BEL	NIA	Candriam Group	Ownership	0.010	New York Life Insurance Company	N	
							Candriam France	FRA	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	N	
							Candriam Monétaire SICAV	FRA	NIA	Candriam Belgium	Ownership	0.830	New York Life Insurance Company	N	
							Candriam Monétaire SICAV	FRA	NIA	Candriam France	Ownership	0.380	New York Life Insurance Company	N	
							Candriam Monétaire SICAV	FRA	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Switzerland LLC	CHE	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	N	
							Belfius Fund	LUX	NIA	Candriam Luxembourg	Board of Directors	0.000	New York Life Insurance Company	N	
							Belfius Equities	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Cordius	LUX	NIA	Candriam Luxembourg	Ownership	5.140	New York Life Insurance Company	N	
							Cordius	LUX	NIA	Candriam Belgium	Ownership	1.210	New York Life Insurance Company	N	
							Cordius	LUX	NIA	Candriam France	Ownership	1.210	New York Life Insurance Company	N	
							Cordius CIG	LUX	NIA	Candriam Luxembourg	Ownership	68.040	New York Life Insurance Company	N	
							Cordius CIG	LUX	NIA	Candriam Belgium	Ownership	15.980	New York Life Insurance Company	N	
							Cordius CIG	LUX	NIA	Candriam France	Ownership	15.980	New York Life Insurance Company	N	
							IndexIQ	LUX	NIA	Candriam Luxembourg	Ownership	40.000	New York Life Insurance Company	N	
							IndexIQ Factors Sustainable Corporate Euro Bond	LUX	NIA	Cordius CIG	Ownership	48.000	New York Life Insurance Company	N	
							IndexIQ Factors Sustainable Sovereign Euro Bond	LUX	NIA	Cordius CIG	Ownership	56.000	New York Life Insurance Company	N	
							IndexIQ Factors Sustainable Europe Equity	LUX	NIA	Cordius CIG	Ownership	21.000	New York Life Insurance Company	N	
							IndexIQ Factors Sustainable EMU Equity	LUX	NIA	Cordius CIG	Ownership	42.000	New York Life Insurance Company	N	
							IndexIQ Factors Sustainable Japan Equity	LUX	NIA	Cordius CIG	Ownership	60.000	New York Life Insurance Company	N	
							Candriam Absolute Return	LUX	NIA	Candriam Luxembourg	Ownership	2.790	New York Life Insurance Company	N	
							Candriam Absolute Return	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	17.170	New York Life Insurance Company	N	
							Candriam Absolute Return	LUX	NIA	Cordius CIG	Ownership	3.620	New York Life Insurance Company	N	
							Candriam Absolute Return Equity Market Neutral	LUX	NIA	Candriam Luxembourg	Ownership	3.440	New York Life Insurance Company	N	
							Candriam Absolute Return Equity Market Neutral	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	7.990	New York Life Insurance Company	N	
							Candriam Absolute Return Long Short Digital Equity	LUX	NIA	Candriam Luxembourg	Ownership	0.190	New York Life Insurance Company	N	
							Candriam Absolute Return Long Short Digital Equity	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	49.400	New York Life Insurance Company	N	
							Candriam Absolute Return Long Short Digital Equity	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Alternative	LUX	NIA	Candriam Luxembourg	Ownership	0.230	New York Life Insurance Company	N	
							Candriam Alternative	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	47.940	New York Life Insurance Company	N	
							Candriam Alternative	LUX	NIA	Cordius CIG	Ownership	12.010	New York Life Insurance Company	N	
							Candriam Alternative Systemat	LUX	NIA	Candriam Luxembourg	Ownership	0.230	New York Life Insurance Company	N	
							Candriam Alternative Systemat	LUX	NIA	Cordius CIG	Ownership	12.010	New York Life Insurance Company	N	
							Candriam Alternative Systemat	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	47.940	New York Life Insurance Company	N	
							Candriam Bonds	LUX	NIA	Candriam Luxembourg	Ownership	0.050	New York Life Insurance Company	N	
							Candriam Bonds	LUX	NIA	Candriam France	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Bonds	LUX	NIA	Cordius CIG	Ownership	0.060	New York Life Insurance Company	N	
							Candriam Bonds Capital Securities	LUX	NIA	Candriam France	Ownership	10.880	New York Life Insurance Company	N	
							Candriam Bonds Convertible Defensive	LUX	NIA	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
							Candriam Bonds Convertible Opportunities	LUX	NIA	Candriam Luxembourg	Ownership	25.970	New York Life Insurance Company	N	
							Candriam Bonds Credit Opportunities	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Bonds Emerging Debt Local Currencies	LUX	NIA	Candriam Luxembourg	Ownership	0.070	New York Life Insurance Company	N	
							Candriam Bonds Emerging Debt Local Currencies	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Bonds Emerging Markets	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Bonds Emerging Markets	LUX	NIA	Candriam France	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Bonds Emerging Markets Total Return	LUX	NIA	Candriam Luxembourg	Ownership	0.040	New York Life Insurance Company	N	
							Candriam Bonds Euro Corporate	LUX	NIA	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	
							Candriam Bonds Euro Government	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam Bonds Euro Government	LUX	NIA	Candriam France	Ownership	0.260	New York Life Insurance Company	N	
							Candriam Bonds Euro High Yield	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Bonds Euro High Yield	LUX	NIA	Candriam France	Ownership	0.020	New York Life Insurance Company	N	
							Candriam Bonds Euro Short Term	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Bonds Euro Long Term	LUX	NIA	Cordius CIG	Ownership	0.020	New York Life Insurance Company	N	
							Candriam Bonds Global High Yield	LUX	NIA	Candriam Luxembourg	Ownership	0.060	New York Life Insurance Company	N	
							Candriam Bonds Global Sovereign Quality	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Bonds International	LUX	NIA	Candriam Luxembourg	Ownership	0.070	New York Life Insurance Company	N	
							Candriam Bonds International	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Bonds Total Return	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam Business Equities	BEL	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	N	
							Candriam Diversified Futures	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Equities L	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Equities L Emerging Markets	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Equities L Europe Conviction	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Equities L Europe Small & Mid Caps	LUX	NIA	Candriam Luxembourg	Ownership	0.040	New York Life Insurance Company	N	
							Candriam Equities L Global Demography	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Equities L Robotics & Innovation	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Technology	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam Fund	LUX	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	N	
							Candriam Fund Sustainable Euro Corporate	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Bonds Fossil Free	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Fund Sustainable European Equities	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Fossil Free	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam GF	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	69.250	New York Life Insurance Company	N	
							Candriam GF	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam GF Global Equity Opportunities	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	97.720	New York Life Insurance Company	N	
							Candriam GF Short Duration US High Yield Bonds	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	38.430	New York Life Insurance Company	N	
							Candriam GF Short Duration US High Yield Bonds	LUX	NIA	Cordius CIG	Ownership	0.020	New York Life Insurance Company	N	
							Candriam GF U.S. Equity Opportunities	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	71.750	New York Life Insurance Company	N	
							Candriam GF U.S. Equity Opportunities	LUX	NIA	Cordius CIG	Ownership	0.020	New York Life Insurance Company	N	
							Candriam GF US High Yield Corporate Bonds	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	73.480	New York Life Insurance Company	N	
							Candriam GF US High Yield Corporate Bonds	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam GF US Corporate Bonds	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	90.780	New York Life Insurance Company	N	
							Candriam Global Alpha	LUX	NIA	Candriam Luxembourg	Ownership	0.130	New York Life Insurance Company	N	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
							Candriam Global Alpha	LUX	NIA	Cordius CIG	Ownership	2.140	New York Life Insurance Company	N	
							Candriam Index Arbitrage	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam L	LUX	NIA	Candriam Luxembourg	Ownership	0.060	New York Life Insurance Company	N	
							Candriam L	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	1.920	New York Life Insurance Company	N	
							Candriam L	LUX	NIA	Cordius CIG	Ownership	0.700	New York Life Insurance Company	N	
							Candriam L Balanced Asset Allocation	LUX	NIA	Cordius CIG	Ownership	0.030	New York Life Insurance Company	N	
							Candriam L Conservative Asset Allocation	LUX	NIA	Candriam Luxembourg	Ownership	0.150	New York Life Insurance Company	N	
							Candriam L Conservative Asset Allocation	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam L Defensive Asset Allocation	LUX	NIA	Cordius CIG	Ownership	0.050	New York Life Insurance Company	N	
							Candriam L Dynamic Asset Allocation	LUX	NIA	Cordius CIG	Ownership	5.180	New York Life Insurance Company	N	
							Candriam L Multi-Asset Income	LUX	NIA	Candriam Luxembourg	Ownership	0.060	New York Life Insurance Company	N	
							Candriam L Multi-Asset Income & Growth	LUX	NIA	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	
							Candriam L Multi-Asset Premia	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	58.620	New York Life Insurance Company	N	
							Candriam L Multi-Asset Premia	LUX	NIA	Cordius CIG	Ownership	19.560	New York Life Insurance Company	N	
							Candriam Money Market	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Money Market Euro AAA	LUX	NIA	Candriam Luxembourg	Ownership	0.040	New York Life Insurance Company	N	
							Candriam Multi-Strategies	LUX	NIA	Candriam Belgium	Ownership	11.620	New York Life Insurance Company	N	
							Candriam Multi-Strategies	LUX	NIA	Candriam France	Ownership	17.820	New York Life Insurance Company	N	
							Candriam Multi-Strategies	LUX	NIA	Candriam Luxembourg	Ownership	40.930	New York Life Insurance Company	N	
							Candriam Multi-Strategies	LUX	NIA	Cordius CIG	Ownership	1.180	New York Life Insurance Company	N	
							Candriam Quant	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Quant	LUX	NIA	Cordius CIG	Ownership	0.040	New York Life Insurance Company	N	
							Candriam Quant Equities USA	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Quant Equities Multi-Factor EMU	LUX	NIA	Candriam Quant	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Risk Arbitrage	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Risk Arbitrage	LUX	NIA	Cordius CIG	Ownership	0.750	New York Life Insurance Company	N	
							Candriam Risk Arbitrage	LUX	NIA	Candriam France	Ownership	0.880	New York Life Insurance Company	N	
							Candriam SRI	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam SRI	LUX	NIA	Candriam Belgium	Ownership	0.170	New York Life Insurance Company	N	
							Candriam SRI	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	0.350	New York Life Insurance Company	N	
							Candriam SRI Bond Euro Aggregate Index	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam SRI Bond Emerging Markets	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam SRI Bond Euro	LUX	NIA	Candriam Luxembourg	Ownership	0.100	New York Life Insurance Company	N	
							Candriam SRI Bond Euro Corporate	LUX	NIA	Candriam Luxembourg	Ownership	0.050	New York Life Insurance Company	N	
							Candriam SRI Bond Global High Yield	LUX	NIA	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	
							Candriam SRI Bond Global High Yield	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	4.150	New York Life Insurance Company	N	
							Candriam SRI Defensive Asset Allocation	LUX	NIA	Candriam Belgium	Ownership	5.560	New York Life Insurance Company	N	
							Candriam SRI Equity Climate Action	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam SRI Equity Emerging Markets	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam SRI Equity EMU	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
							Candriam SRI Equity Europe	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam SRI Equity North America	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam SRI Equity World	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam SRI Money Market Euro	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Sustainable	LUX	NIA	Cordius CIG	Ownership	0.040	New York Life Insurance Company	N	
							Candriam Sustainable Euro Corporate Bonds	LUX	NIA	Candriam Luxembourg	Ownership	0.060	New York Life Insurance Company	N	
							Candriam Sustainable Euro Bonds	LUX	NIA	Cordius CIG	Ownership	0.180	New York Life Insurance Company	N	
							Candriam Sustainable Euro Short Term Bonds	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Sustainable Europe	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Sustainable North America	LUX	NIA	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
							Candriam Sustainable High	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Candriam Sustainable World Bonds	LUX	NIA	Cordius CIG	Ownership	0.410	New York Life Insurance Company	N	
							Candriam World Alternative	LUX	NIA	Candriam Luxembourg	Ownership	0.050	New York Life Insurance Company	N	
							Candriam World Alternative	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	31.730	New York Life Insurance Company	N	
							Candriam World Alternative Alphamax	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	42.370	New York Life Insurance Company	N	
							Candriam World Alternative Alphamax	LUX	NIA	Candriam Luxembourg	Ownership	0.070	New York Life Insurance Company	N	
							Candriam Patrimoine Obli-Inter	LUX	NIA	Candriam France	Ownership	0.700	New York Life Insurance Company	N	
							Cleome Index	LUX	NIA	Candriam France	Ownership	0.010	New York Life Insurance Company	N	
							Cleome Index EMU Equities	LUX	NIA	Candriam France	Ownership	0.050	New York Life Insurance Company	N	
							Cleome Index Euro Long Term Bonds	LUX	NIA	Cordius CIG	Ownership	0.030	New York Life Insurance Company	N	
							Cleome Index Euro Short Term Bonds	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Cleome Index Global Equities	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Cleome Index Pacific Equities	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
							Cleome Index World Equities	LUX	NIA	Candriam France	Ownership	0.030	New York Life Insurance Company	N	
							CMM	LUX	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	N	
							Paricor	LUX	NIA	Cordius CIG	Ownership	0.060	New York Life Insurance Company	N	
							Paricor Patrimonium	LUX	NIA	Cordius CIG	Ownership	0.070	New York Life Insurance Company	N	
							Ausbil Investment Management Limited	AUS	NIA	New York Life Investment Management Holdings II International	Ownership	78.830	New York Life Insurance Company	N	
							Ausbil Australia Pty. Ltd.	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Asset Management Pty. Ltd.	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Focus Investment Company Limited	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Global Infrastructure Pty. Limited	AUS	NIA	Ausbil Investment Management Limited	Ownership	55.000	New York Life Insurance Company	N	
							Ausbil Global Infrastructure Pty. Limited	AUS	NIA	Ausbil Investment Management Limited	Ownership	45.000	New York Life Insurance Company	N	13
							ISPT Holding	AUS	NIA	Ausbil Investment Management Limited	Ownership	0.370	New York Life Insurance Company	N	
							Ausbil Investment Management Limited Employee Share Trust	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil 130/30 Focus Fund	AUS	NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	12
							Ausbil Dividend Income Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	69.430	New York Life Insurance Company	N	
							Ausbil Active Sustainable Equity Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	65.910	New York Life Insurance Company	N	
							Ausbil Australian Active Equity Fund	AUS	NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Australian Concentrated Equity Fund	AUS	NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Australian Emerging Leaders Fund	AUS	NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Australian Geared Equity Fund	AUS	NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Australian Smallcap Fund	AUS	NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Balanced Fund	AUS	NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil EGS Focus Fund	AUS	NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Global Essential Infrastructure Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	99.510	New York Life Insurance Company	N	
							Ausbil Global Resources Fund	AUS	NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	88.710	New York Life Insurance Company	N	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
							Ausbil Global SmallCap Fund	AUS	NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	74.090	New York Life Insurance Company	N	
							Ausbil Microcap Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	16.960	New York Life Insurance Company	N	
							Candriam Sustainable Global Equity Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	100.000	New York Life Insurance Company	N	
							MacKay Shields Unconstrained Bond Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	99.100	New York Life Insurance Company	N	
			56-2412827		0000914898		NYLIFE Distributors LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
			54-1886751		0001065114		Private Advisors LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	66.850	New York Life Insurance Company	N	
			45-2572635				PACIF Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-2591793				PACIF Carry, LLC	DE	NIA	PACIF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PACIF GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			20-4877177		00013688975		Private Advisors Coinvestment Fund, LP	DE	NIA	PACIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PACIF II GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-1662477		0001489910		Private Advisors Coinvestment Fund II, LP	DE	NIA	PACIF II GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-2591588				PACIF II Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-2591860				PACIF II Carry, LLC	DE	NIA	PACIF II Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PACIF III GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-1360141		0001562375		Private Advisors Coinvestment Fund III, LP	DE	NIA	PACIF III GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-2548534				PACIF III Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			80-0916710				PACIF III Carry, LLC	DE	NIA	PACIF III Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PACIF IV GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4247870		0001646588		Private Advisors Coinvestment Fund IV, LP	DE	NIA	PACIF IV GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PACIF IV Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4489053				PACIF IV Carry, LLC	DE	NIA	PACIF IV Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PAMMF GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			83-1689912		0001762448		PA Middle Market Fund, LP	DE	NIA	PAMMF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			03-0532213		0001322607		PA Hedged Equity Fund, L.P.	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Private Advisors Hedged Equity Fund (QP), L.P.	DE	NIA	PA Hedged Equity Fund, L.P.	Ownership	100.000	New York Life Insurance Company	N	
			54-2051483		0001459910		Private Advisors Hedged Equity Master Fund	DE	NIA	PA Hedged Equity Fund, L.P.	Other	0.000	New York Life Insurance Company	N	8
			20-5904617				PASOF GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Strategic Opportunities Fund, LP	DE	NIA	PASOF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCBF III GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Private Advisors Small Company Buyout Fund III, LP	DE	NIA	PASCBF III GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCBF IV GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Private Advisors Small Company Buyout Fund IV, LP	DE	NIA	PASCBF IV GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-1662399		0001442524		PASCBF IV Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-2573409				PASCBF IV Carry, LLC	DE	NIA	PASCBF IV Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-2591925				PASCBF V GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Private Advisors Small Company Buyout Fund V, LP	DE	NIA	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-4078336		0001537995		Private Advisors Small Company Buyout V - ERISA Fund, LP	DE	NIA	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-1799496		0001576987		PASCBF V Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-2714292				PASCBF V Carry, LLC	DE	NIA	PASCBF V Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			35-2476750				PASCBF VI Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCBF VI Carry, LLC	DE	NIA	PASCBF VI Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4523581				PASCBF VI GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
			46-4301623		0001595889		Private Advisors Small Company Private Equity Fund VI, LP	DE	NIA	PASCEP VI GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1223903		0001635254		Private Advisors Small Company Private Equity Fund VI (Cayman), LP	CYM	NIA	PASCEP VI GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCEP VII GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5430553		0001657189		Private Advisors Small Company Private Equity Fund VII, LP	DE	NIA	PASCEP VII GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1286549		0001711424		Private Advisors Small Company Private Equity Fund VII (Cayman), LP	CYM	NIA	PASCEP VII GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCEP VII Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5442078				PASCEP VII Carry, LLC	DE	NIA	PASCEP VII Carry Parent LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCEP VIII GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-2059912				Private Advisors Small Company Private Equity Fund VIII, LP	DE	NIA	PASCEP VIII GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-2042371		0001711426		Private Advisors Small Company Private Equity Fund VIII (Cayman), LP	DE	NIA	PASCEP VIII GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCEP IX GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Private Advisors Small Company Private Equity Fund IX, LP	DE	NIA	PASCEP IX GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-0948254	3328161			Cuyahoga Capital Partners I Management Group, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-0948256	3328170			Cuyahoga Capital Partners I, L.P.	DE	NIA	Cuyahoga Capital Partners I Management Group, LLC	Other	0.000	New York Life Insurance Company	N	B
			71-1014616	3594658			Cuyahoga Capital Partners II Management Group LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			71-1014620	3594676			Cuyahoga Capital Partners II LP	DE	NIA	Cuyahoga Capital Partners II Management Group LLC	Other	0.000	New York Life Insurance Company	N	B
			26-1989297	3729106			Cuyahoga Capital Partners III Management Group LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-1989419	3729115			Cuyahoga Capital Partners III LP	DE	NIA	Cuyahoga Capital Partners III Management Group LLC	Other	0.000	New York Life Insurance Company	N	B
			26-4331000				Cuyahoga Capital Partners IV Management Group LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-4331219		0001514824		Cuyahoga Capital Partners IV LP	DE	NIA	Cuyahoga Capital Partners IV Management Group LLC	Other	0.000	New York Life Insurance Company	N	B
			26-3698069	3835342			Cuyahoga Capital Emerging Buyout Partners Management Group LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-3698209	3835351			Cuyahoga Capital Emerging Buyout Partners LP	DE	NIA	Cuyahoga Capital Emerging Buyout Partners Management Group LLC	Other	0.000	New York Life Insurance Company	N	B
			47-4479441				PA Real Assets Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Real Assets Carry, LLC	DE	NIA	PA Real Assets Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Emerging Manager Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Emerging Manager Carry, LLC	DE	NIA	PA Emerging Manager Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4146929				RIC I GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Richmond Coinvestment Partners I, LP	DE	NIA	RIC I GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4511149				RIC I Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							RIC I Carry, LLC	DE	NIA	RIC I Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4252449		0001646590		PASF V GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Private Advisors Secondary Fund V, LP	DE	NIA	PASF V GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASF V Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4498336				PASF V Carry, LLC	DE	NIA	PASF V Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PARAF GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5323045		0001656546		Private Advisors Real Assets Fund, LP	DE	NIA	PARAF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PARAF Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	

13.20

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
			47-5392508				PARAF Carry, LLC	DE	NIA	PARAF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCCIF GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5230804		0001660017		Private Advisors Small Company Coinvestment Fund, LP	DE	NIA	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			81-4614299		0001691962		Private Advisors Small Company Coinvestment Fund ERISA, LP	DE	NIA	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCCIF Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5472308				PASCCIF Carry, LLC	DE	NIA	PASCCIF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-3120890				PARAF II GP LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-3541209		0001721164		Private Advisors Real Assets Fund II LP	DE	NIA	PARAF II GP LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001223706		Private Advisors Hedged Equity Fund, Ltd.	CYM	NIA	Private Advisors LLC	Other	0.000	New York Life Insurance Company	N	B
			20-1079864		0001459910		Private Advisors Hedged Equity Fund (QP), Ltd.	CYM	NIA	Private Advisors LLC	Other	0.000	New York Life Insurance Company	N	B
			98-0626772				Private Advisors Hedged Equity Master Fund, Ltd.	CYM	NIA	Private Advisors Hedged Equity Fund (QP), Ltd.	Other	0.000	New York Life Insurance Company	N	B
							UVF GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-5163324		0001605509		Undiscovered Value Fund, LP	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1164867		0001605502		Undiscovered Value Fund, Ltd.	CYM	NIA	Private Advisors LLC	Other	0.000	New York Life Insurance Company	N	B
			98-1164329				Undiscovered Value Master Fund SPC	CYM	NIA	Undiscovered Value Fund, Ltd.	Other	0.000	New York Life Insurance Company	N	B
							Washington Pike GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Washington Pike, LP	DE	NIA	Washington Pike GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Strategic Opportunities Fund GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Strategic Opportunities Fund, LP	DE	NIA	PA Strategic Opportunities Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
				3663273			Huntsville NYL LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							CC Acquisitions, LP	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Cedar Farms TN LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Cedar Farms JV LLC	DE	NIA	REEP-IND Cedar Farms TN LLC	Ownership	90.000	New York Life Insurance Company	N	
			61-1724274				REEP-IND Continental NC LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-3561738				LRC-Patriot, LLC	DE	NIA	REEP-IND Continental NC LLC	Ownership	93.000	New York Life Insurance Company	N	
			35-2496884				REEP-LRC Industrial LLC	DE	NIA	LRC-Patriot, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Forest Park NJ LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							FP Building 4 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
							FP Building 1-2-3 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
							FP Building 17, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
							FP Building 20, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
							FP Mantua Grove LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
							FP Lot 1.01 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND NJ LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NJIND JV LLC	DE	NIA	REEP-IND NJ LLC	Ownership	93.000	New York Life Insurance Company	N	
							NJIND Hook Road LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							NJIND Bay Avenue LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							NJIND Corbin Street LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Cumberland TN LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Cumberland Apartments, LLC	TN	NIA	REEP-MF Cumberland TN LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Enclave TX LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Enclave CAF LLC	DE	NIA	REEP-MF Enclave TX LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Marina Landing WA LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-SP Marina Landing LLC	DE	NIA	REEP-MF Marina Landing WA LLC	Ownership	98.000	New York Life Insurance Company	N	
							REEP-MF Mira Loma II TX LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Mira Loma II, LLC	DE	NIA	REEP-MF Mira Loma II TX LLC	Ownership	50.000	New York Life Insurance Company	N	
							REEP-MF Summitt Ridge CO LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Summitt Ridge Apartments, LLC	DE	NIA	REEP-MF Summitt Ridge CO LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Woodridge IL LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OF Centerpointe VA LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	

13.21

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
							Centerpointe Fairfax Holdings LLC	DE	NIA	REEP-OF Centerpointe VA LLC	Ownership	50.000	New York Life Insurance Company	N	
							REEP-OF 575 Lex NY LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OF 575 Lex NY GP LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Maple REEP-OF 575 Lex Holdings LP	DE	NIA	REEP-OF 575 Lex NY GP LLC	Ownership	50.000	New York Life Insurance Company	N	
							Maple REEP-OF 575 Lex Owner LLC	DE	NIA	REEP-OF 575 Lex NY GP LLC	Ownership	50.000	New York Life Insurance Company	N	
							REEP-RTL SASI GA LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-RTL Bradford PA LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OF Royal Centre GA LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Royal Centre, LLC	DE	NIA	REEP-OF Royal Centre GA LLC	Ownership	90.000	New York Life Insurance Company	N	
							REEP-RTL CTC NY LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OF 5005 LBJ Freeway TX LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	97.000	New York Life Insurance Company	N	
							5005 LBJ Tower LLC	DE	NIA	REEP-OF 5005 LBJ Freeway TX LLC	Ownership	97.000	New York Life Insurance Company	N	
							REEP-MF SPENCER NV LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-HZ SPENCER JV LLC	DE	NIA	REEP-MF SPENCER NV LLC	Ownership	92.700	New York Life Insurance Company	N	
							REEP-HZ SPENCER LLC	DE	NIA	REEP-HZ SPENCER JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OF/RTL MARKET ROSS TX LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
			37-1842612				MARKET ROSS TX JV LLC	DE	NIA	REEP-OF/RTL MARKET ROSS TX LLC	Ownership	98.700	New York Life Insurance Company	N	
			61-1808552				MARKET ROSS TX GARAGE OWNER LC	DE	NIA	MARKET ROSS TX JV LLC	Ownership	100.000	New York Life Insurance Company	N	
			36-4852864				MARKET ROSS TX OFFICE OWNER LLC	DE	NIA	MARKET ROSS TX JV LLC	Ownership	100.000	New York Life Insurance Company	N	
			32-0511592				MARKET ROSS TX RETAIL OWNER LLC	DE	NIA	MARKET ROSS TX JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OF Mallory TN LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							3665 Mallory JV LLC	DE	NIA	REEP-OF Mallory TN LLC	Ownership	90.900	New York Life Insurance Company	N	
							REEP-OF Water Ridge NC LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OF Viridian AZ LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-Hines Viridian JV LLC	DE	NIA	REEP-OF Viridian AZ LLC	Ownership	73.031	New York Life Insurance Company	N	
							REEP-OF 2300 EMPIRE LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Wynnewood PA LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
			30-1018932				Wynnewood JV LLC	DE	NIA	REEP-MF Wynnewood PA LLC	Ownership	92.500	New York Life Insurance Company	N	
							REEP-MJ Fayetteville NC LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							501 Fayetteville JV LLC	DE	NIA	REEP-MJ Fayetteville NC LLC	Ownership	85.000	New York Life Insurance Company	N	
							501 Fayetteville Owner LLC	DE	NIA	501 Fayetteville JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MJ SOUTH GRAHAM NC LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							401 SOUTH GRAHAM JV LLC	DE	NIA	REEP-MJ SOUTH GRAHAM NC LLC	Ownership	90.000	New York Life Insurance Company	N	
							401 SOUTH GRAHAM OWNER LLC	DE	NIA	401 SOUTH GRAHAM JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND COMMERCE CITY CO LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-BRENNAN COMMERCE CITY JV LLC	DE	NIA	REEP-IND COMMERCE CITY CO LLC	Ownership	95.000	New York Life Insurance Company	N	
							REEP-MF ART TOWER OR LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF ART TOWER JV LLC	DE	NIA	REEP-MF ART TOWER OR LLC	Ownership	95.000	New York Life Insurance Company	N	
							REEP-OF Mass Ave MA LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	

Asterisk	Explanation
1	Contractual Client - Madison Capital Funding LLC, an indirect wholly owned affiliate of the Company, has contractual control of this entity's loan portfolio.
2	Control of this entity is pursuant to an investment management contract with New York Life Investment Management Holdings LLC or affiliate, not through ownership of voting interests.
3	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding LLC owns 36% of non-voting carry shares.
4	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding III LLC owns 31.36% of non-voting carry shares.
5	Investment Pool - Investment pool of leveraged loans managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
6	Reliance Relationship - Entire proceeds of the entity are invested in a funding agreement of the Company.
7	Energy Contracts and Aircraft Loans Investments - with 100% of the investments coming from the Company and its wholly owned affiliate New York Life Insurance and Annuity Corporation.
8	Control of this entity is pursuant to an investment management contract with Private Advisors LLC, or affiliate, not through ownership of voting interests.
9	Investment Pool - Investment pool of mixed assets managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.

13.22

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

Asterisk	Explanation
10 .....	One share held by Candriam Luxco S.á.r.l. ....
11 .....	Control of this entity is pursuant to a management contract with NYL Investors LLC. ....
12 .....	Ausbil Investment Management Limited has sole authority over the management of the fund. ....
13 .....	45% of Ausbil Global Infrastructure Pty. Limited is owned by employees of the company. ....

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

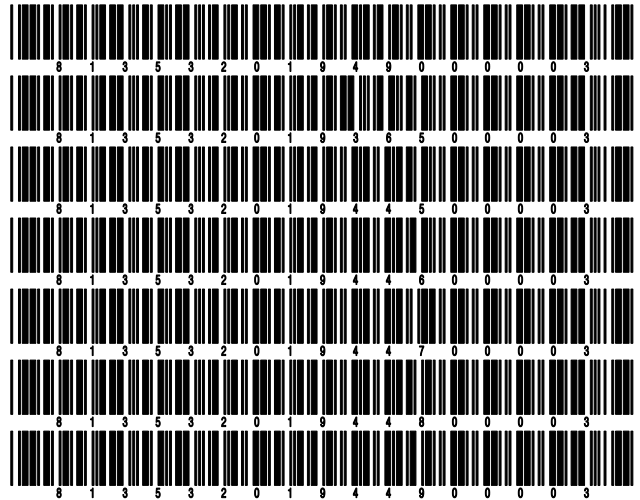
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? .....	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. ....	N/A

Explanation:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



**OVERFLOW PAGE FOR WRITE-INS**



STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10)		

**NONE**

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest prepayment and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14)		

**NONE**

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)		

**NONE**

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	147,271,235	146,403,003
2. Cost of bonds and stocks acquired .....	6,737,976	18,086,538
3. Accrual of discount .....	70,000	82,638
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	(12,312)	11,349
6. Deduct consideration for bonds and stocks disposed of .....	5,159,452	17,067,271
7. Deduct amortization of premium .....	188,728	240,126
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		26,600
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	10,528	21,704
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	148,729,247	147,271,235
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12)	148,729,247	147,271,235

STATEMENT AS OF SEPTEMBER 30, 2019 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	141,572,575	13,871,563	13,699,751	(693,143)	143,391,528	141,572,575	141,051,244	138,741,957
2. NAIC 2 (a) .....	11,360,335		750,000	668,367	11,867,348	11,360,335	11,278,702	13,136,403
3. NAIC 3 (a) .....								
4. NAIC 4 (a) .....								
5. NAIC 5 (a) .....								
6. NAIC 6 (a) .....								
7. Total Bonds	152,932,910	13,871,563	14,449,751	(24,776)	155,258,876	152,932,910	152,329,946	151,878,360
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....								
9. NAIC 2 .....								
10. NAIC 3 .....								
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock .....								
15. Total Bonds and Preferred Stock	152,932,910	13,871,563	14,449,751	(24,776)	155,258,876	152,932,910	152,329,946	151,878,360

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 3,600,700 ; NAIC 2 \$ ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$

S102

**SCHEDULE DA - PART 1**

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Premium	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals		XX			

**NONE**

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of short-term investments acquired .....	3,423,782	
3. Accrual of discount .....	28,797	
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	820	
6. Deduct consideration received on disposals .....	3,453,399	
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....		
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)		

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	4,666,728	4,938,805
2. Cost of cash equivalents acquired .....	65,103,004	135,054,277
3. Accrual of discount .....	57,798	122,321
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	351	295
6. Deduct consideration received on disposals .....	66,158,179	135,448,970
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	3,669,702	4,666,728
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	3,669,702	4,666,728