



LIFE, AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES – ASSOCIATION EDITION

ANNUAL STATEMENT
FOR THE YEAR ENDED DECEMBER 31, 2020
OF THE CONDITION AND AFFAIRS OF THE
New York Life Group Insurance Company of NY
(f/k/a CIGNA Life Insurance Company of New York)

NAIC Group Code 0826 , 0901 NAIC Company Code 64548 Employer's ID No. 13-2556568
(Current Period) (Prior Period)

Organized under the Laws of New York, State of Domicile or Port of Entry NY,
Country of Domicile United States of America

INCORPORATED/ORGANIZED JUNE 29, 1965	COMMENCED BUSINESS DECEMBER 28, 1965
Statutory Home Office	51 Madison Avenue, New York, NY, U.S. 10010.....
Main Administrative Office	51 Madison Avenue, New York, NY, U.S. 10010.....
.....	212-576-7000
Mail Address.....	51 Madison Avenue, New York, NY, U.S. 10010.....
Primary Location of Books and Records.....	51 Madison Avenue, New York, NY, U.S. 10010.....
.....	212-576-7000
Internet Website address	www.newyorklife.com.....
Statutory Statement Contact Person and Phone Number ..	Robert Michael Gardner..... 201-942-8333.....
Statutory Statement Contact E-Mail Address	Statement_contact@newyorklife.com.....
Statutory Statement Contact Fax Number	201-942-2158

EXECUTIVE OFFICERS

WILLIAM JOHN SMITH JR. <i>President</i>	ROBERT MICHAEL GARDNER # <i>Senior Vice President and Controller</i>
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THOMAS ALEXANDER HENDRY # <i>Senior Vice President and Treasurer</i>	JONATHAN ROBERT WINDERMAN # <i>Vice President and Chief Financial Officer</i>
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TRISTAN MICHAEL WILLIAM JONES # <i>Vice President and Actuary</i>	COLLEEN ANNE MEADE # <i>Associate General Counsel and Secretary</i>
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DIRECTORS OR TRUSTEES

ELIZABETH KATHERINE BRILL #	MICHAEL KELLY MCDONNELL #	WILLIAM JOHN SMITH JR. #
ALAIN MAURICE KARAOGLAN #	JOANNE HELEN RODGERS #	JONATHAN ROBERT WINDERMAN #
ANTHONY RAMSEY MALLOY #	BENJAMIN LAURENCE ROSENTHAL #	MATTHEW DAVID WION #

State of Pennsylvania } SS
County of Philadelphia

State of New York } SS
County of New York

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

DocuSigned by:

Bill Smith

FCEA9930558041A... WILLIAM JOHN SMITH JR.
President

DocuSigned by:

Jon Winderman

2A758921F0444C8... JONATHAN ROBERT WINDERMAN #
Vice President
and Chief Financial Officer

DocuSigned by:

Colleen Meade

43F3CF83969D48... COLLEEN ANNE MEADE #
Associate General Counsel
and Secretary

Subscribed and sworn to before me this
_____ day of February 2021

Subscribed and sworn to before me this
_____ day of February 2021

- a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number ...
2. Date filed ...
3. Number of pages attached ...

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

ASSETS

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D)	394,163,570	0	394,163,570	341,203,710
2. Stocks (Schedule D):				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens	0	0	0	0
3.2 Other than first liens	0	0	0	0
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$(3,996,126) , Schedule E - Part 1), cash equivalents (\$14,999,919 , Schedule E - Part 2) and short-term investments (\$0 , Schedule DA)	11,003,793	0	11,003,793	46,254,236
6. Contract loans (including \$0 premium notes)	0	0	0	0
7. Derivatives (Schedule DB)	0	0	0	0
8. Other invested assets (Schedule BA)	0	0	0	0
9. Receivables for securities	0	0	0	53,316
10. Securities lending reinvested collateral assets (Schedule DL)	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	405,167,363	0	405,167,363	387,511,262
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	3,929,544	0	3,929,544	3,896,279
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	45,896,609	16,468,660	29,427,949	23,518,089
15.2 Deferred premiums and agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums (\$0) and contracts subject to redetermination (\$0)	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	3,919,088	0	3,919,088	1,837,559
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	316,789	185,538	131,251	186,122
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	32,986,593	20,107,403	12,879,190	4,369,458
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	0
24. Health care (\$0) and other amounts receivable	0	0	0	0
25. Aggregate write-ins for other than invested assets	6,085,631	0	6,085,631	3,096,764
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	498,301,617	36,761,601	461,540,016	424,415,533
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	498,301,617	36,761,601	461,540,016	424,415,533
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. PFL Risk Adjustment Receivable	6,063,574	0	6,063,574	2,993,044
2502. Prepaid expenses and miscellaneous assets	22,057	0	22,057	103,720
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	6,085,631	0	6,085,631	3,096,764

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

LIABILITIES, SURPLUS AND OTHER FUNDS

	1	2
	Current Year	Prior Year
1. Aggregate reserve for life contracts \$18,972,985 (Exh. 5, Line 9999999) less \$0 included in Line 6.3 (including \$0 Modco Reserve)	18,972,985	17,727,222
2. Aggregate reserve for accident and health contracts (including \$0 Modco Reserve)	252,660,172	230,179,903
3. Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$0 Modco Reserve)	18,589,163	14,960,653
4. Contract claims:		
4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11)	17,244,273	11,937,140
4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11)	17,775,087	16,154,593
5. Policyholders' dividends/refunds to members \$0 and coupons \$0 due and unpaid (Exhibit 4, Line 10)	0	0
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$0 Modco)	0	0
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$0 Modco)	0	0
6.3 Coupons and similar benefits (including \$0 Modco)	0	0
7. Amount provisionally held for deferred dividend policies not included in Line 6	0	0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$0 discount; including \$0 accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of lines 4 and 14)	0	0
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts	0	0
9.2 Provision for experience rating refunds, including the liability of \$0 accident and health experience rating refunds of which \$0 is for medical loss ratio rebate per the Public Health Service Act	4,461,175	4,318,549
9.3 Other amounts payable on reinsurance, including \$2,773,799 assumed and \$0 ceded	2,773,799	0
9.4 Interest maintenance reserve (IMR, Line 6)	2,001,281	1,382,072
10. Commissions to agents due or accrued-life and annuity contracts \$1,109,429 accident and health \$2,801,501 and deposit-type contract funds \$0	3,910,930	3,225,393
11. Commissions and expense allowances payable on reinsurance assumed	0	0
12. General expenses due or accrued (Exhibit 2, Line 12, Col. 7)	438,329	906,392
13. Transfers to Separate Accounts due or accrued (net) (including \$0 accrued for expense allowances recognized in reserves, net of reinsured allowances)	0	0
14. Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 6)	838,766	661,046
15.1 Current federal and foreign income taxes, including \$0 on realized capital gains (losses)	0	683,998
15.2 Net deferred tax liability	0	0
16. Unearned investment income	0	0
17. Amounts withheld or retained by reporting entity as agent or trustee	0	1
18. Amounts held for agents' account, including \$0 agents' credit balances	0	0
19. Remittances and items not allocated	18,189,085	11,858,458
20. Net adjustment in assets and liabilities due to foreign exchange rates	0	0
21. Liability for benefits for employees and agents if not included above	0	0
22. Borrowed money \$0 and interest thereon \$0	0	0
23. Dividends to stockholders declared and unpaid	0	0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve (AVR, Line 16, Col. 7)	3,332,443	3,462,407
24.02 Reinsurance in unauthorized and certified (\$0) companies	0	0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$0) reinsurers	0	0
24.04 Payable to parent, subsidiaries and affiliates	1,581,458	1,938,474
24.05 Drafts outstanding	0	0
24.06 Liability for amounts held under uninsured plans	0	0
24.07 Funds held under coinsurance	0	0
24.08 Derivatives	0	0
24.09 Payable for securities	0	0
24.10 Payable for securities lending	0	0
24.11 Capital notes \$0 and interest thereon \$0	0	0
25. Aggregate write-ins for liabilities	30,617	139,465
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	362,799,563	319,535,766
27. From Separate Accounts Statement	0	0
28. Total liabilities (Lines 26 and 27)	362,799,563	319,535,766
29. Common capital stock	1,100,000	1,100,000
30. Preferred capital stock	0	0
31. Aggregate write-ins for other than special surplus funds	0	0
32. Surplus notes	0	0
33. Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1)	5,250,165	5,815,000
34. Aggregate write-ins for special surplus funds	0	0
35. Unassigned funds (surplus)	92,390,288	97,964,767
36. Less treasury stock, at cost:		
36.10 shares common (value included in Line 29 \$0)	0	0
36.20 shares preferred (value included in Line 30 \$0)	0	0
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$0 in Separate Accounts Statement)	97,640,453	103,779,767
38. Totals of Lines 29, 30 and 37 (Page 4, Line 55)	98,740,453	104,879,767
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	461,540,016	424,415,533
DETAILS OF WRITE-INS		
2501. Escheat liabilities	25,617	139,465
2502. Real estate and other liabilities	5,000	0
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	30,617	139,465
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page	0	0
3199. Totals (Lines 3101 thru 3103 plus 3198)(Line 31 above)	0	0
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above)	0	0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SUMMARY OF OPERATIONS

	1 Current Year	2 Prior Year
1. Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11)	233,338,872	217,092,087
2. Considerations for supplementary contracts with life contingencies	0	0
3. Net investment income (Exhibit of Net Investment Income, Line 17)	14,882,174	17,015,497
4. Amortization of Interest Maintenance Reserve (IMR, Line 5)	332,402	132,715
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0
6. Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1)	52,004	0
7. Reserve adjustments on reinsurance ceded	0	0
8. Miscellaneous Income:		
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0
8.2 Charges and fees for deposit-type contracts	175	200
8.3 Aggregate write-ins for miscellaneous income	114	62
9. Total (Lines 1 to 8.3)	248,605,741	234,240,561
10. Death benefits	57,807,471	43,853,605
11. Matured endowments (excluding guaranteed annual pure endowments)	0	0
12. Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8)	0	0
13. Disability benefits and benefits under accident and health contracts	143,747,292	124,666,355
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0
15. Surrender benefits and withdrawals for life contracts	0	0
16. Group conversions	0	0
17. Interest and adjustments on contract or deposit-type contract funds	251,005	718,720
18. Payments on supplementary contracts with life contingencies	0	0
19. Increase in aggregate reserves for life and accident and health contracts	23,726,032	10,870,203
20. Totals (Lines 10 to 19)	225,531,800	180,108,883
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1)	12,303,869	11,452,247
22. Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1)	0	0
23. General insurance expenses and fraternal expenses (Exhibit 2, Line 10, Cols. 1, 2, 3, 4 and 6)	18,749,913	21,340,214
24. Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3 + 5)	6,219,037	5,789,120
25. Increase in loading on deferred and uncollected premiums	0	0
26. Net transfers to or (from) Separate Accounts net of reinsurance	0	0
27. Aggregate write-ins for deductions	(8,362,782)	(2,993,044)
28. Totals (Lines 20 to 27)	254,441,837	215,697,420
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(5,836,096)	18,543,141
30. Dividends to policyholders and refunds to members	0	0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(5,836,096)	18,543,141
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	1,027,349	4,198,362
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(6,863,445)	14,344,779
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$(88,860) (excluding taxes of \$252,960 transferred to the IMR)	(522,498)	760,634
35. Net income (Line 33 plus Line 34)	(7,385,943)	15,105,413
CAPITAL AND SURPLUS ACCOUNT		
36. Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2)	104,879,767	108,784,863
37. Net income (Line 35)	(7,385,943)	15,105,413
38. Change in net unrealized capital gains (losses) less capital gains tax of \$0	0	0
39. Change in net unrealized foreign exchange capital gain (loss)	0	0
40. Change in net deferred income tax	27,902,604	740,088
41. Change in nonadmitted assets	(26,221,104)	(2,851,761)
42. Change in liability for reinsurance in unauthorized and certified companies	0	0
43. Change in reserve on account of change in valuation basis, (increase) or decrease	0	0
44. Change in asset valuation reserve	129,964	1,001,164
45. Change in treasury stock (Page 3, Lines 36.1 and 36.2, Col. 2 minus Col. 1)	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period	0	0
47. Other changes in surplus in Separate Accounts Statement	0	0
48. Change in surplus notes	0	0
49. Cumulative effect of changes in accounting principles	0	0
50. Capital changes:		
50.1 Paid in	0	0
50.2 Transferred from surplus (Stock Dividend)	0	0
50.3 Transferred to surplus	0	0
51. Surplus adjustment:		
51.1 Paid in	(564,835)	0
51.2 Transferred to capital (Stock Dividend)	0	0
51.3 Transferred from capital	0	0
51.4 Change in surplus as a result of reinsurance	0	0
52. Dividends to stockholders	0	(17,900,000)
53. Aggregate write-ins for gains and losses in surplus	0	0
54. Net change in capital and surplus for the year (Lines 37 through 53)	(6,139,314)	(3,905,096)
55. Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38)	98,740,453	104,879,767
DETAILS OF WRITE-INS		
08.301. Fees and other income	114	62
08.302.		
08.303.		
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398)(Line 8.3 above)	114	62
2701. Other write-ins	49,492	0
2702. PFL Risk Adjustment	(8,412,274)	(2,993,044)
2703.		
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798)(Line 27 above)	(8,362,782)	(2,993,044)
5301.		
5302.		
5303.		
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0
5399. Totals (Lines 5301 thru 5303 plus 5398)(Line 53 above)	0	0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

CASH FLOW

	1	2
	Current Year	Prior Year
Cash from Operations		
1. Premiums collected net of reinsurance	220,876,578	212,744,719
2. Net investment income	14,992,284	17,702,464
3. Miscellaneous income	52,293	262
4. Total (Lines 1 through 3)	235,921,155	230,447,445
5. Benefit and loss related payments	194,185,871	166,078,023
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	28,577,512	34,874,021
8. Dividends paid to policyholders	0	0
9. Federal and foreign income taxes paid (recovered) net of \$450,829 tax on capital gains (losses)	1,875,447	3,275,761
10. Total (Lines 5 through 9)	224,638,830	204,227,805
11. Net cash from operations (Line 4 minus Line 10)	11,282,325	26,219,640
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	66,101,320	94,394,119
12.2 Stocks	0	0
12.3 Mortgage loans	0	0
12.4 Real estate	0	0
12.5 Other invested assets	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0
12.7 Miscellaneous proceeds	203,316	129,515
12.8 Total investment proceeds (Lines 12.1 to 12.7)	66,304,636	94,523,634
13. Cost of investments acquired (long-term only):		
13.1 Bonds	118,899,924	65,143,945
13.2 Stocks	0	0
13.3 Mortgage loans	0	0
13.4 Real estate	0	0
13.5 Other invested assets	0	0
13.6 Miscellaneous applications	146,932	118,774
13.7 Total investments acquired (Lines 13.1 to 13.6)	119,046,856	65,262,719
14. Net increase (decrease) in contract loans and premium notes	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	(52,742,220)	29,260,915
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes	0	0
16.2 Capital and paid in surplus, less treasury stock	(564,835)	0
16.3 Borrowed funds	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	3,628,510	482,178
16.5 Dividends to stockholders	0	17,900,000
16.6 Other cash provided (applied)	3,145,777	(241,362)
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	6,209,452	(17,659,184)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(35,250,443)	37,821,371
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year	46,254,236	8,432,865
19.2 End of year (Line 18 plus Line 19.1)	11,003,793	46,254,236

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Bond exchanges allowed under rule 144A of the 1933 Securities Act and other security restructures	4,250,571	8,217,796
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ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - SUMMARY

	1	2	3	4	5	6	7	8	9
	Total	Individual Life	Group Life	Individual Annuities	Group Annuities	Accident and Health	Fraternal	Other Lines of Business	YRT Mortality Risk Only
1. Premiums and annuity considerations for life and accident and health contracts	233,338,873	0	60,344,604	0	0	172,994,269	0	0	0
2. Considerations for supplementary contracts with life contingencies	0	XXX	XXX	0	0	XXX	XXX	0	XXX
3. Net investment income	14,882,174	0	2,491,953	0	0	12,390,221	0	0	0
4. Amortization of Interest Maintenance Reserve (IMR)	332,402	0	54,395	0	0	278,007	0	0	0
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0	0	0	0	XXX	0	0
6. Commissions and expense allowances on reinsurance ceded	52,004	0	0	0	0	52,004	XXX	0	0
7. Reserve adjustments on reinsurance ceded	0	0	0	0	0	0	XXX	0	0
8. Miscellaneous Income:									
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0	0	0	0	0	XXX	0	0
8.2 Charges and fees for deposit-type contracts	175	0	175	0	0	XXX	XXX	0	0
8.3 Aggregate write-ins for miscellaneous income	114	0	114	0	0	0	0	0	0
9. Totals (Lines 1 to 8.3)	248,605,741	0	62,891,241	0	0	185,714,500	0	0	0
10. Death benefits	57,807,471	0	57,807,471	0	0	XXX	XXX	0	0
11. Matured endowments (excluding guaranteed annual pure endowments)	0	0	0	0	0	XXX	XXX	0	0
12. Annuity benefits	0	XXX	XXX	0	0	XXX	XXX	0	XXX
13. Disability benefits and benefits under accident and health contracts	143,747,292	0	0	0	0	143,747,292	XXX	0	0
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0	0	0	0	XXX	0	0
15. Surrender benefits and withdrawals for life contracts	0	0	0	0	0	XXX	XXX	0	0
16. Group conversions	0	0	0	0	0	0	XXX	0	0
17. Interest and adjustments on contract or deposit-type contract funds	251,005	0	246,539	0	0	4,466	XXX	0	0
18. Payments on supplementary contracts with life contingencies	0	0	0	0	0	XXX	XXX	0	0
19. Increase in aggregate reserves for life and accident and health contracts	23,726,029	(9,005)	1,254,768	0	0	22,480,266	XXX	0	0
20. Totals (Lines 10 to 19)	225,531,797	(9,005)	59,308,778	0	0	166,232,024	XXX	0	0
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	12,303,869	0	3,297,719	0	0	9,006,150	0	0	XXX
22. Commissions and expense allowances on reinsurance assumed	0	0	0	0	0	0	XXX	0	0
23. General insurance expenses and fraternal expenses	18,749,913	0	4,114,489	0	0	14,635,424	0	0	0
24. Insurance taxes, licenses and fees, excluding federal income taxes	6,219,037	0	1,381,603	0	0	4,837,434	0	0	0
25. Increase in loading on deferred and uncollected premiums	0	0	0	0	0	0	XXX	0	0
26. Net transfers to or (from) Separate Accounts net of reinsurance	0	0	0	0	0	0	XXX	0	0
27. Aggregate write-ins for deductions	(8,362,782)	0	8,910	0	0	(8,371,692)	0	0	0
28. Totals (Lines 20 to 27)	254,441,834	(9,005)	68,111,499	0	0	186,339,340	0	0	0
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(5,836,093)	9,005	(5,220,258)	0	0	(624,840)	0	0	0
30. Dividends to policyholders and refunds to members	0	0	0	0	0	0	XXX	0	0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(5,836,093)	9,005	(5,220,258)	0	0	(624,840)	0	0	0
32. Federal income taxes incurred (excluding tax on capital gains)	1,027,349	(364)	917,751	0	0	109,962	0	0	0
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(6,863,442)	9,369	(6,138,009)	0	0	(734,802)	0	0	0
34. Policies/certificates in force end of year	3,920	26	475	0	0	3,419	XXX	0	0
DETAILS OF WRITE-INS									
08.301. Fees and Other Income	114	0	114	0	0	0	0	0	0
08.302.									
08.303.									
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	114	0	114	0	0	0	0	0	0
2701. Other Write Ins	49,492	0	8,910	0	0	40,582	0	0	0
2702. PFL Risk Adjustment	(8,412,274)	0	0	0	0	(8,412,274)	0	0	0
2703.									
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	(8,362,782)	0	8,910	0	0	(8,371,692)	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - INDIVIDUAL LIFE INSURANCE (b)

	1	2	3	4	5	6	7	8	9	10	11	12
	Total	Industrial Life	Whole Life	Term Life	Indexed Life	Universal Life	Universal Life With Secondary Guarantees	Variable Life	Variable Universal Life	Credit Life (c)	Other Individual Life	YRT Mortality Risk Only
1. Premiums for life contracts (a)	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2. Considerations for supplementary contracts with life contingencies	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.
3. Net investment income	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4. Amortization of Interest Maintenance Reserve (IMR)	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
5. Separate Accounts net gain from operations excluding unrealized gains or losses	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
6. Commissions and expense allowances on reinsurance ceded	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
7. Reserve adjustments on reinsurance ceded	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
8. Miscellaneous Income:												
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
8.2 Charges and fees for deposit-type contracts	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
8.3 Aggregate write-ins for miscellaneous income	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
9. Totals (Lines 1 to 8.3)	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
10. Death benefits	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
11. Matured endowments (excluding guaranteed annual pure endowments)	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
12. Annuity benefits	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.	XXX.
13. Disability benefits and benefits under accident and health contracts	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
14. Coupons, guaranteed annual pure endowments and similar benefits	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
15. Surrender benefits and withdrawals for life contracts	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
16. Group conversions	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
17. Interest and adjustments on contract or deposit-type contract funds	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
18. Payments on supplementary contracts with life contingencies	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
19. Increase in aggregate reserves for life and accident and health contracts	(9,005)	.0	.0	(9,005)	.0	.0	.0	.0	.0	.0	.0	.0
20. Totals (Lines 10 to 19)	(9,005)	.0	.0	(9,005)	.0	.0	.0	.0	.0	.0	.0	.0
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	XXX.
22. Commissions and expense allowances on reinsurance assumed	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
23. General insurance expenses	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
24. Insurance taxes, licenses and fees, excluding federal income taxes	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
25. Increase in loading on deferred and uncollected premiums	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
26. Net transfers to or (from) Separate Accounts net of reinsurance	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
27. Aggregate write-ins for deductions	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
28. Totals (Lines 20 to 27)	(9,005)	.0	.0	(9,005)	.0	.0	.0	.0	.0	.0	.0	.0
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	9,005	.0	.0	9,005	.0	.0	.0	.0	.0	.0	.0	.0
30. Dividends to policyholders and refunds to members	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	9,005	.0	.0	9,005	.0	.0	.0	.0	.0	.0	.0	.0
32. Federal income taxes incurred (excluding tax on capital gains)	(364)	.0	.0	(364)	.0	.0	.0	.0	.0	.0	.0	.0
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	9,369	.0	.0	9,369	.0	.0	.0	.0	.0	.0	.0	.0
34. Policies/certificates in force end of year	26	.0	.0	26	.0	.0	.0	.0	.0	.0	.0	.0
DETAILS OF WRITE-INS												
08.301.												
08.302.												
08.303.												
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2701.												
2702.												
2703.												
2798. Summary of remaining write-ins for Line 27 from overflow page	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0

(a) Include premium amounts for preneed plans included in Line 1 .0

(b) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(c) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY
ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - GROUP LIFE INSURANCE (c)

	1	2	3	4	5	6	7	8	9
	Total	Whole Life	Term Life	Universal Life	Variable Life	Variable Universal Life	Credit Life (d)	Other Group Life (a)	YRT Mortality Risk Only
1. Premiums for life contracts (b)	60,344,604	0	60,344,604	0	0	0	0	0	0
2. Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3. Net investment income	2,491,953	0	2,491,953	0	0	0	0	0	0
4. Amortization of Interest Maintenance Reserve (IMR)	54,395	0	54,395	0	0	0	0	0	0
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0	0	0	0	0	0	0
6. Commissions and expense allowances on reinsurance ceded	0	0	0	0	0	0	0	0	0
7. Reserve adjustments on reinsurance ceded	0	0	0	0	0	0	0	0	0
8. Miscellaneous Income:									
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0	0	0	0	0	0	0	0
8.2 Charges and fees for deposit-type contracts	175	0	175	0	0	0	0	0	0
8.3 Aggregate write-ins for miscellaneous income	114	0	114	0	0	0	0	0	0
9. Totals (Lines 1 to 8.3)	62,891,241	0	62,891,241	0	0	0	0	0	0
10. Death benefits	57,807,471	0	57,807,471	0	0	0	0	0	0
11. Matured endowments (excluding guaranteed annual pure endowments)	0	0	0	0	0	0	0	0	0
12. Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13. Disability benefits and benefits under accident and health contracts	0	0	0	0	0	0	0	0	0
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0	0	0	0	0	0	0
15. Surrender benefits and withdrawals for life contracts	0	0	0	0	0	0	0	0	0
16. Group conversions	0	0	0	0	0	0	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds	246,539	0	246,539	0	0	0	0	0	0
18. Payments on supplementary contracts with life contingencies	0	0	0	0	0	0	0	0	0
19. Increase in aggregate reserves for life and accident and health contracts	1,254,768	0	1,254,768	0	0	0	0	0	0
20. Totals (Lines 10 to 19)	59,308,778	0	59,308,778	0	0	0	0	0	0
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	3,297,719	0	3,297,719	0	0	0	0	0	XXX
22. Commissions and expense allowances on reinsurance assumed	0	0	0	0	0	0	0	0	0
23. General insurance expenses	4,114,489	0	4,114,489	0	0	0	0	0	0
24. Insurance taxes, licenses and fees, excluding federal income taxes	1,381,603	0	1,381,603	0	0	0	0	0	0
25. Increase in loading on deferred and uncollected premiums	0	0	0	0	0	0	0	0	0
26. Net transfers to or (from) Separate Accounts net of reinsurance	0	0	0	0	0	0	0	0	0
27. Aggregate write-ins for deductions	8,910	0	8,910	0	0	0	0	0	0
28. Totals (Lines 20 to 27)	68,111,499	0	68,111,499	0	0	0	0	0	0
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(5,220,258)	0	(5,220,258)	0	0	0	0	0	0
30. Dividends to policyholders and refunds to members	0	0	0	0	0	0	0	0	0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(5,220,258)	0	(5,220,258)	0	0	0	0	0	0
32. Federal income taxes incurred (excluding tax on capital gains)	917,751	0	917,751	0	0	0	0	0	0
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(6,138,009)	0	(6,138,009)	0	0	0	0	0	0
34. Policies/certificates in force end of year	475	0	475	0	0	0	0	0	0
DETAILS OF WRITE-INS									
08.301. Fees and Other Income	114	0	114	0	0	0	0	0	0
08.302.									
08.303.									
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	114	0	114	0	0	0	0	0	0
2701. Other Write Ins	8,910	0	8,910	0	0	0	0	0	0
2702.									
2703.									
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	8,910	0	8,910	0	0	0	0	0	0

(a) Includes the following amounts for FEGLI/SGLI: Line 1 0 , Line 10 0 , Line 16 0 , Line 23 0 , Line 24 0

(b) Include premium amounts for preneed plans included in Line 1 0

(c) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(d) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - INDIVIDUAL ANNUITIES (a)

	1	Deferred				6	7
		2	3	4	5		
	Total	Fixed Annuities	Indexed Annuities	Variable Annuities with Guarantees	Variable Annuities Without Guarantees	Life Contingent Payout (Immediate and Annuitalizations)	Other Annuities
1. Premiums for individual annuity contracts	0	0	0	0	0	0	0
2. Considerations for supplementary contracts with life contingencies	0	XXX	XXX	XXX	XXX	0	XXX
3. Net investment income	0	0	0	0	0	0	0
4. Amortization of Interest Maintenance Reserve (IMR)	0	0	0	0	0	0	0
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0	0	0	0	0
6. Commissions and expense allowances on reinsurance ceded	0	0	0	0	0	0	0
7. Reserve adjustments on reinsurance ceded	0	0	0	0	0	0	0
8. Miscellaneous Income:							
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0	0	0	0	0	0
8.2 Charges and fees for deposit-type contracts	0	0	0	0	0	0	0
8.3 Aggregate write-ins for miscellaneous income	0	0	0	0	0	0	0
9. Totals (Lines 1 to 8.3)	0	0	0	0	0	0	0
10. Death benefits	0	0	0	0	0	0	0
11. Matured endowments (excluding guaranteed annual pure endowments)	0	0	0	0	0	0	0
12. Annuity benefits	0	0	0	0	0	0	0
13. Disability benefits and benefits under accident and health contracts	0	0	0	0	0	0	0
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0	0	0	0	0
15. Surrender benefits and withdrawals for life contracts	0	0	0	0	0	0	0
16. Group conversions	0	0	0	0	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds	0	0	0	0	0	0	0
18. Payments on supplementary contracts with life contingencies	0	0	0	0	0	0	0
19. Increase in aggregate reserves for life and accident and health contracts	0	0	0	0	0	0	0
20. Totals (Lines 10 to 19)	0	0	0	0	0	0	0
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	0	0	0	0	0	0	0
22. Commissions and expense allowances on reinsurance assumed	0	0	0	0	0	0	0
23. General insurance expenses	0	0	0	0	0	0	0
24. Insurance taxes, licenses and fees, excluding federal income taxes	0	0	0	0	0	0	0
25. Increase in loading on deferred and uncollected premiums	0	0	0	0	0	0	0
26. Net transfers to or (from) Separate Accounts net of reinsurance	0	0	0	0	0	0	0
27. Aggregate write-ins for deductions	0	0	0	0	0	0	0
28. Totals (Lines 20 to 27)	0	0	0	0	0	0	0
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	0	0	0	0	0	0	0
30. Dividends to policyholders and refunds to members	0	0	0	0	0	0	0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	0	0	0	0	0	0	0
32. Federal income taxes incurred (excluding tax on capital gains)	0	0	0	0	0	0	0
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	0	0	0	0	0	0	0
34. Policies/certificates in force end of year	0	0	0	0	0	0	0
DETAILS OF WRITE-INS							
08.301.							
08.302.							
08.303.							
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	0	0	0	0	0	0	0
2701.							
2702.							
2703.							
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which which columns are affected.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - GROUP ANNUITIES (a)

	1	Deferred				6	7
		2	3	4	5		
	Total	Fixed Annuities	Indexed Annuities	Variable Annuities with Guarantees	Variable Annuities Without Guarantees	Life Contingent Payout (Immediate and Annuitizations)	Other Annuities
1. Premiums for group annuity contracts	0	0	0	0	0	0	0
2. Considerations for supplementary contracts with life contingencies	0	XXX	XXX	XXX	XXX	0	XXX
3. Net investment income	0	0	0	0	0	0	0
4. Amortization of Interest Maintenance Reserve (IMR)	0	0	0	0	0	0	0
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0	0	0	0	0
6. Commissions and expense allowances on reinsurance ceded	0	0	0	0	0	0	0
7. Reserve adjustments on reinsurance ceded	0	0	0	0	0	0	0
8. Miscellaneous Income:							
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0	0	0	0	0	0
8.2 Charges and fees for deposit-type contracts	0	0	0	0	0	0	0
8.3 Aggregate write-ins for miscellaneous income	0	0	0	0	0	0	0
9. Totals (Lines 1 to 8.3)	0	0	0	0	0	0	0
10. Death benefits	0	0	0	0	0	0	0
11. Matured endowments (excluding guaranteed annual pure endowments)	0	0	0	0	0	0	0
12. Annuity benefits	0	0	0	0	0	0	0
13. Disability benefits and benefits under accident and health contracts	0	0	0	0	0	0	0
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0	0	0	0	0
15. Surrender benefits and withdrawals for life contracts	0	0	0	0	0	0	0
16. Group conversions	0	0	0	0	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds	0	0	0	0	0	0	0
18. Payments on supplementary contracts with life contingencies	0	0	0	0	0	0	0
19. Increase in aggregate reserves for life and accident and health contracts	0	0	0	0	0	0	0
20. Totals (Lines 10 to 19)	0	0	0	0	0	0	0
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	0	0	0	0	0	0	0
22. Commissions and expense allowances on reinsurance assumed	0	0	0	0	0	0	0
23. General insurance expenses	0	0	0	0	0	0	0
24. Insurance taxes, licenses and fees, excluding federal income taxes	0	0	0	0	0	0	0
25. Increase in loading on deferred and uncollected premiums	0	0	0	0	0	0	0
26. Net transfers to or (from) Separate Accounts net of reinsurance	0	0	0	0	0	0	0
27. Aggregate write-ins for deductions	0	0	0	0	0	0	0
28. Totals (Lines 20 to 27)	0	0	0	0	0	0	0
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	0	0	0	0	0	0	0
30. Dividends to policyholders and refunds to members	0	0	0	0	0	0	0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	0	0	0	0	0	0	0
32. Federal income taxes incurred (excluding tax on capital gains)	0	0	0	0	0	0	0
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	0	0	0	0	0	0	0
34. Policies/certificates in force end of year	0	0	0	0	0	0	0
DETAILS OF WRITE-INS							
08.301.							
08.302.							
08.303.							
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0	0	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	0	0	0	0	0	0	0
2701.							
2702.							
2703.							
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0	0	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - ACCIDENT AND HEALTH (a)

	1	Comprehensive		4	5	6	7	8	9	10	11	12	13
		2	3										
	Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Credit A&H	Disability Income	Long-Term Care	Other Health
1. Premiums for accident and health contracts	172,994,269	.0	.0	.0	.0	.0	.0	.0	.0	.0	162,875,678	.0	10,118,590
2. Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3. Net investment income	12,390,221	.0	.0	.0	.0	.0	.0	.0	.0	.0	11,665,506	.0	724,715
4. Amortization of Interest Maintenance Reserve (IMR)	278,007	.0	.0	.0	.0	.0	.0	.0	.0	.0	261,746	.0	16,261
5. Separate Accounts net gain from operations excluding unrealized gains or losses0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
6. Commissions and expense allowances on reinsurance ceded	52,004	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	52,004
7. Reserve adjustments on reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
8. Miscellaneous Income:													
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
8.2 Charges and fees for deposit-type contracts	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8.3 Aggregate write-ins for miscellaneous income0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
9. Totals (Lines 1 to 8.3)	185,714,500	0	0	0	0	0	0	0	0	0	174,802,930	0	10,911,570
10. Death benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11. Matured endowments (excluding guaranteed annual pure endowments)	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
12. Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13. Disability benefits and benefits under accident and health contracts	143,747,292	.0	.0	.0	.0	.0	.0	.0	.0	.0	140,960,019	.0	2,787,272
14. Coupons, guaranteed annual pure endowments and similar benefits0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
15. Surrender benefits and withdrawals for life contracts	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
16. Group conversions0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
17. Interest and adjustments on contract or deposit-type contract funds	4,466	.0	.0	.0	.0	.0	.0	.0	.0	.0	4,205	.0	261
18. Payments on supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
19. Increase in aggregate reserves for life and accident and health contracts	22,480,266	0	0	0	0	0	0	0	0	0	22,721,675	0	(241,408)
20. Totals (Lines 10 to 19)	166,232,024	.0	.0	.0	.0	.0	.0	.0	.0	.0	163,685,899	.0	2,546,125
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	9,006,150	.0	.0	.0	.0	.0	.0	.0	.0	.0	8,119,846	.0	886,304
22. Commissions and expense allowances on reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
23. General insurance expenses	14,635,424	.0	.0	.0	.0	.0	.0	.0	.0	.0	13,766,832	.0	868,592
24. Insurance taxes, licenses and fees, excluding federal income taxes	4,837,434	.0	.0	.0	.0	.0	.0	.0	.0	.0	4,550,339	.0	287,095
25. Increase in loading on deferred and uncollected premiums0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
26. Net transfers to or (from) Separate Accounts net of reinsurance0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
27. Aggregate write-ins for deductions	(8,371,691)	0	0	0	0	0	0	0	0	0	(8,375,174)	0	3,483
28. Totals (Lines 20 to 27)	186,339,341	0	0	0	0	0	0	0	0	0	181,747,742	0	4,591,599
29. Net gain from operations before dividends to policyholders, and refunds to members and federal income taxes (Line 9 minus Line 28)	(624,841)	.0	.0	.0	.0	.0	.0	.0	.0	.0	(6,944,812)	.0	6,319,971
30. Dividends to policyholders and refunds to members	0	0	0	0	0	0	0	0	0	0	0	0	0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(624,841)	.0	.0	.0	.0	.0	.0	.0	.0	.0	(6,944,812)	.0	6,319,971
32. Federal income taxes incurred (excluding tax on capital gains)	109,962	0	0	0	0	0	0	0	0	0	1,222,182	0	(1,112,220)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(734,803)	0	0	0	0	0	0	0	0	0	(8,166,994)	0	7,432,191
34. Policies/certificates in force end of year	3,419	0	0	0	0	0	0	0	0	0	2,446	0	973
DETAILS OF WRITE-INS													
08.301.													
08.302.													
08.303.													
08.398. Summary of remaining write-ins for Line 8.3 from overflow page0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	0	0	0	0	0	0	0	0	0	0	0	0	0
2701. Other Write Ins	40,583	.0	.0	.0	.0	.0	.0	.0	.0	.0	37,100	.0	3,483
2702. PFL Risk Adjustment	(8,412,274)	.0	.0	.0	.0	.0	.0	.0	.0	.0	(8,412,274)	.0	.0
2703.													
2798. Summary of remaining write-ins for Line 27 from overflow page0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	(8,371,691)	0	0	0	0	0	0	0	0	0	(8,375,174)	0	3,483

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - INDIVIDUAL LIFE INSURANCE (a)

	1	2	3	4	5	6	7	8	9	10	11	12
	Total	Industrial Life	Whole Life	Term Life	Indexed Life	Universal Life	Universal Life With Secondary Guarantees	Variable Life	Variable Universal Life	Credit Life ^(b) (N/A Fraternal)	Other Individual Life	YRT Mortality Risk Only
Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)												
1. Reserve December 31 of prior year	43,543	0	0	43,543	0	0	0	0	0	0	0	0
2. Tabular net premiums or considerations	0	0	0	0	0	0	0	0	0	0	0	0
3. Present value of disability claims incurred	0	0	0	0	0	0	0	0	0	0	0	0
4. Tabular interest	1,874	0	0	1,874	0	0	0	0	0	0	0	0
5. Tabular less actual reserve released	(10,879)	0	0	(10,879)	0	0	0	0	0	0	0	0
6. Increase in reserve on account of change in valuation basis	0	0	0	0	0	0	0	0	0	0	0	0
6.1 Change in excess of VM-20 deterministic/stochastic reserve over net premium reserve	0	XXX	0	0	0	0	0	0	0	XXX	0	0
7. Other increases (net)	0	0	0	0	0	0	0	0	0	0	0	0
8. Totals (Lines 1 to 7)	34,538	0	0	34,538	0	0	0	0	0	0	0	0
9. Tabular cost	0	0	0	0	0	0	0	0	0	0	0	0
10. Reserves released by death	0	0	0	0	0	0	0	0	0	0	0	0
11. Reserves released by other terminations (net)	0	0	0	0	0	0	0	0	0	0	0	0
12. Annuity, supplementary contract and disability payments involving life contingencies	0	0	0	0	0	0	0	0	0	0	0	0
13. Net transfers to or (from) Separate Accounts	0	0	0	0	0	0	0	0	0	0	0	0
14. Total Deductions (Lines 9 to 13)	0	0	0	0	0	0	0	0	0	0	0	0
15. Reserve December 31 of current year	34,538	0	0	34,538	0	0	0	0	0	0	0	0
Cash Surrender Value and Policy Loans												
16. CSV Ending balance December 31, current year	0	0	0	0	0	0	0	0	0	0	0	0
17. Amount Available for Policy Loans Based upon Line 16 CSV	0	0	0	0	0	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(b) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - GROUP LIFE INSURANCE ^(a)

(N/A Fraternal)

	1	2	3	4	5	6	7	8	9
	Total	Whole Life	Term Life	Variable Life	Universal Life	Variable Universal Life	Credit Life ^(b)	Other Group Life	YRT Mortality Risk Only
Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)									
1. Reserve December 31 of prior year	17,683,679	0	17,683,679	0	0	0	0	0	0
2. Tabular net premiums or considerations	49,528,800	0	49,528,800	0	0	0	0	0	0
3. Present value of disability claims incurred	3,929,387	0	3,929,387	0	0	0	0	0	0
4. Tabular interest	650,003	0	650,003	0	0	0	0	0	0
5. Tabular less actual reserve released	(594,514)	0	(594,514)	0	0	0	0	0	0
6. Increase in reserve on account of change in valuation basis	0	0	0	0	0	0	0	0	0
7. Other increases (net)	0	0	0	0	0	0	0	0	0
8. Totals (Lines 1 to 7)	71,197,355	0	71,197,355	0	0	0	0	0	0
9. Tabular cost	49,528,800	0	49,528,800	0	0	0	0	0	0
10. Reserves released by death	0	0	0	0	0	0	0	0	0
11. Reserves released by other terminations (net)	0	0	0	0	0	0	0	0	0
12. Annuity, supplementary contract and disability payments involving life contingencies	2,730,108	0	2,730,108	0	0	0	0	0	0
13. Net transfers to or (from) Separate Accounts	0	0	0	0	0	0	0	0	0
14. Total Deductions (Lines 9 to 13)	52,258,908	0	52,258,908	0	0	0	0	0	0
15. Reserve December 31 of current year	18,938,447	0	18,938,447	0	0	0	0	0	0
Cash Surrender Value and Policy Loans									
16. CSV Ending balance December 31, current year	0	0	0	0	0	0	0	0	0
17. Amount Available for Policy Loans Based upon Line 16 CSV	0	0	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(b) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - INDIVIDUAL ANNUITIES (a)

	1 Total	Deferred				6 Life Contingent Payout (Immediate and Annuitizations)	7 Other Annuities
		2 Fixed Annuities	3 Indexed Annuities	4 Variable Annuities with Guarantees	5 Variable Annuities without Guarantees		
Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)							
1. Reserve December 31 of prior year	0	0	0	0	0	0	0
2. Tabular net premiums or considerations	0	0	0	0	0	0	0
3. Present value of disability claims incurred	xxx	xxx	xxx	xxx	xxx	xxx	xxx
4. Tabular interest	0	0	0	0	0	0	0
5. Tabular less actual reserve released	0	0	0	0	0	0	0
6. Increase in reserve on account of change in valuation basis	0	0	0	0	0	0	0
7. Other increases (net)	0	0	0	0	0	0	0
8. Totals (Lines 1 to 7)	0	0	0	0	0	0	0
9. Tabular cost	0	0	0	0	0	0	0
10. Reserves released by death	xxx	xxx	xxx	xxx	xxx	xxx	xxx
11. Reserves released by other terminations (net)	0	0	0	0	0	0	0
12. Annuity, supplementary contract and disability payments involving life contingencies	0	0	0	0	0	0	0
13. Net transfers to or (from) Separate Accounts	0	0	0	0	0	0	0
14. Total Deductions (Lines 9 to 13)	0	0	0	0	0	0	0
15. Reserve December 31 of current year	0	0	0	0	0	0	0
Cash Surrender Value and Policy Loans							
16. CSV Ending balance December 31, current year	0	0	0	0	0	0	0
17. Amount Available for Policy Loans Based upon Line 16 CSV	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - GROUP ANNUITIES ^(a)

(N/A Fraternal)

	1 Total	Deferred				6 Life Contingent Payout (Immediate and Annuitizations)	7 Other Annuities
		2 Fixed Annuities	3 Indexed Annuities	4 Variable Annuities with Guarantees	5 Variable Annuities without Guarantees		
Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)							
1. Reserve December 31 of prior year	0	0	0	0	0	0	0
2. Tabular net premiums or considerations	0	0	0	0	0	0	0
3. Present value of disability claims incurredxxx	.xxx	.xxx	.xxx	.xxx	.xxx	.xxx
4. Tabular interest	0	0	0	0	0	0	0
5. Tabular less actual reserve released	0	0	0	0	0	0	0
6. Increase in reserve on account of change in valuation basis	0	0	0	0	0	0	0
7. Other increases (net)	0	0	0	0	0	0	0
8. Totals (Lines 1 to 7)	0	0	0	0	0	0	0
9. Tabular cost	0	0	0	0	0	0	0
10. Reserves released by deathxxx	.xxx	.xxx	.xxx	.xxx	.xxx	.xxx
11. Reserves released by other terminations (net)	0	0	0	0	0	0	0
12. Annuity, supplementary contract and disability payments involving life contingencies	0	0	0	0	0	0	0
13. Net transfers to or (from) Separate Accounts	0	0	0	0	0	0	0
14. Total Deductions (Lines 9 to 13)	0	0	0	0	0	0	0
15. Reserve December 31 of current year	0	0	0	0	0	0	0
Cash Surrender Value and Policy Loans							
16. CSV Ending balance December 31, current year	0	0	0	0	0	0	0
17. Amount Available for Policy Loans Based upon Line 16 CSV	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

EXHIBIT OF NET INVESTMENT INCOME

		1	2
		Collected During Year	Earned During Year
1.	U.S. Government bonds	(a)18,16517,601
1.1	Bonds exempt from U.S. tax	(a)
1.2	Other bonds (unaffiliated)	(a)15,116,93915,154,220
1.3	Bonds of affiliates	(a)00
2.1	Preferred stocks (unaffiliated)	(b)00
2.11	Preferred stocks of affiliates	(b)00
2.2	Common stocks (unaffiliated)00
2.21	Common stocks of affiliates00
3.	Mortgage loans	(c)00
4.	Real estate	(d)00
5	Contract loans00
6	Cash, cash equivalents and short-term investments	(e)180,711177,259
7	Derivative instruments	(f)00
8.	Other invested assets00
9.	Aggregate write-ins for investment income10,56710,567
10.	Total gross investment income15,326,38215,359,647
11.	Investment expenses		(g)477,473
12.	Investment taxes, licenses and fees, excluding federal income taxes		(g)0
13.	Interest expense		(h)0
14.	Depreciation on real estate and other invested assets		(i)0
15.	Aggregate write-ins for deductions from investment income0
16.	Total deductions (Lines 11 through 15)477,473
17.	Net investment income (Line 10 minus Line 16)14,882,174
DETAILS OF WRITE-INS			
0901.	Misc Investment Income10,56710,567
0902.		
0903.		
0998.	Summary of remaining write-ins for Line 9 from overflow page00
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)10,56710,567
1501.		
1502.		
1503.		
1598.	Summary of remaining write-ins for Line 15 from overflow page0
1599.	Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)0

(a) Includes \$246,894 accrual of discount less \$675,781 amortization of premium and less \$241,387 paid for accrued interest on purchases.

(b) Includes \$0 accrual of discount less \$0 amortization of premium and less \$0 paid for accrued dividends on purchases.

(c) Includes \$0 accrual of discount less \$0 amortization of premium and less \$0 paid for accrued interest on purchases.

(d) Includes \$0 for company's occupancy of its own buildings; and excludes \$0 interest on encumbrances.

(e) Includes \$175,301 accrual of discount less \$0 amortization of premium and less \$0 paid for accrued interest on purchases.

(f) Includes \$0 accrual of discount less \$0 amortization of premium.

(g) Includes \$0 investment expenses and \$0 investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.

(h) Includes \$0 interest on surplus notes and \$0 interest on capital notes.

(i) Includes \$0 depreciation on real estate and \$0 depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

		1	2	3	4	5
		Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1.	U.S. Government bonds00000
1.1	Bonds exempt from U.S. tax00	
1.2	Other bonds (unaffiliated)740,146(150,000)590,14600
1.3	Bonds of affiliates00000
2.1	Preferred stocks (unaffiliated)00000
2.11	Preferred stocks of affiliates00000
2.2	Common stocks (unaffiliated)00000
2.21	Common stocks of affiliates00000
3.	Mortgage loans00000
4.	Real estate00000
5.	Contract loans00000
6.	Cash, cash equivalents and short-term investments3,07203,07200
7.	Derivative instruments00000
8.	Other invested assets00000
9.	Aggregate write-ins for capital gains (losses)00000
10.	Total capital gains (losses)743,218(150,000)593,21800
DETAILS OF WRITE-INS						
0901.					
0902.					
0903.					
0998.	Summary of remaining write-ins for Line 9 from overflow page00000
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)00000

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

EXHIBIT - 1 PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

		Insurance											
		1	2	Ordinary		5	Group		Accident and Health			11	12
				3	4		6	7	8	9	10		
		Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business	Fraternal (Fraternal Benefit Societies Only)
FIRST YEAR (other than single)													
1.	Uncollected	0	0	0	0	0	0	0	0	0	0	0	0
2.	Deferred and accrued	0	0	0	0	0	0	0	0	0	0	0	0
3.	Deferred , accrued and uncollected:												
3.1	Direct	0	0	0	0	0	0	0	0	0	0	0	0
3.2	Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
3.3	Reinsurance ceded	0	0	0	0	0	0	0	0	0	0	0	0
3.4	Net (Line 1 + Line 2)	0	0	0	0	0	0	0	0	0	0	0	0
4.	Advance	0	0	0	0	0	0	0	0	0	0	0	0
5.	Line 3.4 - Line 4	0	0	0	0	0	0	0	0	0	0	0	0
6.	Collected during year:												
6.1	Direct	0	0	0	0	0	0	0	0	0	0	0	0
6.2	Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
6.3	Reinsurance ceded	0	0	0	0	0	0	0	0	0	0	0	0
6.4	Net	0	0	0	0	0	0	0	0	0	0	0	0
7.	Line 5 + Line 6.4	0	0	0	0	0	0	0	0	0	0	0	0
8.	Prior year (uncollected + deferred and accrued - advance)	0	0	0	0	0	0	0	0	0	0	0	0
9.	First year premiums and considerations:												
9.1	Direct	0	0	0	0	0	0	0	0	0	0	0	0
9.2	Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
9.3	Reinsurance ceded	0	0	0	0	0	0	0	0	0	0	0	0
9.4	Net (Line 7 - Line 8)	0	0	0	0	0	0	0	0	0	0	0	0
SINGLE													
10.	Single premiums and considerations:												
10.1	Direct	0	0	0	0	0	0	0	0	0	0	0	0
10.2	Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
10.3	Reinsurance ceded	0	0	0	0	0	0	0	0	0	0	0	0
10.4	Net	0	0	0	0	0	0	0	0	0	0	0	0
RENEWAL													
11.	Uncollected	45,896,610	0	0	0	0	11,366,287	0	34,530,323	0	0	0	0
12.	Deferred and accrued	(4,461,175)	0	0	0	0	(4,461,175)	0	0	0	0	0	0
13.	Deferred, accrued and uncollected:												
13.1	Direct	42,460,195	0	1,566	0	0	7,304,131	0	35,154,498	0	0	0	0
13.2	Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
13.3	Reinsurance ceded	1,024,761	0	1,566	0	0	399,019	0	624,176	0	0	0	0
13.4	Net (Line 11 + Line 12)	41,435,434	0	0	0	0	6,905,112	0	34,530,323	0	0	0	0
14.	Advance	0	0	0	0	0	0	0	0	0	0	0	0
15.	Line 13.4 - Line 14	41,435,434	0	0	0	0	6,905,112	0	34,530,323	0	0	0	0
16.	Collected during year:												
16.1	Direct	222,951,700	0	3,838	0	0	58,240,844	0	164,433,078	0	273,941	0	0
16.2	Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
16.3	Reinsurance ceded	2,075,122	0	3,838	0	0	871,271	0	1,200,013	0	0	0	0
16.4	Net	220,876,578	0	0	0	0	57,369,573	0	163,233,064	0	273,941	0	0
17.	Line 15 + Line 16.4	262,312,012	0	0	0	0	64,274,684	0	197,763,387	0	273,941	0	0
18.	Prior year (uncollected + deferred and accrued - advance)	28,973,140	0	0	0	0	3,930,081	0	25,041,784	0	1,275	0	0
19.	Renewal premiums and considerations:												
19.1	Direct	235,218,480	0	3,639	0	0	60,750,138	0	174,192,037	0	272,666	0	0
19.2	Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
19.3	Reinsurance ceded	1,879,608	0	3,639	0	0	405,535	0	1,470,434	0	0	0	0
19.4	Net (Line 17 - Line 18)	233,338,872	0	0	0	0	60,344,603	0	172,721,603	0	272,666	0	0
TOTAL													
20.	Total premiums and annuity considerations:												
20.1	Direct	235,218,480	0	3,639	0	0	60,750,138	0	174,192,037	0	272,666	0	0
20.2	Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
20.3	Reinsurance ceded	1,879,608	0	3,639	0	0	405,535	0	1,470,434	0	0	0	0
20.4	Net (Lines 9.4 + 10.4 + 19.4)	233,338,872	0	0	0	0	60,344,603	0	172,721,603	0	272,666	0	0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

EXHIBIT - 1 PART 2 - POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED, REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)

	Insurance											
	1	2	Ordinary		5	Group		Accident and Health			11	12
			3	4		6	7	8	9	10		
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business	Fraternal (Fraternal Benefit Societies Only)
POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED (included in Part 1)												
21. To pay renewal premiums	0	0	0	0	0	0	0	0	0	0	0	0
22. All other	0	0	0	0	0	0	0	0	0	0	0	0
REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED												
23. First year (other than single):												
23.1 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0	0	0
23.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
23.3 Net ceded less assumed	0	0	0	0	0	0	0	0	0	0	0	0
24. Single:												
24.1 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0	0	0
24.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
24.3 Net ceded less assumed	0	0	0	0	0	0	0	0	0	0	0	0
25. Renewal:												
25.1 Reinsurance ceded	52,004	0	0	0	0	0	0	52,004	0	0	0	0
25.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0	0
25.3 Net ceded less assumed	52,004	0	0	0	0	0	0	52,004	0	0	0	0
26. Totals:												
26.1 Reinsurance ceded (Page 6, Line 6)	52,004	0	0	0	0	0	0	52,004	0	0	0	0
26.2 Reinsurance assumed (Page 6, Line 22)	0	0	0	0	0	0	0	0	0	0	0	0
26.3 Net ceded less assumed	52,004	0	0	0	0	0	0	52,004	0	0	0	0
COMMISSIONS INCURRED (direct business only)												
27. First year (other than single)	0	0	0	0	0	0	0	0	0	0	0	0
28. Single	0	0	0	0	0	0	0	0	0	0	0	0
29. Renewal	12,303,869	0	0	0	0	3,297,719	0	9,006,150	0	0	0	0
30. Deposit-type contract funds	0	0	0	0	0	0	0	0	0	0	0	0
31. Totals (to agree with Page 6, Line 21)	12,303,869	0	0	0	0	3,297,719	0	9,006,150	0	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

EXHIBIT 2 - GENERAL EXPENSES

		Insurance				5	6	7
		1	Accident and Health		4			
			2	3				
		Life	Cost Containment	All Other	All Other Lines of Business	Investment	Fraternal	Total
1.	Rent	106,045	50,183	114,890	0	0	0	271,118
2.	Salaries and wages	1,669,862	835,838	1,913,575	0	328,013	0	4,747,288
3.11	Contributions for benefit plans for employees	164,980	86,038	196,975	0	22,658	0	470,651
3.12	Contributions for benefit plans for agents	0	0	0	0	0	0	0
3.21	Payments to employees under non-funded benefit plans	0	0	0	0	0	0	0
3.22	Payments to agents under non-funded benefit plans	0	0	0	0	0	0	0
3.31	Other employee welfare	2,639	1,236	2,830	0	0	0	6,706
3.32	Other agent welfare	0	0	0	0	0	0	0
4.1	Legal fees and expenses	0	0	0	0	0	0	0
4.2	Medical examination fees	0	0	0	0	0	0	0
4.3	Inspection report fees	0	0	0	0	0	0	0
4.4	Fees of public accountants and consulting actuaries	0	0	0	0	0	0	0
4.5	Expense of investigation and settlement of policy claims	3,049	470,731	1,077,696	0	0	0	1,551,476
5.1	Traveling expenses	15,920	7,371	16,876	0	1,741	0	41,908
5.2	Advertising	1,809	872	1,997	0	0	0	4,678
5.3	Postage, express, telegraph and telephone	11,323	5,254	12,029	0	0	0	28,606
5.4	Printing and stationery	19,594	9,275	21,235	0	0	0	50,105
5.5	Cost or depreciation of furniture and equipment	32,569	15,418	35,298	0	0	0	83,285
5.6	Rental of equipment	2,425	1,148	2,629	0	0	0	6,202
5.7	Cost or depreciation of EDP equipment and software	49	36	83	0	0	0	168
6.1	Books and periodicals	0	0	0	0	0	0	0
6.2	Bureau and association fees	13,347	10,640	24,360	0	0	0	48,347
6.3	Insurance, except on real estate	0	0	0	0	0	0	0
6.4	Miscellaneous losses	0	0	0	0	0	0	0
6.5	Collection and bank service charges	0	0	0	0	5,695	0	5,695
6.6	Sundry general expenses	12,304	(15,568)	(35,643)	0	85,961	0	47,054
6.7	Group service and administration fees	660,897	440,056	1,007,469	0	0	0	2,108,423
6.8	Reimbursements by uninsured plans	0	0	(705,145)	0	0	0	(705,145)
7.1	Agency expense allowance	0	0	0	0	0	0	0
7.2	Agents' balances charged off (less \$ \$0 recovered)	0	0	0	0	0	0	0
7.3	Agency conferences other than local meetings	0	0	0	0	0	0	0
8.1	Official publication (Fraternal Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX	0	0
8.2	Expense of supreme lodge meetings (Fraternal Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX	0	0
9.1	Real estate expenses	0	0	0	0	4,699	0	4,699
9.2	Investment expenses not included elsewhere	0	0	0	0	28,706	0	28,706
9.3	Aggregate write-ins for expenses	1,397,676	2,745,095	6,284,645	0	0	0	10,427,415
10.	General expenses incurred	4,114,489	4,663,624	9,971,800	0	477,473	(b)	(a) 19,227,386
11.	General expenses unpaid Dec. 31, prior year	88,685	0	817,707	0	0	0	906,392
12.	General expenses unpaid Dec. 31, current year	112,199	0	326,130	0	0	0	438,329
13.	Amounts receivable relating to uninsured plans, prior year	0	0	186,122	0	0	0	186,122
14.	Amounts receivable relating to uninsured plans, current year	0	0	131,251	0	0	0	131,251
15.	General expenses paid during year (Lines 10+11- 12-13+14)	4,090,975	4,663,624	10,408,506	0	477,473	0	19,640,578
DETAILS OF WRITE-INS								
09.301.	0	0	0	0	0	0	0
09.302.	Corporate Expenses	1,397,676	2,745,095	6,284,645	0	0	0	10,427,415
09.303.								
09.398.	Summary of remaining write-ins for Line 9.3 from overflow page	0	0	0	0	0	0	0
09.399.	Totals (Lines 09.301 thru 09.303 plus 09.398) (Line 9.3 above)	1,397,676	2,745,095	6,284,645	0	0	0	10,427,415

(a) Includes management fees of \$ 0 to affiliates and \$ 0 to non-affiliates.

(b) Show the distribution of this amount in the following categories (Fraternal Benefit Societies Only):

1. Charitable \$ 0 ; 2. Institutional \$ 0 ; 3. Recreational and Health \$ 0 ; 4. Educational \$ 0

5. Religious \$ 0 ; 6. Membership \$ 0 ; 7. Other \$ 0 ; 8. Total \$ 0

EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOME TAXES)

		Insurance			4	5	6
		1	2	3			
		Life	Accident and Health	All Other Lines of Business	Investment	Fraternal	Total
1.	Real estate taxes	0	0	0	0	0	0
2.	State insurance department licenses and fees	15,824	23,937	0	0	0	39,761
3.	State taxes on premiums	980,863	3,412,949	0	0	0	4,393,812
4.	Other state taxes, including \$0 for employee benefits	262,128	1,197,724	0	0	0	1,459,852
5.	U.S. Social Security taxes	122,375	201,667	0	0	0	324,042
6.	All other taxes	413	1,157	0	0	0	1,570
7.	Taxes, licenses and fees incurred	1,381,603	4,837,434	0	0	0	6,219,037
8.	Taxes, licenses and fees unpaid Dec. 31, prior year	872,282	(211,236)	0	0	0	661,046
9.	Taxes, licenses and fees unpaid Dec. 31, current year	1,095,748	(256,982)	0	0	0	838,766
10.	Taxes, licenses and fees paid during year (Lines 7 + 8 - 9)	1,158,137	4,883,180	0	0	0	6,041,317

EXHIBIT 4 - DIVIDENDS OR REFUNDS

	1	2
	Life	Accident and Health
1. Applied to pay renewal premiums		
2. Applied to shorten the endowment or premium-paying period		
3. Applied to provide paid-up additions		
4. Applied to provide paid-up annuities		
5. Total Lines 1 through 4		
6. Paid in cash		
7. Left on deposit		
8. Aggregate write-ins for dividend or refund options		
9. Total Lines 5 through 8		
10. Amount due and unpaid		
11. Provision for dividends or refunds payable in the following calendar year		
12. Terminal dividends		
13. Provision for deferred dividend contracts		
14. Amount provisionally held for deferred dividend contracts not included in Line 13		
15. Total Lines 10 through 14		
16. Total from prior year		
17. Total dividends or refunds (Lines 9 + 15 - 16)		
DETAILS OF WRITE-INS		
0801.		
0802.		
0803.		
0898. Summary of remaining write-ins for Line 8 from overflow page		
0899. Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above)		

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

1	2	3	4	5	6
Valuation Standard	Total ^(a)	Industrial	Ordinary	Credit (Group and Individual)	Group
0100001. 1958 CSO 3% NP ANB	5,900	0	5,900	0	0
0100002. 1958 CSO 3% MOD ANB	39,718	0	39,718	0	0
0100003. 1958 CSO 3.5% CRVM ANB	7,139	0	7,139	0	0
0100004. 1958 CSO 4% NL ANB	60,888	0	60,888	0	0
0100005. 1958 CSO 4.5% NL ANB	76,237	0	76,237	0	0
0100006. CET 3% ANB	2,013	0	2,013	0	0
0199997. Totals (Gross)	191,895	0	191,895	0	0
0199998. Reinsurance ceded	191,895	0	191,895	0	0
0199999. Life Insurance: Totals (Net)	0	0	0	0	0
0200001. 1983GAMNB 5.75%	1,562,308	XXX	0	XXX	1,562,308
0200002. CARVM at 4.00%	143,256	XXX	143,256	XXX	0
0200003. 1983 Table A @ 9.25% (86)	235,683	XXX	235,683	XXX	0
0200004. 1983GAMNB 6%	714,550	XXX	0	XXX	714,550
0200005. CARVM at 5.50%	4,867	XXX	4,867	XXX	0
0200006. 1983 Table A @ 11.00% (85)	27,120,022	XXX	27,120,022	XXX	0
0200007. 1983GAMNB 6.25%	29,306	XXX	0	XXX	29,306
0200008. CARVM at 6.50%	345,495	XXX	345,495	XXX	0
0200009. 1983 Table A @ 9.50%, 7.50%, 6.25% (85)	3,381,629	XXX	3,381,629	XXX	0
0200010. 1983GAMNB 7%	430,929	XXX	0	XXX	430,929
0200011. 1983 Table A @ 11.25% (84)	31,825,284	XXX	31,825,284	XXX	0
0200012. 1983GAMNB 7.25%	94,710	XXX	0	XXX	94,710
0200013. 1983 Table A @ 9.75%, 7.50%, 6.25% (84)	2,281,896	XXX	2,281,896	XXX	0
0200014. 1983GAMNB 7.5%	147,095	XXX	0	XXX	147,095
0200015. 1971 IAM @ 13.25% (82)	5,623,981	XXX	5,623,981	XXX	0
0200016. 1983GAMNB 7.75%	1,218,855	XXX	0	XXX	1,218,855
0200017. 1971 IAM @ 11.25%, 7.50%, 6.25% (82)	582,998	XXX	582,998	XXX	0
0200018. 1983GAMNB 8%	261,801	XXX	0	XXX	261,801
0200019. 1971 IAM @ 11.25% (83)	14,557,879	XXX	14,557,879	XXX	0
0200020. 1983GAMNB 8.25%	356,346	XXX	0	XXX	356,346
0200021. 1971 IAM @ 9.75%, 7.50%, 6.25% (83)	2,120,665	XXX	2,120,665	XXX	0
0200022. 1983GAMNB 8.5%	441,127	XXX	0	XXX	441,127
0200023. 1971 IAM @ 7.50% (81)	2,573,368	XXX	2,573,368	XXX	0
0200024. 1983GAMNB 8.75%	7,642	XXX	0	XXX	7,642
0200025. 1971 IAM @ 7.00% (80)	2,352,232	XXX	2,352,232	XXX	0
0200026. 1971IAMNB 6.75%	473,367	XXX	0	XXX	473,367
0200027. 1971 IAM @ 6.00% (77-79)	56,472	XXX	56,472	XXX	0
0200028. 1971IAMNB 7%	16,741	XXX	0	XXX	16,741
0200029. 1971IAMNB 7.5%	335,620	XXX	0	XXX	335,620
0200030. 1971IAMNB 8.25%	183,959	XXX	0	XXX	183,959
0200031. 1971IAMNB 9%	21,309	XXX	0	XXX	21,309
0200032. 1971IAMNB 9.25%	86,601	XXX	0	XXX	86,601
0200033. 1971IAMNB 9.5%	326,666	XXX	0	XXX	326,666
0200034. 1937 SA NB 6.75%	21,960	XXX	0	XXX	21,960
0200035. 1937 SA NB 7%	0	XXX	0	XXX	0
0200036. 1937 SA NB 7.5%	5,076	XXX	0	XXX	5,076
0200037. 1937 SA NB 8.25%	4,344	XXX	0	XXX	4,344
0200038. 1971GAMNB 7.5%	307,311	XXX	0	XXX	307,311
0200039. 1971GAMNB 9.5%	348,270	XXX	0	XXX	348,270
0299997. Totals (Gross)	100,601,620	XXX	93,205,727	XXX	7,395,893
0299998. Reinsurance ceded	100,601,620	XXX	93,205,727	XXX	7,395,893
0299999. Annuities: Totals (Net)	0	XXX	0	XXX	0
0300001. 2000 IAM IMM NFI 6.00%	16,158	0	16,158	0	0
0399997. Totals (Gross)	16,158	0	16,158	0	0
0399998. Reinsurance ceded	16,158	0	16,158	0	0
0399999. SCWLC: Totals (Net)	0	0	0	0	0
0400001. 1959 ADB, 80 CSO 4.0%	40	0	40	0	0
0499997. Totals (Gross)	40	0	40	0	0
0499998. Reinsurance ceded	40	0	40	0	0
0499999. Accidental Death Benefits: Totals (Net)	0	0	0	0	0
0500001. 1952 STUDY-58 CSO 3.5%	35	0	35	0	0
0599997. Totals (Gross)	35	0	35	0	0
0599998. Reinsurance ceded	35	0	35	0	0
0599999. Disability-Active Lives: Totals (Net)	0	0	0	0	0
0600001. 1958 CSO 3.5%	3,305	0	3,305	0	0
0600002. 2005 GWP 2.75%	302,176	0	0	0	302,176
0600003. 2005 GWP 3.5%	16,785,675	0	0	0	16,785,675
0600004. 2005 GWP 4.0%	1,037,210	0	0	0	1,037,210
0600005. 2005 GWP 4.5%	759,204	0	0	0	759,204
0600006. 2005 GWP 5.0%	44,589	0	0	0	44,589
0600007. 2005 GWP 5.5%	199,361	0	34,538	0	164,823
0699997. Totals (Gross)	19,131,520	0	37,843	0	19,093,677
0699998. Reinsurance ceded	158,535	0	3,305	0	155,230
0699999. Disability-Disabled Lives: Totals (Net)	18,972,985	0	34,538	0	18,938,447
0700001. Non-deduction of deferred fractional premiums	826	0	826	0	0
0700002. Additional Reserve due to CARVM	15,932,953	0	15,932,953	0	0
0700003. Immediate Payment of Claims	3,772	0	3,772	0	0
0700004. Extra reserve from cash flow testing	24,266,947	0	24,266,947	0	0
0799997. Totals (Gross)	40,204,498	0	40,204,498	0	0
0799998. Reinsurance ceded	40,204,498	0	40,204,498	0	0
0799999. Miscellaneous Reserves: Totals (Net)	0	0	0	0	0
9999999. Totals (Net) - Page 3, Line 1	18,972,985	0	34,538	0	18,938,447

(a) Included in the above table are amounts of deposit-type contracts that originally contained a mortality risk. Amounts of deposit-type contracts in Column 2 that no longer contain a mortality risk are Life Insurance \$0 ; Annuities \$0 ; Supplementary Contracts with Life Contingencies \$0 ; Accidental Death Benefits \$0 ; Disability - Active Lives \$0 ; Disability - Disabled Lives \$0 ; Miscellaneous Reserves \$0 .

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EXHIBIT 5 - INTERROGATORIES

1.1

Has the reporting entity ever issued both participating and non-participating contracts?

Yes [] No [X]

1.2

If not, state which kind is issued.

2.1

Does the reporting entity at present issue both participating and non-participating contracts?

Yes [] No [X]

2.2

If not, state which kind is issued.

3.

Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements?

Yes [X] No []

If so, attach a statement that contains the determination procedures, answers to the interrogatories and an actuarial opinion as described in the instructions.

4.

Has the reporting entity any assessment or stipulated premium contracts in force?

Yes [] No [X]

If so, state:

4.1

Amount of insurance?

\$0

4.2

Amount of reserve?

\$0

4.3

Basis of reserve:

4.4

Basis of regular assessments:

4.5

Basis of special assessments:

4.6

Assessments collected during the year

\$0

5.

If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts.

6.

Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis?

Yes [X] No []

6.1

If so, state the amount of reserve on such contracts on the basis actually held:

\$31,441,867

6.2

That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently approved by the state of domicile for valuing individual annuity benefits:

\$36,681,053

Attach statement of methods employed in their valuation.

7.

Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year?

Yes [] No [X]

7.1

If yes, state the total dollar amount of assets covered by these contracts or agreements

\$0

7.2

Specify the basis (fair value, amortized cost, etc.) for determining the amount:

7.3

State the amount of reserves established for this business:

\$0

7.4

Identify where the reserves are reported in the blank:

8.

Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year?

Yes [] No [X]

8.1

If yes, state the total dollar amount of account value covered by these contracts or agreements:

\$0

8.2

State the amount of reserves established for this business:

\$0

8.3

Identify where the reserves are reported in the blank:

9.

Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year?

Yes [] No [X]

9.1

If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders:

\$0

9.2

State the amount of reserves established for this business:

\$0

9.3

Identify where the reserves are reported in the blank:

EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR

1	Valuation Basis		4
	2	3	
Description of Valuation Class	Changed From	Changed To	Increase in Actuarial Reserve Due to Change
NONE			
9999999 - Total (Column 4, only)			

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EXHIBIT 6 - AGGREGATE RESERVES FOR ACCIDENT AND HEALTH CONTRACTS ^(a)

	1 Total	Comprehensive		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefits Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Credit A&H	11 Disability Income	12 Long-Term Care	13 Other Health
		2 Individual	3 Group										
ACTIVE LIFE RESERVE													
1. Unearned premium reserves	731,388	.0	.0	.0	.0	.0	.0	.0	.0	.0	212,550	.0	518,838
2. Additional contract reserves (b)0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
3. Additional actuarial reserves-Asset/Liability analysis0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4. Reserve for future contingent benefits0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
5. Reserve for rate credits0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
6. Aggregate write-ins for reserves	0	0	0	0	0	0	0	0	0	0	0	0	0
7. Totals (Gross)	731,388	.0	.0	.0	.0	.0	.0	.0	.0	.0	212,550	.0	518,838
8. Reinsurance ceded	0	0	0	0	0	0	0	0	0	0	0	0	0
9. Totals (Net)	731,388	0	0	0	0	0	0	0	0	0	212,550	0	518,838
CLAIM RESERVE													
10. Present value of amounts not yet due on claims	260,879,736	.0	.0	.0	.0	.0	.0	.0	.0	.0	260,879,736	.0	.0
11. Additional actuarial reserves-Asset/Liability analysis0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
12. Reserve for future contingent benefits0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
13. Aggregate write-ins for reserves	0	0	0	0	0	0	0	0	0	0	0	0	0
14. Totals (Gross)	260,879,736	.0	.0	.0	.0	.0	.0	.0	.0	.0	260,879,736	.0	.0
15. Reinsurance ceded	8,950,952	.0	.0	.0	.0	.0	.0	.0	.0	.0	8,950,952	.0	.0
16. Totals (Net)	251,928,784	0	0	0	0	0	0	0	0	0	251,928,784	0	0
17. TOTAL (Net)	252,660,172	0	0	0	0	0	0	0	0	0	252,141,334	0	518,838
18. TABULAR FUND INTEREST	8,315,699	0	0	0	0	0	0	0	0	0	8,302,065	0	13,634
DETAILS OF WRITE-INS													
0601.													
0602.													
0603.													
0698. Summary of remaining write-ins for Line 6 from overflow page0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
0699. TOTALS (Lines 0601 thru 0603 plus 0698) (Line 6 above)	0	0	0	0	0	0	0	0	0	0	0	0	0
1301.													
1302.													
1303.													
1398. Summary of remaining write-ins for Line 13 from overflow page0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
1399. TOTALS (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0	0	0	0	0	0	0	0	0

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(b) Attach statement as to valuation standard used in calculating this reserve, specifying reserve bases, interest rates and methods.

EXHIBIT 7 - DEPOSIT TYPE CONTRACTS

	1	2	3	4	5	6
	Total	Guaranteed Interest Contracts	Annuities Certain	Supplemental Contracts	Dividend Accumulations or Refunds	Premium and Other Deposit Funds
1. Balance at the beginning of the year before reinsurance	15,215,902	0	0	0	0	15,215,902
2. Deposits received during the year	18,328,347	0	0	0	0	18,328,347
3. Investment earnings credited to the account	27,352	0	0	0	0	27,352
4. Other net change in reserves	158,901	0	0	0	0	158,901
5. Fees and other charges assessed	0	0	0	0	0	0
6. Surrender charges	14,878,435	0	0	0	0	14,878,435
7. Net surrender or withdrawal payments	0	0	0	0	0	0
8. Other net transfers to or (from) Separate Accounts	0	0	0	0	0	0
9. Balance at the end of current year before reinsurance (Lines 1+2+3+4-5-6-7-8)	18,852,067	0	0	0	0	18,852,067
10. Reinsurance balance at the beginning of the year	(255,251)	0	0	0	0	(255,251)
11. Net change in reinsurance assumed	0	0	0	0	0	0
12. Net change in reinsurance ceded	7,656	0	0	0	0	7,656
13. Reinsurance balance at the end of the year (Lines 10+11-12)	(262,907)	0	0	0	0	(262,907)
14. Net balance at the end of current year after reinsurance (Lines 9 + 13)	18,589,160	0	0	0	0	18,589,160

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 1 - Liability End of Current Year											
	1	2	Ordinary			6	Group		Accident and Health		
			3	4	5		7	8	9	10	11
	Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other
1. Due and unpaid:											
1.1 Direct	19,011	.0	.0	19,011	.0	.0	.0	.0	.0	.0	.0
1.2 Reinsurance assumed	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
1.3 Reinsurance ceded	19,011	.0	.0	19,011	.0	.0	.0	.0	.0	.0	.0
1.4 Net	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2. In course of settlement:											
2.1 Resisted											
2.11 Direct	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2.12 Reinsurance assumed	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2.13 Reinsurance ceded	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2.14 Net	.0	.0	(b)	(b)	.0	(b)	(b)	.0	.0	.0	.0
2.2 Other											
2.21 Direct	12,400,914	.0	.0	.0	.0	.0	11,341,057	.0	1,059,857	.0	.0
2.22 Reinsurance assumed	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2.23 Reinsurance ceded	748,998	.0	.0	.0	.0	.0	748,998	.0	.0	.0	.0
2.24 Net	11,651,916	.0	(b)	(b)	.0	(b)	(b)	10,592,059	(b)	1,059,857	(b)
3. Incurred but unreported:											
3.1 Direct	23,478,368	.0	.0	.0	.0	.0	6,688,281	.0	16,777,742	.0	12,345
3.2 Reinsurance assumed	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
3.3 Reinsurance ceded	110,923	.0	.0	.0	.0	.0	36,066	.0	74,857	.0	.0
3.4 Net	23,367,445	.0	(b)	(b)	.0	(b)	(b)	6,652,215	(b)	16,702,885	(b)
4. TOTALS											
4.1 Direct	35,898,293	.0	.0	19,011	.0	.0	18,029,338	.0	17,837,599	.0	12,345
4.2 Reinsurance assumed	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4.3 Reinsurance ceded	878,932	.0	.0	19,011	.0	.0	785,064	.0	74,857	.0	.0
4.4 Net	35,019,361	(a)	(a)	.0	.0	.0	(a)	17,244,274	.0	17,762,742	.0

(a) Including matured endowments (but not guaranteed annual pure endowments) unpaid amounting to \$0 in Column 2, \$0 in Column 3 and \$0 in Column 7.

(b) Include only portion of disability and accident and health claim liabilities applicable to assumed "accrued" benefits. Reserves (including reinsurance assumed and net of reinsurance ceded) for unaccrued benefits for Ordinary Life Insurance \$0

Individual Annuities \$0 , Credit Life (Group and Individual) \$0 , and Group Life \$0 , are included in Page 3, Line 1, (See Exhibit 5, Section on Disability Disabled Lives); and for Group Accident and Health \$0

Credit (Group and Individual) Accident and Health \$0 , and Other Accident and Health \$0 are included in Page 3, Line 2 (See Exhibit 6, Claim Reserve).

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 2 - Incurred During the Year

	1 Total	2 Industrial Life (a)	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health		
			3 Life Insurance (b)	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance (c)	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other
1. Settlements During the Year:											
1.1 Direct	212,335,254	0	848	11,628,268	0	0	55,074,942	1,325,712	144,274,272	0	31,212
1.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0
1.3 Reinsurance ceded	15,626,589	0	848	12,019,618	0	0	0	1,325,712	2,280,411	0	0
1.4 Net	(d) 196,708,665	0	0	(391,350)	0	0	55,074,942	0	141,993,861	0	31,212
2. Liability December 31, current year from Part 1:											
2.1 Direct	35,898,293	0	0	19,011	0	0	18,029,338	0	17,837,599	0	12,345
2.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0
2.3 Reinsurance ceded	878,932	0	0	19,011	0	0	785,064	0	74,857	0	0
2.4 Net	35,019,361	0	0	0	0	0	17,244,274	0	17,762,742	0	12,345
3. Amounts recoverable from reinsurers December 31, current year	3,919,088	0	0	784,724	0	0	2,713,549	0	420,815	0	0
4. Liability December 31, prior year:											
4.1 Direct	28,387,831	0	0	39,375	0	0	12,115,426	0	16,218,978	0	14,052
4.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0
4.3 Reinsurance ceded	296,097	0	0	39,375	0	0	178,286	0	78,436	0	0
4.4 Net	28,091,734	0	0	0	0	0	11,937,140	0	16,140,542	0	14,052
5. Amounts recoverable from reinsurers December 31, prior year	1,837,559	0	0	1,176,074	0	0	138,944	0	522,541	0	0
6. Incurred Benefits											
6.1 Direct	219,845,716	0	848	11,607,904	0	0	60,988,854	1,325,712	145,892,893	0	29,505
6.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0	0
6.3 Reinsurance ceded	18,290,953	0	848	11,607,904	0	0	3,181,383	1,325,712	2,175,106	0	0
6.4 Net	201,554,763	0	0	0	0	0	57,807,471	0	143,717,787	0	29,505

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$0 in Line 1.1, \$0 in Line 1.4.
\$0 in Line 6.1, and \$0 in Line 6.4.

(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$0 in Line 1.1, \$0 in Line 1.4.
\$0 in Line 6.1, and \$0 in Line 6.4.

(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$0 in Line 1.1, \$0 in Line 1.4.
\$0 in Line 6.1, and \$0 in Line 6.4.

(d) Includes \$0 premiums waived under total and permanent disability benefits.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

EXHIBIT OF NON-ADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D)	0	0	0
2. Stocks (Schedule D):			
2.1 Preferred stocks	0	0	0
2.2 Common stocks	0	0	0
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens	0	0	0
3.2 Other than first liens	0	0	0
4. Real estate (Schedule A):			
4.1 Properties occupied by the company	0	0	0
4.2 Properties held for the production of income	0	0	0
4.3 Properties held for sale	0	0	0
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA)	0	0	0
6. Contract loans	0	0	0
7. Derivatives (Schedule DB)	0	0	0
8. Other invested assets (Schedule BA)	0	0	0
9. Receivables for securities	0	0	0
10. Securities lending reinvested collateral assets (Schedule DL)	0	0	0
11. Aggregate write-ins for invested assets	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	0	0	0
13. Title plants (for Title insurers only)	0	0	0
14. Investment income due and accrued	0	0	0
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection	16,468,660	9,773,600	(6,695,060)
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due ..	0	0	0
15.3 Accrued retrospective premiums and contracts subject to redetermination	0	0	0
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0
17. Amounts receivable relating to uninsured plans	185,538	52,366	(133,172)
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0
18.2 Net deferred tax asset	20,107,403	714,531	(19,392,872)
19. Guaranty funds receivable or on deposit	0	0	0
20. Electronic data processing equipment and software	0	0	0
21. Furniture and equipment, including health care delivery assets	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0
24. Health care and other amounts receivable	0	0	0
25. Aggregate write-ins for other than invested assets	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	36,761,601	10,540,497	(26,221,104)
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
28. Total (Lines 26 and 27)	36,761,601	10,540,497	(26,221,104)
DETAILS OF WRITE-INS			
1101.			
1102.			
1103.			
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0
2501. Other Assets	0	0	0
2502.			
2503.			
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	0	0	0

NOTES TO FINANCIAL STATEMENTS

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND GOING CONCERN

Nature of Operations

New York Life Group Insurance Company of NY (“the Company”) is a direct wholly-owned subsidiary of New York Life Insurance Company (“New York Life”) (see *New York Life Acquisition* below). The Company’s principal products and services include group disability, life and accident insurance primarily marketed in the State of New York. The Company is domiciled in the State of New York and licensed in Alabama, the District of Columbia, Missouri, New York, Pennsylvania and Tennessee.

New York Life Acquisition

On December 31, 2020, Cigna's group life and group disability business which includes the Company and Life Insurance Company of North America (“LINA”), was acquired by New York Life. As of the filing date of this Annual Statement, the Company’s name change from “Cigna Life Insurance Company of New York” to “New York Life Group Insurance Company of NY” is pending final approval by the New York State Department of Financial Services. As of the acquisition date, both the Company and LINA became direct wholly owned subsidiaries of New York Life.

COVID-19 and Related Economic Impact

The novel strain of coronavirus (“COVID-19”) was declared a pandemic by the World Health Organization in March 2020. From the onset of the COVID-19 pandemic, Cigna and its subsidiaries (including the Company) have taken actions to drive affordability, reduce uncertainty, and make health care easier. For customers, these actions include COVID-19 related expanded access to virtual care, support for access to medication, and advocating for whole person health through various behavioral health initiatives. The COVID-19 pandemic has pervasively impacted the economy and financial markets. The Company closely monitors its financial instruments and maintains effective controls to identify risks and evaluate potential exposures. As of December 31, 2020, the Company has not experienced a material decline in fair value relating to its financial instruments including investments, accounts receivable and reinsurance recoverables. Please refer to Notes 5 and 20 for additional information related to the Company’s financial instruments.

A. Accounting Practices

The Statutory Financial Statements of the Company are presented in conformity with accounting practices prescribed or permitted by the State of New York Insurance Department (the “Department”). The Department has adopted the National Association of Insurance Commissioners’ (“NAIC”) Statutory Accounting Principles (“SAP” or “SSAPs”).

The Company’s net income (loss) and capital and surplus do not differ between practices prescribed by the Department and NAIC SAP for the years ended December 31, 2020 and 2019.

NET INCOME (LOSS) (In whole dollars)	SSAP #	F/S Page	F/S Line #	December 31, 2020	December 31, 2019
(1) Net Income (Loss) (Derived using New York Accounting Practices) (Page 4, Line 35, Columns 1 & 2)	XX	XX	XX	\$ (7,385,943)	\$ 15,105,413
(2) State of New York Prescribed Practices that increase/(decrease) NAIC SAP:					
- None	n/a	n/a	n/a	-	-
(3) State of New York Permitted Practices that increase/(decrease) NAIC SAP:					
- None	n/a	n/a	n/a	-	-
(4) Net Income (Loss) (Derived using NAIC SAP) (1-2-3=4)	XX	XX	XX	\$ (7,385,943)	\$ 15,105,413
SURPLUS (In whole dollars)	SSAP #	F/S Page	F/S Line #	December 31, 2020	December 31, 2019
(5) Capital and surplus (Derived using New York Accounting Practices) (Page 3, Line 38, Columns 1 & 2)	XX	XX	XX	\$ 98,740,453	\$ 104,879,767
(6) State of New York Prescribed Practices that increase/(decrease) NAIC SAP:					
- None	n/a	n/a	n/a	-	-
(7) State of New York Permitted Practices that increase/(decrease) NAIC SAP:					
- None	n/a	n/a	n/a	-	-
(8) Capital and surplus (Derived using NAIC SAP) (5-6-7=8)	XX	XX	XX	\$ 98,740,453	\$ 104,879,767

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with NAIC SAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. NAIC SAP also requires disclosure of contingent assets and liabilities at the date of the financial statements and the related amounts of revenue and expenses during the period. Significant estimates are discussed throughout these Notes; however, actual results could differ from those estimates.

NOTES TO FINANCIAL STATEMENTS

C. Accounting Policy

- (1) **Financial Instruments:** In the normal course of business, the Company enters into transactions involving various types of financial instruments. These financial instruments may include various instruments recorded on the balance sheet and off-balance sheet financial instruments. These instruments may change in value due to interest rate and market fluctuations and most also have credit risk. The Company evaluates and monitors each financial instrument individually and, when management considers it appropriate, uses a derivative instrument or obtains collateral or another form of security to minimize risk of loss.

The Company estimates fair values of financial instruments using prices from third parties or internal pricing methods. Fair value estimates received from third-party pricing services are based on reported trade activity and quoted market prices when available and other market information that a market participant may use to estimate fair value. The internal pricing methods generally involve using discounted cash flow analyses that incorporate current market inputs for similar financial instruments with comparable terms and credit quality as well as other qualitative factors. In instances where there is little or no market activity for the same or similar instruments, the fair value is estimated using methods, models and assumptions that the Company believes a hypothetical market participant would use to determine a current transaction price. See Note 20 for information on the Company's fair value measurements.

- (2) **Cash and Cash Equivalents:** Cash and cash equivalents consist of cash and short-term investments that will mature in three months or less from the time of purchase. Cash equivalents and short-term investments are carried at cost.
- (3) **Investments:** Investments are valued in accordance with the requirements of the NAIC. The carrying values of investments are generally stated as follows:

Bonds and Short-term Investments. Investments in bonds and short-term investments are carried at amortized cost, except those in or near default, that are carried at the lesser of cost or fair value. Amortization of bond premium or discount is calculated using the scientific (constant yield) interest method. Bonds containing call provisions are amortized to call date which produces the lowest asset value (yield to worst). Investments with original maturities of one year or less from the time of purchase are classified as short-term. Bonds are considered impaired and their cost basis is written down to fair value through an asset valuation reserve for credit-related losses or an interest maintenance reserve for interest-related losses, when management expects a decline in value to persist (i.e., the decline is other-than-temporary).

Loan-backed and Other Structured Securities. Loan-backed bonds and structured securities are valued at amortized cost using the constant level yield method. Significant changes in estimated cash flows from the original purchase assumptions are accounted for generally using the retrospective adjustment method. When loan-backed and structured securities have potential for loss of a significant portion of the original investment, significant changes in estimated cash flows from the original purchase assumptions are accounted for using the prospective method. These securities are presented on the balance sheet as bonds.

Prepayment assumptions for loan-backed securities and other structured securities were obtained from external financial data sources. These assumptions are consistent with the current interest rate and economic environment.

When the Company determines it does not expect to recover the amortized cost basis of loan-backed or structured securities with declines in fair value (even if it does not intend to sell and has the intent and ability to hold), the non-interest portion of the impairment loss is recognized in realized investment losses. The non-interest portion is the difference between the amortized cost basis of the loan-backed or structured security and the net present value of its expected future cash flows. Expected future cash flows are based on assumptions about the collateral attributes, including prepayment speeds, default rates and changes in value.

Net Investment Income. When interest and principal payments on investments are current, the Company recognizes interest income when it is earned. The Company stops recognizing interest income for bonds when interest payments are 90 days past due and for commercial mortgage loans when payment is considered delinquent or when certain terms (interest rate or maturity date) of the investment have been restructured. Net investment income on these investments is only recognized when interest payments are received. See Note 7 for further information.

Investment Gains and Losses. Unrealized capital gains and losses on investments carried at fair value are reflected directly in unassigned surplus. Realized capital gains and losses resulting from sales, investment asset write-downs and changes in valuation reserves are based on specifically identified assets and are recognized in net income, subject to the interest maintenance reserve policy described below.

- (4) **Non-admitted Assets:** In accordance with various SSAP's, certain assets or certain portions of assets are excluded from the Company's admitted assets on its balance sheet through a direct charge to unassigned surplus. Certain assets are limited by factors, such as a percentage of surplus, as to the amounts that qualify as admitted assets. Such assets include electronic data processing equipment and deferred tax assets.
- (5) **Aggregate Reserves:** Aggregate reserves for life, health and annuity policies are established in amounts that are adequate to meet the estimated future obligations of policies in force and that equal or exceed the required statutory minimums. For individual life policies, liabilities were calculated using the net level premium method and the modified preliminary term method. Annuity liabilities are calculated in such a way that they equal or exceed those produced by application of the Commissioner's Annuity Reserve Valuation Method. Valuation of individual life insurance and annuity policies assumes interest discount using rates that do not exceed the statutory maximums. Discount rates ranged from 2.25% to 10.25% in 2020 and 2.75% to 10.25% in 2019, with some rates grading to lower levels over time. Mortality and morbidity assumptions are predominantly based on industry tables and are at least as conservative as the statutory minimums. The Company discounts the liabilities for certain cancelable disability insurance business. The liabilities for discounted reserves were \$226 million at December 31, 2020, and \$205 million at December 31, 2019. The aggregate amount of discount was \$41 million at December 31, 2020, and \$38 million at December 31, 2019.

NOTES TO FINANCIAL STATEMENTS

- (6) **Premium and Deposit Fund Liabilities:** Premium and deposit funds are liabilities for investment-related products. These liabilities primarily consist of Retained Asset Account deposits, deposits received from customers and accumulated net investment income on their fund balances less accumulated administrative charges.
- (7) **Other Policy and Contract Liabilities:** Liabilities for other policy and contract claims are estimates of payments to be made on insurance claims for reported losses and estimates of incurred but not reported losses. Estimated amounts of reinsurance recoverable on unpaid losses are deducted from the liability for unpaid claims.
- (8) **Premiums and Annuity Considerations:** Premiums for individual and group life, disability, and accident insurance are considered revenue when due.
- (9) **Income Taxes:** The Company is included in the consolidated United States federal income tax return filed by Cigna. Pursuant to the Tax Sharing Agreement with Cigna, federal income taxes are allocated to the Company as if it were filing on a separate return basis. The tax benefit of net operating losses, capital losses and tax credits are funded to the extent they reduce the consolidated federal income tax liability. The Company generally recognizes deferred income taxes when assets and liabilities have different values for financial statement and tax reporting purposes (temporary differences). Limitations of the admitted amount of the deferred tax asset are calculated in accordance with SSAP No. 101, *Income Taxes, a Replacement of SSAP 10R and SSAP 10*. More detailed information about the Company’s income taxes is disclosed in Note 9.
- (10) **Asset Valuation Reserve (AVR):** The AVR is a reserve designed to reduce the impact on unassigned surplus of fluctuations in the fair value of all invested assets by providing an investment reserve for potential future losses on invested assets. The AVR is calculated in accordance with methods prescribed by the NAIC.
- (11) **Interest Maintenance Reserve (IMR):** The IMR is a reserve designed to defer realized capital gains and losses resulting from general interest rate changes. As prescribed by the NAIC, such realized capital gains and losses, net of related taxes, are deferred and amortized to net investment income over the stated or expected maturity of the invested asset disposed. To the extent the deferral of capital losses results in a net asset, such amount will be non-admitted.
- (12) **Reinsurance in Unauthorized Companies:** The Company has ceded insurance liabilities with insurers not licensed in New York, or not approved by the Department. To the extent such liabilities are not covered by collateral or other security, New York insurance regulations require the establishment of a liability through a charge to surplus equal to the ceded liabilities placed with such companies. There were no such liabilities as of December 31, 2020 and 2019.
- (13) **Other Liabilities:** Other liabilities consist of various insurance-related liabilities including amounts related to deposit-type contracts, reinsurance contracts, accrued commissions, general expenses including premium and state taxes, postretirement and post-employment benefits, and escheat liabilities. Legal costs to defend the Company’s litigation and arbitration matters are expensed when incurred in cases where the Company cannot reasonably estimate the ultimate cost to defend. In cases where the Company can reasonably estimate the cost to defend, these costs are recognized when the claim is reported.

D. Going Concern

The Company has assessed and concluded that there were no conditions or events, considered in the aggregate, that raise substantial doubt about the Company’s ability to continue as a going concern within one year after the date the financial statements were issued.

NOTE 2 - ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS

Not applicable.

NOTE 3 – BUSINESS COMBINATIONS AND GOODWILL

A. Statutory Purchase Method

Not applicable.

B. Statutory Merger

Not applicable.

C. Assumption Reinsurance

Not applicable.

D. Impairment Loss

Not applicable.

NOTE 4 – DISCONTINUED OPERATIONS

Not applicable.

NOTES TO FINANCIAL STATEMENTS

NOTE 5 – INVESTMENTS

A. Mortgage Loans, Including Mezzanine Real Estate Loans

Not applicable.

B. Debt Restructuring

Not applicable.

C. Reverse Mortgages

Not applicable.

D. Loan-Backed Securities

- (1) Prepayment assumptions for loan-backed securities and other structured securities were obtained from external financial data sources. These assumptions are consistent with the current interest rate and economic environment.
- (2) The Company had no loan-backed and structured securities with recognized other-than-temporary impairments where the Company had the intent to sell or does not have the intent and ability to retain the investment for a period of time sufficient to recover the amortized cost basis as of December 31, 2020.
- (3) The Company had no loan-backed and structured securities with recognized other-than-temporary impairments where the present value of cash flow expected to be collected is less than the amortized cost basis as of December 31, 2020.
- (4) There were no loan-backed and structured securities with a fair value lower than amortized cost as of December 31, 2020.
- (5) Management reviews loan-backed and structured securities with a decline in fair value from cost for impairment based on criteria that include:
 - length of time and severity of decline;
 - financial and specific near-term prospects of the issuer;
 - changes in the regulatory, economic, or general market environment of the issuer’s industry or geographic region; and
 - the Company’s intent to sell or the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost.

Based on this review, management believes the unrealized depreciation on loan-backed securities to be temporary and, therefore, has not impaired these amounts.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

J. Real Estate

Not applicable.

K. Low-Income Housing Tax Credits (LIHTC)

Not applicable.

NOTES TO FINANCIAL STATEMENTS

L. Restricted Assets

(1) Restricted Assets (Including Pledged):

(In whole dollars, except where noted)

	Gross (Admitted & Nonadmitted) Restricted							Current Year			
	Current Year					6	7	8	9	Percentage	
	1	2	3	4	5					10	11
Restricted Asset Category	Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total from Prior Year	Increase/ (Decrease) (5 minus 6)	Total Nonadmitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted & Nonadmitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0.00%	0.00%
b. Collateral held under security lending agreements	-	-	-	-	-	-	-	-	-	0.00%	0.00%
c. Subject to repurchase agreements	-	-	-	-	-	-	-	-	-	0.00%	0.00%
d. Subject to reverse repurchase agreements	-	-	-	-	-	-	-	-	-	0.00%	0.00%
e. Subject to dollar repurchase agreements	-	-	-	-	-	-	-	-	-	0.00%	0.00%
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	-	-	-	-	0.00%	0.00%
g. Placed under option contracts	-	-	-	-	-	-	-	-	-	0.00%	0.00%
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock	-	-	-	-	-	-	-	-	-	0.00%	0.00%
i. FHLB capital stock	-	-	-	-	-	-	-	-	-	0.00%	0.00%
j. On deposit with states	705,635	-	-	-	705,635	706,487	(852)	-	705,635	0.15%	0.16%
k. On deposit with other regulatory bodies	-	-	-	-	-	-	-	-	-	0.00%	0.00%
l. Pledged collateral to FHLB (including assets backing funding agreements)	-	-	-	-	-	-	-	-	-	0.00%	0.00%
m. Pledged as collateral not captured in other categories	-	-	-	-	-	-	-	-	-	0.00%	0.00%
n. Other restricted assets	-	-	-	-	-	-	-	-	-	0.00%	0.00%
o. Total Restricted Assets	\$ 705,635	\$ -	\$ -	\$ -	\$ 705,635	\$ 706,487	\$ (852)	\$ -	\$ 705,635	0.15%	0.16%

- (a) Subset of column 1
- (b) Subset of column 3
- (c) Column 5 divided by Asset Page, Column 1, Line 28
- (d) Column 9 divided by Asset Page, Column 3, Line 28

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (contracts that share similar characteristics, such as reinsurance and derivatives, are reported in the aggregate):

Not applicable.

(3) Detail of Other Restricted Assets

Not applicable.

(4) Collateral Received and Reflected as Assets Within the Reporting Entity’s Financial Statements

Not applicable.

M. Working Capital Finance Investments

Not applicable.

N. Offsetting and Netting of Assets and Liabilities

Not applicable.

O. 5GI Securities

Not applicable.

P. Short Sales

Not applicable.

NOTES TO FINANCIAL STATEMENTS

Q. Prepayment Penalty and Acceleration Fees

December 31, 2020		
(Balance in whole dollars)	General Account	Separate Account
(1) Number of CUSIPs	8	-
(2) Aggregate amount of investment income	\$ 285,312	\$ -

NOTE 6 – JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES

- A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets as of December 31, 2020 and 2019.
- B. The Company did not recognize any impairment write-down during the years ended December 31, 2020 and 2019.

NOTE 7 – INVESTMENT INCOME

- A. Due and accrued income is excluded from investment income on the following bases:
- Bonds – If deemed collectible, investment income due and accrued exceeding 90 days past due is non-admitted.
- B. No income was excluded for the years ended December 31, 2020 and 2019.

NOTE 8 – DERIVATIVE INSTRUMENTS

Not applicable.

NOTE 9 – INCOME TAXES

A. The components of the net deferred tax asset / (liability) at December 31 are as follows:

(1)	2020			2019		
(In whole dollars)	Ordinary	Capital	Total	Ordinary	Capital	Total
(a) Gross deferred tax asset	\$ 33,194,460	\$ -	\$ 33,194,460	\$ 7,572,100	\$ 36,876	\$ 7,608,976
(b) Statutory Valuation allowance adjustment	-	-	-	-	-	-
(c) Adjusted gross deferred tax asset (1a - 1b)	33,194,460	-	33,194,460	7,572,100	36,876	7,608,976
(d) Non-admitted deferred tax asset	(20,107,403)		(20,107,403)	(714,531)		(714,531)
(e) Subtotal Net Admitted deferred tax asset (1c - 1d)	13,087,057	-	13,087,057	6,857,569	36,876	6,894,445
(f) Deferred tax liability	(207,867)	-	(207,867)	(2,524,987)	-	(2,524,987)
(g) Net admitted deferred tax asset (1e - 1f)	\$ 12,879,190	\$ -	\$ 12,879,190	\$ 4,332,582	\$ 36,876	\$ 4,369,458

(1)	Change		
(In whole dollars)	Ordinary	Capital	Total
(a) Gross deferred tax asset	\$ 25,622,360	\$ (36,876)	\$ 25,585,484
(b) Statutory Valuation allowance adjustment	-	-	-
(c) Adjusted gross deferred tax asset (1a - 1b)	25,622,360	(36,876)	25,585,484
(d) Non-admitted deferred tax asset	(19,392,872)	-	(19,392,872)
(e) Subtotal Net Admitted deferred tax asset (1c - 1d)	6,229,488	(36,876)	6,192,612
(f) Deferred tax liability	2,317,120	-	2,317,120
(g) Net admitted deferred tax asset (1e - 1f)	\$ 8,546,608	\$ (36,876)	\$ 8,509,732

NOTES TO FINANCIAL STATEMENTS

(2) Admission calculation under 11.a.-11.c

(In whole dollars)	2020			2019		
	Ordinary	Capital	Total	Ordinary	Capital	Total
(a) Admitted pursuant to 11.a. (loss carrybacks)	\$ -	\$ -	\$ -	\$ 3,847,670	\$ 36,876	\$ 3,884,546
(b) Admitted pursuant to 11.b. (realization)	12,879,190	-	12,879,190	484,912	-	484,912
1. Realization per 11.b.i.	13,308,491	-	13,308,491	484,912	-	484,912
2. Limitation per 11.b.ii.	-	-	12,879,190	-	-	15,076,547
(c) Admitted pursuant to 11.c.	207,867	-	207,867	2,524,987	-	2,524,987
Total admitted adjusted gross deferred tax asset (2a+2b+2c)	\$ 13,087,057	\$ -	\$ 13,087,057	\$ 6,857,569	\$ 36,876	\$ 6,894,445

(2) Admission calculation under 11.a.-11.c

(In whole dollars)	Change		
	Ordinary	Capital	Total
(a) Admitted pursuant to 11.a. (loss carrybacks)	\$ (3,847,670)	\$ (36,876)	\$ (3,884,546)
(b) Admitted pursuant to 11.b. (realization)	12,394,278	-	12,394,278
1. Realization per 11.b.i.	12,823,579	-	12,823,579
2. Limitation per 11.b.ii.	-	-	(2,197,357)
(c) Admitted pursuant to 11.c.	(2,317,120)	-	(2,317,120)
Total admitted adjusted gross deferred tax asset (2a+2b+2c)	\$ 6,229,488	\$ (36,876)	\$ 6,192,612

(3) Used in 11.b.

	2020	2019
(a) Applicable ratio for realization limitation threshold	371.96%	457.43%
(b) Amount of adjusted capital and surplus used to determine recovery period and threshold	\$85,861,265	\$100,510,313

(4) Impact of Tax Planning Strategies (TPS) on adjusted gross DTAs and net admitted DTAs

	2020			2019		
	Ordinary	Capital	Total	Ordinary	Capital	Total
(a) Adjusted Gross DTAs (% of Total Adjusted Gross DTAs)	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
(b) Net admitted Adjusted Gross DTAs (% of Total Net Admitted Adjusted Gross DTAs)	0.00%	0.00%	0.00%	0.00%	62.56%	0.53%
(c) Do TPS include a reinsurance strategy?			N/A			No

(4)

	Change		
	Ordinary	Capital	Total
(a) Adjusted Gross DTAs (% of Total Adjusted Gross DTAs)	0.00%	0.00%	0.00%
(b) Net admitted Adjusted Gross DTAs (% of Total Net Admitted Adjusted Gross DTAs)	0.00%	-62.56%	-0.53%
(c) Do TPS include a reinsurance strategy?			No

B. Temporary difference for which a deferred tax liability has not been established:

The Company had no unrecognized deferred tax liabilities for December 31, 2020 and 2019.

NOTES TO FINANCIAL STATEMENTS

C. Significant components of income taxes incurred and the changes in deferred tax assets and deferred tax liabilities were as follows:

(1) Current income taxes incurred consist of the following major

<i>(In whole dollars)</i>	2020	2019	Change
(a) Current federal income tax expense	\$ 1,027,349	\$ 4,198,362	\$ (3,171,013)
(b) Foreign income tax expense	-	-	-
(c) Subtotal	1,027,349	4,198,362	(3,171,013)
(d) Tax expense on realized capital gains	164,100	291,065	(126,965)
(e) Utilization of capital loss carry-forwards	-	-	-
(f) Other, including prior year underaccrual / (overaccrual)	-	-	-
Federal and foreign income taxes incurred	\$ 1,191,449	\$ 4,489,427	\$ (3,297,978)

(2) Deferred Tax Assets Resulting From Book/Tax Differences In:

<i>(In whole dollars)</i>	2020	2019	Change
Other insurance & contract holder liabilities	\$ 6,006,901	\$ 3,815,238	\$ 2,191,663
Employee and retiree benefit plans	-	135,632	(135,632)
Deferred acquisition costs	-	1,194,863	(1,194,863)
Non-admitted assets	3,497,381	2,063,453	1,433,928
Investment, net	8,316,274	362,915	7,953,359
Other	3,511	36,876	(33,365)
Goodwill and intangibles	15,370,392	-	15,370,392
Gross deferred tax assets	33,194,459	7,608,977	25,585,482
Statutory valuation adjustment	-	-	-
Non-admitted deferred tax assets	(20,107,403)	(714,531)	(19,392,872)
Admitted deferred tax assets	\$ 13,087,056	\$ 6,894,446	\$ 6,192,610

(3) Deferred Tax Liabilities Resulting From Book/Tax Differences In:

<i>(In whole dollars)</i>	2020	2019	Change
Other insurance & contract holder liabilities	\$ -	\$ 2,350,722	\$ (2,350,722)
Investment, net	207,662	174,266	33,396
Other	204	-	204
Gross deferred tax liabilities	\$ 207,866	\$ 2,524,988	\$ (2,317,122)

(4) Net Deferred Tax Assets/Liabilities	\$ 12,879,190	\$ 4,369,458	\$ 8,509,732
Increase in net deferred tax related to other items			27,902,604
Increase in deferred tax asset non admitted			(19,392,872)
Total change in net deferred tax asset			\$ 8,509,732

Pursuant to the acquisition of the company on December 31, 2020 by New York Life Insurance Company, the company elected to step up the tax basis of its assets under the Internal Revenue Code 338(h)(10). This resulted in following changes in deferred taxes on the acquisition date.

Investments	\$ 7,935,948
Deferred acquisition costs	(1,295,885)
Policyholder reserves	1,958,935
Goodwill and intangible assets	15,370,392
Other	3,511
Increase in net deferred tax	23,972,901
Increase in deferred tax asset non admitted	(16,442,822)
Total change in net deferred tax asset	\$ 7,530,079

- (5) The Company had no investment tax credits for the years ended December 31, 2020 and 2019, respectively.
- (6) The Company does not have operating loss carry-forwards at December 31, 2020.
- (7) The Company had no adjustments to gross deferred tax asset because of changes in circumstances that causes a change in judgment about the realizability of the related deferred tax asset.

NOTES TO FINANCIAL STATEMENTS

D. Reconciliation of total statutory income taxes reported to tax at statutory rate:

The Company's income tax expense and change in deferred tax assets/deferred tax liabilities at December 31, 2020 and 2019 differs from the amount obtained by applying the statutory rate of 21% to net gain from operations after dividends to policyholders and before federal income taxes for the following reasons:

<i>(In whole dollars)</i>	December 31,		December 31,	
	2020		2019	Change
Provision computed at statutory rate	\$ (1,353,965)	\$	4,020,129	\$ (5,374,094)
Investment items	(148,191)		(201,163)	52,972
Change in non-admitted assets	(1,433,929)		(527,605)	(906,324)
IMR	183,156		423,500	(240,344)
M&E	4,254		13,478	(9,224)
§338(h)(10) tax basis step up	(23,972,901)		-	(23,972,901)
Other, net	10,421		21,000	(10,579)
Total	\$ (26,711,155)	\$	3,749,339	\$ (30,460,494)
Federal income taxes incurred	1,191,449		4,489,427	(3,297,978)
Change in net deferred income taxes	(27,902,604)		(740,088)	(27,162,516)
Total statutory income taxes	\$ (26,711,155)	\$	3,749,339	\$ (30,460,494)

E. Carryforwards, recoverable taxes, and IRC Sec. 6603 deposits:

- (1) The Company did not have any operating loss and tax credit carry forwards available for tax purposes.
- (2) The Company has no income taxes incurred in current and prior years that will be available for recoupment in the event of future net losses.
- (3) At December 31, 2020, the Company had no protective tax deposits on deposit with the Internal Revenue Service under Section 6603 of the Internal Revenue Service Code.

F. Consolidated Federal Income Tax Return

The Company's federal income tax return is consolidated with the following entities:

- (1) As of December 31, 2020:
 - i. New York Life Insurance Company ("New York Life")
 - ii. New York Life Insurance and Annuity Company ("NYLIAC")
 - iii. NYLIFE Insurance Company of Arizona ("NYLAZ")
 - iv. NYLIFE LLC and its affiliates ("NYLIFE LLC")
 - v. New York Life Investment Management Holdings LLC ("NYL Investments") and its domestic subsidiaries
 - vi. New York Life Enterprises LLC ("NYLE") and its domestic subsidiaries
 - vii. NYL Investors LLC ("NYL Investors")
 - viii. Life Insurance Company of North America
 - ix. LINA Benefit Payments, Inc.

NOTES TO FINANCIAL STATEMENTS

For the tax year 2020, Cigna and its following subsidiaries:

Accredo Health Group, Inc.	Cigna Healthcare of California Inc	Express Scripts Sales Operations, Inc.
Accredo Health, Inc.	Cigna Healthcare of Colorado Inc	Express Scripts Senior Care Holdings, Inc.
AHG of New York, Inc.	Cigna Healthcare of Connecticut Inc	Express Scripts Senior Care, Inc.
Allegiance Benefit Plan Management Inc	Cigna Healthcare of Florida Inc	Express Scripts Services Company, Inc.
Allegiance Cobra Services Inc	Cigna Healthcare of Georgia Inc	Express Scripts Specialty Distribution Services, Inc.
Allegiance Life & Health Insurance Co	Cigna Healthcare of Illinois Inc	Express Scripts Strategic Development, Inc.
Allegiance Re Inc	Cigna Healthcare of Indiana Inc	Express Scripts Utilization Management, Inc.
American Retirement Life Insurance Company	Cigna Healthcare of Maine Inc	Express Scripts, Inc.
Arizona Healthplan Inc	Cigna Healthcare of Massachusetts Inc	Former Cigna Investments Inc
Benefit Management Corp	Cigna Healthcare of New Hampshire Inc	Freco, Inc.
BioPartners in Care, Inc.	Cigna Healthcare of New Jersey Inc	GreatWest Healthcare of Illinois Inc
Bravo Health Mid-Atlantic, Inc.	Cigna Healthcare of North Carolina Inc	Hazard Center Investment Co LLC
Bravo Health Pennsylvania, Inc.	Cigna Healthcare of Pennsylvania Inc	Healthbridge Reimbursement & Product Support, Inc.
Brighter, Inc.	Cigna Healthcare of South Carolina	Healthbridge, Inc.
Care Continuum, Inc.	Cigna Healthcare of St Louis Inc	Healthsource Benefits Inc
CareAllies, Inc.	Cigna Healthcare of Tennessee Inc	Healthsource Inc
CG Individual Tax Benefit Payments Inc	Cigna Healthcare of Texas Inc	Healthsource Properties Inc
CG Life Pension Benefit Payments Inc	Cigna Healthcare of Utah Inc	Healthspring Life & Health Insurance Company
CG LINA Pension Benefit Payments Inc	Cigna Holding Company	Healthspring of Florida, Inc.
Chiro Alliance Corporation	Cigna Holdings Inc	Healthspring, Inc.
Cigna Arbor Life Insurance Company	Cigna Holdings Overseas Inc	IHN Inc.
Cigna Behavioral Health Inc	Cigna Integrated Care Inc	Intermountain Underwriters Inc
Cigna Behavioral Health of California Inc	Cigna Intellectual Property Inc	Kronos Optimal Health Company
Cigna Behavioral Health of Texas	Cigna International Corporation	Life Ins Co of North America
Cigna Benefit Technology Solutions, Inc.	Cigna International Finance Inc	LINA Benefit Payments Inc
Cigna Benefits Financing, Inc.	Cigna International Services Inc	Loyal American Life Insurance Company
Cigna Dental Health Inc	Cigna Investment Group Inc	Lynnfield Compounding Center, Inc.
Cigna Dental Health of California Inc	Cigna Investments Inc	Lynnfield Drug, Inc.
Cigna Dental Health of Colorado Inc	Cigna Life Insurance Company of New York	MAH Pharmacy, LLC
Cigna Dental Health of Delaware Inc	Cigna Linden Holdings Inc	Managed Care Consultants Inc
Cigna Dental Health of Florida Inc	Cigna Managed Care Benefits Company	Matrix Healthcare Services, Inc.
Cigna Dental Health of Illinois Inc	Cigna National Health Insurance Company	MCC Independent Practice Assoc of New York Inc
Cigna Dental Health of Kansas Inc	Cigna Poplar Holdings Inc	Medco Containment Insurance Company of New York
Cigna Dental Health of Kentucky Inc	Cigna RE Corporation	Medco Containment Life Insurance Company
Cigna Dental Health of Maryland Inc	Cigna Resource Manager Inc	Medco Health Information Network Partners, Inc.
Cigna Dental Health of Missouri Inc	Cigna Worldwide Insurance Company	Medco Health Puerto Rico, LLC
Cigna Dental Health of New Jersey Inc	Connecticut General Benefit Payments Inc.	Medco Health Services, Inc.
Cigna Dental Health of North Carolina Inc	Connecticut General Corporation	Medco Health Solutions, Inc.
Cigna Dental Health of Ohio Inc	Connecticut General Life Insurance Company	Mediversal Inc
Cigna Dental Health of Pennsylvania Inc	Courscript, Inc.	Medsolutions Holdings, Inc.
Cigna Dental Health of Texas Inc	Diversified NY IPA, Inc.	Medsolutions of Texas, Inc.
Cigna Dental Health of Virginia Inc	Diversified Pharmaceutical Services, Inc.	Priority Healthcare Corporation
Cigna Dental Healthplan of Arizona Inc	EBI GP Holdings, Inc.	Priority Healthcare Distribution, Inc.
Cigna Direct Marketing Company Inc.	EBI Mail Order Processing, Inc.	Provident American Life and Health Insurance Company
Cigna Federal Benefits Inc	EBI Mail Pharmacy Service, Inc.	QUALCARE ALLIANCE NETWORKS, INC.
Cigna Global Holdings Inc	Evernorth Enterprise Services, Inc.	QUALCARE, INC.
Cigna Global Insurance Company Limited	Evernorth Health, Inc.	Sagamore Health Network Inc
Cigna Global Reinsurance Company LTD	Evernorth Sales Operations, Inc.	SCIBAL ASSOCIATES, INC.
Cigna Health and Life Insurance Company	Evernorth Strategic Development, Inc.	Spectracare Health Care Ventures, Inc.
Cigna Health Corporation	eviCore 1, LLC	SpectraCare, Inc.
Cigna Health Management Inc	Express Reinsurance Company	Tel-Drug Inc
Cigna Healthcare Benefits Inc	Express Scripts Administrators, LLC	United Benefit Life Insurance Company
Cigna Healthcare Holdings Inc	Express Scripts Canada Holding Company	Universal Claims Administration
Cigna Healthcare Inc	Express Scripts Health Information Network Partners, Inc.	Verify Solutions Group, Inc.
Cigna Healthcare Mid-Atlantic Inc	Express Scripts Pharmaceutical Procurement, LLC	
Cigna Healthcare of Arizona Inc	Express Scripts Pharmacy, Inc.	

(2) For the tax year 2020, the Company is a member of an affiliated group, which files a consolidated federal income tax return with New York Life. The consolidated income tax provision or benefit is allocated among the members of the group in accordance with a tax allocation agreement. This tax allocation agreement provides that the Company computes its share of the consolidated tax provision or benefit, in general on a separate company basis, and may, where applicable include the tax benefits of operating or capital losses utilizable in the New York Life's consolidated returns. Intercompany tax balances are settled quarterly on an estimated basis with a final settlement within 30 days of the filing of the consolidated tax return. Current federal income taxes are charged or credited to operations based upon amounts estimated to be payable or recoverable as a result of taxable operations for the current year and any adjustments to such estimates from prior years.

For the tax year 2020, the Company was included in the consolidated United States federal income tax return filed by Cigna. Pursuant to the tax sharing agreement with Cigna, federal income taxes were allocated to the Company as if it were filing on a separate return basis. The tax benefit of net operating losses, capital losses and tax credits were funded to the extent they reduced the consolidated federal income tax liability.

G. Federal or Foreign Income Tax Loss Contingencies

The Company does not anticipate any significant changes to its total unrecognized tax benefits within the next 12 months.

NOTES TO FINANCIAL STATEMENTS

H. Repatriation Transition Tax (RTT)

The Company does not have repatriation transition tax owed under TCJA.

I. Alternative Minimum Tax (AMT) Credit

The Company does not have an AMT credit that was recognized as a current year recoverable or DTA.

NOTE 10 – INFORMATION CONCERNING PARENT, SUBSIDIARIES, AFFILIATES AND OTHER RELATED PARTIES

- C. The Company became a directly owned subsidiary of New York Life Insurance Company at December 31, 2020.
- D. Except for those insurance transactions reported under Part E of this footnote, insurance contracts that were issued by the Company in the ordinary course of its business are not reported in this footnote. See Part E of this footnote for disclosure of material transactions.
- E. Transactions with Related Parties not reported on Schedule Y.

The following significant agreements were in place with Cigna prior to the Company’s acquisition by New York Life. These agreements were terminated during 2020 (amounts shown in millions):

1) Detail of Material Related Party Transactions

Ref #	Date of Transaction	Name of Related Party	Nature of Relationship	Type of Transaction	Written Agreement (Yes/No)	Due Date	Reporting Period Date Amount Due From (To)
1	None in 2020	Cigna	Prior to December 31, 2020, the Company was indirectly owned by Cigna.	The Company was the lender in a line of credit agreement with Cigna under which the maximum amount that may be loaned was the lesser of 3% of admitted assets or 25% of surplus, up to \$25 million.	Yes	N/A	\$-

2) Detail of Material Related Party Transactions Involving Services

Ref #	Name of Related Party	Overview Description	Amount Charged	Amount Based on Allocation of Costs or Market Rates	Amount Charged Modified or Waived (Yes/No)
1	Cigna Investments, Inc. (CII)	Investment Advisory Services	\$0.4	\$0.4	No
2	Cigna	Consolidated federal tax agreement which set forth the method of allocation of Cigna's federal income taxes to its wholly-owned domestic subsidiaries, including the Company.	\$-	\$-	No
3	Connecticut General Life Insurance Company ("CGLIC")	The Company leased space at 140 E. 45th Street in New York City from CGLIC.	\$0.3	\$0.3	No
4	Cigna	Cigna provided payroll processing services to the Company. Costs are allocated pro rata based on head count under this agreement.	\$-	\$-	No
5	Cigna	Cigna provided certain services including expense processing, bank account reconciliation, preparation of tax returns and abandoned property reporting.	\$0.1	\$0.1	No
Total			\$0.8	\$0.8	

NOTES TO FINANCIAL STATEMENTS

3) Detail of Material Related Party Transactions Involving Exchange of Assets and Liabilities

a) Description of Transaction

Ref #	Name of Related Party	Overview Description	Have Terms Changed from Preceding Period? (Yes/No)
1	Cigna Health and Life Insurance Company ("CHLIC")	The Company sold assets to CHLIC.	No

b) Assets Received

Not applicable.

c) Assets Transferred

Ref #	Name of Related Party	Description of Assets Transferred	Statement Value of Assets Transferred
1	CHLIC	During the third quarter of 2020, the Company sold bonds with an aggregate statement value of \$24 million and an aggregate fair value of \$24 million to an affiliated company, CHLIC.	\$24
Total			\$24

4) Detail of Amounts Owed To/From a Related Party

Ref #	Name of Related Party	Aggregate Reporting Period Amount Due From	Aggregate Reporting Period (Amount Due To)	Amount Offset in Financial Statement (if qualifying)	Net Amount recoverable / (Payable) by Related Party	Admitted Recoverable
1	CGLIC	\$-	Not material (less than \$100 thousand)	\$-	\$-	\$-
Total						

D. Please refer to receivables from, and payables to, parent, subsidiaries and affiliates on the Company’s financial statements. Cash settlements are processed according to the terms of the agreement, generally within 30 days of the balance sheet date.

E. The following arrangements existed between the Company and Cigna’s affiliates prior to the acquisition of the Company by New York Life Insurance Company. These agreements were terminated in 2020.

- (1) The Company is a party to service arrangements under which the Company’s sales offices provide sales-related services to LINA. LINA paid the Company \$1.8 million in 2020 and \$1.6 million in 2019 for such services. In addition, LINA’s sales offices provide certain sales-related functions for the Company outside of New York. The Company paid LINA \$0.9 million in 2020 and \$1.4 million in 2019 for such services. LINA is a direct subsidiary of New York Life Insurance Company. The agreement was terminated as of December 31, 2020.
- (2) The Company is a party to service arrangements under which LINA provides certain claim administration services to the Company. The Company paid LINA \$5.8 million in 2020 and 2019 for such services. The agreement was terminated as of December 31, 2020.
- (3) The Company is a party to service arrangements under which LINA provides certain services to the Company relative to its group insurance business, including premium collections, commission payments, policy issuance, pricing, personnel support and marketing support. Costs are allocated pro rata on the basis of transaction counts, estimated time spent, and relative premium volume. The Company paid a total of \$4.9 million in 2020 and \$6.9 million in 2019 for such services. The agreement was terminated as of December 31, 2020.
- (4) The Company and LINA are parties to an agreement providing for sharing of staffing costs related to underwriting and contract development. LINA paid the Company \$0.1 million in 2020 and 2019. The Company paid LINA \$0.7 million in 2020 and \$0.9 million 2019 for such services.

F. There are no guarantees or undertakings, written or otherwise, for the benefit of an affiliate or related party that result in a material contingent exposure of the Company or any related party’s assets or liabilities.

G. See Part A of this footnote.

H. No such shares of any parent company are owned by any subsidiary company.

I. The Company did not own any investment in subsidiaries or affiliates.

NOTES TO FINANCIAL STATEMENTS

J. Subsidiary, controlled and affiliated entities disclosure of impairment write-down – Not applicable.

K. Investment in foreign insurance subsidiary – Not applicable.

L. Downstream noninsurance holding companies – Not applicable.

M. All SCA Investments – Not applicable.

N. Investment in Insurance SCAs

The Company does not have an investment in an insurance SCA for which the audited statutory equity reflects a departure from the NAIC statutory accounting practices and procedures.

O. SCA and SSAP No. 48 Entity Loss Tracking – Not applicable.

NOTE 11 – DEBT

A. Capital Notes and All Other Debt

The Company had no capital notes outstanding at December 31, 2020 and 2019.

Effective September 1, 2012, subsequent to approval from the New York Department of Insurance, the Company entered into a Line of Credit Agreement with Cigna Holdings, Inc., by which the maximum amount the Company could borrow is the lesser of 3% of admitted assets or 25% of surplus, up to \$25 million. The previously available Line of Credit Agreement with an affiliate was terminated effective December 18, 2020, as a result of the Company being acquired by New York Life. As of December 31, 2020 and 2019, the Company had no outstanding borrowings against the line of credit. The interest rate for amounts borrowed during 2020 was an average yearly rate of 0.98%. The Company incurred no interest during 2020 or 2019.

The Company had no liens against real estate investments or reverse repurchase agreements at December 31, 2020 and 2019.

B. FHLB (Federal Home Loan Bank) Agreements

Not applicable.

NOTE 12 – RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS

A. Defined Benefit Plan – See Note 12(G)

B. Description of Investment Policies and Strategies – See Note 12(G)

C. Fair Value of Plan Assets – See Note 12(G)

D. Rate of Return Assumptions - See Note 12(G)

E. Defined Contribution Plans – See Note 12(G)

F. Multiemployer Plans

Not applicable.

G. Consolidated/Holding Company Plans

The Company provides certain other postretirement benefits to retired employees through a plan sponsored by Cigna. The Company also participates in a capital accumulation 401(k) plan sponsored by Cigna in that employee contributions on a before-tax basis are supplemented by Cigna's matching contributions. The Company has no legal obligation for benefits under these plans. Cigna allocates amounts to the Company based on salary ratios and member months. The Company's share of net expense for such benefits was \$0.1 million in both 2020 and 2019.

Cigna froze its primary domestic defined benefit pension plans effective July 1, 2009. As a result, pension expense is no longer allocated to the Company.

H. Postemployment Benefits and Compensated Absences

Not applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not applicable.

NOTE 13 – CAPITAL AND SURPLUS, DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS

(A) The Company had 40,000 shares authorized, issued and outstanding, with a par value of \$27.50 as of December 31, 2020 and 2019. There are no other classes of capital stock.

NOTES TO FINANCIAL STATEMENTS

- (B) The Company has no preferred stock outstanding.
- (C) Dividends on Company stock are paid as declared by its Board of Directors. The Company's dividends are noncumulative. The State of New York insurance laws require prior approval for payment of an extraordinary dividend which is defined as one whose fair market value, together with any other dividends or distributions made within the preceding twelve months, exceeds the lesser of 10% of the prior year's surplus or net income from the prior year. Net income is defined as income after taxes but prior to realized capital gains or (losses).
- There is no dividend that may be paid without prior approval in 2021. Any dividends paid in the twelve months preceding a proposed dividend are considered in determining whether a dividend is extraordinary. In 2020, the maximum dividend payout that could have been made without prior approval was also \$10.5 million.
- (D) No dividends were paid during the year ended December 31, 2020. The Company paid \$17.9 million of noncumulative, common dividends during the year ended December 31, 2019.
- (E) Within the limitations of (C) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to shareholders.
- (F) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.
- (G) There have been no advances to surplus.
- (H) The Company does not hold any stock for special purposes.
- (I) The Company does not hold any special surplus funds.
- (J) There were no unassigned funds (surplus) represented by cumulative net unrealized gains (losses) for the years ended December 31, 2020 and 2019, respectively.
- (K) The Company has not issued any surplus notes or debentures or similar obligations.
- (L) The Company has not restated its surplus due to quasi-organization.
- (M) The Company has not restated its surplus due to a quasi-organization, therefore there is no effective date.

NOTE 14 – LIABILITIES, CONTINGENCIES AND ASSESSMENTS

A. Contingent Commitments

Not applicable.

B. Assessments

The Company operates in a regulatory environment that may require its participation in assessments under state insurance guaranty association laws. The Company's exposure to assessments for certain obligations of insolvent insurance companies to policyholders and claimants is based on its share of business written in the relevant jurisdictions. The Company recorded no guaranty fund receivables or liabilities as of December 31, 2020 and 2019.

Penn Treaty. On March 1, 2017, the Commonwealth Court of Pennsylvania entered an order of liquidation of Penn Treaty Network America Insurance Company, together with its subsidiary American Network Insurance Company (collectively "Penn Treaty", a long-term care insurance carrier), triggering guaranty fund coverage and accrual of a liability. Some of the assessments were recorded on a discounted basis, using a weighted average discount rate of 3.5%. As of December 31, 2020 and 2019, there was no recorded liabilities. This assessment is expected to be updated in future periods for changes in the estimate of the insolvency. In addition, a portion of this assessment is expected to be offset in the future by premium tax credits that will be recognized in the period received.

C. Gain Contingencies

Not applicable.

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

Not applicable.

E. Joint and Several Liabilities

Not applicable.

NOTES TO FINANCIAL STATEMENTS

F. All Other Contingencies

Litigation and Other Legal Matters

The Company and/or its subsidiaries are defendants in individual and/or alleged class action suits arising from their agency sales force, insurance (including variable contracts registered under the federal securities law), investment, retail securities, employment and/or other operations, including actions involving retail sales practices. Some of the actions seek substantial or unspecified compensatory and punitive damages. The Company and/or its subsidiaries are also from time to time involved in various governmental, administrative, and investigative proceedings and inquiries. Notwithstanding the uncertain nature of litigation and regulatory inquiries, the outcome of which cannot be predicted, the Company believes that, after provisions made in the financial statements, the ultimate liability that could result from litigation and proceedings would not have a material adverse effect on the Company's financial position; however, it is possible that settlements or adverse determinations in one or more actions or other proceedings in the future could have a material adverse effect on the Company's operating results for a given year. Several commercial banks have customary security interests in certain assets of the Company to secure potential overdrafts and other liabilities of the Company that may arise under custody, securities lending and other banking agreements with such banks. Based upon Company experience, the amount of premiums and other accounts receivable that may become uncollectible and result in a potential loss is not material to the Company's financial condition.

NOTE 15 – LEASES

A. Lessee Leasing Arrangements

- (1) At December 31, 2020 and 2019, there were no aggregate future minimum rental payments under leases having initial or remaining non-cancelable lease term in excess of one year. There were no rental expenses for operating leases or sublease rentals in 2020 and 2019.
- (2) The Company does not have any non-cancelable lease terms in excess of one year.
- (3) The Company is not involved in any material sale-leaseback transactions.

B. Lessor Leases

The Company is not the lessor in any material operating or leveraged lease transactions.

NOTE 16 – INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

A. Financial Instruments With Off-Balance Sheet Risk

Not applicable.

B. Financial Instruments With Concentrations of Credit Risk

Not applicable.

NOTE 17 – SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

A. Transfers of Receivables Reported as Sales

Not applicable.

B. Transfer and Servicing of Financial Assets

Not applicable.

C. Wash Sales

Not applicable.

NOTES TO FINANCIAL STATEMENTS

NOTE 18 – GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

A. ASO Plans

Information with regard to the profitability of Administrative Services Only (ASO) uninsured accident and health plans and the uninsured portion of partially insured plans was as follows for the years ended December 31:

	2020		
	ASO Uninsured Plans	Uninsured Portion of Partially Insured Plans	Total ASO
(In whole dollars)			
Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$ 516,446	\$ -	\$ 516,446
Total net other income or expenses (including interest paid to or received from plans)	-	-	-
Net gain or (loss) from operations	\$ 516,446	\$ -	\$ 516,446
Total claim payment volume	3,782	-	3,782

	2019		
	ASO Uninsured Plans	Uninsured Portion of Partially Insured Plans	Total ASO
(In whole dollars)			
Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$ 1,112,792	\$ -	\$ 1,112,792
Total net other income or expenses (including interest paid to or received from plans)	-	-	-
Net gain or (loss) from operations	\$ 1,112,792	\$ -	\$ 1,112,792
Total claim payment volume	1,618	-	1,618

B. ASC Plans

Not applicable.

C. Medicare or Similarly Structured Cost Based Reimbursement Contract

Not applicable.

NOTE 19 - DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS

Direct premiums written by managing general agents / third party administrators were immaterial during 2020 and 2019.

NOTE 20 - FAIR VALUE MEASUREMENTS

A. Fair Value Measurements

Fair value is defined as the price at which an asset could be exchanged in an orderly transaction between market participants at the balance sheet date. The Company’s financial assets and liabilities carried at fair value have been classified based upon a hierarchy defined by SAP. The hierarchy gives the highest ranking to fair values determined using unadjusted quoted prices in active markets for identical assets and liabilities (Level 1) and the lowest ranking to fair values determined using methodologies and models with unobservable inputs (Level 3). An asset’s or a liability’s classification is based on the lowest level input that is significant to its measurement. For example, a financial asset or liability carried at fair value would be classified in Level 3 if unobservable inputs were significant to the instrument’s fair value, even though the measurement may be derived using inputs that are both observable (Levels 1 and 2) and unobservable (Level 3).

- Level 1

Inputs for instruments classified in Level 1 include unadjusted quoted prices for identical assets in active markets accessible at the measurement date. Active markets provide pricing data for trades occurring at least weekly and include exchanges and dealer markets. Assets in Level 1 include actively-traded U.S. government bonds.
- Level 2

Inputs for instruments classified in Level 2 include quoted prices for similar assets in active markets, quoted prices from those willing to trade in markets that are not active, or other inputs that are market observable or can be corroborated by market data for the term of the instrument. Such other inputs include market interest rates and volatilities, spreads and yield curves. An instrument is classified in Level 2 if the Company determines that unobservable inputs are insignificant. Level 2 assets include most private and corporate debt and equity securities, federal agency and municipal bonds, non-government mortgage backed securities, short term investments, and cash equivalents.
- Level 3

Certain inputs for instruments classified in Level 3 are unobservable (supported by little or no market activity) and significant to their resulting fair value measurement. Unobservable inputs reflect the Company’s best estimate of what hypothetical market participants

NOTES TO FINANCIAL STATEMENTS

would use to determine a transaction price for the asset at the reporting date. Level 3 assets primarily include certain newly issued, privately-placed, complex or illiquid securities using significant unobservable inputs.

SSAP 100 allows the use of net asset value (NAV) as a practical expedient to fair value for investments in investment companies where there is no readily determinable fair value. There were no such investments owned by the Company for either period presented.

- 1. Fair Value Measurements at Reporting Date – None
- 2. Fair Value Measurements in Level 3 of the Fair Value Hierarchy – None
- 3. Level 3 Transfers – None
- 4. Valuation Techniques and Inputs – No financial instruments at fair value

B. Other Fair Value Disclosures

The Company provides additional fair value information in Notes 1 and 5.

C. Aggregate Fair Value of All Financial Instruments

The following tables provide the fair value, carrying value, and classification in the fair value hierarchy of the Company’s financial instruments as of December 31, 2020 and December 31, 2019.

December 31, 2020							
(In whole dollars)							
Financial Assets	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	431,859,135	394,163,570	715,465	423,822,616	7,321,053	-	-
Cash, cash equivalents, and short-terms	11,003,793	11,003,793	(3,996,126)	14,999,919	-	-	-
December 31, 2019							
(In whole dollars)							
Financial Assets	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	361,789,713	341,203,710	960,544	354,555,190	6,273,979	-	-
Cash, cash equivalents, and short-terms	46,254,236	46,254,236	(6,431,963)	52,686,199	-	-	-

The fair values presented in the tables above have been estimated using market information when available. The following valuation methodologies and significant assumptions are used by the Company to determine fair value.

Bonds

The Company estimates fair values of bonds using prices from third parties or internal pricing methods. Fair value estimates received from third-party pricing services are based on reported trade activity and quoted market prices when available and other market information that a market participant may use to estimate fair value. The internal pricing methods generally involve using discounted cash flow analyses, incorporating current market inputs for similar financial instruments with comparable terms and credit quality. In instances where there is little or no market activity for the same or similar instruments, fair value is estimated using methods, models and assumptions that the Company believes a hypothetical market participant would use to determine a current transaction price.

The Company is responsible for determining fair value, as well as the appropriate level within the fair value hierarchy, based on the significance of unobservable inputs. The Company reviews methodologies, processes and controls of third-party pricing services and compares prices on a test basis to those obtained from other external pricing sources or internal estimates. The Company performs ongoing analyses of both prices received from third-party pricing services and those developed internally to determine that they represent appropriate estimates of fair value. The controls executed by the Company include evaluating changes in prices and monitoring for potentially stale valuations. The Company also performs sample testing of sales values to confirm the accuracy of prior fair value estimates. The minimal exceptions identified during these processes indicate that adjustments to prices are infrequent and do not significantly impact valuations. An annual due-diligence review of the most significant pricing service is conducted to review its processes, methodologies, and controls. This review includes a walk-through of inputs for a sample of securities held across various asset types to validate the documented pricing process.

Cash, Cash Equivalents, and Short-Term Investments

Short-term investments, cash equivalents, and cash are carried at cost which approximates fair value. Short-term investments and cash equivalents are classified in Level 2, and cash is classified in Level 1.

- D. Disclosures about Financial Instruments Not Practicable to Estimate Fair Value – None
- E. Investments Measured Using the NAV Practical Expedient – None

NOTES TO FINANCIAL STATEMENTS

NOTE 21 – OTHER ITEMS

A. Unusual or Infrequent Items

Not applicable.

B. Troubled Debt Restructuring: Debtors

Not applicable.

C. Other Disclosures

Effective December 30, 2020 the Company entered into an agreement to reinsure its Settlement Annuity business to Connecticut General Life Insurance Company (CGLIC). In December 2020, CGLIC set up a trust with the Company as the beneficiary. The market value of the assets in the trust at December 31, 2020 was \$137 million.

D. Business Interruption Insurance Recoveries

Not applicable.

E. State Transferable and Non-Transferrable Tax Credits

Not applicable.

F. Subprime-Mortgage-Related Risk Exposure

Not applicable.

G. Retained Assets

Retained Asset Accounts are classified as liabilities for deposit-type contracts. These accounts represent the Company’s method for settling certain life, disability and accidental death and dismemberment claims where the claimant does not specify or request payment in an alternate form, or where another form of payment is directed by applicable law. The insurance proceeds are retained in the Company’s general account and credited to a beneficiary’s free interest-bearing account with draft privileges that can be liquidated at any time. The account balance and earned interest are fully guaranteed by the Company. The interest crediting rate is updated weekly and pegged to the Bank Rate Monitor Index, which reflects the average annual effective yield on money markets offered by one hundred large banks and thrifts in the United States. Interest is compounded daily and is credited to accountholders on a monthly basis. The weighted average effective interest rate credited to account holders in 2020 was 0.14%, ranging from 0.09% to 0.21%. Account holders are charged fees only for special services (stop payment requests, checks denied due to insufficient funds, copies of drafts or statements) and are not charged per-draft fees, maintenance charges or withdrawal penalties.

(In whole dollars)	In Force			
	December 31, 2020		December 31, 2019	
	Number	Balance	Number	Balance
Up to and including 12 Months	98	\$ 7,730,548	80	\$ 4,687,271
13 to 24 Months	48	2,236,589	49	2,854,432
25 to 36 Months	39	2,232,754	48	1,634,672
37 to 48 Months	37	1,279,458	40	979,481
49 to 60 Months	34	810,101	30	999,118
Over 60 Months	97	2,162,086	90	1,826,048
Total	353	\$ 16,451,536	337	\$ 12,981,022

(In whole dollars)	December 31, 2020			
	Individual	Individual	Group	Group
	Number	Balance/Amount	Number	Balance/Amount
At the Beginning of the Year	-	\$ -	337	\$ 12,981,022
Issued/Added During the Year	-	-	218	18,344,355
Investment Earnings Credited During the Year	-	-	-	20,715
Fees and Other Charges Assessed During the Year	-	-	-	(114)
Transferred to State Unclaimed Property funds During the Year	-	-	-	-
Closed/Withdrawn During the Year	-	-	(202)	(14,894,442)
At the End of the Year	-	\$ -	353	\$ 16,451,536

H. Insurance-Linked Securities (ILS) Contracts

Not applicable.

NOTES TO FINANCIAL STATEMENTS

I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

Not applicable.

NOTE 22 – EVENTS SUBSEQUENT

At February 26, 2021, the date the financial statements were available to be issued, there have been no events occurring subsequent to the close of the Company’s books or accounts for the accompanying annual statement that would have a material effect on the financial condition of the Company.

NOTE 23 – REINSURANCE

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

1.

Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?

Yes () No (X)
2.

Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business?

Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part A

1.

Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?

Yes () No (X)
2.

Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes () No (X)

Section 3 – Ceded Reinsurance Report – Part B

1.

What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of ALL reinsurance agreements, by either party, as of the date of this statement?

\$0.
2.

Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?

Yes (X) No ()
- If yes, what is the amount of reinsurance credits, whether an asset or a reduction of liability, taken for such new agreements or amendments?

\$(0.2) million

B. Uncollectible Reinsurance

Not applicable.

C. Commutation of Ceded Reinsurance

Not applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not applicable.

E. Reinsurance of Variable Annuity Contracts with an Affiliated Captive Reinsurer

Not applicable.

F. Reinsurance Agreement with an Affiliated Captive Reinsurer

Not applicable.

G. Ceding Entities that Utilize Captive Reinsurers to Assume Reserves

Not applicable.

NOTES TO FINANCIAL STATEMENTS

H. Reinsurance Credit

1.

Are there any reinsurance contracts (or multiple contracts with the same reinsurer or its affiliates) subject to A-791 that includes a provision, which limits the reinsurer’s assumption of significant risks identified as in A-791?

Yes ()

No (X)
2.

Are there reinsurance contracts (or multiple contracts with the same reinsurer or its affiliates) not subject to A-791, for which reinsurance accounting was applied and includes a provision that limits the reinsurer’s assumption of risk?

Yes ()

No (X)
3.

Are there any reinsurance contracts which contain features (except reinsurance contracts with a federal or state facility) described below which result in delays in payment in form or in fact?

•

Provisions which permit the reporting of losses, or settlements are made, less frequently than quarterly or payments due from the reinsurer are not made in cash within ninety (90) days of the settlement date (unless there is no activity during the period).

•

Payment schedule, accumulating retentions from multiple years or any features inherently designed to delay timing of the reimbursement to the ceding entity.

Yes ()

No (X)
4.

Does the reporting entity reflect reinsurance accounting credit for any contracts not subject to Appendix A-791 and not yearly renewable term, which meet the risk transfer requirements of SSAP No. 61R? If Yes, identify the type of contracts and the reinsurance contracts.

Yes ()

No (X)
5.

Does the reporting entity cede any risk which is not subject to A-791 and not yearly renewable term reinsurance, under any reinsurance contract (or multiple contracts with the same reinsurer or its affiliates) during the period covered by the financial statement, and either accounted for that contract as reinsurance under statutory accounting principles (“SAP”) and as a deposit under generally accepted accounting principles (“GAAP”); or accounted for that contract as reinsurance under GAAP and as a deposit under SAP?

Yes ()

No (X)
6.

If affirmative disclosure is required for Paragraph 23H(5) above, explain why the contract(s) is treated differently for GAAP and SAP.

Not Applicable.

NOTE 24 – RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION

A. Accrued retrospective premium adjustments for its group health insurance business by utilizing appropriate analytics relative to the Company’s underwriting rules and experience rating practices

Not applicable.

B. Accrued retrospective premium adjustments recorded through written premium or earned premium

Not applicable.

C. Net premiums written that are subject to retrospective rating features

The amount of net premiums written by the Company at both December 31, 2020 and 2019 that are subject to retrospective rating features were \$2.9 million, respectively, that represented 1% of the total net premiums written for both periods presented. No other net premiums written by the Company are subject to retrospective rating features.

D. Medical loss ratio rebates required pursuant to the Public Health Service Act

Not applicable.

E. Risk-Sharing Provisions of the Affordable Care Act (ACA)

Not applicable.

NOTE 25 – CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES

Reserves on accident and health contracts for incurred losses and loss adjustment expenses attributable to insured events of prior years, after accounting for the impact of assumption updates made throughout 2020, developed slightly unfavorably to expectations during 2020. See Schedule H – Part 3 and the Five Year Historical Data. Original liability estimates are increased or decreased, as additional information becomes known regarding individual claims. While prior year reserve development in 2020 was slightly unfavorable, it is expected that results will be more in line with expectations in 2021.

NOTE 26 – INTERCOMPANY POOLING ARRANGEMENTS

Not applicable.

NOTES TO FINANCIAL STATEMENTS

NOTE 27 – STRUCTURED SETTLEMENTS

Not applicable.

NOTE 28 – HEALTH CARE RECEIVABLES

A. Pharmaceutical Rebate Receivables

Not applicable.

B. Risk-Sharing Receivables

Not applicable.

NOTE 29 – PARTICIPATING POLICIES

Not applicable.

NOTE 30 – PREMIUM DEFICIENCY RESERVES

Not applicable.

NOTE 31 – RESERVES FOR LIFE CONTRACTS AND ANNUITY CONTRACTS

- (1) The Company generally waives deduction of deferred fractional premiums upon death of insured and returns any portion of the premium beyond the date of death. Surrender values are not promised in excess of the legally computed reserves.
- (2) The Company has issued or assumed substandard policies either with rated-up age, or with extra premium, temporary or otherwise, or at a special scale of premiums. In the case of those with rated-up age, the valuation is done at such rated-up age or an equivalent percentage rating.

Ordinary policies issued substandard are valued using a multiple of the standard mortality rates.
- (3) The amount of insurance, if any, for which the gross premiums are less than the net premiums according to valuation standards – not applicable.
- (4) Tabular Interest, Tabular Less Actual Reserves Released, and Tabular Cost were determined, in part, by formula as described in the instructions.
- (5) The method of determination of tabular interest on funds not involving life contingencies – not applicable.
- (6) The nature of other reserve changes – not applicable.

NOTE 32 – ANALYSIS OF ANNUITY ACTUARIAL RESERVES AND DEPOSIT TYPE CONTRACT LIABILITIES BY WITHDRAWAL CHARACTERISTICS

Withdrawal characteristics of annuity actuarial reserves and deposit-type contract funds and other liabilities without life or disability contingencies as of December 31, were as follows:

(in whole dollars)

		2020				
		General Account	Separate Account with Guarantees	Separate Account Nonguaranteed	Total	% of Total
A. INDIVIDUAL ANNUITIES:						
(1) Subject to discretionary withdrawal - with adjustment:						
a. With fair value adjustment		\$ -	\$ -	\$ -	\$ -	0%
At book value less current surrender charge of						
b. 5% or more		-	-	-	-	0%
c. At fair value		-	-	-	-	0%
Total with adjustment or at market value (total a through c)		-	-	-	-	0%
At book value without adjustment (minimal or no charge or adjustment)		-	-	-	-	0%
(2) Not subject to discretionary withdrawal provision		132,912,010	-	-	132,912,010	100%
(3) Total (gross: direct + assumed)		132,912,010	-	-	132,912,010	100%
(4) Reinsurance ceded		132,912,010	-	-	132,912,010	
(5) Total (net) (3) – (4)		\$ -	\$ -	\$ -	\$ -	
Amount included in A(1)b above that will move to A(1)e for the first time within the year after the						
(6) statement date:		\$ -	\$ -	\$ -	\$ -	

NOTES TO FINANCIAL STATEMENTS

B. GROUP ANNUITIES:			Separate		Separate Account		Total	% of Total
	General Account		Account with	Guarantees	Nonguaranteed			
(1) Subject to discretionary withdrawal - with adjustment:								
a. With fair value adjustment	\$	-	\$	-	\$	-	\$	0%
At book value less current surrender charge of								
b. 5% or more		-		-		-	-	0%
c. At fair value		-		-		-	-	0%
Total with adjustment or at market value (total a								
through c)		-		-		-	-	0%
d. At book value without adjustment (minimal or								
e. no charge or adjustment)		-		-		-	-	0%
(2) Not subject to discretionary withdrawal provision	7,395,893		-		-		7,395,893	100%
(3) Total (gross: direct + assumed)	7,395,893		-		-		7,395,893	100%
(4) Reinsurance ceded	7,395,893		-		-		7,395,893	
(5) Total (net) (3) – (4)	\$	-	\$	-	\$	-	\$	-
Amount included in B(1)b above that will move to								
B(1)e for the first time within the year after the								
(6) statement date:	\$	-	\$	-	\$	-	\$	-

C. DEPOSIT-TYPE CONTRACTS (no life contingencies):			Separate		Separate Account		Total	% of Total
	General Account		Account with	Guarantees	Nonguaranteed			
(1) Subject to discretionary withdrawal - with adjustment:								
a. With fair value adjustment	\$	262,907	\$	-	\$	-	\$	2%
At book value less current surrender charge of								
b. 5% or more		-		-		-	-	0%
c. At fair value		-		-		-	-	0%
Total with adjustment or at market value (total a								
through c)		262,907		-		-	262,907	2%
d. At book value without adjustment (minimal or								
e. no charge or adjustment)		16,452,828		-		-	16,452,828	87%
(2) Not subject to discretionary withdrawal provision	2,136,335		-		-		2,136,335	11%
(3) Total (gross: direct + assumed)	18,852,070		-		-		18,852,070	100%
(4) Reinsurance ceded	262,907		-		-		262,907	
(5) Total (net) (3) – (4)	\$	18,589,163	\$	-	\$	-	\$	18,589,163
Amount included in C(1)b above that will move to								
C(1)e for the first time within the year after the								
(6) statement date:	\$	-	\$	-	\$	-	\$	-

D.		
Life & Accident & Health Annual Statement:		Amount
(1) Exhibit 5, Annuities Section, Total (net)	\$	-
(2) Exhibit 5, Supplementary Contracts with Life Contingencies		-
Sections, Total (net)		-
(3) Column 1		18,589,163
(4) Subtotal		18,589,163
Separate Accounts Annual Statement:		
(5) Exhibit 3, Line 0299999, Column 2		-
(6) Exhibit 3, Line 0399999, Column 2		-
(7) Policyholder dividend and coupon accumulations		-
(8) Policyholder premiums		-
(9) Guaranteed interest contracts		-
(10) Other contract deposit funds		-
(11) Subtotal		-
(12) Combined Total	\$	18,589,163

NOTES TO FINANCIAL STATEMENTS

(in whole dollars)

A. INDIVIDUAL ANNUITIES:

(1) Subject to discretionary withdrawal - with adjustment:

a.	With fair value adjustment	\$	-	\$	-	\$	-	\$	-	0%
	At book value less current surrender charge of									
b.	5% or more		-		-		-		-	0%
c.	At fair value		-		-		-		-	0%
d.	Total with adjustment or at market value (total a through c)		-		-		-		-	0%
e.	At book value without adjustment (minimal or no charge or adjustment)		-		-		-		-	0%

(2) Not subject to discretionary withdrawal provision

(3) Total (gross: direct + assumed)

(4) Reinsurance ceded

(5) Total (net) (3) – (4)

Amount included in A(1)b above that will move to

(6) A(1)e in the year after the statement date:

B. GROUP ANNUITIES:

(1) Subject to discretionary withdrawal - with adjustment:

a.	With fair value adjustment	\$	-	\$	-	\$	-	\$	-	0%
	At book value less current surrender charge of									
b.	5% or more		-		-		-		-	0%
c.	At fair value		-		-		-		-	0%
d.	Total with adjustment or at market value (total a through c)		-		-		-		-	0%
e.	At book value without adjustment (minimal or no charge or adjustment)		-		-		-		-	0%

(2) Not subject to discretionary withdrawal provision

(3) Total (gross: direct + assumed)

(4) Reinsurance ceded

(5) Total (net) (3) – (4)

Amount included in B(1)b above that will move to

(6) B(1)e in the year after the statement date:

C. DEPOSIT-TYPE CONTRACTS
(no life contingencies):

(1) Subject to discretionary withdrawal - with adjustment:

a.	With fair value adjustment	\$	255,251	\$	-	\$	-	\$	255,251	2%
	At book value less current surrender charge of									
b.	5% or more		-		-		-		-	0%
c.	At fair value		-		-		-		-	0%
d.	Total with adjustment or at market value (total a through c)		255,251		-		-		255,251	2%
e.	At book value without adjustment (minimal or no charge or adjustment)		12,983,333		-		-		12,983,333	85%

(2) Not subject to discretionary withdrawal provision

(3) Total (gross: direct + assumed)

(4) Reinsurance ceded

(5) Total (net) (3) – (4)

Amount included in C(1)b above that will move to

(6) C(1)e in the year after the statement date:

NOTES TO FINANCIAL STATEMENTS

D.

Life & Accident & Health Annual Statement:	Amount
(1) Exhibit 5, Annuities Section, Total (net)	\$ -
(2) Exhibit 5, Supplementary Contracts with Life Contingencies Sections, Total (net)	-
Exhibit 7, Deposit-Type Contracts, Line 14,	-
(3) Column 1	14,960,653
(4) Subtotal	14,960,653
Separate Accounts Annual Statement:	
(5) Exhibit 3, Line 0299999, Column 2	-
(6) Exhibit 3, Line 0399999, Column 2	-
(7) Policyholder dividend and coupon accumulations	-
(8) Policyholder premiums	-
(9) Guaranteed interest contracts	-
(10) Other contract deposit funds	-
(11) Subtotal	-
(12) Combined Total	\$ 14,960,653

NOTE 33 – ANALYSIS OF LIFE ACTUARIAL RESERVES BY WITHDRAWAL CHARACTERISTICS

The amounts of account value, cash value and reserve for the breakouts of life insurance by withdrawal characteristics, separately for General Account products, Separate Account with Guarantees products and Separate Account Nonguaranteed products, were as follows:

		2020		
		Account Value	Cash Value	Reserve
<i>(in whole dollars)</i>				
A.	General Account			
(1)	Subject to discretionary withdrawal, surrender values or policy loans:			
a.	Term Policies with Cash Value	\$	\$	\$
b.	Universal Life			
c.	Universal Life with Secondary Guarantees			
d.	Indexed Universal Life			
e.	Indexed Universal Life with Secondary Guarantees			
f.	Indexed Life			
g.	Other Permanent Cash Value Life Insurance		157,583	189,882
h.	Variable Life			
i.	Variable Universal Life			
j.	Miscellaneous Reserves			
		-	157,583	189,882
(2)	Not subject to discretionary withdrawal provision			
a.	Term Policies without Cash Value			2,013
b.	Accidental Death Benefits			41
c.	Disability - Active Lives			35
d.	Disability - Disabled Lives			19,131,520
e.	Miscellaneous Reserves			4,598
(3)	Total (gross: direct + assumed)	-	157,583	19,328,089
(4)	Reinsurance Ceded		157,583	355,104
(5)	Total (net) (3) - (4)	\$ -	\$ -	\$ 18,972,985

NOTES TO FINANCIAL STATEMENTS

B. Separate Account with Guarantees				
(1) Subject to discretionary withdrawal, surrender values or policy loans:				
a.	Term Policies with Cash Value	\$	\$	\$
b.	Universal Life			
c.	Universal Life with Secondary Guarantees			
d.	Indexed Universal Life			
e.	Indexed Universal Life with Secondary Guarantees			
f.	Indexed Life			
g.	Other Permanent Cash Value Life Insurance			
h.	Variable Life			
i.	Variable Universal Life			
j.	Miscellaneous Reserves			
		-	-	-
(2) Not subject to discretionary withdrawal provision				
a.	Term Policies without Cash Value			
b.	Accidental Death Benefits			
c.	Disability - Active Lives			
d.	Disability - Disabled Lives			
e.	Miscellaneous Reserves			
		-	-	-
(3)	Total (gross: direct + assumed)			
(4)	Reinsurance Ceded			
(5)	Total (net) (3) - (4)	\$	-	\$
			-	-
C. Separate Account Nonguaranteed				
(1) Subject to discretionary withdrawal, surrender values or policy loans:				
a.	Term Policies with Cash Value	\$	\$	\$
b.	Universal Life			
c.	Universal Life with Secondary Guarantees			
d.	Indexed Universal Life			
e.	Indexed Universal Life with Secondary Guarantees			
f.	Indexed Life			
g.	Other Permanent Cash Value Life Insurance			
h.	Variable Life			
i.	Variable Universal Life			
j.	Miscellaneous Reserves			
		-	-	-
(2) Not subject to discretionary withdrawal provision				
a.	Term Policies without Cash Value			
b.	Accidental Death Benefits			
c.	Disability - Active Lives			
d.	Disability - Disabled Lives			
e.	Miscellaneous Reserves			
		-	-	-
(3)	Total (gross: direct + assumed)			
(4)	Reinsurance Ceded			
(5)	Total (net) (3) - (4)	\$	-	\$
			-	-
D. Amount				
Life & Accident & Health Annual Statement:				
(1)	Exhibit 5, Life Insurance Section, Total (net)		\$	
(2)	Exhibit 5, Accidental Death Benefits Section, Total (net)			
(3)	Exhibit 5, Disability – Active Lives Section, Total (net)			
(4)	Exhibit 5, Disability – Disabled Lives Section, Total (net)			18,972,985
(5)	Exhibit 5, Miscellaneous Reserves Section, Total (net)			
(6)	Subtotal			18,972,985
Separate Accounts Annual Statement:				
(7)	Exhibit 3, Line 0199999, Column 2			
(8)	Exhibit 3, Line 0499999, Column 2			
(9)	Exhibit 3, Line 0599999, Column 2			
(10)	Subtotal (Lines (7) through (9))			-
(11)	Combined Total ((6) and (10))		\$	18,972,985

NOTES TO FINANCIAL STATEMENTS

		2019					
		General Account			Separate Account - Guaranteed and Non-guaranteed		
(in whole dollars)		Account Value	Cash Value	Reserve	Account Value	Cash Value	Reserve
A.	Subject to discretionary withdrawal, surrender values or policy loans:						
(1)	Term Policies with Cash Value	\$	\$	\$	\$	\$	\$
(2)	Universal Life						
(3)	Universal Life with Secondary Guarantees						
(4)	Indexed Universal Life						
(5)	Indexed Universal Life with Secondary Guarantees						
(6)	Indexed Life						
(7)	Other Permanent Cash Value Life Insurance		153,859	185,696			
(8)	Variable Life						
(9)	Variable Universal Life						
(10)	Miscellaneous Reserves						
		-	153859	185696	-	-	-
B.	Not subject to discretionary withdrawal or no cash value						
(1)	Term Policies without Cash Value			1,927			
(2)	Accidental Death Benefits			51			
(3)	Disability - Active Lives			36			
(4)	Disability - Disabled Lives			18,163,404			
(5)	Miscellaneous Reserves			4,640			
		-	-	18,170,058	-	-	-
C.	Total (gross: direct + assumed)	-	153,859	18,355,754	-	-	-
D.	Reinsurance Ceded		153,859	628,532			
E.	Total (net) (C) - (D)	\$ -	\$ -	\$ 17,727,222	\$ -	\$ -	\$ -

		Amount
F.	Life & Accident & Health Annual Statement:	
(1)	Exhibit 5, Life Insurance Section, Total (net)	\$
(2)	Exhibit 5, Accidental Death Benefits Section, Total (net)	
(3)	Exhibit 5, Disability – Active Lives Section, Total (net)	
(4)	Exhibit 5, Disability – Disabled Lives Section, Total (net)	17,727,222
(5)	Exhibit 5, Miscellaneous Reserves Section, Total (net)	
(6)	Subtotal	17,727,222
	Separate Accounts Annual Statement:	
(7)	Exhibit 3, Line 0199999, Column 2	
(8)	Exhibit 3, Line 0499999, Column 2	
(9)	Exhibit 3, Line 0599999, Column 2	
(10)	Subtotal (Lines (7) through (9))	-
(11)	Combined Total ((6) and (10))	\$ 17,727,222

NOTE 34 – PREMIUMS AND ANNUITY CONSIDERATIONS DEFERRED AND UNCOLLECTED

A. Deferred and uncollected life insurance premiums and annuity considerations at December 31 were as follows:

(In whole dollars)		2020		2019	
Type		Gross	Net of Loading	Gross	Net of Loading
Ordinary Renewal	\$	-	\$ -	\$ -	\$ -
Group Life		11,366,287	11,366,287	8,248,630	8,248,630
Totals	\$	11,366,287	\$ 11,366,287	\$ 8,248,630	\$ 8,248,630

NOTE 35 – SEPARATE ACCOUNTS

Not applicable.

NOTES TO FINANCIAL STATEMENTS

NOTE 36 - LOSS/CLAIM ADJUSTMENT EXPENSES

The table below reconciles the Company’s accident & health unpaid claims liabilities between December 31, 2020 and 2019, as follows:

<i>(In whole dollars)</i>	2020	2019
Liability at beginning of year	\$ 16,154,593	\$ 13,406,204
Incurred expenses for insured or covered events, current year	112,060,956	111,275,648
Incurred expenses for insured or covered events, prior years	31,686,336	13,391,080
Total provision	143,747,292	124,666,728
Payments for insured or covered events, current year	(69,058,897)	(58,414,215)
Payments for insured or covered events, prior years	(73,067,900)	(63,504,124)
Total payments	(142,126,797)	(121,918,339)
Liability at end of year	\$ 17,775,088	\$ 16,154,593

The incurred claims attributable to insured or covered events of prior years were unfavorable to reserve levels by \$32 million in 2020, and \$13 million in 2019. The incurred expense of \$32 million for prior year insured or covered events for 2020 is primarily attributable to reserve assumption updates made throughout the year, and observed resolution experience. The incurred expense of \$13 million for prior year insured or covered events for 2019 was primarily attributable to observed resolution experience. There was no change to the liability associated with estimated anticipated salvage and subrogation.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1, 1A and 2

Yes ☒ No ☐

1.2

If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations?

Yes ☒ No ☐ N/A ☐

1.3

State Regulating?

New York

1.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☐ No ☒

1.5

If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒

2.2

If yes, date of change:

3.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2016

3.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2016

3.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/12/2018

3.4

By what department or departments?
New York State Department of Financial Services

3.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☐ No ☐ N/A ☒

3.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ N/A ☐

4.1

During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.11 sales of new business?
4.12 renewals?

Yes ☐ No ☒
Yes ☐ No ☒

4.2

During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.21 sales of new business?
4.22 renewals?

Yes ☐ No ☒
Yes ☐ No ☒

5.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?
If yes, complete and file the merger history data file with the NAIC.

Yes ☐ No ☒

5.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

6.1

Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒

6.2

If yes, give full information:

7.1

Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?

Yes ☐ No ☒

7.2

If yes,
7.21 State the percentage of foreign control;
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

0.0 %

1 Nationality	2 Type of Entity

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

GENERAL INTERROGATORIES

- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [X] No []
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
NYLIFE Securities LLC	New York, NY				YES
NYLIFE Distributors LLC	Jersey City, NJ				YES
Eagle Strategies LLC	New York, NY				YES
New York Life Investment Management LLC	New York, NY				YES
MacKay Shields	New York, NY				YES
GoldPoint Partners LLC	New York, NY				YES
NYLIM Service Company LLC	Jersey City, NJ				YES
PA Capital LLC	Richmond, VA				YES
NYL Investors LLC	New York, NY				YES
Madison Capital Funding LLC	Chicago, IL				YES
MKS CLO Advisors, LLC	New York, NY				YES
Cascade CLO Manager, LLC	New York, NY				YES
New York Life Investments Alternatives LLC	New York, NY				YES
Candriam Luxembourg S.C.A.	Strassen, LUX				YES
Candriam France S.A.S.	Paris, FRA				YES
Candriam Belgium S.A.	Brussels, BEL				YES
Ausbil Investment Management Limited	Sydney, AUS				YES

9.

What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?

PRICEWATERHOUSECOOPERS LLP, TWO COMMERCE SQUARE, 2001 MARKET STREET, PHILADELPHIA, PA 19103
- 10.1

Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation?

Yes [] No [X]
- 10.2

If the response to 10.1 is yes, provide information related to this exemption:
- 10.3

Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation?

Yes [] No [X]
- 10.4

If the response to 10.3 is yes, provide information related to this exemption:
- 10.5

Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws?

Yes [X] No [] N/A []
- 10.6

If the response to 10.5 is no or n/a, please explain
11.

What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?

Tristan Jones, VP & Valuation Actuary, Cigna, 1601 Chestnut Street, TL11R, Philadelphia, PA 19192.
- 12.1

Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly?

Yes [] No [X]
- 12.11

Name of real estate holding company
- 12.12

Number of parcels involved

0
- 12.13

Total book/adjusted carrying value

\$ 0
- 12.2

If, yes provide explanation:
13.

FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:
- 13.1

What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?
- 13.2

Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located?

Yes [] No []
- 13.3

Have there been any changes made to any of the trust indentures during the year?

Yes [] No []
- 13.4

If answer to (13.3) is yes, has the domiciliary or entry state approved the changes?

Yes [] No [] N/A []
- 14.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes [X] No []
- a.

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- b.

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- c.

Compliance with applicable governmental laws, rules and regulations;
- d.

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- e.

Accountability for adherence to the code.
- 14.11

If the response to 14.1 is No, please explain:
- 14.2

Has the code of ethics for senior managers been amended?

Yes [X] No []
- 14.21

If the response to 14.2 is yes, provide information related to amendment(s).

The code of ethics that is applicable to all employees was updated in October 2020. Changes include, but are not limited to: (i) addition of a section regarding working from home; (ii) replacing the term “Conflict of Interest Questionnaire” with “Business Conduct Questionnaire”; and (iii) updated contact information where applicable.
- 14.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 14.31

If the response to 14.3 is yes, provide the nature of any waiver(s).

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

GENERAL INTERROGATORIES

- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? Yes [] No [X]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? Yes [X] No []
17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? Yes [X] No []
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? Yes [X] No []

FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? Yes [] No [X]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):

20.11 To directors or other officers\$0

20.12 To stockholders not officers\$0

20.13 Trustees, supreme or grand (Fraternal Only)\$0
- 20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):

20.21 To directors or other officers\$0

20.22 To stockholders not officers\$0

20.23 Trustees, supreme or grand (Fraternal Only)\$0
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? Yes [] No [X]
- 21.2 If yes, state the amount thereof at December 31 of the current year:

21.21 Rented from others\$0

21.22 Borrowed from others\$0

21.23 Leased from others\$0

21.24 Other\$0
- 22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? Yes [] No [X]
- 22.2 If answer is yes:

22.21 Amount paid as losses or risk adjustment\$0

22.22 Amount paid as expenses\$0

22.23 Other amounts paid\$0
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:\$0

INVESTMENT

- 24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03). Yes [X] No []
- 24.02 If no, give full and complete information relating thereto
- 24.03 For securities lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)
- 24.04 For the reporting entity's securities lending program, report amount of collateral for conforming programs as outlined in the Risk-Based Capital Instructions.\$0
- 24.05 For the reporting entity's securities lending program, report amount of collateral for other programs.\$0
- 24.06 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? Yes [] No [] N/A [X]
- 24.07 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? Yes [] No [] N/A [X]
- 24.08 Does the reporting entity or the reporting entity 's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? Yes [] No [] N/A [X]

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

GENERAL INTERROGATORIES

24.09 For the reporting entity’s securities lending program state the amount of the following as of December 31 of the current year:

24.091	Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$	0
24.092	Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$	0
24.093	Total payable for securities lending reported on the liability page.	\$	0

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03).

Yes [X] No []

25.2	If yes, state the amount thereof at December 31 of the current year:	25.21 Subject to repurchase agreements	\$	0
		25.22 Subject to reverse repurchase agreements	\$	0
		25.23 Subject to dollar repurchase agreements	\$	0
		25.24 Subject to reverse dollar repurchase agreements	\$	0
		25.25 Placed under option agreements	\$	0
		25.26 Letter stock or securities restricted as to sale - excluding FHLB Capital Stock	\$	0
		25.27 FHLB Capital Stock	\$	0
		25.28 On deposit with states	\$	705,635
		25.29 On deposit with other regulatory bodies	\$	0
		25.30 Pledged as collateral - excluding collateral pledged to an FHLB	\$	0
		25.31 Pledged as collateral to FHLB - including assets backing funding agreements	\$	0
		25.32 Other	\$	0

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes [] No [X]

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [] N/A [X]

If no, attach a description with this statement.

LINES 26.3 through 26.5: FOR LIFE/FRATERNAL REPORTING ENTITIES ONLY:

26.3 Does the reporting entity utilize derivatives to hedge variable annuity guarantees subject to fluctuations as a result of interest rate sensitivity? Yes [] No [X]

26.4 If the response to 26.3 is YES, does the reporting entity utilize:

26.41 Special accounting provision of SSAP No. 108	Yes [] No []
26.42 Permitted accounting practice	Yes [] No []
26.43 Other accounting guidance	Yes [] No []

26.5 By responding YES to 26.41 regarding utilizing the special accounting provisions of SSAP No. 108, the reporting entity attests to the following:

- The reporting entity has obtained explicit approval from the domiciliary state.
- Hedging strategy subject to the special accounting provisions is consistent with the requirements of VM-21.
- Actuarial certification has been obtained which indicates that the hedging strategy is incorporated within the establishment of VM-21 reserves and provides the impact of the hedging strategy within the Actuarial Guideline Conditional Tail Expectation Amount.
- Financial Officer Certification has been obtained which indicates that the hedging strategy meets the definition of a Clearly Defined Hedging Strategy within VM-21 and that the Clearly Defined Hedging Strategy is the hedging strategy being used by the company in its actual day-to-day risk mitigation efforts.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes [] No [X]

27.2 If yes, state the amount thereof at December 31 of the current year. \$ 0

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
JP Morgan Chase Bank, N.A.	270 Park Avenue, New York, NY 10017

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

GENERAL INTERROGATORIES

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year?..... Yes [] No [X]

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
NYL Investors, LLC	A.....
Mackay Shields, LLC	A.....

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [] No [X]

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [] No [X]

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
169553	NYL Investors, LLC	5493000EG09W0QURS721	SEC	NO.....
107717	Mackay Shields LLC	549300Y7LLC0FU7R8H16	SEC	NO.....

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? Yes [] No [X]

29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 - Total		0

29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

GENERAL INTERROGATORIES

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1	2	3
	Statement (Admitted) Value	Fair Value	Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds	409,163,490	446,859,054	37,695,564
30.2 Preferred stocks	0	0	0
30.3 Totals	409,163,490	446,859,054	37,695,564

30.4 Describe the sources or methods utilized in determining the fair values:
Fair values are based on quoted market prices when available. When market prices are not available, fair value is generally estimated using discounted cash flow analyses, incorporating current market inputs for similar financial instruments with comparable terms and credit quality. In instances where there is little or no market activity for the same or similar instruments, the Company estimates fair value using methods, models and assumptions that the Company believes a hypothetical market participant would use to determine a current transaction price. These valuation techniques involve some level of estimation and judgement by the Company which becomes significant with increasingly complex instruments or pricing models. Where appropriate, adjustments are included to reflect the risk inherent in a particular methodology, model or input used.

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes [] No [X]

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes [] No []

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:

32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

32.2 If no, list exceptions:

33. By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI security:
a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
b. Issuer or obligor is current on all contracted interest and principal payments.
c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
Has the reporting entity self-designated 5GI securities? Yes [] No [X]

34. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
a. The security was purchased prior to January 1, 2018.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
Has the reporting entity self-designated PLGI securities? Yes [] No [X]

35. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
a. The shares were purchased prior to January 1, 2019.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
d. The fund only or predominantly holds bonds in its portfolio.
e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

36. By rolling/renewing short-term or cash equivalent investments with continued reporting on Schedule DA, Part 1 or Schedule E Part 2 (identified through a code (%) in those investment schedules), the reporting entity is certifying to the following:
a. The investment is a liquid asset that can be terminated by the reporting entity on the current maturity date.
b. If the investment is with a nonrelated party or nonaffiliate, then it reflects an arms-length transaction with renewal completed at the discretion of all involved parties.
c. If the investment is with a related party or affiliate, then the reporting entity has completed robust re-underwriting of the transaction for which documentation is available for regulator review.
d. Short-term and cash equivalent investments that have been renewed/rolled from the prior period that do not meet the criteria in 36.a - 36.c are reported as long-term investments.
Has the reporting entity rolled/renewed short-term or cash equivalent investments in accordance with these criteria? Yes [] No [] N/A [X]

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

GENERAL INTERROGATORIES

OTHER

37.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any?\$48,347

37.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid
.....

38.1 Amount of payments for legal expenses, if any?\$3,976

38.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
.....

39.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any?\$0

39.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid
.....

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

Life, Accident and Health Companies/Fraternal Benefit Societies:

1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? Yes [] No [X]

1.2 If yes, indicate premium earned on U.S. business only\$0

1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit?\$0

1.31 Reason for excluding:
.....

1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above.\$0

1.5 Indicate total incurred claims on all Medicare Supplement insurance.\$0

1.6 Individual policies:

Most current three years:

1.61 Total premium earned\$0

1.62 Total incurred claims\$0

1.63 Number of covered lives0

All years prior to most current three years

1.64 Total premium earned\$0

1.65 Total incurred claims\$0

1.66 Number of covered lives0

1.7 Group policies:

Most current three years:

1.71 Total premium earned\$0

1.72 Total incurred claims\$0

1.73 Number of covered lives0

All years prior to most current three years

1.74 Total premium earned\$0

1.75 Total incurred claims\$0

1.76 Number of covered lives0

2. Health Test:

	1 Current Year	2 Prior Year
2.1 Premium Numerator	0	0
2.2 Premium Denominator	233,338,872	217,092,087
2.3 Premium Ratio (2.1/2.2)	0.000	0.000
2.4 Reserve Numerator	18,506,475	17,127,775
2.5 Reserve Denominator	306,652,517	275,998,858
2.6 Reserve Ratio (2.4/2.5)	0.060	0.062

3.1 Does this reporting entity have Separate Accounts? Yes [] No [X]

3.2 If yes, has a Separate Accounts Statement been filed with this Department? Yes [] No [] N/A []

3.3 What portion of capital and surplus funds of the reporting entity covered by assets in the Separate Accounts statement, is not currently distributable from the Separate Accounts to the general account for use by the general account?\$0

3.4 State the authority under which Separate Accounts are maintained:
.....

3.5 Was any of the reporting entity's Separate Accounts business reinsured as of December 31? Yes [] No [X]

3.6 Has the reporting entity assumed by reinsurance any Separate Accounts business as of December 31? Yes [] No [X]

3.7 If the reporting entity has assumed Separate Accounts business, how much, if any, reinsurance assumed receivable for reinsurance of Separate Accounts reserve expense allowances is included as a negative amount in the liability for "Transfers to Separate Accounts due or accrued (net)"?\$0

4. For reporting entities having sold annuities to another insurer where the insurer purchasing the annuities has obtained a release of liability from the claimant (payee) as the result of the purchase of an annuity from the reporting entity only:

4.1 Amount of loss reserves established by these annuities during the current year:\$0

4.2 List the name and location of the insurance company purchasing the annuities and the statement value on the purchase date of the annuities.

1	2
P&C Insurance Company And Location	Statement Value on Purchase Date of Annuities (i.e., Present Value)

21

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

- 5.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 5.2 If yes, please provide the amount of custodial funds held as of the reporting date.\$0
- 5.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 5.4 If yes, please provide the balance of funds administered as of the reporting date.\$0
- 6.1 Are any of the captive affiliates reported on Schedule S, Part 3, authorized reinsurers? Yes [] No [X] N/A []
- 6.2 If the answer to 6.1 is yes, please provide the following:

1 Company Name	2 NAIC Company Code	3 Domiciliary Jurisdiction	4 Reserve Credit	Assets Supporting Reserve Credit		
				5 Letters of Credit	6 Trust Agreements	7 Other

7. Provide the following for individual ordinary life insurance* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded):
- 7.1 Direct Premium Written\$3,838
- 7.2 Total Incurred Claims\$848
- 7.3 Number of Covered Lives26

*Ordinary Life Insurance Includes
Term (whether full underwriting,limited underwriting,jet issue,"short form app")
Whole Life (whether full underwriting,limited underwriting,jet issue,"short form app")
Variable Life (with or without secondary gurantee)
Universal Life (with or without secondary gurantee)
Variable Universal Life (with or without secondary gurantee)

8. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []
- 8.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

Life, Accident and Health Companies Only:

- 9.1 Are personnel or facilities of this reporting entity used by another entity or entities or are personnel or facilities of another entity or entities used by this reporting entity (except for activities such as administration of jointly underwritten group contracts and joint mortality or morbidity studies)? Yes [X] No []
- 9.2 Net reimbursement of such expenses between reporting entities:

9.21 Paid\$22,307,040

9.22 Received\$503,179
- 10.1 Does the reporting entity write any guaranteed interest contracts? Yes [] No [X]
- 10.2 If yes, what amount pertaining to these lines is included in:

10.21 Page 3, Line 1\$0

10.22 Page 4, Line 1\$0
11. For stock reporting entities only:
- 11.1 Total amount paid in by stockholders as surplus funds since organization of the reporting entity:\$5,250,165
12. Total dividends paid stockholders since organization of the reporting entity:

12.11 Cash\$370,017,723

12.12 Stock\$0
- 13.1 Does the reporting entity reinsure any Workers' Compensation Carve-Out business defined as: Yes [] No [X]
Reinsurance (including retrocessional reinsurance) assumed by life and health insurers of medical, wage loss and death
benefits of the occupational illness and accident exposures, but not the employers liability exposures, of business originally
written as workers' compensation insurance.
- 13.2 If yes, has the reporting entity completed the Workers' Compensation Carve-Out Supplement to the Annual Statement? Yes [] No []
- 13.3 If 13.1 is yes, the amounts of earned premiums and claims incurred in this statement are:

	1 Reinsurance Assumed	2 Reinsurance Ceded	3 Net Retained
13.31 Earned premium000
13.32 Paid claims000
13.33 Claim liability and reserve (beginning of year)000
13.34 Claim liability and reserve (end of year)000
13.35 Incurred claims000

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

13.4 If reinsurance assumed included amounts with attachment points below \$1,000,000, the distribution of the amounts reported in Lines 13.31 and 13.34 for Column (1) are:

	Attachment Point	1 Earned Premium	2 Claim Liability and Reserve
13.41	<\$25,00000
13.42	\$25,000 - 99,99900
13.43	\$100,000 - 249,99900
13.44	\$250,000 - 999,99900
13.45	\$1,000,000 or more00

13.5 What portion of earned premium reported in 13.31, Column 1 was assumed from pools?\$0

Fraternal Benefit Societies Only:

14. Is the reporting entity organized and conducted on the lodge system, with ritualistic form of work and representative form of government? Yes [] No []

15. How often are meetings of the subordinate branches required to be held?
.....

16. How are the subordinate branches represented in the supreme or governing body?
.....

17. What is the basis of representation in the governing body?
.....

18.1 How often are regular meetings of the governing body held?
.....

18.2 When was the last regular meeting of the governing body held?

18.3 When and where will the next regular or special meeting of the governing body be held?
.....

18.4 How many members of the governing body attended the last regular meeting? 0

18.5 How many of the same were delegates of the subordinate branches? 0

19. How are the expenses of the governing body defrayed?
.....

20. When and by whom are the officers and directors elected?
.....

21. What are the qualifications for membership?
.....

22. What are the limiting ages for admission?
.....

23. What is the minimum and maximum insurance that may be issued on any one life?
.....

24. Is a medical examination required before issuing a benefit certificate to applicants? Yes [] No []

25. Are applicants admitted to membership without filing an application with and becoming a member of a local branch by ballot and initiation? Yes [] No []

26.1 Are notices of the payments required sent to the members? Yes [] No [] N/A []

26.2 If yes, do the notices state the purpose for which the money is to be used? Yes [] No []

27. What proportion of first and subsequent year's payments may be used for management expenses?
27.11 First Year 0.0 %
27.12 Subsequent Years 0.0 %

28.1 Is any part of the mortuary, disability, emergency or reserve fund, or the accretions from or payments for the same, used for expenses? Yes [] No []

28.2 If so, what amount and for what purpose?\$0

29.1 Does the reporting entity pay an old age disability benefit? Yes [] No []

29.2 If yes, at what age does the benefit commence? 0

30.1 Has the constitution or have the laws of the reporting entity been amended during the year? Yes [] No []

30.2 If yes, when?
.....

31. Have you filed with this Department all forms of benefit certificates issued, a copy of the constitution and all of the laws, rules and regulations in force at the present time? Yes [] No []

32.1 State whether all or a portion of the regular insurance contributions were waived during the current year under premium-paying certificates on account of meeting attained age or membership requirements? Yes [] No []

32.2 If so, was an additional reserve included in Exhibit 5? Yes [] No [] N/A []

32.3 If yes, explain
.....

33.1 Has the reporting entity reinsured, amalgamated with, or absorbed any company, order, society, or association during the year? Yes [] No []

33.2 If yes, was there any contract agreement, or understanding, written or oral, expressed or implied, by means of which any officer, director, trustee, or any other person, or firm, corporation, society or association, received or is to receive any fee, commission, emolument, or compensation of any nature whatsoever in connection with, on an account of such reinsurance, amalgamation, absorption, or transfer of membership or funds? Yes [] No [] N/A []

34. Has any present or former officer, director, trustee, incorporator, or any other persons, or any firm, corporation, society or association, any claims of any nature whatsoever against this reporting entity, which is not included in the liabilities on Page 3 of this statement? Yes [] No []

35.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [] No []

35.2 If yes, what is the date of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

FIVE-YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.
\$000 omitted for amounts of life insurance

	1 2020	2 2019	3 2018	4 2017	5 2016
Life Insurance in Force (Exhibit of Life Insurance)					
1. Ordinary - whole life and endowment (Line 34, Col. 4)	331	331	332	348	368
2. Ordinary - term (Line 21, Col. 4, less Line 34, Col. 4)	0	0	0	0	0
3. Credit life (Line 21, Col. 6)	0	0	0	0	0
4. Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4)	35,138,250	33,528,800	32,640,188	31,049,200	30,891,187
5. Industrial (Line 21, Col. 2)	0	0	0	0	0
6. FEGLI/SGLI (Lines 43 & 44, Col. 4)	0	0	0	0	0
7. Total (Line 21, Col. 10)	35,138,581	33,529,131	32,640,520	31,049,548	30,891,555
7.1 Total in force for which VM-20 deterministic/stochastic reserves are calculated	0	0	0	0	XXX
New Business Issued (Exhibit of Life Insurance)					
8. Ordinary - whole life and endowment (Line 34, Col. 2)	0	10	0	0	5
9. Ordinary - term (Line 2, Col. 4, less Line 34, Col. 2)	0	0	0	0	0
10. Credit life (Line 2, Col. 6)	0	0	0	0	0
11. Group (Line 2, Col. 9)	2,685,970	3,035,153	2,213,405	5,745,606	2,941,784
12. Industrial (Line 2, Col. 2)	0	0	0	0	0
13. Total (Line 2, Col. 10)	2,685,970	3,035,163	2,213,405	5,745,606	2,941,789
Premium Income - Lines of Business (Exhibit 1 - Part 1)					
14. Industrial life (Line 20.4, Col. 2)	0	0	0	0	0
15.1 Ordinary-life insurance (Line 20.4, Col. 3)	0	0	0	0	0
15.2 Ordinary-individual annuities (Line 20.4, Col. 4)	0	0	0	0	0
16. Credit life (group and individual) (Line 20.4, Col. 5)	0	0	0	0	0
17.1 Group life insurance (Line 20.4, Col. 6)	60,344,603	61,695,611	57,082,589	57,220,237	53,277,279
17.2 Group annuities (Line 20.4, Col. 7)	0	0	0	0	0
18.1 A & H-group (Line 20.4, Col. 8)	172,721,603	155,108,632	144,634,569	121,153,551	110,765,106
18.2 A & H-credit (group and individual) (Line 20.4, Col. 9)	0	0	0	0	0
18.3 A & H-other (Line 20.4, Col. 10)	272,666	287,844	305,968	321,526	330,462
19. Aggregate of all other lines of business (Line 20.4, Col. 11)	0	0	0	0	0
20. Total	233,338,872	217,092,087	202,023,126	178,695,314	164,372,847
Balance Sheet (Pages 2 & 3)					
21. Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3)	461,540,016	424,415,533	408,697,562	403,710,539	383,435,488
22. Total liabilities excluding Separate Accounts business (Page 3, Line 26)	362,799,563	319,535,766	299,912,699	294,723,476	291,412,577
23. Aggregate life reserves (Page 3, Line 1)	18,972,985	17,727,222	17,379,753	14,999,062	15,512,340
23.1 Excess VM-20 deterministic/stochastic reserve over NPR related to Line 7.1	0	0	0	0	XXX
24. Aggregate A & H reserves (Page 3, Line 2)	252,660,172	230,179,903	219,657,169	224,725,823	220,021,838
25. Deposit-type contract funds (Page 3, Line 3)	18,589,163	14,960,653	14,478,475	17,130,614	17,480,648
26. Asset valuation reserve (Page 3, Line 24.01)	3,332,443	3,462,407	4,463,571	4,428,968	3,942,574
27. Capital (Page 3, Lines 29 and 30)	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000
28. Surplus (Page 3, Line 37)	97,640,453	103,779,767	107,684,863	107,887,063	90,922,911
Cash Flow (Page 5)					
29. Net Cash from Operations (Line 11)	11,282,325	26,219,640	14,802,214	25,966,308	26,510,652
Risk-Based Capital Analysis					
30. Total adjusted capital	102,072,896	108,342,174	113,248,434	113,416,031	95,965,485
31. Authorized control level risk - based capital	23,979,105	22,729,976	22,287,631	19,955,284	19,270,685
Percentage Distribution of Cash, Cash Equivalents and Invested Assets (Page 2, Col. 3) (Line No. /Page 2, Line 12, Col. 3) x 100.0					
32. Bonds (Line 1)	97.3	88.1	97.7	97.7	94.9
33. Stocks (Lines 2.1 and 2.2)	0.0	0.0	0.0	0.0	0.0
34. Mortgage loans on real estate(Lines 3.1 and 3.2)	0.0	0.0	0.0	0.0	0.0
35. Real estate (Lines 4.1, 4.2 and 4.3)	0.0	0.0	0.0	0.0	0.0
36. Cash, cash equivalents and short-term investments (Line 5)	2.7	11.9	2.2	2.2	5.1
37. Contract loans (Line 6)	0.0	0.0	0.0	0.0	0.0
38. Derivatives (Page 2, Line 7)	0.0	0.0	0.0	0.0	0.0
39. Other invested assets (Line 8)	0.0	0.0	0.0	0.0	0.0
40. Receivables for securities (Line 9)	0.0	0.0	0.0	0.0	0.0
41. Securities lending reinvested collateral assets (Line 10)	0.0	0.0	0.0	0.0	0.0
42. Aggregate write-ins for invested assets (Line 11)	0.0	0.0	0.0	0.0	0.0
43. Cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

FIVE-YEAR HISTORICAL DATA

(Continued)					
	1 2020	2 2019	3 2018	4 2017	5 2016
Investments in Parent, Subsidiaries and Affiliates					
44. Affiliated bonds (Schedule D Summary, Line 12, Col. 1)	0	0	0	0	0
45. Affiliated preferred stocks (Schedule D Summary, Line 18, Col. 1)	0	0	0	0	0
46. Affiliated common stocks (Schedule D Summary Line 24, Col. 1),	0	0	0	0	0
47. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10)	0	0	0	0	0
48. Affiliated mortgage loans on real estate	0	0	0	0	0
49. All other affiliated	0	0	0	0	0
50. Total of above Lines 44 to 49	0	0	0	0	0
51. Total Investment in Parent included in Lines 44 to 49 above	0	0	0	0	0
Total Nonadmitted and Admitted Assets					
52. Total nonadmitted assets (Page 2, Line 28, Col. 2)	36,761,601	10,540,497	7,688,736	1,589,987	2,754,351
53. Total admitted assets (Page 2, Line 28, Col. 3)	461,540,016	424,415,533	408,697,562	403,710,539	383,435,488
Investment Data					
54. Net investment income (Exhibit of Net Investment Income)	14,882,174	17,015,497	17,261,410	17,397,222	17,563,256
55. Realized capital gains (losses) (Page 4, Line 34, Column 1)	(522,498)	760,634	(101,202)	(63,081)	(179,831)
56. Unrealized capital gains (losses) (Page 4, Line 38, Column 1)	0	0	0	0	0
57. Total of above Lines 54, 55 and 56	14,359,676	17,776,131	17,160,208	17,334,141	17,383,425
Benefits and Reserve Increases (Page 6)					
58. Total contract/certificate benefits - life (Lines 10, 11, 12, 13, 14 and 15, Col. 1 minus Lines 10, 11,12, 13, 14 and 15, Cols. 6, 7 and 8)	57,807,471	43,853,605	39,865,278	41,657,993	38,546,827
59. Total contract/certificate benefits - A & H (Lines 13 & 14, Col. 6)	143,747,292	124,666,355	110,855,474	82,096,919	79,248,164
60. Increase in life reserves - other than group and annuities (Line 19, Col. 2)	(9,005)	(2,486)	(4,475)	0	0
61. Increase in A & H reserves (Line 19, Col. 6)	22,480,266	10,522,734	1,282,100	4,703,984	18,798,889
62. Dividends to policyholders and refunds to members (Line 30, Col. 1)	0	0	0	0	0
Operating Percentages					
63. Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23, less Line 6)/(Page 6, Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.0	13.3	15.1	16.2	16.6	19.4
64. Lapse percent (ordinary only) [(Exhibit of Life Insurance, Col. 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Col. 4, Lines 1 & 21)] x 100.0	0.0	0.0	0.0	0.0	0.0
65. A & H loss percent (Schedule H, Part 1, Lines 5 and 6, Col. 2)	98.8	91.1	77.7	76.2	93.1
66. A & H cost containment percent (Schedule H, Pt. 1, Line 4, Col. 2)	2.7	4.2	4.6	4.7	4.9
67. A & H expense percent excluding cost containment expenses (Schedule H, Pt. 1, Line 10, Col. 2)	13.7	13.6	12.9	14.3	16.7
A & H Claim Reserve Adequacy					
68. Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1 Col. 2)	276,839,763	245,690,251	223,672,931	234,143,761	226,098,322
69. Prior years' claim liability and reserve - group health (Schedule H, Part 3, Line 3.2 Col. 2)	245,109,185	232,246,455	232,701,240	228,101,460	209,592,154
70. Incurred losses on prior years' claims-health other than group (Schedule H, Part 3, Line 3.1 Col. 1 less Col. 2)	207,891	216,865	243,471	278,779	301,474
71. Prior years' claim liability and reserve-health other than group (Schedule H, Part 3, Line 3.2 Col. 1 less Col. 2)	252,133	269,955	309,203	331,207	359,623
Net Gains From Operations After Dividends to Policyholders/Members' Refunds and Federal Income Taxes by Lines of Business (Page 6.x, Line 33)					
72. Industrial life (Page 6.1, Col. 2)	0	0	0	0	0
73. Ordinary - life (Page 6.1, Col. 1 less Cols. 2, 10 and 12)	9,369	1,770	3,238	0	0
74. Ordinary - individual annuities (Page 6, Col. 4)	0	0	0	0	0
75. Ordinary-supplementary contracts	XXX	XXX	0	0	0
76. Credit life (Page 6.1, Col. 10 plus Page 6.2, Col. 7)	0	0	0	0	0
77. Group life (Page 6.2, Col. 1 Less Cols. 7 and 9)	(6,138,009)	6,670,849	2,058,774	5,298,168	1,635,536
78. Group annuities (Page 6, Col. 5)	0	0	0	0	808,600
79. A & H-group (Page 6.5, Col. 3)	0	0	15,692,348	17,569,638	2,898,764
80. A & H-credit (Page 6.5, Col. 10)	0	0	0	0	0
81. A & H-other (Page 6.5, Col. 1 less Cols. 3 and 10)	(734,803)	7,672,158	196,485	137,706	(24,982)
82. Aggregate of all other lines of business (Page 6, Col. 8)	0	0	0	0	0
83. Fraternal (Page 6, Col. 7)	0	0	0	0	0
84. Total (Page 6, Col. 1)	(6,863,442)	14,344,777	17,950,844	23,005,512	5,317,918

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [] No []

If no, please explain:

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

EXHIBIT OF LIFE INSURANCE

(\$000 Omitted for Amounts of Life Insurance)

	Industrial		Ordinary		Credit Life (Group and Individual)		Group			10 Total Amount of Insurance
	1	2	3	4	5	6	Number of		9	
	Number of Policies	Amount of Insurance	Number of Policies	Amount of Insurance	Number of Individual Policies and Group Certificates	Amount of Insurance	7 Policies	8 Certificates	Amount of Insurance	
1. In force end of prior year	0	0	26	331	(20)	0	556	276,693	33,528,800	33,529,131
2. Issued during year	0	0	0	0	0	0	49	21,183	2,685,970	2,685,970
3. Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
4. Revived during year	0	0	0	0	0	0	0	0	0	0
5. Increased during year (net)	0	0	0	0	20	0	0	11,702	3,959,239	3,959,239
6. Subtotals, Lines 2 to 5	0	0	0	0	20	0	49	32,885	6,645,209	6,645,209
7. Additions by dividends during year	XXX	0	XXX	0	XXX	0	XXX	XXX	0	0
8. Aggregate write-ins for increases	0	0	0	0	0	0	0	0	0	0
9. Totals (Lines 1 and 6 to 8)	0	0	26	331	0	0	605	309,578	40,174,009	40,174,340
Deductions during year:										
10. Death	0	0	0	0	0	0	XXX	695	47,615	47,615
11. Maturity	0	0	0	0	0	0	XXX	0	0	0
12. Disability	0	0	0	0	0	0	XXX	0	0	0
13. Expiry	0	0	0	0	0	0	0	0	0	0
14. Surrender	0	0	0	0	0	0	0	0	0	0
15. Lapse	0	0	0	0	0	0	130	42,289	4,988,144	4,988,144
16. Conversion	0	0	0	0	0	0	XXX	XXX	XXX	0
17. Decreased (net)	0	0	0	0	0	0	0	0	0	0
18. Reinsurance	0	0	0	0	0	0	0	0	0	0
19. Aggregate write-ins for decreases	0	0	0	0	0	0	0	0	0	0
20. Totals (Lines 10 to 19)	0	0	0	0	0	0	130	42,984	5,035,759	5,035,759
21. In force end of year (b) (Line 9 minus Line 20)	0	0	26	331	0	0	475	266,594	35,138,250	35,138,581
22. Reinsurance ceded end of year	XXX	0	XXX	331	XXX	0	XXX	XXX	794,124	794,455
23. Line 21 minus Line 22	XXX	0	XXX	0	XXX	(a)	XXX	XXX	34,344,126	34,344,126
DETAILS OF WRITE-INS										
0801.										
0802.										
0803.										
0898. Summary of remaining write-ins for Line 8 from overflow page.	0	0	0	0	0	0	0	0	0	0
0899. TOTALS (Lines 0801 thru 0803 plus 0898) (Line 8 above)	0	0	0	0	0	0	0	0	0	0
1901.										
1902.										
1903.										
1998. Summary of remaining write-ins for Line 19 from overflow page.	0	0	0	0	0	0	0	0	0	0
1999. TOTALS (Lines 1901 thru 1903 plus 1998) (Line 19 above)	0	0	0	0	0	0	0	0	0	0

Life, Accident and Health Companies Only:
(a) Group \$0 ; Individual \$0

Fraternal Benefit Societies Only:
(b) Paid-up insurance included in the final totals of Line 21 (including additions to certificates) number of certificates0 , Amount \$0

Additional accidental death benefits included in life certificates were in amount \$0 , Does the society collect any contributions from members for general expenses of the society under fully paid-up certificates? Yes [] No []

If not, how are such expenses met?
.....

EXHIBIT OF LIFE INSURANCE

(\$000 Omitted for Amounts of Life Insurance) (Continued)
ADDITIONAL INFORMATION ON INSURANCE IN FORCE END OF YEAR

	Industrial		Ordinary	
	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance
24. Additions by dividends	XXX		XXX	
25. Other paid-up insurance				
26. Debit ordinary insurance	XXX	XXX		

ADDITIONAL INFORMATION ON ORDINARY INSURANCE

Term Insurance Excluding Extended Term Insurance	Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance
27. Term policies - decreasing	0	0	0	0
28. Term policies - other	0	0	0	0
29. Other term insurance - decreasing	XXX	0	XXX	0
30. Other term insurance	XXX	0	XXX	0
31. Totals (Lines 27 to 30)	0	0	0	0
Reconciliation to Lines 2 and 21:				
32. Term additions	XXX	0	XXX	0
33. Totals, extended term insurance	XXX	XXX	0	0
34. Totals, whole life and endowment	0	0	26	331
35. Totals (Lines 31 to 34)	0	0	26	331

CLASSIFICATION OF AMOUNT OF INSURANCE BY PARTICIPATING STATUS

	Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	1 Non-Participating	2 Participating	3 Non-Participating	4 Participating
36. Industrial	0	0	0	0
37. Ordinary	0	0	331	0
38. Credit Life (Group and Individual)	0	0	0	0
39. Group	2,685,970	0	35,138,250	0
40. Totals (Lines 36 to 39)	2,685,970	0	35,138,581	0

ADDITIONAL INFORMATION ON CREDIT LIFE AND GROUP INSURANCE

	Credit Life		Group	
	1 Number of Individual Policies or Group Certificates	2 Amount of Insurance	3 Number of Certificates	4 Amount of Insurance
41. Amount of insurance included in Line 2 ceded to other companies	XXX		XXX	
42. Number in force end of year if the number under insured groups is limited on a pro-rata basis				XXX
43. Federal Employees' Group Life Insurance included in Line 21				
44. Servicemen's Group Life Insurance included in Line 21				
45. Group Permanent Insurance included in Line 21				

ADDITIONAL ACCIDENTAL DEATH BENEFITS

46. Amount of additional accidental death benefits included in Line 21 under ordinary policies	
--	--

BASIS OF CALCULATION OF ORDINARY TERM INSURANCE

47. State basis of calculation of (47.1) decreasing term insurance contained in Family Income Mortgage Protection, etc., policies and riders and of (47.2) term insurance on wife and children under Family, Parent and Child, etc., policies and riders	
47.1	
47.2	

POLICIES WITH DISABILITY PROVISIONS

	Industrial		Ordinary		Credit		Group	
	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance	5 Number of Policies	6 Amount of Insurance	7 Number of Certificates	8 Amount of Insurance
48. Waiver of Premium								
49. Disability Income								
50. Extended Benefits			XXX	XXX				
51. Other								
52. Total	(a)		(a)		(a)			(a)

(a) See the Annual Audited Financial Reports section of the annual statement instructions

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES

SUPPLEMENTARY CONTRACTS				
	Ordinary		Group	
	1 Involving Life Contingencies	2 Not Involving Life Contingencies	3 Involving Life Contingencies	4 Not Involving Life Contingencies
1. In force end of prior year				
2. Issued during year				
3. Reinsurance assumed				
4. Increased during year (net)				
5. Total (Lines 1 to 4)				
Deductions during year:				
6. Decreased (net)				
7. Reinsurance ceded				
8. Totals (Lines 6 and 7)				
9. In force end of year (line 5 minus line 8)				
10. Amount on deposit		(a)		(a)
11. Income now payable				
12. Amount of income payable	(a)	(a)	(a)	(a)

NONE

ANNUITIES				
	Ordinary		Group	
	1 Immediate	2 Deferred	3 Contracts	4 Certificates
1. In force end of prior year	180	0	0	0
2. Issued during year	0	0	0	0
3. Reinsurance assumed	0	0	0	0
4. Increased during year (net)	0	0	0	0
5. Totals (Lines 1 to 4)	180	0	0	0
Deductions during year:				
6. Decreased (net)	2	0	0	0
7. Reinsurance ceded	0	0	0	0
8. Totals (Lines 6 and 7)	2	0	0	0
9. In force end of year (line 5 minus line 8)	178	0	0	0
Income now payable:				
10. Amount of income payable	(a) 11,628,268	XXX	XXX	(a) 0
Deferred fully paid:				
11. Account balance	XXX	(a) 0	XXX	(a) 0
Deferred not fully paid:				
12. Account balance	XXX	(a) 0	XXX	(a) 0

ACCIDENT AND HEALTH INSURANCE						
	Group		Credit		Other	
	1 Certificates	2 Premiums in Force	3 Policies	4 Premiums in Force	5 Policies	6 Premiums in Force
1. In force end of prior year	3,012	153,281,188	0	0	421	290,740
2. Issued during year	465	22,974,974	0	0	41	0
3. Reinsurance assumed	0	0	0	0	0	0
4. Increased during year (net)	0	XXX	0	XXX	0	XXX
5. Totals (Lines 1 to 4)	3,477	XXX	0	XXX	462	XXX
Deductions during year:						
6. Conversions	0	XXX	XXX	XXX	XXX	XXX
7. Decreased (net)	436	XXX	0	XXX	85	XXX
8. Reinsurance ceded	69	XXX	0	XXX	0	XXX
9. Totals (Lines 6 to 8)	505	XXX	0	XXX	85	XXX
10. In force end of year (line 5 minus line 9)	2,972	(a) 0	0	(a) 0	377	(a) 0

DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS		
	1	2
	Deposit Funds Contracts	Dividend Accumulations Contracts
1. In force end of prior year		
2. Issued during year		
3. Reinsurance assumed		
4. Increased during year (net)		
5. Totals (Lines 1 to 4)		
Deductions During Year:		
6. Decreased (net)		
7. Reinsurance ceded		
8. Totals (Lines 6 and 7)		
9. In force end of year (line 5 minus line 8)		
10. Amount of account balance	(a)	(a)

NONE

(a) See the Annual Audited Financial Reports section of the annual statement instructions.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE

INTEREST MAINTENANCE RESERVE		1 Amount
1. Reserve as of December 31, Prior Year		1,382,073
2. Current year's realized pre-tax capital gains/(losses) of \$1,204,572 transferred into the reserve net of taxes of \$252,960		951,613
3. Adjustment for current year's liability gains/(losses) released from the reserve		0
4. Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3)		2,333,686
5. Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4)		332,403
6. Reserve as of December 31, current year (Line 4 minus Line 5)		2,001,283

AMORTIZATION				
Year of Amortization	1 Reserve as of December 31, Prior Year	2 Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	3 Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	4 Balance Before Reduction for Current Year's Amortization (Cols. 1 + 2 + 3)
1. 2020	216,343	116,060	0	332,403
2. 2021	175,041	150,948	0	325,989
3. 2022	122,908	91,846	0	214,754
4. 2023	103,750	87,774	0	191,524
5. 2024	106,226	82,943	0	189,169
6. 2025	106,211	78,333	0	184,544
7. 2026	108,143	72,086	0	180,229
8. 2027	97,970	63,112	0	161,082
9. 2028	91,925	53,752	0	145,677
10. 2029	77,671	44,351	0	122,022
11. 2030	62,977	34,588	0	97,565
12. 2031	51,633	26,760	0	78,393
13. 2032	37,688	21,271	0	58,959
14. 2033	22,470	15,438	0	37,908
15. 2034	6,399	9,263	0	15,662
16. 2035	(1,560)	3,088	0	1,528
17. 2036	(1,366)	0	0	(1,366)
18. 2037	(1,119)	0	0	(1,119)
19. 2038	(905)	0	0	(905)
20. 2039	(667)	0	0	(667)
21. 2040	(496)	0	0	(496)
22. 2041	(250)	0	0	(250)
23. 2042	(53)	0	0	(53)
24. 2043	167	0	0	167
25. 2044	272	0	0	272
26. 2045	231	0	0	231
27. 2046	190	0	0	190
28. 2047	143	0	0	143
29. 2048	94	0	0	94
30. 2049	37	0	0	37
31. 2050 and Later	0	0	0	0
32. Total (Lines 1 to 31)	1,382,073	951,613	0	2,333,686

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

ASSET VALUATION RESERVE

	Default Component			Equity Component			7 Total Amount (Cols. 3 + 6)
	1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1 + 2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4 + 5)	
1. Reserve as of December 31, prior year	3,462,407	0	3,462,407	0	0	0	3,462,407
2. Realized capital gains/(losses) net of taxes - General Account	(482,973)	0	(482,973)	0	0	0	(482,973)
3. Realized capital gains/(losses) net of taxes - Separate Accounts	0	0	0	0	0	0	0
4. Unrealized capital gains/(losses) net of deferred taxes - General Account	0	0	0	0	0	0	0
5. Unrealized capital gains/(losses) net of deferred taxes - Separate Accounts	0	0	0	0	0	0	0
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves	0	0	0	0	0	0	0
7. Basic contribution	677,320	0	677,320	0	0	0	677,320
8. Accumulated balances (Lines 1 through 5 - 6 + 7)	3,656,754	0	3,656,754	0	0	0	3,656,754
9. Maximum reserve	3,411,550	0	3,411,550	0	0	0	3,411,550
10. Reserve objective	2,035,198	0	2,035,198	0	0	0	2,035,198
11. 20% of (Line 10 - Line 8)	(324,311)	0	(324,311)	0	0	0	(324,311)
12. Balance before transfers (Lines 8 + 11)	3,332,443	0	3,332,443	0	0	0	3,332,443
13. Transfers	0	0	0	0	0	0	0
14. Voluntary contribution	0	0	0	0	0	0	0
15. Adjustment down to maximum/up to zero	0	0	0	0	0	0	0
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	3,332,443	0	3,332,443	0	0	0	3,332,443

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
LONG-TERM BONDS												
1.		Exempt Obligations	705,635	XXX	XXX	705,635	0.0000	0	0.0000	0	0.0000	0
2.1	1	NAIC Designation Category 1.A	8,921,786	XXX	XXX	8,921,786	0.0005	4,461	0.0016	14,275	0.0033	29,442
2.2	1	NAIC Designation Category 1.B	10,522,708	XXX	XXX	10,522,708	0.0005	5,261	0.0016	16,836	0.0033	34,725
2.3	1	NAIC Designation Category 1.C	8,304,256	XXX	XXX	8,304,256	0.0005	4,152	0.0016	13,287	0.0033	27,404
2.4	1	NAIC Designation Category 1.D	6,477,106	XXX	XXX	6,477,106	0.0005	3,239	0.0016	10,363	0.0033	21,374
2.5	1	NAIC Designation Category 1.E	26,034,371	XXX	XXX	26,034,371	0.0005	13,017	0.0016	41,655	0.0033	85,913
2.6	1	NAIC Designation Category 1.F	32,475,112	XXX	XXX	32,475,112	0.0005	16,238	0.0016	51,960	0.0033	107,168
2.7	1	NAIC Designation Category 1.G	41,903,474	XXX	XXX	41,903,474	0.0005	20,952	0.0016	67,046	0.0033	138,281
2.8		Subtotal NAIC 1 (2.1+2.2+2.3+2.4+2.5+2.6+2.7)	134,638,813	XXX	XXX	134,638,813	XXX	67,319	XXX	215,422	XXX	444,308
3.1	2	NAIC Designation Category 2.A	99,856,889	XXX	XXX	99,856,889	0.0021	209,699	0.0064	639,084	0.0106	1,058,483
3.2	2	NAIC Designation Category 2.B	116,478,375	XXX	XXX	116,478,375	0.0021	244,605	0.0064	745,462	0.0106	1,234,671
3.3	2	NAIC Designation Category 2.C	35,811,370	XXX	XXX	35,811,370	0.0021	75,204	0.0064	229,193	0.0106	379,601
3.4		Subtotal NAIC 2 (3.1+3.2+3.3)	252,146,634	XXX	XXX	252,146,634	XXX	529,508	XXX	1,613,738	XXX	2,672,754
4.1	3	NAIC Designation Category 3.A	3,728,230	XXX	XXX	3,728,230	0.0099	36,909	0.0263	98,052	0.0376	140,181
4.2	3	NAIC Designation Category 3.B	500,000	XXX	XXX	500,000	0.0099	4,950	0.0263	13,150	0.0376	18,800
4.3	3	NAIC Designation Category 3.C	1,455,545	XXX	XXX	1,455,545	0.0099	14,410	0.0263	38,281	0.0376	54,728
4.4		Subtotal NAIC 3 (4.1+4.2+4.3)	5,683,775	XXX	XXX	5,683,775	XXX	56,269	XXX	149,483	XXX	213,710
5.1	4	NAIC Designation Category 4.A	988,713	XXX	XXX	988,713	0.0245	24,223	0.0572	56,554	0.0817	80,778
5.2	4	NAIC Designation Category 4.B	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
5.3	4	NAIC Designation Category 4.C	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
5.4		Subtotal NAIC 4 (5.1+5.2+5.3)	988,713	XXX	XXX	988,713	XXX	24,223	XXX	56,554	XXX	80,778
6.1	5	NAIC Designation Category 5.A	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
6.2	5	NAIC Designation Category 5.B	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
6.3	5	NAIC Designation Category 5.C	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
6.4		Subtotal NAIC 5 (6.1+6.2+6.3)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
7.	6	NAIC 6	0	XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
8.		Total Unrated Multi-class Securities Acquired by Conversion	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
9.		Total Long-Term Bonds (1+2.8+3.4+4.4+5.4+6.4+7+8)	394,163,570	XXX	XXX	394,163,570	XXX	677,320	XXX	2,035,198	XXX	3,411,550
PREFERRED STOCKS												
10.	1	Highest Quality	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
11.	2	High Quality	0	XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
12.	3	Medium Quality	0	XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
13.	4	Low Quality	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
14.	5	Lower Quality	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
15.	6	In or Near Default	0	XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
16.		Affiliated Life with AVR	0	XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
17.		Total Preferred Stocks (Sum of Lines 10 through 16)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
SHORT-TERM BONDS												
18.		Exempt Obligations	0	XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
19.1	1	NAIC Designation Category 1.A	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.2	1	NAIC Designation Category 1.B	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.3	1	NAIC Designation Category 1.C	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.4	1	NAIC Designation Category 1.D	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.5	1	NAIC Designation Category 1.E	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.6	1	NAIC Designation Category 1.F	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.7	1	NAIC Designation Category 1.G	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
19.8		Subtotal NAIC 1 (19.1+19.2+19.3+19.4+19.5+19.6+19.7)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
20.1	2	NAIC Designation Category 2.A	0	XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
20.2	2	NAIC Designation Category 2.B	0	XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
20.3	2	NAIC Designation Category 2.C	0	XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
20.4		Subtotal NAIC 2 (20.1+20.2+20.3)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
21.1	3	NAIC Designation Category 3.A	0	XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
21.2	3	NAIC Designation Category 3.B	0	XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
21.3	3	NAIC Designation Category 3.C	0	XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
21.4		Subtotal NAIC 3 (21.1+21.2+21.3)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
22.1	4	NAIC Designation Category 4.A	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
22.2	4	NAIC Designation Category 4.B	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
22.3	4	NAIC Designation Category 4.C	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
22.4		Subtotal NAIC 4 (22.1+22.2+22.3)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
23.1	5	NAIC Designation Category 5.A	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
23.2	5	NAIC Designation Category 5.B	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
23.3	5	NAIC Designation Category 5.C	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
23.4		Subtotal NAIC 5 (23.1+23.2+23.3)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
24.	6	NAIC 6	0	XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
25.		Total Short-Term Bonds (18+19.8+20.4+21.4+22.4+23.4+24)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
DERIVATIVE INSTRUMENTS												
26.		Exchange Traded	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
27.	1	Highest Quality	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
28.	2	High Quality	0	XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
29.	3	Medium Quality	0	XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
30.	4	Low Quality	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
31.	5	Lower Quality	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
32.	6	In or Near Default	0	XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
33.		Total Derivative Instruments	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
34.		Total (Lines 9 + 17 + 25 + 33)	394,163,570	XXX	XXX	394,163,570	XXX	677,320	XXX	2,035,198	XXX	3,411,550

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols.4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
		MORTGAGE LOANS										
		In Good Standing:										
35.		Farm Mortgages - CM1 - Highest Quality	0	0	XXX	0	0.0011	0	0.0057	0	0.0074	0
36.		Farm Mortgages - CM2 - High Quality	0	0	XXX	0	0.0040	0	0.0114	0	0.0149	0
37.		Farm Mortgages - CM3 - Medium Quality	0	0	XXX	0	0.0069	0	0.0200	0	0.0257	0
38.		Farm Mortgages - CM4 - Low Medium Quality	0	0	XXX	0	0.0120	0	0.0343	0	0.0428	0
39.		Farm Mortgages - CM5 - Low Quality	0	0	XXX	0	0.0183	0	0.0486	0	0.0628	0
40.		Residential Mortgages - Insured or Guaranteed	0	0	XXX	0	0.0003	0	0.0007	0	0.0011	0
41.		Residential Mortgages - All Other	0	0	XXX	0	0.0015	0	0.0034	0	0.0046	0
42.		Commercial Mortgages - Insured or Guaranteed	0	0	XXX	0	0.0003	0	0.0007	0	0.0011	0
43.		Commercial Mortgages - All Other - CM1 - Highest Quality	0	0	XXX	0	0.0011	0	0.0057	0	0.0074	0
44.		Commercial Mortgages - All Other - CM2 - High Quality	0	0	XXX	0	0.0040	0	0.0114	0	0.0149	0
45.		Commercial Mortgages - All Other - CM3 - Medium Quality	0	0	XXX	0	0.0069	0	0.0200	0	0.0257	0
46.		Commercial Mortgages - All Other - CM4 - Low Medium Quality	0	0	XXX	0	0.0120	0	0.0343	0	0.0428	0
47.		Commercial Mortgages - All Other - CM5 - Low Quality	0	0	XXX	0	0.0183	0	0.0486	0	0.0628	0
		Overdue, Not in Process:										
48.		Farm Mortgages	0	0	XXX	0	0.0480	0	0.0868	0	0.1371	0
49.		Residential Mortgages - Insured or Guaranteed	0	0	XXX	0	0.0006	0	0.0014	0	0.0023	0
50.		Residential Mortgages - All Other	0	0	XXX	0	0.0029	0	0.0066	0	0.0103	0
51.		Commercial Mortgages - Insured or Guaranteed	0	0	XXX	0	0.0006	0	0.0014	0	0.0023	0
52.		Commercial Mortgages - All Other	0	0	XXX	0	0.0480	0	0.0868	0	0.1371	0
		In Process of Foreclosure:										
53.		Farm Mortgages	0	0	XXX	0	0.0000	0	0.1942	0	0.1942	0
54.		Residential Mortgages - Insured or Guaranteed	0	0	XXX	0	0.0000	0	0.0046	0	0.0046	0
55.		Residential Mortgages - All Other	0	0	XXX	0	0.0000	0	0.0149	0	0.0149	0
56.		Commercial Mortgages - Insured or Guaranteed	0	0	XXX	0	0.0000	0	0.0046	0	0.0046	0
57.		Commercial Mortgages - All Other	0	0	XXX	0	0.0000	0	0.1942	0	0.1942	0
58.		Total Schedule B Mortgages (Sum of Lines 35 through 57)	0	0	XXX	0	XXX	0	XXX	0	XXX	0
59.		Schedule DA Mortgages	0	0	XXX	0	0.0034	0	0.0114	0	0.0149	0
60.		Total Mortgage Loans on Real Estate (Lines 58 + 59)	0	0	XXX	0	XXX	0	XXX	0	XXX	0

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
COMMON STOCK												
1.		Unaffiliated - Public0	XXX	XXX	.0	0.0000	.0	0.1580 (a)	.0	0.1580 (a)	.0
2.		Unaffiliated - Private0	XXX	XXX	.0	0.0000	.0	0.1945	.0	0.1945	.0
3.		Federal Home Loan Bank0	XXX	XXX	.0	0.0000	.0	0.0061	.0	0.0097	.0
4.		Affiliated - Life with AVR0	XXX	XXX	.0	0.0000	.0	0.0000	.0	0.0000	.0
Affiliated - Investment Subsidiary:												
5.		Fixed Income - Exempt Obligations0	.0	.0	.0	XXX	.0	XXX	.0	XXX	.0
6.		Fixed Income - Highest Quality0	.0	.0	.0	XXX	.0	XXX	.0	XXX	.0
7.		Fixed Income - High Quality0	.0	.0	.0	XXX	.0	XXX	.0	XXX	.0
8.		Fixed Income - Medium Quality0	.0	.0	.0	XXX	.0	XXX	.0	XXX	.0
9.		Fixed Income - Low Quality0	.0	.0	.0	XXX	.0	XXX	.0	XXX	.0
10.		Fixed Income - Lower Quality0	.0	.0	.0	XXX	.0	XXX	.0	XXX	.0
11.		Fixed Income - In/Near Default0	.0	.0	.0	XXX	.0	XXX	.0	XXX	.0
12.		Unaffiliated Common Stock - Public0	.0	.0	.0	0.0000	.0	0.1580 (a)	.0	0.1580 (a)	.0
13.		Unaffiliated Common Stock - Private0	.0	.0	.0	0.0000	.0	0.1945	.0	0.1945	.0
14.		Real Estate0	.0	.0	.0	0.0000 (b)	.0	0.0000 (b)	.0	0.0000 (b)	.0
15.		Affiliated - Certain Other (See SVO Purposes and Procedures Manual)0	XXX	XXX	.0	0.0000	.0	0.1580	.0	0.1580	.0
16.		Affiliated - All Other0	XXX	XXX	.0	0.0000	.0	0.1945	.0	0.1945	.0
17.		Total Common Stock (Sum of Lines 1 through 16)	.0	.0	.0	.0	XXX	.0	XXX	.0	XXX	.0
REAL ESTATE												
18.		Home Office Property (General Account only)0	.0	.0	.0	0.0000	.0	0.0912	.0	0.0912	.0
19.		Investment Properties0	.0	.0	.0	0.0000	.0	0.0912	.0	0.0912	.0
20.		Properties Acquired in Satisfaction of Debt0	.0	.0	.0	0.0000	.0	0.1337	.0	0.1337	.0
21.		Total Real Estate (Sum of Lines 18 through 20)	.0	.0	.0	.0	XXX	.0	XXX	.0	XXX	.0
OTHER INVESTED ASSETS												
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS												
22.		Exempt Obligations0	XXX	XXX	.0	0.0000	.0	0.0000	.0	0.0000	.0
23.	1	Highest Quality0	XXX	XXX	.0	0.0005	.0	0.0016	.0	0.0033	.0
24.	2	High Quality0	XXX	XXX	.0	0.0021	.0	0.0064	.0	0.0106	.0
25.	3	Medium Quality0	XXX	XXX	.0	0.0099	.0	0.0263	.0	0.0376	.0
26.	4	Low Quality0	XXX	XXX	.0	0.0245	.0	0.0572	.0	0.0817	.0
27.	5	Lower Quality0	XXX	XXX	.0	0.0630	.0	0.1128	.0	0.1880	.0
28.	6	In or Near Default0	XXX	XXX	.0	0.0000	.0	0.2370	.0	0.2370	.0
29.		Total with Bond Characteristics (Sum of Lines 22 through 28)	.0	XXX	XXX	.0	XXX	.0	XXX	.0	XXX	.0

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Number	NAIC Designation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF PREFERRED STOCKS										
30.	1	Highest Quality	0	XXX	XXX	0	0.0005	0	0.0016	0	0.0033	0
31.	2	High Quality	0	XXX	XXX	0	0.0021	0	0.0064	0	0.0106	0
32.	3	Medium Quality	0	XXX	XXX	0	0.0099	0	0.0263	0	0.0376	0
33.	4	Low Quality	0	XXX	XXX	0	0.0245	0	0.0572	0	0.0817	0
34.	5	Lower Quality	0	XXX	XXX	0	0.0630	0	0.1128	0	0.1880	0
35.	6	In or Near Default	0	XXX	XXX	0	0.0000	0	0.2370	0	0.2370	0
36.		Affiliated Life with AVR	0	XXX	XXX	0	0.0000	0	0.0000	0	0.0000	0
37.		Total with Preferred Stock Characteristics (Sum of Lines 30 through 36)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF MORTGAGE LOANS										
		In Good Standing Affiliated:										
38.		Mortgages - CM1 - Highest Quality	0	0	XXX	0	0.0011	0	0.0057	0	0.0074	0
39.		Mortgages - CM2 - High Quality	0	0	XXX	0	0.0040	0	0.0114	0	0.0149	0
40.		Mortgages - CM3 - Medium Quality	0	0	XXX	0	0.0069	0	0.0200	0	0.0257	0
41.		Mortgages - CM4 - Low Medium Quality	0	0	XXX	0	0.0120	0	0.0343	0	0.0428	0
42.		Mortgages - CM5 - Low Quality	0	0	XXX	0	0.0183	0	0.0486	0	0.0628	0
43.		Residential Mortgages - Insured or Guaranteed	0	0	XXX	0	0.0003	0	0.0007	0	0.0011	0
44.		Residential Mortgages - All Other	0	XXX	XXX	0	0.0015	0	0.0034	0	0.0046	0
45.		Commercial Mortgages - Insured or Guaranteed	0	0	XXX	0	0.0003	0	0.0007	0	0.0011	0
		Overdue, Not in Process Affiliated:										
46.		Farm Mortgages	0	0	XXX	0	0.0480	0	0.0868	0	0.1371	0
47.		Residential Mortgages - Insured or Guaranteed	0	0	XXX	0	0.0006	0	0.0014	0	0.0023	0
48.		Residential Mortgages - All Other	0	0	XXX	0	0.0029	0	0.0066	0	0.0103	0
49.		Commercial Mortgages - Insured or Guaranteed	0	0	XXX	0	0.0006	0	0.0014	0	0.0023	0
50.		Commercial Mortgages - All Other	0	0	XXX	0	0.0480	0	0.0868	0	0.1371	0
		In Process of Foreclosure Affiliated:										
51.		Farm Mortgages	0	0	XXX	0	0.0000	0	0.1942	0	0.1942	0
52.		Residential Mortgages - Insured or Guaranteed	0	0	XXX	0	0.0000	0	0.0046	0	0.0046	0
53.		Residential Mortgages - All Other	0	0	XXX	0	0.0000	0	0.0149	0	0.0149	0
54.		Commercial Mortgages - Insured or Guaranteed	0	0	XXX	0	0.0000	0	0.0046	0	0.0046	0
55.		Commercial Mortgages - All Other	0	0	XXX	0	0.0000	0	0.1942	0	0.1942	0
56.		Total Affiliated (Sum of Lines 38 through 55)	0	0	XXX	0	XXX	0	XXX	0	XXX	0
57.		Unaffiliated - In Good Standing With Covenants	0	0	XXX	0	0.0000 (c)	0	0.0000 (c)	0	0.0000 (c)	0
58.		Unaffiliated - In Good Standing Defeased With Government Securities	0	0	XXX	0	0.0011	0	0.0057	0	0.0074	0
59.		Unaffiliated - In Good Standing Primarily Senior	0	0	XXX	0	0.0040	0	0.0114	0	0.0149	0
60.		Unaffiliated - In Good Standing All Other	0	0	XXX	0	0.0069	0	0.0200	0	0.0257	0
61.		Unaffiliated - Overdue, Not in Process	0	0	XXX	0	0.0480	0	0.0868	0	0.1371	0
62.		Unaffiliated - In Process of Foreclosure	0	0	XXX	0	0.0000	0	0.1942	0	0.1942	0
63.		Total Unaffiliated (Sum of Lines 57 through 62)	0	0	XXX	0	XXX	0	XXX	0	XXX	0
64.		Total with Mortgage Loan Characteristics (Lines 56 + 63)	0	0	XXX	0	XXX	0	XXX	0	XXX	0

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK												
65.		Unaffiliated Public0	XXX	XXX	.0	0.0000	.0	0.1580 (a)	.0	0.1580 (a)	.0
66.		Unaffiliated Private0	XXX	XXX	.0	0.0000	.0	0.1945	.0	0.1945	.0
67.		Affiliated Life with AVR0	XXX	XXX	.0	0.0000	.0	0.0000	.0	0.0000	.0
68.		Affiliated Certain Other (See SVO Purposes & Procedures Manual)0	XXX	XXX	.0	0.0000	.0	0.1580	.0	0.1580	.0
69.		Affiliated Other - All Other	0	XXX	XXX	0	0.0000	0	0.1945	0	0.1945	0
70.		Total with Common Stock Characteristics (Sum of Lines 65 through 69)	0	XXX	XXX	0	XXX	0	XXX	0	XXX	0
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE												
71.		Home Office Property (General Account only)0	.0	.0	.0	0.0000	.0	0.0912	.0	0.0912	.0
72.		Investment Properties0	.0	.0	.0	0.0000	.0	0.0912	.0	0.0912	.0
73.		Properties Acquired in Satisfaction of Debt	0	0	0	0	0.0000	0	0.1337	0	0.1337	0
74.		Total with Real Estate Characteristics (Sum of Lines 71 through 73)	0	0	0	0	XXX	0	XXX	0	XXX	0
LOW INCOME HOUSING TAX CREDIT INVESTMENTS												
75.		Guaranteed Federal Low Income Housing Tax Credit0	.0	.0	.0	0.0003	.0	0.0006	.0	0.0010	.0
76.		Non-guaranteed Federal Low Income Housing Tax Credit0	.0	.0	.0	0.0063	.0	0.0120	.0	0.0190	.0
77.		Guaranteed State Low Income Housing Tax Credit0	.0	.0	.0	0.0003	.0	0.0006	.0	0.0010	.0
78.		Non-guaranteed State Low Income Housing Tax Credit0	.0	.0	.0	0.0063	.0	0.0120	.0	0.0190	.0
79.		All Other Low Income Housing Tax Credit	0	0	0	0	0.0273	0	0.0600	0	0.0975	0
80.		Total LIHTC (Sum of Lines 75 through 79)	0	0	0	0	XXX	0	XXX	0	XXX	0
ALL OTHER INVESTMENTS												
81.		NAIC 1 Working Capital Finance Investments0	XXX	.0	.0	0.0000	.0	0.0042	.0	0.0042	.0
82.		NAIC 2 Working Capital Finance Investments0	XXX	.0	.0	0.0000	.0	0.0137	.0	0.0137	.0
83.		Other Invested Assets - Schedule BA0	XXX	.0	.0	0.0000	.0	0.1580	.0	0.1580	.0
84.		Other Short-Term Invested Assets - Schedule DA	0	XXX	0	0	0.0000	0	0.1580	0	0.1580	0
85.		Total All Other (Sum of Lines 81, 82, 83 and 84)	0	XXX	0	0	XXX	0	XXX	0	XXX	0
86.		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 29, 37, 64, 70, 74, 80 and 85)	0	0	0	0	XXX	0	XXX	0	XXX	0

(a) Times the company's weighted average portfolio beta (Minimum .1215, Maximum .2431).

(b) Determined using the same factors and breakdowns used for directly owned real estate.

(c) This will be the factor associated with the risk category determined in the company generated worksheet.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

ASSET VALUATION RESERVE

BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS REPLICATIONS (SYNTHETIC) ASSETS

1 RSAT Number	2 Type	3 CUSIP	4 Description of Asset(s)	5 NAIC Designation or Other Description of Asset	6 Value of Asset	7 AVR Basic Contribution	8 AVR Reserve Objective	9 AVR Maximum Reserve
NONE								
0599999 - Total								

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE F

Showing all claims for death losses and all other contract claims resisted or compromised during the year, and all claims for death losses and all other contract claims resisted December 31 of current year

[illegible]

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE H - ACCIDENT AND HEALTH EXHIBIT

	Total		Group Accident and Health		Credit Accident and Health (Group and Individual)		Collectively Renewable		Other Individual Contracts									
									Non-Cancelable		Guaranteed Renewable		Non-Renewable for Stated Reasons Only		Other Accident Only		All Other	
	1 Amount	2 %	3 Amount	4 %	5 Amount	6 %	7 Amount	8 %	9 Amount	10 %	11 Amount	12 %	13 Amount	14 %	15 Amount	16 %	17 Amount	18 %
PART 1. - ANALYSIS OF UNDERWRITING OPERATIONS																		
1. Premiums written	172,994,269	XXX	172,721,603	XXX	0	XXX	0	XXX	0	XXX	0	XXX	0	XXX	58,490	XXX	214,176	XXX
2. Premiums earned	173,236,062	XXX	172,958,482	XXX	0	XXX	0	XXX	0	XXX	0	XXX	0	XXX	62,790	XXX	214,790	XXX
3. Incurred claims	166,469,350	96.1	166,449,176	96.2	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	(1,550)	(2.5)	21,724	10.1
4. Cost containment expenses	4,663,624	2.7	4,662,691	2.7	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	933	0.4
5. Incurred claims and cost containment expenses (Lines 3 and 4)	171,132,974	98.8	171,111,867	98.9	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	(1,550)	(2.5)	22,657	10.5
6. Increase in contract reserves	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
7. Commissions (a)	8,954,146	5.2	8,954,146	5.2	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
8. Other general insurance expenses	9,971,800	5.8	9,949,282	5.8	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	5,305	8.4	17,213	8.0
9. Taxes, licenses and fees	4,837,434	2.8	4,829,683	2.8	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1,753	2.8	5,998	2.8
10. Total other expenses incurred	23,763,380	13.7	23,733,111	13.7	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	7,058	11.2	23,211	10.8
11. Aggregate write-ins for deductions	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
12. Gain from underwriting before dividends or refunds	(21,660,292)	(12.5)	(21,886,496)	(12.7)	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	57,282	91.2	168,922	78.6
13. Dividends or refunds	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
14. Gain from underwriting after dividends or refunds	(21,660,292)	(12.5)	(21,886,496)	(12.7)	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	57,282	91.2	168,922	78.6
DETAILS OF WRITE-INS																		
1101.																		
1102.																		
1103.																		
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0

(a) Includes \$ 0 reported as "Contract, membership and other fees retained by agents."

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE H - ACCIDENT AND HEALTH EXHIBIT (Continued)

	1	2	3	4	Other Individual Contracts				
					5	6	7	8	9
	Total	Group Accident and Health	Credit Accident and Health (Group and Individual)	Collectively Renewable	Non-Cancelable	Guaranteed Renewable	Non-Renewable for Stated Reasons Only	Other Accident Only	All Other
PART 2. - RESERVES AND LIABILITIES									
A. Premium Reserves:									
1. Unearned premiums	731,388	697,471	0	0	0	0	0	17,045	16,872
2. Advance premiums	0	0	0	0	0	0	0	0	0
3. Reserve for rate credits	0	0	0	0	0	0	0	0	0
4. Total premium reserves, current year	731,388	697,471	0	0	0	0	0	17,045	16,872
5. Total premium reserves, prior year	973,182	934,350	0	0	0	0	0	21,346	17,486
6. Increase in total premium reserves	(241,794)	(236,879)	0	0	0	0	0	(4,301)	(614)
B. Contract Reserves:									
1. Additional reserves (a)	0	0	0	0	0	0	0	0	0
2. Reserve for future contingent benefits	0	0	0	0	0	0	0	0	0
3. Total contract reserves, current year	0	0	0	0	0	0	0	0	0
4. Total contract reserves, prior year	0	0	0	0	0	0	0	0	0
5. Increase in contract reserves	0	0	0	0	0	0	0	0	0
C. Claim Reserves and Liabilities:									
1. Total current year	269,703,871	269,462,776	0	0	0	0	0	9,497	231,598
2. Total prior year	245,361,318	245,109,185	0	0	0	0	0	11,047	241,086
3. Increase	24,342,553	24,353,591	0	0	0	0	0	(1,550)	(9,488)

PART 3. - TEST OF PRIOR YEAR'S CLAIM RESERVES AND LIABILITIES									
1. Claims paid during the year:									
1.1 On claims incurred prior to current year	73,067,900	73,036,688	0	0	0	0	0	0	31,212
1.2 On claims incurred during current year	69,058,897	69,058,897	0	0	0	0	0	0	0
2. Claim reserves and liabilities, December 31, current year:									
2.1 On claims incurred prior to current year	203,979,754	203,803,075	0	0	0	0	0	1,147	175,532
2.2 On claims incurred during current year	65,724,117	65,659,701	0	0	0	0	0	8,350	56,066
3. Test:									
3.1 Lines 1.1 and 2.1	277,047,654	276,839,763	0	0	0	0	0	1,147	206,744
3.2 Claim reserves and liabilities, December 31, prior year	245,361,318	245,109,185	0	0	0	0	0	11,047	241,086
3.3 Line 3.1 minus Line 3.2	31,686,336	31,730,578	0	0	0	0	0	(9,900)	(34,342)

PART 4. - REINSURANCE									
A. Reinsurance Assumed:									
1. Premiums written	0	0	0	0	0	0	0	0	0
2. Premiums earned	0	0	0	0	0	0	0	0	0
3. Incurred claims	0	0	0	0	0	0	0	0	0
4. Commissions	0	0	0	0	0	0	0	0	0
B. Reinsurance Ceded:									
1. Premiums written	1,470,434	1,470,434	0	0	0	0	0	0	0
2. Premiums earned	1,470,434	1,470,434	0	0	0	0	0	0	0
3. Incurred claims	2,005,632	2,005,632	0	0	0	0	0	0	0
4. Commissions	52,004	52,004	0	0	0	0	0	0	0

(a) Includes \$0 premium deficiency reserve.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE H - PART 5 - HEALTH CLAIMS

	1 Medical	2 Dental	3 Other	4 Total
A. Direct:				
1. Incurred Claims	0	0	168,474,984	168,474,984
2. Beginning Claim Reserves and Liabilities	0	0	254,560,181	254,560,181
3. Ending Claim Reserves and Liabilities	0	0	278,729,680	278,729,680
4. Claims Paid	0	0	144,305,485	144,305,485
B. Assumed Reinsurance:				
5. Incurred Claims.....	0	0	0	0
6. Beginning Claim Reserves and Liabilities	0	0	0	0
7. Ending Claim Reserves and Liabilities	0	0	0	0
8. Claims Paid	0	0	0	0
C. Ceded Reinsurance:				
9. Incurred Claims.....	0	0	2,005,632	2,005,632
10. Beginning Claim Reserves and Liabilities	0	0	9,721,403	9,721,403
11. Ending Claim Reserves and Liabilities	0	0	9,446,624	9,446,624
12. Claims Paid	0	0	2,280,411	2,280,411
D. Net:				
13. Incurred Claims.....	0	0	166,469,352	166,469,352
14. Beginning Claim Reserves and Liabilities	0	0	244,838,778	244,838,778
15. Ending Claim Reserves and Liabilities	0	0	269,283,056	269,283,056
16. Claims Paid	0	0	142,025,074	142,025,074
E. Net Incurred Claims and Cost Containment Expenses:				
17. Incurred Claims and Cost Containment Expenses	0	0	171,132,976	171,132,976
18. Beginning Reserves and Liabilities	0	0	244,838,778	244,838,778
19. Ending Reserves and Liabilities	0	0	269,283,056	269,283,056
20. Paid Claims and Cost Containment Expenses	0	0	146,688,698	146,688,698

Schedule S - Part 1 - Section 1

N O N E

Schedule S - Part 1 - Section 2

N O N E

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE S - PART 2

Reinsurance Recoverable on Paid and Unpaid Losses Listed by Reinsuring Company as of December 31, Current Year						
1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domiciliary Jurisdiction	6 Paid Losses	7 Unpaid Losses
0399999. Total Life and Annuity - U.S. Affiliates					0	0
0699999. Total Life and Annuity - Non-U.S. Affiliates					0	0
0799999. Total Life and Annuity - Affiliates					0	0
88340	59-2859797	01/01/2012	Hannover Life Reassurance Company of America	FL	379,897	109,909
62308	06-0303370	01/01/1998	Connecticut General Life Ins. Co.	CT	784,724	19,011
66346	58-0828824	01/01/2001	Munich American Reassurance Company	GA	26,885	23,487
93572	43-1235868	07/01/2001	RGA Reinsurance Company	MO	1,004,012	290,383
97071	13-3126819	01/01/2017	SCOR Global Life USA Reinsurance Company	DE	180,736	62,762
82627	06-0839705	01/01/2014	Swiss Re Life & Health America Inc.	MO	407,033	117,760
0899999. Life and Annuity - U.S. Non-Affiliates					2,783,287	623,312
00000	AA-1780078	01/01/2016	Partner Reinsurance Europe SE	IRL	90,869	108
00000	AA-1580095	07/01/2003	The TOA Reinsurance Company Limited	JPN	624,117	180,565
0999999. Life and Annuity - Non-U.S. Non-Affiliates					714,986	180,673
1099999. Total Life and Annuity - Non-Affiliates					3,498,273	803,985
1199999. Total Life and Annuity					3,498,273	803,985
1499999. Total Accident and Health - U.S. Affiliates					0	0
1799999. Total Accident and Health - Non-U.S. Affiliates					0	0
1899999. Total Accident and Health - Affiliates					0	0
26921	22-2005057	01/01/2007	Everest Reinsurance Company	DE	0	40
88340	59-2859797	01/01/2012	Hannover Life Reassurance Company of America	FL	0	1,261
42374	74-2195939	01/01/2007	Houston Casualty Company	TX	0	6,304
66346	58-0828824	01/01/2001	Munich American Reassurance Company	GA	65,017	0
93572	43-1235868	07/01/2001	RGA Reinsurance Company	MO	13,464	352
97071	13-3126819	01/01/2017	SCOR Global Life USA Reinsurance Company	DE	0	7,841
82627	06-0839705	01/01/2014	Swiss Re Life & Health America Inc.	MO	342,334	24,427
19453	13-5616275	01/01/2007	Transatlantic Reinsurance Company	NY	0	1,135
16535	36-4233459	01/01/2012	Zurich American Insurance Company	NY	0	7,521
1999999. Accident and Health - U.S. Non-Affiliates					420,815	48,881
00000	AA-1120841	01/01/2010	Chartis Insurance UK Limited	GBR	0	53
00000	AA-1126510	01/01/2009	Lloyd's Syndicate Number 0510	GBR	0	2,522
00000	AA-1126566	01/01/2012	Lloyd's Syndicate Number 0566	GBR	0	1,261
00000	AA-1126609	01/01/2019	Lloyd's Syndicate Number 0609	GBR	0	852
00000	AA-1127861	01/01/2018	Lloyd's Syndicate Number 1861	GBR	0	94
00000	AA-1120064	01/01/2018	Lloyd's Syndicate Number 1919	GBR	0	748
00000	AA-1128001	01/01/2018	Lloyd's Syndicate Number 2001	GBR	0	706
00000	AA-1128003	01/01/2013	Lloyd's Syndicate Number 2003	GBR	0	1,000
00000	AA-1120113	01/01/2017	Lloyd's Syndicate Number 3334	GBR	0	86
00000	AA-1120055	01/01/2009	Lloyd's Syndicate Number 3623	GBR	0	2,597
00000	AA-1120116	01/01/2018	Lloyd's Syndicate Number 3902	GBR	0	2,459
00000	AA-1126005	01/01/2012	Lloyd's Syndicate Number 4000	GBR	0	2,219
00000	AA-1126004	01/01/2019	Lloyd's Syndicate Number 4444	GBR	0	2,601
00000	AA-1126006	01/01/2013	Lloyd's Syndicate Number 4472	GBR	0	1,009
00000	AA-1120080	01/01/2018	Lloyd's Syndicate Number 5151	GBR	0	504
00000	AA-1780096	01/01/2015	Partner Reinsurance Europe SE	IRL	0	341
00000	AA-3191321	01/01/2017	Sirius Bermuda Insurance Company Limited	BMU	0	1,009
00000	AA-1580095	07/01/2003	The TOA Reinsurance Company Limited	JPN	0	5,915
2099999. Accident and Health - Non-U.S. Non-Affiliates					0	25,976
2199999. Total Accident and Health - Non-Affiliates					420,815	74,857
2299999. Total Accident and Health					420,815	74,857
2399999. Total U.S. (Sum of 0399999, 0899999, 1499999 and 1999999)					3,204,102	672,193
2499999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999 and 2099999)					714,986	206,649
9999999 Totals - Life, Annuity and Accident and Health					3,919,088	878,842

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE S - PART 3 - SECTION 1

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Amount in Force at End of Year	Reserve Credit Taken		11 Premiums	Outstanding Surplus Relief		14 Modified Coinsurance Reserve	15 Funds Withheld Under Coinsurance
								9	10		12	13		
								Current Year	Prior Year		Current Year	Prior Year		
0399999. Total General Account - Authorized U.S. Affiliates							0	0	0	0	0	0	0	0
0699999. Total General Account - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0	0
0799999. Total General Account - Authorized Affiliates							0	0	0	0	0	0	0	0
62308	06-0303370	12/31/2020	Connecticut General Life Insurance Company	CT	CO/G	FA	0	7,658,800	9,273,321	0	0	0	0	0
81442	16-0986348	01/01/1990	Monitor Life Insurance Company of New York	NY	OTH/I	OL	331,000	710,015	710,015	3,639	0	0	0	0
62308	06-0303370	10/01/2000	Connecticut General Life Insurance Co	CT	COMB/I	FA	0	132,912,010	138,478,707	0	0	0	0	0
88340	59-2859797	01/01/2012	Hannover Life Reassurance Company of America	FL	OTH/G	OL	111,178,000	21,745	60,585	42,647	0	0	0	0
66346	58-0828824	04/01/2012	Munich American Reassurance Company	GA	OTH/G	OL	22,394,000	4,380	774	0	0	0	0	0
93572	43-1235868	07/01/2001	RGA Reinsurance Company	MO	OTH/G	OL	293,829,000	57,469	159,961	114,470	0	0	0	0
97071	13-3126819	01/01/2017	SCOR Global Life USA Reinsurance Company	DE	OTH/G	OL	62,576,000	12,239	25,501	30,858	0	0	0	0
82627	06-0839705	01/01/2014	Swiss Re Life & Health America Inc.	MO	OTH/G	OL	119,119,000	23,298	64,525	45,693	0	0	0	0
0899999. General Account - Authorized U.S. Non-Affiliates							609,427,000	141,399,956	148,773,389	237,307	0	0	0	0
1099999. Total General Account - Authorized Non-Affiliates							609,427,000	141,399,956	148,773,389	237,307	0	0	0	0
1199999. Total General Account Authorized							609,427,000	141,399,956	148,773,389	237,307	0	0	0	0
1499999. Total General Account - Unauthorized U.S. Affiliates							0	0	0	0	0	0	0	0
1799999. Total General Account - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0	0
1899999. Total General Account - Unauthorized Affiliates							0	0	0	0	0	0	0	0
42374	74-2195939	01/01/2007	Houston Casualty Company	TX	CAT/G	OL	0	0	0	5,371	0	0	0	0
10227	13-4924125	01/01/2020	Munich Reinsurance America, Incorporated	DE	CAT/G	OL	0	0	0	8,841	0	0	0	0
19453	13-5616275	04/01/2002	Transatlantic Reinsurance Company	NY	CAT/G	OL	0	0	0	2,974	0	0	0	0
16535	36-4233459	01/01/2012	Zurich American Insurance Company	NY	CAT/G	OL	0	0	0	14,687	0	0	0	0
1999999. General Account - Unauthorized U.S. Non-Affiliates							0	0	0	31,873	0	0	0	0
00000	AA-1126510	01/01/2009	Lloyd's Syndicate Number 0510	GBR	CAT/G	OL	0	0	0	5,949	0	0	0	0
00000	AA-1126566	01/01/2007	Lloyd's Syndicate Number 0566	GBR	CAT/G	OL	0	0	0	9,103	0	0	0	0
00000	AA-1126609	01/01/2019	Lloyd's Syndicate Number 0609	GBR	CAT/G	OL	0	0	0	2,075	0	0	0	0
00000	AA-1120085	01/01/2020	Lloyd's Syndicate Number 1274	GBR	CAT/G	OL	0	0	0	531	0	0	0	0
00000	AA-1127301	01/01/2016	Lloyd's Syndicate Number 1301	GBR	CAT/G	OL	0	0	0	(19)	0	0	0	0
00000	AA-1127861	01/01/2016	Lloyd's Syndicate Number 1861	GBR	CAT/G	OL	0	0	0	(56)	0	0	0	0
00000	AA-1120064	01/01/2018	Lloyd's Syndicate Number 1919	GBR	CAT/G	OL	0	0	0	2,569	0	0	0	0
00000	AA-1128001	07/01/2003	Lloyd's Syndicate Number 2001	GBR	CAT/G	OL	0	0	0	2,644	0	0	0	0
00000	AA-1128003	01/01/2008	Lloyd's Syndicate Number 2003	GBR	CAT/G	OL	0	0	0	3,635	0	0	0	0
00000	AA-1120113	01/01/2017	Lloyd's Syndicate Number 3334	GBR	CAT/G	OL	0	0	0	(58)	0	0	0	0
00000	AA-1120055	01/01/2009	Lloyd's Syndicate Number 3623	GBR	CAT/G	OL	0	0	0	10,411	0	0	0	0
00000	AA-1120116	01/01/2018	Lloyd's Syndicate Number 3902	GBR	CAT/G	OL	0	0	0	8,739	0	0	0	0
00000	AA-1126005	01/01/2012	Lloyd's Syndicate Number 4000	GBR	CAT/G	OL	0	0	0	3,593	0	0	0	0
00000	AA-1126004	01/01/2019	Lloyd's Syndicate Number 4444	GBR	CAT/G	OL	0	0	0	7,268	0	0	0	0
00000	AA-1126005	01/01/2012	Lloyd's Syndicate Number 4472	GBR	CAT/G	OL	0	0	0	6,497	0	0	0	0
00000	AA-1120080	01/01/2018	Lloyd's Syndicate Number 5151	GBR	CAT/G	OL	0	0	0	1,807	0	0	0	0
00000	AA-1780096	01/01/2014	Partner Reinsurance Europe SE	IRL	OTH/G	OL	2,377,000	465	21,870	285	0	0	0	0
00000	AA-3191321	07/01/2017	Sirius Bermuda Insurance Company Limited	BMU	Other reinsurance - Group ..	OL	0	0	0	1,983	0	0	0	0
00000	AA-1580095	07/01/2003	The TOA Reinsurance Company Limited	JPN	OTH/G	OL	182,651,000	35,724	99,532	73,038	0	0	0	0
2099999. General Account - Unauthorized Non-U.S. Non-Affiliates							185,028,000	36,189	121,402	139,994	0	0	0	0
2199999. Total General Account - Unauthorized Non-Affiliates							185,028,000	36,189	121,402	171,867	0	0	0	0
2299999. Total General Account Unauthorized							185,028,000	36,189	121,402	171,867	0	0	0	0
2599999. Total General Account - Certified U.S. Affiliates							0	0	0	0	0	0	0	0
2899999. Total General Account - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0	0
2999999. Total General Account - Certified Affiliates							0	0	0	0	0	0	0	0
3299999. Total General Account - Certified Non-Affiliates							0	0	0	0	0	0	0	0
3399999. Total General Account Certified							0	0	0	0	0	0	0	0
3699999. Total General Account - Reciprocal Jurisdiction U.S. Affiliates							0	0	0	0	0	0	0	0
3999999. Total General Account - Reciprocal Jurisdiction Non-U.S. Affiliates							0	0	0	0	0	0	0	0
4099999. Total General Account - Reciprocal Jurisdiction Affiliates							0	0	0	0	0	0	0	0
4399999. Total General Account - Reciprocal Jurisdiction Non-Affiliates							0	0	0	0	0	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE S - PART 3 - SECTION 1

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Amount in Force at End of Year	Reserve Credit Taken		11 Premiums	Outstanding Surplus Relief		14 Modified Coinsurance Reserve	15 Funds Withheld Under Coinsurance	
								9	10		12	13			
								Current Year	Prior Year		Current Year	Prior Year			
4499999. Total General Account Reciprocal Jurisdiction								0	0	0	0	0	0	0	0
4599999. Total General Account Authorized, Unauthorized, Reciprocal Jurisdiction, and Certified								794,455,000	141,436,145	148,894,791	409,174	0	0	0	0
4899999. Total Separate Accounts - Authorized U.S. Affiliates								0	0	0	0	0	0	0	0
5199999. Total Separate Accounts - Authorized Non-U.S. Affiliates								0	0	0	0	0	0	0	0
5299999. Total Separate Accounts - Authorized Affiliates								0	0	0	0	0	0	0	0
5599999. Total Separate Accounts - Authorized Non-Affiliates								0	0	0	0	0	0	0	0
5699999. Total Separate Accounts Authorized								0	0	0	0	0	0	0	0
5999999. Total Separate Accounts - Unauthorized U.S. Affiliates								0	0	0	0	0	0	0	0
6299999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates								0	0	0	0	0	0	0	0
6399999. Total Separate Accounts - Unauthorized Affiliates								0	0	0	0	0	0	0	0
6699999. Total Separate Accounts - Unauthorized Non-Affiliates								0	0	0	0	0	0	0	0
6799999. Total Separate Accounts Unauthorized								0	0	0	0	0	0	0	0
7099999. Total Separate Accounts - Certified U.S. Affiliates								0	0	0	0	0	0	0	0
7399999. Total Separate Accounts - Certified Non-U.S. Affiliates								0	0	0	0	0	0	0	0
7499999. Total Separate Accounts - Certified Affiliates								0	0	0	0	0	0	0	0
7799999. Total Separate Accounts - Certified Non-Affiliates								0	0	0	0	0	0	0	0
7899999. Total Separate Accounts Certified								0	0	0	0	0	0	0	0
8199999. Total Separate Accounts - Reciprocal Jurisdiction U.S. Affiliates								0	0	0	0	0	0	0	0
8499999. Total Separate Accounts - Reciprocal Jurisdiction Non-U.S. Affiliates								0	0	0	0	0	0	0	0
8599999. Total Separate Accounts - Reciprocal Jurisdiction Affiliates								0	0	0	0	0	0	0	0
8899999. Total Separate Accounts - Reciprocal Jurisdiction Non-Affiliates								0	0	0	0	0	0	0	0
8999999. Total Separate Accounts Reciprocal Jurisdiction								0	0	0	0	0	0	0	0
9099999. Total Separate Accounts Authorized, Unauthorized, Reciprocal Jurisdiction and Certified								0	0	0	0	0	0	0	0
9199999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3699999, 4199999, 4899999, 5399999, 5999999, 6499999, 7099999, 7599999, 8199999 and 8699999)								609,427,000	141,399,956	148,773,389	269,180	0	0	0	0
9299999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 3999999, 4299999, 5199999, 5499999, 6299999, 6599999, 7399999, 7699999, 8499999 and 8799999)								185,028,000	36,189	121,402	139,994	0	0	0	0
9999999 - Totals								794,455,000	141,436,145	148,894,791	409,174	0	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE S - PART 3 - SECTION 2

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Premiums	9 Unearned Premiums (Estimated)	10 Reserve Credit Taken Other than for Unearned Premiums	Outstanding Surplus Relief		13 Modified Coinsurance Reserve	14 Funds Withheld Under Coinsurance
										11 Current Year	12 Prior Year		
0399999. Total General Account - Authorized U.S. Affiliates							0	0	0	0	0	0	0
0699999. Total General Account - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0
0799999. Total General Account - Authorized Affiliates							0	0	0	0	0	0	0
67369	59-1031071	12/31/2020	Cigna Health & Life Insurance Company	CT	OTH/G	OH	52,004	0	0	0	0	0	0
88340	59-2859797	01/01/2012	Hannover Life Reassurance Company of America	FL	OTH/G	OH	4,591	0	0	0	0	0	0
42374	74-2195939	01/01/2007	Houston Casualty Company	TX	OTH/G	OH	22,956	0	0	0	0	0	0
66346	58-0828824	04/01/2002	Munich American Reassurance Company	GA	OTH/G	STD I	0	0	4,520,264	0	0	0	0
93572	43-1235868	12/27/1995	RGA Reinsurance Company	MO	OTH/G	STD I	0	0	118,269	0	0	0	0
			SCOR Global Life USA Reinsurance Company										
97071	13-3126819	01/01/2017	Company	DE	OTH/G	OH	29,878	0	0	0	0	0	0
82627	06-0839705	01/01/2013	Swiss Re Life & Health America Inc.	MO	OTH/G	STD I	1,234,784	0	4,312,418	0	0	0	0
19453	13-5616275	01/01/2007	Transatlantic Reinsurance Company	NY	OTH/G	OH	4,132	0	0	0	0	0	0
16535	36-4233459	01/01/2012	Zurich American Insurance Company	NY	OTH/G	OH	27,547	0	0	0	0	0	0
0899999. General Account - Authorized U.S. Non-Affiliates							1,375,892	0	8,950,951	0	0	0	0
00000	AA-1126510	01/01/2009	Lloyd's Syndicate Number 0510	GBR	OTH/G	OH	9,182	0	0	0	0	0	0
00000	AA-1126566	01/01/2007	Lloyd's Syndicate Number 0566	GBR	OTH/G	OH	4,591	0	0	0	0	0	0
00000	AA-1126609	01/01/2019	Lloyd's Syndicate Number 0609	GBR	OTH/G	OH	3,214	0	0	0	0	0	0
00000	AA-1127861	01/01/2016	Lloyd's Syndicate Number 1861	GBR	OTH/G	OH	(18)	0	0	0	0	0	0
00000	AA-1120064	01/01/2018	Lloyd's Syndicate Number 1919	GBR	OTH/G	OH	2,755	0	0	0	0	0	0
00000	AA-1128001	07/01/2003	Lloyd's Syndicate Number 2001	GBR	OTH/G	OH	2,571	0	0	0	0	0	0
00000	AA-1128003	01/01/2008	Lloyd's Syndicate Number 2003	GBR	OTH/G	OH	3,673	0	0	0	0	0	0
00000	AA-1120113	01/01/2017	Lloyd's Syndicate Number 3334	GBR	OTH/G	OH	(20)	0	0	0	0	0	0
00000	AA-1120055	01/01/2009	Lloyd's Syndicate Number 3623	GBR	OTH/G	OH	9,458	0	0	0	0	0	0
00000	AA-1120116	01/01/2018	Lloyd's Syndicate Number 3902	GBR	OTH/G	OH	9,182	0	0	0	0	0	0
00000	AA-1126005	01/01/2012	Lloyd's Syndicate Number 4000	GBR	OTH/G	OH	8,651	0	0	0	0	0	0
00000	AA-1126004	01/01/2019	Lloyd's Syndicate Number 4444	GBR	OTH/G	OH	10,118	0	0	0	0	0	0
00000	AA-1126006	01/01/2010	Lloyd's Syndicate Number 4472	GBR	OTH/G	OH	3,673	0	0	0	0	0	0
00000	AA-1780096	01/01/2018	Lloyd's Syndicate Number 5151	GBR	OTH/G	OH	1,836	0	0	0	0	0	0
0999999. General Account - Authorized Non-U.S. Non-Affiliates							68,866	0	0	0	0	0	0
1099999. Total General Account - Authorized Non-Affiliates							1,444,758	0	8,950,951	0	0	0	0
1199999. Total General Account Authorized							1,444,758	0	8,950,951	0	0	0	0
1499999. Total General Account - Unauthorized U.S. Affiliates							0	0	0	0	0	0	0
1799999. Total General Account - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0
1899999. Total General Account - Unauthorized Affiliates							0	0	0	0	0	0	0
00000	AA-1780096	01/01/2014	Partner Reinsurance Europe SE	IRL	OTH/G	OH	(59)	0	0	0	0	0	0
00000	AA-1580095	07/01/2003	The TOA Reinsurance Company Limited	JPN	OTH/G	OH	22,061	0	0	0	0	0	0
00000	AA-3191321	01/01/2017	Sirius Bermuda Insurance Company Limited	BMU	OTH/G	OH	3,673	0	0	0	0	0	0
2099999. General Account - Unauthorized Non-U.S. Non-Affiliates							25,675	0	0	0	0	0	0
2199999. Total General Account - Unauthorized Non-Affiliates							25,675	0	0	0	0	0	0
2299999. Total General Account Unauthorized							25,675	0	0	0	0	0	0
2599999. Total General Account - Certified U.S. Affiliates							0	0	0	0	0	0	0
2899999. Total General Account - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0
2999999. Total General Account - Certified Affiliates							0	0	0	0	0	0	0
3299999. Total General Account - Certified Non-Affiliates							0	0	0	0	0	0	0
3399999. Total General Account Certified							0	0	0	0	0	0	0
3699999. Total General Account - Reciprocal Jurisdiction U.S. Affiliates							0	0	0	0	0	0	0
3999999. Total General Account - Reciprocal Jurisdiction Non-U.S. Affiliates							0	0	0	0	0	0	0
4099999. Total General Account - Reciprocal Jurisdiction Affiliates							0	0	0	0	0	0	0
4399999. Total General Account - Reciprocal Jurisdiction Non-Affiliates							0	0	0	0	0	0	0
4499999. Total General Account Reciprocal Jurisdiction							0	0	0	0	0	0	0
4599999. Total General Account Authorized, Unauthorized, Reciprocal Jurisdiction and Certified							1,470,433	0	8,950,951	0	0	0	0
4899999. Total Separate Accounts - Authorized U.S. Affiliates							0	0	0	0	0	0	0
5199999. Total Separate Accounts - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE S - PART 3 - SECTION 2

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Premiums	9 Unearned Premiums (Estimated)	10 Reserve Credit Taken Other than for Unearned Premiums	Outstanding Surplus Relief		13 Modified Coinsurance Reserve	14 Funds Withheld Under Coinsurance
										11 Current Year	12 Prior Year		
5299999.	Total Separate Accounts - Authorized Affiliates						0	0	0	0	0	0	0
5599999.	Total Separate Accounts - Authorized Non-Affiliates						0	0	0	0	0	0	0
5699999.	Total Separate Accounts Authorized						0	0	0	0	0	0	0
5999999.	Total Separate Accounts - Unauthorized U.S. Affiliates						0	0	0	0	0	0	0
6299999.	Total Separate Accounts - Unauthorized Non-U.S. Affiliates						0	0	0	0	0	0	0
6399999.	Total Separate Accounts - Unauthorized Affiliates						0	0	0	0	0	0	0
6699999.	Total Separate Accounts - Unauthorized Non-Affiliates						0	0	0	0	0	0	0
6799999.	Total Separate Accounts Unauthorized						0	0	0	0	0	0	0
7099999.	Total Separate Accounts - Certified U.S. Affiliates						0	0	0	0	0	0	0
7399999.	Total Separate Accounts - Certified Non-U.S. Affiliates						0	0	0	0	0	0	0
7499999.	Total Separate Accounts - Certified Affiliates						0	0	0	0	0	0	0
7799999.	Total Separate Accounts - Certified Non-Affiliates						0	0	0	0	0	0	0
7899999.	Total Separate Accounts Certified						0	0	0	0	0	0	0
8199999.	Total Separate Accounts - Reciprocal Jurisdiction U.S. Affiliates						0	0	0	0	0	0	0
8499999.	Total Separate Accounts - Reciprocal Jurisdiction Non-U.S. Affiliates						0	0	0	0	0	0	0
8599999.	Total Separate Accounts - Reciprocal Jurisdiction Affiliates						0	0	0	0	0	0	0
8899999.	Total Separate Accounts - Reciprocal Jurisdiction Non-Affiliates						0	0	0	0	0	0	0
8999999.	Total Separate Accounts Reciprocal Jurisdiction						0	0	0	0	0	0	0
9099999.	Total Separate Accounts Authorized, Unauthorized, Reciprocal Jurisdiction and Certified						0	0	0	0	0	0	0
9199999.	Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3699999, 4199999, 4899999, 5399999, 5999999, 6499999, 7099999, 7599999, 8199999 and 8699999)						1,375,892	0	8,950,951	0	0	0	0
9299999.	Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 3999999, 4299999, 5199999, 5499999, 6299999, 6599999, 7399999, 7699999, 8499999 and 8799999)						94,541	0	0	0	0	0	0
9999999.	Totals						1,470,433	0	8,950,951	0	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE S - PART 4

Reinsurance Ceded to Unauthorized Companies

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Reserve Credit Taken	Paid and Unpaid Losses Recoverable (Debit)	Other Debits	Total (Cols.5+6+7)	Letters of Credit	Issuing or Confirming Bank Reference Number (a)	Trust Agreements	Funds Deposited by and Withheld from Reinsurers	Other	Miscellaneous Balances (Credit)	Sum of Cols. 9+11+12+13 +14 but not in Excess of Col. 8
0399999. Total General Account - Life and Annuity U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
0699999. Total General Account - Life and Annuity Non-U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
0799999. Total General Account - Life and Annuity Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
...00000 ...	AA-1780096	01/01/2016	Partner Reinsurance Europe SE	465	90,977	0	91,442	0		0	0	100,000	0	91,442
...00000 ...	AA-3191321	01/01/2017	Sirius Bermuda Insurance Company Limited	0	0	0	0	0		0	0	0	2,044	0
...00000 ...	AA-1580095	07/01/2003	The TOA Reinsurance Company Limited	35,724	804,682	0	840,406	252,626	0001	0	0	525,000	70,968	840,406
0999999. General Account - Life and Annuity Non-U.S. Non-Affiliates				36,189	895,659	0	931,848	252,626	XXX	0	0	625,000	73,012	931,848
1099999. Total General Account - Life and Annuity Non-Affiliates				36,189	895,659	0	931,848	252,626	XXX	0	0	625,000	73,012	931,848
1199999. Total General Account Life and Annuity				36,189	895,659	0	931,848	252,626	XXX	0	0	625,000	73,012	931,848
1499999. Total General Account - Accident and Health U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
1799999. Total General Account - Accident and Health Non-U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
1899999. Total General Account - Accident and Health Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
...00000 ...	AA-1120841	01/01/2010	AIG Europe Limited	0	53	0	53	0		0	0	100	0	53
...00000 ...	AA-1780096	01/01/2016	Partner Reinsurance Europe SE	0	341	0	341	0		0	0	500	0	341
...00000 ...	AA-3191321	01/01/2017	Sirius Bermuda Insurance Company Limited	0	1,009	0	1,009	0		0	0	0	3,697	1,009
...00000 ...	AA-1580095	07/01/2003	The TOA Reinsurance Company Limited	0	5,915	0	5,915	0		0	0	0	22,184	5,915
2099999. General Account - Accident and Health Non-U.S. Non-Affiliates				0	7,318	0	7,318	0	XXX	0	0	600	25,881	7,318
2199999. Total General Account - Accident and Health Non-Affiliates				0	7,318	0	7,318	0	XXX	0	0	600	25,881	7,318
2299999. Total General Account Accident and Health				0	7,318	0	7,318	0	XXX	0	0	600	25,881	7,318
2399999. Total General Account				36,189	902,977	0	939,166	252,626	XXX	0	0	625,600	98,893	939,166
2699999. Total Separate Accounts - U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
2999999. Total Separate Accounts - Non-U.S. Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
3099999. Total Separate Accounts - Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
3399999. Total Separate Accounts - Non-Affiliates				0	0	0	0	0	XXX	0	0	0	0	0
3499999. Total Separate Accounts				0	0	0	0	0	XXX	0	0	0	0	0
3599999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2699999 and 3199999)				0	0	0	0	0	XXX	0	0	0	0	0
3699999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2999999 and 3299999)				36,189	902,977	0	939,166	252,626	XXX	0	0	625,600	98,893	939,166
9999999 - Totals				36,189	902,977	0	939,166	252,626	XXX	0	0	625,600	98,893	939,166

(a)	Issuing or Confirming Bank Reference Number	Letters of Credit Code	American Bankers Association (ABA) Routing Number	Issuing or Confirming Bank Name	Letters of Credit Amount
	0001	1.....	026011947	The Shizuoka Bank	252,626

Schedule S - Part 5

N O N E

Schedule S - Part 5 - Bank Footnote

N O N E

SCHEDULE S - PART 6
Five Year Exhibit of Reinsurance Ceded Business
(\$000 Omitted)

	1 2020	2 2019	3 2018	4 2017	5 2016
A. OPERATIONS ITEMS					
1. Premiums and annuity considerations for life and accident and health contracts	1,880	1,663	2,186	2,007	2,670
2. Commissions and reinsurance expense allowances	52	0	0	0	(1)
3. Contract claims	18,291	14,026	12,465	13,684	15,762
4. Surrender benefits and withdrawals for life contracts	0	0	0	0	0
5. Dividends to policyholders and refunds to members	0	0	0	0	0
6. Reserve adjustments on reinsurance ceded	0	0	0	0	0
7. Increase in aggregate reserve for life and accident and health contracts	(2,062)	738	1,062	(2,173)	(964)
B. BALANCE SHEET ITEMS					
8. Premiums and annuity considerations for life and accident and health contracts deferred and uncollected	1,025	1,220	1,567	1,326	1,365
9. Aggregate reserves for life and accident and health contracts	150,387	158,015	155,385	153,745	163,200
10. Liability for deposit-type contracts	263	255	248	241	234
11. Contract claims unpaid	879	296	249	179	202
12. Amounts recoverable on reinsurance	3,919	1,838	1,378	1,196	1,338
13. Experience rating refunds due or unpaid	0	0	0	0	0
14. Policyholders' dividends and refunds to members (not included in Line 10)	0	0	0	0	0
15. Commissions and reinsurance expense allowances due	0	0	0	0	0
16. Unauthorized reinsurance offset	0	0	0	0	0
17. Offset for reinsurance with Certified Reinsurers	0	0	0	0	0
C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)					
18. Funds deposited by and withheld from (F)	0	0	0	0	0
19. Letters of credit (L)	253	0	0	0	0
20. Trust agreements (T)	0	0	0	0	0
21. Other (O)	626	0	0	0	0
D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)					
22. Multiple Beneficiary Trust	0	0	0	0	0
23. Funds deposited by and withheld from (F)	0	0	0	0	0
24. Letters of credit (L)	0	0	0	0	0
25. Trust agreements (T)	0	0	0	0	0
26. Other (O)	0	0	0	0	0

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit for Ceded Reinsurance

	1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
ASSETS (Page 2, Col. 3)			
1. Cash and invested assets (Line 12)	405,167,363	0	405,167,363
2. Reinsurance (Line 16)	3,919,088	(3,919,088)	0
3. Premiums and considerations (Line 15)	29,427,949	1,024,761	30,452,710
4. Net credit for ceded reinsurance	XXX	153,896,992	153,896,992
5. All other admitted assets (balance)	23,025,616	0	23,025,616
6. Total assets excluding Separate Accounts (Line 26)	461,540,016	151,002,665	612,542,681
7. Separate Account assets (Line 27)	0	0	0
8. Total assets (Line 28)	461,540,016	151,002,665	612,542,681
LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
9. Contract reserves (Lines 1 and 2)	271,633,157	150,123,733	421,756,890
10. Liability for deposit-type contracts (Line 3)	18,589,163	0	18,589,163
11. Claim reserves (Line 4)	35,019,360	878,932	35,898,292
12. Policyholder dividends/member refunds/reserves (Lines 5 through 7)	0	0	0
13. Premium & annuity considerations received in advance (Line 8)	0	0	0
14. Other contract liabilities (Line 9)	9,236,255	0	9,236,255
15. Reinsurance in unauthorized companies (Line 24.02 minus inset amount)	0	0	0
16. Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount)	0	0	0
17. Reinsurance with Certified Reinsurers (Line 24.02 inset amount)	0	0	0
18. Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount)	0	0	0
19. All other liabilities (balance)	28,321,628	0	28,321,628
20. Total liabilities excluding Separate Accounts (Line 26)	362,799,563	151,002,665	513,802,228
21. Separate Account liabilities (Line 27)	0	0	0
22. Total liabilities (Line 28)	362,799,563	151,002,665	513,802,228
23. Capital & surplus (Line 38)	98,740,453	XXX	98,740,453
24. Total liabilities, capital & surplus (Line 39)	461,540,016	151,002,665	612,542,681
NET CREDIT FOR CEDED REINSURANCE			
25. Contract reserves	150,123,733		
26. Claim reserves	878,932		
27. Policyholder dividends/reserves	0		
28. Premium & annuity considerations received in advance	0		
29. Liability for deposit-type contracts	0		
30. Other contract liabilities	0		
31. Reinsurance ceded assets	3,919,088		
32. Other ceded reinsurance recoverables	0		
33. Total ceded reinsurance recoverables	154,921,753		
34. Premiums and considerations	1,024,761		
35. Reinsurance in unauthorized companies	0		
36. Funds held under reinsurance treaties with unauthorized reinsurers	0		
37. Reinsurance with Certified Reinsurers	0		
38. Funds held under reinsurance treaties with Certified Reinsurers	0		
39. Other ceded reinsurance payables/offsets	0		
40. Total ceded reinsurance payable/offsets	1,024,761		
41. Total net credit for ceded reinsurance	153,896,992		

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS (b)

Allocated by States and Territories

States, Etc.			1	Direct Business Only					
				Life Contracts		4	5	6	7
				2	3				
			Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5 (b)	Deposit-Type Contracts
1.	Alabama	AL	L	.0	.0	10,075	0	10,075	.0
2.	Alaska	AK	N	.0	.0	0	0	0	.0
3.	Arizona	AZ	N	.0	.0	0	0	0	.0
4.	Arkansas	AR	N	.0	.0	0	0	0	.0
5.	California	CA	N	.0	.0	0	0	0	.0
6.	Colorado	CO	N	.0	.0	0	0	0	.0
7.	Connecticut	CT	N	.0	.0	0	0	0	.0
8.	Delaware	DE	N	.0	.0	0	0	0	.0
9.	District of Columbia	DC	L	.0	.0	417,566	0	417,566	.0
10.	Florida	FL	N	.0	.0	0	0	0	.0
11.	Georgia	GA	N	.0	.0	0	0	0	.0
12.	Hawaii	HI	N	.0	.0	0	0	0	.0
13.	Idaho	ID	N	.0	.0	0	0	0	.0
14.	Illinois	IL	N	.0	.0	0	0	0	.0
15.	Indiana	IN	N	.0	.0	0	0	0	.0
16.	Iowa	IA	N	.0	.0	0	0	0	.0
17.	Kansas	KS	N	.0	.0	0	0	0	.0
18.	Kentucky	KY	N	.0	.0	0	0	0	.0
19.	Louisiana	LA	N	.0	.0	0	0	0	.0
20.	Maine	ME	N	.0	.0	0	0	0	.0
21.	Maryland	MD	N	.0	.0	0	0	0	.0
22.	Massachusetts	MA	N	.0	.0	0	0	0	.0
23.	Michigan	MI	N	.0	.0	0	0	0	.0
24.	Minnesota	MN	N	.0	.0	0	0	0	.0
25.	Mississippi	MS	N	.0	.0	0	0	0	.0
26.	Missouri	MO	L	.0	.0	296,101	0	296,101	.0
27.	Montana	MT	N	.0	.0	0	0	0	.0
28.	Nebraska	NE	N	.0	.0	0	0	0	.0
29.	Nevada	NV	N	.0	.0	0	0	0	.0
30.	New Hampshire	NH	N	.0	.0	0	0	0	.0
31.	New Jersey	NJ	N	.0	.0	0	0	0	.0
32.	New Mexico	NM	N	.0	.0	0	0	0	.0
33.	New York	NY	L	58,214,202	.0	170,985,456	0	229,199,658	.0
34.	North Carolina	NC	N	.0	.0	0	0	0	.0
35.	North Dakota	ND	N	.0	.0	0	0	0	.0
36.	Ohio	OH	N	.0	.0	0	0	0	.0
37.	Oklahoma	OK	N	.0	.0	0	0	0	.0
38.	Oregon	OR	N	.0	.0	0	0	0	.0
39.	Pennsylvania	PA	L	30,480	.0	2,607,755	0	2,638,235	.0
40.	Rhode Island	RI	N	.0	.0	0	0	0	.0
41.	South Carolina	SC	N	.0	.0	0	0	0	.0
42.	South Dakota	SD	N	.0	.0	0	0	0	.0
43.	Tennessee	TN	L	.0	.0	147,752	0	147,752	.0
44.	Texas	TX	N	.0	.0	0	0	0	.0
45.	Utah	UT	N	.0	.0	0	0	0	.0
46.	Vermont	VT	N	.0	.0	0	0	0	.0
47.	Virginia	VA	N	.0	.0	0	0	0	.0
48.	Washington	WA	N	.0	.0	0	0	0	.0
49.	West Virginia	WV	N	.0	.0	0	0	0	.0
50.	Wisconsin	WI	N	.0	.0	0	0	0	.0
51.	Wyoming	WY	N	.0	.0	0	0	0	.0
52.	American Samoa	AS	N	.0	.0	0	0	0	.0
53.	Guam	GU	N	.0	.0	0	0	0	.0
54.	Puerto Rico	PR	N	.0	.0	0	0	0	.0
55.	U.S. Virgin Islands	VI	N	.0	.0	0	0	0	.0
56.	Northern Mariana Islands	MP	N	.0	.0	0	0	0	.0
57.	Canada	CAN	N	.0	.0	0	0	0	.0
58.	Aggregate Other Alien	OT	XXX	.0	.0	0	0	0	.0
59.	Subtotal	XXX		58,244,682	.0	174,464,706	0	232,709,388	.0
90.	Reporting entity contributions for employee benefits plans	XXX		.0	.0	0	0	0	.0
91.	Dividends or refunds applied to purchase paid-up additions and annuities	XXX		.0	.0	0	0	0	.0
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX		.0	.0	0	0	0	.0
93.	Premium or annuity considerations waived under disability or other contract provisions	XXX		.0	.0	0	0	0	.0
94.	Aggregate or other amounts not allocable by State	XXX		.0	.0	0	0	0	.0
95.	Totals (Direct Business)	XXX		58,244,682	.0	174,464,706	0	232,709,388	.0
96.	Plus reinsurance assumed	XXX		.0	.0	0	0	0	.0
97.	Totals (All Business)	XXX		58,244,682	.0	174,464,706	0	232,709,388	.0
98.	Less reinsurance ceded	XXX		875,109	.0	1,470,434	0	2,345,543	.0
99.	Totals (All Business) less Reinsurance Ceded	XXX		57,369,573	0	(c) 172,994,272	0	230,363,845	0
DETAILS OF WRITE-INS									
58001.		XXX							
58002.		XXX							
58003.		XXX							
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX		.0	.0	0	0	0	.0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		0	0	0	0	0	0
9401.		XXX							
9402.		XXX							
9403.		XXX							
9498.	Summary of remaining write-ins for Line 94 from overflow page	XXX		.0	.0	0	0	0	.0
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX		0	0	0	0	0	0

(a) Active Status Counts:
L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....6
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0
N - None of the above - Not allowed to write business in the state.....51
R - Registered - Non-domiciled RRGs.....0
Q - Qualified - Qualified or accredited reinsurer.....0

(b) Explanation of basis of allocation by states, etc., of premiums and annuity considerations
Individual Insurance: according to residence of payor. Group Insurance: according to the contract state of the policyholder (cases w/ less than 500 lives). Group Insurance: according to the basis proportionate to the number of insureds residing in each state (cases w/ more than 500 lives).

(c) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10, or with Schedule H, Part 1, Line 1, indicate which: Schedule H, Part 1, Line 1.....

SCHEDULE T - PART 2
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN

Allocated by States and Territories

			Direct Business Only					
			1	2	3	4	5	6
States, Etc.			Life (Group and Individual)	Annuities (Group and Individual)	Disability Income (Group and Individual)	Long-Term Care (Group and Individual)	Deposit-Type Contracts	Totals
1.	Alabama	AL	0	0	10,000	0	0	10,000
2.	Alaska	AK	0	0	0	0	0	0
3.	Arizona	AZ	0	0	0	0	0	0
4.	Arkansas	AR	0	0	0	0	0	0
5.	California	CA	0	0	0	0	0	0
6.	Colorado	CO	0	0	0	0	0	0
7.	Connecticut	CT	0	0	0	0	0	0
8.	Delaware	DE	0	0	0	0	0	0
9.	District of Columbia	DC	0	0	415,214	0	0	415,214
10.	Florida	FL	0	0	0	0	0	0
11.	Georgia	GA	0	0	0	0	0	0
12.	Hawaii	HI	0	0	0	0	0	0
13.	Idaho	ID	0	0	0	0	0	0
14.	Illinois	IL	0	0	0	0	0	0
15.	Indiana	IN	0	0	0	0	0	0
16.	Iowa	IA	0	0	0	0	0	0
17.	Kansas	KS	0	0	0	0	0	0
18.	Kentucky	KY	0	0	0	0	0	0
19.	Louisiana	LA	0	0	0	0	0	0
20.	Maine	ME	0	0	0	0	0	0
21.	Maryland	MD	0	0	0	0	0	0
22.	Massachusetts	MA	0	0	0	0	0	0
23.	Michigan	MI	0	0	0	0	0	0
24.	Minnesota	MN	0	0	0	0	0	0
25.	Mississippi	MS	0	0	0	0	0	0
26.	Missouri	MO	0	0	293,197	0	0	293,197
27.	Montana	MT	0	0	0	0	0	0
28.	Nebraska	NE	0	0	0	0	0	0
29.	Nevada	NV	0	0	0	0	0	0
30.	New Hampshire	NH	0	0	0	0	0	0
31.	New Jersey	NJ	0	0	0	0	0	0
32.	New Mexico	NM	0	0	0	0	0	0
33.	New York	NY	58,214,202	0	160,684,969	0	0	218,899,171
34.	North Carolina	NC	0	0	0	0	0	0
35.	North Dakota	ND	0	0	0	0	0	0
36.	Ohio	OH	0	0	0	0	0	0
37.	Oklahoma	OK	0	0	0	0	0	0
38.	Oregon	OR	0	0	0	0	0	0
39.	Pennsylvania	PA	30,480	0	2,588,960	0	0	2,619,440
40.	Rhode Island	RI	0	0	0	0	0	0
41.	South Carolina	SC	0	0	0	0	0	0
42.	South Dakota	SD	0	0	0	0	0	0
43.	Tennessee	TN	0	0	147,694	0	0	147,694
44.	Texas	TX	0	0	0	0	0	0
45.	Utah	UT	0	0	0	0	0	0
46.	Vermont	VT	0	0	0	0	0	0
47.	Virginia	VA	0	0	0	0	0	0
48.	Washington	WA	0	0	0	0	0	0
49.	West Virginia	WV	0	0	0	0	0	0
50.	Wisconsin	WI	0	0	0	0	0	0
51.	Wyoming	WY	0	0	0	0	0	0
52.	American Samoa	AS	0	0	0	0	0	0
53.	Guam	GU	0	0	0	0	0	0
54.	Puerto Rico	PR	0	0	0	0	0	0
55.	U.S. Virgin Islands	VI	0	0	0	0	0	0
56.	Northern Mariana Islands	MP	0	0	0	0	0	0
57.	Canada	CAN	0	0	0	0	0	0
58.	Aggregate Other Alien	OT	0	0	0	0	0	0
59.	Total		58,244,682	0	164,140,034	0	0	222,384,716

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

The following entities are directly controlled by New York Life Insurance Company (Parent) (entities that are indented are directly controlled by the preceding entity).

New York Life Insurance and Annuity Corporation (91596) (DE)
NYLIFE Insurance Company of Arizona (81353) (AZ)
New York Life Enterprises LLC (See page 52.2 for entity's org chart) (DE)
NYLIFE LLC (See page 52.2 for entity's org chart) (DE)
NYL Investors LLC (See page 52.3 for entity's org chart) (DE)
New York Life Investment Management Holdings LLC (See page 52.4 for entity's org chart) (DE)
NYLife Real Estate Holdings LLC (See page 52.10 for entity's org chart) (DE)
New York Life Group Insurance Company of NY (NY)
Life Insurance Company of North America (PA)
 LINA Benefit Payments, Inc. (DE)
NYL Real Assets LLC (DE)
NYL Emerging Manager LLC (DE)
NYL Wind Investments LLC (DE)
NYLIC HKP Member LLC (DE)
NYLIM Jacob Ballas India Holdings IV (MUS)
Flatiron CLO 2013-1-Ltd. (CYM)
Flatiron CLO 2015-1 Ltd (CYM)
Flatiron CLO 17 Ltd. (CYM)
Flatiron CLO 18 Ltd. (CYM)
Flatiron CLO 18 Funding Ltd. (CYM)
Flatiron CLO 20 Funding Ltd. (CYM)
Stratford CDO 2001-1 Ltd. (CYM)
Silver Spring, LLC (DE)
 Silver Spring Associates, L.P. (PA)
SCP 2005-C21-002 LLC (DE)
SCP 2005-C21-003 LLC (DE)
SCP 2005-C21-006 LLC (DE)
SCP 2005-C21-007-LLC (DE)
SCP 2005-C21-008 LLC (DE)
SCP 2005-C21-009 LLC (DE)
SCP 2005-C21-017 LLC (DE)
SCP 2005-C21-018 LLC (DE)
SCP 2005-C21-021 LLC (DE)
SCP 2005-C21-025 LLC (DE)
SCP 2005-C21-031 LLC (DE)
SCP 2005-C21-036 LLC (DE)
SCP 2005-C21-041 LLC (DE)
SCP 2005-C21-043 LLC (DE)
SCP 2005-C21-044 LLC (DE)
SCP 2005-C21-048 LLC (DE)
SCP 2005-C21-061 LLC (DE)
SCP 2005-C21-063 LLC (DE)
SCP 2005-C21-067 LLC (DE)
SCP 2005-C21-069 LLC (DE)
SCP 2005-C21-070 LLC (DE)
NYMH-Ennis GP, LLC (DE)
 NYMH-Ennis, L.P. (TX)
NYMH-Freeport GP, LLC (DE)

NYMH-Freeport, L.P. (TX)
NYMH-Houston GP, LLC (DE)
 NYMH-Houston, L.P. (TX)
NYMH-Plano GP, LLC (DE)
 NYMH-Plano, L.P. (TX)
NYMH-San Antonio GP, LLC (DE)
 NYMH-San Antonio, L.P. (TX)
NYMH-Stephenville GP, LLC (DE)
 NYMH-Stephenville, L.P. (TX)
NYMH-Taylor GP, LLC (DE)
 NYMH-Taylor, L.P. (TX)
NYMH-Attleboro MA, LLC (DE)
NYMH-Farmingdale, NY, LLC (DE)
NYLMDC-King of Prussia GP, LLC (DE)
 NYLMDC-King of Prussia Realty, LP (DE)
2015 DIL PORTFOLIO HOLDINGS LLC (DE)
 PA 180 KOST RD LLC (DE)
2017 CT REO HOLDINGS LLC (DE)
Cortlandt Town Center LLC (DE)
REEP-HZ SPENCER LLC (DE)
REEP-IND 10 WEST AZ LLC (DE)
REEP-IND 4700 Nall TX LLC (DE)
REEP-IND Aegean MA LLC (DE)
REEP-IND Alpha TX LLC (DE)
REEP-IND MCP VIII NC LLC (DE)
REEP-IND CHINO CA LLC (DE)
REEP-IND FREEDOM MA LLC (DE)
REEP-IND Fridley MN LLC (MN)
REEP-IND Green Oaks IL LLC (DE)
REEP-IND Kent LLC (DE)
REEP-IND LYMAN MA LLC (DE)
REEP-IND MCP II NC LLC (DE)
REEP-IND MCP III NC LLC (DE)
REEP-IND MCP IV NC LLC (DE)
REEP-IND MCP V NC LLC (DE)
REEP-IND MCP VII NC LLC (DE)
REEP-IND RTG NC LLC (DE)
REEP-IND Simonton TX LLC (DE)
REEP-IND Valley View TX LLC (DE)
REEP-IND Valwood TX LLC (DE)
REEP-MF 960 East Paces Ferry GA LLC (DE)
REEP-MF 960 EPF Opco GA LLC (DE)
REEP-MF Emblem DE LLC (DE)
REEP-MF Gateway TAF UT LLC (DE)
 REEP-WP Gateway TAB JV LLC (DE)
REEP-MF Issaquah WA LLC (DE)
REEP-MF Mount Vernon GA LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Insurance Company (Parent) (continued)

REEP-MF Mount Laurel NJ LLC (DE) REEP-MF NORTH PARK CA LLC (DE) REEP-MF AVERY TX LLC (DE) REEP-AVERY OWNER LLC (DE) REEP-MF Verde NC LLC (DE) REEP-MF Wallingford WA LLC (DE) REEP-OFC Bellevue WA LLC (DE) REEP-OFC WATER RIDGE NC HOLDCO LLC (DE) REEP-OFC ONE WATER RIDGE NC LLC (DE) REEP-OFC TWO WATER RIDGE NC LLC (DE) REEP-OFC FOUR WATER RIDGE NC LLC (DE) REEP-OFC FIVE WATER RIDGE NC LLC (DE) REEP-OFC SIX WATER RIDGE NC LLC (DE) REEP-OFC SEVEN WATER RIDGE NC LLC (DE) REEP-OFC EIGHT WATER RIDGE NC LLC (DE) REEP-OFC NINE WATER RIDGE NC LLC (DE) REEP-OFC TEN WATER RIDGE NC LLC (DE) REEP-OFC ELEVEN WATER RIDGE NC LLC (DE) REEP-MF FOUNTAIN PLACE MN LLC (DE) REEP-MF FOUNTAIN PLACE LLC (DE) REEP-MF Park-Line FL LLC (DE) REEP-OFC 2300 Empire CA LLC (DE) REEP-IND 10 WEST II AZ LLC (DE) REEP-RTL Flemington NJ LLC (DE) REEP-RTL Mill Creek NJ LLC (DE) REEP-RTL NPM GA LLC (DE) REEP TAB ONE LLC (DE) REEP-RTL DTC VA LLC (DE) NJIND Raritan Center LLC (DE) NJIND Talmadge Road LLC (DE) NJIND Melrich Road LLC (DE) FP Building 18, LLC (DE) FP Building 19, LLC (DE) PTC Acquisitions, LLC (DE) Martingale Road LLC (DE) New York Life Funding (CYM) New York Life Global Funding (DE) Government Energy Savings Trust 2003-A (NY) UFI-NOR Federal Receivables Trust, Series 2009B (NY) JREP Fund Holdings I, L.P. (CYM) Jaguar Real Estate Partners L.P. (CYM) NYLIFE Office Holdings Member LLC (DE) NYLIFE Office Holdings LLC (DE) NYLIFE Office Holdings REIT LLC (DE) REEP-OFC DRAKES LANDING CA LLC (DE) REEP-OFC CORPORATE POINTE CA LLC (DE) REEP-OFC VON KARMAN CA LLC (DE) REEP-OFC ONE BOWDOIN SQUARE MA LLC (DE)	REEP-OFC 525 N Tryon NC LLC (DE) 525 Charlotte Office LLC (DE) NYLIFE Office Holdings Acquisition REIT LLC (DE) REEP-OFC Westory DC LLC (DE) Skyhigh SPV Note Issuer 2020 Parent Trust (DE) Skyhigh SPV Note Issuer 2020 LLC (DE) MSVEF Investor LLC (DE) MSVEF Feeder LP (DE) MSVEF REIT LLC (DE) Madison Square Value Enhancement Fund LP (DE) MSVEF-MF Evanston GP LLC (DE) MSVEF-MF Evanston IL LP (DE) MSVEF-MF HUNTINGTON PARK GP LLC (DE) MSVEF-MF HUNTINGTON PARK WA LP (DE) MSVEF-OFC Tampa GP LLC (DE) MSVEF-OFC WFC Tampa FL LP (DE) MSVEF-FG WFC Tampa JV LP (DE) MSVEF-OFC WFC Tampa PO GP LLC (DE) MSVEF-FG WFC Property Owner LP (DE) MSVEF-IND Commerce 303 GP LLC (DE) MSVEF-IND Commerce 303 AZ LP (DE) MSVEF-SW Commerce 303 JV LP (DE)
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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Enterprises LLC

SEAF Sichuan SME Investment Fund LLC (DE)
New York Life International Holdings Limited (MUS)
MAX Ventures and Industries Limited (IND)
NYL Cayman Holdings Ltd. (CYM)
NYL Worldwide Capital Investments LLC (DE)
Seguros Monterrey New York Life, S.A. de C.V. (MEX)
Administradora de Conductos SMNYL, S.A. de C.V. (MEX)
Agencias de Distribucion SMNYL, S.A. de C.V. (MEX)
Inmobiliaria SMNYL, S.A. de C.V. (MEX)

NYLIFE LLC

Eagle Strategies LLC (DE)
Fabric of Family LLC (DE)
New York Life Capital Corporation (DE)
New York Life Trust Company (NY)
NYLIFE Securities LLC (DE)
NYLINK Insurance Agency Incorporated (DE)
NYLUK I Company (GBR)
NYLUK II Company (GBR)
Gresham Mortgage (GBR)
W Construction Company (GBR)
WUT (GBR)
WIM (AIM) (GBR)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

NYL Investors LLC

NYL Investors (U.K.) Limited (GBR)
NYL Investors REIT Manager LLC (DE)
NYLIM Holdings NCVAD, GP, LLC (DE)
 McMorgan Northern California Value Add/Development Fund I, LP (DE)
 MNCVAD-OFC RIDDER PARK CA LLC (DE)
 MNCVAD-GRAYMARK RIDDER PARK LLC (DE)
NYL Investors NCVAD II GP, LLC (DE)
 McMorgan Northern California Value Add/Development Fund II, LP (DE)
 MNCVAD II-MF HENLEY CA LLC (DE)
 MNCVAD II-SP HENLEY JV LLC (DE)
 MNCVAD II-SP HENLEY OWNER LLC (DE)
 MNCVAD II-OFC 770 L Street CA LLC (DE)
 MNCVAD II-MF UNION CA LLC (DE)
 MNCVAD II- HOLLIDAY UNION JV LLC (DE)
 MNCVAD II-OFC HARBORS CA LLC (DE)
 MNCVAD II-SEAGATE HARBORS LLC (DE)
 MNCVAD II-OFC 630 K Street CA LLC (DE)
MSSDF GP LLC (DE)
MSSDF Member LLC (DE)
 Madison Square Structured Debt Fund LP (DE)
 MSSDF REIT LLC (DE)
 MSSDF REIT Funding Sub I LLC (DE)
 MSSDF REIT Funding Sub II LLC (DE)
 MSSDF REIT Funding Sub III LLC (DE)
 MSSDF REIT Funding Sub IV LLC (DE)
MSVEF GP LLC (DE)
MCPF GP LLC (DE)
Madison Core Property Fund LP (DE)
 MCPF Holdings Manager LLC (DE)
 MCPF MA Holdings LLC (DE)
 MCPF Holdings LLC (DE)
 MADISON-IND TAMARAC FL LLC (DE)
MIREF 1500 Quail, LLC (DE)
MIREF Mill Creek, LLC (DE)
MIREF Gateway, LLC (DE)
MIREF Gateway Phases II and III, LLC (DE)
MIREF Delta Court, LLC (DE)
MIREF Fremont Distribution Center, LLC (DE)
MIREF Century, LLC (DE)
MIREF Saddle River LLC (DE)
MIREF Newpoint Commons, LLC (DE)
MIREF Northsight, LLC (DE)
MIREF Riverside, LLC (DE)
MIREF Corporate Woods, LLC (DE)
MIREF Bedminster, LLC (DE)
Barton's Lodge Apartments, LLC (DE)
MIREF Marketpointe, LLC (DE)
MIREF 101 East Crossroads, LLC (DE)

101 East Crossroads, LLC (DE)
MIREF Hawthorne, LLC (DE)
MIREF Auburn 277, LLC (DE)
MIREF Sumner North, LLC (DE)
MIREF Wellington, LLC (DE)
MIREF Warner Center, LLC (DE)
MADISON-MF Duluth GA LLC (DE)
MADISON-OFC Centerstone I CA LLC (DE)
MADISON-OFC Centerstone III CA LLC (DE)
MADISON-MOB Centerstone IV CA LLC (DE)
MADISON-OFC Centerpoint Plaza CA LLC (DE)
MADISON-IND Logistics NC LLC (DE)
 MCPF-LRC Logistics LLC (DE)
MADISON-MF Desert Mirage AZ LLC (DE)
MADISON-OFC One Main Place OR LLC (DE)
MADISON-IND Fenton MO LLC (DE)
MADISON-IND Hitzert Roadway MO LLC (DE)
MADISON-MF Hoyt OR LLC (DE)
MADISON-RTL Clifton Heights PA LLC (DE)
MADISON-IND Locust CA LLC (DE)
MADISON-OFC Weston Pointe FL LLC (DE)
MADISON-SP Henderson LLC (DE)
MADISON-IND VISTA LOGISTICS OR LLC (DE)
 MADISON-SPECHT VISTA LOGISTICS LLC (DE)
MADISON-MF MCCADDEN CA LLC (DE)
MADISON-OFC 1201 WEST IL LLC (DE)
 MADISON-MCCAFFERY 1201 WEST IL LLC (DE)
MADISON-MF CRESTONE AZ LLC (DE)
MADISON-MF TECH RIDGE TX LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
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New York Life Investment Management Holdings LLC

New York Life Investment Management Asia Limited (CYM)	
MacKay Shields LLC (DE)	
MacKay Shields Core Plus Opportunities Fund GP LLC (DE)	
MacKay Shields Core Plus / Opportunities Fund LP (DE)	
MacKay Municipal Managers Opportunities GP LLC (DE)	
MacKay Municipal Opportunities Master Fund, L.P. (DE)	
MacKay Municipal Opportunities Fund, L.P. (DE)	
MacKay Municipal Managers Credit Opportunities GP, LLC (DE)	
MacKay Municipal Credit Opportunities Master Fund, L.P. (DE)	
MacKay Municipal Credit Opportunities Fund, L.P. (DE)	
MacKay Municipal Credit Opportunities HL Fund, L.P. (DE)	
MacKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC (CYM)	
MacKay Municipal Credit Opportunities HL (Cayman) Fund, LP (CYM)	
MacKay Municipal Short Term Opportunities Fund GP LLC (DE)	
MacKay Municipal Short Term Opportunities Fund LP (DE)	
Plainview Funds plc (IRL)	
Plainview Funds plc - MacKay Shields Unconstrained Bond Portfolio (IRL)	
MacKay Shields High Yield Active Core Fund GP LLC (DE)	
MacKay Shields High Yield Active Core Fund LP (DE)	
MacKay Shields Credit Strategy Fund Ltd (CYM)	
MacKay Shields Credit Strategy Partners LP (DE)	
MacKay Shields Defensive Bond Arbitrage Fund Ltd. (BMU)	
MacKay Shields Core Fixed Income Fund GP LLC (DE)	
MacKay Shields Core Fixed Income Fund LP (DE)	
MacKay Shields Select Credit Opportunities Fund GP LLC (DE)	
MacKay Shields Select Credit Opportunities Fund LP (DE)	
MacKay Shields High Yield Crossover Fund LP (DE)	
MacKay Shields (International) Ltd. (GBR)	
MacKay Shields (Services) Ltd. (GBR)	
MacKay Shields UK LLP (GBR)	
MacKay Municipal Managers Puerto Rico Opportunities GP LLC (DE)	
MacKay Puerto Rico Opportunities Funds, L.P. (DE)	
MacKay Puerto Rico Opportunities Feeder Fund, L.P. (CYM)	
MacKay Municipal Managers California Opportunities GP LLC (DE)	
MacKay Municipal Managers California Opportunities Fund, L.P. (DE)	
MacKay Municipal New York Opportunities GP LLC (DE)	
MacKay Municipal New York Opportunities Fund, L.P. (DE)	
MacKay Municipal Opportunity HL Fund, L.P. (DE)	
MacKay Municipal Capital Trading GP LLC (DE)	
MacKay Municipal Capital Trading Master Fund, L.P (DE)	
MacKay Municipal Capital Trading Fund, L.P. (DE)	
MacKay Municipal Managers Strategic Opportunities GP LLC (DE)	
MacKay Municipal Managers Strategic Opportunities Fund, L.P. (DE)	
MacKay Shields US Equity Market Neutral Fund GP LLC (DE)	
MacKay Cornerstone US Equity Market Neutral Fund LP (DE)	
MacKay Shields Intermediate Bond Fund GP LLC (DE)	
MacKay Shields Intermediate Bond Fund LP (DE)	
MacKay Shields General Partner (L/S) LLC (DE)	
	MacKay Shields Long/Short Fund (Master) (DE)
	MacKay Municipal Managers Opportunities Allocation GP LLC (DE)
	MacKay Municipal Opportunities Allocation Master Fund LP (DE)
	MacKay Municipal Opportunities Allocation Fund A LP (DE)
	MacKay Municipal Opportunities Allocation Fund B LP (DE)
	MacKay Municipal Managers U.S. Infrastructure - Opportunities GP LLC (DE)
	MacKay Municipal U.S. Infrastructure Opportunities Fund LP (DE)
	MacKay Municipal Managers High Yield Select GP LLC (DE)
	MacKay Municipal High Yield Select Fund LP (DE)
	MacKay Flexible Income Fund GP LLC (DE)
	MacKay Flexible Income Fund LP (DE)
	MacKay Municipal Managers High Income Opportunities GP LLC (DE)
	MacKay Municipal High Income Opportunities Fund LP (DE)
	Cascade CLO Manager LLC (DE)
	MKS CLO Holdings GP LLC (DE)
	MKS CLO Holdings, LP (CYM)
	MKS CLO Advisors, LLC (DE)
	MacKay Shields Europe Investment Management Limited (IRL)
	MacKay Shields European Credit Opportunity Fund Limited (NJ)
	MKS TALF Opportunities Fund GP, LLC (DE)
	MacKay Shields TALF 2.0 Opportunities Feeder Fund (Cayman) LP (CYM)
	MacKay Shields TALF 2.0 Opportunities Feeder Fund (Cayman GBP-Hedged) LP (CYM)
	MacKay Shields TALF 2.0 Opportunities Feeder Fund (US) LP (DE)
	MacKay Shields TALF 2.0 Opportunities Master Fund LP (DE)
	Cornerstone Capital Management Holdings LLC (DE)
	Madison Square Investors Asian Equity Market Neutral Fund GP, LLC (DE)
	Cornerstone US Equity Market Neutral Fund, LLC (DE)
	Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC (DE)
	Cornerstone Capital Management Large-Cap Enhanced Index Fund, L.P. (DE)
	New York Life Investments Alternatives LLC (DE)
	Madison Capital Funding LLC (DE)
	MCF Co-Investment GP LLC (DE)
	MCF Co-Investment GP LP (DE)
	Madison Capital Funding Co-Investment Fund LP (DE)
	Madison Avenue Loan Fund GP LLC (DE)
	Madison Avenue Loan Fund LP (DE)
	MCF Fund I LLC (DE)
	MCF Hanwha Fund LLC (DE)
	Ironshore Investment BL I Ltd. (BMU)
	MCF CLO IV LLC (DE)
	MCF CLO V LLC (DE)
	MCF CLO VI LLC (DE)
	MCF CLO VII LLC (DE)
	MCF CLO VIII Ltd. (DE)
	MCF CLO VIII LLC (DE)
	MCF CLO IX Ltd. (CYM)
	MCF CLO IX LLC (DE)
	MCF KB Fund LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
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New York Life Investment Management Holdings LLC (continued)

MCF KB Fund II LLC (DE)	GoldPoint Partners Co-Investment Fund A, LP (DE)
MCF Hyundai Fund LLC (DE)	GoldPoint Partners Co-Investment V, LP (DE)
MCF Senior Debt Fund – 2020 LP	GPP V B Blocker Holdco LP (DE)
Montpelier Carry Parent, LLC (DE)	GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP (DE)
Montpelier Carry, LLC (DE)	GPP V - ECI Aggregator LP (DE)
Montpelier GP, LLC (DE)	GPP V E Blocker Holdco LP (DE)
Montpelier Fund, L.P. (DE)	GPP V F Blocker Holdco LP (DE)
MCF Mezzanine Carry I LLC (DE)	GPP V G Blocker Holdco LP (DE)
MCF Mezzanine Fund I LLC (DE)	GoldPoint Partners Private Debt V GenPar GP, LLC (DE)
MCF PD Fund GP LLC (DE)	GoldPoint Partners Private Debt Offshore V, LP (CYM)
MCF PD Fund LP (DE)	GPP Private Debt V RS LP (DE)
MCF Senior Debt Funds 2019-I GP LLC (DE)	GoldPoint Partners Private Debt V GenPar GP, LP (DE)
MCF Senior Debt Fund 2019-I LP (DE)	GoldPoint Partners Private Debt V, LP (DE)
Warwick Seller Representative, LLC (DE)	GPP Private Debt Blocker Holdco A, LLC (DE)
Young America Holdings, LLC (DE)	GPP Private Debt V-ECI Aggregator LP (DE)
YAC.ECOM Incorporated (MN)	GPP Private Debt Blocker Holdco B, LLC (DE)
Young America, LLC (MN)	GPP LuxCo V GP Sarl (LUX)
Global Fulfillment Services, Inc. (AZ)	GPP Private Debt LuxCo V SCSp (LUX)
SourceOne Worldwide, Inc. (MN)	GoldPoint Partners Select Manager III GenPar GP, LLC (DE)
YA Canada Corporation (CAN)	GoldPoint Partners Select Manager III GenPar, L.P. (CYM)
GoldPoint Partners LLC (DE)	GoldPoint Partners Select Manager Fund III, L.P. (CYM)
New York Life Capital Partners, L.L.C. (DE)	GoldPoint Partners Select Manager Fund III AIV, L.P. (DE)
New York Life Capital Partners II, L.L.C. (DE)	GoldPoint Partners Select Manager IV GenPar, L.P. (DE)
New York Life Capital Partners III GenPar GP, LLC (DE)	GoldPoint Partners Select Manager Fund IV, L.P. (DE)
New York Life Capital Partners IV GenPar GP, LLC (DE)	GoldPoint Partners Select Manager V GenPar GP, LLC (DE)
New York Life Capital Partners IV GenPar, L.P. (DE)	GoldPoint Partners Select Manager V GenPar, L.P. (DE)
New York Life Capital Partners IV, L.P. (DE)	GoldPoint Partners Select Manager Fund V, L.P. (DE)
New York Life Capital Partners IV-A, L.P. (DE)	GoldPoint Partners Canada V GenPar Inc. (CAN)
GoldPoint Core Opportunities Fund, L.P. (DE)	GoldPoint Partners Select Manager Canada Fund V, L.P. (CAN)
GoldPoint Core Opportunities Fund II L.P. (DE)	GoldPoint Partners Canada III GenPar Inc (CAN)
GoldPoint Mezzanine Partners IV GenPar GP, LLC (DE)	GoldPoint Partners Select Manager Canada Fund III, L.P. (CAN)
GoldPoint Mezzanine Partners IV GenPar, LP (DE)	GoldPoint Partners Canada IV GenPar Inc. (CAN)
GoldPoint Mezzanine Partners Co-Investment Fund A, LP (DE)	GoldPoint Partners Select Manager Canada Fund IV, L.P. (CAN)
GoldPoint Mezzanine Partners IV, LP (DE) (“GPPIVLP”)	GoldPoint Partners Co-Investment VI GenPar GP LLC (DE)
GPP Mezz IV A Blocker LP (DE)(“GPPMBA”)	GoldPoint Partners Co-Investment VI GenPar, LP (DE)
GPP Mezz IV A Preferred Blocker LP (DE)	GoldPoint Partners Co-Investment VI, LP (DE)
GPP Mezz IV B Blocker LP (DE) (“GPPMBB”)	GPP VI – ECI Aggregator LP (DE)
GPP Mezz IV C Blocker LP (DE) (“GPPMBC”)	GPP VI Blocker A LLC (DE)
GPP Mezz IV D Blocker LP (DE) (“GPPMBD”)	GPP VI Blocker B LLC (DE)
GPP Mezz IV E Blocker LPP (DE)	GPP VI Blocker C LLC (DE)
GPP Mezz IV ECI Aggregator, LP (DE)	GPP VI Blocker D LLC (DE)
GPP Mezz IV F Blocker LP (DE)	GPP VI Blocker E LLC (DE)
GPP Mezz IV G Blocker LP (DE)	GoldPoint Partners Co-Investment VII GenPar GP LLC (DE)
GPP Mezz IV H Blocker LP (DE)	GoldPoint Partners Co-Investment VII GenPar, LP (DE)
GPP Mezz IV I Blocker LP (DE)	GoldPoint Partners Co-Investment VII, LP (DE)
GoldPoint Mezzanine Partners Offshore IV, L.P. (CYM)	GoldPoint Private Credit GenPar GP, LLC (DE)
GoldPoint Partners Co-Investment V GenPar GP LLC (DE)	GoldPoint Private Credit Fund, LP (DE)
GoldPoint Partners Co-Investment V GenPar, L.P. (DE)	NYLCAP 2010 Co-Invest GenPar GP, LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
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New York Life Investment Management Holdings LLC (continued)

NYLCAP 2010 Co-Invest GenPar L.P. (DE)
NYLCAP 2010 Co-Invest L.P. (DE)
NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P. (DE)
NYLCAP 2010 Co-Invest ECI Blocker B L.P. (DE)
GoldPoint Partners Canada GenPar, Inc. (CAN)
NYLCAP Select Manager Canada Fund, LP (CAN)
NYLCAP Canada II GenPar, Inc. (CAN)
NYLCAP Select Manager Canada Fund II, L.P. (CAN)
NYLIM Mezzanine Partners II GenPar GP, LLC (DE)
NYLIM Mezzanine Offshore Partners II, LP (CYM)
NYLIM Mezzanine Partners II GenPar, LP (DE)
NYLCAP Mezzanine Partners III GenPar GP, LLC (DE)
NYLCAP Mezzanine Partners III GenPar, LP (DE)
NYLCAP Mezzanine Partners III, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP (DE)
NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP (DE)
NYLCAP Mezzanine Offshore Partners III, L.P. (CYM)
NYLCAP Select Manager GenPar GP, LLC (DE)
NYLCAP Select Manager GenPar, LP (DE)
NYLCAP Select Manager Fund, LP (DE)
NYLCAP Select Manager Cayman Fund, LP (CYM)
NYLCAP Select Manager II GenPar GP, LLC (DE)
NYLCAP Select Manager II GenPar GP, L.P. (CYM)
NYLCAP Select Manager Fund II, L.P. (CYM)
NYLCAP India Funding LLC (DE)
NYLIM-JB Asset Management Co., LLC (MUS)
New York Life Investment Management India Fund II, LLC (MUS)
New York Life Investment Management India Fund (FVCI) II, LLC (MUS)
NYLCAP India Funding III LLC (DE)
NYLIM-Jacob Ballas Asset Management Co. III, LLC (MUS)
NYLIM Jacob Ballas India Fund III, LLC (MUS)
NYLIM Jacob Ballas Capital India (FVCI) III, LLC (MUS)
NYLIM Jacob Ballas India (FII) III, LLC (MUS)
Evolve Asset Management, Ltd. (CYM)
EIF Managers Limited (MUS)
EIF Managers II Limited (MUS)
PA Capital LLC (DE)
BMG PAMP GP, LLC (DE)
BMG PA Private Markets (Delaware) LP (DE)
BMG Private Markets (Cayman) LP (CYM)
PACD MM, LLC (DE)
PA Capital Direct, LLC (DE)
PA Credit Program Carry Parent, LLC (DE)
PA Credit Program Carry, LLC (DE)
PACIF Carry Parent, LLC (DE)
PACIF Carry, LLC (DE)
PACIF GP, LLC (DE)
Private Advisors Coinvestment Fund, LP (DE)

PACIF II GP, LLC (DE)
Private Advisors Coinvestment Fund II, LP (DE)
PACIF II Carry Parent, LLC (DE)
PACIF II Carry, LLC (DE)
PACIF III GP, LLC (DE)
Private Advisors Coinvestment Fund III, LP (DE)
PACIF III Carry Parent, LLC (DE)
PACIF III Carry, LLC (DE)
PACIF IV GP, LLC (DE)
Private Advisors Coinvestment Fund IV, LP (DE)
PACIF IV Carry Parent, LLC (DE)
PACIF IV Carry, LLC (DE)
PAMMF GP, LLC (DE)
PA Middle Market Fund, LP (DE)
PA Hedged Equity Fund, L.P. (DE)
Private Advisors Hedged Equity Fund (QP), L.P. (DE)
Private Advisors Hedged Equity Master Fund (DE)
PASOF GP, LLC (DE)
PA Strategic Opportunities Fund, LP (DE)
PASCBF III GP, LLC (DE)
Private Advisors Small Company Buyout Fund III, LP (DE)
PASCBF IV GP, LLC (DE)
Private Advisors Small Company Buyout Fund IV, LP (DE)
PASCBF IV Carry Parent, LLC (DE)
PASCBF IV Carry, LLC (DE)
PASCBF V GP, LLC (DE)
Private Advisors Small Company Buyout Fund V, LP (DE)
Private Advisors Small Company Buyout V-ERISA Fund, LP (DE)
PASCBF V Carry Parent, LLC (DE)
PASCBF V Carry, LLC (DE)
PASCPEF VI Carry Parent, LLC (DE)
PASCPEF VI Carry, LLC (DE)
PASCPEF VI GP, LLC (DE)
Private Advisors Small Company Private Equity Fund VI, LP (DE)
Private Advisors Small Company Private Equity Fund VI (Cayman), LP (CYM)
PASCPEF VII GP, LLC (DE)
Private Advisors Small Company Private Equity Fund VII, LP (DE)
Private Advisors Small Company Private Equity Fund VII (Cayman), LP (CYM)
PASCPEF VII Carry Parent, LLC (DE)
PASCPEF VII Carry, LLC (DE)
PASCPEF VIII GP, LLC (DE)
Private Advisors Small Company Private Equity Fund VIII, LP (DE)
Private Advisors Small Company Private Equity Fund VIII (Cayman), LP (DE)
PASCPEF IX GP, LLC (DE)
PA Small Company Private Equity Fund IX, LP (DE)
PA Small Company Private Equity Fund IX, (Cayman) LP (CYM)
Cuyahoga Capital Partners IV Management Group LLC (DE)
Cuyahoga Capital Partners IV LP (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
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New York Life Investment Management Holdings LLC (continued)

Cuyahoga Capital Emerging Buyout Partners Management Group LLC (DE) Cuyahoga Capital Emerging Buyout Partners LP (DE) PA Real Assets Carry Parent, LLC (DE) PA Real Assets Carry, LLC (DE) PA Real Assets Carry Parent II, LLC (DE) PA Real Assets Carry II, LLC (DE) PA Emerging Manager Carry Parent, LLC (DE) PA Emerging Manager Carry, LLC (DE) PA Emerging Manager Carry Parent II, LLC (DE) PA Emerging Manager Carry II, LLC (DE) RIC I GP, LLC (DE) Richmond Coinvestment Partners I, LP (DE) RIC I Carry Parent, LLC (DE) RIC I Carry, LLC (DE) PASF V GP, LLC (DE) Private Advisors Secondary Fund V, LP (DE) PASF V Carry Parent, LLC (DE) PASF V Carry, LLC (DE) PASF VI GP, LLC (DE) PA Secondary Fund VI, LP (DE) PARAF GP, LLC (DE) Private Advisors Real Assets Fund, LP (DE) PARAF Carry Parent, LLC (DE) PARAF Carry, LLC (DE) PASCCIF GP, LLC (DE) Private Advisors Small Company Coinvestment Fund, LP (DE) Private Advisors Small Company Coinvestment Fund-ERISA, LP (DE) PASCCIF II GP, LLC (DE) Private Advisors Small Company Coinvested Fund II, LP (DE) PASCCIF Carry Parent, LLC (DE) PASCCIF Carry, LLC (DE) PARAF II GP LLC (DE) Private Advisors Real Assets Fund II, LP (DE) Private Advisors Hedged Equity Fund, Ltd. (CYM) Private Advisors Hedged Equity Fund (QP), Ltd. (CYM) Private Advisors Hedged Equity Master Fund, Ltd. (CYM) UVF GP, LLC (DE) Undiscovered Value Fund, LP (DE) Undiscovered Value Fund, Ltd. (CYM) Undiscovered Value Master Fund SPC (CYM) Washington Pike GP, LLC (DE) Washington Pike LP (DE) RidgeLake Partners GP, LLC (DE) RidgeLake Partners, LP (DE) NYLCAP Holdings (Mauritius) (MUS) Jacob Ballas Capital India PVT, Ltd. (MUS) Industrial Assets Holdings Limited (MUS) JB Cerestra Investment Management LLP (MUS)	NYLIM Service Company LLC (DE) NYL Workforce GP LLC (DE) New York Life Investment Management LLC (DE) NYLIM Fund II GP, LLC (DE) NYLIM-TND, LLC (DE) New York Life Investment Management Hong Kong Limited (CHN) WFHG, GP LLC (DE) Workforce Housing Fund I-2007, LP (DE) Index IQ Holdings Inc. (DE) IndexIQ LLC (DE) IndexIQ Advisors LLC (DE) IndexIQ Active ETF Trust (DE) IQ MacKay Shields Municipal Insured ETF (DE) IQ MacKay Shields Municipal Intermediate ETF (DE) IQ Ultra Short Duration ETF (DE) IndexIQ ETF Trust (DE) IQ 50 Percent Hedged FTSE International ETF (DE) IQ 50 Percent Hedged FTSE Japan ETF (DE) IQ 500 International ETF (DE) IQ Chaikin US Large Cap ETF (DE) IQ Chaikin US Small Cap ETF (DE) IQ Enhanced Core Plus Bond US ETF (DE) IQ Global Resources ETF (DE) IQ Hedge Event-Driven Tracker ETF (DE) IQ Hedge Long/Short Tracker ETF (DE) IQ Leaders GTAA Tracker ETF (DE) IQ S&P High Yield Low Volatility Bd ETF (DE) IQ Short Duration Enhanced Cor Bd US ETF (DE) IQ Candriam ESG International Equity ETF (DE) IQ Candriam ESG US Equity ETF (DE) New York Life Investment Management Holdings International (LUX) New York Life Investment Management Holdings II International (LUX) Candriam Group (LUX) CGH UK Acquisition Company Limited (GBR) Tristan Capital Partners Holdings Limited (GBR) Tristan Capital Holdings Limited (GBR) Tristan Capital Partners LLP (GBR) EPIISO 4 Co-Investment LLP (GBR) EPIISO 4 (GP) LLP (GBR) EPIISO 4 Incentive Partners LLP (GBR) Tristan Capital Limited (GBR) Tristan Capital Partners LLP (GBR) CCP III Co-Investment (GP) Limited (GBR) CCP III Co-Investment LP (GBR) CCP IV Co-Investment LP (GBR) CCP III (GP) LLP (GBR) CCP III Incentive Partners (GP) Limited (GBR)
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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
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New York Life Investment Management Holdings LLC (continued)

CCP III Incentive Partners LP (GBR) CCP IV Incentive Partners LP (GBR) Curzon Capital Partners III (GP) Limited (GBR) CCP III (GP) LLP (GBR) EPISO 3 Co-Investment (GP) Limited (GBR) EPISO 3 Co-Investment LP (GBR) EPISO 3 Incentive Partners (GP) Limited (GBR) EPISO 3 Incentive Partners LP (GBR) EPISO 3 IOM Limited (IMN) CCP IV (GP) LLP (GBR) Curzon Capital Partners IV (GP) Limited (GBR) CCP 5 GP LLP (GBR) CCP 5 Pool Partnership GP Limited (NJ) CCP 5 Pool Partnership SLP (NJ) Tristan Capital Partners Asset Management Limited (GBR) TCP Poland Spolka z ograniczoną odpowiedzialnością (POL) TCP Co-Investment (GP) S.à.r.l. (LUX) TCP Co-Investment SCSP (LUX) TCP Incentive Partners SCSP (LUX) TCP Incentive Partners (GP) S.à.r.l. (LUX) German Property Performance Partners Investors Feeder Verwaltungs GmbH (DEU) EPISO 4 (GP) S.à.r.l. (LUX) EPISO 4 (GP) II S.à.r.l. (LUX)	Candriam Bonds Capital Securities (LUX) Candriam Bonds Convertible Defensive (LUX) Candriam Bonds Convertible Opportunities (LUX) Candriam Bonds Credit Opportunities (LUX) Candriam Bonds Emerging Debt Local Currencies (LUX) Candriam Bonds Emerging Markets (LUX) Candriam Bonds Emerging Markets Total Return (LUX) Candriam Bonds Euro Corporate (LUX) Candriam Bonds Euro Government (LUX) Candriam Bonds Euro High Yield (LUX) Candriam Bonds Euro Short Term (LUX) Candriam Bonds Euro Long Term (LUX) Candriam Bonds Global High Yield (LUX) Candriam Bonds Global Sovereign Quality (LUX) Candriam Bonds International (LUX) Candriam Bonds Total Return (LUX) Candriam Diversified Futures (BEL) Candriam Fund (LUX) Candriam Fund Sustainable Euro Corporate Bonds Fossil Free (LUX) Candriam Fund Sustainable European Equities Fossil Free (LUX) Candriam GF (LUX) Candriam GF AUSBIL Global Essential Infrastructure (LUX) Candriam GF Short Duration US High Yield Bonds (LUX) Candriam GF U.S. Equity Opportunities (LUX) Candriam GF US Corporate Bonds (LUX) Candriam GF US High Yield Corporate Bonds (LUX) Candriam Global Alpha (LUX) Candriam Impact One (LUX) Candriam Index Arbitrage (LUX) Candriam L (LUX) Candriam L Balanced Asset Allocation (LUX) Candriam L Conservative Asset Allocation (LUX) Candriam L Defensive Asset Allocation (LUX) Candriam L Dynamic Asset Allocation (LUX) Candriam L Multi-Asset Income (LUX) Candriam L Multi-Asset Income & Growth (LUX) Candriam L Multi-Asset Premia (LUX) Candriam Long Short Credit (LUX) Candriam Money Market (LUX) Candriam Money Market Euro AAA (LUX) Candriam Multi-Strategies (LUX) Candriam Quant (LUX) Candriam Quant Equities Multi-Factor EMU (LUX) Candriam Quant Equities Multi-Factor Global (LUX) Candriam Quant Equities USA (LUX) Candriam Risk Arbitrage (FRA) Candriam SRI (LUX) Candriam SRI Bond Emerging Markets (LUX)
Candriam Luxco S.à.r.l. (LUX) Candriam Luxembourg (LUX) Candriam Belgium (BEL) Candriam France (FRA) Candriam Monétaire SICAV (FRA) Candriam Switzerland LLC (CHE) Candriam GP (LUX) KTA Holdco (LUX) Kartesia Management SA (LUX) Cordius (LUX) Cordius CIG (LUX) IndexIQ (LUX) IndexIQ Factors Sustainable Corporate Euro Bond (LUX) IndexIQ Factors Sustainable EMU Equity (LUX) IndexIQ Factors Sustainable Europe Equity (LUX) IndexIQ Factors Sustainable Japan Equity (LUX) IndexIQ Factors Sustainable Sovereign Euro Bond (LUX) Candriam Absolute Return (LUX) Candriam Absolute Return Equity Market Neutral (LUX) Candriam Absolute Return Long Short Digital Equity (LUX) Candriam Alternative (LUX) Candriam Alternative Systemat (LUX) Candriam Bonds (LUX)	

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

Candriam SRI Bond Euro (LUX)
Candriam SRI Bond Euro Aggregate Index (LUX)
Candriam SRI Bond Euro Corporate (LUX)
Candriam SRI Bond Global High Yield (LUX)
Candriam SRI Equity Circular Economy (LUX)
Candriam SRI Equity Emerging Markets (LUX)
Candriam SRI Equity EMU (LUX)
Candriam SRI Equity Europe (LUX)
Candriam SRI Equity North America (LUX)
Candriam SRI Equity Pacific (LUX)
Candriam SRI Equity World (LUX)
Candriam Sustainable (LUX)
Candriam Sustainable Bond Emerging Markets (LUX)
Candriam Sustainable Bond Euro (LUX)
Candriam Sustainable Bond Euro Aggregate Index (LUX)
Candriam Sustainable Bond Euro Corporate (LUX)
Candriam Sustainable Bond Euro Short Term (LUX)
Candriam Sustainable Bond Global High Yield (LUX)
Candriam Sustainable Equity Circular Economy (LUX)
Candriam Sustainable Equity Emerging Markets (LUX)
Candriam Sustainable Equity EMU (LUX)
Candriam Sustainable Equity Europe (LUX)
Candriam Sustainable Equity Future Mobility (LUX)
Candriam Sustainable Equity North America (LUX)
Candriam Sustainable Equity Pacific (LUX)
Candriam Sustainable Equity World (LUX)
Candriam Sustainable Euro Bonds (LUX)
Candriam Sustainable Euro Short Term Bonds (LUX)
Candriam Sustainable High (LUX)
Candriam Sustainable Pacific (LUX)
Candriam Sustainable World Bonds (LUX)
Candriam World Alternative (LUX)
Candriam World Alternative Alphamax (LUX)
Paricor (LUX)
Paricor Patrimonium (LUX)

Ausbil Investment Management Limited (AUS)
Ausbil Australia Pty. Ltd. (AUS)
Ausbil Asset Management Pty. Ltd. (AUS)
Ausbil Global Infrastructure Pty. Limited (AUS)
ISPT Holding (AUS)
Ausbil Investment Management Limited Employee Share Trust (AUS)
Ausbil 130/30 Focus Fund (AUS)
Ausbil IT - Ausbil Dividend Income Fund (AUS)
Ausbil IT - Ausbil Active Sustainable Equity Fund (AUS)
Ausbil Australian Active Equity Fund (AUS)
Ausbil Australian Concentrated Equity Fund (AUS)
Ausbil Australian Emerging Leaders Fund (AUS)
Ausbil Australian Geared Equity Fund (AUS)

Ausbil Australian Smallcap Fund (AUS)
Ausbil Balanced Fund (AUS)
Ausbil EGS Focus Fund (AUS)
Ausbil IT – Ausbil Global Essential Infrastructure Fund (AUS)
Ausbil IT - Ausbil Global Resources Fund (AUS)
Ausbil IT - Ausbil Global SmallCap Fund (AUS)
Ausbil IT - Ausbil Microcap Fund (AUS)
Ausbil IT - MacKay Shields Multi-Sector Bond Fund (AUS)
Ausbil IT – Ausbil Long Short Focus Fund (AUS)
NYLIFE Distributors LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

NYLife Real Estate Holdings LLC

Huntsville NYL LLC (DE) CC Acquisitions, LP (DE) REEP-IND Cedar Farms TN LLC (DE) Cedar Farms JV LLC (DE) REEP-IND Continental NC LLC (DE) LRC-Patriot, LLC (DE) REEP-LRC Industrial LLC (DE) REEP-IND Forest Park NJ LLC (DE) FP Building 4 LLC (DE) FP Building 1-2-3 LLC (DE) FP Building 17, LLC (DE) FP Building 20, LLC (DE) FP Mantua Grove LLC (DE) FP Lot 1.01 LLC (DE) REEP-IND NJ LLC (DE) NJIND JV LLC (DE) NJIND Hook Road LLC (DE) NJIND Bay Avenue LLC (DE) NJIND Corbin Street LLC (DE) REEP-MF Cumberland TN LLC (DE) Cumberland Apartments, LLC (TN) REEP-MF Enclave TX LLC (DE) Enclave CAF LLC (DE) REEP-MF Marina Landing WA LLC (DE) REEP-SP Marina Landing LLC (DE) REEP-MF Mira Loma II TX LLC (DE) Mira Loma II, LLC (DE) REEP-MF Summitt Ridge CO LLC (DE) Summitt Ridge Apartments, LLC (DE) REEP-MF Woodridge IL LLC (DE) REEP-OF Centerpointe VA LLC (DE) Centerpointe (Fairfax) Holdings LLC (DE) REEP-OFC 575 Lex NY LLC (DE) REEP-OFC 575 Lex NY GP LLC (DE) Maple REEP-OFC 575 Lex Holdings LP (DE) Maple REEP-OFC 575 Lex Owner LLC (DE) REEP-RTL SASI GA LLC (DE) REEP-RTL Bradford PA LLC (DE) REEP-OFC Royal Centre GA LLC (DE) Royal Centre, LLC (DE) REEP-RTL CTC NY LLC (DE) REEP-OFC 5005 LBJ Freeway TX LLC (DE) 5005 LBJ Tower LLC (DE) REEP-OFC/RTL MARKET ROSS TX LLC (DE) MARKET ROSS TX JV LLC (DE) MARKET ROSS TX GARAGE OWNER LC (DE) MARKET ROSS TX OFFICE OWNER LLC (DE) MARKET ROSS TX RETAIL OWNER LLC (DE)	REEP-OFC Mallory TN LLC (DE) 3665 Mallory JV LLC (DE) REEP-OFC WATER RIDGE NC LLC (DE) REEP-OFC VIRIDIAN AZ LLC (DE) REEP-HINES VIRIDIAN JV LLC (DE) REEP-OFC 2300 Empire LLC (DE) REEP-MF Wynnewood PA LLC (DE) Wynnewood JV LLC (DE) REEP-MU Fayetteville NC LLC (DE) 501 Fayetteville JV LLC (DE) 501 Fayetteville Owner LLC (DE) REEP-MU SOUTH GRAHAM NC LLC (DE) 401 SOUTH GRAHAM JV LLC (DE) 401 SOUTH GRAHAM OWNER LLC (DE) REEP-IND COMMERCE CITY CO LLC (DE) REEP-BRENNAN COMMERCE CITY JV LLC (DE) REEP-MF ART TOWER OR LLC (DE) REEP-WP ART TOWER JV LLC (DE) REEP-OFC Mass Ave MA LLC (DE) REEP-MF FARMINGTON IL LLC (DE) REEP-MARQUETTE FARMINGTON JV LLC (DE) REEP-MARQUETTE FARMINGTON OWNER LLC (DE)
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ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group	.66915	13-5582869	1583827	0000071633		New York Life Insurance Company	NY	UDP			0.000			
.0826	New York Life Group	.91596	13-3044743	3683691	0000727136		New York Life Insurance and Annuity Corporation	DE	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group	.81353	52-1530175				NYLIFE Insurance Company of Arizona	AZ	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		13-4199614				New York Life Enterprises LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		13-4081725	2928649	0001270096		NYLIFE LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		46-4293486		0001606720		NYL Investors LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		52-2206682		0001513831		New York Life Investment Management Holdings LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		27-0166422				NYLIFE Real Estate Holdings, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group	.64548	13-2556568				New York Life Group Insurance Company of NY	NY	RE	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group	.65498	23-1503749				Life Insurance Company of North America	PA	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		06-1252418				LINA Benefit Payments, Inc.	DE	NIA	Life Insurance Company of North America	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-2379075				NYL Real Assets LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-2530753				NYL Emerging Manager LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYL Wind Investments LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLIC HKP Member LLC	DE	NIA	New York Life Insurance Company	Ownership	67.974	New York Life Insurance Company	.N	
.0826	New York Life Group						New York Life Insurance and Annuity Corporation	DE	NIA						
.0826	New York Life Group						NYLIC HKP Member LLC	DE	NIA		Ownership	32.026	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLIM Jacob Ballas India Holdings IV	MUS	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Flatiron CLO 2013-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	5
.0826	New York Life Group		98-1180305				Flatiron CLO 2015-1 Ltd	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	5
.0826	New York Life Group		98-1330289				Flatiron CLO 17 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	5
.0826	New York Life Group						Flatiron CLO 18 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	5
.0826	New York Life Group						Flatiron CLO 18 Funding Ltd.	.CYM	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Flatiron CLO 19 Funding Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Flatiron CLO 20 Funding Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Stratford CDO 2001-1 Ltd.	.CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	9
.0826	New York Life Group						Silver Spring, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Silver Spring Associates, L.P.	PA	NIA	Silver Spring, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-002 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-003 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-006 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-007-LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-008 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-009 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-017 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-018 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-021 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-025 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-031 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-036 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-041 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-043 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-044 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-048 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-061 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-063 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-067 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-069 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						SCP 2005-C21-070 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-Ennis GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-Ennis, L.P.	TX	NIA	NYMH-Ennis GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-Freeport GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group						NYMH-Freeport, L.P.	TX	NIA	NYMH-Freeport GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-Houston GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-Houston, L.P.	TX	NIA	NYMH-Houston GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-Plano GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-Plano, L.P.	TX	NIA	NYMH-Plano GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-San Antonio GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-San Antonio, L.P.	TX	NIA	NYMH-San Antonio GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-Stephenville GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-Stephenville, L.P.	TX	NIA	NYMH-Stephenville GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-Taylor GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-Taylor, L.P.	TX	NIA	NYMH-Taylor GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH Attleboro MA, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYMH-Farmingdale, NY, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLMDC-King of Prussia GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLMDC-King of Prussia Realty, LP	DE	NIA	NYLMDC King of Prussia GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-3304035				2015 DIL PORTFOLIO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-3444658				PA 180 KOST RD LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		82-2586171				2017 CT REO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership	62.308	New York Life Insurance Company	.N	
.0826	New York Life Group		82-2586171				2017 CT REO HOLDINGS LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	37.692	New York Life Insurance Company	.N	
.0826	New York Life Group						Cortlandt Town Center LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-HZ SPENCER LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND 10 WEST AZ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND 4700 Nall TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		37-1768259				REEP-IND Aegean MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND Alpha TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		83-2598877				REEP-IND MCP VIII NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND CHINO CA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		61-1738919				REEP-IND FREEDOM MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND Fridley MN LLC	MN	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND Green Oaks IL LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND Kent LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		32-0442193				REEP-IND LYMAN MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		83-4607723				REEP-IND MCP II NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		83-4626597				REEP-IND MCP III NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		83-4646530				REEP-IND MCP IV NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		83-4685915				REEP-IND MCP V NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		83-4592121				REEP-IND MCP VII NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND MCP IIII OWNER NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND RTG NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND Simonton TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND Valley View TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND Valwood TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF 960 East Paces Ferry GA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		82-1945938				REEP-MF 960 EPF Opco GA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		84-4102691				REEP-MF Emblem DE LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF Gateway TAF UT LLC	DE	NIA	New York Life Insurance Company	Ownership	99.000	New York Life Insurance Company	.N	
.0826	New York Life Group						New York Life Insurance and Annuity Corporation	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	1.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF Gateway TAF UT LLC	DE	NIA	REEP-MF Gateway TAF UT LLC	Ownership	99.000	New York Life Insurance Company	.N	
.0826	New York Life Group						New York Life Insurance and Annuity Corporation	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	1.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF Issaquah WA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF Mount Vernon GA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group						REEP-MF Mount Laurel NJ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF NORTH PARK CA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF AVERY TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-AVERY OWNER LLC	DE	NIA	REEP-MF AVERY TX LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF Verde NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF Wallingford WA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC Bellevue WA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC WATER RIDGE NC HOLDCO LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC ONE WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC TWO WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC FOUR WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC FIVE WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC SIX WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC SEVEN WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC EIGHT WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC NINE WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC TEN WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC ELEVEN WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		81-2351415				REEP-MF FOUNTAIN PLACE MN LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		81-2456809				REEP-MF FOUNTAIN PLACE LLC	DE	NIA	REEP-MF FOUNTAIN PLACE MN LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF Park-Line FL LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC 2300 EMPIRE CA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND 10 WEST II AZ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-RTL Flemington NJ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-RTL Mill Creek NJ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-RTL NPM GA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP TAB ONE LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-RTL DTC VA	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NJIND Raritan Center LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NJIND Talmadge Road LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NJIND Melrich Road LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						FP Building 18, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						FP Building 19, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PTC Acquisitions, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Martingale Road LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						New York Life Funding	CYM	OTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	.N	6
.0826	New York Life Group						New York Life Global Funding	DE	OTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	.N	6
.0826	New York Life Group						Government Energy Savings Trust 2003-A	NY	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
							UFI-NOR Federal Receivables Trust, Series 2009B	NY	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	.N	7
.0826	New York Life Group						JREP Fund Holdings I, L.P.	CYM	NIA	New York Life Insurance Company	Ownership	12.500	New York Life Insurance Company	.N	
.0826	New York Life Group						Jaguar Real Estate Partners L.P.	CYM	NIA	New York Life Insurance Company	Ownership	30.300	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLIFE Office Holdings Member LLC	DE	NIA	New York Life Insurance Company	Ownership	51.000	New York Life Insurance Company	.N	
.0826	New York Life Group				00017711406		NYLIFE Office Holdings LLC	DE	NIA	NYLIFE Office Holdings Member LLC	Ownership	51.000	New York Life Insurance Company	.N	
.0826	New York Life Group				0001728620		NYLIFE Office Holdings REIT LLC	DE	NIA	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC DRAKES LANDING LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC CORPORATE POINTE CA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC VON KARMAN CA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC ONE BOWDOIN SQUARE MA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC 525 N Tryon NC LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-2591038				525 Charlotte Office LLC	DE	NIA	REEP-OFC 525 N Tryon NC LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group				0001728621		NYLIFE Office Holdings Acquisition REIT LLC	DE	NIA	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP OFC Westory DC LLC	DE	NIA	NYLIFE Office Holdings Acquisition REIT LLC	Ownership	100.000	New York Life Insurance Company	.N	

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0826	New York Life Group						Skyhigh SPV Note Issuer 2020 Parent Trust	DE	NIA	New York Life Insurance Company	Ownership	55.000	New York Life Insurance Company	N	7
0826	New York Life Group						Skyhigh SPV Note Issuer 2020 Parent Trust	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	40.000	New York Life Insurance Company	N	7
0826	New York Life Group						Skyhigh SPV Note Issuer 2020 LLC	DE	NIA	New York Life Insurance Company	Ownership	55.000	New York Life Insurance Company	N	7
0826	New York Life Group						Skyhigh SPV Note Issuer 2020 LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	40.000	New York Life Insurance Company	N	7
0826	New York Life Group						MSVEF Investor LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group				0001742549		MSVEF Feeder LP	DE	NIA	MSVEF Investor LLC	Ownership	55.560	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF REIT LLC	DE	NIA	MSVEF Feeder LP	Ownership	55.560	New York Life Insurance Company	N	
0826	New York Life Group						Madison Square Value Enhancement Fund LP	DE	NIA	MSVEF REIT LLC	Ownership	51.000	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF-MF Evanston GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	51.000	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF-MF Evanston II LP	DE	NIA	MSVEF-MF Evanston GP LLC	Ownership	51.000	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF-MF HUNTINGTON PARK GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF-MF HUNTINGTON PARK IIA LP	DE	NIA	MSVEF-MF HUNTINGTON PARK GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF-OFI Tampa GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF-OFI WFC Tampa FL LP	DE	NIA	MSVEF-OFI Tampa GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF-FGI WFC Tampa JV LP	DE	NIA	MSVEF-OFI WFC Tampa FL LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF-OFI WFC Tampa PO GP LLC	DE	NIA	MSVEF-FGI WFC Tampa JV LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF-FGI WFC Property Owner LP	DE	NIA	MSVEF-OFI WFC Tampa PO GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF-IND Commerce 303 GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF-IND Commerce 303 AZ LP	DE	NIA	MSVEF-IND Commerce 303 GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MSVEF-SII Commerce 303 JV LP	DE	NIA	MSVEF-IND Commerce 303 AZ LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						SEAF Sichuan SME Investment Fund LLC	DE	NIA	New York Life Enterprises LLC	Ownership	39.980	New York Life Insurance Company	N	
0826	New York Life Group		98-0412951				New York Life International Holdings Limited	MUS	NIA	New York Life Enterprises LLC	Ownership	84.380	New York Life Insurance Company	N	
0826	New York Life Group		98-0412951				New York Life International Holdings Limited	MUS	NIA	NYL Cayman Holdings Ltd.	Ownership	15.620	New York Life Insurance Company	N	
0826	New York Life Group						MAX Ventures and Industries Limited	IND	NIA	New York Life International Holdings Limited	Ownership	21.300	New York Life Insurance Company	N	
0826	New York Life Group						MAX Ventures and Industries Limited	IND	NIA	New York Life Insurance Company	Ownership	1.400	New York Life Insurance Company	N	
0826	New York Life Group						NYL Cayman Holdings Ltd.	CYM	NIA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYL Worldwide Capital Investments, LLC	DE	NIA	NYL Cayman Holdings Ltd.	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						Seguros Monterrey New York Life, S.A. de C.V.	MEX	IA	New York Life Enterprises LLC	Ownership	99.998	New York Life Insurance Company	N	
0826	New York Life Group						Seguros Monterrey New York Life, S.A. de C.V.	MEX	IA	NYL Worldwide Capital Investments	Ownership	0.002	New York Life Insurance Company	N	
0826	New York Life Group						Administradora de Conductos SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	N	
0826	New York Life Group						Agencias de Distribucion SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	N	
0826	New York Life Group						Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	N	
0826	New York Life Group						Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Agencias de Distribucion SMNYL, S.A. de C.V.	Ownership	1.000	New York Life Insurance Company	N	
0826	New York Life Group		26-1483563				Eagle Strategies LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		84-5183198				Fabric of Family LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		13-3853547				New York Life Capital Corporation	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		13-3808042		0001033244		New York Life Trust Company	NY	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		27-0145686		0000071637		NYLIFE Securities LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		13-3929029				NYLINK Insurance Agency Incorporated	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLUK I Company	GBR	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLUK II Company	GBR	NIA	NYLUK I Company	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						Gresham Mortgage	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						W Construction Company	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group						WUT	.GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						WIM (AIM)	.GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYL Investors (U.K.) Limited	.GBR	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYL Investors REIT Manager LLC	.DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLIM Holdings NCVAD GP LLC	.DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group				0001570433		McMorgan Northern California Value Add/Development Fund I, LP	.DE	NIA	NYLIM Holdings NCVAD GP LLC	Ownership	50.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MNCVAD-OFC RIDDER PARK CA LLC	.DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MNCVAD-GRAYMARK RIDDER PARK LLC	.DE	NIA	MNCVAD-OFC RIDDER PARK CA LLC	Ownership	97.500	New York Life Insurance Company	.N	
.0826	New York Life Group						NYL Investors NCVAD II GP, LLC	.DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group				0001705770		McMorgan Northern California Value Add/Development Fund II, LP	.DE	NIA	NYL Investors NCVAD II GP, LLC	Ownership	50.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MNCVAD II-MF HENLEY CA LLC	.DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MNCVAD II-SP HENLEY JV LLC	.DE	NIA	MNCVAD II-MF HENLEY CA LLC	Ownership	90.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MNCVAD II-SP HENLEY OWNER LLC	.DE	NIA	MNCVAD II-SP HENLEY JV LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						McMorgan Northern California Value Add/Development Fund II, L.P.	.DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MNCVAD II-MF UNION CA LLC	.DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MNCVAD II- HOLLIDAY UNION JV LLC	.DE	NIA	MNCVAD II-MF UNION CA LLC	Ownership	90.000	New York Life Insurance Company	.N	
.0826	New York Life Group						McMorgan Northern California Value Add/Development Fund II, L.P.	.DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MNCVAD II-OFC HARBORS CA LLC	.DE	NIA	MNCVAD II-OFC HARBORS CA LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MNCVAD II-SEAGATE HARBORS LLC	.DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	90.000	New York Life Insurance Company	.N	
.0826	New York Life Group						McMorgan Northern California Value Add/Development Fund II, L.P.	.DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MSSDF GP LLC	.DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MSSDF Member LLC	.DE	NIA	New York Life Insurance Company	Ownership	35.000	New York Life Insurance Company	.N	
.0826	New York Life Group						New York Life Insurance and Annuity Corporation	.DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	65.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MSSDF Member LLC	.DE	NIA	New York Life Insurance Company	Ownership	14.100	New York Life Insurance Company	.N	
.0826	New York Life Group						Madison Square Structured Debt Fund LP	.DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	26.300	New York Life Insurance Company	.N	
.0826	New York Life Group						Madison Square Structured Debt Fund LP	.DE	NIA	Madison Square Structured Debt Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MSSDF REIT LLC	.DE	NIA	Madison Square Structured Debt Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MSSDF REIT Funding Sub I LLC	.DE	NIA	MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MSSDF REIT Funding Sub II LLC	.DE	NIA	MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MSSDF REIT Funding Sub III LLC	.DE	NIA	MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MSSDF REIT Funding Sub IV LLC	.DE	NIA	MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MSVEF GP LLC	.DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MC PF GP LLC	.DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Madison Core Property Fund LP	.DE	NIA	NYL Investors LLC	Management	0.000	New York Life Insurance Company	.N	11
.0826	New York Life Group						MC PF Holdings Manager LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MC PF MA Holdings LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MC PF Holdings LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-IND TAMARAC FL	.DE	NIA	MC PF Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF 1500 Quail, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Mill Creek, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Gateway, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Gateway Phases II and III, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Delta Court, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Fremont Distribution Center, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Century, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Saddle River LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	

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.0826	New York Life Group						MIREF Newport Commons, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Northsight, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Riverside, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Corporate Woods, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Bedminster, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Bartons Lodge Apartments, LLC	.DE	NIA	Madison Core Property Fund LP	Ownership	90.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Marketpointe, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF 101 East Crossroads, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						101 East Crossroads, LLC	.DE	NIA	MIREF 101 East Crossroads, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Hawthorne, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Auburn 277, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Sumner North, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Wellington, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MIREF Warner Center, LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-MF Duluth GA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-OFC Centerstone I CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-OFC Centerstone III CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-MOB Centerstone IV CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-OFC Centerpoint Plaza CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-2279230				MADISON-IND Logistics NC LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-2283899				MCPF-LRC Logistics LLC	.DE	NIA	MADISON-IND Logistics NC LLC	Ownership	90.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-MF Desert Mirage AZ LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-OFC One Main Place OR LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-IND Fenton MO LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-IND Hitzert Roadway MO LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-MF Hoyt OR LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-RTL Clifton Heights PA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-IND Locust CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-5640009				MADISON-OFC Weston Pointe FL LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-SP Henderson LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	90.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-IND VISTA LOGISTICS OR LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-SPECHT VISTA LOGISTICS LLC	.DE	NIA	MADISON-IND VISTA LOGISTICS OR LLC	Ownership	95.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-MF MCCADDEN CA LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-OFC 1201 WEST IL LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-MCCAFFERY 1201 WEST IL LLC	.DE	NIA	MADISON-OFC 1201 WEST IL LLC	Ownership	92.500	New York Life Insurance Company	.N	
.0826	New York Life Group						MADISON-MF CRESTONE AZ LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		83-4019048				MADISON-MF TECH RIDGE TX LLC	.DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						New York Life Investment Management Asia Limited	.CYM	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		13-4080466		0000061227		MacKay Shields LLC	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		27-2850988				MacKay Shields Core Plus Opportunities Fund GP LLC	.DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		27-2851036		0001502131		MacKay Shields Core Plus / Opportunities Fund LP	.DE	NIA	MacKay Shields Core Plus Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		27-0676586				MacKay Municipal Managers Opportunities GP LLC	.DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		26-2332835		0001432467		MacKay Municipal Opportunities Master Fund, L.P.	.DE	NIA	MacKay Municipal Managers Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		22-2267512		0001432468		MacKay Municipal Opportunities Fund, L.P.	.DE	NIA	MacKay Municipal Managers Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		27-0676650				MacKay Municipal Managers Credit Opportunities GP, LLC	.DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0826	New York Life Group		30-0523736		0001460030		MackKay Municipal Credit Opportunities Master Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		30-0523739		0001460023		MackKay Municipal Credit Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		38-4019880		0001700102		MackKay Municipal Credit Opportunities HL Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		98-1374021				MackKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC	CYM	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		98-1370729		0001710885		MackKay Municipal Credit Opportunities HL (Cayman) Fund, LP	CYM	NIA	MackKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		45-3040968				MackKay Municipal Short Term Opportunities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		45-3041041		0001532022		MackKay Municipal Short Term Opportunities Fund LP	DE	NIA	MackKay Municipal Short Term Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						Plainview Funds plc	IRL	NIA	MackKay Shields LLC	Ownership	50.000	New York Life Insurance Company	N	
0826	New York Life Group						Plainview Funds plc	IRL	NIA	MackKay Shields LLC	Board of Directors	0.000	New York Life Insurance Company	N	
0826	New York Life Group						Plainview Funds plc - MackKay Shields Unconstrained Bond Portfolio	IRL	NIA	New York Life Insurance Company	Management	0.000	New York Life Insurance Company	N	2
0826	New York Life Group						Plainview Funds plc - MackKay Shields Unconstrained Bond Portfolio	IRL	NIA	MackKay Shields LLC	Ownership	0.130	New York Life Insurance Company	N	
0826	New York Life Group		27-3064248				MackKay Shields High Yield Active Core Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		26-4248749		0001502130		MackKay Shields High Yield Active Core Fund LP	DE	NIA	MackKay Shields High Yield Active Core Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		98-0540507				MackKay Shields Credit Strategy Fund Ltd	CYM	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		13-4357172	3859263			MackKay Shields Credit Strategy Partners LP	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group				0001502133		MackKay Shields Defensive Bond Arbitrage Fund Ltd.	BMU	NIA	MackKay Shields LLC	Ownership	0.170	New York Life Insurance Company	N	
0826	New York Life Group				0001502133		MackKay Shields Defensive Bond Arbitrage Fund Ltd.	BMU	NIA	New York Life Insurance Company	Ownership	13.470	New York Life Insurance Company	N	
0826	New York Life Group		45-2732939				MackKay Shields Core Fixed Income Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		45-2733007		0001529525		MackKay Shields Core Fixed Income Fund LP	DE	NIA	MackKay Shields Core Fixed Income Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		82-1760156				MackKay Shields Select Credit Opportunities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		81-4553436		0001703194		MackKay Shields Select Credit Opportunities Fund LP	DE	NIA	MackKay Shields Select Credit Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		81-4560451				MackKay Shields High Yield Crossover Fund LP	DE	NIA	Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		98-1108933				MackKay Shields (International) Ltd.	GBR	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		98-1108959				MackKay Shields (Services) Ltd.	GBR	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		98-1108940				MackKay Shields UK LLP	GBR	NIA	MackKay Shields (International) Ltd.	Ownership	99.000	New York Life Insurance Company	N	
0826	New York Life Group		98-1108940				MackKay Shields UK LLP	GBR	NIA	MackKay Shields (Services) Ltd.	Ownership	1.000	New York Life Insurance Company	N	
0826	New York Life Group		47-2950749				MackKay Municipal Managers Puerto Rico Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		47-2960789		0001639566		MackKay Puerto Rico Opportunities Funds, L.P.	DE	NIA	MackKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		98-1230069		0001639564		MackKay Puerto Rico Opportunities Feeder Fund, L.P.	CYM	NIA	MackKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		47-3358622				MackKay Municipal Managers California Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MackKay Municipal Managers California Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers California Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0826	New York Life Group		81-2401724				MackKay Municipal New York Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		38-4002797		0001685030		MackKay Municipal New York Opportunities Fund, L.P.	DE	NIA	MackKay Municipal New York Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group				0001700100		MackKay Municipal Opportunity HL Fund LP	DE	NIA	MackKay Municipal New York Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		81-2575585				MackKay Municipal Capital Trading GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		36-4846547				MackKay Municipal Capital Trading Master Fund, L.P.	DE	NIA	MackKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		37-1836504				MackKay Municipal Capital Trading Fund, L.P.	DE	NIA	MackKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		81-4932734				MackKay Municipal Managers Strategic Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		37-1846456		0001701742		MackKay Municipal Strategic Opportunities Fund LP	DE	NIA	MackKay Municipal Managers Strategic Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		82-1728099				MackKay Shields US Equity Market Neutral Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		27-4320205		0001510983		MackKay Cornerstone US Equity Market Neutral Fund LP	DE	NIA	MackKay Shields US Equity Market Neutral Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		82-1715543				MackKay Shields Intermediate Bond Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		82-1716026		0001715261		MackKay Shields Intermediate Bond Fund LP	DE	NIA	MackKay Shields Intermediate Bond Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		13-4080466				MackKay Shields General Partner (L/S) LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		13-4185570				MackKay Shields Long/Short Fund (Master)	DE	NIA	MackKay Shields General Partner (L/S) LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MackKay Municipal Managers Opportunities Allocation GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		83-3051488				MackKay Municipal Managers Opportunities Allocation Master Fund LP	DE	NIA	MackKay Municipal Managers Opportunities Allocation GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		83-3085547				MackKay Municipal Managers Opportunities Allocation Fund A LP	DE	NIA	MackKay Municipal Managers Opportunities Allocation GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		83-3088001				MackKay Municipal Managers Opportunities Allocation Fund B LP	DE	NIA	MackKay Municipal Managers Opportunities Allocation GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MackKay Municipal Managers U.S. Infrastructure – Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		83-3010096				MackKay Municipal U.S. Infrastructure Opportunities Fund LP	DE	NIA	MackKay Municipal Managers U.S. Infrastructure – Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		84-2017635				MackKay Municipal Managers High Yield Select GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group		84-2046842		0001783642		MackKay Municipal High Yield Select Fund LP	DE	NIA	MackKay Municipal Managers High Yield Select GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MackKay Flexible Income Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group				0001811009		MackKay Flexible Income Fund LP	DE	NIA	MackKay Flexible Income Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MackKay Municipal Managers High Income Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MackKay Municipal High Income Opportunities Fund LP	DE	NIA	MackKay Municipal Managers High Income Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						Cascade CLO Manager LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MKS CLO Holdings GP LLC	DE	NIA	Cascade CLO Manager LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MKS CLO Holdings, LP	CYM	NIA	MKS CLO Holdings GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MKS CLO Advisors, LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						MackKay Shields Europe Investment Management Limited	JRL	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group						MacKay Shields European Credit Opportunity Fund Limited	.NJ	NIA	New York Life Insurance and Annuity Corporation	Ownership	33.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MKS TALF Opportunities Fund GP, LLC	.DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MacKay Shields TALF 2.0 Opportunities Feeder Fund (Cayman) LP	.CYM	NIA	MKS TALF Opportunities Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MacKay Shields TALF 2.0 Opportunities Feeder Fund (Cayman GBP-Hedged) LP	.CYM	NIA	MKS TALF Opportunities Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		85-0866088				MacKay Shields TALF 2.0 Opportunities Feeder Fund (US) LP	.DE	NIA	MKS TALF Opportunities Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		85-0860318				MacKay Shields TALF 2.0 Opportunities Master Fund LP	.DE	NIA	MKS TALF Opportunities Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group				0001453415		Cornerstone Capital Management Holdings LLC	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Madison Square Investors Asian Equity Market Neutral Fund GP, LLC	.DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Cornerstone US Equity Market Neutral Fund, LLC	.DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	.DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group				0001329043		Cornerstone Capital Management Large-Cap Enhanced Index Fund, LP	.DE	NIA	Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		85-1664787				New York Life Investments Alternatives LLC	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		36-4715120				Madison Capital Funding LLC	.DE	NIA	New York Life Insurance Company	Ownership	21.900	New York Life Insurance Company	.N	
.0826	New York Life Group		36-4715120				Madison Capital Funding LLC	.DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	78.100	New York Life Insurance Company	.N	
.0826	New York Life Group		26-2806813				MCF Co-Investment GP LLC	.DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		26-2806864		0001538585		MCF Co-Investment GP LP	.DE	NIA	MCF Co-Investment GP LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		26-2806918		0001538584		Madison Capital Funding Co-Investment Fund LP	.DE	NIA	MCF Co-Investment GP LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		80-0920962				Madison Avenue Loan Fund GP LLC	.DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		61-1711540		0001577927		Madison Avenue Loan Fund LP	.DE	NIA	Madison Avenue Loan Fund GP LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MCF Fund I LLC	.DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MCF Hanwha Fund LLC	.DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.1
.0826	New York Life Group						Ironshore Investment BL I Ltd.	.BMJ	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.1
.0826	New York Life Group		46-2213974				MCF CLO IV LLC	.DE	NIA	New York Life Insurance Company	Ownership	6.700	New York Life Insurance Company	.N	
.0826	New York Life Group		46-2213974				MCF CLO IV LLC	.DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.1
.0826	New York Life Group		81-4067250				MCF CLO V LLC	.DE	NIA	New York Life Insurance Company	Ownership	5.000	New York Life Insurance Company	.N	
.0826	New York Life Group		81-4067250				MCF CLO V LLC	.DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.1
.0826	New York Life Group		82-1943737				MCF CLO VI LLC	.DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.1
.0826	New York Life Group		82-2734635				MCF CLO VII LLC	.DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.1
.0826	New York Life Group						MCF CLO VIII Ltd	.DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.1
.0826	New York Life Group						MCF CLO VIII LLC	.DE	NIA	MCF CLO VIII Ltd	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						MCF CLO IX Ltd	.CYM	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.1
.0826	New York Life Group						MCF CLO IX LLC	.DE	NIA	MCF CLO IX Ltd.	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		36-4883128				MCF KB Fund LLC	.DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.1
.0826	New York Life Group		61-1907486				MCF KB Fund II LLC	.DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.1
.0826	New York Life Group						MCF Hyundai Fund LLC	.DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.1
.0826	New York Life Group						MCF Senior Debt Fund 2020 LP	.CYM	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.2
.0826	New York Life Group		37-1749427				Montpelier Carry Parent, LLC	.DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Montpelier Carry, LLC	.DE	NIA	Montpelier Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		46-2042988				Montpelier GP, LLC	.DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		46-2042988		0001570694		Montpelier Fund, L.P.	.DE	NIA	Montpelier GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		35-2537165				MCF Mezzanine Carry I LLC	.DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	.N	.1

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group		32-0469843				MCF Mezzanine Fund I LLC	DE	NIA	New York Life Insurance Company New York Life Insurance and Annuity Corporation	Ownership	66.670	New York Life Insurance Company	N	
.0826	New York Life Group		32-0469843				MCF Mezzanine Fund I LLC	DE	NIA		Ownership	33.330	New York Life Insurance Company	N	
.0826	New York Life Group						MCF PD Fund GP LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
.0826	New York Life Group		98-1450997				MCF PD Fund LP	DE	NIA	MCF PD Fund GP LLC	Other	0.000	New York Life Insurance Company	N	1
.0826	New York Life Group						MCF Senior Debt Fund 2019-I GP LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
.0826	New York Life Group		83-4242231				MCF Senior Debt Fund 2019-I LP	DE	NIA	MCF Senior Debt Fund 2019-I GP LLC	Other	0.000	New York Life Insurance Company	N	1
.0826	New York Life Group						Warwick Seller Representative, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Young America Holdings, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	36.350	New York Life Insurance Company	N	
.0826	New York Life Group						YAC.ECOM Incorporated	MN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group			2644154			Young America, LLC	MN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Global Fulfillment Services, Inc.	AZ	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						SourceOne Worldwide, Inc.	MN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						YA Canada Corporation	CAN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group									New York Life Investments Alternatives LLC					
.0826	New York Life Group						GoldPoint Partners LLC	DE	NIA		Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group				0001513540		New York Life Capital Partners, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group				0001293285		New York Life Capital Partners II, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						New York Life Capital Partners III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						New York Life Capital Partners IV GenPar GP, LLC	DE	NIA		Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						New York Life Capital Partners IV GenPar, LP	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						New York Life Capital Partners IV GenPar, LP	DE	NIA	New York Life Capital Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						New York Life Capital Partners IV, LP	DE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						New York Life Capital Partners IV-A, LP	DE	NIA	LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GoldPoint Core Opportunities Fund, L.P.	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GoldPoint Core Opportunities Fund II L.P.	DE	NIA	GoldPoint Core Opportunities Fund, L.P.	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GoldPoint Mezzanine Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group									GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group				0001670568		GoldPoint Mezzanine Partners IV GenPar LP Fund A, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group				0001652367		GoldPoint Mezzanine Partners IV, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GPP Mezz IV A Blocker LP (GPPMBA)	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GPP Mezz IV A Preferred Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GPP Mezz IV B Blocker LP (GPPMBB)	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GPP Mezz IV C Blocker LP (GPPMBC)	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GPP Mezz IV D Blocker LP (GPPMBD)	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GPP Mezz IV E Blocker LPP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GPP Mezz IV ECI Aggregator LP	DE	NIA	GPP Mezzanine Blocker Holdco E, LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GPP Mezz IV F Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GPP Mezz IV G Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GPP Mezz IV H Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GPP Mezz IV I Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GoldPoint Mezzanine Partners Offshore IV, L.P.	CYM	NIA	LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GoldPoint Partners Co-Investment V GenPar GP LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						GoldPoint Partners Co-Investment V GenPar, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar GP LLC	Ownership	100.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
..0826	New York Life Group				0001670563		GoldPoint Partners Co-Investment Fund-A, LP	..DE	..NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group				0001562188		GoldPoint Partners Co-Investment V, L.P.	..DE	..NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GPP V B Blocker Holdco LP	..DE	..NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	..DE	..NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GPP V ECI Aggregator LP	..DE	..NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GPP V E Blocker Holdco LP	..DE	..NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GPP V F Blocker Holdco LP	..DE	..NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GPP V G Blocker Holdco LP	..DE	..NIA	GoldPoint Partners Co-Investment V, LP	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Private Debt V GenPar GP, LLC	..DE	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Private Debt Offshore V, LP	..CYM	..NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GPP Private Debt V RS LP	..DE	..NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Private Debt V GenPar GP, LP	..DE	..NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Private Debt V, LP	..DE	..NIA	GoldPoint Partners Private Debt V GenPar GP, LP	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GPP Private Debt Blocker Holdco A, LLC	..DE	..NIA	GoldPoint Partners Private Debt V, LP	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GPP Private Debt V-ECI Aggregator LP	..DE	..NIA	GPP Private Debt Blocker Holdco A, LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GPP Private Debt Blocker Holdco B, LLC	..DE	..NIA	GoldPoint Partners Private Debt V, LP	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GPP LuxCo V GP Sarl	..LUX	..NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GPP Private Debt LuxCo V SCSp	..LUX	..NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Select Manager III GenPar GP, LLC	..DE	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Select Manager III GenPar, L.P.	..CYM	..NIA	GoldPoint Partners Select Manager III GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group				0001644721		GoldPoint Partners Select Manager Fund III, L.P.	..CYM	..NIA	GoldPoint Partners Select Manager III GenPar, LP	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Select Manager Fund III AIV, L.P.	..DE	..NIA	GoldPoint Partners Select Manager III GenPar, LP	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Select Manager IV GenPar, L.P.	..DE	..NIA	GoldPoint Partners Select Manager III GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group				0001725867		GoldPoint Partners Select Manager Fund IV, L.P.	..DE	..NIA	GoldPoint Partners Select Manager IV GenPar, L.P	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Select Manager V GenPar GP, LLC	..DE	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Select Manager V GenPar, L.P.	..DE	..NIA	GoldPoint Partners Select Manager V GenPar GP, LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Select Manager Fund V, L.P.	..DE	..NIA	GoldPoint Partners Select Manager V GenPar, L.P.	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Canada V GenPar Inc.	..CAN	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Select Manager Canada Fund V, L.P.	..CAN	..NIA	GoldPoint Partners Canada V GenPar Inc.	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Canada III GenPar, Inc	..CAN	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Partners Select Manager Canada Fund III, L.P.	..CAN	..NIA	GoldPoint Parners Canada III GenPar, Inc	Ownership	..100.000	New York Life Insurance Company	..N	
..0826	New York Life Group						GoldPoint Parners Canada IV GenPar Inc	..CAN	..NIA	GoldPoint Partners LLC	Ownership	..100.000	New York Life Insurance Company	..N	

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PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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0826	New York Life Group						GoldPoint Partners Select Manager Canada Fund IV, L.P.	CAN	NIA	GoldPoint Partners Canada IV GenPar Inc	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						GoldPoint Partners Co-Investment VI GenPar GP LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						GoldPoint Partners Co-Investment VI GenPar, LP	DE	NIA	GoldPoint Partners Co-Investment VI GenPar GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group				0001712763		GoldPoint Partners Co-Investment VI LP	DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						GPP VI - ECI Aggregator LP	DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						GPP VI Blocker A LLC	DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						GPP VI Blocker B LLC	DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						GPP VI Blocker C LLC	DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						GPP VI Blocker D LLC	DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						GPP VI Blocker E LLC	DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						GoldPoint Partners Co-Investment VII GenPar GP LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						GoldPoint Partners Co-Investment VII GenPar, LP	DE	NIA	GoldPoint Partners Co-Investment VII GenPar GP LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						GoldPoint Partners Co-Investment VII, LP	DE	NIA	GoldPoint Partners Co-Investment VII GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group				0001718352		GoldPoint Private Credit GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						GoldPoint Private Credit Fund, LP	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP 2010 Co-Invest GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP 2010 Co-Invest GenPar L.P.	DE	NIA	NYLCAP 2010 Co-Invest GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP 2010 Co-Invest L.P.	DE	NIA	NYLCAP 2010 Co-Invest GenPar L.P.	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP 2010 Co-Invest ECI Blocker B L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						Goldpoint Partners Canada GenPar, Inc.	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP Select Manager Canada Fund, LP	CAN	NIA	NYLCAP Canada GenPar, Inc.	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP Canada II GenPar, Inc.	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP Select Manager Canada Fund II, L.P.	CAN	NIA	NYLCAP Canada II GenPar, Inc.	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLIM Mezzanine Partners II GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLIM Mezzanine Offshore Partners II, LP	CYM	NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLIM Mezzanine Partners II GenPar, LP	DE	NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP Mezzanine Partners III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP Mezzanine Partners III GenPar, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP Mezzanine Partners III, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Partners III 2012 Co-Invest ECI Blocker B, LP	DE	NIA	Invest ECI Blocker Holdco B, LP	Ownership	100.000	New York Life Insurance Company	N	

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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.0826	New York Life Group				0001483925		NYLCAP Mezzanine Offshore Partners III, LP	.CYM	NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLCAP Select Manager GenPar GP, LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLCAP Select Manager GenPar, LP	.DE	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group				0001441093		NYLCAP Select Manager Fund, LP	.DE	NIA	NYLCAP Select Manager GenPar, LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLCAP Select Manager Cayman Fund, LP	.CYM	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLCAP Select Manager II GenPar GP, LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLCAP Select Manager II GenPar, L.P.	.CYM	NIA	NYLCAP Select Manager II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group				0001520743		NYLCAP Select Manager Fund II, L.P.	.CYM	NIA	NYLCAP Select Manager II GenPar GP, LP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLCAP India Funding LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLIM-JB Asset Management Co., LLC	.MUS	NIA	NYLCAP India Funding LLC	Ownership	24.660	New York Life Insurance Company	.N	3
							New York Life Investment Management India Fund II, LLC	.MUS	NIA	NYLIM-JB Asset Management Co., LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group				0001356865		New York Life Investment Management India Fund (FVCI) II, LLC	.MUS	NIA	New York Life Investment Management India Fund II, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLCAP India Funding III LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLIM-Jacob Ballas Asset Management Co. III, LLC	.MUS	NIA	NYLCAP India Funding III LLC	Ownership	24.660	New York Life Insurance Company	.N	4
.0826	New York Life Group				0001435025		NYLIM Jacob Ballas India Fund III, LLC	.MUS	NIA	NYLIM-Jacob Ballas Asset Management Company III, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLIM Jacob Ballas Capital India (FVCI) III, LLC	.MUS	NIA	NYLIM Jacob Ballas India Fund III, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLIM Jacob Ballas India (FII) III, LLC	.MUS	NIA	NYLIM Jacob Ballas India Fund III, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Evolve Asset Management, Ltd.	.CYM	NIA	GoldPoint Partners LLC	Ownership	24.500	New York Life Insurance Company	.N	
.0826	New York Life Group						EIF Managers Limited	.MUS	NIA	Evolve Asset Management, Ltd.	Ownership	58.720	New York Life Insurance Company	.N	
.0826	New York Life Group						EIF Managers II Limited	.MUS	NIA	Evolve Asset Management, Ltd.	Ownership	55.000	New York Life Insurance Company	.N	
							New York Life Investments Alternatives LLC								
.0826	New York Life Group		54-1886751				PA Capital LLC	.DE	NIA		Ownership	68.140	New York Life Insurance Company	.N	
.0826	New York Life Group		84-2631913				BMG PAMP GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		84-2611868				BMG PA Private Markets (Delaware) LP	.DE	NIA	BMG PAMP GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		84-2611868				BMG Private Markets (Cayman) LP	.CYM	NIA	BMG PAMP GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		84-2641258				PACD MM, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		84-2106547				PA Capital Direct, LLC	.DE	NIA	PACD MM, LLC	Other	0.000	New York Life Insurance Company	.N	8
.0826	New York Life Group						PA Credit Program Carry Parent, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		82-1396530				PA Credit Program Carry, LLC	.DE	NIA	PA Credit Program Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		45-2572635				PACIF Carry Parent, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		45-2591793				PACIF Carry, LLC	.DE	NIA	PACIF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PACIF GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		20-4877177		0001368975		Private Advisors Coinvestment Fund, LP	.DE	NIA	PACIF GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PACIF II GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		26-1662477		0001489910		Private Advisors Coinvestment Fund II, LP	.DE	NIA	PACIF II GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		45-2591588				PACIF II Carry Parent, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		45-2591860				PACIF II Carry, LLC	.DE	NIA	PACIF II Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PACIF III GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		46-1360141		0001562375		Private Advisors Coinvestment Fund III, LP	.DE	NIA	PACIF III GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		46-2548534				PACIF III Carry Parent, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		80-0916710				PACIF III Carry, LLC	.DE	NIA	PACIF III Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PACIF IV GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-4247870		0001646588		Private Advisors Coinvestment Fund IV, LP	.DE	NIA	PACIF IV GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PACIF IV Carry Parent, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-4489053				PACIF IV Carry, LLC	.DE	NIA	PACIF IV Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PAMMF GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		83-1689912		0001762448		PA Middle Market Fund, LP	.DE	NIA	PAMMF GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		03-0532213		0001322607		PA Hedged Equity Fund, L.P.	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group		54-2051483		0001459910		Private Advisors Hedged Equity Fund (QP), L.P.	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		20-5904617				Private Advisors Hedged Equity Master Fund	.DE	NIA	PA Hedged Equity Fund, L.P.	Other	0.000	New York Life Insurance Company	.N	8
.0826	New York Life Group						PASOF GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PA Strategic Opportunities Fund, LP	.DE	NIA	PASOF GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PASCBF III GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		20-4838202		0001374891		Private Advisors Small Company Buyout Fund III, LP	.DE	NIA	PASCBF III GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PASCBF IV GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		26-1662399		0001442524		Private Advisors Small Company Buyout Fund IV, LP	.DE	NIA	PASCBF IV GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		45-2573409				PASCBF IV Carry Parent, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		45-2591925				PASCBF IV Carry, LLC	.DE	NIA	PASCBF IV Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PASCBF V GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		45-4078336		0001537995		Private Advisors Small Company Buyout Fund V, LP	.DE	NIA	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		46-1799496		0001576987		Private Advisors Small Company Buyout V – ERISA Fund, LP	.DE	NIA	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		46-2714292				PASCBF V Carry Parent, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		35-2476750				PASCBF V Carry, LLC	.DE	NIA	PASBF V Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PASCPPEF VI Carry Parent, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-4523581				PASCPPEF VI Carry, LLC	.DE	NIA	PASCPPEF VI Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PASCPPEF VI GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		46-4301623		0001595889		Private Advisors Small Company Private Equity Fund VI, LP	.DE	NIA	PASCPPEF VI GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		98-1223903		0001635254		Private Advisors Small Company Private Equity Fund VI (Cayman), LP	.CYM	NIA	PASCPPEF VI GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PASCPPEF VII GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-5430553		0001657189		Private Advisors Small Company Private Equity Fund VII, LP	.DE	NIA	PASCPPEF VII GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		98-1286549		0001711424		Private Advisors Small Company Private Equity Fund VII (Cayman), LP	.CYM	NIA	PASCPPEF VII GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PASCPPEF VII Carry Parent, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-5442078				PASCPPEF VII Carry, LLC	.DE	NIA	PASCPPEF VII Carry Parent LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PASCPPEF VIII GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		82-2059912				Private Advisors Small Company Private Equity Fund VIII, LP	.DE	NIA	PASCPPEF VIII GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		82-2042371		0001711426		Private Advisors Small Company Private Equity Fund VIII (Cayman), LP	.DE	NIA	PASCPPEF VIII GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PASCPPEF IX GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PA Small Company Private Equity Fund IX, LP	.DE	NIA	PASCPPEF IX GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PA Small Company Private Equity Fund IX, (Cayman), LP	.CYM	NIA	PASCPPEF IX GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		26-4331000				Cuyahoga Capital Partners IV Management Group LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		26-4331219		0001514824		Cuyahoga Capital Partners IV LP	.DE	NIA	Cuyahoga Capital Partners IV Management Group LLC	Other	0.000	New York Life Insurance Company	.N	8
.0826	New York Life Group		26-3698069	3835342			Cuyahoga Capital Emerging Buyout Partners Management Group LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Cuyahoga Capital Emerging Buyout Partners LP			Cuyahoga Capital Emerging Buyout Partners Management Group LLC	Other	0.000	New York Life Insurance Company	.N	8
.0826	New York Life Group		26-3698209	3835351				.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		47-4479441				PA Real Assets Carry Parent, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PA Real Assets Carry, LLC	.DE	NIA	PA Real Assets Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						PA Real Assets Carry Parent II, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	.N	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group		82-2582122				PA Real Assets Carry II, LLC	DE	NIA	PA Real Assets Carry Parent II, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						PA Emerging Manager Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						PA Emerging Manager Carry, LLC	DE	NIA	PA Emerging Manager Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						PA Emerging Manager Carry Parent II, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		82-2884836				PA Emerging Manager Carry II, LLC	DE	NIA	PA Emerging Manager Carry Parent II, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						RIC I GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		47-4146929				Richmond Coinvestment Partners I, LP	DE	NIA	RIC I GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						RIC I Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		47-4511149				RIC I Carry, LLC	DE	NIA	RIC I Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						PASF V GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		47-4252449		0001646590		Private Advisors Secondary Fund V, LP	DE	NIA	PASF V GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						PASF V Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		47-4498336				PASF V Carry, LLC	DE	NIA	PASF V Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						PASF VI GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						PA Secondary Fund VI, LP	DE	NIA	PASF VI GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						PARAF GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		47-5323045		0001656546		Private Advisors Real Assets Fund, LP	DE	NIA	PARAF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						PARAF Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		47-5392508				PARAF Carry, LLC	DE	NIA	PARAF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						PASCCIF GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Private Advisors Small Company Coinvestment Fund, LP	DE	NIA	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		47-5230804		0001660017		Private Advisors Small Company Coinvestment Fund ERISA, LP	DE	NIA	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						PASCCIF II GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Private Advisors Small Company Coinvestment Fund II, LP	DE	NIA	PASCCIF II GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						PASCCIF Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		47-5472308				PASCCIF Carry, LLC	DE	NIA	PASCCIF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		82-3120890				PARAF II GP LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		82-3541209		0001721164		Private Advisors Real Assets Fund II LP	DE	NIA	PARAF II GP LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group				0001223706		Private Advisors Hedged Equity Fund, Ltd.	CYM	NIA	PA Capital LLC	Other	0.000	New York Life Insurance Company	N	8
.0826	New York Life Group						Private Advisors Hedged Equity Fund (QP), Ltd.	CYM	NIA	PA Capital LLC	Other	0.000	New York Life Insurance Company	N	8
.0826	New York Life Group		98-0626772				Private Advisors Hedged Equity Master Fund, Ltd.	CYM	NIA	Private Advisors Hedged Equity Fund (QP), Ltd.	Other	0.000	New York Life Insurance Company	N	8
.0826	New York Life Group						UVF GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		46-5163324		0001605509		Undiscovered Value Fund, LP	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		98-1164867		0001605502		Undiscovered Value Fund, Ltd.	CYM	NIA	PA Capital LLC	Other	0.000	New York Life Insurance Company	N	8
.0826	New York Life Group		98-1164329				Undiscovered Value Master Fund SPC	CYM	NIA	Undiscovered Value Fund, Ltd.	Other	0.000	New York Life Insurance Company	N	8
.0826	New York Life Group						Washington Pike GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Washington Pike, LP	DE	NIA	Washington Pike GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						RidgeLake Partners GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						RidgeLake Partners, LP	DE	NIA	RidgeLake Partners GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group									New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						NYLCAP Holdings (Mauritius)	MUS	NIA	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Jacob Ballas Capital India PVT, Ltd.	MUS	NIA	NYLCAP Holdings (Mauritius)	Ownership	23.300	New York Life Insurance Company	N	
.0826	New York Life Group						Industrial Assets Holdings Limited	MUS	NIA	NYLCAP Holdings (Mauritius)	Ownership	28.020	New York Life Insurance Company	N	
.0826	New York Life Group						JB Cerestra Investment Management LLP	MUS	NIA	NYLCAP Holdings (Mauritius)	Ownership	12.580	New York Life Insurance Company	N	
.0826	New York Life Group		22-3704242		0001103598					New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						NYLIM Service Company LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						NYL Workforce GP LLC	DE	NIA	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group		52-2206685		0001133639		New York Life Investment Management LLC	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLIM Fund II GP, LLC	.DE	NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						NYLIM-TND, LLC	.DE	NIA	NYLIM Fund II GP, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						New York Life Investment Management Hong Kong Limited	.CHN	NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						WFHG, GP LLC	.DE	NIA	New York Life Investment Management LLC	Ownership	50.000	New York Life Insurance Company	.N	
.0826	New York Life Group			4643807	0001406803		Workforce Housing Fund I – 2007, LP	.DE	NIA	WFHG, GP LLC	Ownership	50.000	New York Life Insurance Company	.N	
.0826	New York Life Group						IndexIQ Holdings Inc.	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		56-2581173		0001364028		IndexIQ, Inc.	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		02-0811751		0001483922		IndexIQ LLC	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	74.370	New York Life Insurance Company	.N	
.0826	New York Life Group		02-0811751		0001483922		IndexIQ LLC	.DE	NIA	IndexIQ Holdings Inc.	Ownership	25.630	New York Life Insurance Company	.N	
.0826	New York Life Group		02-0811753		0001415996		IndexIQ Advisors LLC	.DE	NIA	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						IndexIQ Active ETF Trust	.DE	NIA	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						IQ MacKay Shields Municipal Intermediate ETF	.DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	31.000	New York Life Insurance Company	.N	
.0826	New York Life Group						IndexIQ ETF Trust	.DE	NIA	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						IQ 50 Percent Hedged FTSE International ETF	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	53.500	New York Life Insurance Company	.N	
.0826	New York Life Group						IQ 500 International ETF	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	99.100	New York Life Insurance Company	.N	
.0826	New York Life Group						IQ Chaikin US Large Cap ETF	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	96.700	New York Life Insurance Company	.N	
.0826	New York Life Group						IQ Chaikin US Small Cap ETF	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	79.600	New York Life Insurance Company	.N	
.0826	New York Life Group						IQ S&P High Yield Low Volatility Bd ETF	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	53.700	New York Life Insurance Company	.N	
.0826	New York Life Group						IQ Candriam ESG International Equity ETF	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	99.500	New York Life Insurance Company	.N	
.0826	New York Life Group						IQ Candriam ESG International Equity ETF	.DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	9.340	New York Life Insurance Company	.N	
.0826	New York Life Group						IQ Candriam ESG US Equity ETF	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	97.900	New York Life Insurance Company	.N	
.0826	New York Life Group						New York Life Investment Management Holdings International	.LUX	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						New York Life Investment Management Holdings II International	.LUX	NIA	New York Life Investment Management Holdings International	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Group	.LUX	NIA	New York Life Investment Management Holdings II International	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						CGH UK Acquisition Company Limited	.GBR	NIA	Candriam Group	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Tristan Capital Partners Holdings Limited	.GBR	NIA	CGH UK Acquisition Company Limited	Ownership	49.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Tristan Capital Holdings Limited	.GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	40.000	New York Life Insurance Company	.N	
.0826	New York Life Group						EPISO 4 Co-Investment LLP	.GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	50.000	New York Life Insurance Company	.N	
.0826	New York Life Group						EPISO 4 (GP) LLP	.GBR	NIA	EPISO 4 Co-Investment LLP	Ownership	16.000	New York Life Insurance Company	.N	
.0826	New York Life Group						EPISO 4 Incentive Partners LLP	.GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	4.700	New York Life Insurance Company	.N	
.0826	New York Life Group						CCP 5 Co-Investment LLP	.GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	50.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Tristan Capital Limited	.GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Tristan Capital Partners LLP	.GBR	NIA	Tristan Capital Limited	Ownership	92.000	New York Life Insurance Company	.N	
.0826	New York Life Group						CCP III Co-Investment (GP) Limited	.GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						CCP III Co-Investment LP	.GBR	NIA	COP III Co-Investment (GP) Limited	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						COP IV Co-Investment LP	.GBR	NIA	COP III Co-Investment (GP) Limited	Ownership	100.000	New York Life Insurance Company	.N	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group						CCP III (GP) LLP	.GBR	NIA	Tristan Capital Partners LLP	Ownership	50.000	New York Life Insurance Company	.N	
.0826	New York Life Group						CCP III Incentive Partners (GP) Limited	.GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						CCP III Incentive Partners LP	.GBR	NIA	CCP III Incentive Partners (GP) Limited	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						CCP IV Incentive Partners LP	.GBR	NIA	CCP III Incentive Partners (GP) Limited	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Curzon Capital Partners III (GP) Limited	.GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						CCP III (GP) LLP	.GBR	NIA	Curzon Capital Partners III (GP) Limited	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						EPISO 3 Co-Investment (GP) Limited	.GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						EPISO 3 Co-Investment LP	.GBR	NIA	EPISO 3 Co-Investment (GP) Limited	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						EPISO 3 Incentive Partners (GP) Limited	.GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						EPISO 3 Incentive Partners LP	.GBR	NIA	EPISO 3 Incentive Partners (GP) Limited	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						EPISO 3 IOM Limited	.IMN	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						CCP IV (GP) LLP	.GBR	NIA	Tristan Capital Partners LLP	Ownership	50.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Curzon Capital Partners IV (GP) Limited	.GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						CCP 5 GP LLP	.GBR	NIA	Tristan Capital Partners LLP	Ownership	33.000	New York Life Insurance Company	.N	
.0826	New York Life Group						CCP 5 Pool Partnership GP Limited	.NJ	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						CCP 5 Pool Partnership SLP	.NJ	NIA	CCP 5 Pool Partnership GP Limited	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Tristan Capital Partners Asset Management Limited	.GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						TCP Poland Spółka z ograniczona odpowiedzialnoscia	.POL	NIA	Tristan Capital Partners Asset Management Limited	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						TCP Co-Investment (GP) S.á.r.l.	.LUX	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						TCP Co-Investment SCSP	.LUX	NIA	TCP Co-Investment (GP) S.á.r.l.	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						TCP Incentive Partners SCSP	.LUX	NIA	TCP Co-Investment (GP) S.á.r.l.	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						TCP Incentive Partners (GP) S.á.r.l.	.LUX	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						German Property Performance Partners Investors Feeder Verwaltungs GmbH	.DEU	NIA	TCP Incentive Partners (GP) S.á.r.l.	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						EPISO 4 (GP) S.á.r.l.	.LUX	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						EPISO 4 (GP) II S.á.r.l.	.LUX	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Luxco S.á.r.l.	.LUX	NIA	Candriam Group	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Luxembourg	.LUX	NIA	Candriam Group	Ownership	90.972	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Belgium	.BEL	NIA	Candriam Luxembourg	Ownership	99.990	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Belgium	.BEL	NIA	Candriam Group	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam France	.FRA	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Monétaire SICAV	.FRA	NIA	Candriam Belgium	Ownership	0.950	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Monétaire SICAV	.FRA	NIA	Candriam France	Ownership	0.950	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Monétaire SICAV	.FRA	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Switzerland LLC	.CHE	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam GP	.LUX	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						KTA Holdco	.LUX	NIA	Candriam Luxembourg	Ownership	66.670	New York Life Insurance Company	.N	
.0826	New York Life Group						KTA Holdco	.LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	33.330	New York Life Insurance Company	.N	
.0826	New York Life Group						Kartesia Management SA	.LUX	NIA	KTA Holdco	Ownership	33.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Cordius	.LUX	NIA	Candriam Luxembourg	Ownership	12.170	New York Life Insurance Company	.N	
.0826	New York Life Group						Cordius	.LUX	NIA	Candriam Belgium	Ownership	7.990	New York Life Insurance Company	.N	
.0826	New York Life Group						Cordius CIG	.LUX	NIA	Candriam Luxembourg	Ownership	60.390	New York Life Insurance Company	.N	
.0826	New York Life Group						Cordius CIG	.LUX	NIA	Candriam Belgium	Ownership	39.530	New York Life Insurance Company	.N	
.0826	New York Life Group						IndexIQ	.LUX	NIA	Cordius CIG	Ownership	29.000	New York Life Insurance Company	.N	
.0826	New York Life Group						IndexIQ Factors Sustainable Corporate Euro Bond	.LUX	NIA	Cordius CIG	Ownership	40.000	New York Life Insurance Company	.N	
.0826	New York Life Group						IndexIQ Factors Sustainable EMU Equity	.LUX	NIA	Cordius CIG	Ownership	2.380	New York Life Insurance Company	.N	
.0826	New York Life Group						IndexIQ Factors Sustainable Europe Equity	.LUX	NIA	Cordius CIG	Ownership	19.000	New York Life Insurance Company	.N	
.0826	New York Life Group						IndexIQ Factors Sustainable Japan Equity	.LUX	NIA	Cordius CIG	Ownership	30.000	New York Life Insurance Company	.N	
.0826	New York Life Group						IndexIQ Factors Sustainable Sovereign Euro Bond	.LUX	NIA	Cordius CIG	Ownership	19.000	New York Life Insurance Company	.N	

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PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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0826	New York Life Group						Candriam Absolute Return	LUX	NIA	Candriam Luxembourg	Ownership	0.130	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Absolute Return	LUX	NIA	Cordius CIG	Ownership	7.630	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Absolute Return Equity Market Neutral	LUX	NIA	Candriam Luxembourg	Ownership	0.100	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Absolute Return Equity Market Neutral	LUX	NIA	Cordius CIG	Ownership	9.110	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Absolute Return Long Short Digital Equity	LUX	NIA	Candriam Luxembourg	Ownership	0.280	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Absolute Return Long Short Digital Equity	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Alternative	LUX	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Alternative Systemat	LUX	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Convertible Defensive	LUX	NIA	Candriam Luxembourg	Ownership	0.040	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Credit Opportunities	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Emerging Debt Local Currencies	LUX	NIA	Candriam Luxembourg	Ownership	0.060	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Emerging Debt Local Currencies	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Emerging Markets	LUX	NIA	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Emerging Markets Total Return	LUX	NIA	Candriam Luxembourg	Ownership	0.080	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Euro Corporate	LUX	NIA	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Euro Government	LUX	NIA	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Euro High Yield	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Euro Short Term	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Euro Long Term	LUX	NIA	Cordius CIG	Ownership	0.020	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Global High Yield	LUX	NIA	Candriam Luxembourg	Ownership	0.070	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Global Sovereign Quality	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds International	LUX	NIA	Candriam Luxembourg	Ownership	0.170	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds International	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Bonds Total Return	LUX	NIA	Candriam Luxembourg	Ownership	0.040	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Diversified Futures	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Diversified Futures	LUX	NIA	Candriam France	Ownership	5.920	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Equities L Emerging Markets	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Equities L Robotics & Innovation Technology	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	N	
0826	New York Life Group						Candriam Fund Sustainable Euro Corporate Bonds Fossil Free	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
0826	New York Life Group						Candriam GF	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	56.070	New York Life Insurance Company	N	
0826	New York Life Group						Candriam GF	LUX	NIA	Cordius CIG	Ownership	0.020	New York Life Insurance Company	N	
0826	New York Life Group						Candriam GF AUSBIL Global Essential Infrastructure	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	77.240	New York Life Insurance Company	N	
0826	New York Life Group						Candriam GF AUSBIL Global Essential Infrastructure	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	N	
0826	New York Life Group						Candriam GF Short Duration US High Yield Bonds	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	30.840	New York Life Insurance Company	N	
0826	New York Life Group						Candriam GF Short Duration US High Yield Bonds	LUX	NIA	Cordius CIG	Ownership	0.020	New York Life Insurance Company	N	
0826	New York Life Group						Candriam GF U.S. Equity Opportunities	LUX	NIA	Cordius CIG	Ownership	0.050	New York Life Insurance Company	N	
0826	New York Life Group						Candriam GF US Corporate Bonds	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	95.950	New York Life Insurance Company	N	

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.0826	New York Life Group						Candriam GF US High Yield Corporate Bonds	.LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	65.220	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam GF US High Yield Corporate Bonds	.LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Global Alpha	.LUX	NIA	Candriam Luxembourg	Ownership	0.120	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Global Alpha	.LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Impact One	.LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	38.460	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Index Arbitrage	.LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam L	.LUX	NIA	Candriam Luxembourg	Ownership	0.060	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam L	.LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	0.810	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam L	.LUX	NIA	Cordius CIG	Ownership	0.060	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam L Balanced Asset Allocation	.LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam L Conservative Asset Allocation	.LUX	NIA	Candriam Luxembourg	Ownership	0.160	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam L Defensive Asset Allocation	.LUX	NIA	Cordius CIG	Ownership	0.050	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam L Dynamic Asset Allocation	.LUX	NIA	Cordius CIG	Ownership	5.210	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam L Multi-Asset Income	.LUX	NIA	Candriam Luxembourg	Ownership	0.060	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam L Multi-Asset Income & Growth	.LUX	NIA	Candriam Luxembourg	Ownership	0.040	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam L Multi-Asset Premia	.LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	15.680	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam L Multi-Asset Premia	.LUX	NIA	Cordius CIG	Ownership	0.030	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Long Short Credit	.LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Money Market	.LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Money Market Euro AAA	.LUX	NIA	Candriam Luxembourg	Ownership	0.100	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Multi-Strategies	.LUX	NIA	Candriam Belgium	Ownership	16.510	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Multi-Strategies	.LUX	NIA	Candriam France	Ownership	25.320	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Multi-Strategies	.LUX	NIA	Candriam Luxembourg	Ownership	58.140	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Multi-Strategies	.LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Quant	.LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Quant Equities Multi-Factor EMU	.LUX	NIA	Cordius CIG	Ownership	0.030	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Quant Equities Multi-Factor Global	.LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Quant Equities USA	.LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Risk Arbitrage	.LUX	NIA	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI	.LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI Bond Emerging Markets	.LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI Bond Euro	.LUX	NIA	Candriam Luxembourg	Ownership	0.040	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI Bond Euro Aggregate Index	.LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI Bond Euro Corporate	.LUX	NIA	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI Bond Global High Yield	.LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI Equity Circular Economy	.LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI Equity Emerging Markets	.LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI Equity EMU	.LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI Equity Europe	.LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI Equity North America	.LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI Equity Pacific	.LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam SRI Equity World	.LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable	.LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Bond Emerging Markets	.LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Bond Euro	.LUX	NIA	Candriam Luxembourg	Ownership	0.040	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Bond Euro Aggregate Index	.LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Bond Euro Corporate	.LUX	NIA	Cordius CIG	Ownership	0.030	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Bond Global High Yield	.LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group						Candriam Sustainable Equity Circular Economy	.LUX	.NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
							Candriam Sustainable Equity Emerging Markets	.LUX	.NIA						
.0826	New York Life Group						Candriam Sustainable Equity EMU	.LUX	.NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Equity Europe	.LUX	.NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Equity Future Mobility	.LUX	.NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Equity North America	.LUX	.NIA	Cordius CIG	Ownership	0.140	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Equity Pacific	.LUX	.NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Equity World	.LUX	.NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Euro Bonds	.LUX	.NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Euro Short Term Bonds	.LUX	.NIA	Cordius CIG	Ownership	0.580	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable High	.LUX	.NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable Pacific	.LUX	.NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam Sustainable World Bonds	.LUX	.NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam World Alternative	.LUX	.NIA	Cordius CIG	Ownership	0.380	New York Life Insurance Company	.N	
								.LUX	.NIA	Candriam Luxembourg	Ownership	0.090	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam World Alternative	.LUX	.NIA	New York Life Insurance and Annuity Corporation	Ownership	29.900	New York Life Insurance Company	.N	
								.LUX	.NIA	New York Life Insurance and Annuity Corporation					
.0826	New York Life Group						Candriam World Alternative Alphamax	.LUX	.NIA	Corporation	Ownership	37.610	New York Life Insurance Company	.N	
.0826	New York Life Group						Candriam World Alternative Alphamax	.LUX	.NIA	Candriam Luxembourg	Ownership	0.120	New York Life Insurance Company	.N	
.0826	New York Life Group						Paricor	.LUX	.NIA	Cordius CIG	Ownership	0.060	New York Life Insurance Company	.N	
.0826	New York Life Group						Paricor Patrimonium	.LUX	.NIA	Cordius CIG	Ownership	0.070	New York Life Insurance Company	.N	
								.LUX	.NIA						
.0826	New York Life Group						Ausbil Investment Management Limited	.AUS	.NIA	New York Life Investment Management Holdings II International	Ownership	78.830	New York Life Insurance Company	.N	
.0826	New York Life Group						Ausbil Australia Pty. Ltd.	.AUS	.NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Ausbil Asset Management Pty. Ltd.	.AUS	.NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Ausbil Global Infrastructure Pty. Limited	.AUS	.NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						Ausbil Global Infrastructure Pty. Limited	.AUS	.NIA	Ausbil Investment Management Limited	Ownership	55.000	New York Life Insurance Company	.N	
.0826	New York Life Group						ISPT Holding	.AUS	.NIA	Ausbil Investment Management Limited	Ownership	45.000	New York Life Insurance Company	.N	13
								.AUS	.NIA						
.0826	New York Life Group						Ausbil Investment Management Limited Employee Share Trust	.AUS	.NIA	Ausbil Investment Management Limited	Ownership	0.370	New York Life Insurance Company	.N	
								.AUS	.NIA	Ausbil Investment Management Limited					
.0826	New York Life Group						Ausbil 130/30 Focus Fund	.AUS	.NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	100.000	New York Life Insurance Company	.N	12
								.AUS	.NIA	New York Life Insurance and Annuity Corporation					
.0826	New York Life Group						Ausbil IT – Ausbil Dividend Income Fund	.AUS	.NIA	Corporation	Ownership	25.800	New York Life Insurance Company	.N	
							Ausbil IT – Ausbil Active Sustainable Equity Fund	.AUS	.NIA	New York Life Insurance and Annuity Corporation					
.0826	New York Life Group							.AUS	.NIA	Corporation	Ownership	37.190	New York Life Insurance Company	.N	
								.AUS	.NIA	Ausbil Investment Management Limited					
.0826	New York Life Group						Ausbil Australian Active Equity Fund	.AUS	.NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	100.000	New York Life Insurance Company	.N	
								.AUS	.NIA	Ausbil Investment Management Limited					
.0826	New York Life Group						Ausbil Australian Concentrated Equity Fund	.AUS	.NIA	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	.N	
								.AUS	.NIA	Ausbil Investment Management Limited					
.0826	New York Life Group						Ausbil Australian Emerging Leaders Fund	.AUS	.NIA	Ausbil Investment Management Limited Employee Share Trust	Ownership	100.000	New York Life Insurance Company	.N	
								.AUS	.NIA	Ausbil Investment Management Limited					
.0826	New York Life Group						Ausbil Australian Geared Equity Fund	.AUS	.NIA	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	.N	
								.AUS	.NIA	Ausbil Investment Management Limited					
.0826	New York Life Group						Ausbil Australian Smallcap Fund	.AUS	.NIA	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	.N	
								.AUS	.NIA	Ausbil Investment Management Limited					
.0826	New York Life Group						Ausbil Balanced Fund	.AUS	.NIA	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	.N	
								.AUS	.NIA	Ausbil Investment Management Limited					
.0826	New York Life Group						Ausbil EGS Focus Fund	.AUS	.NIA	Employee Share Trust	Ownership	100.000	New York Life Insurance Company	.N	
							Ausbil IT – Ausbil Global Essential Infrastructure Fund	.AUS	.NIA	New York Life Insurance and Annuity Corporation	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group							.AUS	.NIA			48.980	New York Life Insurance Company	.N	

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group						Ausbil IT - Ausbil Global Resources Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	50.190	New York Life Insurance Company	N	
.0826	New York Life Group						Ausbil IT - Ausbil Global SmallCap Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	66.130	New York Life Insurance Company	N	
.0826	New York Life Group						Ausbil IT - Ausbil Microcap Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	14.990	New York Life Insurance Company	N	
.0826	New York Life Group						Ausbil IT - MacKay Shields Multi-Sector Bond Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	98.080	New York Life Insurance Company	N	
.0826	New York Life Group						Ausbil IT Ausbil Long Short Focus Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	96.250	New York Life Insurance Company	N	
.0826	New York Life Group		56-2412827		0000914898		NYLIFE Distributors LLC	DE	NIA	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group			3663273			Huntsville NYL LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						CC Acquisitions, LP	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-IND Cedar Farms TN LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Cedar Farms JV LLC	DE	NIA	REEP-IND Cedar Farms TN LLC	Ownership	90.000	New York Life Insurance Company	N	
.0826	New York Life Group		61-1724274				REEP-IND Continental NC LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group		46-3561738				LRC-Patriot, LLC	DE	NIA	REEP-IND Continental NC LLC	Ownership	93.000	New York Life Insurance Company	N	
.0826	New York Life Group		35-2496884				REEP-LRC Industrial LLC	DE	NIA	LRC-Patriot, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-IND Forest Park NJ LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						FP Building 4 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						FP Building 1-2-3 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						FP Building 17, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						FP Building 20, LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						FP Mantua Grove LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						FP Lot 1.01 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-IND NJ LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						NJIND JV LLC	DE	NIA	REEP-IND NJ LLC	Ownership	93.000	New York Life Insurance Company	N	
.0826	New York Life Group						NJIND Hook Road LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						NJIND Bay Avenue LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						NJIND Corbin Street LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-MF Cumberland TN LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Cumberland Apartments, LLC	TN	NIA	REEP-MF Cumberland TN LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-MF Enclave TX LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Enclave CAF LLC	DE	NIA	REEP-MF Enclave TX LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-MF Marina Landing WA LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-SP Marina Landing LLC	DE	NIA	REEP-MF Marina Landing WA LLC	Ownership	98.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-MF Mira Loma II TX LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Mira Loma II, LLC	DE	NIA	REEP-MF Mira Loma II TX LLC	Ownership	50.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-MF Summitt Ridge CO LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Summitt Ridge Apartments, LLC	DE	NIA	REEP-MF Summitt Ridge CO LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-MF Woodridge IL LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-OF Centerpointe VA LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Centerpointe Fairfax Holdings LLC	DE	NIA	REEP-OF Centerpointe VA LLC	Ownership	50.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-OFC 575 Lex NY LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-OFC 575 Lex NY GP LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Maple REEP-OFC 575 Lex Holdings LP	DE	NIA	REEP-OFC 575 Lex NY GP LLC	Ownership	50.000	New York Life Insurance Company	N	
.0826	New York Life Group						Maple REEP-OFC 575 Lex Owner LLC	DE	NIA	REEP-OFC 575 Lex NY GP LLC	Ownership	50.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-RTL SASI GA LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-RTL Bradford PA LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-OFC Royal Centre GA LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						Royal Centre, LLC	DE	NIA	REEP-OFC Royal Centre GA LLC	Ownership	90.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-RTL CTC NY LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group						REEP-OFC 5005 LBJ Freeway TX LLC	DE	NIA	NYLIFE Real Estate Holdings, LLC	Ownership	97.000	New York Life Insurance Company	N	

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0826	New York Life Group						5005 LBJ Tower LLC	.DE	NIA	REEP-OFC 5005 LBJ Freeway TX LLC	Ownership	97.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC/RTL MARKET ROSS TX LLC	.DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		37-1842612				MARKET ROSS TX JV LLC	.DE	NIA	REEP-OFC/RTL MARKET ROSS TX LLC	Ownership	98.700	New York Life Insurance Company	.N	
.0826	New York Life Group		61-1808552				MARKET ROSS TX GARAGE OWNER LC	.DE	NIA	MARKET ROSS TX JV LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		36-4852864				MARKET ROSS TX OFFICE OWNER LLC	.DE	NIA	MARKET ROSS TX JV LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		32-0511592				MARKET ROSS TX RETAIL OWNER LLC	.DE	NIA	MARKET ROSS TX JV LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC Mallory TN LLC	.DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						3665 Mallory JV LLC	.DE	NIA	REEP-OFC Mallory TN LLC	Ownership	90.900	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC Water Ridge NC LLC	.DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC Viridian AZ LLC	.DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-Hines Viridian JV LLC	.DE	NIA	REEP-OFC Viridian AZ LLC	Ownership	73.031	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC 2300 EMPIRE LLC	.DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF Wynnewood PA LLC	.DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group		30-1018932				Wynnewood JV LLC	.DE	NIA	REEP-MF Wynnewood PA LLC	Ownership	92.500	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MU Fayetteville NC LLC	.DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						501 Fayetteville JV LLC	.DE	NIA	REEP-MU Fayetteville NC LLC	Ownership	85.000	New York Life Insurance Company	.N	
.0826	New York Life Group						501 Fayetteville Owner LLC	.DE	NIA	501 Fayetteville JV LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MU SOUTH GRAHAM NC LLC	.DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						401 SOUTH GRAHAM JV LLC	.DE	NIA	REEP-MU SOUTH GRAHAM NC LLC	Ownership	90.000	New York Life Insurance Company	.N	
.0826	New York Life Group						401 SOUTH GRAHAM OWNER LLC	.DE	NIA	401 SOUTH GRAHAM JV LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-IND COMMERCE CITY CO LLC	.DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-BRENNAN COMMERCE CITY JV LLC	.DE	NIA	REEP-IND COMMERCE CITY CO LLC	Ownership	95.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF ART TOWER OR LLC	.DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-WP ART TOWER JV LLC	.DE	NIA	REEP-MF ART TOWER OR LLC	Ownership	95.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-OFC Mass Ave MA LLC	.DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MF FARMINGTON IL LLC	.DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MARQUETTE FARMINGTON JV LLC	.DE	NIA	REEP-MF FARMINGTON IL LLC	Ownership	90.000	New York Life Insurance Company	.N	
.0826	New York Life Group						REEP-MARQUETTE FARMINGTON OWNER LLC	.DE	NIA	REEP-MARQUETTE FARMINGTON JV LLC	Ownership	100.000	New York Life Insurance Company	.N	

Asterisk	Explanation
1	Contractual Client – Madison Capital Funding LLC, an indirect wholly owned affiliate of the Company, has contractual control of this entity's loan portfolio.
2	Control of this entity is pursuant to an investment management contract with New York Life Investment Management Holdings LLC or affiliate, not through ownership of voting interests.
3	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding LLC owns 36% of non-voting carry shares.
4	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding III LLC owns 31.36% of non-voting carry shares.
5	Investment Pool – Investment pool of leveraged loans managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
6	Reliance Relationship – Entire proceeds of the entity are invested in a funding agreement of the Company.
7	Energy Contracts and Aircraft Loans Investments – with 100% of the investments coming from the Company and its wholly owned affiliate New York Life Insurance and Annuity Corporation.
8	Control of this entity is pursuant to an investment management contract with Private Advisors LLC, or affiliate, not through ownership of voting interests.
9	Investment Pool – Investment pool of mixed assets managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
10	One share held by Candriam Luxco S.á.r.l.
11	Control of this entity is pursuant to a management contract with NYL Investors LLC.
12	Ausbil Investment Management Limited has sole authority over the management of the fund.
13	45% of Ausbil Global Infrastructure Pty. Limited is owned by employees of the company.

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SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7 Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments		Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
.66915 .91596	13-5582869 13-3044743	New York Life Insurance Company (Parent) New York Life Insurance and Annuity Corporation	1,256,706,500 (866,706,500)	(523,765,131) 457,765,131	0 0	0 0	829,902,669 (827,202,348)	0 0		(2,213,207,793) (2,858,927,358)	(650,363,755) (4,095,071,075)	(36,491,548) 36,491,548
	13-4199614	New York Life Enterprises LLC	0	(98,000,000)	0	0	0	0		0	(98,000,000)	0
.81353	52-1530175	NYLIFE Insurance Company of Arizona	(5,000,000)	0	0	0	(2,700,321)	0		0	(7,700,321)	0
	52-2206685	New York Life Investment Management Holdings LLC	(95,000,000)	0	0	0	0	0		770,076,008	675,076,008	0
	13-4081725	NYLIFE LLC	0	4,000,000	0	0	0	0		(376,681,346)	(372,681,346)	0
	46-4293486	NYL Investors LLC	(145,000,000)	0	0	0	0	0		0	(145,000,000)	0
	36-4715120	Madison Capital Funding LLC	(145,000,000)	160,000,000	0	0	0	0		4,678,740,489	4,693,740,489	0
.65498	23-1503749	Life Insurance Company of North America	0	0	0	0	0	0		0	0	0
.64548	13-2556568	New York Life Group Insurance Company of NY	0	0	0	0	0	0		0	0	0
9999999 Control Totals			0	0	0	0	0	0	xxx	0	0	0

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a “NONE” report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Responses
MARCH FILING	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?	YES
3. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?	YES
4. Will an actuarial opinion be filed by March 1?	YES
APRIL FILING	
5. Will Management’s Discussion and Analysis be filed by April 1?	YES
6. Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	YES
7. Will the Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (if required) be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	YES
8. Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
JUNE FILING	
9. Will an audited financial report be filed by June 1?	YES
10. Will Accountant’s Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES
AUGUST FILING	
11. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1?	YES

The following supplemental reports are required to be filed as part of your annual statement filing **if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a “NONE” report and a bar code will be printed below.** If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

MARCH FILING	
12. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? (Not applicable to fraternal benefit societies) ...	NO
13. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
14. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1?	NO
15. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	NO
16. Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	NO
17. Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1?	NO
18. Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1?	NO
19. Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1?	NO
20. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
21. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
22. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1?	NO
23. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
24. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
25. Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1?	NO
26. Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1?	NO

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

27.	Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1?	NO
28.	Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1?	NO
29.	Will the Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	
30.	Will the Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	
31.	Will the Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	
32.	Will the Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	
33.	Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1?	NO
34.	Will the Worker's Compensation Carve-Out Supplement be filed by March 1? (Not applicable to fraternal benefit societies)	NO
35.	Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1?	YES
36.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?	NO
37.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
38.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
39.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?	NO
40.	Will the VM-20 Reserves Supplement be filed with the state of domicile and the NAIC by March 1?	NO

APRIL FILING

41.	Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by the Valuation Manual be filed with the state of domicile by April 1?	YES
42.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO
43.	Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies) ...	NO
44.	Will the Accident and Health Policy Experience Exhibit be filed by April 1?	YES
45.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	NO
46.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1?	NO
47.	Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30?	NO
48.	Will the Supplemental Term and Universal Life Insurance Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1?	NO
49.	Will the Variable Annuities Supplement be filed with the state of domicile and the NAIC by April 1?	NO
50.	Will the confidential Executive Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO
51.	Will the confidential Life Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO
52.	Will the confidential Variable Annuities Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO

AUGUST FILING

53.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	NO
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- Explanations:
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12.	Bar Codes: SIS Stockholder Information Supplement [Document Identifier 420]
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13.	Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]
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14.	Trusted Surplus Statement [Document Identifier 490]
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15.	Participating Opinion for Exhibit 5 [Document Identifier 371]
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SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

16. Non-Guaranteed Opinion for Exhibit 5 [Document Identifier 370]



17. Actuarial Opinion on X-Factors [Document Identifier 442]



18. Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit [Document Identifier 443]



19. Actuarial Opinion on Synthetic Guaranteed Investment Contracts [Document Identifier 444]



20. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]



21. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]



22. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]



23. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]



24. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



25. C-3 RBC Certifications Required Under C-3 Phase I [Document Identifier 450]



26. C-3 RBC Certifications Required Under C-3 Phase II [Document Identifier 451]



27. Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities [Document Identifier 452]



28. Modified Guaranteed Annuity Model Regulation [Document Identifier 453]



33. Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities [Document Identifier 454]



34. Workers' Compensation Carve-Out Supplement [Document Identifier 495]



36. Medicare Part D Coverage Supplement [Document Identifier 365]



37. Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]



38. Relief from the one-year cooling off period for independent CPA [Document Identifier 225]



39. Relief from the Requirements for Audit Committees [Document Identifier 226]



40. VM-20 Reserves Supplement [Document Identifier 456]



42. Long-Term Care Experience Reporting Forms [Document Identifier 306]



43. Credit Insurance Experience Exhibit [Document Identifier 230]



45. Supplemental Health Care Exhibit (Parts 1, 2 and 3) [Document Identifier 216]



46. Supplemental Health Care Exhibit's Expense Allocation Report [Document Identifier 217]



47. Actuarial Memorandum Required by Actuarial Guideline XXXVIII 8D [Document Identifier 435]



48. Supplemental Term and Universal Life Insurance Reinsurance Exhibit [Document Identifier 345]



49. Variable Annuities Supplement [Document Identifier 286]



50. Executive Summary of the PBR Actuarial Report [Document Identifier 457]



SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

51. Life Summary of the PBR Actuarial Report [Document Identifier 458]



52. Variable Annuities Summary of the PBR Actuarial Report
[Document Identifier 459]



53. Management's Report of Internal Control Over Financial Reporting
[Document Identifier 223]



NONE

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1	2	3	4	5	6
	Amount	Percentage of Column 1 Line 13	Amount	Securities Lending Reinvested Collateral Amount	Total (Col. 3 + 4) Amount	Percentage of Column 5 Line 13
1. Long-Term Bonds (Schedule D, Part 1):						
1.01 U.S. governments	705,635	0.174	705,635	0	705,635	0.174
1.02 All other governments	3,501,797	0.864	3,501,797	0	3,501,797	0.864
1.03 U.S. states, territories and possessions, etc. guaranteed	2,039,970	0.503	2,039,970	0	2,039,970	0.503
1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed	10,729,690	2.648	10,729,690	0	10,729,690	2.648
1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed	9,370,245	2.313	9,370,246	0	9,370,246	2.313
1.06 Industrial and miscellaneous	366,357,361	90.421	366,357,371	0	366,357,371	90.421
1.07 Hybrid securities	1,458,860	0.360	1,458,860	0	1,458,860	0.360
1.08 Parent, subsidiaries and affiliates	0	0.000	0	0	0	0.000
1.09 SVO identified funds	0	0.000	0	0	0	0.000
1.10 Unaffiliated Bank loans	0	0.000	0	0	0	0.000
1.11 Total long-term bonds	394,163,558	97.284	394,163,569	0	394,163,569	97.284
2. Preferred stocks (Schedule D, Part 2, Section 1):						
2.01 Industrial and miscellaneous (Unaffiliated)	0	0.000	0	0	0	0.000
2.02 Parent, subsidiaries and affiliates	0	0.000	0	0	0	0.000
2.03 Total preferred stocks	0	0.000	0	0	0	0.000
3. Common stocks (Schedule D, Part 2, Section 2):						
3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)	0	0.000	0	0	0	0.000
3.02 Industrial and miscellaneous Other (Unaffiliated)	0	0.000	0	0	0	0.000
3.03 Parent, subsidiaries and affiliates Publicly traded	0	0.000	0	0	0	0.000
3.04 Parent, subsidiaries and affiliates Other	0	0.000	0	0	0	0.000
3.05 Mutual funds	0	0.000	0	0	0	0.000
3.06 Unit investment trusts	0	0.000	0	0	0	0.000
3.07 Closed-end funds	0	0.000	0	0	0	0.000
3.08 Total common stocks	0	0.000	0	0	0	0.000
4. Mortgage loans (Schedule B):						
4.01 Farm mortgages	0	0.000	0	0	0	0.000
4.02 Residential mortgages	0	0.000	0	0	0	0.000
4.03 Commercial mortgages	0	0.000	0	0	0	0.000
4.04 Mezzanine real estate loans	0	0.000	0	0	0	0.000
4.05 Total valuation allowance	0	0.000	0	0	0	0.000
4.06 Total mortgage loans	0	0.000	0	0	0	0.000
5. Real estate (Schedule A):						
5.01 Properties occupied by company	0	0.000	0	0	0	0.000
5.02 Properties held for production of income	0	0.000	0	0	0	0.000
5.03 Properties held for sale	0	0.000	0	0	0	0.000
5.04 Total real estate	0	0.000	0	0	0	0.000
6. Cash, cash equivalents and short-term investments:						
6.01 Cash (Schedule E, Part 1)	(3,996,126)	(0.986)	(3,996,126)	0	(3,996,126)	(0.986)
6.02 Cash equivalents (Schedule E, Part 2)	14,999,920	3.702	14,999,919	0	14,999,919	3.702
6.03 Short-term investments (Schedule DA)	0	0.000	0	0	0	0.000
6.04 Total cash, cash equivalents and short-term investments	11,003,794	2.716	11,003,793	0	11,003,793	2.716
7. Contract loans	0	0.000	0	0	0	0.000
8. Derivatives (Schedule DB)	0	0.000	0	0	0	0.000
9. Other invested assets (Schedule BA)	0	0.000	0	0	0	0.000
10. Receivables for securities	0	0.000	0	0	0	0.000
11. Securities Lending (Schedule DL, Part 1).....	0	0.000	0	XXX	XXX	XXX
12. Other invested assets (Page 2, Line 11)	0	0.000	0	0	0	0.000
13. Total invested assets	405,167,352	100.000	405,167,362	0	405,167,362	100.000

Schedule A - Verification - Real Estate

N O N E

Schedule B - Verification - Mortgage Loans

N O N E

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year	
2.	Cost of acquired:	
	2.1 Actual cost at time of acquisition (Part 2, Column 8)	
	2.2 Additional investment made after acquisition (Part 2, Column 9)	
3.	Capitalized deferred interest and other:	
	3.1 Totals, Part 1, Column 16	
	3.2 Totals, Part 3, Column 12	
4.	Accrual of discount	
5.	Unrealized valuation increase (decrease):	
	5.1 Totals, Part 1, Column 13	
	5.2 Totals, Part 3, Column 9	
6.	Total gain (loss) on disposals, Part 3, Column 19	
7.	Deduct amounts received on disposals, Part 3, Column 16	
8.	Deduct amortization of premium and depreciation	
9.	Total foreign exchange change in book/adjusted carrying value:	
	9.1 Totals, Part 1, Column 17	
	9.2 Totals, Part 3, Column 14	
10.	Deduct current year's other than temporary impairment recognized:	
	10.1 Totals, Part 1, Column 15	
	10.2 Totals, Part 3, Column 11	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	
12.	Deduct total nonadmitted amounts	
13.	Statement value at end of current period (Line 11 minus Line 12)	

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year		341,203,717
2.	Cost of bonds and stocks acquired, Part 3, Column 7		123,150,495
3.	Accrual of discount		246,894
4.	Unrealized valuation increase (decrease):		
	4.1. Part 1, Column 12	0	
	4.2. Part 2, Section 1, Column 15	0	
	4.3. Part 2, Section 2, Column 13	0	
	4.4. Part 4, Column 11	0	0
5.	Total gain (loss) on disposals, Part 4, Column 19		740,146
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7		70,637,403
7.	Deduct amortization of premium		675,781
8.	Total foreign exchange change in book/adjusted carrying value:		
	8.1. Part 1, Column 15	0	
	8.2. Part 2, Section 1, Column 19	0	
	8.3. Part 2, Section 2, Column 16	0	
	8.4. Part 4, Column 15	0	0
9.	Deduct current year's other than temporary impairment recognized:		
	9.1. Part 1, Column 14	0	
	9.2. Part 2, Section 1, Column 17	0	
	9.3. Part 2, Section 2, Column 14	0	
	9.4. Part 4, Column 13	150,000	150,000
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Note 5Q, Line 2		285,512
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)		394,163,580
12.	Deduct total nonadmitted amounts		0
13.	Statement value at end of current period (Line 11 minus Line 12)		394,163,580

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year					
Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS Governments (Including all obligations guaranteed by governments)	1. United States	705,635	715,465	710,676	705,000
	2. Canada	2,002,169	2,012,070	2,364,320	2,000,000
	3. Other Countries	1,499,628	1,548,041	1,496,990	1,500,000
	4. Totals	4,207,432	4,275,576	4,571,986	4,205,000
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals	2,039,970	2,128,660	2,288,000	2,000,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals	10,729,690	11,318,540	12,008,590	10,500,000
U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions	7. Totals	9,370,245	10,004,754	10,101,708	8,850,000
Industrial and Miscellaneous, SVO Identified Funds, Unaffiliated Bank Loans and Hybrid Securities (unaffiliated)	8. United States	319,622,089	351,377,505	319,733,897	319,588,613
	9. Canada	16,117,307	17,844,266	16,090,797	16,175,000
	10. Other Countries	32,076,836	34,909,833	32,087,358	32,109,655
	11. Totals	367,816,232	404,131,604	367,912,052	367,873,268
Parent, Subsidiaries and Affiliates	12. Totals	0	0	0	0
	13. Total Bonds	394,163,569	431,859,134	396,882,336	393,428,268
PREFERRED STOCKS Industrial and Miscellaneous (unaffiliated)	14. United States	0	0	0	
	15. Canada	0	0	0	
	16. Other Countries	0	0	0	
	17. Totals	0	0	0	
Parent, Subsidiaries and Affiliates	18. Totals	0	0	0	
	19. Total Preferred Stocks	0	0	0	
COMMON STOCKS Industrial and Miscellaneous (unaffiliated)	20. United States	0	0	0	
	21. Canada	0	0	0	
	22. Other Countries	0	0	0	
	23. Totals	0	0	0	
Parent, Subsidiaries and Affiliates	24. Totals	0	0	0	
	25. Total Common Stocks	0	0	0	
	26. Total Stocks	0	0	0	
	27. Total Bonds and Stocks	394,163,569	431,859,134	396,882,336	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1	15,705,555	0	0	0	0	XXX	15,705,555	3.8	53,528,516	13.6	15,705,555	0
1.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.7 Totals	15,705,555	0	0	0	0	XXX	15,705,555	3.8	53,528,516	13.6	15,705,555	0
2. All Other Governments												
2.1 NAIC 1	3,001,797	0	0	0	0	XXX	3,001,797	0.7	3,031,970	0.8	3,001,797	0
2.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	500,000	0.1	0	0
2.3 NAIC 3	0	0	500,000	0	0	XXX	500,000	0.1	2,989,109	0.8	0	500,000
2.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.7 Totals	3,001,797	0	500,000	0	0	XXX	3,501,797	0.9	6,521,079	1.7	3,001,797	500,000
3. U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1	0	2,039,970	0	0	0	XXX	2,039,970	0.5	6,093,829	1.5	2,039,970	0
3.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.7 Totals	0	2,039,970	0	0	0	XXX	2,039,970	0.5	6,093,829	1.5	2,039,970	0
4. U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed												
4.1 NAIC 1	4,015,276	6,714,414	0	0	0	XXX	10,729,690	2.6	12,648,463	3.2	10,729,690	0
4.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.7 Totals	4,015,276	6,714,414	0	0	0	XXX	10,729,690	2.6	12,648,463	3.2	10,729,690	0
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1	0	6,532,250	2,837,996	0	0	XXX	9,370,246	2.3	10,648,871	2.7	9,370,246	0
5.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.7 Totals	0	6,532,250	2,837,996	0	0	XXX	9,370,246	2.3	10,648,871	2.7	9,370,246	0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY
SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)												
6.1 NAIC 1	7,107,401	23,930,648	72,942,459	5,313,066	203,534	XXX	109,497,108	26.8	84,497,943	21.5	69,769,086	39,728,022
6.2 NAIC 2	6,720,549	67,574,374	166,979,976	9,412,873	0	XXX	250,687,772	61.3	206,569,655	52.5	165,137,650	85,550,122
6.3 NAIC 3	351,571	3,993,141	839,063	0	0	XXX	5,183,775	1.3	9,090,467	2.3	1,723,072	3,460,703
6.4 NAIC 4	0	988,713	0	0	0	XXX	988,713	0.2	3,702,606	0.9	988,713	0
6.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	474,906	0.1	0	0
6.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.7 Totals	14,179,521	96,486,876	240,761,498	14,725,939	203,534	XXX	366,357,368	89.5	304,335,577	77.3	237,618,521	128,738,847
7. Hybrid Securities												
7.1 NAIC 1	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.2 NAIC 2	0	0	1,458,860	0	0	XXX	1,458,860	0.4	0	0.0	1,458,860	0
7.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.7 Totals	0	0	1,458,860	0	0	XXX	1,458,860	0.4	0	0.0	1,458,860	0
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
9. SVO Identified Funds												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.2 NAIC 2	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.3 NAIC 3	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.4 NAIC 4	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.5 NAIC 5	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.7 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
10. Unaffiliated Bank Loans												
10.1 NAIC 1	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
10.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
10.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
10.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
10.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
10.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
10.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY
SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
11. Total Bonds Current Year												
11.1 NAIC 1	(d) 29,830,029	39,217,282	75,780,455	5,313,066	203,534	0	150,344,366	36.7	XXX	XXX	110,616,344	39,728,022
11.2 NAIC 2	(d) 6,720,549	67,574,374	168,438,836	9,412,873	0	0	252,146,632	61.6	XXX	XXX	166,596,510	85,550,122
11.3 NAIC 3	(d) 351,571	3,993,141	1,339,063	0	0	0	5,683,775	1.4	XXX	XXX	1,723,072	3,960,703
11.4 NAIC 4	(d) 0	988,713	0	0	0	0	988,713	0.2	XXX	XXX	988,713	0
11.5 NAIC 5	(d) 0	0	0	0	0	(c) 0	0	0.0	XXX	XXX	0	0
11.6 NAIC 6	(d) 0	0	0	0	0	(c) 0	0	0.0	XXX	XXX	0	0
11.7 Totals	36,902,149	111,773,510	245,558,354	14,725,939	203,534	0	(b) 409,163,486	100.0	XXX	XXX	279,924,639	129,238,847
11.8 Line 11.7 as a % of Col. 7	9.0	27.3	60.0	3.6	0.0	0.0	100.0	XXX	XXX	XXX	68.4	31.6
12. Total Bonds Prior Year												
12.1 NAIC 1	71,582,146	55,651,994	35,295,133	7,642,523	277,796	0	XXX	XXX	170,449,592	43.3	130,382,670	40,066,922
12.2 NAIC 2	5,391,445	56,654,783	135,264,944	9,746,682	11,801	0	XXX	XXX	207,069,655	52.6	114,362,815	92,706,840
12.3 NAIC 3	1,183,585	5,939,335	4,956,656	0	0	0	XXX	XXX	12,079,576	3.1	4,845,800	7,233,776
12.4 NAIC 4	887,188	2,146,397	669,021	0	0	0	XXX	XXX	3,702,606	0.9	1,564,428	2,138,178
12.5 NAIC 5	75,830	399,076	0	0	0	0	XXX	XXX	(c) 474,906	0.1	144,375	330,531
12.6 NAIC 6	0	0	0	0	0	0	XXX	XXX	(c) 0	0.0	0	0
12.7 Totals	79,120,194	120,791,585	176,185,754	17,389,205	289,597	0	XXX	XXX	(b) 393,776,335	100.0	251,300,088	142,476,247
12.8 Line 12.7 as a % of Col. 9	20.1	30.7	44.7	4.4	0.1	0.0	XXX	XXX	100.0	XXX	63.8	36.2
13. Total Publicly Traded Bonds												
13.1 NAIC 1	29,404,466	30,548,448	48,114,172	2,549,258	0	0	110,616,344	27.0	130,382,670	33.1	110,616,344	XXX
13.2 NAIC 2	4,060,011	44,780,280	111,910,666	5,845,553	0	0	166,596,510	40.7	114,362,815	29.0	166,596,510	XXX
13.3 NAIC 3	0	924,881	798,191	0	0	0	1,723,072	0.4	4,845,800	1.2	1,723,072	XXX
13.4 NAIC 4	0	988,713	0	0	0	0	988,713	0.2	1,564,428	0.4	988,713	XXX
13.5 NAIC 5	0	0	0	0	0	0	0	0.0	144,375	0.0	0	XXX
13.6 NAIC 6	0	0	0	0	0	0	0	0.0	0	0.0	0	XXX
13.7 Totals	33,464,477	77,242,322	160,823,029	8,394,811	0	0	279,924,639	68.4	251,300,088	63.8	279,924,639	XXX
13.8 Line 13.7 as a % of Col. 7	12.0	27.6	57.5	3.0	0.0	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11	8.2	18.9	39.3	2.1	0.0	0.0	68.4	XXX	XXX	XXX	68.4	XXX
14. Total Privately Placed Bonds												
14.1 NAIC 1	425,563	8,668,834	27,666,283	2,763,808	203,534	0	39,728,022	9.7	40,066,922	10.2	XXX	39,728,022
14.2 NAIC 2	2,660,538	22,794,094	56,528,170	3,567,320	0	0	85,550,122	20.9	92,706,840	23.5	XXX	85,550,122
14.3 NAIC 3	351,571	3,068,260	540,872	0	0	0	3,960,703	1.0	7,233,776	1.8	XXX	3,960,703
14.4 NAIC 4	0	0	0	0	0	0	0	0.0	2,138,178	0.5	XXX	0
14.5 NAIC 5	0	0	0	0	0	0	0	0.0	330,531	0.1	XXX	0
14.6 NAIC 6	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.7 Totals	3,437,672	34,531,188	84,735,325	6,331,128	203,534	0	129,238,847	31.6	142,476,247	36.2	XXX	129,238,847
14.8 Line 14.7 as a % of Col. 7	2.7	26.7	65.6	4.9	0.2	0.0	100.0	XXX	XXX	XXX	XXX	100.0
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	0.8	8.4	20.7	1.5	0.0	0.0	31.6	XXX	XXX	XXX	XXX	31.6

(a) Includes \$ 60,339,776 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.
(b) Includes \$ 0 current year of bonds with Z designations and \$ 0 prior year of bonds with Z designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement.
(c) Includes \$ 0 current year, \$ 0 prior year of bonds with 5GI designations and \$ 0 current year, \$ 0 prior year of bonds with 6* designations. "5GI" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.
(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 14,999,920 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

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SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1. U.S. Governments												
1.01 Issuer Obligations	15,705,555	0	0	0	0	XXX	15,705,555	3.8	53,528,516	13.6	15,705,555	0
1.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.04 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.05 Totals	15,705,555	0	0	0	0	XXX	15,705,555	3.8	53,528,516	13.6	15,705,555	0
2. All Other Governments												
2.01 Issuer Obligations	3,001,797	0	500,000	0	0	XXX	3,501,797	0.9	6,521,079	1.7	3,001,797	500,000
2.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.04 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.05 Totals	3,001,797	0	500,000	0	0	XXX	3,501,797	0.9	6,521,079	1.7	3,001,797	500,000
3. U.S. States, Territories and Possessions, Guaranteed												
3.01 Issuer Obligations	0	2,039,970	0	0	0	XXX	2,039,970	0.5	6,093,829	1.5	2,039,970	0
3.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.04 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.05 Totals	0	2,039,970	0	0	0	XXX	2,039,970	0.5	6,093,829	1.5	2,039,970	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.01 Issuer Obligations	4,015,276	6,714,414	0	0	0	XXX	10,729,690	2.6	12,648,463	3.2	10,729,690	0
4.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.04 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.05 Totals	4,015,276	6,714,414	0	0	0	XXX	10,729,690	2.6	12,648,463	3.2	10,729,690	0
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed												
5.01 Issuer Obligations	0	6,532,250	2,837,996	0	0	XXX	9,370,246	2.3	10,648,871	2.7	9,370,246	0
5.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.04 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.05 Totals	0	6,532,250	2,837,996	0	0	XXX	9,370,246	2.3	10,648,871	2.7	9,370,246	0
6. Industrial and Miscellaneous												
6.01 Issuer Obligations	13,558,082	95,314,809	237,207,633	14,199,344	203,534	XXX	360,483,402	88.1	294,670,941	74.8	237,618,521	122,864,881
6.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.04 Other Loan-Backed and Structured Securities	621,440	1,172,067	3,553,865	526,595	0	XXX	5,873,967	1.4	9,664,637	2.5	0	5,873,967
6.05 Totals	14,179,522	96,486,876	240,761,498	14,725,939	203,534	XXX	366,357,369	89.5	304,335,578	77.3	237,618,521	128,738,848
7. Hybrid Securities												
7.01 Issuer Obligations	0	0	1,458,860	0	0	XXX	1,458,860	0.4	0	0.0	1,458,860	0
7.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.04 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.05 Totals	0	0	1,458,860	0	0	XXX	1,458,860	0.4	0	0.0	1,458,860	0
8. Parent, Subsidiaries and Affiliates												
8.01 Issuer Obligations	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.04 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.05 Affiliated Bank Loans - Issued	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.06 Affiliated Bank Loans - Acquired	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.07 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
9. SVO Identified Funds												
9.01 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.02 Bond Mutual Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.03 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
10. Unaffiliated Bank Loans												
10.01 Unaffiliated Bank Loans - Issued	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
10.02 Unaffiliated Bank Loans - Acquired	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
10.03 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
11. Total Bonds Current Year												
11.01 Issuer Obligations	36,280,710	110,601,443	242,004,489	14,199,344	203,534	XXX	403,289,520	98.6	XXX	XXX	279,924,639	123,364,881
11.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.04 Other Loan-Backed and Structured Securities ..	621,440	1,172,067	3,553,865	526,595	0	XXX	5,873,967	1.4	XXX	XXX	0	5,873,967
11.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	XXX	XXX	0	0
11.06 Affiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.07 Unaffiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.08 Totals	36,902,150	111,773,510	245,558,354	14,725,939	203,534	0	409,163,487	100.0	XXX	XXX	279,924,639	129,238,848
11.09 Line 11.08 as a % of Col. 7	9.0	27.3	60.0	3.6	0.0	0.0	100.0	XXX	XXX	XXX	68.4	31.6
12. Total Bonds Prior Year												
12.01 Issuer Obligations	77,893,905	117,299,710	174,813,545	13,814,942	289,597	XXX	XXX	XXX	384,111,699	97.5	251,254,900	132,856,799
12.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	XXX	XXX	0	0.0	0	0
12.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	XXX	XXX	0	0.0	0	0
12.04 Other Loan-Backed and Structured Securities ..	1,226,288	3,491,876	1,372,210	3,574,263	0	XXX	XXX	XXX	9,664,637	2.5	45,187	9,619,450
12.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	XXX	XXX	0	0.0	0	0
12.06 Affiliated Bank Loans	0	0	0	0	0	XXX	XXX	XXX	0	0.0	0	0
12.07 Unaffiliated Bank Loans	0	0	0	0	0	XXX	XXX	XXX	0	0.0	0	0
12.08 Totals	79,120,193	120,791,586	176,185,755	17,389,205	289,597	0	XXX	XXX	393,776,336	100.0	251,300,087	142,476,249
12.09 Line 12.08 as a % of Col. 9	20.1	30.7	44.7	4.4	0.1	0.0	XXX	XXX	100.0	XXX	63.8	36.2
13. Total Publicly Traded Bonds												
13.01 Issuer Obligations	33,464,476	77,242,322	160,823,030	8,394,811	0	XXX	279,924,639	68.4	251,254,900	63.8	279,924,639	XXX
13.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	XXX
13.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	XXX
13.04 Other Loan-Backed and Structured Securities ..	0	0	0	0	0	XXX	0	0.0	45,187	0.0	0	XXX
13.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	XXX
13.06 Affiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	0	0.0	0	XXX
13.07 Unaffiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	0	0.0	0	XXX
13.08 Totals	33,464,476	77,242,322	160,823,030	8,394,811	0	0	279,924,639	68.4	251,300,087	63.8	279,924,639	XXX
13.09 Line 13.08 as a % of Col. 7	12.0	27.6	57.5	3.0	0.0	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	8.2	18.9	39.3	2.1	0.0	0.0	68.4	XXX	XXX	XXX	68.4	XXX
14. Total Privately Placed Bonds												
14.01 Issuer Obligations	2,816,234	33,359,121	81,181,459	5,804,533	203,534	XXX	123,364,881	30.2	132,856,799	33.7	XXX	123,364,881
14.02 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.03 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.04 Other Loan-Backed and Structured Securities ..	621,440	1,172,067	3,553,865	526,595	0	XXX	5,873,967	1.4	9,619,450	2.4	XXX	5,873,967
14.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	XXX	0
14.06 Affiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.07 Unaffiliated Bank Loans	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.08 Totals	3,437,674	34,531,188	84,735,324	6,331,128	203,534	0	129,238,848	31.6	142,476,249	36.2	XXX	129,238,848
14.09 Line 14.08 as a % of Col. 7	2.7	26.7	65.6	4.9	0.2	0.0	100.0	XXX	XXX	XXX	XXX	100.0
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11	0.8	8.4	20.7	1.5	0.0	0.0	31.6	XXX	XXX	XXX	XXX	31.6

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE DA - VERIFICATION BETWEEN YEARS

Short-Term Investments					
	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year	10,803,637	10,803,637	0	0	0
2. Cost of short-term investments acquired	0	0	0	0	0
3. Accrual of discount	39,020	39,020	0	0	0
4. Unrealized valuation increase (decrease)	0	0	0	0	0
5. Total gain (loss) on disposals	343	343	0	0	0
6. Deduct consideration received on disposals	10,843,000	10,843,000	0	0	0
7. Deduct amortization of premium	0	0	0	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0	0	0	0
9. Deduct current year's other than temporary impairment recognized	0	0	0	0	0
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0	0	0	0
11. Deduct total nonadmitted amounts	0	0	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0	0	0	0

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS

(Cash Equivalents)				
	1	2	3	4
	Total	Bonds	Money Market Mutual funds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year	41,882,562	41,768,990	113,572	0
2. Cost of cash equivalents acquired	229,200,846	223,035,933	0	6,164,913
3. Accrual of discount	136,281	136,281	0	0
4. Unrealized valuation increase (decrease)	0	0	0	0
5. Total gain (loss) on disposals	2,729	2,729	0	0
6. Deduct consideration received on disposals	256,222,499	249,944,014	0	6,278,485
7. Deduct amortization of premium	0	0	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0	0	0
9. Deduct current year's other than temporary impairment recognized	0	0	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	14,999,919	14,999,919	113,572	(113,572)
11. Deduct total nonadmitted amounts	0	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	14,999,919	14,999,919	113,572	(113,572)

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment: