

ANNUAL STATEMENT

OF THE

NYLIFE INSURANCE COMPANY OF ARIZONA

TO THE

Insurance Department

OF THE

STATE OF

**FOR THE YEAR ENDED
DECEMBER 31, 2021**

☐ **LIFE, ACCIDENT AND HEALTH**

☐ **FRATERNAL BENEFIT SOCIETIES**

2021



LIFE, AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES – ASSOCIATION EDITION

ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2021

OF THE CONDITION AND AFFAIRS OF THE

NYLIFE Insurance Company of Arizona

NAIC Group Code 0826, 0826 NAIC Company Code 81353 Employer's ID No. 52-1530175

(Current Period) (Prior Period)

Organized under the Laws of Arizona, State of Domicile or Port of Entry AZ,

Country of Domicile United States of America

INCORPORATED/ORGANIZED JULY 23, 1987

COMMENCED BUSINESS DECEMBER 30, 1987

Statutory Home Office	14850 N. Scottsdale Road, Suite 400, Scottsdale, AZ, U.S. 85254..
Main Administrative Office	51 Madison Avenue, New York, NY, U.S. 10010.....
.....	212-576-7000.....
Mail Address	51 Madison Avenue, New York, NY, U.S. 10010.....
Primary Location of Books and Records	51 Madison Avenue, New York, NY, U.S. 10010.....
.....	212-576-7000.....
Internet Website address	www.newyorklife.com.....
Statutory Statement Contact Person and Phone Number...	Edward Paul Millay.....201-942-8275.....
Statutory Statement Contact E-Mail Address	statement_contact@newyorklife.com
Statutory Statement Contact Fax Number	201-942-2158.....

EXECUTIVE OFFICERS

ALEXANDER IBBITSON MUNRO COOK
*Chairman of the Board,
President and Chief Executive Officer*

ERIC ANSEL FELDSTEIN
*Executive Vice President
and Chief Financial Officer*

MARY TERESA HALLAHAN
*Vice President
and Treasurer*

STEPHEN JOHN MCNAMARA
*Vice President
and Actuary*

EDWARD PAUL MILLAY #
*Vice President
and Controller*

COLLEEN ANNE MEADE
*Associate General Counsel
and Secretary*

DIRECTORS

ALEXANDER IBBITSON MUNRO COOK
ROBERT MICHAEL GARDNER
JODI LYNN KRAVITZ

ERIC JEFFREY LYNN
STEPHEN JOHN MCNAMARA
CARLOS BERNARD VICENT #

State of New York }
County of New York } SS

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures Manual* except to the extent that: (1) state law may differ; or, (2) state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

DocuSigned by:

Colleen Meade
COLLEEN ANNE MEADE
43F3CF83969D48F
Associate General Counsel
and Secretary

DocuSigned by:

Mary Hallahan
MARY TERESA HALLAHAN
6421D06DE6CA46C
Vice President
and Treasurer

DocuSigned by:

Edward Millay
EDWARD PAUL MILLAY #
2F87DDF3145E4D8
Vice President
and Controller

Subscribed and sworn to before me this
____ day of February 2022

- a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number . . .
2. Date filed . . .
3. Number of pages attached . . .

Officers and Directors who did not occupy the indicated position in the previous annual statement

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

ASSETS

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D)	138,584,025		138,584,025	138,325,681
2. Stocks (Schedule D):				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens				
3.2 Other than first liens				
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$590,045 , Schedule E - Part 1), cash equivalents (\$2,144,310 , Schedule E - Part 2) and short-term investments (\$1,406,887 , Schedule DA)	4,141,242		4,141,242	1,361,591
6. Contract loans (including \$ premium notes)				
7. Derivatives (Schedule DB)				
8. Other invested assets (Schedule BA)				
9. Receivables for securities				
10. Securities lending reinvested collateral assets (Schedule DL)				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	142,725,267		142,725,267	139,687,272
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	817,266		817,266	847,978
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	330,383	63,306	267,077	495,015
15.2 Deferred premiums and agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	11,948,864		11,948,864	14,064,253
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	702,000		702,000	1,258,988
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts	93,972		93,972	95,019
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon	54,542		54,542	
18.2 Net deferred tax asset	2,394,786	23,518	2,371,268	1,676,488
19. Guaranty funds receivable or on deposit	23,208		23,208	34,386
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	3,614		3,614	
24. Health care (\$0) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	87,749	87,749		
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	159,181,651	174,573	159,007,078	158,159,399
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	159,181,651	174,573	159,007,078	158,159,399
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)				
2501. Miscellaneous	87,749	87,749		
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	87,749	87,749		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

LIABILITIES, SURPLUS AND OTHER FUNDS

	1	2
	Current Year	Prior Year
1. Aggregate reserve for life contracts \$44,746,385 (Exh. 5, Line 9999999) less \$ included in Line 6.3 (including \$ Modco Reserve)	44,746,385	43,979,559
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)		
3. Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$ Modco Reserve)	929,504	1,128,699
4. Contract claims:		
4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11)	1,130,965	1,436,974
4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11)		
5. Policyholders' dividends/refunds to members \$ and coupons \$ due and unpaid (Exhibit 4, Line 10)		
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ Modco)		
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ Modco)		
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of lines 4 and 14)	86,491	97,635
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$ assumed and \$976,864 ceded	976,864	
9.4 Interest maintenance reserve (IMR, Line 6)	362,086	442,063
10. Commissions to agents due or accrued-life and annuity contracts \$ accident and health \$ and deposit-type contract funds \$		
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued (Exhibit 2, Line 12, Col. 7)	31,138	29,276
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 6)	(149,770)	(172,621)
15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses)		277,021
15.2 Net deferred tax liability		
16. Unearned investment income		
17. Amounts withheld or retained by reporting entity as agent or trustee	2,023	2,023
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated	71,282	358,800
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve (AVR, Line 16, Col. 7)	544,732	512,526
24.02 Reinsurance in unauthorized and certified (\$) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	154,368	399,711
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives		
24.09 Payable for securities	988,916	
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	10,591	8,550
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	49,885,575	48,500,216
27. From Separate Accounts Statement		
28. Total liabilities (Lines 26 and 27)	49,885,575	48,500,216
29. Common capital stock	2,500,000	2,500,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds		
32. Surplus notes		
33. Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1)	98,500,000	98,500,000
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	8,121,503	8,659,183
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	106,621,503	107,159,183
38. Totals of Lines 29, 30 and 37 (Page 4, Line 55)	109,121,503	109,659,183
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	159,007,078	158,159,399
DETAILS OF WRITE-INS		
2501. Liability for interest on claims	10,591	8,550
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	10,591	8,550
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 thru 3103 plus 3198)(Line 31 above)		
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above)		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SUMMARY OF OPERATIONS

	1 Current Year	2 Prior Year
1. Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11)	3,712,198	8,819,721
2. Considerations for supplementary contracts with life contingencies		
3. Net investment income (Exhibit of Net Investment Income, Line 17)	4,076,138	4,224,619
4. Amortization of Interest Maintenance Reserve (IMR, Line 5)	151,082	83,366
5. Separate Accounts net gain from operations excluding unrealized gains or losses		
6. Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1)	911,112	856,997
7. Reserve adjustments on reinsurance ceded		
8. Miscellaneous Income:		
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts		
8.2 Charges and fees for deposit-type contracts		
8.3 Aggregate write-ins for miscellaneous income		99
9. Total (Lines 1 to 8.3)	8,850,530	13,984,802
10. Death benefits	6,001,200	4,453,768
11. Matured endowments (excluding guaranteed annual pure endowments)		
12. Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8)		
13. Disability benefits and benefits under accident and health contracts	1,210,031	1,090,399
14. Coupons, guaranteed annual pure endowments and similar benefits		
15. Surrender benefits and withdrawals for life contracts		
16. Group conversions	654,347	1,722,015
17. Interest and adjustments on contract or deposit-type contract funds	31,569	(13,964)
18. Payments on supplementary contracts with life contingencies		
19. Increase in aggregate reserves for life and accident and health contracts	766,826	(7,302,794)
20. Totals (Lines 10 to 19)	8,663,973	(50,576)
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1)		(213)
22. Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1)		
23. General insurance expenses and fraternal expenses (Exhibit 2, Line 10, Cols. 1, 2, 3, 4 and 6)	2,932,343	2,501,333
24. Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3 + 5)	627,597	590,670
25. Increase in loading on deferred and uncollected premiums	(1,748,977)	2,522,939
26. Net transfers to or (from) Separate Accounts net of reinsurance		
27. Aggregate write-ins for deductions	3,895	4,508
28. Totals (Lines 20 to 27)	10,478,831	5,568,661
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(1,628,301)	8,416,141
30. Dividends to policyholders and refunds to members		
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(1,628,301)	8,416,141
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	351,796	1,158,118
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(1,980,097)	7,258,023
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$9,219 (excluding taxes of \$18,901 transferred to the IMR)	(5,252)	2,027
35. Net income (Line 33 plus Line 34)	(1,985,349)	7,260,050
CAPITAL AND SURPLUS ACCOUNT		
36. Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2)	109,659,183	108,142,213
37. Net income (Line 35)	(1,985,349)	7,260,050
38. Change in net unrealized capital gains (losses) less capital gains tax of \$		
39. Change in net unrealized foreign exchange capital gain (loss)		
40. Change in net deferred income tax	703,165	(375,633)
41. Change in nonadmitted assets	776,710	(329,000)
42. Change in liability for reinsurance in unauthorized and certified companies		
43. Change in reserve on account of change in valuation basis, (increase) or decrease		
44. Change in asset valuation reserve	(32,206)	(38,447)
45. Change in treasury stock (Page 3, Lines 36.1 and 36.2, Col. 2 minus Col. 1)		
46. Surplus (contributed to) withdrawn from Separate Accounts during period		
47. Other changes in surplus in Separate Accounts Statement		
48. Change in surplus notes		
49. Cumulative effect of changes in accounting principles		
50. Capital changes:		
50.1 Paid in		
50.2 Transferred from surplus (Stock Dividend)		
50.3 Transferred to surplus		
51. Surplus adjustment:		
51.1 Paid in		
51.2 Transferred to capital (Stock Dividend)		
51.3 Transferred from capital		
51.4 Change in surplus as a result of reinsurance		
52. Dividends to stockholders		(5,000,000)
53. Aggregate write-ins for gains and losses in surplus		
54. Net change in capital and surplus for the year (Lines 37 through 53)	(537,680)	1,516,970
55. Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38)	109,121,503	109,659,183
DETAILS OF WRITE-INS		
08.301. Sundries		99
08.302.		
08.303.		
08.398. Summary of remaining write-ins for Line 8.3 from overflow page		
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398)(Line 8.3 above)		99
2701. Fines, penalties and fees from regulatory authorities	3,895	4,508
2702.		
2703.		
2798. Summary of remaining write-ins for Line 27 from overflow page		
2799. Totals (Lines 2701 thru 2703 plus 2798)(Line 27 above)	3,895	4,508
5301.		
5302.		
5303.		
5398. Summary of remaining write-ins for Line 53 from overflow page		
5399. Totals (Lines 5301 thru 5303 plus 5398)(Line 53 above)		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

CASH FLOW

	1	2
	Current Year	Prior Year
Cash from Operations		
1. Premiums collected net of reinsurance	9,178,111	10,014,581
2. Net investment income	3,999,053	4,494,364
3. Miscellaneous income	906,144	865,227
4. Total (Lines 1 through 3)	14,083,308	15,374,172
5. Benefit and loss related payments	8,205,515	7,392,900
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		
7. Commissions, expenses paid and aggregate write-ins for deductions	3,675,246	3,491,479
8. Dividends paid to policyholders		
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	711,479	922,871
10. Total (Lines 5 through 9)	12,592,240	11,807,250
11. Net cash from operations (Line 4 minus Line 10)	1,491,068	3,566,922
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	19,601,314	22,427,490
12.2 Stocks		
12.3 Mortgage loans		
12.4 Real estate		
12.5 Other invested assets		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	158	107
12.7 Miscellaneous proceeds	988,916	
12.8 Total investment proceeds (Lines 12.1 to 12.7)	20,590,388	22,427,597
13. Cost of investments acquired (long-term only):		
13.1 Bonds	19,947,061	22,851,576
13.2 Stocks		
13.3 Mortgage loans		
13.4 Real estate		
13.5 Other invested assets		
13.6 Miscellaneous applications		
13.7 Total investments acquired (Lines 13.1 to 13.6)	19,947,061	22,851,576
14. Net increase (decrease) in contract loans and premium notes		
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	643,327	(423,979)
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes		
16.2 Capital and paid in surplus, less treasury stock		
16.3 Borrowed funds		
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(199,947)	(164,000)
16.5 Dividends to stockholders		5,000,000
16.6 Other cash provided (applied)	845,203	(1,014,994)
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	645,256	(6,178,994)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	2,779,651	(3,036,051)
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year	1,361,591	4,397,642
19.2 End of year (Line 18 plus Line 19.1)	4,141,242	1,361,591

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Transfer/exchange of bond investment to bond investment	1,136,357	5,696,908
20.0002. Depreciation/ amortization on fixed assets	425,304	
20.0003. Capitalized interest	70,902	91,001

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - SUMMARY

	1	2	3	4	5	6	7	8	9
	Total	Individual Life	Group Life	Individual Annuities	Group Annuities	Accident and Health	Fraternal	Other Lines of Business	YRT Mortality Risk Only
1. Premiums and annuity considerations for life and accident and health contracts	3,712,198	3,712,198							
2. Considerations for supplementary contracts with life contingencies		XXX	XXX			XXX	XXX		XXX
3. Net investment income	4,076,138	4,076,138							
4. Amortization of Interest Maintenance Reserve (IMR)	151,082	151,082							
5. Separate Accounts net gain from operations excluding unrealized gains or losses							XXX		
6. Commissions and expense allowances on reinsurance ceded	911,112	911,112					XXX		
7. Reserve adjustments on reinsurance ceded							XXX		
8. Miscellaneous Income:									
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts							XXX		
8.2 Charges and fees for deposit-type contracts						XXX	XXX		
8.3 Aggregate write-ins for miscellaneous income									
9. Totals (Lines 1 to 8.3)	8,850,530	8,850,530							
10. Death benefits	6,001,200	6,001,200				XXX	XXX		
11. Matured endowments (excluding guaranteed annual pure endowments)						XXX	XXX		
12. Annuity benefits		XXX	XXX			XXX	XXX		XXX
13. Disability benefits and benefits under accident and health contracts	1,210,031	1,210,031					XXX		
14. Coupons, guaranteed annual pure endowments and similar benefits							XXX		
15. Surrender benefits and withdrawals for life contracts						XXX	XXX		
16. Group conversions	654,347	654,347					XXX		
17. Interest and adjustments on contract or deposit-type contract funds	31,569	31,569					XXX		
18. Payments on supplementary contracts with life contingencies						XXX	XXX		
19. Increase in aggregate reserves for life and accident and health contracts	766,826	766,826					XXX		
20. Totals (Lines 10 to 19)	8,663,973	8,663,973					XXX		
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)									XXX
22. Commissions and expense allowances on reinsurance assumed							XXX		
23. General insurance expenses and fraternal expenses	2,932,343	2,932,343							
24. Insurance taxes, licenses and fees, excluding federal income taxes	627,597	627,597							
25. Increase in loading on deferred and uncollected premiums	(1,748,977)	(1,748,977)					XXX		
26. Net transfers to or (from) Separate Accounts net of reinsurance							XXX		
27. Aggregate write-ins for deductions	3,895	3,895							
28. Totals (Lines 20 to 27)	10,478,831	10,478,831							
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(1,628,301)	(1,628,301)							
30. Dividends to policyholders and refunds to members							XXX		
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(1,628,301)	(1,628,301)							
32. Federal income taxes incurred (excluding tax on capital gains)	351,796	351,796							
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(1,980,097)	(1,980,097)							
34. Policies/certificates in force end of year							XXX		
DETAILS OF WRITE-INS									
08.301.									
08.302.									
08.303.									
08.398. Summary of remaining write-ins for Line 8.3 from overflow page									
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)									
2701. Fines, penalties and fees from regulatory authorities	3,895	3,895							
2702.									
2703.									
2798. Summary of remaining write-ins for Line 27 from overflow page									
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	3,895	3,895							

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA
ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - INDIVIDUAL LIFE INSURANCE (b)

	1	2	3	4	5	6	7	8	9	10	11	12
	Total	Industrial Life	Whole Life	Term Life	Indexed Life	Universal Life	Universal Life With Secondary Guarantees	Variable Life	Variable Universal Life	Credit Life (c)	Other Individual Life	YRT Mortality Risk Only
1. Premiums for life contracts (a)	3,712,198			3,712,198								
2. Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3. Net investment income	4,076,138			4,076,138								
4. Amortization of Interest Maintenance Reserve (IMR)	151,082			151,082								
5. Separate Accounts net gain from operations excluding unrealized gains or losses												
6. Commissions and expense allowances on reinsurance ceded	911,112			911,112								
7. Reserve adjustments on reinsurance ceded												
8. Miscellaneous Income:												
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts												
8.2 Charges and fees for deposit-type contracts												
8.3 Aggregate write-ins for miscellaneous income												
9. Totals (Lines 1 to 8.3)	8,850,530			8,850,530								
10. Death benefits	6,001,200			6,001,200								
11. Matured endowments (excluding guaranteed annual pure endowments)												
12. Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13. Disability benefits and benefits under accident and health contracts	1,210,031			1,210,031								
14. Coupons, guaranteed annual pure endowments and similar benefits												
15. Surrender benefits and withdrawals for life contracts												
16. Group conversions	654,347			654,347								
17. Interest and adjustments on contract or deposit-type contract funds	31,569			30,817							752	
18. Payments on supplementary contracts with life contingencies												
19. Increase in aggregate reserves for life and accident and health contracts	766,826			766,826								
20. Totals (Lines 10 to 19)	8,663,973			8,663,221							752	
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)												XXX
22. Commissions and expense allowances on reinsurance assumed												
23. General insurance expenses	2,932,343			2,932,343								
24. Insurance taxes, licenses and fees, excluding federal income taxes	627,597			627,597								
25. Increase in loading on deferred and uncollected premiums	(1,748,977)			(1,748,977)								
26. Net transfers to or (from) Separate Accounts net of reinsurance												
27. Aggregate write-ins for deductions	3,895			3,895								
28. Totals (Lines 20 to 27)	10,478,831			10,478,079							752	
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(1,628,301)			(1,627,549)							(752)	
30. Dividends to policyholders and refunds to members												
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(1,628,301)			(1,627,549)							(752)	
32. Federal income taxes incurred (excluding tax on capital gains)	351,796			351,954							(158)	
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(1,980,097)			(1,979,503)							(594)	
34. Policies/certificates in force end of year												
DETAILS OF WRITE-INS												
08.301.												
08.302.												
08.303.												
08.398. Summary of remaining write-ins for Line 8.3 from overflow page												
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)												
2701. Fines, penalties and fees from regulatory authorities	3,895			3,895								
2702.												
2703.												
2798. Summary of remaining write-ins for Line 27 from overflow page												
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	3,895			3,895								

(a) Include premium amounts for preneed plans included in Line 1

(b) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(c) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

Analysis of Operations by Lines of Business - Group Life Insurance

N O N E

Analysis of Operations by Lines of Business - Individual Annuities

N O N E

Analysis of Operations by Lines of Business - Group Annuities

N O N E

Analysis of Operations by Lines of Business - Accident and Health

N O N E

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - INDIVIDUAL LIFE INSURANCE (a)

	1	2	3	4	5	6	7	8	9	10	11	12
	Total	Industrial Life	Whole Life	Term Life	Indexed Life	Universal Life	Universal Life With Secondary Guarantees	Variable Life	Variable Universal Life	Credit Life ^(b) (N/A Fraternal)	Other Individual Life	YRT Mortality Risk Only
Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)												
1. Reserve December 31 of prior year	43,979,559			43,979,559								
2. Tabular net premiums or considerations	32,270,593			32,270,593								
3. Present value of disability claims incurred	538,381			538,381								
4. Tabular interest	1,910,920			1,910,920								
5. Tabular less actual reserve released	120,252			120,252								
6. Increase in reserve on account of change in valuation basis												
6.1 Change in excess of VM-20 deterministic/stochastic reserve over net premium reserve		XXX								XXX		
7. Other increases (net)	5,806			5,806								
8. Totals (Lines 1 to 7)	78,825,511			78,825,511								
9. Tabular cost	32,757,505			32,757,505								
10. Reserves released by death	56			56								
11. Reserves released by other terminations (net)	231,165			231,165								
12. Annuity, supplementary contract and disability payments involving life contingencies	1,090,399			1,090,399								
13. Net transfers to or (from) Separate Accounts												
14. Total Deductions (Lines 9 to 13)	34,079,125			34,079,125								
15. Reserve December 31 of current year	44,746,386			44,746,386								
Cash Surrender Value and Policy Loans												
16. CSV Ending balance December 31, current year												
17. Amount Available for Policy Loans Based upon Line 16 CSV												

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

(b) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

Analysis of Increase in Reserves During the Year - Group Life Insurance

N O N E

Analysis of Increase in Reserves During the Year - Individual Annuities

N O N E

Analysis of Increase in Reserves During the Year - Group Annuities

N O N E

EXHIBIT OF NET INVESTMENT INCOME

		1	2
		Collected During Year	Earned During Year
1.	U.S. Government bonds	(a)239,908233,642
1.1	Bonds exempt from U.S. tax	(a)
1.2	Other bonds (unaffiliated)	(a)4,015,7833,991,338
1.3	Bonds of affiliates	(a)
2.1	Preferred stocks (unaffiliated)	(b)
2.11	Preferred stocks of affiliates	(b)
2.2	Common stocks (unaffiliated)
2.21	Common stocks of affiliates
3.	Mortgage loans	(c)
4.	Real estate	(d)
5	Contract loans
6	Cash, cash equivalents and short-term investments	(e)2,1882,188
7	Derivative instruments	(f)
8.	Other invested assets
9.	Aggregate write-ins for investment income1212
10.	Total gross investment income	4,257,891	4,227,180
11.	Investment expenses		(g)151,014
12.	Investment taxes, licenses and fees, excluding federal income taxes		(g)28
13.	Interest expense		(h)
14.	Depreciation on real estate and other invested assets		(i)
15.	Aggregate write-ins for deductions from investment income
16.	Total deductions (Lines 11 through 15)151,042
17.	Net investment income (Line 10 minus Line 16)		4,076,138
DETAILS OF WRITE-INS			
0901.	Miscellaneous sources1212
0902.		
0903.		
0998.	Summary of remaining write-ins for Line 9 from overflow page		
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	12	12
1501.		
1502.		
1503.		
1598.	Summary of remaining write-ins for Line 15 from overflow page		
1599.	Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		

- (a) Includes \$90,945 accrual of discount less \$343,064 amortization of premium and less \$73,237 paid for accrued interest on purchases.
- (b) Includes \$ accrual of discount less \$ amortization of premium and less \$ paid for accrued dividends on purchases.
- (c) Includes \$ accrual of discount less \$ amortization of premium and less \$ paid for accrued interest on purchases.
- (d) Includes \$ for company's occupancy of its own buildings; and excludes \$ interest on encumbrances.
- (e) Includes \$2,168 accrual of discount less \$ amortization of premium and less \$ paid for accrued interest on purchases.
- (f) Includes \$ accrual of discount less \$ amortization of premium.
- (g) Includes \$ investment expenses and \$ investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ interest on surplus notes and \$ interest on capital notes.
- (i) Includes \$ depreciation on real estate and \$ depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

		1	2	3	4	5
		Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1.	U.S. Government bonds11,75511,755
1.1	Bonds exempt from U.S. tax
1.2	Other bonds (unaffiliated)82,06082,060
1.3	Bonds of affiliates
2.1	Preferred stocks (unaffiliated)
2.11	Preferred stocks of affiliates
2.2	Common stocks (unaffiliated)
2.21	Common stocks of affiliates
3.	Mortgage loans
4.	Real estate
5.	Contract loans
6.	Cash, cash equivalents and short-term investments158158
7.	Derivative instruments
8.	Other invested assets
9.	Aggregate write-ins for capital gains (losses)
10.	Total capital gains (losses)	93,973		93,973		
DETAILS OF WRITE-INS						
0901.					
0902.					
0903.					
0998.	Summary of remaining write-ins for Line 9 from overflow page					
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)					

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT - 1 PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

	1	2	Insurance										11	12
			Ordinary		5	Group		Accident and Health						
			3	4		6	7	8	9	10				
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business	Fraternal (Fraternal Benefit Societies Only)		
FIRST YEAR (other than single)														
1. Uncollected														
2. Deferred and accrued														
3. Deferred , accrued and uncollected:														
3.1 Direct														
3.2 Reinsurance assumed														
3.3 Reinsurance ceded														
3.4 Net (Line 1 + Line 2)														
4. Advance														
5. Line 3.4 - Line 4														
6. Collected during year:														
6.1 Direct	106		106											
6.2 Reinsurance assumed														
6.3 Reinsurance ceded														
6.4 Net	106		106											
7. Line 5 + Line 6.4	106		106											
8. Prior year (uncollected + deferred and accrued - advance)														
9. First year premiums and considerations:														
9.1 Direct	106		106											
9.2 Reinsurance assumed														
9.3 Reinsurance ceded														
9.4 Net (Line 7 - Line 8)	106		106											
SINGLE														
10. Single premiums and considerations:														
10.1 Direct														
10.2 Reinsurance assumed														
10.3 Reinsurance ceded														
10.4 Net														
RENEWAL														
11. Uncollected	(646,481)		(646,481)											
12. Deferred and accrued	7,406,691		7,406,691											
13. Deferred, accrued and uncollected:														
13.1 Direct	7,679,995		7,679,995											
13.2 Reinsurance assumed														
13.3 Reinsurance ceded	919,785		919,785											
13.4 Net (Line 11 + Line 12)	6,760,210		6,760,210											
14. Advance	86,491		86,491											
15. Line 13.4 - Line 14	6,673,719		6,673,719											
16. Collected during year:														
16.1 Direct	18,954,446		18,954,446											
16.2 Reinsurance assumed	6,447		6,447											
16.3 Reinsurance ceded	9,782,888		9,782,888											
16.4 Net	9,178,005		9,178,005											
17. Line 15 + Line 16.4	15,851,724		15,851,724											
18. Prior year (uncollected + deferred and accrued - advance)	12,139,633		12,139,633											
19. Renewal premiums and considerations:														
19.1 Direct	13,436,555		13,436,555											
19.2 Reinsurance assumed	6,447		6,447											
19.3 Reinsurance ceded	9,730,910		9,730,910											
19.4 Net (Line 17 - Line 18)	3,712,092		3,712,092											
TOTAL														
20. Total premiums and annuity considerations:														
20.1 Direct	13,436,661		13,436,661											
20.2 Reinsurance assumed	6,447		6,447											
20.3 Reinsurance ceded	9,730,910		9,730,910											
20.4 Net (Lines 9.4 + 10.4 + 19.4)	3,712,198		3,712,198											

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT - 1 PART 2 - POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED, REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)

	Insurance											
	1	2	Ordinary		5	Group		Accident and Health			11	12
			3	4		6	7	8	9	10		
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business	Fraternal (Fraternal Benefit Societies Only)
POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED (included in Part 1)												
21. To pay renewal premiums												
22. All other												
REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED												
23. First year (other than single):												
23.1 Reinsurance ceded												
23.2 Reinsurance assumed												
23.3 Net ceded less assumed												
24. Single:												
24.1 Reinsurance ceded												
24.2 Reinsurance assumed												
24.3 Net ceded less assumed												
25. Renewal:												
25.1 Reinsurance ceded911, 112		.911, 112									
25.2 Reinsurance assumed												
25.3 Net ceded less assumed	911, 112		911, 112									
26. Totals:												
26.1 Reinsurance ceded (Page 6, Line 6)911, 112		.911, 112									
26.2 Reinsurance assumed (Page 6, Line 22)												
26.3 Net ceded less assumed911, 112		.911, 112									
COMMISSIONS INCURRED (direct business only)												
27. First year (other than single)												
28. Single												
29. Renewal												
30. Deposit-type contract funds												
31. Totals (to agree with Page 6, Line 21)												

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT 2 - GENERAL EXPENSES

		Insurance				5	6	7
		1	Accident and Health		4			
			2	3				
		Life	Cost Containment	All Other	All Other Lines of Business	Investment	Fraternal	Total
1.	Rent	266,926				5,244		272,170
2.	Salaries and wages	1,255,778				133,430		1,389,208
3.11	Contributions for benefit plans for employees	217,832				75		217,907
3.12	Contributions for benefit plans for agents	117,416						117,416
3.21	Payments to employees under non-funded benefit plans	(33)						(33)
3.22	Payments to agents under non-funded benefit plans	2,344						2,344
3.31	Other employee welfare	12,830				1		12,831
3.32	Other agent welfare	619						619
4.1	Legal fees and expenses	12,354						12,354
4.2	Medical examination fees							
4.3	Inspection report fees	2						2
4.4	Fees of public accountants and consulting actuaries	86,732						86,732
4.5	Expense of investigation and settlement of policy claims	2,215						2,215
5.1	Traveling expenses	7,462				229		7,691
5.2	Advertising	140,799				33		140,832
5.3	Postage, express, telegraph and telephone	98,615				1,790		100,405
5.4	Printing and stationery	5,525						5,525
5.5	Cost or depreciation of furniture and equipment	19,908						19,908
5.6	Rental of equipment	13,645						13,645
5.7	Cost or depreciation of EDP equipment and software	404,477				34		404,511
6.1	Books and periodicals	977						977
6.2	Bureau and association fees	8,635						8,635
6.3	Insurance, except on real estate	13,850						13,850
6.4	Miscellaneous losses	2,745						2,745
6.5	Collection and bank service charges	6,009				458		6,467
6.6	Sundry general expenses	33,316				9,720		43,036
6.7	Group service and administration fees							
6.8	Reimbursements by uninsured plans							
7.1	Agency expense allowance							
7.2	Agents' balances charged off (less \$ \$ recovered)	15,067						15,067
7.3	Agency conferences other than local meetings	36,013						36,013
8.1	Official publication (Fraternal Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX		
8.2	Expense of supreme lodge meetings (Fraternal Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX		
9.1	Real estate expenses	886						886
9.2	Investment expenses not included elsewhere							
9.3	Aggregate write-ins for expenses	149,401						149,401
10.	General expenses incurred	2,932,345				151,014	(b)	(a) 3,083,359
11.	General expenses unpaid Dec. 31, prior year	29,276						29,276
12.	General expenses unpaid Dec. 31, current year	31,138						31,138
13.	Amounts receivable relating to uninsured plans, prior year							
14.	Amounts receivable relating to uninsured plans, current year							
15.	General expenses paid during year (Lines 10+11-12-13+14)	2,930,483				151,014		3,081,497
DETAILS OF WRITE-INS								
09.301.	Temporary Help And Staff Augmentation	74,384						74,384
09.302.	Managed Service Providers Technology	75,017						75,017
09.303.								
09.398.	Summary of remaining write-ins for Line 9.3 from overflow page							
09.399.	Totals (Lines 09.301 thru 09.303 plus 09.398) (Line 9.3 above)	149,401						149,401

(a) Includes management fees of \$ 149,682 to affiliates and \$ 155,489 to non-affiliates.

(b) Show the distribution of this amount in the following categories (Fraternal Benefit Societies Only):

1. Charitable \$; 2. Institutional \$; 3. Recreational and Health \$; 4. Educational \$;

5. Religious \$; 6. Membership \$; 7. Other \$; 8. Total \$

EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOME TAXES)

		Insurance			4	5	6
		1	2	3			
		Life	Accident and Health	All Other Lines of Business	Investment	Fraternal	Total
1.	Real estate taxes						
2.	State insurance department licenses and fees	155,959					155,959
3.	State taxes on premiums	336,874					336,874
4.	Other state taxes, including \$						
	for employee benefits	9,620					9,620
5.	U.S. Social Security taxes	69,855			28		69,882
6.	All other taxes	55,290					55,290
7.	Taxes, licenses and fees incurred	627,597			28		627,624
8.	Taxes, licenses and fees unpaid Dec. 31, prior year	(172,621)					(172,621)
9.	Taxes, licenses and fees unpaid Dec. 31, current year	(149,770)					(149,770)
10.	Taxes, licenses and fees paid during year (Lines 7 + 8 - 9)	604,746			28		604,773

EXHIBIT 4 - DIVIDENDS OR REFUNDS

	1	2
	Life	Accident and Health
1. Applied to pay renewal premiums		
2. Applied to shorten the endowment or premium-paying period		
3. Applied to provide paid-up additions		
4. Applied to provide paid-up annuities		
5. Total Lines 1 through 4		
6. Paid in cash		
7. Left on deposit		
8. Aggregate write-ins for dividend or refund options		
9. Total Lines 5 through 8		
10. Amount due and unpaid		
11. Provision for dividends or refunds payable in the following calendar year		
12. Terminal dividends		
13. Provision for deferred dividend contracts		
14. Amount provisionally held for deferred dividend contracts not included in Line 13		
15. Total Lines 10 through 14		
16. Total from prior year		
17. Total dividends or refunds (Lines 9 + 15 - 16)		
NONE		
DETAILS OF WRITE-INS		
0801.		
0802.		
0803.		
0898. Summary of remaining write-ins for Line 8 from overflow page		
0899. Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above)		

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

(a) Included in the above table are amounts of deposit-type contracts that originally contained a mortality risk. Amounts of deposit-type contracts in Column 2 that no longer contain a mortality risk are Life Insurance \$; Annuities \$; Supplementary Contracts with Life Contingencies \$; Accidental Death Benefits \$; Disability - Active Lives \$; Disability - Disabled Lives \$; Miscellaneous Reserves \$

EXHIBIT 5 - INTERROGATORIES

1.1

Has the reporting entity ever issued both participating and non-participating contracts?.....

Yes [] No [X]

1.2

If not, state which kind is issued.
Non-participating

2.1

Does the reporting entity at present issue both participating and non-participating contracts?.....

Yes [] No [X]

2.2

If not, state which kind is issued.
The company no longer issues insurance policies

3.

Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements?.....
If so, attach a statement that contains the determination procedures, answers to the interrogatories and an actuarial opinion as described in the instructions.

Yes [X] No []

4.

Has the reporting entity any assessment or stipulated premium contracts in force?
If so, state:
4.1 Amount of insurance?\$
4.2 Amount of reserve?\$
4.3 Basis of reserve:
4.4 Basis of regular assessments:
4.5 Basis of special assessments:
4.6 Assessments collected during the year\$

5.

If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts.

6.

Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis?
6.1 If so, state the amount of reserve on such contracts on the basis actually held:\$
6.2 That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently approved by the state of domicile for valuing individual annuity benefits:\$
Attach statement of methods employed in their valuation.

Yes [] No [X]

7.

Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year?
7.1 If yes, state the total dollar amount of assets covered by these contracts or agreements\$
7.2 Specify the basis (fair value, amortized cost, etc.) for determining the amount:
7.3 State the amount of reserves established for this business:\$
7.4 Identify where the reserves are reported in the blank:

8.

Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year?
8.1 If yes, state the total dollar amount of account value covered by these contracts or agreements:\$
8.2 State the amount of reserves established for this business:\$
8.3 Identify where the reserves are reported in the blank:

Yes [] No [X]

9.

Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year?
9.1 If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders:\$
9.2 State the amount of reserves established for this business:\$
9.3 Identify where the reserves are reported in the blank:
.....

Yes [] No [X]

EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR

1	Valuation Basis		4
	2	3	
Description of Valuation Class	Changed From	Changed To	Increase in Actuarial Reserve Due to Change
NONE			
9999999 - Total (Column 4, only)			

Exhibit 6 - Aggregate Reserves for Accident and Health Contracts

N O N E

EXHIBIT 7 - DEPOSIT TYPE CONTRACTS

	1	2	3	4	5	6
	Total	Guaranteed Interest Contracts	Annuities Certain	Supplemental Contracts	Dividend Accumulations or Refunds	Premium and Other Deposit Funds
1. Balance at the beginning of the year before reinsurance	1,128,699			1,128,699		
2. Deposits received during the year						
3. Investment earnings credited to the account	752			752		
4. Other net change in reserves						
5. Fees and other charges assessed						
6. Surrender charges						
7. Net surrender or withdrawal payments	199,947			199,947		
8. Other net transfers to or (from) Separate Accounts						
9. Balance at the end of current year before reinsurance (Lines 1+2+3+4-5-6-7-8)	929,504			929,504		
10. Reinsurance balance at the beginning of the year						
11. Net change in reinsurance assumed						
12. Net change in reinsurance ceded						
13. Reinsurance balance at the end of the year (Lines 10+11-12)						
14. Net balance at the end of current year after reinsurance (Lines 9 + 13)	929,504			929,504		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 1 - Liability End of Current Year											
	1	2	Ordinary			6	Group		Accident and Health		
			3	4	5		7	8	9	10	11
	Total	Industrial Life	Life Insurance	Individual Annuities	Supplementary Contracts	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other
1. Due and unpaid:											
1.1 Direct											
1.2 Reinsurance assumed											
1.3 Reinsurance ceded											
1.4 Net											
2. In course of settlement:											
2.1 Resisted											
2.11 Direct											
2.12 Reinsurance assumed											
2.13 Reinsurance ceded											
2.14 Net			(b)	(b)		(b)	(b)				
2.2 Other											
2.21 Direct	2,088,467		2,088,467								
2.22 Reinsurance assumed											
2.23 Reinsurance ceded	1,548,000		1,548,000								
2.24 Net	540,467		(b) 540,467	(b)		(b)	(b)		(b)	(b)	(b)
3. Incurred but unreported:											
3.1 Direct	1,250,498		1,250,498								
3.2 Reinsurance assumed											
3.3 Reinsurance ceded	660,000		660,000								
3.4 Net	590,498		(b) 590,498	(b)		(b)	(b)		(b)	(b)	(b)
4. TOTALS											
4.1 Direct	3,338,965		3,338,965								
4.2 Reinsurance assumed											
4.3 Reinsurance ceded	2,208,000		2,208,000								
4.4 Net	1,130,965	(a)	(a) 1,130,965				(a)				

(a) Including matured endowments (but not guaranteed annual pure endowments) unpaid amounting to \$ _____ in Column 2, \$ _____ in Column 3 and \$ _____ in Column 7.

(b) Include only portion of disability and accident and health claim liabilities applicable to assumed "accrued" benefits. Reserves (including reinsurance assumed and net of reinsurance ceded) for unaccrued benefits for Ordinary Life Insurance \$ _____, 2,657,778 Individual Annuities \$ _____, Credit Life (Group and Individual) \$ _____, and Group Life \$ _____, are included in Page 3, Line 1, (See Exhibit 5, Section on Disability Disabled Lives); and for Group Accident and Health \$ _____, Credit (Group and Individual) Accident and Health \$ _____, and Other Accident and Health \$ _____ are included in Page 3, Line 2 (See Exhibit 6, Claim Reserve).

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 2 - Incurred During the Year

	1 Total	2 Industrial Life (a)	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health		
			3 Life Insurance (b)	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance (c)	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other
1. Settlements During the Year:											
1.1 Direct	18,968,244		18,968,244								
1.2 Reinsurance assumed											
1.3 Reinsurance ceded	12,007,992		12,007,992								
1.4 Net (d)	6,960,252		6,960,252								
2. Liability December 31, current year from Part 1:											
2.1 Direct	3,338,965		3,338,965								
2.2 Reinsurance assumed											
2.3 Reinsurance ceded	2,208,000		2,208,000								
2.4 Net	1,130,965		1,130,965								
3. Amounts recoverable from reinsurers December 31, current year	702,000		702,000								
4. Liability December 31, prior year:											
4.1 Direct	3,743,974		3,743,974								
4.2 Reinsurance assumed											
4.3 Reinsurance ceded	2,307,000		2,307,000								
4.4 Net	1,436,974		1,436,974								
5. Amounts recoverable from reinsurers December 31, prior year	1,258,988		1,258,988								
6. Incurred Benefits											
6.1 Direct	18,563,235		18,563,235								
6.2 Reinsurance assumed											
6.3 Reinsurance ceded	11,352,004		11,352,004								
6.4 Net	7,211,231		7,211,231								

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ in Line 1.1, \$ in Line 1.4.
\$ in Line 6.1, and \$ in Line 6.4.

(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ in Line 1.1, \$ in Line 1.4.
\$ in Line 6.1, and \$ in Line 6.4.

(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ in Line 1.1, \$ in Line 1.4.
\$ in Line 6.1, and \$ in Line 6.4.

(d) Includes \$ premiums waived under total and permanent disability benefits.

EXHIBIT OF NON-ADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D)			
2. Stocks (Schedule D):			
2.1 Preferred stocks			
2.2 Common stocks			
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens			
3.2 Other than first liens.....			
4. Real estate (Schedule A):			
4.1 Properties occupied by the company			
4.2 Properties held for the production of income.....			
4.3 Properties held for sale			
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA)			
6. Contract loans			
7. Derivatives (Schedule DB)			
8. Other invested assets (Schedule BA)			
9. Receivables for securities			
10. Securities lending reinvested collateral assets (Schedule DL)			
11. Aggregate write-ins for invested assets			
12. Subtotals, cash and invested assets (Lines 1 to 11)			
13. Title plants (for Title insurers only)			
14. Investment income due and accrued			
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection	63,306	471,195	407,889
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due ..			
15.3 Accrued retrospective premiums and contracts subject to redetermination			
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers			
16.2 Funds held by or deposited with reinsured companies			
16.3 Other amounts receivable under reinsurance contracts			
17. Amounts receivable relating to uninsured plans			
18.1 Current federal and foreign income tax recoverable and interest thereon			
18.2 Net deferred tax asset	23,518	15,132	(8,386)
19. Guaranty funds receivable or on deposit			
20. Electronic data processing equipment and software			
21. Furniture and equipment, including health care delivery assets			
22. Net adjustment in assets and liabilities due to foreign exchange rates			
23. Receivables from parent, subsidiaries and affiliates			
24. Health care and other amounts receivable			
25. Aggregate write-ins for other than invested assets	87,749	464,956	377,207
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	174,573	951,283	776,710
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			
28. Total (Lines 26 and 27)	174,573	951,283	776,710
DETAILS OF WRITE-INS			
1101.			
1102.			
1103.			
1198. Summary of remaining write-ins for Line 11 from overflow page			
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)			
2501. Miscellaneous	87,749	464,956	377,207
2502.			
2503.			
2598. Summary of remaining write-ins for Line 25 from overflow page			
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	87,749	464,956	377,207

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

- A. The accompanying financial statements of NYLIFE Insurance Company of Arizona (“the Company”) have been prepared using accounting practices prescribed or permitted by the Arizona Department of Insurance and Financial Institutions.

The Arizona Department of Insurance and Financial Institutions recognizes only statutory accounting practices prescribed or permitted by the state of Arizona for determining and reporting the financial position and results of operations of an insurance company and for determining its solvency under Arizona Insurance Law. The National Association of Insurance Commissioners’ (“NAIC”) *Accounting Practices and Procedures Manual* (“NAIC SAP”) has been adopted as a component of prescribed or permitted practices by the state of Arizona. Prescribed statutory accounting practices include state laws and regulations. Permitted statutory accounting practices encompass accounting practices that are not prescribed; such practices differ from state to state, may differ from company to company within a state, and may change in the future. Arizona has adopted all prescribed accounting practices found in NAIC SAP. The Company has no permitted practices.

A reconciliation of the Company's net income and capital and surplus at December 31, 2021 and 2020 between practices prescribed or permitted by the State of Arizona and NAIC SAP is shown below:

	SSAP #	F/S Page	F/S Line #	2021	2020
<u>Net Income</u>					
Net income Arizona state basis (Page 4, Line 35, Columns 1 & 2)	XXX	XXX	XXX	\$ (1,985,349)	\$ 7,260,050
State prescribed practices that increase/(decrease) NAIC SAP:				—	—
State permitted practices that increase/(decrease) NAIC SAP:				—	—
(4) Net income, NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ (1,985,349)</u>	<u>\$ 7,260,050</u>
<u>Capital and Surplus</u>					
Statutory capital and surplus Arizona state basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 109,121,503	\$109,659,183
State prescribed practices that increase/(decrease) NAIC SAP:				—	—
State permitted practices that increase/(decrease) NAIC SAP:				—	—
(8) Capital and surplus, NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 109,121,503</u>	<u>\$109,659,183</u>

- B. The preparation of financial statements requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities at the date of the financial statements. Management is also required to disclose contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results may differ from those estimates.
- C. Life premiums are taken into income over the premium-paying period of the policies. Commissions and other costs associated with acquiring new business are charged to operations as incurred (the Company has not issued any new policies since 2011). Amounts received or paid under deposit type contracts without mortality or morbidity risk are not reported as income or benefits but are recorded directly as an adjustment to the liability for deposit funds.

Policy reserves are based on mortality tables and valuation interest rates, which are consistent with statutory requirements and are designed to be sufficient to provide for contractual benefits. The Company holds reserves greater than those developed under the minimum statutory reserving rules when the valuation actuary determines that the minimum statutory reserves are inadequate.

The Company has established policy reserves on contracts issued January 1, 2001 and later that exceed the minimum amounts determined under Appendix A-820, “Minimum Life and Annuity Reserve Standards” of NAIC SAP by approximately \$166,697.

In addition, the Company uses the following accounting policies:

- (1) Cash and cash equivalents includes cash on hand, amounts due from banks and highly liquid debt instruments that have original maturities of three months or less at date of purchase and are carried at amortized cost. Cash and cash equivalents also include money market mutual funds which are stated at fair value. Short-term investments consist of securities with remaining maturities of one year or less, but greater than three months at the time of acquisition and are carried at amortized cost, which approximates fair value.
- (2) Bonds not backed by loans are stated at amortized cost using the interest method. Bonds in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. The cost basis of bonds is adjusted for impairments in value deemed to be other than temporary, with the difference between the bond's amortized cost and its fair value recognized as a realized loss reported in net income. The new cost basis of an impaired bond is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary impairment (“OTTI”), the impaired bond is accounted for as if it had been purchased on the measurement date of the impairment. Refer to Note 20 – Fair Value Measurements, for a discussion of the valuation approach and methods for bonds.
- (3)–(5) The Company does not own any common stock, preferred stock, real estate or mortgage loans.
- (6) Loan-backed and structured securities, which are included in bonds, are valued at amortized cost using the interest method including current assumptions of projected cash flows. Loan-backed and structured securities in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. Amortization of the premium or accretion of discount from the purchase of these securities considers the estimated timing and amount of cash flows of the underlying loans, including prepayment assumptions based on data obtained from external sources or internal estimates. Projected future cash flows are updated monthly, and the amortized cost and effective yield of the securities are adjusted as necessary to reflect historical prepayment experience and changes in estimated future prepayments. For high credit quality loan-backed and structured securities (those rated AA or above at the date of acquisition), the adjustments to amortized cost are recorded as a charge or credit to net investment income in accordance with the retrospective method. For loan-backed and structured securities that are not of high credit quality (those rated below AA at date of acquisition), certain floating rate securities and securities with the potential for a loss of a portion of the original investment due to contractual prepayments (e.g. interest only securities), the effective yield is adjusted prospectively for any changes in estimated cash flows. Refer to Note 20 – Fair Value Measurements, for a discussion of the valuation approach and methods for bonds.

The cost basis of loan-backed and structured securities is adjusted for impairments in value that are deemed to be other than temporary. An other-than-temporary loss is recognized in net income when it is anticipated that the amortized cost will not be recovered. The entire difference between the loan-backed or structured security's amortized cost and its fair

NOTES TO FINANCIAL STATEMENTS

value is recognized in net income only when the Company (1) has the intent to sell the security or (2) it does not have the intent and ability to hold the security to recovery. If neither of these two conditions exists, a realized loss is recognized in net income for the difference between the amortized cost basis of the security and the net present value of projected future cash flows expected to be collected. The net present value is calculated by discounting the Company's best estimate of projected future cash flows at the effective interest rate implicit in the loan-backed or structured security prior to impairment.

The new cost basis of an impaired security is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary loss, the impaired loan-backed or structured security is accounted for as if it had been purchased on the measurement date of the impairment. Accordingly, the discount (or reduced premium) based on the new cost basis may be accreted into net investment income in future periods based on prospective changes in cash flow estimates, to reflect adjustments to the effective yield.

- (7) The Company has no investments in subsidiaries or affiliates.
- (8) The Company has no investments in limited partnerships or limited liability companies.
- (9) The Company does not have any derivative instruments.
- (10) The Company does not utilize anticipated investment income as a factor in the premium deficiency calculation.
- (11) The Company does not issue or have any in force accident and health policies.
- (12) The Company has not changed its capitalization policy from the prior year.
- (13) The Company does not have any pharmaceutical rebates receivable.

D. Going Concern

The Company does not have any doubt about its ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

Change in Accounting Principles

Accounting changes adopted to conform to the provisions of NAIC SAP or other state prescribed accounting practices are reported as changes in accounting principles. The cumulative effect of changes in accounting principles is generally reported as an adjustment to unassigned funds (surplus) in the period of the change in accounting principle. Generally, the cumulative effect is the difference between the amount of capital and surplus at the beginning of the year and the amount of capital and surplus that would have been reported at that date if the new accounting principles had been applied retroactively for all prior periods. There were no significant accounting changes in 2021 or 2020 that required adjustments to beginning of period unassigned surplus.

Prior Period Corrections

Not applicable.

3. Business Combinations and Goodwill

Not applicable.

4. Discontinued Operations

Not applicable.

5. Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

Not applicable.

B. Debt Restructuring

Not applicable.

C. Reverse Mortgages

Not applicable.

D. Loan-Backed Securities

- (1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from external sources such as Intex and Blackrock Solutions.
- (2) The Company does not have any loan-backed and structured securities which are other-than-temporarily impaired where the Company intends to sell, or does not have the intent and ability to hold until recovery, at December 31, 2021.
- (3) At December 31, 2021, the Company did not have any securities where the present value of cash flows expected to be collected is less than the amortized cost basis during the current year.
- (4) The following table presents the Company's gross unrealized losses and fair values of loan-backed and structured securities, aggregated by the length of time that the individual securities have been in a continuous unrealized loss position at December 31, 2021:

	Less than 12 months		12 months or greater		Total	
	Fair Value	Losses	Fair Value	Losses	Fair Value	Losses
Total	\$ 139,912	\$ 3,890	\$ 198,062	\$ 1,954	\$ 337,974	\$ 5,844

NOTES TO FINANCIAL STATEMENTS

(5) The Company performs quantitative and qualitative analysis to determine if a decline in fair value was temporary. For those securities where the decline was considered temporary, the Company did not take an impairment when it had the ability and intent to hold until recovery. Factors considered in evaluating whether a decline in value is other than temporary include: (1) whether the decline is substantial; (2) the duration that the fair value has been less than amortized cost; (3) the financial condition and near-term prospects of the issuer; and (4) the Company's ability and intent to retain the investment for the period of time sufficient to allow for an anticipated recovery in value. In addition, for the non-agency residential mortgage backed securities ("RMBS") portfolio, the Company updates cash flow projections quarterly. A projection is performed for each security based upon the evaluation of prepayment, delinquency, and default rates for the pool of mortgages collateralizing each security, and the projected impact on the course of future prepayments, defaults, and loss in the pool of mortgages, but do not include market prices. As a result, forecasts may change from period to period and additional impairments may be recognized over time as a result of deterioration in the fundamentals of a particular security or group of securities and/or a continuation of heightened mortgage defaults for a period longer than the assumptions used for the forecasts. Both qualitative and quantitative factors are used in creating the Company's RMBS cash flow models. As such, any estimate of impairments is subject to the inherent limitation on the Company's ability to predict the aggregate course of future events. It should therefore be expected that actual losses may vary from any estimate and the Company may recognize additional other-than-temporary losses.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable.

F. Repurchase Agreements Transaction Accounted for as Secured Borrowing

Not applicable.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

J. Real Estate

Not applicable.

K. Low-Income Housing Tax Credits

Not applicable.

L. Restricted Assets

(1) Restricted assets (including pledged):

Restricted Asset Category	Gross (Admitted and Nonadmitted) Restricted									Percentage	
	Current Year										
	1	2	3	4	5						
	Total General Account (G/A)	G/A Assets Supporting Separate Accounts (S/A) Activity (a)	Total S/A Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)	Total Nonadmitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted and Non-admitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)
a. Subject to contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	0.000 %	0.000 %	
b. Collateral held under security lending agreements	—	—	—	—	—	—	—	—	0.000 %	0.000 %	
c. Subject to repurchase agreements	—	—	—	—	—	—	—	—	0.000 %	0.000 %	
d. Subject to reverse repurchase agreements	—	—	—	—	—	—	—	—	0.000 %	0.000 %	
e. Subject to dollar repurchase agreements	—	—	—	—	—	—	—	—	0.000 %	0.000 %	
f. Subject to dollar reverse repurchase agreements	—	—	—	—	—	—	—	—	0.000 %	0.000 %	
g. Placed under option contracts	—	—	—	—	—	—	—	—	0.000 %	0.000 %	
h. Letter stock or securities restricted as to sale-excluding FHLB capital stock	—	—	—	—	—	—	—	—	0.000 %	0.000 %	
i. FHLB capital stock	—	—	—	—	—	—	—	—	0.000 %	0.000 %	
j. On deposit with states	3,476,551	—	—	—	3,476,551	3,556,984	(80,433)	—	3,476,551	2.184 %	2.186 %
k. On deposit with other regulatory bodies	—	—	—	—	—	—	—	—	0.000 %	0.000 %	
l. Pledged as collateral to FHLB (including assets backing funding agreements)	—	—	—	—	—	—	—	—	0.000 %	0.000 %	
m. Pledged as collateral not captured in other categories	—	—	—	—	—	—	—	—	0.000 %	0.000 %	
n. Other restricted assets	—	—	—	—	—	—	—	—	0.000 %	0.000 %	
o. Total Restricted Assets	\$ 3,476,551	\$ —	\$ —	\$ —	\$ 3,476,551	\$ 3,556,984	\$ (80,433)	\$ —	\$ 3,476,551	2.184 %	2.186 %

(a) Subset of column 1

(b) Subset of column 3

(c) Column 5 divided by Asset page, Column 1, Line 28

(d) Column 9 divided by Asset page, Column 3, Line 28

NOTES TO FINANCIAL STATEMENTS

- (2) There were no assets pledged as collateral not captured in other categories at December 31, 2021 and 2020.
- (3) There were no other restricted assets at December 31, 2021 and 2020.
- (4) There were no other assets received as collateral and reflected as assets at December 31, 2021 and 2020.

M. Working Capital Finance Investments

Not applicable.

N. Offsetting and Netting of Assets and Liabilities

Not applicable.

O. 5GI Securities

Not applicable.

P. Short Sales

Not applicable.

Q. Prepayment Penalty and Acceleration Fees

The following represents the Company's securities sold, redeemed or otherwise disposed as a result of a callable feature (including make whole call provisions) or tender and the aggregate amount of investment income generated as a result of a prepayment penalty and/or acceleration fee.

	General Account
(1) Number of CUSIPs	9
(2) Aggregate Amount of Investment Income	\$ 292,811

6. Joint Ventures, Partnerships and Limited Liability Companies

- A. The Company had no investments in joint ventures, limited partnerships or limited liability companies.
- B. Not applicable.

7. Investment Income

- A. Due and accrued investment income is excluded from surplus when amounts are over 90 days past due or collection is uncertain.
- B. There was no investment income due and accrued excluded from surplus at December 31, 2021.

8. Derivative Instruments

Not applicable.

9. Income Taxes

- A. The components of the net deferred tax assets ("DTAs") and net deferred tax liabilities ("DTLs") at December 31, 2021 and 2020 were as follows:

(1)

		2021		
		Ordinary	Capital	Total
(a)	Gross DTAs	\$ 5,687,299	\$ 23,518	\$ 5,710,817
(b)	Statutory valuation allowance	—	—	—
(c)	Adjusted gross DTAs (1a - 1b)	5,687,299	23,518	5,710,817
(d)	Nonadmitted DTAs	—	23,518	23,518
(e)	Subtotal net admitted DTAs (1c - 1d)	5,687,299	—	5,687,299
(f)	Gross DTLs	3,316,031	—	3,316,031
(g)	Net admitted DTAs/(DTLs) (1e - 1f)	\$ 2,371,268	\$ —	\$ 2,371,268
		2020		
		Ordinary	Capital	Total
(a)	Gross DTAs	\$ 5,789,331	\$ 15,132	\$ 5,804,463
(b)	Statutory valuation allowance	—	—	—
(c)	Adjusted gross DTAs (1a - 1b)	5,789,331	15,132	5,804,463
(d)	Nonadmitted DTAs	—	15,132	15,132
(e)	Subtotal net admitted DTAs (1c - 1d)	5,789,331	—	5,789,331
(f)	Gross DTLs	4,112,843	—	4,112,843
(g)	Net admitted DTAs/(DTLs) (1e - 1f)	\$ 1,676,488	\$ —	\$ 1,676,488
		Change During 2021		
		Ordinary	Capital	Total
(a)	Gross DTAs	\$ (102,032)	\$ 8,386	\$ (93,646)
(b)	Statutory valuation allowance	—	—	—
(c)	Adjusted gross DTAs (1a - 1b)	(102,032)	8,386	(93,646)
(d)	Nonadmitted DTAs	—	8,386	8,386
(e)	Subtotal net admitted DTAs (1c - 1d)	(102,032)	—	(102,032)
(f)	Gross DTLs	(796,812)	—	(796,812)
(g)	Net admitted DTAs/(DTLs) (1e - 1f)	\$ 694,780	\$ —	\$ 694,780

NOTES TO FINANCIAL STATEMENTS

(2) The admission calculation components were as follows:

		2021		
		Ordinary	Capital	Total
(a)	Federal income taxes paid in prior years recoverable through loss carrybacks	\$ —	\$ —	\$ —
(b)	Adjusted gross DTAs expected to be realized (excluding the amount of DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2 below):	2,371,268	—	2,371,268
	1. Adjusted gross DTAs expected to be realized following the balance sheet date	2,371,268	—	2,371,268
	2. Adjusted gross DTAs allowed per limitation threshold	XXX	XXX	16,012,535
(c)	Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	3,316,031	—	3,316,031
(d)	DTAs admitted as the result of application of SSAP No. 101. Total (2(a)+2(b)+2(c))	\$ 5,687,299	\$ —	\$ 5,687,299
		2020		
		Ordinary	Capital	Total
(a)	Federal income taxes paid in prior years recoverable through loss carrybacks	\$ —	\$ —	\$ —
(b)	Adjusted gross DTAs expected to be realized (excluding the amount of DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2 below):	1,676,488	—	1,676,488
	1. Adjusted gross DTAs expected to be realized following the balance sheet date	1,676,488	—	1,676,488
	2. Adjusted gross DTAs allowed per limitation threshold	XXX	XXX	16,197,404
(c)	Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	4,112,843	—	4,112,843
(d)	DTAs admitted as the result of application of SSAP No. 101. Total (2(a)+2(b)+2(c))	\$ 5,789,331	\$ —	\$ 5,789,331
		Change During 2021		
		Ordinary	Capital	Total
(a)	Federal income taxes paid in prior years recoverable through loss carrybacks	\$ —	\$ —	\$ —
(b)	Adjusted gross DTAs expected to be realized (excluding the amount of DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2 below):	694,780	—	694,780
	1. Adjusted gross DTAs expected to be realized following the balance sheet date	694,780	—	694,780
	2. Adjusted gross DTAs allowed per limitation threshold	XXX	XXX	(184,869)
(c)	Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	(796,812)	—	(796,812)
(d)	DTAs admitted as the result of application of SSAP No. 101. Total (2(a)+2(b)+2(c))	\$ (102,032)	\$ —	\$ (102,032)

(3) The ratio used to determine the applicable period used in 2(b)1 above and the amount of adjusted capital and surplus used to determine the percentage threshold limitation in 2(b)2 above are as follows:

		December 31, 2021	December 31, 2020
(a)	Ratio percentage used to determine recovery period and threshold limitation amount	9074 %	8605 %
(b)	Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)2 above.	\$ 106,750,235	\$ 108,495,221

(4) There was no impact on adjusted gross and net admitted DTAs due to tax planning strategies at December 31, 2021 and 2020. The Company did not use reinsurance in its tax planning strategies.

B. The Company has no unrecognized DTLs at December 31, 2021 and 2020.

C. Significant components of income taxes incurred and the changes in DTAs and DTLs for the years ended December 31, 2021 and 2020 were as follows:

(1) Current Income Tax:		2021	2020	Change
(a)	Federal	\$ 351,795	\$ 1,158,118	\$ (806,323)
(b)	Foreign	—	—	—
(c)	Subtotal	351,795	1,158,118	(806,323)
(d)	Federal income tax on net capital gains	28,120	98,112	(69,992)
(e)	Utilization of capital loss carry-forward	—	—	—
(f)	Other	—	—	—
(g)	Federal and foreign income taxes incurred	\$ 379,915	\$ 1,256,230	\$ (876,315)
(2) DTAs:		2021	2020	Change
(a)	Ordinary			
	(1) Discounting of unpaid losses	\$ —	\$ —	\$ —
	(2) Unearned premium reserve	—	—	—
	(3) Policyholder reserve	3,112,043	2,876,081	235,962
	(4) Investments	2,325	1,175	1,150
	(5) Deferred acquisition costs	2,504,869	2,676,961	(172,092)
	(6) Policyholder dividends accrual	—	—	—
	(7) Fixed Assets	35,641	37,986	(2,345)
	(8) Compensation and benefits accrual	—	—	—
	(9) Pension accrual	—	—	—

NOTES TO FINANCIAL STATEMENTS

	(10) Receivables - nonadmitted	—	—	—
	(11) Net operating loss carry-forward	—	—	—
	(12) Tax credit carry-forward	—	—	—
	(13) Other	32,421	197,128	(164,707)
	(99) Subtotal	5,687,299	5,789,331	(102,032)
(b)	Statutory valuation allowance adjustment	—	—	—
(c)	Nonadmitted	—	—	—
(d)	Admitted ordinary DTA (2a99 - 2b - 2c)	5,687,299	5,789,331	(102,032)
(e)	Capital			
	(1) Investments	23,518	15,132	8,386
	(2) Net capital loss carry-forward	—	—	—
	(3) Real estate	—	—	—
	(4) Other	—	—	—
	(99) Subtotal	23,518	15,132	8,386
(f)	Statutory valuation allowance adjustment	—	—	—
(g)	Nonadmitted	23,518	15,132	8,386
(h)	Admitted capital DTA (2e99 - 2f - 2g)	—	—	—
(i)	Total admitted DTA (2d + 2h)	\$ 5,687,299	\$ 5,789,331	\$ (102,032)
(3)	DTLs:	2021	2020	Change
(a)	Ordinary			
	(1) Investments	\$ 81,240	\$ 68,650	\$ 12,590
	(2) Fixed assets	—	—	—
	(3) Deferred & uncollected premium	3,156,398	3,938,888	(782,490)
	(4) Policyholder reserves	73,520	98,027	(24,507)
	(5) Other	4,873	7,278	(2,405)
	(99) Subtotal	3,316,031	4,112,843	(796,812)
(b)	Capital			
	(1) Investments	—	—	—
	(2) Real estate	—	—	—
	(3) Other	—	—	—
	(99) Subtotal	—	—	—
(c)	Total DTL (3a99 + 3b99)	3,316,031	4,112,843	(796,812)
(4)	Net admitted DTAs/(DTLs) (2i - 3c)	\$ 2,371,268	\$ 1,676,488	\$ 694,780
	Increase in net DTL on net unrealized capital gains			\$ —
	Increase in net DTAs related to other items			703,166
	Increase in DTAs nonadmitted			(8,386)
	Total decrease in net admitted DTAs			\$ 694,780
(5)	The Company had no tax credits for years ended December 31, 2021 and 2020.			
(6)	The Company does not have operating loss carry-forwards for December 31, 2021.			
(7)	The Company had no adjustments to gross DTAs because of a change in circumstances that causes a change in judgment about the realizability of the related DTAs.			
D.	The Company's income tax (benefit) expense and the change in net DTAs for the years ended December 31, 2021 and 2020 differs from the amount obtained by applying the statutory rate of 21% to net gain from operations after dividends to policyholders and before federal income taxes for the following reasons:			
		2021	2020	Change
	Net gain from operations after dividends to policyholders and before federal income taxes at statutory rate	\$ (341,944)	\$ 1,767,388	\$ (2,109,332)
	Net realized capital gains at statutory rate	19,734	98,324	(78,590)
	Amortization of IMR	(31,727)	(17,507)	(14,220)
	Nonadmitted assets	164,870	(66,866)	231,736
	Other	(134,184)	(149,476)	15,292
	Federal and foreign income taxes incurred and change in net deferred taxes during the year	\$ (323,251)	\$ 1,631,863	\$ (1,955,114)
	Federal income tax expense reported in the Summary of Operations	\$ 351,795	\$ 1,158,118	\$ (806,323)
	Capital gains tax expense incurred	28,120	98,112	(69,992)
	Decrease (increase) in net DTAs	(703,166)	375,633	(1,078,799)
	Decrease (increase) in current and deferred taxes reported in prior period correction	—	—	—
	Federal and foreign income taxes incurred and change in net deferred taxes during the year	\$ (323,251)	\$ 1,631,863	\$ (1,955,114)
E.	(1) The Company did not have any operating loss and tax credit carry forwards available for tax purposes.			
	(2) The following income taxes incurred in the current and prior years that will be available in the event of future net losses:			
	Year 2021	\$ 28,120		
	Year 2020	\$ 116,968		
	Year 2019	\$ 91,977		
	(3) At December 31, 2021, the Company had no protective tax deposits on deposit with the Internal Revenue Service under Section 6603 of the Internal Revenue Service Code.			

NOTES TO FINANCIAL STATEMENTS

- F. The Company's federal income tax return is consolidated with the following entities:
- i. New York Life Insurance Company ("New York Life")
 - ii. New York Life Insurance and Annuity Corporation ("NYLIAC")
 - iii. NYLIFE LLC and its domestic affiliates ("NYLIFE LLC")
 - iv. New York Life Investment Management Holdings LLC ("NYL Investments") and its domestic subsidiaries
 - v. New York Life Enterprises ("NYLE") and its domestic subsidiaries
 - vi. NYL Investors LLC ("NYL Investors")

Effective January 1, 2021, the following entities will be included in the consolidated return:

- vii. Life Insurance Company of North America ("LINA")
- viii. New York Life Group Insurance Company of NY ("NYLGICNY")
- ix. LINA Benefit Payments, Inc.

The Company is a member of an affiliated group, which files a consolidated federal income tax return with New York Life. The consolidated income tax provision or benefit is allocated among the members of the group in accordance with a tax allocation agreement. This tax allocation agreement provides that the Company computes its share of the consolidated tax provision or benefit, in general, on a separate company basis, and may, where applicable, include the tax benefits of operating or capital losses utilizable in the New York Life's consolidated returns. Intercompany tax balances are settled quarterly on an estimated basis with a final settlement occurring within 30 days of the filing of the consolidated tax return. Current federal income taxes are charged or credited to operations based upon amounts estimated to be payable or recoverable as a result of taxable operations for the current year and any adjustments to such estimates from prior years.

- G. The Company does not anticipate any significant changes to its total unrecognized tax benefits within the next 12 months.
- H. The Company does not have repatriation transition tax owed under Tax Cuts and Jobs Act.
- I. The Company does not have an AMT credit that was recognized as a current year recoverable or DTA.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A-B. In 2021 the Company did not pay a dividend to its parent company, New York Life. In 2020, the Company paid a dividend of \$5,000,000 to New York Life.

Significant transactions entered into or between the Company and its parent and affiliates for the years ended December 31 2021 and 2020 were as follows:

Date of Transaction	Name of Related Party	Nature of Relationship	Type of Transaction	Description
Various	New York Life	Parent	Service and facility agreement	New York Life provides the Company with certain services and facilities including, but not limited to, accounting, tax and auditing services; legal services; actuarial services; electronic data processing operations; and communications operations. New York Life charges the Company for the identified costs associated with these services and facilities under the terms of a service agreement between New York Life and the Company.
11/16/2015	New York Life	Parent	Credit agreement	The Company has a credit agreement with New York Life, restated November 16, 2015, under which the Company may borrow from New York Life an amount of up to \$10,000,000. As of 12/31/2021 the Company has not used the credit agreement.
4/1/2000	NYL Investors	Non-insurance affiliate	Investment advisory and administration services	The Company is a party to an investment advisory agreement with NYL Investors LLC ("NYL Investors"), a wholly-owned subsidiary of New York Life, whereby NYL Investors provides investment advisory and administrative services to the Company.
Various	New York Life	Parent	Term-life conversion agreement	The Company compensates New York Life for policy credits associated with converting the Company's term policies to permanent cash value life insurance policies issued by New York Life without any additional underwriting.
Various	NYLIAC	Insurance affiliate	Term-life conversion agreement	The Company compensates NYLIAC, a wholly-owned subsidiary of New York Life, for policy credits associated with converting the Company's term policies to permanent cash value life insurance policies issued by NYLIAC without any additional underwriting.

- C. The company had no transaction with related parties not reported on schedule Y.
- D. At December 31, 2021 and 2020, the Company reported \$150,754 and \$399,711, respectively, as net amounts payable to parent and affiliates. These amounts exclude debt transactions described in section A.-B. The terms of the underlying agreements generally require that these amounts be settled in cash within 90 days.
- E. Refer to sections A-B for significant administrative and advisory agreements the Company has entered into with its parent and affiliates for the years ended December 31, 2021 and 2020.
- F. Refer to sections A-B for significant credit agreements entered into by the Company with its parent for the years ended December 31, 2021 and 2020.
- G. All outstanding shares of the Company are owned by the parent company, New York Life, a mutual insurance company domiciled in the state of New York.
- H. The Company does not own any shares of an upstream affiliate either directly or through its subsidiaries.
- I.-K. The Company does not have an investment in a SCA entity.
- L. The Company does not hold investments in downstream non-insurance holding companies.

NOTES TO FINANCIAL STATEMENTS

- M.

The Company does not have any affiliated common stock investments.
- N.

The Company does not hold investments in an SCA.
- O.

The Company does not hold investments in an SCA in a loss position.
11.

Debt

A.

The Company has not issued any debt.

B.

Not applicable.
12.

Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A.

Defined Benefit Plan

See section G.

B.

Plan Asset Investment Policies and Strategies

See section G.

C.

Determination of Fair Values

See section G.

D.

Long-term Rate of Return on Plan Assets

See section G.

E.

Defined Contribution Plans

See section G.

F.

Multiemployer Plans

Not applicable.

G.

Consolidated/Holding Company Plans

The Company shares in the cost of the following plans sponsored by New York Life: (1) certain postretirement life and health benefits for retired employees and agents including their eligible dependents, (2) certain defined benefit pension plans for eligible employees and agents, (3) certain defined contribution plans for substantially all employees and (4) postemployment benefits. The expense for these plans is allocated to the Company in accordance with an intercompany cost sharing agreement. The liabilities for these plans are included with the liabilities for the corresponding plan of New York Life. The Company's share of the cost of these plans was as follows for the years ended December 31, 2021 and 2020:

	2021	2020
Defined benefit pension	\$ 186,535	\$ 136,517
Defined contribution	32,899	32,336
Postretirement life and health	24,651	30,397
Postemployment	4,333	4,563
Total	\$ 248,418	\$ 203,813

H.

Postemployment Benefits and Compensated Absences

See section G.

I.

Impact of Medicare Modernization Act on Postretirement Benefit (INT 04-17)

See section G.

13.

Capital and Surplus, Shareholders' Dividend Restrictions, and Quasi-Reorganizations

A.

The Company has 30,000 shares authorized, with a par value of \$100 per share with 25,000 shares issued and outstanding. All shares are common stock and all are owned by New York Life.

B.

Not applicable.

C.

The Company is subject to restrictions on the payment of dividends to New York Life. Under the Arizona Insurance Law, cash dividends to stockholders may only be paid out of an insurer's available surplus funds which are derived from realized net profits on its business. Stock dividends may be paid out of any available surplus funds that exceed the aggregate amount of surplus loaned to the insurer. No surplus funds have been loaned to the Company. In addition, generally, no extraordinary dividend (as described under Arizona Revised Statute ("A.R.S.") Section 20-481.19) may be paid or distributed to stockholders without the prior approval of the Director of Arizona Department of Insurance and Financial Institutions. At December 31, 2021, the amount of earned surplus of the Company available for the payment of dividends was \$8,121,503.

D.

During the year ended December 31, 2021, the Company did not pay a dividend to its sole shareholder, New York Life.

E.

Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

F.

No restrictions have been placed on the unassigned surplus funds of the Company.

G.

The Company did not have any advances to surplus.

H.

The Company did not hold stock, including stock of affiliated companies, for any special purpose.
- 19.7

NOTES TO FINANCIAL STATEMENTS

- I.

The Company did not hold any special surplus funds.
- J.

The Company did not have any unassigned funds (surplus) represented or reduced by cumulative net unrealized losses, gross of deferred taxes at December 31, 2021.
- K.

The Company has not issued any surplus notes.
- L.-M.

The Company has never had a quasi-reorganization.
14.

Liabilities, Contingencies and Assessments

A.

Contingent Commitments

(1)

Commitments or contingent commitments

The Company does not have any commitments or contingent commitments.

(2)

Guarantees

The Company does not have any guarantees.

(3)

Guarantee obligations

The Company does not have any guarantee obligations.

B.

Assessments

(1)–(3)

The Company is not aware of any significant insolvencies of insurance companies, which would result in material assessments on the Company and as a result, no provision has been made, either as an asset or liability for amounts to be paid on insurer insolvencies.

C.

Gain Contingencies

Not applicable.

D.

Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

The Company's exposure to extra contractual obligations and bad faith losses is immaterial.

E.

Joint and Several Liabilities

Not applicable.

F.

All Other Contingencies

The Company, along with New York Life and NYLIAC, is a defendant in one consolidated purported class action suit arising from its agency sales force. The lawsuit seeks, among other things, extra contractual damages. Notwithstanding the uncertain nature of litigation, the outcome of which cannot be predicted, the Company believes that the ultimate liability that could result from this litigation would not have a material adverse effect on the Company's financial position; however, it is possible that a settlement or adverse determination in this action or other proceedings in the future could have a material adverse effect on the Company's operating results for a given year.

Subject to the above, the Company has no pending legal proceedings that would have a material adverse effect on the financial position of the Company.

15.

Leases

A.

Lessee Operating Lease

(1)a

The Company is a party to an affiliated group air transportation services agreement entered into with NYLIFE LLC, a direct wholly owned subsidiary of New York Life, in November 2004. Under the terms of the agreement, the Company, in conjunction with certain specified affiliates, leases an aircraft from NYLIFE LLC. Costs associated with the lease are determined on a fully allocated basis and allotted to the parties based on usage. The Company's share of expenses associated with the lease of the aircraft was \$4,661 in 2021. The agreement expires in 2024.

(1)b–(3)b

Not applicable.

B.

Lessor Leases

Not applicable.

16.

Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable.

17.

Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A.

Transfers of Receivables reported as Sales

Not applicable.

B.

Transfer and Servicing of Financial Assets

(1)–(7)

Not applicable.

C.

Wash Sales

(1)

In the course of the Company's investment management activities, securities may be sold and repurchased within 30 days of the sale date to enhance the Company's yield on its investment portfolio.

19.8

NOTES TO FINANCIAL STATEMENTS

(2) The Company did not have any wash sales where securities with an NAIC rating designation of 3 or below, or unrated, were sold during the year ended December 31, 2021 and reacquired within 30 days of the sale date.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not applicable.

19. Direct Premium Written/Produced by Managing General Agents/Third-Party Administrators

The Company did not have any direct premium written/produced by managing general agents/third-party administrators during 2021.

20. Fair Value Measurements

A. The Company's financial assets and liabilities carried at fair value have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100, *Fair Value Measurements*. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This guidance establishes a framework for measuring fair value that includes a hierarchy used to classify the inputs used in measuring fair value. The hierarchy prioritizes the inputs to valuation techniques used to measure fair value into three levels. The level in the fair value hierarchy within which the fair value measurement falls is determined based on the lowest level input that is significant to the fair value measurement.

(1) The levels of the fair value hierarchy are based on the inputs to the valuation as follows:

- Level 1**

Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market. Active markets are defined as a market in which many transactions occur with sufficient frequency and volume to provide pricing information on an ongoing basis.
- Level 2**

Observable inputs other than level 1 prices, such as quoted prices in active markets for similar assets or liabilities; quoted prices in markets that are not active for identical or similar assets or liabilities, or other model driven inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities. Valuations are generally obtained from third-party pricing services for identical or comparable assets or liabilities or through the use of valuation methodologies using observable market inputs.
- Level 3**

Instruments whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs reflect management's own assumptions in pricing the asset or liability. Pricing may also be based upon broker quotes that do not represent an offer to transact. Prices are determined using valuation methodologies such as option pricing models, discounted cash flow models and other similar techniques. Non-binding broker quotes, which are utilized when pricing service information is not available, are reviewed for reasonableness based on the Company's understanding of the market, and are generally considered Level 3. To the extent the internally developed valuations use significant unobservable inputs, they are classified as Level 3.

The Company had no investments held at fair value at December 31, 2021.

B. Not applicable.

C. The following table presents the carrying amounts and estimated fair value of the Company's financial instruments at December 31, 2021:

	Fair Value	Carrying Value	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable
Assets:							
Bonds	\$ 142,826,193	\$ 138,584,025	—	\$ 142,826,193	\$ —	\$ —	\$ —
Cash, cash equivalents and short-term investments	4,141,242	4,141,242	590,473	3,550,769	—	—	—
Investment income due and accrued	817,266	817,266	—	817,266	—	—	—
Total assets	<u>\$ 147,784,701</u>	<u>\$ 143,542,533</u>	<u>\$ 590,473</u>	<u>\$ 147,194,228</u>	<u>\$ —</u>	<u>\$ —</u>	<u>\$ —</u>
Liabilities:							
Payable to parent and affiliates	\$ 154,368	\$ 154,368	\$ —	\$ 154,368	\$ —	\$ —	\$ —
Total liabilities	<u>\$ 154,368</u>	<u>\$ 154,368</u>	<u>\$ —</u>	<u>\$ 154,368</u>	<u>\$ —</u>	<u>\$ —</u>	<u>\$ —</u>

Bonds

The fair value of bonds is determined by considering one of four primary sources: (1) security pricing is applied using a hierarchy approach whereby publicly available prices are first sought from nationally recognized third-party pricing services, (2) securities are priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmarks bond indices, (3) securities are priced using an internal pricing model or methodology, and (4) securities are submitted to independent brokers for prices.

The pricing service generally uses an income-based approach by using a discounted cash-flow model or it may use a market approach by looking at recent trades of a specific security to determine fair value on public securities or a combination of the two. Typical inputs used by these pricing services include, but are not limited to; benchmark yields, reported trades, issuer spreads, bids, offers, benchmark securities, estimated cash flows and prepayment speeds.

Independent pricing vendors do not supply prices for private placement bonds. These securities are primarily priced using a market approach such as a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices. Any private securities that cannot be priced using this methodology, are priced using an internally developed model based upon assigned comparable public issues adjusted for liquidity, maturity and rating or are priced based on internal calculations. The Company assigns a credit rating based upon internal analysis.

Prices from pricing services and broker quotes are validated on an ongoing basis to ensure the adequacy and reliability of the fair value measurement. The Company performs both quantitative and qualitative analysis of the prices including initial and ongoing review of third-party pricing methodologies, back testing of recent trades, and a thorough review of pricing trends and statistics and is classified as level 2.

NOTES TO FINANCIAL STATEMENTS

Cash, cash equivalents, short-term investments and investment income due and accrued

Cash on hand is classified as Level 1. Cash overdrafts (i.e. outstanding checks) are classified as Level 2. Due to the short-term maturities, the carrying value of short-term investments, cash equivalents and investment income due and accrued is presumed to approximate fair value, and is classified as Level 2.

- D. If it is not practicable for an entity to estimate the fair value of that financial instrument or a class of financial instruments, the following shall be disclosed:

(1)-(2) Not applicable.
- E. Not applicable.

21. Other Items

A. Unusual or Infrequent Items

The disruption caused by the COVID-19 pandemic continues to have a major impact on the global economy, the supply chain and the economies of particular countries and industries. It has also resulted in elevated mortality and morbidity experience for the global population, and could have long-term effects on New York Life's life insurance business. The ultimate extent of the impact of the COVID-19 pandemic will depend on numerous factors, all of which are highly uncertain and cannot be predicted. These factors include the length and severity of the outbreak, including the impact of new variants of the virus and the efficacy of vaccines and therapeutic treatments in combating the virus, the responses to the pandemic taken by governments and private sector businesses, and the impacts on the New York Life's customers, employees and vendors. Although the New York Life has taken certain steps to mitigate some of the adverse impacts resulting from the pandemic, these events could have an adverse effect on New York Life's results of operations and cash flows in any period and, depending on their severity and duration, could also adversely affect New York Life's financial condition.

On March 26, 2021, the Arizona Department of Insurance and Financial Institutions granted retroactive approval to the Company to pay an extraordinary dividend of \$15,000,000 to its shareholder, New York Life. The Company had previously paid separate dividends of \$5,000,000 and \$10,000,000 within a twelve month period during fiscal years 2020 and 2019 without appropriate authorization. The department acknowledged the Company's compliance with A.R.S 20-48 1.19.

B. Troubled Debt Restructuring: Debtors

Not applicable.

C. Other Disclosures

Assets with a carrying value of \$3,476,551 at December 31, 2021 were on deposit with government authorities or trustees as required by certain state insurance laws.

D. Business Interruption Insurance Recoveries

Not applicable.

E. State Transferable and Non-transferable Tax Credits

Not applicable.

F. Subprime and Midprime Mortgage Related Risk Exposure

- (1) The Company categorizes mortgage securities with an average FICO score (credit score) of 625 or less as "subprime" mortgage securities and mortgage securities with an average FICO score of greater than 625 and less than 700 as "midprime" mortgage securities. Securities with an average FICO score of 700 or greater are characterized as "prime". The delinquency, credit loss, prepayment rate of the pool of mortgages collateralizing the investment and credit enhancement available for the investment are reviewed. Cash flow forecasts for each subprime and midprime mortgage security using estimates of future prepayment, delinquency, default and loss severity rates are prepared and are stress tested. This analysis shows that the majority of the unrealized losses associated with the Company's subprime and midprime mortgage holdings is due to market dislocation and is not reflective of the projected cash flows for the portfolio of securities or how these securities have performed to date.
- (2) The Company does not engage in subprime residential or commercial mortgage lending and therefore has no direct exposure through investments in subprime mortgage loans.
- (3) The Company has exposure to subprime and midprime residential mortgage lending through its fixed maturity investments that are collateralized by mortgages that have characteristics of subprime or midprime lending. Subprime residential mortgage lending is the origination of residential mortgage loans to customers with weak credit profiles, including using relaxed mortgage-underwriting standards that provide for affordable mortgage products. These investments are primarily in the form of asset-backed securities ("ABS") supported by subprime or midprime residential mortgage loans or collateralized debt securities ("CDOs") that contain a subprime or midprime loan component. The collective carrying value of these investments is representing 0.07% of total fixed maturity investments. Of this amount, 100% had credit quality ratings below "AA". There was no common stock subprime or midprime exposure. The Company manages its subprime and midprime risk exposure by limiting the Company's holdings in these types of instruments, and performing ongoing analysis of cash flows, prepayment speeds, default rates and other stress variables.

The Company's general account fixed maturity investments that are collateralized by residential mortgages that have characteristics of subprime or midprime lending are:

Midprime-Type	Actual Cost	Book	Adjusted Carrying Value	Fair Value	OTTI Losses
Residential mortgage-backed securities	\$ 93,549	\$	92,000	\$ 113,412	\$ 116,110

- (4) The Company does not have underwriting exposure to subprime mortgage risk through mortgage guaranty or financial guaranty insurance coverage.

G. Retained Assets

- (1) Effective June 1, 2012, the Company ceased offering retained asset accounts as a settlement option to life insurance beneficiaries. Prior to that date, beneficiaries could select the retained asset account as a settlement option for satisfying individual life insurance claims of \$10,000 or more. Retained asset accounts are interest-bearing draft accounts administered by an unaffiliated bank and beneficiaries may access available funds by writing a check for any amount up to the full remaining

NOTES TO FINANCIAL STATEMENTS

balance of the net claim settlement. The Company's aggregate liability for retained asset accounts is reported as a component of liability for deposit-type contracts on Page 3 – Liabilities, Surplus and other Funds.

Interest rates for retained asset accounts are not guaranteed and are declared periodically at the discretion of the Company. The following interest rates were paid to retained asset account holders in 2021:

Effective Date		Interest Rate \$10,000 or more	Interest Rate \$9,999.99 or less
From	Through		
1/1/2021	1/31/2021	0.09%	0.09%
2/1/2021	4/11/2021	0.08%	0.08%
4/12/2021	12/31/2021	0.07%	0.07%

The applicable fees charged for retained asset accounts in 2021 were as follows:

Description	Amount Charged
Overnight delivery of additional checkbooks:	\$15 weekday, \$22 weekend
Checks returned for insufficient funds	\$10 per occurrence
Stop Payment requests	\$12 per request

- (2) The following table presents the number and balance of retained asset accounts in-force at December 31, 2021 and 2020, respectively:

	In-Force			
	2021		2020	
	Number	Amount	Number	Amount
Up to and including 12 months	—	\$ —	—	\$ —
13 to 24 months	—	—	—	—
25 to 36 months	—	—	—	—
37 to 48 months	—	—	—	—
49 to 60 months	—	—	—	—
Over 60 months	6	929,504	7	1,128,699
Total	6	\$ 929,504	7	\$ 1,128,699

- (3) The following table presents the Company's retained asset accounts at December 31, 2021:

	Individual	
	Number	Amount
Retained asset accounts at the beginning of the year	7	\$ 1,128,699
Retained asset accounts issued/added during the year	—	—
Investment earnings credited to retained asset accounts during the year	N/A	752
Fees and other charges assessed to retained asset accounts during the year	N/A	—
Retained asset accounts transferred to state unclaimed property funds during the year	1	63,495
Retained asset accounts closed/withdrawn during the year	(2)	(263,442)
Retained asset accounts at the end of the year	6	\$ 929,504

H. Insurance-Linked Securities ("ILS") Contracts

Not applicable.

I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

Not applicable.

22. Events Subsequent

At February 28, 2022, the date the financial statements were available to be issued, there have been no events occurring subsequent to the close of the Company's books or accounts for the accompanying annual statement that would have a material effect on the financial condition of the Company.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company? Yes () No (X). If yes, give full details. \$—
- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes () No (X). If yes, give full details. \$—

NOTES TO FINANCIAL STATEMENTS

Section 2 – Ceded Reinsurance Report – Part A

- (1)

Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits? Yes () No (X).

a.

If yes, what is the estimated amount of the aggregate reduction in surplus of a unilateral cancellation by the reinsurer as of the date of this statement, for those agreements in which cancellation results in a net obligation of the company to the reinsurer, and for which such obligation is not presently accrued? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. \$—

b.

What is the total amount of reinsurance credits taken, whether as an asset or as a reduction of a liability, for these agreements in this statement? \$—
- (2)

Does the company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts which, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes () No (X). If yes, give full details.

Section 3 – Ceded Reinsurance Report – Part B

- (1)

What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. If all reinsurance agreements were terminated by either party as of the date of the statement, the resulting reduction in surplus due to loss of reserve credits net of unearned premium would be approximately \$5,517,111.
- (2)

Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts which were in-force or which had existing reserves established by the Company as of the effective date of the agreement? Yes () No (X). If yes, what is the amount of reinsurance credits, whether an asset or a reduction of liability, taken for such new agreements or amendments? \$—

B. Uncollectible Reinsurance

Not applicable.

C. Commutation of Ceded Reinsurance

Not applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not applicable.

E - H. Not applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

Not applicable.

25. Change in Incurred Losses and Loss Adjustment Expenses

Not applicable.

26. Intercompany Pooling Arrangements

Not applicable.

27. Structured Settlements

Not applicable.

28. Health Care Receivables

Not applicable.

29. Participating Policies

Not applicable.

30. Premium Deficiency Reserves

Not applicable.

31. Reserves for Life Contracts and Annuity Contracts

- (1)

The Company waives deductions of deferred fractional premiums upon death of the insured and returns a portion of the final premium beyond the date of death. No surrender values are promised in excess of the total reserves included in other sections of Exhibit 5.
- (2)

Certain substandard policies were valued on tables that are multiples of the standard table.
- (3)

At December 31, 2021, the Company had \$2,850,000 of insurance in force for which the gross premiums are less than the net premiums according to the standard of valuation set by the State of Arizona. Reserves to cover the above insurance totaled the net amount of \$2,042 at December 31, 2021 and are reported in Exhibit 5 - Miscellaneous Reserves.
- (4)

The tabular interest (Page 7, Line 4) and tabular less actual reserves released (Page 7, Line 5) have been determined by formula as described in the instructions for Page 7. The tabular cost (Page 7, Line 9) has been determined by formula as described in the instructions for Page 7 adjusted for the difference in valuation mortality in different years between the tabular cost of mortality floor and the rest of the Regulation XXX calculation. (See item 6 of this footnote).

NOTES TO FINANCIAL STATEMENTS

- (5) The tabular interest on funds not involving life contingencies on Exhibit 7, Line 3 is generally the interest actually credited to or accrued on such funds.
- (6) The details for "other increases (net)" on Page 7, Line 7 are:

	Ordinary Life
Effects attributable to differences in valuation mortality between the tabular cost floor and the rest of the reserve calculation, and between the direct and ceded reserves for policies reinsured under other than coinsurance	\$ 5,806
Total other increases (net)	\$ 5,806

32. Analysis of Annuity Actuarial Reserves and Deposit-Type Contract Liabilities by Withdrawal Characteristics

A. Individual Annuities

Not applicable.

B. Group Annuities

Not applicable.

C. Deposit-Type Contracts (no life contingencies)

	December 31, 2021	
	General Account	% of Total
(1) Subject to discretionary withdrawal:		
a. With market value adjustment	\$ —	— %
b. At book value less current surrender charge of 5% or more	—	—
c. At fair value	—	—
d. Total with market value adjustment or at fair value (total of a through c)	—	—
e. At book value without adjustment (minimal or no charge or adjustment)	929,504	100.0
(2) Not subject to discretionary withdrawal	—	—
(3) Total (gross: direct + assumed)	929,504	100.0
(4) Reinsurance ceded	—	—
(5) Total (net) * (3) – (4)	\$ 929,504	100.0
(6) Amount included in C(1)b above that will move to C(1)e in the year after the statement date:	\$ —	

* Reconciliation of total annuity actuarial reserves and deposit fund liabilities

D. Life & Accident & Health Annual Statement:

Amount
\$ 929,504

Exhibit 7, Line 14, Total (net)

NOTES TO FINANCIAL STATEMENTS

33. Analysis of Life Actuarial Reserves by Withdrawal Characteristics

December 31, 2021			
General Account			
	Account Value	Cash Value	Reserve
A. Subject to discretionary withdrawal, surrender values, or policy loans:			
(1) Term policies with cash value	\$ —	\$ —	\$ —
(2) Universal life	—	—	—
(3) Universal life with secondary guarantees	—	—	—
(4) Indexed universal life	—	—	—
(5) Indexed universal life with secondary guarantees	—	—	—
(6) Indexed life	—	—	—
(7) Other permanent cash value life insurance	—	—	—
(8) Variable life	—	—	—
(9) Variable universal life	—	—	—
(10) Miscellaneous reserves	—	—	—
B. Not subject to discretionary withdrawal or no cash values:			
(1) Term policies without cash value	XXX	XXX	15,709,091
(2) Accidental death benefits	XXX	XXX	31,915
(3) Disability - active lives	XXX	XXX	2,657,778
(4) Disability - disabled lives	XXX	XXX	31,155,171
(5) Miscellaneous reserves	XXX	XXX	5,477,912
C. Total (gross: direct + assumed)	—	—	55,031,867
D. Reinsurance ceded	—	—	10,285,483
E. Total (net) (C) - (D)	\$ —	\$ —	\$ 44,746,384

* Reconciliation of total life actuarial reserves.

F. Life & Accident & Health Annual Statement:	December 31, 2021
(1) Exhibit 5, Life Insurance Section, Total (net)	\$ 5,423,608
(2) Exhibit 5, Accidental Death Benefits Section, Total (net)	31,915
(3) Exhibit 5, Disability-Active Lives Section, Total (net)	2,657,778
(4) Exhibit 5, Disability-Disabled Lives Section, Total (net)	31,155,171
(5) Exhibit 5, Miscellaneous Reserves Section, Total (net)	5,477,912
(6) Total	<u>\$ 44,746,384</u>

34. Premium and Annuity Considerations Deferred and Uncollected

A. Deferred and uncollected life insurance premiums at December 31, 2021, were as follows:

Type	Gross	Net of Loading
(1) Ordinary new business	\$ —	\$ —
(2) Ordinary renewal	7,737,074	12,279,247
Total	<u>\$ 7,737,074</u>	<u>\$ 12,279,247</u>

35. Separate Accounts

Not applicable.

36. Loss/Claim Adjustment Expenses

Not applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

1.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1, 1A, 2 and 3.

Yes [X] No []

1.2

If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations?

Yes [X] No [] N/A []

1.3

State Regulating?

Arizona

1.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [] No [X]

1.5

If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]

2.2

If yes, date of change:

3.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2019

3.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2019

3.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/21/2021

3.4

By what department or departments?
Arizona Department of Insurance and Financial Institutions

3.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [X] No [] N/A []

3.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [X] No [] N/A []

4.1

During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.11 sales of new business?
4.12 renewals?

Yes [] No [X]
Yes [] No [X]

4.2

During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.21 sales of new business?
4.22 renewals?

Yes [] No [X]
Yes [] No [X]

5.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?
If yes, complete and file the merger history data file with the NAIC.

Yes [] No [X]

5.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

6.1

Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]

6.2

If yes, give full information:

7.1

Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?

Yes [] No [X]

7.2

If yes,
7.21 State the percentage of foreign control; %
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

1 Nationality	2 Type of Entity

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

- 8.1

Is the company a subsidiary of a depository institution holding company (DIHC) or a DIHC itself, regulated by the Federal Reserve Board?

Yes [] No [X]
- 8.2

If the response to 8.1 is yes, please identify the name of the DIHC.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [X] No []
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
NYLIFE Securities LLC	New York, NY				YES.
NYLIFE Distributors LLC	Jersey City, NJ				YES.
Eagle Strategies LLC	New York, NY				YES.
New York Life Investment Management LLC	New York, NY				YES.
MacKay Shields LLC	New York, NY				YES.
GoldPoint Partners LLC	New York, NY				YES.
NYLIM Service Company LLC	Jersey City, NJ				YES.
PA Capital LLC	Richmond, VA				YES.
NYL Investors LLC	New York, NY				YES.
IndexIQ Advisors LLC	New York, NY				YES.
Madison Capital Funding LLC	Chicago, IL				YES.
MKS CLO Advisors, LLC	New York, NY				YES.
Cascade CLO Advisors, LLC	New York, NY				YES.
Candriam Luxembourg S.C.A.	Strassen, LUX				YES.
Candriam France S.A.S.	Paris, FRA				YES.
Candriam Belgium S.A.	Brussels, BEL				YES.
New York Life Investments Alternatives LLC	New York, NY				YES.
Ausbil Investment Management Limited	Sydney, AUS				YES.
.....				

- 8.5

Is the reporting entity a depository institution holding company with significant insurance operations as defined by the Board of Governors of Federal Reserve System or a subsidiary of the reporting entity?

Yes [] No [X]
- 8.6

If response to 8.5 is no, is the reporting entity a company or subsidiary of a company that has otherwise been made subject to the Federal Reserve Board's capital rule?

Yes [] No [] N/A [X]
9.

What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit? Pricewaterhouse Coopers, LLP, 300 Madison Avenue, New York, NY 10017
- 10.1

Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation?

Yes [] No [X]
- 10.2

If the response to 10.1 is yes, provide information related to this exemption:
- 10.3

Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation?

Yes [] No [X]
- 10.4

If the response to 10.3 is yes, provide information related to this exemption:
- 10.5

Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws?

Yes [] No [X] N/A []
- 10.6

If the response to 10.5 is no or n/a, please explain Pursuant to Arizona Revised Statutes Section 20-698 and the NAIC Moel Audit Rule, the reporting entity is not required to comply with the independence requirements of the Model Audit Rule as its aggregate annual premiums are less than \$300 million.
11.

What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification? Stephen McNamara, FSA, MAAA, Vice President & Actuary, NYLIFE Insurance Company of Arizona, 51 Madison Avenue, NY, NY 10010
- 12.1

Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly?

Yes [] No [X]
- 12.11

Name of real estate holding company
- 12.12

Number of parcels involved
- 12.13

Total book/adjusted carrying value

\$
- 12.2

If, yes provide explanation:
13.

FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:
- 13.1

What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?
- 13.2

Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located?

Yes [] No []
- 13.3

Have there been any changes made to any of the trust indentures during the year?

Yes [] No []
- 13.4

If answer to (13.3) is yes, has the domiciliary or entry state approved the changes?

Yes [] No [] N/A []
- 14.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes [X] No []
- a.

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- b.

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- c.

Compliance with applicable governmental laws, rules and regulations;
- d.

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- e.

Accountability for adherence to the code.
- 14.11

If the response to 14.1 is No, please explain:
- 14.2

Has the code of ethics for senior managers been amended?

Yes [X] No []
- 14.21

If the response to 14.2 is yes, provide information related to amendment(s). Working with Integrity Standards of Business Conduct ws revised effective December 2021. It was updated to reflect changes in policies and procedures and points of contact as well as additional features including: 1) links to Company policies and a consolidated policy center on the Company's intranet, 2) new sections on anti-money laundering compliance and engaging in public policy and enhanced sections on FCPA, whistleblowing, gifts and entertainment involving government officials, improperly influencing government officials and 3) improved readability, navigation and easily identifiable points of contact.
- 14.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 14.31

If the response to 14.3 is yes, provide the nature of any waiver(s).

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

- 15.1

Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List?

Yes [] No [X]
- 15.2

If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

BOARD OF DIRECTORS

16.

Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof?

Yes [X] No []
17.

Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof?

Yes [X] No []
18.

Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person?

Yes [X] No []

FINANCIAL

19.

Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)?

Yes [] No [X]
- 20.1

Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):

20.11 To directors or other officers

\$

20.12 To stockholders not officers

\$

20.13 Trustees, supreme or grand (Fraternal Only)

\$
- 20.2

Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):

20.21 To directors or other officers

\$

20.22 To stockholders not officers

\$

20.23 Trustees, supreme or grand (Fraternal Only)

\$
- 21.1

Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement?

Yes [] No [X]
- 21.2

If yes, state the amount thereof at December 31 of the current year:

21.21 Rented from others

\$

21.22 Borrowed from others

\$

21.23 Leased from others

\$

21.24 Other

\$
- 22.1

Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments?

Yes [] No [X]
- 22.2

If answer is yes:

22.21 Amount paid as losses or risk adjustment

\$

22.22 Amount paid as expenses

\$

22.23 Other amounts paid

\$
- 23.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [] No [X]
- 23.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$
- 24.1

Does the insurer utilize third parties to pay agent commissions in which the amounts advanced by the third parties are not settled in full within 90 days?

Yes [] No [X]
- 24.2

If the response to 24.1 is yes, identify the third-party that pays the agents and whether they are a related party.

Name of Third-Party	Is the Third-Party Agent a Related Party (Yes/No)

INVESTMENT

- 25.01

Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 25.03)

Yes [X] No []

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

25.02 If no, give full and complete information relating thereto

25.03 For securities lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)

25.04 For the reporting entity's securities lending program, report amount of collateral for conforming programs as outlined in the Risk-Based Capital Instructions. \$

25.05 For the reporting entity's securities lending program, report amount of collateral for other programs. \$

25.06 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? Yes [] No [] N/A [X]

25.07 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? Yes [] No [] N/A [X]

25.08 Does the reporting entity or the reporting entity 's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? Yes [] No [] N/A [X]

25.09 For the reporting entity's securities lending program state the amount of the following as of December 31 of the current year:

25.091 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$

25.092 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$

25.093 Total payable for securities lending reported on the liability page. \$

26.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 25.03). Yes [X] No []

26.2 If yes, state the amount thereof at December 31 of the current year:

26.21 Subject to repurchase agreements \$

26.22 Subject to reverse repurchase agreements \$

26.23 Subject to dollar repurchase agreements \$

26.24 Subject to reverse dollar repurchase agreements \$

26.25 Placed under option agreements \$

26.26 Letter stock or securities restricted as to sale - excluding FHLB Capital Stock \$

26.27 FHLB Capital Stock \$

26.28 On deposit with states \$3,476,550

26.29 On deposit with other regulatory bodies \$

26.30 Pledged as collateral - excluding collateral pledged to an FHLB \$

26.31 Pledged as collateral to FHLB - including assets backing funding agreements \$

26.32 Other \$

26.3 For category (26.26) provide the following:

1	2	3
Nature of Restriction	Description	Amount

27.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes [] No [X]

27.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [] N/A [X]
If no, attach a description with this statement.

LINES 27.3 through 27.5: FOR LIFE/FRATERNAL REPORTING ENTITIES ONLY:

27.3 Does the reporting entity utilize derivatives to hedge variable annuity guarantees subject to fluctuations as a result of interest rate sensitivity? .. Yes [] No [X]

27.4 If the response to 27.3 is YES, does the reporting entity utilize:

27.41 Special accounting provision of SSAP No. 108 Yes [] No []

27.42 Permitted accounting practice Yes [] No []

27.43 Other accounting guidance Yes [] No []

27.5 By responding YES to 27.41 regarding utilizing the special accounting provisions of SSAP No. 108, the reporting entity attests to the following:

The reporting entity has obtained explicit approval from the domiciliary state.

Hedging strategy subject to the special accounting provisions is consistent with the requirements of VM-21.

Actuarial certification has been obtained which indicates that the hedging strategy is incorporated within the establishment of VM-21 reserves and provides the impact of the hedging strategy within the Actuarial Guideline Conditional Tail Expectation Amount.

Financial Officer Certification has been obtained which indicates that the hedging strategy meets the definition of a Clearly Defined Hedging Strategy within VM-21 and that the Clearly Defined Hedging Strategy is the hedging strategy being used by the company in its actual day-to-day risk mitigation efforts.

28.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes [] No [X]

28.2 If yes, state the amount thereof at December 31 of the current year. \$

29. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?..... Yes [X] No []

29.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1	2
Name of Custodian(s)	Custodian's Address
JP Morgan Chase	270 Park Avenue, New York, NY 10017
The Northern Trust Company	50 S LaSalle Street, Chicago, IL 60603

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

29.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

29.03 Have there been any changes, including name changes, in the custodian(s) identified in 29.01 during the current year?..... Yes [] No [X]

29.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

29.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
NYL Investors LLC	A.....

29.0597 For those firms/individuals listed in the table for Question 29.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [] No [X]

29.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 29.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [] No [X]

29.06 For those firms or individuals listed in the table for 29.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
169553	NYL Investors LLC	5493000EG09W0QURS721	SEC	DS.....

30.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? Yes [] No [X]

30.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
30.2999 - Total		

30.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

31. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1	2	3
	Statement (Admitted) Value	Fair Value	Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
31.1 Bonds	142,134,793	146,376,961	4,242,168
31.2 Preferred stocks			
31.3 Totals	142,134,793	146,376,961	4,242,168

31.4 Describe the sources or methods utilized in determining the fair values:
See Note 20

32.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes [X] No []

32.2 If the answer to 32.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes [] No [X]

32.3 If the answer to 32.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:
Independent pricing vendors are used to value Schedule D assets. The broker quotes are used on a limited basis from approved brokers when independent pricing vendors do not provide quotes.

33.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

33.2 If no, list exceptions:
.....

34. By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI security:
a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
b. Issuer or obligor is current on all contracted interest and principal payments.
c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
Has the reporting entity self-designated 5GI securities? Yes [] No [X]

35. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
a. The security was purchased prior to January 1, 2018.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
Has the reporting entity self-designated PLGI securities? Yes [] No [X]

36. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
a. The shares were purchased prior to January 1, 2019.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
d. The fund only or predominantly holds bonds in its portfolio.
e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

37. By rolling/renewing short-term or cash equivalent investments with continued reporting on Schedule DA, Part 1 or Schedule E Part 2 (identified through a code (%) in those investment schedules), the reporting entity is certifying to the following:
a. The investment is a liquid asset that can be terminated by the reporting entity on the current maturity date.
b. If the investment is with a nonrelated party or nonaffiliate, then it reflects an arms-length transaction with renewal completed at the discretion of all involved parties.
c. If the investment is with a related party or affiliate, then the reporting entity has completed robust re-underwriting of the transaction for which documentation is available for regulator review.
d. Short-term and cash equivalent investments that have been renewed/rolled from the prior period that do not meet the criteria in 37.a - 37.c are reported as long-term investments.
Has the reporting entity rolled/renewed short-term or cash equivalent investments in accordance with these criteria? Yes [X] No [] N/A []

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

OTHER

38.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any?\$

38.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid
.....

39.1 Amount of payments for legal expenses, if any?\$

39.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
.....

40.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any?\$

40.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid
.....

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

Life, Accident and Health Companies/Fraternal Benefit Societies:

1.1

Does the reporting entity have any direct Medicare Supplement Insurance in force?

Yes [] No [X]

1.2

If yes, indicate premium earned on U.S. business only

\$

1.3

What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit?

\$

1.31

Reason for excluding:

1.4

Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above.

\$

1.5

Indicate total incurred claims on all Medicare Supplement insurance.

\$

1.6

Individual policies:

Most current three years:

1.61

Total premium earned

\$

1.62

Total incurred claims

\$

1.63

Number of covered lives

All years prior to most current three years

1.64

Total premium earned

\$

1.65

Total incurred claims

\$

1.66

Number of covered lives

1.7

Group policies:

Most current three years:

1.71

Total premium earned

\$

1.72

Total incurred claims

\$

1.73

Number of covered lives

All years prior to most current three years

1.74

Total premium earned

\$

1.75

Total incurred claims

\$

1.76

Number of covered lives

2.

Health Test:

1

Current Year

2

Prior Year

2.1

Premium Numerator

2.2

Premium Denominator

3,712,198

8,819,721

2.3

Premium Ratio (2.1/2.2)

0.000

0.000

2.4

Reserve Numerator

2.5

Reserve Denominator

40,399,437

41,780,477

2.6

Reserve Ratio (2.4/2.5)

0.000

0.000

3.1

Does this reporting entity have Separate Accounts?

Yes [] No [X]

3.2

If yes, has a Separate Accounts Statement been filed with this Department?

Yes [] No [] N/A [X]

3.3

What portion of capital and surplus funds of the reporting entity covered by assets in the Separate Accounts statement, is not currently distributable from the Separate Accounts to the general account for use by the general account?

\$

3.4

State the authority under which Separate Accounts are maintained:

3.5

Was any of the reporting entity's Separate Accounts business reinsured as of December 31?

Yes [] No [X]

3.6

Has the reporting entity assumed by reinsurance any Separate Accounts business as of December 31?

Yes [] No [X]

3.7

If the reporting entity has assumed Separate Accounts business, how much, if any, reinsurance assumed receivable for reinsurance of Separate Accounts reserve expense allowances is included as a negative amount in the liability for "Transfers to Separate Accounts due or accrued (net)"?

\$

4.

For reporting entities having sold annuities to another insurer where the insurer purchasing the annuities has obtained a release of liability from the claimant (payee) as the result of the purchase of an annuity from the reporting entity only:

4.1

Amount of loss reserves established by these annuities during the current year:

\$

4.2

List the name and location of the insurance company purchasing the annuities and the statement value on the purchase date of the annuities.

1	2
P&C Insurance Company And Location	Statement Value on Purchase Date of Annuities (i.e., Present Value)

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

- 5.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 5.2 If yes, please provide the amount of custodial funds held as of the reporting date.\$
- 5.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 5.4 If yes, please provide the balance of funds administered as of the reporting date.\$
- 6.1 Are any of the captive affiliates reported on Schedule S, Part 3, authorized reinsurers? Yes [] No [] N/A [X]
- 6.2 If the answer to 6.1 is yes, please provide the following:

1 Company Name	2 NAIC Company Code	3 Domiciliary Jurisdiction	4 Reserve Credit	Assets Supporting Reserve Credit		
				5 Letters of Credit	6 Trust Agreements	7 Other

7. Provide the following for individual ordinary life insurance* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded):
- 7.1 Direct Premium Written\$17,074,266
- 7.2 Total Incurred Claims\$17,535,576
- 7.3 Number of Covered Lives8,028

*Ordinary Life Insurance Includes
Term (whether full underwriting,limited underwriting,jet issue,"short form app")
Whole Life (whether full underwriting,limited underwriting,jet issue,"short form app")
Variable Life (with or without secondary gurarantee)
Universal Life (with or without secondary gurarantee)
Variable Universal Life (with or without secondary gurarantee)

8. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []
- 8.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

Life, Accident and Health Companies Only:

- 9.1 Are personnel or facilities of this reporting entity used by another entity or entities or are personnel or facilities of another entity or entities used by this reporting entity (except for activities such as administration of jointly underwritten group contracts and joint mortality or morbidity studies)? Yes [X] No []
- 9.2 Net reimbursement of such expenses between reporting entities:

9.21 Paid\$2,935,814

9.22 Received\$
- 10.1 Does the reporting entity write any guaranteed interest contracts? Yes [] No [X]
- 10.2 If yes, what amount pertaining to these lines is included in:

10.21 Page 3, Line 1\$

10.22 Page 4, Line 1\$
11. For stock reporting entities only:
- 11.1 Total amount paid in by stockholders as surplus funds since organization of the reporting entity:\$98,500,000
12. Total dividends paid stockholders since organization of the reporting entity:

12.11 Cash\$15,000,000

12.12 Stock\$
- 13.1 Does the reporting entity reinsure any Workers' Compensation Carve-Out business defined as: Yes [] No [X]
Reinsurance (including retrocessional reinsurance) assumed by life and health insurers of medical, wage loss and death benefits of the occupational illness and accident exposures, but not the employers liability exposures, of business originally written as workers' compensation insurance.
- 13.2 If yes, has the reporting entity completed the Workers' Compensation Carve-Out Supplement to the Annual Statement? Yes [] No []
- 13.3 If 13.1 is yes, the amounts of earned premiums and claims incurred in this statement are:

	1 Reinsurance Assumed	2 Reinsurance Ceded	3 Net Retained
13.31 Earned premium			
13.32 Paid claims			
13.33 Claim liability and reserve (beginning of year)			
13.34 Claim liability and reserve (end of year)			
13.35 Incurred claims			

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

13.4 If reinsurance assumed included amounts with attachment points below \$1,000,000, the distribution of the amounts reported in Lines 13.31 and 13.34 for Column (1) are:

	Attachment Point	1 Earned Premium	2 Claim Liability and Reserve
13.41	<\$25,000
13.42	\$25,000 - 99,999
13.43	\$100,000 - 249,999
13.44	\$250,000 - 999,999
13.45	\$1,000,000 or more

13.5 What portion of earned premium reported in 13.31, Column 1 was assumed from pools?\$

Fraternal Benefit Societies Only:

14. Is the reporting entity organized and conducted on the lodge system, with ritualistic form of work and representative form of government?

15. How often are meetings of the subordinate branches required to be held?
.....

16. How are the subordinate branches represented in the supreme or governing body?
.....

17. What is the basis of representation in the governing body?
.....

18.1 How often are regular meetings of the governing body held?
.....

18.2 When was the last regular meeting of the governing body held?

18.3 When and where will the next regular or special meeting of the governing body be held?
.....

18.4 How many members of the governing body attended the last regular meeting?

18.5 How many of the same were delegates of the subordinate branches?

19. How are the expenses of the governing body defrayed?
.....

20. When and by whom are the officers and directors elected?
.....

21. What are the qualifications for membership?
.....

22. What are the limiting ages for admission?
.....

23. What is the minimum and maximum insurance that may be issued on any one life?
.....

24. Is a medical examination required before issuing a benefit certificate to applicants?

25. Are applicants admitted to membership without filing an application with and becoming a member of a local branch by ballot and initiation?

26.1 Are notices of the payments required sent to the members? Yes [] No [] N/A []

26.2 If yes, do the notices state the purpose for which the money is to be used? Yes [] No []

27. What proportion of first and subsequent year's payments may be used for management expenses?
27.11 First Year %
27.12 Subsequent Years %

28.1 Is any part of the mortuary, disability, emergency or reserve fund, or the accretions from or payments for the same, used for expenses? Yes [] No []

28.2 If so, what amount and for what purpose? \$

29.1 Does the reporting entity pay an old age disability benefit?

29.2 If yes, at what age does the benefit commence?

30.1 Has the constitution or have the laws of the reporting entity been amended during the year?

30.2 If yes, when?
.....

31. Have you filed with this Department all forms of benefit certificates issued, a copy of the constitution and all of the laws, rules and regulations in force at the present time?

32.1 State whether all or a portion of the regular insurance contributions were waived during the current year under premium-paying certificates on account of meeting attained age or membership requirements?

32.2 If so, was an additional reserve included in Exhibit 5? Yes [] No [] N/A []

32.3 If yes, explain
.....

33.1 Has the reporting entity reinsured, amalgamated with, or absorbed any company, order, society, or association during the year?

33.2 If yes, was there any contract agreement, or understanding, written or oral, expressed or implied, by means of which any officer, director, trustee, or any other person, or firm, corporation, society or association, received or is to receive any fee, commission, emolument, or compensation of any nature whatsoever in connection with, on an account of such reinsurance, amalgamation, absorption, or transfer of membership or funds? Yes [] No [] N/A []

34. Has any present or former officer, director, trustee, incorporator, or any other persons, or any firm, corporation, society or association, any claims of any nature whatsoever against this reporting entity, which is not included in the liabilities on Page 3 of this statement?

35.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?

35.2 If yes, what is the date of the original lien and the total outstanding balance of liens that remain in surplus?
- | Date | Outstanding Lien Amount |
|-------|-------------------------|
| | |
- 21.2

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

FIVE-YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.
\$000 omitted for amounts of life insurance

	1 2021	2 2020	3 2019	4 2018	5 2017
Life Insurance in Force (Exhibit of Life Insurance)					
1. Ordinary - whole life and endowment (Line 34, Col. 4)					
2. Ordinary - term (Line 21, Col. 4, less Line 34, Col. 4)	2,642,723	3,461,651	5,418,360	7,709,837	10,084,357
3. Credit life (Line 21, Col. 6)					
4. Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4)					
5. Industrial (Line 21, Col. 2)					
6. FEGLI/SGLI (Lines 43 & 44, Col. 4)					
7. Total (Line 21, Col. 10)	2,642,723	3,461,651	5,418,360	7,709,837	10,084,357
7.1 Total in force for which VM-20 deterministic/stochastic reserves are calculated					
New Business Issued (Exhibit of Life Insurance)					
8. Ordinary - whole life and endowment (Line 34, Col. 2)					
9. Ordinary - term (Line 2, Col. 4, less Line 34, Col. 2)					
10. Credit life (Line 2, Col. 6)					
11. Group (Line 2, Col. 9)					
12. Industrial (Line 2, Col. 2)					
13. Total (Line 2, Col. 10)					
Premium Income - Lines of Business (Exhibit 1 - Part 1)					
14. Industrial life (Line 20.4, Col. 2)					
15.1 Ordinary-life insurance (Line 20.4, Col. 3)	3,712,198	8,819,721	11,363,517	13,673,636	14,794,902
15.2 Ordinary-individual annuities (Line 20.4, Col. 4)					
16. Credit life (group and individual) (Line 20.4, Col. 5)					
17.1 Group life insurance (Line 20.4, Col. 6)					
17.2 Group annuities (Line 20.4, Col. 7)					
18.1 A & H-group (Line 20.4, Col. 8)					
18.2 A & H-credit (group and individual) (Line 20.4, Col. 9)					
18.3 A & H-other (Line 20.4, Col. 10)					
19. Aggregate of all other lines of business (Line 20.4, Col. 11)					
20. Total	3,712,198	8,819,721	11,363,517	13,673,636	14,794,902
Balance Sheet (Pages 2 & 3)					
21. Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3)	159,007,078	158,159,399	163,796,926	176,583,174	177,178,291
22. Total liabilities excluding Separate Accounts business (Page 3, Line 26)	49,885,575	48,500,216	55,654,713	63,153,822	66,875,028
23. Aggregate life reserves (Page 3, Line 1)	44,746,385	43,979,559	51,282,353	57,904,772	59,478,352
23.1 Excess VM-20 deterministic/stochastic reserve over NPR related to Line 7.1					
24. Aggregate A & H reserves (Page 3, Line 2)					
25. Deposit-type contract funds (Page 3, Line 3)	929,504	1,128,699	1,290,930	1,393,705	1,712,944
26. Asset valuation reserve (Page 3, Line 24.01)	544,732	512,526	474,079	479,616	475,074
27. Capital (Page 3, Lines 29 and 30)	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
28. Surplus (Page 3, Line 37)	106,621,503	107,159,183	105,642,213	110,929,352	107,803,263
Cash Flow (Page 5)					
29. Net Cash from Operations (Line 11)	1,491,068	3,566,922	(8,129)	2,399,070	(1,987,926)
Risk-Based Capital Analysis					
30. Total adjusted capital	109,666,235	110,171,709	108,616,292	113,908,968	110,778,337
31. Authorized control level risk - based capital	1,182,407	1,260,841	1,869,395	2,647,764	2,942,523
Percentage Distribution of Cash, Cash Equivalents and Invested Assets (Page 2, Col. 3) (Line No. /Page 2, Line 12, Col. 3) x 100.0					
32. Bonds (Line 1)	97.1	99.0	96.9	97.4	97.9
33. Stocks (Lines 2.1 and 2.2)					
34. Mortgage loans on real estate(Lines 3.1 and 3.2)					
35. Real estate (Lines 4.1, 4.2 and 4.3)					
36. Cash, cash equivalents and short-term investments (Line 5)	2.9	1.0	3.1	2.6	2.1
37. Contract loans (Line 6)					
38. Derivatives (Page 2, Line 7)					
39. Other invested assets (Line 8)					
40. Receivables for securities (Line 9)					0.0
41. Securities lending reinvested collateral assets (Line 10)					
42. Aggregate write-ins for invested assets (Line 11)					
43. Cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0

FIVE-YEAR HISTORICAL DATA

(Continued)

	1 2021	2 2020	3 2019	4 2018	5 2017
Investments in Parent, Subsidiaries and Affiliates					
44. Affiliated bonds (Schedule D Summary, Line 12, Col. 1)					
45. Affiliated preferred stocks (Schedule D Summary, Line 18, Col. 1)					
46. Affiliated common stocks (Schedule D Summary Line 24, Col. 1),					
47. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10)					
48. Affiliated mortgage loans on real estate					
49. All other affiliated					
50. Total of above Lines 44 to 49					
51. Total Investment in Parent included in Lines 44 to 49 above					
Total Nonadmitted and Admitted Assets					
52. Total nonadmitted assets (Page 2, Line 28, Col. 2)	174,573	951,283	622,282	655,802	1,627,964
53. Total admitted assets (Page 2, Line 28, Col. 3)	159,007,078	158,159,399	163,796,926	176,583,174	177,178,291
Investment Data					
54. Net investment income (Exhibit of Net Investment Income)	4,076,138	4,224,619	4,534,074	4,488,170	4,496,365
55. Realized capital gains (losses) (Page 4, Line 34, Column 1)	(5,252)	2,027	8,501	(1,181)	22,319
56. Unrealized capital gains (losses) (Page 4, Line 38, Column 1)					5,178
57. Total of above Lines 54, 55 and 56	4,070,886	4,226,646	4,542,575	4,486,989	4,523,862
Benefits and Reserve Increases (Page 6)					
58. Total contract/certificate benefits - life (Lines 10, 11, 12, 13, 14 and 15, Col. 1 minus Lines 10, 11,12, 13, 14 and 15, Cols. 6, 7 and 8)	7,211,231	5,544,167	9,415,832	9,795,039	11,824,532
59. Total contract/certificate benefits - A & H (Lines 13 & 14, Col. 6)					
60. Increase in life reserves - other than group and annuities (Line 19, Col. 2)	766,826	(7,302,794)	(6,622,419)	(1,573,580)	(10,856,338)
61. Increase in A & H reserves (Line 19, Col. 6)					
62. Dividends to policyholders and refunds to members (Line 30, Col. 1)					
Operating Percentages					
63. Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23, less Line 6)/(Page 6, Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.0	54.4	18.6	14.7	17.5	18.6
64. Lapse percent (ordinary only) [(Exhibit of Life Insurance, Col. 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Col. 4, Lines 1 & 21)] x 100.0	23.8	38.8	29.2	21.8	17.6
65. A & H loss percent (Schedule H, Part 1, Lines 5 and 6, Col. 2)					
66. A & H cost containment percent (Schedule H, Pt. 1, Line 4, Col. 2)					
67. A & H expense percent excluding cost containment expenses (Schedule H, Pt. 1, Line 10, Col. 2)					
A & H Claim Reserve Adequacy					
68. Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1 Col. 2)					
69. Prior years' claim liability and reserve - group health (Schedule H, Part 3, Line 3.2 Col. 2)					
70. Incurred losses on prior years' claims-health other than group (Schedule H, Part 3, Line 3.1 Col. 1 less Col. 2)					
71. Prior years' claim liability and reserve-health other than group (Schedule H, Part 3, Line 3.2 Col. 1 less Col. 2)					
Net Gains From Operations After Dividends to Policyholders/Members' Refunds and Federal Income Taxes by Lines of Business (Page 6.x, Line 33)					
72. Industrial life (Page 6.1, Col. 2)					
73. Ordinary - life (Page 6.1, Col. 1 less Cols. 2, 10 and 12)	(1,980,097)	7,258,023	4,709,940	2,906,772	9,882,492
74. Ordinary - individual annuities (Page 6, Col. 4)					
75. Ordinary-supplementary contracts	XXX	XXX	XXX	(7,707)	(2,340)
76. Credit life (Page 6.1, Col. 10 plus Page 6.2, Col. 7)					
77. Group life (Page 6.2, Col. 1 Less Cols. 7 and 9)					
78. Group annuities (Page 6, Col. 5)					
79. A & H-group (Page 6.5, Col. 3)					
80. A & H-credit (Page 6.5, Col. 10)					
81. A & H-other (Page 6.5, Col. 1 less Cols. 3 and 10)					
82. Aggregate of all other lines of business (Page 6, Col. 8)					
83. Fraternal (Page 6, Col. 7)					
84. Total (Page 6, Col. 1)	(1,980,097)	7,258,023	4,709,940	2,899,065	9,880,152

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [] No []

If no, please explain:

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT OF LIFE INSURANCE

(\$000 Omitted for Amounts of Life Insurance)

	Industrial		Ordinary		Credit Life (Group and Individual)		Group			10
	1	2	3	4	5	6	Number of		9	Total Amount of Insurance
	Number of Policies	Amount of Insurance	Number of Policies	Amount of Insurance	Number of Individual Policies and Group Certificates	Amount of Insurance	7 Policies	8 Certificates	Amount of Insurance	
1. In force end of prior year			9,806	3,461,651						3,461,651
2. Issued during year										
3. Reinsurance assumed										
4. Revived during year			25	(2,175)						(2,175)
5. Increased during year (net)										
6. Subtotals, Lines 2 to 5			25	(2,175)						(2,175)
7. Additions by dividends during year	XXX		XXX		XXX		XXX	XXX		
8. Aggregate write-ins for increases										
9. Totals (Lines 1 and 6 to 8)			9,831	3,459,476						3,459,476
Deductions during year:										
10. Death			57	17,335			XXX			17,335
11. Maturity							XXX			
12. Disability							XXX			
13. Expiry										
14. Surrender			250	87,112						87,112
15. Lapse			1,343	638,546						638,546
16. Conversion			125	67,155			XXX	XXX	XXX	67,155
17. Decreased (net)										
18. Reinsurance			13	6,605						6,605
19. Aggregate write-ins for decreases										
20. Totals (Lines 10 to 19)			1,788	816,753						816,753
21. In force end of year (b) (Line 9 minus Line 20)			8,043	2,642,723						2,642,723
22. Reinsurance ceded end of year	XXX		XXX	1,754,848	XXX		XXX	XXX		1,754,848
23. Line 21 minus Line 22	XXX		XXX	887,875	XXX	(a)	XXX	XXX		887,875
DETAILS OF WRITE-INS										
0801.										
0802.										
0803.										
0898. Summary of remaining write-ins for Line 8 from overflow page.										
0899. TOTALS (Lines 0801 thru 0803 plus 0898) (Line 8 above)										
1901.										
1902.										
1903.										
1998. Summary of remaining write-ins for Line 19 from overflow page.										
1999. TOTALS (Lines 1901 thru 1903 plus 1998) (Line 19 above)										

Life, Accident and Health Companies Only:

(a) Group \$; Individual \$

Fraternal Benefit Societies Only:

(b) Paid-up insurance included in the final totals of Line 21 (including additions to certificates) number of certificates , Amount \$

Additional accidental death benefits included in life certificates were in amount \$, Does the society collect any contributions from members for general expenses of the society under fully paid-up certificates? Yes [] No []

If not, how are such expenses met?

.....

EXHIBIT OF LIFE INSURANCE

(\$000 Omitted for Amounts of Life Insurance) (Continued)
ADDITIONAL INFORMATION ON INSURANCE IN FORCE END OF YEAR

	Industrial		Ordinary	
	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance
24. Additions by dividends	XXX		XXX	
25. Other paid-up insurance				
26. Debit ordinary insurance	XXX			

ADDITIONAL INFORMATION ON ORDINARY INSURANCE

Term Insurance Excluding Extended Term Insurance	Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance
27. Term policies - decreasing				
28. Term policies - other			8,043	2,642,723
29. Other term insurance - decreasing	XXX		XXX	
30. Other term insurance	XXX		XXX	
31. Totals (Lines 27 to 30)			8,043	2,642,723
Reconciliation to Lines 2 and 21:				
32. Term additions	XXX		XXX	
33. Totals, extended term insurance	XXX	XXX		
34. Totals, whole life and endowment				
35. Totals (Lines 31 to 34)			8,043	2,642,723

CLASSIFICATION OF AMOUNT OF INSURANCE BY PARTICIPATING STATUS

	Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	1 Non-Participating	2 Participating	3 Non-Participating	4 Participating
36. Industrial				
37. Ordinary			2,642,723	
38. Credit Life (Group and Individual)				
39. Group				
40. Totals (Lines 36 to 39)			2,642,723	

ADDITIONAL INFORMATION ON CREDIT LIFE AND GROUP INSURANCE

	Credit Life		Group	
	1 Number of Individual Policies or Group Certificates	2 Amount of Insurance	3 Number of Certificates	4 Amount of Insurance
41. Amount of insurance included in Line 2 ceded to other companies	XXX		XXX	
42. Number in force end of year if the number under insured groups is limited on a pro-rata basis				XXX
43. Federal Employees' Group Life Insurance included in Line 21				
44. Servicemen's Group Life Insurance included in Line 21				
45. Group Permanent Insurance included in Line 21				

ADDITIONAL ACCIDENTAL DEATH BENEFITS

46. Amount of additional accidental death benefits in force end of year under ordinary policies	17,050
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BASIS OF CALCULATION OF ORDINARY TERM INSURANCE

47. State basis of calculation of (47.1) decreasing term insurance contained in Family Income Mortgage Protection, etc., policies and riders and of (47.2) term insurance on wife and children under Family, Parent and Child, etc., policies and riders included above	
47.1	
47.2	

POLICIES WITH DISABILITY PROVISIONS

	Industrial		Ordinary		Credit		Group	
	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance	5 Number of Policies	6 Amount of Insurance	7 Number of Certificates	8 Amount of Insurance
48. Waiver of Premium			4,480	1,538,156				
49. Disability Income								
50. Extended Benefits			XXX	XXX				
51. Other								
52. Total		(a)	4,480	(a) 1,538,156		(a)		(a)

(a) See the Annual Audited Financial Reports section of the annual statement instructions

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES

SUPPLEMENTARY CONTRACTS				
	Ordinary		Group	
	1 Involving Life Contingencies	2 Not Involving Life Contingencies	3 Involving Life Contingencies	4 Not Involving Life Contingencies
1. In force end of prior year		6		
2. Issued during year				
3. Reinsurance assumed				
4. Increased during year (net)				
5. Total (Lines 1 to 4)		6		
Deductions during year:				
6. Decreased (net)				
7. Reinsurance ceded				
8. Totals (Lines 6 and 7)				
9. In force end of year (line 5 minus line 8)		6		
10. Amount on deposit		(a) 929,504		(a)
11. Income now payable				
12. Amount of income payable	(a)	(a)	(a)	(a)

ANNUITIES				
	Ordinary		Group	
	1 Immediate	2 Deferred	3 Contracts	4 Certificates
1. In force end of prior year				
2. Issued during year				
3. Reinsurance assumed				
4. Increased during year (net)				
5. Totals (Lines 1 to 4)				
Deductions during year:				
6. Decreased (net)				
7. Reinsurance ceded				
8. Totals (Lines 6 and 7)				
9. In force end of year (line 5 minus line 8)				
Income now payable:				
10. Amount of income payable	(a)	XXX	XXX	(a)
Deferred fully paid:				
11. Account balance	XXX	(a)	XXX	(a)
Deferred not fully paid:				
12. Account balance	XXX	(a)	XXX	(a)

ACCIDENT AND HEALTH INSURANCE						
	Group		Credit		Other	
	1 Certificates	2 Premiums in Force	3 Policies	4 Premiums in Force	5 Policies	6 Premiums in Force
1. In force end of prior year						
2. Issued during year						
3. Reinsurance assumed						
4. Increased during year (net)		XXX		XXX		XXX
5. Totals (Lines 1 to 4)		XXX		XXX		XXX
Deductions during year:						
6. Conversions		XXX	XXX	XXX	XXX	XXX
7. Decreased (net)		XXX		XXX		XXX
8. Reinsurance ceded		XXX				XXX
9. Totals (Lines 6 to 8)		XXX		XXX		XXX
10. In force end of year (line 5 minus line 9)		(a)		(a)		(a)

DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS		
	1	2
	Deposit Funds Contracts	Dividend Accumulations Contracts
1. In force end of prior year		
2. Issued during year		
3. Reinsurance assumed		
4. Increased during year (net)		
5. Totals (Lines 1 to 4)		
Deductions During Year:		
6. Decreased (net)		
7. Reinsurance ceded		
8. Totals (Lines 6 and 7)		
9. In force end of year (line 5 minus line 8)		
10. Amount of account balance	(a)	(a)

(a) See the Annual Audited Financial Reports section of the annual statement instructions.

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE

INTEREST MAINTENANCE RESERVE		1
		Amount
1.	Reserve as of December 31, Prior Year	442,061
2.	Current year's realized pre-tax capital gains/(losses) of \$90,006 transferred into the reserve net of taxes of \$18,901	71,106
3.	Adjustment for current year's liability gains/(losses) released from the reserve	
4.	Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3)	513,167
5.	Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4)	151,082
6.	Reserve as of December 31, current year (Line 4 minus Line 5)	362,085

AMORTIZATION				
	1	2	3	4
Year of Amortization	Reserve as of December 31, Prior Year	Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	Balance Before Reduction for Current Year's Amortization (Cols. 1 + 2 + 3)
1. 2021	110,014	41,068		151,082
2. 2022	62,178	9,559		71,737
3. 2023	50,291	8,822		59,113
4. 2024	40,487	6,406		46,893
5. 2025	30,979	3,921		34,900
6. 2026	29,077	1,330		30,407
7. 2027	29,100			29,100
8. 2028	24,756			24,756
9. 2029	20,147			20,147
10. 2030	15,066			15,066
11. 2031	11,357			11,357
12. 2032	8,626			8,626
13. 2033	5,602			5,602
14. 2034	2,591			2,591
15. 2035	806			806
16. 2036	553			553
17. 2037	259			259
18. 2038	95			95
19. 2039	58			58
20. 2040	19			19
21. 2041				
22. 2042				
23. 2043				
24. 2044				
25. 2045				
26. 2046				
27. 2047				
28. 2048				
29. 2049				
30. 2050				
31. 2051 and Later				
32. Total (Lines 1 to 31)	442,061	71,106		513,167

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

ASSET VALUATION RESERVE

	Default Component			Equity Component			7 Total Amount (Cols. 3 + 6)
	1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1 + 2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4 + 5)	
1. Reserve as of December 31, prior year	512,525		512,525				512,526
2. Realized capital gains/(losses) net of taxes - General Account	3,134		3,134				3,134
3. Realized capital gains/(losses) net of taxes - Separate Accounts							
4. Unrealized capital gains/(losses) net of deferred taxes - General Account							
5. Unrealized capital gains/(losses) net of deferred taxes - Separate Accounts							
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves							
7. Basic contribution	92,700		92,700				92,700
8. Accumulated balances (Lines 1 through 5 - 6 + 7)	608,359		608,359				608,359
9. Maximum reserve	551,850		551,850				551,850
10. Reserve objective	290,222		290,222				290,222
11. 20% of (Line 10 - Line 8)	(63,628)		(63,628)				(63,628)
12. Balance before transfers (Lines 8 + 11)	544,732		544,732				544,732
13. Transfers							
14. Voluntary contribution							
15. Adjustment down to maximum/up to zero							
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	544,732		544,732				544,732

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
LONG-TERM BONDS												
1.		Exempt Obligations	10,363,402	XXX	XXX	10,363,402	0.0000		0.0000		0.0000	
2.1	1	NAIC Designation Category 1.A	21,946,476	XXX	XXX	21,946,476	0.0005	10,973	0.0016	35,114	0.0033	72,423
2.2	1	NAIC Designation Category 1.B	1,373,081	XXX	XXX	1,373,081	0.0005	687	0.0016	2,197	0.0033	4,531
2.3	1	NAIC Designation Category 1.C	1,251,623	XXX	XXX	1,251,623	0.0005	626	0.0016	2,003	0.0033	4,130
2.4	1	NAIC Designation Category 1.D	8,239,077	XXX	XXX	8,239,077	0.0005	4,120	0.0016	13,183	0.0033	27,189
2.5	1	NAIC Designation Category 1.E	17,089,342	XXX	XXX	17,089,342	0.0005	8,545	0.0016	27,343	0.0033	56,395
2.6	1	NAIC Designation Category 1.F	30,975,795	XXX	XXX	30,975,795	0.0005	15,488	0.0016	49,561	0.0033	102,220
2.7	1	NAIC Designation Category 1.G	30,451,832	XXX	XXX	30,451,832	0.0005	15,226	0.0016	48,723	0.0033	100,491
2.8		Subtotal NAIC 1 (2.1+2.2+2.3+2.4+2.5+2.6+2.7)	111,327,226	XXX	XXX	111,327,226	XXX	55,664	XXX	178,124	XXX	367,380
3.1	2	NAIC Designation Category 2.A	13,602,179	XXX	XXX	13,602,179	0.0021	28,565	0.0064	87,054	0.0106	144,183
3.2	2	NAIC Designation Category 2.B	2,091,839	XXX	XXX	2,091,839	0.0021	4,393	0.0064	13,388	0.0106	22,173
3.3	2	NAIC Designation Category 2.C	999,363	XXX	XXX	999,363	0.0021	2,099	0.0064	6,396	0.0106	10,593
3.4		Subtotal NAIC 2 (3.1+3.2+3.3)	16,693,381	XXX	XXX	16,693,381	XXX	35,056	XXX	106,838	XXX	176,950
4.1	3	NAIC Designation Category 3.A		XXX	XXX		0.0099		0.0263		0.0376	
4.2	3	NAIC Designation Category 3.B	200,016	XXX	XXX	200,016	0.0099	1,980	0.0263	5,260	0.0376	7,521
4.3	3	NAIC Designation Category 3.C		XXX	XXX		0.0099		0.0263		0.0376	
4.4		Subtotal NAIC 3 (4.1+4.2+4.3)	200,016	XXX	XXX	200,016	XXX	1,980	XXX	5,260	XXX	7,521
5.1	4	NAIC Designation Category 4.A		XXX	XXX		0.0245		0.0572		0.0817	
5.2	4	NAIC Designation Category 4.B		XXX	XXX		0.0245		0.0572		0.0817	
5.3	4	NAIC Designation Category 4.C		XXX	XXX		0.0245		0.0572		0.0817	
5.4		Subtotal NAIC 4 (5.1+5.2+5.3)		XXX	XXX		XXX		XXX		XXX	
6.1	5	NAIC Designation Category 5.A		XXX	XXX		0.0630		0.1128		0.1880	
6.2	5	NAIC Designation Category 5.B		XXX	XXX		0.0630		0.1128		0.1880	
6.3	5	NAIC Designation Category 5.C		XXX	XXX		0.0630		0.1128		0.1880	
6.4		Subtotal NAIC 5 (6.1+6.2+6.3)		XXX	XXX		XXX		XXX		XXX	
7.	6	NAIC 6		XXX	XXX		0.0000		0.2370		0.2370	
8.		Total Unrated Multi-class Securities Acquired by Conversion		XXX	XXX		XXX		XXX		XXX	
9.		Total Long-Term Bonds (1+2.8+3.4+4.4+5.4+6.4+7+8)	138,584,025	XXX	XXX	138,584,025	XXX	92,700	XXX	290,222	XXX	551,850
PREFERRED STOCKS												
10.	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
11.	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
12.	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
13.	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
14.	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
15.	6	In or Near Default		XXX	XXX		0.0000		0.2370		0.2370	
16.		Affiliated Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
17.		Total Preferred Stocks (Sum of Lines 10 through 16)		XXX	XXX		XXX		XXX		XXX	

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
SHORT-TERM BONDS												
18.		Exempt Obligations	1,406,887	XXX	XXX	1,406,887	0.0000		0.0000		0.0000	
19.1	1	NAIC Designation Category 1.A		XXX	XXX		0.0005		0.0016		0.0033	
19.2	1	NAIC Designation Category 1.B		XXX	XXX		0.0005		0.0016		0.0033	
19.3	1	NAIC Designation Category 1.C		XXX	XXX		0.0005		0.0016		0.0033	
19.4	1	NAIC Designation Category 1.D		XXX	XXX		0.0005		0.0016		0.0033	
19.5	1	NAIC Designation Category 1.E		XXX	XXX		0.0005		0.0016		0.0033	
19.6	1	NAIC Designation Category 1.F		XXX	XXX		0.0005		0.0016		0.0033	
19.7	1	NAIC Designation Category 1.G		XXX	XXX		0.0005		0.0016		0.0033	
19.8		Subtotal NAIC 1 (19.1+19.2+19.3+19.4+19.5+19.6+19.7)		XXX	XXX		XXX		XXX		XXX	
20.1	2	NAIC Designation Category 2.A		XXX	XXX		0.0021		0.0064		0.0106	
20.2	2	NAIC Designation Category 2.B		XXX	XXX		0.0021		0.0064		0.0106	
20.3	2	NAIC Designation Category 2.C		XXX	XXX		0.0021		0.0064		0.0106	
20.4		Subtotal NAIC 2 (20.1+20.2+20.3)		XXX	XXX		XXX		XXX		XXX	
21.1	3	NAIC Designation Category 3.A		XXX	XXX		0.0099		0.0263		0.0376	
21.2	3	NAIC Designation Category 3.B		XXX	XXX		0.0099		0.0263		0.0376	
21.3	3	NAIC Designation Category 3.C		XXX	XXX		0.0099		0.0263		0.0376	
21.4		Subtotal NAIC 3 (21.1+21.2+21.3)		XXX	XXX		XXX		XXX		XXX	
22.1	4	NAIC Designation Category 4.A		XXX	XXX		0.0245		0.0572		0.0817	
22.2	4	NAIC Designation Category 4.B		XXX	XXX		0.0245		0.0572		0.0817	
22.3	4	NAIC Designation Category 4.C		XXX	XXX		0.0245		0.0572		0.0817	
22.4		Subtotal NAIC 4 (22.1+22.2+22.3)		XXX	XXX		XXX		XXX		XXX	
23.1	5	NAIC Designation Category 5.A		XXX	XXX		0.0630		0.1128		0.1880	
23.2	5	NAIC Designation Category 5.B		XXX	XXX		0.0630		0.1128		0.1880	
23.3	5	NAIC Designation Category 5.C		XXX	XXX		0.0630		0.1128		0.1880	
23.4		Subtotal NAIC 5 (23.1+23.2+23.3)		XXX	XXX		XXX		XXX		XXX	
24.	6	NAIC 6		XXX	XXX		0.0000		0.2370		0.2370	
25.		Total Short-Term Bonds (18+19.8+20.4+21.4+22.4+23.4+24)	1,406,887	XXX	XXX	1,406,887	XXX		XXX		XXX	
DERIVATIVE INSTRUMENTS												
26.		Exchange Traded		XXX	XXX		0.0005		0.0016		0.0033	
27.	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
28.	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
29.	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
30.	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
31.	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
32.	6	In or Near Default		XXX	XXX		0.0000		0.2370		0.2370	
33.		Total Derivative Instruments		XXX	XXX		XXX		XXX		XXX	
34.		Total (Lines 9 + 17 + 25 + 33)	139,990,912	XXX	XXX	139,990,912	XXX	92,700	XXX	290,222	XXX	551,850

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols.4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
		MORTGAGE LOANS										
		In Good Standing:										
35.		Farm Mortgages - CM1 - Highest Quality			XXX		0.0011		0.0057		0.0074	
36.		Farm Mortgages - CM2 - High Quality			XXX		0.0040		0.0114		0.0149	
37.		Farm Mortgages - CM3 - Medium Quality			XXX		0.0069		0.0200		0.0257	
38.		Farm Mortgages - CM4 - Low Medium Quality			XXX		0.0120		0.0343		0.0428	
39.		Farm Mortgages - CM5 - Low Quality			XXX		0.0183		0.0486		0.0628	
40.		Residential Mortgages - Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
41.		Residential Mortgages - All Other			XXX		0.0015		0.0034		0.0046	
42.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
43.		Commercial Mortgages - All Other - CM1 - Highest Quality			XXX		0.0011		0.0057		0.0074	
44.		Commercial Mortgages - All Other - CM2 - High Quality			XXX		0.0040		0.0114		0.0149	
45.		Commercial Mortgages - All Other - CM3 - Medium Quality			XXX		0.0069		0.0200		0.0257	
46.		Commercial Mortgages - All Other - CM4 - Low Medium Quality			XXX		0.0120		0.0343		0.0428	
47.		Commercial Mortgages - All Other - CM5 - Low Quality			XXX		0.0183		0.0486		0.0628	
		Overdue, Not in Process:										
48.		Farm Mortgages			XXX		0.0480		0.0868		0.1371	
49.		Residential Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
50.		Residential Mortgages - All Other			XXX		0.0029		0.0066		0.0103	
51.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
52.		Commercial Mortgages - All Other			XXX		0.0480		0.0868		0.1371	
		In Process of Foreclosure:										
53.		Farm Mortgages			XXX		0.0000		0.1942		0.1942	
54.		Residential Mortgages - Insured or Guaranteed			XXX		0.0000		0.0046		0.0046	
55.		Residential Mortgages - All Other			XXX		0.0000		0.0149		0.0149	
56.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0000		0.0046		0.0046	
57.		Commercial Mortgages - All Other			XXX		0.0000		0.1942		0.1942	
58.		Total Schedule B Mortgages (Sum of Lines 35 through 57)			XXX		XXX		XXX		XXX	
59.		Schedule DA Mortgages			XXX		0.0034		0.0114		0.0149	
60.		Total Mortgage Loans on Real Estate (Lines 58 + 59)			XXX		XXX		XXX		XXX	

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
COMMON STOCK												
1.		Unaffiliated - Public		XXX	XXX		0.0000		0.1580 (a)		0.1580 (a)	
2.		Unaffiliated - Private		XXX	XXX		0.0000		0.1945		0.1945	
3.		Federal Home Loan Bank		XXX	XXX		0.0000		0.0061		0.0097	
4.		Affiliated - Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
Affiliated - Investment Subsidiary:												
5.		Fixed Income - Exempt Obligations					XXX		XXX		XXX	
6.		Fixed Income - Highest Quality					XXX		XXX		XXX	
7.		Fixed Income - High Quality					XXX		XXX		XXX	
8.		Fixed Income - Medium Quality					XXX		XXX		XXX	
9.		Fixed Income - Low Quality					XXX		XXX		XXX	
10.		Fixed Income - Lower Quality					XXX		XXX		XXX	
11.		Fixed Income - In/Near Default					XXX		XXX		XXX	
12.		Unaffiliated Common Stock - Public					0.0000		0.1580 (a)		0.1580 (a)	
13.		Unaffiliated Common Stock - Private					0.0000		0.1945		0.1945	
14.		Real Estate					(b)		(b)		(b)	
15.		Affiliated - Certain Other (See SVO Purposes and Procedures Manual)		XXX	XXX		0.0000		0.1580		0.1580	
16.		Affiliated - All Other		XXX	XXX		0.0000		0.1945		0.1945	
17.		Total Common Stock (Sum of Lines 1 through 16)					XXX		XXX		XXX	
REAL ESTATE												
18.		Home Office Property (General Account only)					0.0000		0.0912		0.0912	
19.		Investment Properties					0.0000		0.0912		0.0912	
20.		Properties Acquired in Satisfaction of Debt					0.0000		0.1337		0.1337	
21.		Total Real Estate (Sum of Lines 18 through 20)					XXX		XXX		XXX	
OTHER INVESTED ASSETS												
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS												
22.		Exempt Obligations		XXX	XXX		0.0000		0.0000		0.0000	
23.	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
24.	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
25.	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
26.	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
27.	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
28.	6	In or Near Default		XXX	XXX		0.0000		0.2370		0.2370	
29.		Total with Bond Characteristics (Sum of Lines 22 through 28)		XXX	XXX		XXX		XXX		XXX	

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF PREFERRED STOCKS										
30.	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
31.	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
32.	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
33.	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
34.	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
35.	6	In or Near Default		XXX	XXX		0.0000		0.2370		0.2370	
36.		Affiliated Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
37.		Total with Preferred Stock Characteristics (Sum of Lines 30 through 36)		XXX	XXX		XXX		XXX		XXX	
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF MORTGAGE LOANS										
		In Good Standing Affiliated:										
38.		Mortgages - CM1 - Highest Quality			XXX		0.0011		0.0057		0.0074	
39.		Mortgages - CM2 - High Quality			XXX		0.0040		0.0114		0.0149	
40.		Mortgages - CM3 - Medium Quality			XXX		0.0069		0.0200		0.0257	
41.		Mortgages - CM4 - Low Medium Quality			XXX		0.0120		0.0343		0.0428	
42.		Mortgages - CM5 - Low Quality			XXX		0.0183		0.0486		0.0628	
43.		Residential Mortgages - Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
44.		Residential Mortgages - All Other		XXX	XXX		0.0015		0.0034		0.0046	
45.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
		Overdue, Not in Process Affiliated:										
46.		Farm Mortgages			XXX		0.0480		0.0868		0.1371	
47.		Residential Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
48.		Residential Mortgages - All Other			XXX		0.0029		0.0066		0.0103	
49.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
50.		Commercial Mortgages - All Other			XXX		0.0480		0.0868		0.1371	
		In Process of Foreclosure Affiliated:										
51.		Farm Mortgages			XXX		0.0000		0.1942		0.1942	
52.		Residential Mortgages - Insured or Guaranteed			XXX		0.0000		0.0046		0.0046	
53.		Residential Mortgages - All Other			XXX		0.0000		0.0149		0.0149	
54.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0000		0.0046		0.0046	
55.		Commercial Mortgages - All Other			XXX		0.0000		0.1942		0.1942	
56.		Total Affiliated (Sum of Lines 38 through 55)			XXX		XXX		XXX		XXX	
57.		Unaffiliated - In Good Standing With Covenants			XXX		(c)		(c)		(c)	
58.		Unaffiliated - In Good Standing Defeased With Government Securities			XXX		0.0011		0.0057		0.0074	
59.		Unaffiliated - In Good Standing Primarily Senior			XXX		0.0040		0.0114		0.0149	
60.		Unaffiliated - In Good Standing All Other			XXX		0.0069		0.0200		0.0257	
61.		Unaffiliated - Overdue, Not in Process			XXX		0.0480		0.0868		0.1371	
62.		Unaffiliated - In Process of Foreclosure			XXX		0.0000		0.1942		0.1942	
63.		Total Unaffiliated (Sum of Lines 57 through 62)			XXX		XXX		XXX		XXX	
64.		Total with Mortgage Loan Characteristics (Lines 56 + 63)			XXX		XXX		XXX		XXX	

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols.4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK										
65.		Unaffiliated Public		XXX	XXX		0.0000		0.1580 (a)		0.1580 (a)	
66.		Unaffiliated Private		XXX	XXX		0.0000		0.1945		0.1945	
67.		Affiliated Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
68.		Affiliated Certain Other (See SVO Purposes & Procedures Manual)		XXX	XXX		0.0000		0.1580		0.1580	
69.		Affiliated Other - All Other		XXX	XXX		0.0000		0.1945		0.1945	
70.		Total with Common Stock Characteristics (Sum of Lines 65 through 69)		XXX	XXX		XXX		XXX		XXX	
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE										
71.		Home Office Property (General Account only)					0.0000		0.0912		0.0912	
72.		Investment Properties					0.0000		0.0912		0.0912	
73.		Properties Acquired in Satisfaction of Debt					0.0000		0.1337		0.1337	
74.		Total with Real Estate Characteristics (Sum of Lines 71 through 73)					XXX		XXX		XXX	
		LOW INCOME HOUSING TAX CREDIT INVESTMENTS										
75.		Guaranteed Federal Low Income Housing Tax Credit					0.0003		0.0006		0.0010	
76.		Non-guaranteed Federal Low Income Housing Tax Credit					0.0063		0.0120		0.0190	
77.		Guaranteed State Low Income Housing Tax Credit					0.0003		0.0006		0.0010	
78.		Non-guaranteed State Low Income Housing Tax Credit					0.0063		0.0120		0.0190	
79.		All Other Low Income Housing Tax Credit					0.0273		0.0600		0.0975	
80.		Total LIHTC (Sum of Lines 75 through 79)					XXX		XXX		XXX	
		ALL OTHER INVESTMENTS										
81.		NAIC 1 Working Capital Finance Investments		XXX			0.0000		0.0042		0.0042	
82.		NAIC 2 Working Capital Finance Investments		XXX			0.0000		0.0137		0.0137	
83.		Other Invested Assets - Schedule BA		XXX			0.0000		0.1580		0.1580	
84.		Other Short-Term Invested Assets - Schedule DA		XXX			0.0000		0.1580		0.1580	
85.		Total All Other (Sum of Lines 81, 82, 83 and 84)		XXX			XXX		XXX		XXX	
86.		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 29, 37, 64, 70, 74, 80 and 85)					XXX		XXX		XXX	

(a) Times the company's weighted average portfolio beta (Minimum .1215, Maximum .2431).
(b) Determined using the same factors and breakdowns used for directly owned real estate.
(c) This will be the factor associated with the risk category determined in the company generated worksheet.

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

ASSET VALUATION RESERVE

BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS REPLICATIONS (SYNTHETIC) ASSETS

[illegible]

Schedule F - Claims

N O N E

Schedule H - Part 1 - Analysis of Underwriting Operations

N O N E

Schedule H - Part 2 - Reserves and Liabilities

N O N E

Schedule H - Part 3 - Test of Prior Year's Claim Reserves and Liabilities

N O N E

Schedule H - Part 4 - Reinsurance

N O N E

Schedule H - Part 5 - Health Claims

N O N E

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE S - PART 1 - SECTION 1

Reinsurance Assumed Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsured Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsured	5 Domiciliary Jurisdiction	6 Type of Reinsurance Assumed	7 Type of Business Assumed	8 Amount of In Force at End of Year	9 Reserve	10 Premiums	11 Reinsurance Payable on Paid and Unpaid Losses	12 Modified Coinsurance Reserve	13 Funds Withheld Under Coinsurance
0399999. Total General Account - U.S. Affiliates												
0699999. Total General Account - Non-U.S. Affiliates												
0799999. Total General Account - Affiliates												
68723	86-0742727	01/01/2000	New York Life Agents Reinsurance Company	AZ	YRT/I	OL			6,447			
0899999. General Account - U.S. Non-Affiliates									6,447			
1099999. Total General Account - Non-Affiliates									6,447			
1199999. Total General Account									6,447			
1499999. Total Separate Accounts - U.S. Affiliates												
1799999. Total Separate Accounts - Non-U.S. Affiliates												
1899999. Total Separate Accounts - Affiliates												
2199999. Total Separate Accounts - Non-Affiliates												
2299999. Total Separate Accounts												
2399999. Total U.S. (Sum of 0399999, 0899999, 1499999 and 1999999)									6,447			
2499999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999 and 2099999)												
9999999 - Totals									6,447			

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE S - PART 1 - SECTION 2

Reinsurance Assumed Accident and Health Insurance Listed by Reinsured Company as of December 31, Current Year

[illegible]

SCHEDULE S - PART 2

[illegible]

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE S - PART 3 - SECTION 1

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Amount in Force at End of Year	Reserve Credit Taken		11 Premiums	Outstanding Surplus Relief		14 Modified Coinsurance Reserve	15 Funds Withheld Under Coinsurance
								9	10		12	13		
								Current Year	Prior Year		Current Year	Prior Year		
66915	13-5582869	02/11/1998	New York Life Insurance Company	NY	OTH/I	OL				1,100				
0299999. General Account - Authorized U.S. Affiliates - Other										1,100				
0399999. Total General Account - Authorized U.S. Affiliates										1,100				
0699999. Total General Account - Authorized Non-U.S. Affiliates														
0799999. Total General Account - Authorized Affiliates										1,100				
80659	82-4533188	06/01/2001	Canada Life Assurance Company	MI	YRT/I	OL			25,240					
86258	13-2572994	06/01/2001	General Re Life Corporation	CT	YRT/I	OL	4,747,500	39,414	58,160	116,202				
66346	58-0828824	03/30/1998	Munich American Reassurance Company	GA	YRT/I	OL	301,187,470	1,566,801	1,786,384	1,428,061				
66346	58-0828824	02/11/1998	Munich American Reassurance Company	GA	CO/I	OL	54,947,532	421,581	419,741	376,663				
66346	58-0828824	02/11/1998	Munich American Reassurance Company	GA	CO/I	XXXL	13,141,690	81,296	82,075	78,890				
68723	86-0742727	02/11/1998	New York Life Agents Reinsurance Company	AZ	YRT/I	OL				9,200				
93572	43-1235868	02/11/1998	RGA Reinsurance Company	MO	CO/I	OL	164,842,584	1,264,743	1,259,204	1,129,983				
93572	43-1235868	02/11/1998	RGA Reinsurance Company	MO	CO/I	XXXL	39,425,062	243,888	246,222	236,670				
93572	43-1235868	03/30/1998	RGA Reinsurance Company	MO	YRT/I	OL	341,580,441	1,750,643	2,325,848	1,630,334				
64688	75-6020048	02/11/1998	SCOR Global Life Americas Reinsurance Company	DE	CO/I	OL	109,895,038	843,162	839,415	753,321				
64688	75-6020048	02/11/1998	SCOR Global Life Americas Reinsurance Company	DE	CO/I	XXXL	26,283,373	162,592	164,150	157,780				
64688	75-6020048	03/30/1998	SCOR Global Life Americas Reinsurance Company	DE	YRT/I	OL	273,097,425	1,422,081	1,693,087	1,469,484				
68713	84-0499703	02/11/1998	Security Life of Denver Insurance Company	CO	CO/I	OL	109,895,052	843,162	839,415	753,320				
68713	84-0499703	02/11/1998	Security Life of Denver Insurance Company	CO	CO/I	XXXL	26,283,374	162,592	164,150	157,780				
82627	06-0839705	05/26/2000	Swiss Re Life and Health America Inc.	MO	YRT/I	OL	289,521,397	1,483,529	1,458,233	1,432,123				
0899999. General Account - Authorized U.S. Non-Affiliates								1,754,847,938	10,285,484	11,361,324	9,729,811			
1099999. Total General Account - Authorized Non-Affiliates								1,754,847,938	10,285,484	11,361,324	9,729,811			
1199999. Total General Account Authorized								1,754,847,938	10,285,484	11,361,324	9,730,911			
1499999. Total General Account - Unauthorized U.S. Affiliates														
1799999. Total General Account - Unauthorized Non-U.S. Affiliates														
1899999. Total General Account - Unauthorized Affiliates														
2199999. Total General Account - Unauthorized Non-Affiliates														
2299999. Total General Account Unauthorized														
2599999. Total General Account - Certified U.S. Affiliates														
2899999. Total General Account - Certified Non-U.S. Affiliates														
2999999. Total General Account - Certified Affiliates														
3299999. Total General Account - Certified Non-Affiliates														
3399999. Total General Account Certified														
3699999. Total General Account - Reciprocal Jurisdiction U.S. Affiliates														
3999999. Total General Account - Reciprocal Jurisdiction Non-U.S. Affiliates														
4099999. Total General Account - Reciprocal Jurisdiction Affiliates														
4399999. Total General Account - Reciprocal Jurisdiction Non-Affiliates														
4499999. Total General Account Reciprocal Jurisdiction														
4599999. Total General Account Authorized, Unauthorized, Reciprocal Jurisdiction and Certified								1,754,847,938	10,285,484	11,361,324	9,730,911			
4899999. Total Separate Accounts - Authorized U.S. Affiliates														
5199999. Total Separate Accounts - Authorized Non-U.S. Affiliates														
5299999. Total Separate Accounts - Authorized Affiliates														
5599999. Total Separate Accounts - Authorized Non-Affiliates														
5699999. Total Separate Accounts Authorized														
5999999. Total Separate Accounts - Unauthorized U.S. Affiliates														
6299999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates														
6399999. Total Separate Accounts - Unauthorized Affiliates														
6699999. Total Separate Accounts - Unauthorized Non-Affiliates														
6799999. Total Separate Accounts Unauthorized														
7099999. Total Separate Accounts - Certified U.S. Affiliates														
7399999. Total Separate Accounts - Certified Non-U.S. Affiliates														
7499999. Total Separate Accounts - Certified Affiliates														
7799999. Total Separate Accounts - Certified Non-Affiliates														

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE S - PART 3 - SECTION 1

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Amount in Force at End of Year	Reserve Credit Taken		11 Premiums	Outstanding Surplus Relief		14 Modified Coinsurance Reserve	15 Funds Withheld Under Coinsurance
								9	10		12	13		
								Current Year	Prior Year		Current Year	Prior Year		
7899999. Total Separate Accounts Certified														
8199999. Total Separate Accounts - Reciprocal Jurisdiction U.S. Affiliates														
8499999. Total Separate Accounts - Reciprocal Jurisdiction Non-U.S. Affiliates														
8599999. Total Separate Accounts - Reciprocal Jurisdiction Affiliates														
8899999. Total Separate Accounts - Reciprocal Jurisdiction Non-Affiliates														
8999999. Total Separate Accounts Reciprocal Jurisdiction														
9099999. Total Separate Accounts Authorized, Unauthorized, Reciprocal Jurisdiction and Certified														
9199999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3699999, 4199999, 4899999, 5399999, 5999999, 6499999, 7099999, 7599999, 8199999 and 8699999)								1,754,847,938	10,285,484	11,361,324	9,730,911			
9299999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 3999999, 4299999, 5199999, 5499999, 6299999, 6599999, 7399999, 7699999, 8499999 and 8799999)														
9999999 - Totals								1,754,847,938	10,285,484	11,361,324	9,730,911			

Schedule S - Part 3 - Section 2

N O N E

Schedule S - Part 4

N O N E

Schedule S - Part 4 - Bank Footnote

N O N E

Schedule S - Part 5

N O N E

Schedule S - Part 5 - Bank Footnote

N O N E

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE S - PART 6

Five Year Exhibit of Reinsurance Ceded Business
(\$000 Omitted)

	1 2021	2 2020	3 2019	4 2018	5 2017
A. OPERATIONS ITEMS					
1. Premiums and annuity considerations for life and accident and health contracts	9,731	10,683	12,987	15,428	17,089
2. Commissions and reinsurance expense allowances	911	857	963	982	993
3. Contract claims	11,352	8,820	10,050	9,913	11,280
4. Surrender benefits and withdrawals for life contracts					
5. Dividends to policyholders and refunds to members					
6. Reserve adjustments on reinsurance ceded					
7. Increase in aggregate reserve for life and accident and health contracts	(1,076)	(2,203)	(2,648)	(2,076)	(2,150)
B. BALANCE SHEET ITEMS					
8. Premiums and annuity considerations for life and accident and health contracts deferred and uncollected	920	972	1,170	1,439	1,526
9. Aggregate reserves for life and accident and health contracts	10,285	11,361	13,564	16,212	18,288
10. Liability for deposit-type contracts					
11. Contract claims unpaid	2,208	2,307	1,929	1,795	1,658
12. Amounts recoverable on reinsurance	702	1,259	526	1,032	1,314
13. Experience rating refunds due or unpaid					
14. Policyholders' dividends and refunds to members (not included in Line 10)					
15. Commissions and reinsurance expense allowances due	91	86	95	93	96
16. Unauthorized reinsurance offset					
17. Offset for reinsurance with Certified Reinsurers					
C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)					
18. Funds deposited by and withheld from (F)					
19. Letters of credit (L)					
20. Trust agreements (T)					
21. Other (O)					
D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)					
22. Multiple Beneficiary Trust					
23. Funds deposited by and withheld from (F)					
24. Letters of credit (L)					
25. Trust agreements (T)					
26. Other (O)					

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit for Ceded Reinsurance

	1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
ASSETS (Page 2, Col. 3)			
1. Cash and invested assets (Line 12)	142,725,267		142,725,267
2. Reinsurance (Line 16)	795,972	(795,972)	
3. Premiums and considerations (Line 15)	12,215,941	919,785	13,135,726
4. Net credit for ceded reinsurance	XXX	13,346,534	13,346,534
5. All other admitted assets (balance)	3,269,898		3,269,898
6. Total assets excluding Separate Accounts (Line 26)	159,007,078	13,470,347	172,477,425
7. Separate Account assets (Line 27)			
8. Total assets (Line 28)	159,007,078	13,470,347	172,477,425
LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
9. Contract reserves (Lines 1 and 2)	44,746,385	10,285,483	55,031,868
10. Liability for deposit-type contracts (Line 3)	929,504		929,504
11. Claim reserves (Line 4)	1,130,965	2,208,000	3,338,965
12. Policyholder dividends/member refunds/reserves (Lines 5 through 7)			
13. Premium & annuity considerations received in advance (Line 8)	86,491		86,491
14. Other contract liabilities (Line 9)	1,338,950	976,864	2,315,814
15. Reinsurance in unauthorized companies (Line 24.02 minus inset amount)			
16. Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount)			
17. Reinsurance with Certified Reinsurers (Line 24.02 inset amount)			
18. Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount)			
19. All other liabilities (balance)	1,653,280		1,653,280
20. Total liabilities excluding Separate Accounts (Line 26)	49,885,575	13,470,347	63,355,922
21. Separate Account liabilities (Line 27)			
22. Total liabilities (Line 28)	49,885,575	13,470,347	63,355,922
23. Capital & surplus (Line 38)	109,121,503	XXX	109,121,503
24. Total liabilities, capital & surplus (Line 39)	159,007,078	13,470,347	172,477,425
NET CREDIT FOR CEDED REINSURANCE			
25. Contract reserves	10,285,483		
26. Claim reserves	2,208,000		
27. Policyholder dividends/reserves			
28. Premium & annuity considerations received in advance			
29. Liability for deposit-type contracts			
30. Other contract liabilities	976,864		
31. Reinsurance ceded assets	795,972		
32. Other ceded reinsurance recoverables			
33. Total ceded reinsurance recoverables	14,266,319		
34. Premiums and considerations	919,785		
35. Reinsurance in unauthorized companies			
36. Funds held under reinsurance treaties with unauthorized reinsurers			
37. Reinsurance with Certified Reinsurers			
38. Funds held under reinsurance treaties with Certified Reinsurers			
39. Other ceded reinsurance payables/offsets			
40. Total ceded reinsurance payable/offsets	919,785		
41. Total net credit for ceded reinsurance	13,346,534		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA
SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS (b)

Allocated by States and Territories

			Direct Business Only						
			Life Contracts		4	5	6	7	
			2	3					
States, Etc.			Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5 (b)	Deposit-Type Contracts
1.	Alabama	AL	L	488,733				488,733	
2.	Alaska	AK	L	194,301				194,301	
3.	Arizona	AZ	L	466,118				466,118	
4.	Arkansas	AR	L	84,116				84,116	
5.	California	CA	L	3,380,612				3,380,612	
6.	Colorado	CO	L	327,647				327,647	
7.	Connecticut	CT	L	113,576				113,576	
8.	Delaware	DE	L	78,244				78,244	
9.	District of Columbia	DC	L	26,227				26,227	
10.	Florida	FL	L	963,592				963,592	
11.	Georgia	GA	L	613,547				613,547	
12.	Hawaii	HI	L	30,179				30,179	
13.	Idaho	ID	L	84,837				84,837	
14.	Illinois	IL	L	579,690				579,690	
15.	Indiana	IN	L	81,959				81,959	
16.	Iowa	IA	L	198,759				198,759	
17.	Kansas	KS	L	282,311				282,311	
18.	Kentucky	KY	L	137,926				137,926	
19.	Louisiana	LA	L	616,700				616,700	
20.	Maine	ME	N	1,905				1,905	
21.	Maryland	MD	L	374,265				374,265	
22.	Massachusetts	MA	L	125,624				125,624	
23.	Michigan	MI	L	330,888				330,888	
24.	Minnesota	MN	L	151,669				151,669	
25.	Mississippi	MS	L	167,917				167,917	
26.	Missouri	MO	L	362,422				362,422	
27.	Montana	MT	L	88,998				88,998	
28.	Nebraska	NE	L	61,427				61,427	
29.	Nevada	NV	L	216,215				216,215	
30.	New Hampshire	NH	L	30,182				30,182	
31.	New Jersey	NJ	L	555,207				555,207	
32.	New Mexico	NM	L	293,759				293,759	
33.	New York	NY	N	72,968				72,968	
34.	North Carolina	NC	L	231,011				231,011	
35.	North Dakota	ND	L	18,740				18,740	
36.	Ohio	OH	L	419,911				419,911	
37.	Oklahoma	OK	L	332,540				332,540	
38.	Oregon	OR	L	220,318				220,318	
39.	Pennsylvania	PA	L	532,310				532,310	
40.	Rhode Island	RI	L	6,287				6,287	
41.	South Carolina	SC	L	296,523				296,523	
42.	South Dakota	SD	L	161,501				161,501	
43.	Tennessee	TN	L	196,648				196,648	
44.	Texas	TX	L	2,016,622				2,016,622	
45.	Utah	UT	L	165,471				165,471	
46.	Vermont	VT	L	24,876				24,876	
47.	Virginia	VA	L	451,521				451,521	
48.	Washington	WA	L	750,064				750,064	
49.	West Virginia	WV	L	46,645				46,645	
50.	Wisconsin	WI	L	169,341				169,341	
51.	Wyoming	WY	L	52,030				52,030	
52.	American Samoa	AS	N						
53.	Guam	GU	N						
54.	Puerto Rico	PR	N	194				194	
55.	U.S. Virgin Islands	VI	N						
56.	Northern Mariana Islands	MP	N						
57.	Canada	CAN	N	11,910				11,910	
58.	Aggregate Other Alien	OT	XXX	51,462				51,462	
59.	Subtotal	XXX		17,738,445				17,738,445	
90.	Reporting entity contributions for employee benefits plans	XXX							
91.	Dividends or refunds applied to purchase paid-up additions and annuities.....	XXX							
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX							
93.	Premium or annuity considerations waived under disability or other contract provisions.....	XXX		1,216,107				1,216,107	
94.	Aggregate or other amounts not allocable by State.....	XXX							
95.	Totals (Direct Business).....	XXX		18,954,552				18,954,552	
96.	Plus reinsurance assumed.....	XXX		6,447				6,447	
97.	Totals (All Business).....	XXX		18,960,999				18,960,999	
98.	Less reinsurance ceded.....	XXX		9,782,888				9,782,888	
99.	Totals (All Business) less Reinsurance Ceded	XXX		9,178,111		(c)		9,178,111	
DETAILS OF WRITE-INS									
58001.	MEX Mexico	XXX							
58002.	ZZZ Other Alien	XXX		51,462				51,462	
58003.	XXX							
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX							
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		51,462				51,462	
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498.	Summary of remaining write-ins for Line 94 from overflow page	XXX							
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX							

(a) Active Status Counts:
L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....49 R - Registered - Non-domiciled RRGs.....
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... Q - Qualified - Qualified or accredited reinsurer.....
N - None of the above - Not allowed to write business in the state.....8

(b) Explanation of basis of allocation by states, etc., of premiums and annuity considerations
Direct Ordinary life premiums are allocated by State on the basis of the address to which the premium notice is sent. *Premium or annuity considerations waived under disability or other contract provisions are shown in one sum on Line 93, Columns 2, 3, 4, 5, 6, and 7. ** All U.S. business must be allocated by state regardless of license status. NOTE: Schedule T should not be used as the basis for the state guaranty association assessments.

(c) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10, or with Schedule H, Part 1, Line 1, indicate which: Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10.

SCHEDULE T - PART 2
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN

Allocated by States and Territories

			Direct Business Only					
			1	2	3	4	5	6
States, Etc.			Life (Group and Individual)	Annuities (Group and Individual)	Disability Income (Group and Individual)	Long-Term Care (Group and Individual)	Deposit-Type Contracts	Totals
1.	Alabama	AL	488,733					488,733
2.	Alaska	AK	194,301					194,301
3.	Arizona	AZ	466,118					466,118
4.	Arkansas	AR	84,116					84,116
5.	California	CA	3,380,612					3,380,612
6.	Colorado	CO	327,647					327,647
7.	Connecticut	CT	113,576					113,576
8.	Delaware	DE	78,244					78,244
9.	District of Columbia	DC	26,227					26,227
10.	Florida	FL	963,592					963,592
11.	Georgia	GA	613,547					613,547
12.	Hawaii	HI	30,179					30,179
13.	Idaho	ID	84,837					84,837
14.	Illinois	IL	579,690					579,690
15.	Indiana	IN	81,959					81,959
16.	Iowa	IA	198,759					198,759
17.	Kansas	KS	282,311					282,311
18.	Kentucky	KY	137,926					137,926
19.	Louisiana	LA	616,700					616,700
20.	Maine	ME	1,905					1,905
21.	Maryland	MD	374,265					374,265
22.	Massachusetts	MA	125,624					125,624
23.	Michigan	MI	330,888					330,888
24.	Minnesota	MN	151,669					151,669
25.	Mississippi	MS	167,917					167,917
26.	Missouri	MO	362,422					362,422
27.	Montana	MT	88,998					88,998
28.	Nebraska	NE	61,427					61,427
29.	Nevada	NV	216,215					216,215
30.	New Hampshire	NH	30,182					30,182
31.	New Jersey	NJ	555,207					555,207
32.	New Mexico	NM	293,759					293,759
33.	New York	NY	72,968					72,968
34.	North Carolina	NC	231,011					231,011
35.	North Dakota	ND	18,740					18,740
36.	Ohio	OH	419,911					419,911
37.	Oklahoma	OK	332,540					332,540
38.	Oregon	OR	220,318					220,318
39.	Pennsylvania	PA	532,310					532,310
40.	Rhode Island	RI	6,287					6,287
41.	South Carolina	SC	296,523					296,523
42.	South Dakota	SD	161,501					161,501
43.	Tennessee	TN	196,648					196,648
44.	Texas	TX	2,016,622					2,016,622
45.	Utah	UT	165,471					165,471
46.	Vermont	VT	24,876					24,876
47.	Virginia	VA	451,521					451,521
48.	Washington	WA	750,064					750,064
49.	West Virginia	WV	46,645					46,645
50.	Wisconsin	WI	169,341					169,341
51.	Wyoming	WY	52,030					52,030
52.	American Samoa	AS						
53.	Guam	GU						
54.	Puerto Rico	PR	194					194
55.	U.S. Virgin Islands	VI						
56.	Northern Mariana Islands	MP						
57.	Canada	CAN	11,910					11,910
58.	Aggregate Other Alien	OT	51,462					51,462
59.	Total		17,738,445					17,738,445

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

The following entities are directly controlled by New York Life Insurance Company (Parent) (entities that are indented are directly controlled by the preceding entity).

New York Life Insurance and Annuity Corporation (91596) (DE)
NYLIFE Insurance Company of Arizona (81353) (AZ)
New York Life Enterprises LLC (See page 52.3 for entity's org chart) (DE)
NYLIFE LLC (See page 52.3 for entity's org chart) (DE)
NYL Investors LLC (See page 52.4 for entity's org chart) (DE)
New York Life Investment Management Holdings LLC (See page 52.5 for entity's org chart) (DE)
NYLife Real Estate Holdings LLC (See page 52.11 for entity's org chart) (DE)
New York Life Group Insurance Company of NY (NY)
Life Insurance Company of North America (PA)
 LINA Benefit Payments, Inc. (DE)
New York Life Benefit Payments LLC (DE)
NYL Real Assets LLC (DE)
NYL Emerging Manager LLC (DE)
NYL Wind Investments LLC (DE)
NYLIC HKP Member LLC (DE)
NYLIM Jacob Ballas India Holdings IV (MUS)
Flatiron RR LLC (DE)
Flatiron CLO 2013-1-Ltd. (CYM)
Flatiron CLO 2015-1 Ltd (CYM)
Flatiron CLO 17 Ltd. (CYM)
Flatiron CLO 18 Ltd. (CYM)
Flatiron CLO 18 Funding Ltd. (CYM)
Flatiron CLO 20 Funding Ltd. (CYM)
Flatiron CLO 21 Ltd. (CYM)
Flatiron RR CLO 22. LLC (CYM)
Flatiron CLO 23 Ltd. (CYM)
Flatiron RR CLO 24 Ltd. (CYM)
Flatiron RR LLC, Manager Series (DE Series LLC) (DE)
Flatiron RR LLC, Retention Series (DE Series LLC) (DE)
Stratford CDO 2001-1 Ltd. (CYM)
Silver Spring, LLC (DE)
 Silver Spring Associates, L.P. (PA)
SCP 2005-C21-002 LLC (DE)
SCP 2005-C21-003 LLC (DE)
SCP 2005-C21-006 LLC (DE)
SCP 2005-C21-007-LLC (DE)
SCP 2005-C21-008 LLC (DE)
SCP 2005-C21-009 LLC (DE)
SCP 2005-C21-017 LLC (DE)
SCP 2005-C21-018 LLC (DE)
SCP 2005-C21-021 LLC (DE)
SCP 2005-C21-025 LLC (DE)
SCP 2005-C21-031 LLC (DE)
SCP 2005-C21-036 LLC (DE)
SCP 2005-C21-041 LLC (DE)
SCP 2005-C21-043 LLC (DE)
SCP 2005-C21-044 LLC (DE)
SCP 2005-C21-048 LLC (DE)
SCP 2005-C21-061 LLC (DE)

SCP 2005-C21-063 LLC (DE)
SCP 2005-C21-067 LLC (DE)
SCP 2005-C21-069 LLC (DE)
SCP 2005-C21-070 LLC (DE)
NYMH-Ennis GP, LLC (DE)
 NYMH-Ennis, L.P. (TX)
NYMH-Freeport GP, LLC (DE)
 NYMH-Freeport, L.P. (TX)
NYMH-Houston GP, LLC (DE)
 NYMH-Houston, L.P. (TX)
NYMH-Plano GP, LLC (DE)
 NYMH-Plano, L.P. (TX)
NYMH-San Antonio GP, LLC (DE)
 NYMH-San Antonio, L.P. (TX)
NYMH-Stephenville GP, LLC (DE)
 NYMH-Stephenville, L.P. (TX)
NYMH-Taylor GP, LLC (DE)
 NYMH-Taylor, L.P. (TX)
NYMH-Attleboro MA, LLC (DE)
NYMH-Farmingdale, NY, LLC (DE)
NYLMDC-King of Prussia GP, LLC (DE)
 NYLMDC-King of Prussia Realty, LP (DE)
2015 DIL PORTFOLIO HOLDINGS LLC (DE)
 PA 180 KOST RD LLC (DE)
2017 CT REO HOLDINGS LLC (DE)
Cortlandt Town Center LLC (DE)
REEP-HZ SPENCER LLC (DE)
REEP-IND 10 WEST AZ LLC (DE)
REEP-IND 4700 Nall TX LLC (DE)
REEP-IND Aegean MA LLC (DE)
REEP-IND Alpha TX LLC (DE)
REEP-IND MCP VIII NC LLC (DE)
REEP-IND CHINO CA LLC (DE)
REEP-IND FRANKLIN MA HOLDER LLC (DE)
REEP-IND FREEDOM MA LLC (DE)
REEP-IND Fridley MN LLC (MN)
REEP-IND Green Oaks IL LLC (DE)
REEP-IND Kent LLC (DE)
REEP-IND LYMAN MA LLC (DE)
REEP-IND MCP II NC LLC (DE)
REEP-IND MCP III NC LLC (DE)
REEP-IND MCP IV NC LLC (DE)
REEP-IND MCP V NC LLC (DE)
REEP-IND MCP VII NC LLC (DE)
REEP-IND MCP III OWNER NC LLC (DE)
REEP-IND RTG NC LLC (DE)
REEP-IND Simonton TX LLC (DE)
REEP-IND Valley View TX LLC (DE)
REEP-IND Valwood TX LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Insurance Company (Parent) (continued)

REEP-MF 960 East Paces Ferry GA LLC (DE)
REEP-MF 960 EPF Opco GA LLC (DE)
REEP-MF Emblem DE LLC (DE)
REEP-MF Gateway TAF UT LLC (DE)
 REEP-WP Gateway TAB JV LLC (DE)
REEP-MF Issaquah WA LLC (DE)
REEP-MF Mount Vernon GA LLC (DE)
REEP-MF Mount Laurel NJ LLC (DE)
REEP-MF NORTH PARK CA LLC (DE)
REEP-MF AVERY TX LLC (DE)
 REEP-AVERY OWNER LLC (DE)
REEP-MF Verde NC LLC (DE)
REEP-MF Wallingford WA LLC (DE)
REEP-MF STEWART AZ OLDER LLC (DE)
REEP-MF STEWART AZ (DE)
REEP-OFC Bellevue WA LLC (DE)
REEP-OFC Financial Center FL LLC (DE)
REEP-OFC WATER RIDGE NC HOLDCO LLC (DE)
REEP-OFC ONE WATER RIDGE NC LLC (DE)
REEP-OFC TWO WATER RIDGE NC LLC (DE)
REEP-OFC FOUR WATER RIDGE NC LLC (DE)
REEP-OFC FIVE WATER RIDGE NC LLC (DE)
REEP-OFC SIX WATER RIDGE NC LLC (DE)
REEP-OFC SEVEN WATER RIDGE NC LLC (DE)
REEP-OFC EIGHT WATER RIDGE NC LLC (DE)
REEP-OFC NINE WATER RIDGE NC LLC (DE)
REEP-OFC TEN WATER RIDGE NC LLC (DE)
REEP-OFC ELEVEN WATER RIDGE NC LLC (DE)
REEP-MF FOUNTAIN PLACE MN LLC (DE)
 REEP-MF FOUNTAIN PLACE LLC (DE)
REEP-MF Park-Line FL LLC (DE)
REEP-OFC 2300 Empire CA LLC (DE)
REEP-IND 10 WEST II AZ LLC (DE)
REEP-RTL Flemington NJ LLC (DE)
REEP-RTL Mill Creek NJ LLC (DE)
REEP-RTL NPM GA LLC (DE)
REEP TAB ONE LLC (DE)
REEP-RTL DTC VA LLC (DE)
REEP-RTL DTC-S VA LLC (DE)
NJIND Raritan Center LLC (DE)
NJIND Talmadge Road LLC (DE)
NJIND Melrich Road LLC (DE)
FP Building 18, LLC (DE)
FP Building 19, LLC (DE)
Enclave CAF, LLC (DE)
Summitt Ridge Apartments, LLC (DE)
PTC Acquisitions, LLC (DE)
Martingale Road LLC (DE)
New York Life Funding (CYM)

New York Life Global Funding (DE)
Government Energy Savings Trust 2003-A (NY)
UFI-NOR Federal Receivables Trust, Series 2009B (NY)
JREP Fund Holdings I, L.P. (CYM)
Jaguar Real Estate Partners L.P. (CYM)
NYLIFE Office Holdings Member LLC (DE)
 NYLIFE Office Holdings LLC (DE)
 NYLIFE Office Holdings REIT LLC (DE)
 REEP-OFC DRAKES LANDING CA LLC (DE)
 REEP-OFC CORPORATE POINTE CA LLC (DE)
 REEP-OFC VON KARMAN CA LLC (DE)
 REEP-OFC ONE BOWDOIN SQUARE MA LLC (DE)
 REEP-OFC 525 N Tryon NC LLC (DE)
 525 Charlotte Office LLC (DE)
 NYLIFE Office Holdings Acquisition REIT LLC (DE)
 REEP-OFC Westory DC LLC (DE)
Skyhigh SPV Note Issuer 2020 Parent Trust (DE)
Skyhigh SPV Note Issuer 2020 LLC (DE)
MSVEF Investor LLC (DE)
 MSVEF Feeder LP (DE)
 MSVEF REIT LLC (DE)
 Madison Square Value Enhancement Fund LP (DE)
 MSVEF-MF Evanston GP LLC (DE)
 MSVEF-MF Evanston IL LP (DE)
 MSVEF-MF HUNTINGTON PARK GP LLC (DE)
 MSVEF-MF HUNTINGTON PARK WA LP (DE)
 MSVEF-OFC Tampa GP LLC (DE)
 MSVEF-OFC WFC Tampa FL LP (DE)
 MSVEF-FG WFC Tampa JV LP (DE)
 MSVEF-OFC WFC Tampa PO GP LLC (DE)
 MSVEF-FG WFC Property Owner LP (DE)
 MSVEF-IND Commerce 303 GP LLC (DE)
 MSVEF-IND Commerce 303 AZ LP (DE)
 MSVEF-SW Commerce 303 JV LP (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Enterprises LLC

SEAF Sichuan SME Investment Fund LLC (DE)
New York Life International Holdings Limited (MUS)
MAX Ventures and Industries Limited (IND)
 Max I Ltd. (IND)
 Max Assets Services Ltd. (IND)
 Max Specialty Films Ltd. (IND)
 Max Estates Ltd. (IND)
 Max Square Limited (IND)
 Pharmax Corporation Ltd. (IND)
 Wise Zone Builders Pvt. Ltd. (IND)
NYL Cayman Holdings Ltd. (CYM)
 NYL Worldwide Capital Investments LLC (DE)
Seguros Monterrey New York Life, S.A. de C.V. (MEX)
 Administradora de Conductos SMNYL, S.A. de C.V. (MEX)
 Agencias de Distribucion SMNYL, S.A. de C.V. (MEX)
 Inmobiliaria SMNYL, S.A. de C.V. (MEX)

NYLIFE LLC

Eagle Strategies LLC (DE)
Fabric of Family LLC (DE)
New York Life Capital Corporation (DE)
New York Life Trust Company (NY)
NYLIFE Securities LLC (DE)
NYLINK Insurance Agency Incorporated (DE)
NYLUK I Company (GBR)
 NYLUK II Company (GBR)
 Gresham Mortgage (GBR)
 W Construction Company (GBR)
 WUT (GBR)
 WIM (AIM) (GBR)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

NYL Investors LLC

NYL Investors U.K. Limited (GBR)
NYL Investors REIT Manager LLC (DE)
NYL Investors NCVAD II GP, LLC (DE)
 McMorgan Northern California Value Add/Development Fund II, LP (DE)
 MNCVAD II-MF HENLEY CA LLC (DE)
 MNCVAD II-SP HENLEY JV LLC (DE)
 MNCVAD II-SP HENLEY OWNER LLC (DE)
 MNCVAD II-OFC 770 L Street CA LLC (DE)
 MNCVAD II-MF UNION CA LLC (DE)
 MNCVAD II- HOLLIDAY UNION JV LLC (DE)
 MNCVAD II-OFC HARBORS CA LLC (DE)
 MNCVAD II-SEAGATE HARBORS LLC (DE)
 MNCVAD II-OFC 630 K Street CA LLC (DE)
MSSDF GP LLC (DE)
MSSDF Member LLC (DE)
 Madison Square Structured Debt Fund LP (DE)
 MSSDF REIT LLC (DE)
 MSSDF REIT Funding Sub I LLC (DE)
 MSSDF REIT Funding Sub II LLC (DE)
 MSSDF REIT Funding Sub III LLC (DE)
 MSSDF REIT Funding Sub IV LLC (DE)
 MSSDF REIT Funding Sub V LLC (DE)
MSVEF GP LLC (DE)
MCPF GP LLC (DE)
Madison Core Property Fund LP (DE)
 MCPF Holdings Manager LLC (DE)
 MCPF MA Holdings LLC (DE)
 MCPF Holdings LLC (DE)
 MADISON-IND TAMARAC FL LLC (DE)
MIREF Mill Creek, LLC (DE)
MIREF Gateway, LLC (DE)
MIREF Gateway Phases II and III, LLC (DE)
MIREF Delta Court, LLC (DE)
MIREF Fremont Distribution Center, LLC (DE)
MIREF Century, LLC (DE)
MIREF Saddle River LLC (DE)
MIREF Newpoint Commons, LLC (DE)
MIREF Northsight, LLC (DE)
MIREF Riverside, LLC (DE)
MIREF Corporate Woods, LLC (DE)
Barton's Lodge Apartments, LLC (DE)
MIREF 101 East Crossroads, LLC (DE)
 101 East Crossroads, LLC (DE)
MIREF Hawthorne, LLC (DE)
MIREF Auburn 277, LLC (DE)
MIREF Sumner North, LLC (DE)
MIREF Wellington, LLC (DE)
MIREF Warner Center, LLC (DE)

MADISON-MF Duluth GA LLC (DE)
MADISON-OFC Centerstone I CA LLC (DE)
MADISON-OFC Centerstone III CA LLC (DE)
MADISON-MOB Centerstone IV CA LLC (DE)
MADISON-OFC Centerpoint Plaza CA LLC (DE)
MADISON-IND Logistics NC LLC (DE)
 MCPF-LRC Logistics LLC (DE)
MADISON-MF Desert Mirage AZ LLC (DE)
MADISON-OFC One Main Place OR LLC (DE)
MADISON-IND Fenton MO LLC (DE)
MADISON-IND Hitzert Roadway MO LLC (DE)
MADISON-MF Hoyt OR LLC (DE)
MADISON-RTL Clifton Heights PA LLC (DE)
MADISON-IND Locust CA LLC (DE)
MADISON-OFC Weston Pointe FL LLC (DE)
MADISON-SP Henderson LLC (DE)
MADISON-MF MCCADDEN CA LLC (DE)
MADISON-OFC 1201 WEST IL LLC (DE)
 MADISON-MCCAFFERY 1201 WEST IL LLC (DE)
MADISON-MF CRESTONE AZ LLC (DE)
MADISON-MF TECH RIDGE TX LLC (DE)
MADISON-RTL SARASOTA FL, LLC (DE)
MADISON-MOB CITRACADO CA LLC (DE)
MADISON-ACG THE MEADOWS WA LLC (DE)
 MADISON-ACG THE MEADOWS JV LLC (DE)
 MADISON-ACG THE MEADOWS OWNER LLC (DE)
Madison-MF Osprey QRS Inc. (DE)
 Madison-MF Osprey NC GP LLC (DE)
 Madison-MF Osprey NC LP (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC

New York Life Investment Management Asia Limited (CYM) MacKay Shields LLC (DE) MacKay Shields Emerging Markets Debt Portfolio (DE) MacKay Shields Core Plus Opportunities Fund GP LLC (DE) MacKay Shields Core Plus / Opportunities Fund LP (DE) MacKay Municipal Managers Opportunities GP LLC (DE) MacKay Municipal Opportunities Master Fund, L.P. (DE) MacKay Municipal Opportunities Fund, L.P. (DE) MacKay Municipal Managers Credit Opportunities GP, LLC (DE) MacKay Municipal Credit Opportunities Master Fund, L.P. (DE) MacKay Municipal Credit Opportunities Fund, L.P. (DE) MacKay Municipal Credit Opportunities HL Fund, L.P. (DE) MacKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC (CYM) MacKay Municipal Credit Opportunities HL (Cayman) Fund, LP (CYM) MacKay Municipal Short Term Opportunities Fund GP LLC (DE) MacKay Municipal Short Term Opportunities Fund LP (DE) Plainview Funds plc (IRL) MacKay Shields High Yield Active Core Fund GP LLC (DE) MacKay Shields High Yield Active Core Fund LP (DE) MacKay Shields Credit Strategy Fund Ltd (CYM) MacKay Shields Credit Strategy Partners LP (DE) MacKay Shields Defensive Bond Arbitrage Fund Ltd. (BMU) MacKay Shields Core Fixed Income Fund GP LLC (DE) MacKay Shields Core Fixed Income Fund LP (DE) MacKay Shields Select Credit Opportunities Fund GP LLC (DE) MacKay Shields Select Credit Opportunities Fund LP (DE) MacKay Shields (International) Ltd. (GBR) MacKay Shields (Services) Ltd. (GBR) MacKay Shields UK LLP (GBR) MacKay Municipal Managers California Opportunities GP LLC (DE) MacKay Municipal California Opportunities Fund, L.P. (DE) MacKay Municipal New York Opportunities GP LLC (DE) MacKay Municipal New York Opportunities Fund, L.P. (DE) MacKay Municipal Opportunity HL Fund, L.P. (DE) MacKay Municipal Capital Trading GP LLC (DE) MacKay Municipal Capital Trading Master Fund, L.P (DE) MacKay Municipal Capital Trading Fund, L.P. (DE) MacKay Municipal Managers Strategic Opportunities GP LLC (DE) MacKay Municipal Managers Strategic Opportunities Fund, L.P. (DE) MacKay Shields US Equity Market Neutral Fund GP LLC (DE) MacKay Cornerstone US Equity Market Neutral Fund LP (DE) MacKay Shields Intermediate Bond Fund GP LLC (DE) MacKay Shields Intermediate Bond Fund LP (DE) MacKay Shields General Partner (L/S) LLC (DE) MacKay Shields Long/Short Fund (Master) (DE) MacKay Municipal Managers Opportunities Allocation GP LLC (DE) MacKay Municipal Opportunities Allocation Master Fund LP (DE) MacKay Municipal Opportunities Allocation Fund A LP (DE) MacKay Municipal Opportunities Allocation Fund B LP (DE)	Mackay Municipal Managers U.S. Infrastructure - Opportunities GP LLC (DE) MacKay Municipal U.S. Infrastructure Opportunities Fund LP (DE) MacKay Municipal Managers High Yield Select GP LLC (DE) MacKay Municipal High Yield Select Fund LP (DE) MacKay Flexible Income Fund GP LLC (DE) MacKay Flexible Income Fund LP (DE) MacKay Multi-Asset Real Return Fund GP LLC (DE) MacKay Multi-Asset Real Return Fund LP (DE) MacKay Multi-Asset Income Fund GP LLC (DE) MacKay Multi-Asset Income Fund LP (DE) MacKay Municipal Managers High Income Opportunities GP LLC (DE) MacKay Municipal High Income Opportunities Fund LP (DE) Cascade CLO Manager LLC (DE) MKS CLO Holdings GP LLC (DE) MKS CLO Holdings, LP (CYM) MKS CLO Advisors, LLC (DE) MacKay Shields Europe Investment Management Limited (IRL) MacKay Shields European Credit Opportunity Fund Limited (NJ) MKS TALF Opportunities Fund GP, LLC (DE) MacKay Shields TALF 2.0 Opportunities Feeder Fund (Cayman) LP (CYM) MacKay Shields TALF 2.0 Opportunities Feeder Fund (Cayman GBP-Hedged) LP (CYM) MacKay Shields TALF 2.0 Opportunities Feeder Fund (US) LP (DE) MacKay Shields TALF 2.0 Opportunities Master Fund LP (DE) MKS Global Sustainable Emerging Markets Equities Fund GP LLC (DE) Candriam Global Sustainable Emerging Markets Equities Fund LP (DE) MKS Global Emerging Markets Equities Fund GP LLC (DE) Candriam Global Emerging Markets Equities Fund LP (DE) Cornerstone Capital Management Holdings LLC (DE) Madison Square Investors Asian Equity Market Neutral Fund GP, LLC (DE) Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC (DE) Cornerstone Capital Management Large-Cap Enhanced Index Fund, L.P. (DE) New York Life Investments Alternatives LLC (DE) Madison Capital Funding LLC (DE) MCF Co-Investment GP LLC (DE) MCF Co-Investment GP LP (DE) Madison Capital Funding Co-Investment Fund LP (DE) Madison Avenue Loan Fund GP LLC (DE) Madison Avenue Loan Fund LP (DE) MCF Fund I LLC (DE) MCF Hanwha Fund LLC (DE) Ironshore Investment BL I Ltd. (BMU) MCF CLO IV LLC (DE) MCF CLO V LLC (DE) MCF CLO VI LLC (DE) MCF CLO VII LLC (DE) MCF CLO VIII Ltd. (DE) MCF CLO VIII LLC (DE) MCF CLO IX Ltd. (CYM) MCF CLO IX LLC (DE)
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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

MCF KB Fund LLC (DE) MCF KB Fund II LLC (DE) MCF Hyundai Fund LLC (DE) MCF Senior Debt Fund – 2020 LP (CYM) Montpelier Carry Parent, LLC (DE) Montpelier Carry, LLC (DE) Montpelier GP, LLC (DE) Montpelier Fund, L.P. (DE) MCF Mezzanine Carry I LLC (DE) MCF Mezzanine Fund I LLC (DE) MCF PD Fund GP LLC (DE) MCF PD Fund LP (DE) MCF Senior Debt Funds 2019-I GP LLC (DE) MCF Senior Debt Fund 2019-I LP (DE) Warwick Seller Representative, LLC (DE) Young America Holdings, LLC (DE) YAC.ECOM Incorporated (MN) Young America, LLC (MN) Global Fulfillment Services, Inc. (AZ) SourceOne Worldwide, Inc. (MN) YA Canada Corporation (CAN) GoldPoint Partners LLC (DE) New York Life Capital Partners II, L.L.C. (DE) New York Life Capital Partners III GenPar GP, LLC (DE) New York Life Capital Partners IV GenPar GP, LLC (DE) New York Life Capital Partners IV GenPar, L.P. (DE) New York Life Capital Partners IV, L.P. (DE) GoldPoint Core Opportunities Fund, L.P. (DE) GoldPoint Core Opportunities Fund II L.P. (DE) GoldPoint Mezzanine Partners IV GenPar GP, LLC (DE) GoldPoint Mezzanine Partners IV GenPar, LP (DE) GoldPoint Mezzanine Partners Co-Investment Fund A, LP (DE) GoldPoint Mezzanine Partners IV, LP (DE) (“GPPIVLP”) GPP Mezz IV A Blocker LP (DE) (“GPPMBA”) GPP Mezz IV A Preferred Blocker LP (DE) GPP Mezz IV B Blocker LP (DE) (“GPPMBB”) GPP Mezz IV C Blocker LP (DE) (“GPPMBC”) GPP Mezz IV D Blocker LP (DE) (“GPPMBD”) GPP Mezz IV E Blocker LPP (DE) GPP Mezz IV ECI Aggregator, LP (DE) GPP Mezz IV F Blocker LP (DE) GPP Mezz IV G Blocker LP (DE) GPP Mezz IV H Blocker LP (DE) GPP Mezz IV I Blocker LP (DE) GoldPoint Mezzanine Partners Offshore IV, L.P. (CYM) GoldPoint Partners Co-Investment V GenPar GP LLC (DE) GoldPoint Partners Co-Investment V GenPar, L.P. (DE) GoldPoint Partners Co-Investment Fund A, LP (DE)	GoldPoint Partners Co-Investment V, LP (DE) (DE)GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP (DE) GPP V - ECI Aggregator LP (DE) GPP V F Blocker Holdco LP (DE) GPP V G Blocker Holdco LP (DE) GoldPoint Partners Private Debt V GenPar GP, LLC (DE) GoldPoint Partners Private Debt Offshore V, LP (CYM) GPP Private Debt V RS LP (DE) GoldPoint Partners Private Debt V GenPar GP, LP (DE) GoldPoint Partners Private Debt V, LP (DE) GPP PD V A Blocker LLC (DE) GPP Private Debt V-ECI Aggregator LP (DE) GPP PD V B Blocker LLC (DE) GPP PD V C Blocker LLC (DE) GPP PD V D Blocker LLC (DE) GPP LuxCo V GP Sarl (LUX) GPP Private Debt LuxCo V SCSp (LUX) GoldPoint Partners Select Manager III GenPar GP, LLC (DE) GoldPoint Partners Select Manager III GenPar, L.P. (CYM) GoldPoint Partners Select Manager Fund III, L.P. (CYM) GoldPoint Partners Select Manager Fund III AIV, L.P. (DE) GoldPoint Partners Select Manager IV GenPar GP, LLC (DE) GoldPoint Partners Select Manager IV GenPar, L.P. (DE) GoldPoint Partners Select Manager Fund IV, L.P. (DE) GoldPoint Partners Select Manager V GenPar GP, LLC (DE) GoldPoint Partners Select Manager V GenPar, L.P. (DE) GoldPoint Partners Select Manager Fund V, L.P. (DE) GoldPoint Partners Canada V GenPar Inc. (CAN) GoldPoint Partners Select Manager Canada Fund V, L.P. (CAN) GoldPoint Partners Canada III GenPar Inc (CAN) GoldPoint Partners Select Manager Canada Fund III, L.P. (CAN) GoldPoint Partners Canada IV GenPar Inc. (CAN) GoldPoint Partners Select Manager Canada Fund IV, L.P. (CAN) GoldPoint Partners Co-Investment VI GenPar GP LLC (DE) GoldPoint Partners Co-Investment VI GenPar, LP (DE) GoldPoint Partners Co-Investment VI, LP (DE) GPP VI – ECI Aggregator LP (DE) GPP VI Blocker A LLC (DE) GPP VI Blocker B LLC (DE) GPP VI Blocker C LLC (DE) GPP VI Blocker D LLC (DE) GPP VI Blocker E LLC (DE) GPP VI Blocker I LLC (DE) GPP Co-Invest VII GenPar, GP LLC (DE) GPP Co-Invest VII GenPar, LP (DE) GoldPoint Partners Co-Investment VII, LP (DE) GoldPoint Private Credit GenPar GP, LLC (DE) GoldPoint Private Credit Fund, LP (DE)
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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

GoldPoint Partners Canada GenPar, Inc. (CAN)
 NYLCAP Select Manager Canada Fund, LP (CAN)
NYLCAP Canada II GenPar, Inc. (CAN)
 NYLCAP Select Manager Canada Fund II, L.P. (CAN)
NYLIM Mezzanine Partners II GenPar GP, LLC (DE)
 NYLIM Mezzanine Partners II GenPar, LP (DE)
NYLCAP Mezzanine Partners III GenPar GP, LLC (DE)
 NYLCAP Mezzanine Partners III GenPar, LP (DE)
 NYLCAP Mezzanine Partners III, LP (DE)
 NYLCAP Mezzanine Offshore Partners III, L.P. (CYM)
NYLCAP Select Manager GenPar GP, LLC (DE)
 NYLCAP Select Manager GenPar, LP (DE)
 NYLCAP Select Manager Fund, LP (DE)
 NYLCAP Select Manager Cayman Fund, LP (CYM)
NYLCAP Select Manager II GenPar GP, LLC (DE)
 NYLCAP Select Manager II GenPar GP, L.P. (CYM)
 NYLCAP Select Manager Fund II, L.P. (CYM)
NYLCAP India Funding LLC (DE)
 NYLIM-JB Asset Management Co. (Mauritius) LLC (MUS)
 New York Life Investment Management India Fund II, LLC (MUS)
 New York Life Investment Management India Fund (FVCI) II, LLC (MUS)
NYLCAP India Funding III LLC (DE)
 NYLIM-Jacob Ballas Asset Management Co. III, LLC (MUS)
 NYLIM Jacob Ballas India Fund III, LLC (MUS)
 NYLIM Jacob Ballas Capital India (FVCI) III, LLC (MUS)
 NYLIM Jacob Ballas India (FII) III, LLC (MUS)
Evolence Asset Management, Ltd. (CYM)
 EIF Managers Limited (MUS)
 EIF Managers II Limited (MUS)
PA Capital LLC (DE)
 BMG PAMP GP, LLC (DE)
 BMG PA Private Markets (Delaware) LP (DE)
 BMG Private Markets (Cayman) LP (CYM)
PACD MM, LLC (DE)
 PA Capital Direct, LLC (DE)
PA Credit Program Carry Parent, LLC (DE)
 PA Credit Program Carry, LLC (DE)
PACIF Carry Parent, LLC (DE)
 PACIF Carry, LLC (DE)
PACIF GP, LLC (DE)
 Private Advisors Coinvestment Fund, LP (DE)
PACIF II GP, LLC (DE)
 Private Advisors Coinvestment Fund II, LP (DE)
PACIF II Carry Parent, LLC (DE)
 PACIF II Carry, LLC (DE)
PACIF III GP, LLC (DE)
 Private Advisors Coinvestment Fund III, LP (DE)
PACIF III Carry Parent, LLC (DE)

PACIF III Carry, LLC (DE)
PACIF IV GP, LLC (DE)
 Private Advisors Coinvestment Fund IV, LP (DE)
PACIF IV Carry Parent, LLC (DE)
 PACIF IV Carry, LLC (DE)
PAMMF GP, LLC (DE)
 PA Middle Market Fund, LP (DE)
PA Hedged Equity Fund, L.P. (DE)
Private Advisors Hedged Equity Fund (QP), L.P. (DE)
 Private Advisors Hedged Equity Master Fund (DE)
PASOF GP, LLC (DE)
 PA Strategic Opportunities Fund, LP (DE)
PASCBF III GP, LLC (DE)
 Private Advisors Small Company Buyout Fund III, LP (DE)
PASCBF IV GP, LLC (DE)
 Private Advisors Small Company Buyout Fund IV, LP (DE)
PASCBF IV Carry Parent, LLC (DE)
 PASCBF IV Carry, LLC (DE)
PASCBF V GP, LLC (DE)
 Private Advisors Small Company Buyout Fund V, LP (DE)
 Private Advisors Small Company Buyout V-ERISA Fund, LP (DE)
PASCBF V Carry Parent, LLC (DE)
 PASCBF V Carry, LLC (DE)
PASCPEF VI Carry Parent, LLC (DE)
 PASCPEF VI Carry, LLC (DE)
PASCPEF VI GP, LLC (DE)
 Private Advisors Small Company Private Equity Fund VI, LP (DE)
 Private Advisors Small Company Private Equity Fund VI (Cayman), LP (CYM)
PASCPEF VII GP, LLC (DE)
 Private Advisors Small Company Private Equity Fund VII, LP (DE)
 Private Advisors Small Company Private Equity Fund VII (Cayman), LP (CYM)
PASCPEF VII Carry Parent, LLC (DE)
 PASCPEF VII Carry, LLC (DE)
PASCPEF VIII GP, LLC (DE)
 Private Advisors Small Company Private Equity Fund VIII, LP (DE)
 Private Advisors Small Company Private Equity Fund VIII (Cayman), LP (DE)
PASCPEF IX GP, LLC (DE)
 PA Small Company Private Equity Fund IX, LP (DE)
 PA Small Company Private Equity Fund IX, (Cayman) LP (CYM)
Cuyahoga Capital Partners IV Management Group LLC (DE)
 Cuyahoga Capital Partners IV LP (DE)
Cuyahoga Capital Emerging Buyout Partners Management Group LLC (DE)
Cuyahoga Capital Emerging Buyout Partners LP (DE)
PA Real Assets Carry Parent, LLC (DE)
 PA Real Assets Carry, LLC (DE)
PA Real Assets Carry Parent II, LLC (DE)
 PA Real Assets Carry II, LLC (DE)
PA Emerging Manager Carry Parent, LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

PA Emerging Manager Carry, LLC (DE)	NYLIM Service Company LLC (DE)
PA Emerging Manager Carry Parent II, LLC (DE)	NYL Workforce GP LLC (DE)
PA Emerging Manager Carry II, LLC (DE)	New York Life Investment Management LLC (DE)
RIC I GP, LLC (DE)	NYLIM Fund II GP, LLC (DE)
Richmond Coinvestment Partners I, LP (DE)	NYLIM-TND, LLC (DE)
RIC I Carry Parent, LLC (DE)	New York Life Investment Management Hong Kong Limited (CHN)
RIC I Carry, LLC (DE)	WFHG, GP LLC (DE)
PASF V GP, LLC (DE)	Workforce Housing Fund I-2007, LP (DE)
Private Advisors Secondary Fund V, LP (DE)	Index IQ Holdings Inc. (DE)
PASF V Carry Parent, LLC (DE)	IndexIQ LLC (DE)
PASF V Carry, LLC (DE)	IndexIQ Advisors LLC (DE)
PASF VI GP, LLC (DE)	IndexIQ Active ETF Trust (DE)
PA Secondary Fund VI, LP (DE)	IQ MacKay ESG Core Plus Bond ETF (DE)
PA Secondary Fund VI Coinvestments, LP (DE)	IQ MacKay California Municipal Intermediate ETF (DE)
PA Secondary Fund VI (Cayman), LP (CYM)	IndexIQ ETF Trust (DE)
PARAF GP, LLC (DE)	IQ 50 Percent Hedged FTSE International ETF (DE)
Private Advisors Real Assets Fund, LP (DE)	IQ 500 International ETF (DE)
PARAF Carry Parent, LLC (DE)	IQ Chaikin US Large Cap ETF (DE)
PARAF Carry, LLC (DE)	IQ Chaikin US Small Cap ETF (DE)
PASCCIF GP, LLC (DE)	IQ Clean Oceans ETF (DE)
Private Advisors Small Company Coinvestment Fund, LP (DE)	IQ Cleaner Transport ETF (DE)
Private Advisors Small Company Coinvestment Fund-ERISA, LP (DE)	IQ Engender Equality ETF (DE)
PASCCIF II GP, LLC (DE)	IQ Healthy Hearts ETF (DE)
PA Small Company Coinvestment Fund II, LP (DE)	IQ S&P High Yield Low Volatility Bd ETF (DE)
PA Small Company Coinvestment Fund II (Cayman), LP (CYM)	IQ Candriam ESG International Equity ETF (DE)
PASCCIF Carry Parent, LLC (DE)	IQ Candriam ESG US Equity ETF (DE)
PASCCIF Carry, LLC (DE)	New York Life Investment Management Holdings International (LUX)
PARAF II GP LLC (DE)	New York Life Investment Management Holdings II International (LUX)
Private Advisors Real Assets Fund II, LP (DE)	Candriam Group (LUX)
PARAF III GP, LLC (DE)	CGH UK Acquisition Company Limited (GBR)
PA Real Assets Fund III, LP (DE)	Tristan Capital Partners Holdings Limited (GBR)
Private Advisors Hedged Equity Fund, Ltd. (CYM)	Tristan Capital Holdings Limited (GBR)
Private Advisors Hedged Equity Fund (QP), Ltd. (CYM)	Tristan Capital Partners LLP (GBR)
Private Advisors Hedged Equity Master Fund, Ltd. (CYM)	EPISO 4 Co-Investment LLP (GBR)
SAF GP LLC (DE)	EPISO 4 (GP) LLP (GBR)
Social Advancement Fund, LP (DE)	EPISO 4 Incentive Partners LLP (GBR)
WTP GP, LLC (DE)	CCP 5 Co-Investment LLP (GBR)
West Tower Partners, LP (DE)	Tristan Capital Limited (GBR)
West Tower Partners, Ltd. (CYM)	Tristan Capital Partners LLP (GBR)
West Tower Partners SPC (CYM)	CCP III Co-Investment (GP) Limited (GBR)
Washington Pike GP, LLC (DE)	CCP III Co-Investment LP (GBR)
Washington Pike LP (DE)	CCP IV Co-Investment LP (GBR)
RidgeLake Partners GP, LLC (DE)	CCP III (GP) LLP (GBR)
RidgeLake Partners, LP (DE)	CCP III Incentive Partners (GP) Limited (GBR)
NYLCAP Holdings (Mauritius) (MUS)	CCP III Incentive Partners LP (GBR)
Jacob Ballas Capital India PVT, Ltd. (MUS)	CCP IV Incentive Partners LP (GBR)
Industrial Assets Holdings Limited (MUS)	Curzon Capital Partners III (GP) Limited (GBR)
JB Cerestra Investment Management LLP (MUS)	CCP III (GP) LLP (GBR)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

EPISO 3 Co-Investment (GP) Limited (GBR)
EPISO 3 Co-Investment LP (GBR)
EPISO 3 Incentive Partners (GP) Limited (GBR)
EPISO 3 Incentive Partners LP (GBR)
EPISO 3 IOM Limited (IMN)
CCP IV (GP) LLP (GBR)
Curzon Capital Partners IV (GP) Limited (GBR)
CCP 5 GP LLP (GBR)
CCP 5 Pool Partnership GP Limited (NJ)
CCP 5 Pool Partnership SLP (NJ)
Tristan Capital Partners Asset Management Limited (GBR)
TCP Poland Spolka z ograniczoną odpowiedzialnością (POL)
TCP Co-Investment (GP) S.à.r.l. (LUX)
TCP Co-Investment SCSP (LUX)
TCP Incentive Partners SCSP (LUX)
TCP Incentive Partners (GP) S.à.r.l. (LUX)
German Property Performance Partners Investors Feeder Verwaltungs GmbH (DEU)
EPISO 4 (GP) S.à.r.l. (LUX)
EPISO 4 (GP) II S.à.r.l. (LUX)
Tristan (Holdings) Limited (GBR)
EPISO 3 Feeder (GP) Limited (GBR)
CCP V Feeder (GP) LLP (GBR)
EPISO 4 Feeder (GP) LLP (GBR)
CCP 5 Feeder LLP (GBR)
Tristan Global Securities GP Limited (CYM)
Tristan Global Securities LP (CYM)
KTA Holdco (LUX)
Kartesia Management SA (LUX)
Kartesia UK Ltd. (GBR)
Kartesia Belgium (BEL)
Kartesia Credit FFS (FRA)
Kartesia GP III (LUX)
Kartesia Credit Opportunities III S.C.A., SICAV-SIF (LUX)
Kartesia Securities (LUX)
Kartesia III Topco S.à.r.l. (LUX)
Kartesia GP IV (LUX)
Kartesia Credit Opportunities IV SCS SICAV-SIF (LUX)
Kartesia Securities IV (LUX)
Kartesia Securities IV Topco S.à.r.l. (LUX)
Kartesia Master GP (LUX)
Kartesia Credit Opportunities V Feeder SCS (LUX)
Kartesia Senior Opportunities I SCS, SICAV-RAIF (LUX)
KASS Unleveled S.à.r.l. (LUX)
KSO I Topco S.à.r.l. (LUX)
Kartesia Credit Opportunities V SCS (LUX)
Kartesia Securities V S.à.r.l. (LUX)

Candriam Luxco S.à.r.l. (LUX)
Candriam Luxembourg (LUX)
Candriam Belgium (BEL)
Candriam France (FRA)
Candriam Monétaire SICAV (FRA)
Candriam Switzerland LLC (CHE)
Candriam GP (LUX)
Cordius (LUX)
Cordius CIG (LUX)
IndexIQ (LUX)
IndexIQ Factors Sustainable Corporate Euro Bond (LUX)
IndexIQ Factors Sustainable EMU Equity (LUX)
IndexIQ Factors Sustainable Europe Equity (LUX)
IndexIQ Factors Sustainable Japan Equity (LUX)
IndexIQ Factors Sustainable Sovereign Euro Bond (LUX)
Candriam Absolute Return (LUX)
Candriam Absolute Return Equity Market Neutral (LUX)
Candriam Absolute Return Long Short Digital Equity (LUX)
Candriam Alternative (LUX)
Candriam Alternative Systemat (LUX)
Candriam Bonds (LUX)
Candriam Bonds Capital Securities (LUX)
Candriam Bonds Credit Alpha (LUX)
Candriam Bonds Emerging Debt Local Currencies (LUX)
Candriam Bonds Euro Long Term (LUX)
Candriam Bonds Global Sovereign Quality (LUX)
Candriam Bonds International (LUX)
Candriam Diversified Futures (BEL)
Candriam Equities L (LUX)
Candriam Equities L EMU Innovation (LUX)
Candriam Equities L Europe Conviction (LUX)
Candriam Equities L Life Care (LUX)
Candriam Equities L Risk Arbitrage Opportunities (LUX)
Candriam GF (LUX)
Candriam GF AUSBIL Global Essential Infrastructure (LUX)
Candriam GF Short Duration US High Yield Bonds (LUX)
Candriam GF US Corporate Bonds (LUX)
Candriam GF US High Yield Corporate Bonds (LUX)
Candriam Global Alpha (LUX)
Candriam Impact One (LUX)
Candriam L (LUX)
Candriam L Balanced Asset Allocation (LUX)
Candriam L Defensive Asset Allocation (LUX)
Candriam L Dynamic Asset Allocation (LUX)
Candriam L Multi-Asset Income & Growth (LUX)
Candriam L Multi-Asset Premia (LUX)
Candriam MM Multi Strategies (FRA)
Candriam Multi-Strategies (LUX)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

New York Life Investment Management Holdings LLC (continued)

Candriam Quant (LUX)
Candriam Quant Equities Europe (LUX)
Candriam Quant Equities Multi-Factor EMU (LUX)
Candriam Quant Equities Multi-Factor Global (LUX)
Candriam Quant Equities USA (LUX)
Candriam SRI (LUX)
Candriam SRI Bond Emerging Markets (LUX)
Candriam SRI Bond Euro (LUX)
Candriam SRI Bond Euro Aggregate Index (LUX)
Candriam SRI Bond Euro Corporate (LUX)
Candriam SRI Bond Global High Yield (LUX)
Candriam SRI Equity Circular Economy (LUX)
Candriam SRI Equity Emerging Markets (LUX)
Candriam SRI Equity EMU (LUX)
Candriam SRI Equity Europe (LUX)
Candriam SRI Equity North America (LUX)
Candriam SRI Equity Pacific (LUX)
Candriam SRI Equity World (LUX)
Candriam Sustainable (LUX)
Candriam Sustainable Bond Global (LUX)
Candriam Sustainable Bond Impact (LUX)
Candriam Sustainable Equity Children (LUX)
Candriam Sustainable Equity Future Mobility (LUX)
Candriam World Alternative (LUX)
Candriam World Alternative Alphamax (LUX)
Paricor (LUX)
Paricor Patrimonium (LUX)
Ausbil Investment Management Limited (AUS)
Ausbil Australia Pty. Ltd. (AUS)
Ausbil Asset Management Pty. Ltd. (AUS)
Ausbil Global Infrastructure Pty. Limited (AUS)
ISPT Holding (AUS)
Ausbil Investment Management Limited Employee Share Trust (AUS)
Ausbil 130/30 Focus Fund (AUS)
Ausbil Active Sustainable Equity Fund (AUS)
Ausbil Australian Active Equity Fund (AUS)
Ausbil Australian Concentrated Equity Fund (AUS)
Ausbil Australian Emerging Leaders Fund (AUS)
Ausbil Australian Geared Equity Fund (AUS)
Ausbil Australian Smallcap Fund (AUS)
Ausbil Balanced Fund (AUS)
Ausbil EGS Focus Fund (AUS)
Ausbil Global Essential Infrastructure Fund (AUS)
Ausbil IT - Ausbil Global SmallCap Fund (AUS)
Ausbil IT - MacKay Shields Multi-Sector Bond Fund (AUS)
Ausbil IT - Ausbil Long Short Focus Fund (AUS)

NYLIFE Distributors LLC (DE)

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

NYLife Real Estate Holdings LLC

Huntsville NYL LLC (DE)	3665 Mallory JV LLC (DE)
CC Acquisitions, LP (DE)	REEP-OFC WATER RIDGE NC LLC (DE)
REEP-IND Cedar Farms TN LLC (DE)	REEP-OFC VIRIDIAN AZ LLC (DE)
REEP-IND Continental NC LLC (DE)	REEP-HINES VIRIDIAN JV LLC (DE)
LRC-Patriot, LLC (DE)	REEP-OFC 2300 Empire LLC (DE)
REEP-LRC Industrial LLC (DE)	REEP-MF Wynnewood PA LLC (DE)
REEP-IND Forest Park NJ LLC (DE)	Wynnewood JV LLC (DE)
FP Building 4 LLC (DE)	REEP-MU Fayetteville NC LLC (DE)
FP Building 1-2-3 LLC (DE)	501 Fayetteville JV LLC (DE)
FP Building 17, LLC (DE)	501 Fayetteville Owner LLC (DE)
FP Building 20, LLC (DE)	REEP-MU SOUTH GRAHAM NC LLC (DE)
FP Mantua Grove LLC (DE)	401 SOUTH GRAHAM JV LLC (DE)
FP Lot 1.01 LLC (DE)	401 SOUTH GRAHAM OWNER LLC (DE)
REEP-IND NJ LLC (DE)	REEP-IND COMMERCE CITY CO LLC (DE)
NJIND JV LLC (DE)	REEP-BRENNAN COMMERCE CITY JV LLC (DE)
NJIND Hook Road LLC (DE)	REEP-MF ART TOWER OR LLC (DE)
NJIND Bay Avenue LLC (DE)	REEP-WP ART TOWER JV LLC (DE)
NJIND Bay Avenue Urban Renewal LLC (DE)	REEP-OFC Mass Ave MA LLC (DE)
NJIND Corbin Street LLC (DE)	REEP-MF FARMINGTON IL LLC (DE)
REEP-MF Cumberland TN LLC (DE)	REEP-MARQUETTE FARMINGTON JV LLC (DE)
Cumberland Apartments, LLC (TN)	REEP-MARQUETTE FARMINGTON OWNER LLC (DE)
REEP-MF Enclave TX LLC (DE)	REEP-MF BELLEVUE STATION WA LLC (DE)
REEP-MF Marina Landing WA LLC (DE)	REEP-LP BELLEVUE STATION JV LLC (DE)
REEP-SP Marina Landing LLC (DE)	REEP-HINE ENCLAVE POINT AZ LLC (DE)
REEP-MF Mira Loma II TX LLC (DE)	REEP-HINES ENCLAVE POINT JV LLC (DE)
Mira Loma II, LLC (DE)	REEP-MF WILDHORSE RANCH TX LLC (DE)
REEP-MF Summitt Ridge CO LLC (DE)	REEP-WP WILDHORSE RANCH JV LLC (DE)
REEP-MF Woodridge IL LLC (DE)	
REEP-OF Centerpointe VA LLC (DE)	
Centerpointe (Fairfax) Holdings LLC (DE)	
REEP-OFC 575 Lex NY LLC (DE)	
REEP-OFC 575 Lex NY GP LLC (DE)	
Maple REEP-OFC 575 Lex Holdings LP (DE)	
Maple REEP-OFC 575 Lex Owner LLC (DE)	
REEP-RTL SASI GA LLC (DE)	
REEP-RTL Bradford PA LLC (DE)	
REEP-OFC Royal Centre GA LLC (DE)	
Royal Centre, LLC (DE)	
REEP-RTL CTC NY LLC (DE)	
REEP-OFC 5005 LBJ Freeway TX LLC (DE)	
5005 LBJ Tower LLC (DE)	
REEP-OFC/RTL MARKET ROSS TX LLC (DE)	
MARKET ROSS TX JV LLC (DE)	
MARKET ROSS TX GARAGE OWNER LC (DE)	
MARKET ROSS TX OFFICE OWNER LLC (DE)	
MARKET ROSS TX RETAIL OWNER LLC (DE)	
REEP-OFC Mallory TN LLC (DE)	

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
0826	New York Life Group	66915	13-5582869	1583827	0000071633		New York Life Insurance Company	NY	UDP						
0826	New York Life Group	91596	13-3044743	3683691	0000727136		New York Life Insurance and Annuity Corporation	DE	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
0826	New York Life Group	81353	52-1530175				NYLIFE Insurance Company of Arizona	AZ	RE	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			13-4199614				New York Life Enterprises LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			13-4081725	2928649	0001270096		NYLIFE LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			46-4293486		0001606720		NYL Investors LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			52-2206682		0001513831		New York Life Investment Management Holdings LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			27-0166422				NYLIFE Real Estate Holdings, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
0826	New York Life Group	64548	13-2556568				New York Life Group Insurance Company of NY	NY	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
0826	New York Life Group	65498	23-1503749				Life Insurance Company of North America	PA	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			06-1252418				LINA Benefit Payments, Inc.	DE	DS	Life Insurance Company of North America	Ownership	100.000	New York Life Insurance Company		
							New York Life Benefit Payments LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			47-2379075				NYL Real Assets LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			47-2530753				NYL Emerging Manager LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYL Wind Investments LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYLIC HKP Member LLC	DE	NIA	New York Life Insurance Company	Ownership	67.974	New York Life Insurance Company		
							New York Life Insurance and Annuity Corporation	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	32.026	New York Life Insurance Company		
							NYLIM Jacob Ballas India Holdings IV	MUS	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							Flatiron RR LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			98-1075997				Flatiron CLO 2013-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
			98-1180305				Flatiron CLO 2015-1 Ltd	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
			98-1330289				Flatiron CLO 17 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
							Flatiron CLO 18 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
							Flatiron CLO 18 Funding Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
							Flatiron CLO 19 Funding Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
							Flatiron CLO 20 Funding Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
							Flatiron CLO 21 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
							Flatiron RR CLO 22 LLC.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		
							Flatiron CLO 23 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		
							Flatiron RR CLO 24 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		
							Flatiron RR LLC, Manager Series	DE	NIA	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		
							Flatiron RR LLC, Retention Series	DE	NIA	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		
							Stratford CDO 2001-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		9
							Silver Spring, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							Silver Spring Associates, L.P.	PA	NIA	Silver Spring, LLC	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-002 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-003 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-006 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-007-LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-008 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-009 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-017 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-018 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-021 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-025 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-031 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-036 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-041 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-043 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-044 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-048 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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							SCP 2005-C21-061 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-063 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-067 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-069 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-070 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Ennis GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Ennis, L.P.	TX	NIA	NYMH-Ennis GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH-Freeport GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Freeport, L.P.	TX	NIA	NYMH-Freeport GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH-Houston GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Houston, L.P.	TX	NIA	NYMH-Houston GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH-Plano GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Plano, L.P.	TX	NIA	NYMH-Plano GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH-San Antonio GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-San Antonio, L.P.	TX	NIA	NYMH-San Antonio GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH-Stephenville GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Stephenville, L.P.	TX	NIA	NYMH-Stephenville GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH-Taylor GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Taylor, L.P.	TX	NIA	NYMH-Taylor GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH Attleboro MA, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Farmingdale, NY, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYLMDC-King of Prussia GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYLMDC-King of Prussia Realty, LP	DE	NIA	NYLMDC King of Prussia GP, LLC	Ownership	100.000	New York Life Insurance Company		
			47-3304035				2015 DIL PORTFOLIO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			47-3444658				PA 180 KOST RD LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company		
			82-2586171				2017 CT REO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership	62.308	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership	37.692	New York Life Insurance Company		
			82-2586171				2017 CT REO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							Cortlandt Town Center LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-HZ SPENCER LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND 10 WEST AZ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND 4700 Nall TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			37-1768259				REEP-IND Aegean MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Alpha TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			83-2598877				REEP-IND MCP VIII NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND CHINO CA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-MF STEWART AZ OLDER LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-MF STEWART AZ	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND FRANKLIN MA HOLDER LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			61-1738919				REEP-IND FREEDOM MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Fridley MN LLC	MN	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Green Oaks IL LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Kent LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			32-0442193				REEP-IND LYMAN MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			83-4607723				REEP-IND MCP II NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			83-4626597				REEP-IND MCP III NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			83-4646530				REEP-IND MCP IV NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			83-4685915				REEP-IND MCP V NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			83-4592121				REEP-IND MCP VII NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND MCP III OWNER NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND RTG NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Simonton TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Valley View TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Valwood TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
			82-1945938				REEP-MF 960 East Paces Ferry GA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
			84-4102691				REEP-MF 960 EPF Opco GA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MF Emblem DE LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
			84-4056296				REEP-MF Gateway TAF UT LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	99.000	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership.....	1.000	New York Life Insurance Company		
			84-4056296				REEP-MF Gateway TAF UT LLC	DE	NIA.....	REEP-MF Gateway TAF UT LLC	Ownership.....	99.000	New York Life Insurance Company		
			84-4028263							New York Life Insurance and Annuity Corporation	Ownership.....	1.000	New York Life Insurance Company		
							REEP-WP Gateway TAB JV LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MF Issaquah WA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MF Mount Vernon GA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MF Mount Laurel NJ LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MF NORTH PARK CA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MF AVERY TX LLC	DE	NIA.....	REEP-MF AVERY TX LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-AVERY OWNER LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MF Verde NC LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
			87-1661026				REEP-MF Wallingford WA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MF STEWART AZ HOLDER LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC Bellevue WA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC Financial Center FL LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC WATER RIDGE NC HOLDCO LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC ONE WATER RIDGE NC LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC TWO WATER RIDGE NC LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC FOUR WATER RIDGE NC LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC FIVE WATER RIDGE NC LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC SIX WATER RIDGE NC LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC SEVEN WATER RIDGE NC LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC EIGHT WATER RIDGE NC LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC NINE WATER RIDGE NC LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC TEN WATER RIDGE NC LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC ELEVEN WATER RIDGE NC LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
			81-2351415				REEP-MF FOUNTAIN PLACE MN LLC	DE	NIA.....	REEP-MF FOUNTAIN PLACE MN LLC	Ownership.....	100.000	New York Life Insurance Company		
			81-2456809				REEP-MF FOUNTAIN PLACE LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
			85-3514927				REEP-MF Park-Line FL LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC 2300 EMPIRE CA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-IND 10 WEST II AZ LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-RTL Flemington NJ LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
			85-3592979				REEP-RTL Mill Creek NJ LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-RTL NPM GA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP TAB ONE LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							REEP-RTL DTC VA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	39.000	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership.....	61.000	New York Life Insurance Company		
			87-2706041				REEP-RTL DTC VA LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	37.000	New York Life Insurance Company		
							REEP-RTL DTC-S VA LLC	DE	NIA.....	New York Life Insurance and Annuity Corporation	Ownership.....	63.000	New York Life Insurance Company		
			87-2706041				NJIND Raritan Center LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							NJIND Talmadge Road LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							NJIND Melrich Road LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							FP Building 18, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							FP Building 19, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							Enclave CAF, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							Summitt Ridge Apartments, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		
							PTC Acquisitions, LLC	DE	NIA.....	New York Life Insurance Company	Ownership.....	100.000	New York Life Insurance Company		

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
							Martingale Road LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							New York Life Funding	CYM	OTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company		.6
							New York Life Global Funding	DE	OTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company		.6
							Government Energy Savings Trust 2003-A	NY	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		.7
							UFI-NOR Federal Receivables Trust, Series 2009B	NY	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		.7
							JREP Fund Holdings I, L.P.	CYM	NIA	New York Life Insurance Company	Ownership	12.500	New York Life Insurance Company		
							Jaguar Real Estate Partners L.P.	CYM	NIA	New York Life Insurance Company	Ownership	30.300	New York Life Insurance Company		
							NYLIFE Office Holdings Member LLC	DE	NIA	New York Life Insurance Company	Ownership	51.000	New York Life Insurance Company		
					0001711406		NYLIFE Office Holdings LLC	DE	NIA	NYLIFE Office Holdings Member LLC	Ownership	51.000	New York Life Insurance Company		
					0001728620		NYLIFE Office Holdings REIT LLC	DE	NIA	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC DRAKES LANDING LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC CORPORATE POINTE CA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC VON KARMAN CA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC ONE BOWDOIN SQUARE MA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC 525 N Tryon NC LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company		
			47-2591038				525 Charlotte Office LLC	DE	NIA	REEP-OFC 525 N Tryon NC LLC	Ownership	100.000	New York Life Insurance Company		
					0001728621		NYLIFE Office Holdings Acquisition REIT LLC	DE	NIA	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company		
										NYLIFE Office Holdings Acquisition REIT LLC					
							REEP OFC Westory DC LLC	DE	NIA		Ownership	100.000	New York Life Insurance Company		
							Skyhigh SPV Note Issuer 2020 Parent Trust	DE	NIA	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		.7
							Skyhigh SPV Note Issuer 2020 LLC	DE	NIA	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		.7
							MSVEF Investor LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
					0001742549		MSVEF Feeder LP	DE	NIA	MSVEF Investor LLC	Ownership	55.560	New York Life Insurance Company		
							MSVEF REIT LLC	DE	NIA	MSVEF Feeder LP	Ownership	55.560	New York Life Insurance Company		
							Madison Square Value Enhancement Fund LP	DE	NIA	MSVEF REIT LLC	Ownership	51.000	New York Life Insurance Company		
							MSVEF-MF Evanston GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	51.000	New York Life Insurance Company		
							MSVEF-MF Evanston II LP	DE	NIA	MSVEF-MF Evanston GP LLC	Ownership	51.000	New York Life Insurance Company		
							MSVEF-MF HUNTINGTON PARK GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	100.000	New York Life Insurance Company		
							MSVEF-MF HUNTINGTON PARK IIA LP	DE	NIA	MSVEF-MF HUNTINGTON PARK GP LLC	Ownership	100.000	New York Life Insurance Company		
							MSVEF-OFC Tampa GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	100.000	New York Life Insurance Company		
							MSVEF-OFC WFC Tampa FL LP	DE	NIA	MSVEF-OFC Tampa GP LLC	Ownership	100.000	New York Life Insurance Company		
							MSVEF-FG WFC Tampa JV LP	DE	NIA	MSVEF-OFC WFC Tampa FL LP	Ownership	100.000	New York Life Insurance Company		
							MSVEF-OFC WFC Tampa PO GP LLC	DE	NIA	MSVEF-FG WFC Tampa JV LP	Ownership	100.000	New York Life Insurance Company		
							MSVEF-FG WFC Property Owner LP	DE	NIA	MSVEF-OFC WFC Tampa PO GP LLC	Ownership	100.000	New York Life Insurance Company		
							MSVEF-IND Commerce 303 GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	100.000	New York Life Insurance Company		
							MSVEF-IND Commerce 303 AZ LP	DE	NIA	MSVEF-IND Commerce 303 GP LLC	Ownership	100.000	New York Life Insurance Company		
							MSVEF-SIW Commerce 303 JV LP	DE	NIA	MSVEF-IND Commerce 303 AZ LP	Ownership	95.000	New York Life Insurance Company		
							SEAF Sichuan SME Investment Fund LLC	DE	NIA	New York Life Enterprises LLC	Ownership	39.980	New York Life Insurance Company		
			98-0412951				New York Life International Holdings Limited	MUS	NIA	New York Life Enterprises LLC	Ownership	84.380	New York Life Insurance Company		
			98-0412951				New York Life International Holdings Limited	MUS	NIA	NYL Cayman Holdings Ltd.	Ownership	15.620	New York Life Insurance Company		
										New York Life International Holdings Limited					
							Max Ventures and Industries Limited	IND	NIA	Limited	Ownership	21.300	New York Life Insurance Company		
							Max Ventures and Industries Limited	IND	NIA	New York Life Insurance Company	Ownership	1.400	New York Life Insurance Company		
							Max I Ltd.	IND	NIA	Max Ventures and Industries Limited	Ownership	100.000	New York Life Insurance Company		
							Max Assets Services Ltd.	IND	NIA	Max Ventures and Industries Limited	Ownership	100.000	New York Life Insurance Company		
							Max Specialty Films Ltd.	IND	NIA	Max Ventures and Industries Limited	Ownership	51.000	New York Life Insurance Company		
							Max Estates Ltd.	IND	NIA	Max Ventures and Industries Limited	Ownership	100.000	New York Life Insurance Company		
							Max Square Limited	IND	NIA	Max Estates Ltd.	Ownership	51.000	New York Life Insurance Company		
							Max Square Limited	IND	NIA	New York Life Insurance Company	Ownership	49.000	New York Life Insurance Company		
							Pharmax Corporation Ltd.	IND	NIA	Max Estates Ltd.	Ownership	85.170	New York Life Insurance Company		
							Wise Zone Builders Pvt. Ltd.	IND	NIA	Max Estates Ltd.	Ownership	100.000	New York Life Insurance Company		

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							NYL Cayman Holdings Ltd.	CYM	NIA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company		
							NYL Worldwide Capital Investments, LLC	DE	NIA	NYL Cayman Holdings Ltd.	Ownership	100.000	New York Life Insurance Company		
							Seguros Monterrey New York Life, S.A. de C.V.								
								MEX	IA	New York Life Enterprises LLC	Ownership	99.998	New York Life Insurance Company		
							Seguros Monterrey New York Life, S.A. de C.V.								
								MEX	IA	NYL Worldwide Capital Investments	Ownership	0.002	New York Life Insurance Company		
							Administradora de Conductos SMNYL, S.A. de C.V.			Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company		
							Agencias de Distribucion SMNYL, S.A. de C.V.			Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company		
								MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company		
							Inmobiliaria SMNYL, S.A. de C.V.			Agencias de Distribucion SMNYL, S.A. de C.V.	Ownership	1.000	New York Life Insurance Company		
								MEX	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							Eagle Strategies LLC			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							Fabric of Family LLC			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Capital Corporation			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Trust Company			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIFE Securities LLC			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							NYLINK Insurance Agency Incorporated			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							NYLUK I Company			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							NYLUK II Company			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							Gresham Mortgage			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							W Construction Company			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							WUT			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							WIM (AIM)			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							NYL Investors (U.K.) Limited			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							NYL Investors REIT Manager LLC			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							NYL Investors NCVAD II GP, LLC			NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
										McMorgan Northern California Value					
							MNCVAD II-MF HENLEY CA LLC			Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company		
							MNCVAD II-SP HENLEY JV LLC			MNCVAD II-MF HENLEY CA LLC	Ownership	90.000	New York Life Insurance Company		
							MNCVAD II-SP HENLEY OWNER LLC			MNCVAD II-SP HENLEY JV LLC	Ownership	100.000	New York Life Insurance Company		
										McMorgan Northern California Value					
							MNCVAD II-OFC 770 L Street CA LLC			Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company		
										McMorgan Northern California Value					
							MNCVAD II-MF UNION CA LLC			Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company		
							MNCVAD II- HOLIDAY UNION JV LLC			MNCVAD II-MF UNION CA LLC	Ownership	90.000	New York Life Insurance Company		
										McMorgan Northern California Value					
							MNCVAD II-OFC HARBORS CA LLC			Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company		
							MNCVAD II-SEAGATE HARBORS LLC			MNCVAD II-OFC HARBORS CA LLC	Ownership	90.000	New York Life Insurance Company		
										McMorgan Northern California Value					
							MNCVAD II-OFC 630 K Street CA LLC			Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company		
							MSSDF GP LLC			NYL Investors LLC	Ownership	100.000	New York Life Insurance Company		
							MSSDF Member LLC			New York Life Insurance Company	Ownership	35.000	New York Life Insurance Company		
										New York Life Insurance and Annuity					
							MSSDF Member LLC			Corporation	Ownership	65.000	New York Life Insurance Company		
							Madison Square Structured Debt Fund LP			New York Life Insurance Company	Ownership	14.100	New York Life Insurance Company		
										New York Life Insurance and Annuity					
							Madison Square Structured Debt Fund LP			Corporation	Ownership	26.300	New York Life Insurance Company		
							MSSDF REIT LLC			Madison Square Structured Debt Fund LP	Ownership	100.000	New York Life Insurance Company		
							MSSDF REIT Funding Sub I LLC			MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company		
							MSSDF REIT Funding Sub II LLC			MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company		
							MSSDF REIT Funding Sub III LLC			MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company		

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			87-3760197				MSSDF REIT Funding Sub IV LLC	DE	NIA	MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company		
							MSSDF REIT Funding Sub V LLC	DE	NIA	MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company		
							MSVEF GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company		
							MCPF GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company		
			94-3390961				Madison Core Property Fund LP	DE	NIA	NYL Investors LLC	Management	0.000	New York Life Insurance Company		11
			83-4025228				MCPF Holdings Manager LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
			83-4049223				MCPF MA Holdings LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MCPF Holdings LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MADISON-IND TAMARAC FL	DE	NIA	MCPF Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Mill Creek, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Gateway, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Gateway Phases II and III, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Delta Court, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Fremont Distribution Center, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Century, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Saddle River LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Newport Commons, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Northsight, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Riverside, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Corporate Woods, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							Bartons Lodge Apartments, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	90.000	New York Life Insurance Company		
							MIREF 101 East Crossroads, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							101 East Crossroads, LLC	DE	NIA	MIREF 101 East Crossroads, LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Hawthorne, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Auburn 277, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Sumner North, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Wellington, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Warner Center, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-MF Duluth GA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-OFC Centerstone I CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-OFC Centerstone III CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-MOB Centerstone IV CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-OFC Centerpoint Plaza CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
			47-2279230				MADISON-IND Logistics NC LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
			47-2283899				MCPF-LRC Logistics LLC	DE	NIA	MADISON-IND Logistics NC LLC	Ownership	90.000	New York Life Insurance Company		
							MADISON-MF Desert Mirage AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-OFC One Main Place OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-IND Fenton MO LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-IND Hitzert Roadway MO LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-MF Hoyt OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
			47-5172577				MADISON-RTL Clifton Heights PA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-IND Locust CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
			47-5640009				MADISON-OFC Weston Pointe FL LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-SP Henderson LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	90.000	New York Life Insurance Company		
							MADISON-MF MCCADDEN CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-OFC 1201 WEST IL LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-MCCAFFERY 1201 WEST IL LLC	DE	NIA	MADISON-OFC 1201 WEST IL LLC	Ownership	92.500	New York Life Insurance Company		
							MADISON-MF CRESTONE AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
			83-4019048				MADISON-MF TECH RIDGE TX LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-RTL SARASOTA FL, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-MOB CITRACADO CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-ACG THE MEADOWS WA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-ACG THE MEADOWS JV LLC	DE	NIA	MADISON-ACG THE MEADOWS WA LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-ACG THE MEADOWS OWNER LLC	DE	NIA	MADISON-ACG THE MEADOWS JV LLC	Ownership	100.000	New York Life Insurance Company		

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			87-4097153 87-4075458				Madison-MF Osprey QRS Inc Madison-MF Osprey NC GP LLC Madison-MF Osprey NC LP Madison-MF Osprey NC LP New York Life Investment Management Asia LimitedDE..... ..DE..... ..DE..... ..DE..... ..CYM.....	..NIA..... ..NIA..... ..NIA..... ..NIA..... ..NIA.....	Madison Core Property Fund LP Madison-MF Osprey QRS Inc. Madison-MF Osprey QRS Inc. Madison-MF Osprey NC LP New York Life Investment Management Holdings LLC New York Life Investment Management Holdings LLC	Ownership..... Ownership..... Ownership..... Ownership..... Ownership..... Ownership.....	100.000 100.000 99.000 1.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			13-4080466		0000061227		MackKay Shields LLC MackKay Shields Emerging Markets Debt Portfolio MackKay Shields Core Plus Opportunities Fund GP LLC MackKay Shields Core Plus / Opportunities Fund LP MackKay Municipal Managers Opportunities GP LLC MackKay Municipal Opportunities Master Fund, L.P.DE..... ..DE..... ..DE..... ..DE..... ..DE..... ..DE.....	..NIA..... ..NIA..... ..NIA..... ..NIA..... ..NIA..... ..NIA.....	MackKay Shields LLC MackKay Shields LLC MackKay Shields Core Plus Opportunities Fund GP LLC MackKay Shields Core Plus Opportunities Fund GP LLC MackKay Shields LLC MackKay Municipal Managers Opportunities GP LLC	Ownership..... Ownership..... Ownership..... Ownership..... Ownership..... Ownership.....	100.000 100.000 100.000 100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			27-2850988				MackKay Shields Core Plus / Opportunities Fund LP MackKay Municipal Managers Opportunities GP LLC MackKay Municipal Opportunities Master Fund, L.P.DE..... ..DE..... ..DE.....	..NIA..... ..NIA..... ..NIA.....	MackKay Shields Core Plus Opportunities Fund GP LLC MackKay Shields LLC MackKay Municipal Managers Opportunities GP LLC	Ownership..... Ownership..... Ownership.....	100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			27-2851036		0001502131		MackKay Municipal Managers Opportunities GP LLC MackKay Municipal Opportunities Master Fund, L.P.DE..... ..DE.....	..NIA..... ..NIA.....	MackKay Shields LLC MackKay Municipal Managers Opportunities GP LLC	Ownership..... Ownership.....	100.000 100.000	New York Life Insurance Company New York Life Insurance Company		
			27-0676586				MackKay Municipal Opportunities Master Fund, L.P.DE.....	..NIA.....	MackKay Municipal Managers Opportunities GP LLC	Ownership.....	100.000	New York Life Insurance Company		
			26-2332835		0001432467		MackKay Municipal Opportunities Fund, L.P.DE.....	..NIA.....	MackKay Municipal Managers Opportunities GP LLC	Ownership.....	100.000	New York Life Insurance Company		
			22-2267512		0001432468		MackKay Municipal Opportunities Fund, L.P.DE.....	..NIA.....	MackKay Municipal Managers Opportunities GP LLC	Ownership.....	100.000	New York Life Insurance Company		
			27-0676650				MackKay Municipal Managers Credit Opportunities GP, LLCDE.....	..NIA.....	MackKay Shields LLC MackKay Municipal Managers Credit Opportunities GP LLC	Ownership..... Ownership.....	100.000 100.000	New York Life Insurance Company New York Life Insurance Company		
			30-0523736		0001460030		MackKay Municipal Credit Opportunities Master Fund, L.P.DE.....	..NIA.....	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership.....	100.000	New York Life Insurance Company		
			30-0523739		0001460023		MackKay Municipal Credit Opportunities Fund, L.P.DE.....	..NIA.....	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership.....	100.000	New York Life Insurance Company		
			38-4019880		0001700102		MackKay Municipal Credit Opportunities HL Fund, L.P.DE.....	..NIA.....	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership.....	100.000	New York Life Insurance Company		
			98-1374021				MackKay Municipal Managers Credit Opportunities HL (Cayman) GP LLCCYM.....	..NIA.....	MackKay Shields LLC MackKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC	Ownership..... Ownership.....	100.000 100.000	New York Life Insurance Company New York Life Insurance Company		
			98-1370729		0001710885		MackKay Municipal Credit Opportunities HL (Cayman) Fund, LPCYM.....	..NIA.....	MackKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC	Ownership.....	100.000	New York Life Insurance Company		
			45-3040968				MackKay Municipal Short Term Opportunities Fund GP LLCDE.....	..NIA.....	MackKay Shields LLC MackKay Municipal Short Term Opportunities Fund GP LLC	Ownership..... Ownership.....	100.000 100.000	New York Life Insurance Company New York Life Insurance Company		
			45-3041041		0001532022		MackKay Municipal Short Term Opportunities Fund LPDE.....	..NIA.....	MackKay Municipal Short Term Opportunities Fund GP LLC	Ownership.....	100.000	New York Life Insurance Company		
							Plainview Funds plcIRL.....	..NIA.....	MackKay Shields LLC	Ownership.....	50.000	New York Life Insurance Company		
							Plainview Funds plcIRL.....	..NIA.....	MackKay Shields LLC	Board of Directors.....	0.000	New York Life Insurance Company		
			27-3064248				MackKay Shields High Yield Active Core Fund GP LLCDE.....	..NIA.....	MackKay Shields LLC MackKay Shields High Yield Active Core Fund GP LLC	Ownership..... Ownership.....	100.000 100.000	New York Life Insurance Company New York Life Insurance Company		
			26-4248749		0001502130		MackKay Shields Credit Strategy Fund LtdDE.....	..NIA.....	MackKay Shields High Yield Active Core Fund GP LLC	Ownership.....	100.000	New York Life Insurance Company		
			98-0540507				MackKay Shields Credit Strategy Partners LPCYM.....	..NIA.....	MackKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company		
			13-4357172	3859263			MackKay Shields Defensive Bond Arbitrage Fund Ltd.DE.....	..NIA.....	MackKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company		
					0001502133		MackKay Shields Defensive Bond Arbitrage Fund Ltd.BMJ.....	..NIA.....	MackKay Shields LLC	Ownership.....	0.160	New York Life Insurance Company		
					0001502133		MackKay Shields Defensive Bond Arbitrage Fund Ltd.BMJ.....	..NIA.....	New York Life Insurance Company	Ownership.....	14.780	New York Life Insurance Company		
			45-2732939				MackKay Shields Core Fixed Income Fund GP LLCDE.....	..NIA.....	MackKay Shields LLC MackKay Shields Core Fixed Income Fund GP LLC	Ownership..... Ownership.....	100.000 100.000	New York Life Insurance Company New York Life Insurance Company		
			45-2733007		0001529525		MackKay Shields Core Fixed Income Fund LPDE.....	..NIA.....	MackKay Shields Core Fixed Income Fund GP LLC	Ownership.....	100.000	New York Life Insurance Company		
			82-1760156				MackKay Shields Select Credit Opportunities Fund GP LLCDE.....	..NIA.....	MackKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company		

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PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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			81-4553436		0001703194		MackKay Shields Select Credit Opportunities Fund LP	DE	NIA	MackKay Shields Select Credit Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
			98-1108933				MackKay Shields (International) Ltd.	GBR	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			98-1108959				MackKay Shields (Services) Ltd.	GBR	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			98-1108940				MackKay Shields UK LLP	GBR	NIA	MackKay Shields (International) Ltd.	Ownership	99.000	New York Life Insurance Company		
			98-1108940				MackKay Shields UK LLP	GBR	NIA	MackKay Shields (Services) Ltd.	Ownership	1.000	New York Life Insurance Company		
			47-3358622				MackKay Municipal Managers California Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
							MackKay Municipal California Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers California Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			81-2401724				MackKay Municipal New York Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			38-4002797		0001685030		MackKay Municipal New York Opportunities Fund, L.P.	DE	NIA	MackKay Municipal New York Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
					0001700100		MackKay Municipal Opportunity HL Fund LP	DE	NIA	MackKay Municipal New York Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			81-2575585				MackKay Municipal Capital Trading GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			36-4846547				MackKay Municipal Capital Trading Master Fund, L.P.	DE	NIA	MackKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company		
			37-1836504				MackKay Municipal Capital Trading Fund, L.P.	DE	NIA	MackKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company		
			81-4932734				MackKay Municipal Managers Strategic Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			37-1846456		0001701742		MackKay Municipal Strategic Opportunities Fund LP	DE	NIA	MackKay Municipal Managers Strategic Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			82-1728099				MackKay Shields US Equity Market Neutral Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			27-4320205		0001510983		MackKay Cornerstone US Equity Market Neutral Fund LP	DE	NIA	MackKay Shields US Equity Market Neutral Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
			82-1715543				MackKay Shields Intermediate Bond Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			82-1716026		0001715261		MackKay Shields Intermediate Bond Fund LP	DE	NIA	MackKay Shields Intermediate Bond Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
			13-4080466				MackKay Shields General Partner (L/S) LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			02-0633343				MackKay Shields Long/Short Fund (Master)	DE	NIA	MackKay Shields General Partner (L/S) LLC	Ownership	100.000	New York Life Insurance Company		
							MackKay Municipal Managers Opportunities Allocation GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			83-3051488				MackKay Municipal Managers Opportunities Allocation Master Fund LP	DE	NIA	MackKay Municipal Managers Opportunities Allocation GP LLC	Ownership	100.000	New York Life Insurance Company		
			83-3085547				MackKay Municipal Managers Opportunities Allocation Fund A LP	DE	NIA	MackKay Municipal Managers Opportunities Allocation GP LLC	Ownership	100.000	New York Life Insurance Company		
			83-3088001				MackKay Municipal Managers Opportunities Allocation Fund B LP	DE	NIA	MackKay Municipal Managers Opportunities Allocation GP LLC	Ownership	100.000	New York Life Insurance Company		
							MackKay Municipal Managers U.S. Infrastructure – Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			83-3010096				MackKay Municipal U.S. Infrastructure Opportunities Fund LP	DE	NIA	MackKay Municipal Managers U.S. Infrastructure – Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			84-2017635				MackKay Municipal Managers High Yield Select GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			84-2046842		0001783642		MackKay Municipal High Yield Select Fund LP	DE	NIA	MackKay Municipal Managers High Yield Select GP LLC	Ownership	100.000	New York Life Insurance Company		
			85-0605318				MackKay Flexible Income Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
					0001811009		MackKay Flexible Income Fund LP	DE	NIA	MackKay Flexible Income Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
							MackKay Multi-Asset Real Return Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		

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PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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							MackKay Multi-Asset Real Return Fund LP	DE	NIA	MackKay Multi-Asset Real Return Fund GP LLC	Ownership.....	100.000	New York Life Insurance Company		
							MackKay Multi-Asset Income Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company		
							MackKay Multi-Asset Income Fund LP	DE	NIA	MackKay Multi-Asset Income Fund GP LLC	Ownership.....	100.000	New York Life Insurance Company		
							MackKay Municipal Managers High Income Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company		
							MackKay Municipal High Income Opportunities Fund LP	DE	NIA	MackKay Municipal Managers High Income Opportunities GP LLC	Ownership.....	100.000	New York Life Insurance Company		
							Cascade CLO Manager LLC	DE	NIA	MackKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company		
							MKS CLO Holdings GP LLC	DE	NIA	Cascade CLO Manager LLC	Ownership.....	100.000	New York Life Insurance Company		
							MKS CLO Holdings, LP	CYM	NIA	MKS CLO Holdings GP LLC	Ownership.....	100.000	New York Life Insurance Company		
							MKS CLO Advisors, LLC	DE	NIA	MackKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company		
							MackKay Shields Europe Investment Management Limited	IRL	NIA	MackKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company		
							MackKay Shields European Credit Opportunity Fund Limited	NJ	NIA	New York Life Insurance and Annuity Corporation	Ownership.....	33.000	New York Life Insurance Company		
							MackKay Shields European Credit Opportunity Fund Limited	NJ	NIA	MackKay Shields LLC	Ownership.....	67.000	New York Life Insurance Company		
							MKS TALF Opportunities Fund GP, LLC	DE	NIA	MackKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company		
							MackKay Shields TALF 2.0 Opportunities Feeder Fund (Cayman) LP	CYM	NIA	MKS TALF Opportunities Fund GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
							MackKay Shields TALF 2.0 Opportunities Feeder Fund (Cayman GBP-Hedged) LP	CYM	NIA	MKS TALF Opportunities Fund GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
			85-0866088				MackKay Shields TALF 2.0 Opportunities Feeder Fund (US) LP	DE	NIA	MKS TALF Opportunities Fund GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
			85-0860318				MackKay Shields TALF 2.0 Opportunities Master Fund LP	DE	NIA	MKS TALF Opportunities Fund GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
			87-1580419				MKS Global Sustainable Emerging Markets Equities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company		
			87-1621347				Candriam Global Sustainanble Emerging Markets Equities Fund LP	DE	NIA	MKS Global Sustainable Emerging Markets Equities Fund GP LLC	Ownership.....	100.000	New York Life Insurance Company		
			87-1598388				MKS Global Emerging Markets Equities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership.....	100.000	New York Life Insurance Company		
			87-1645818				Candriam Global Emerging Markets Equities Fund LP	DE	NIA	MKS Global Emerging Markets Equities Fund GP LLC	Ownership.....	100.000	New York Life Insurance Company		
					0001453415		Cornerstone Capital Management Holdings LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company		
							Madison Square Investors Asian Equity Market Neutral Fund GP, LLC	DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company		
							Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company		
					0001329043		Cornerstone Capital Management Large-Cap Enhanced Index Fund, LP	DE	NIA	Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
			85-1664787				New York Life Investments Alternatives LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company		
			36-4715120				Madison Capital Funding LLC	DE	NIA	New York Life Insurance Company	Ownership.....	21.900	New York Life Insurance Company		
			36-4715120				Madison Capital Funding LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership.....	78.100	New York Life Insurance Company		
			26-2806813				MCF Co-Investment GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership.....	100.000	New York Life Insurance Company		
			26-2806864		0001538585		MCF Co-Investment GP LP	DE	NIA	MCF Co-Investment GP LLC	Ownership.....	100.000	New York Life Insurance Company		
			26-2806918		0001538584		Madison Capital Funding Co-Investment Fund LP	DE	NIA	MCF Co-Investment GP LP	Ownership.....	100.000	New York Life Insurance Company		
			80-0920962				Madison Avenue Loan Fund GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership.....	100.000	New York Life Insurance Company		
			61-1711540		0001577927		Madison Avenue Loan Fund LP	DE	NIA	Madison Avenue Loan Fund GP LLC	Ownership.....	100.000	New York Life Insurance Company		

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			30-1143853				MCF Fund I LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company		
							MCF Hanwha Fund LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
							Ironshore Investment BL I Ltd.	BMJ	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
			46-2213974				MCF CLO IV LLC	DE	NIA	New York Life Insurance Company	Ownership	6.700	New York Life Insurance Company		
			46-2213974				MCF CLO IV LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
			81-4067250				MCF CLO V LLC	DE	NIA	New York Life Insurance Company	Ownership	5.000	New York Life Insurance Company		
			81-4067250				MCF CLO V LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
			82-1943737				MCF CLO VI LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
			82-2734635				MCF CLO VII LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
							MCF CLO VIII Ltd	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
							MCF CLO VIII LLC	DE	NIA	MCF CLO VIII Ltd	Ownership	100.000	New York Life Insurance Company		
							MCF CLO IX Ltd	CYM	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
							MCF CLO IX LLC	DE	NIA	MCF CLO IX Ltd.	Ownership	100.000	New York Life Insurance Company		
			36-4883128				MCF KB Fund LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
			61-1907486				MCF KB Fund II LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
			84-3329380				MCF Hyundai Fund LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
			85-1708233				MCF Senior Debt Fund 2020 LP	CYM	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
			37-1749427				Montpelier Carry Parent, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company		
							Montpelier Carry, LLC	DE	NIA	Montpelier Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
			46-2042988				Montpelier GP, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company		
			46-2042988		0001570694		Montpelier Fund, L.P.	DE	NIA	Montpelier GP, LLC	Ownership	100.000	New York Life Insurance Company		
			35-2537165				MCF Mezzanine Carry I LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
			32-0469843				MCF Mezzanine Fund I LLC	DE	NIA	New York Life Insurance Company	Ownership	66.670	New York Life Insurance Company		
							New York Life Insurance and Annuity Corporation	DE	NIA		Ownership	33.330	New York Life Insurance Company		
			32-0469843				MCF Mezzanine Fund I LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
			98-1450997				MCF PD Fund GP LLC	DE	NIA	MCF PD Fund GP LLC	Other	0.000	New York Life Insurance Company		1
							MCF PD Fund LP	DE	NIA	MCF PD Fund GP LLC	Other	0.000	New York Life Insurance Company		1
							MCF Senior Debt Fund 2019-I GP LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		1
			83-4242231				MCF Senior Debt Fund 2019-I LP	DE	NIA	MCF Senior Debt Fund 2019-I GP LLC	Other	0.000	New York Life Insurance Company		1
							Warwick Seller Representative, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company		
							Young America Holdings, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	36.350	New York Life Insurance Company		
				2644154			YAC.ECOM Incorporated	MN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							Young America, LLC	MN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							Global Fulfillment Services, Inc.	AZ	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company		
							SourceOne Worldwide, Inc.	MN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company		
							YA Canada Corporation	CAN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Investments Alternatives LLC								
							GoldPoint Partners LLC	DE	NIA		Ownership	100.000	New York Life Insurance Company		
					0001293285		New York Life Capital Partners II, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Capital Partners III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Capital Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Capital Partners IV GenPar, LP	DE	NIA	New York Life Capital Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
								DE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							New York Life Capital Partners IV, LP	DE	NIA		Ownership	100.000	New York Life Insurance Company		
							GoldPoint Core Opportunities Fund, L.P.	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Core Opportunities Fund II L.P.	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Mezzanine Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Mezzanine Partners IV GenPar LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		

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					0001670568		GoldPoint Mezzanine Partners Co-Investment Fund A, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company		
					0001652367		GoldPoint Mezzanine Partners IV, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV A Blocker LP (GPPMBA)	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV A Preferred Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV B Blocker LP (GPPMBB)	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV C Blocker LP (GPPMBC)	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV D Blocker LP (GPPMBD)	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV E Blocker LPP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV ECI Aggregator LP	DE	NIA	GPP Mezz IV E Blocker LPP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV F Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV G Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV H Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV I Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Mezzanine Partners Offshore IV, L.P.	CYM	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Co-Investment V GenPar GP LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Co-Investment V GenPar, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar GP LLC	Ownership	100.000	New York Life Insurance Company		
					0001670563		GoldPoint Partners Co-Investment Fund-A, LP	DE	NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company		
					0001562188		GoldPoint Partners Co-Investment V, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP V ECI Aggregator LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company		
							GPP V F Blocker Holdco LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP V G Blocker Holdco LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Private Debt V GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Private Debt Offshore V, LP	CYM	NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GPP Private Debt V RS LP	DE	NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Private Debt V GenPar GP, LP	DE	NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Private Debt V, LP	DE	NIA	GoldPoint Partners Private Debt V GenPar GP, LP	Ownership	100.000	New York Life Insurance Company		
							GPP PD V A Blocker, LLC	DE	NIA	GoldPoint Partners Private Debt V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Private Debt V-ECI Aggregator LP	DE	NIA	GoldPoint Partners Private Debt V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP PD V B Blocker, LLC	DE	NIA	GoldPoint Partners Private Debt V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP PD V C Blocker, LLC	DE	NIA	GoldPoint Partners Private Debt V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP PD V D Blocker LLC	DE	NIA	GoldPoint Partners Private Debt V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP LuxCo V GP Sarl	LUX	NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GPP Private Debt LuxCo V SCSp	LUX	NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager III GenPar, L.P.	CYM	NIA	GoldPoint Partners Select Manager III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
					0001644721		GoldPoint Partners Select Manager Fund III, L.P.	.CYM	NIA	GoldPoint Partners Select Manager III GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager Fund III AIV, L.P.	.DE	NIA	GoldPoint Partners Select Manager III GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager IV GenPar GP, LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager IV GenPar, L.P.	.DE	NIA	GoldPoint Partners Select Manager IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
					0001725867		GoldPoint Partners Select Manager Fund IV, L.P.	.DE	NIA	GoldPoint Partners Select Manager IV GenPar, L.P.	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager V GenPar GP, LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager V GenPar, L.P.	.DE	NIA	GoldPoint Partners Select Manager V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager Fund V, L.P.	.DE	NIA	GoldPoint Partners Select Manager V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Canada V GenPar Inc.	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager Canada Fund V, L.P.	.CAN	NIA	GoldPoint Partners Canada V GenPar Inc.	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Canada III GenPar, Inc.	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager Canada Fund III, L.P.	.CAN	NIA	GoldPoint Parners Canada III GenPar, Inc.	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Parners Canada IV GenPar Inc	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager Canada Fund IV, L.P.	.CAN	NIA	GoldPoint Parners Canada IV GenPar Inc	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Co-Investment VI GenPar GP LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Co-Investment VI GenPar, LP	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar GP LLC	Ownership	100.000	New York Life Insurance Company		
					0001712763		GoldPoint Partners Co-Investment VI LP	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI – ECI Aggregator LP	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI Blocker A LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI Blocker B LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI Blocker C LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI Blocker D LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI Blocker E LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI Blocker I LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Co-Invest VII GenPar, GP LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GPP Co-Invest VII, GenPar LP	.DE	NIA	GPP Co-Invest VII GenPar, GP LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Co-Investment VII, LP	.DE	NIA	GPP Co-Invest VII, GenPar LP	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Private Credit GenPar GP, LLC	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
					0001718352		GoldPoint Private Credit Fund, LP	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							Goldpoint Partners Canada GenPar, Inc.	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							NVLCAP Select Manager Canada Fund, LP	.CAN	NIA	NVLCAP Canada GenPar, Inc.	Ownership	100.000	New York Life Insurance Company		
							NVLCAP Canada II GenPar, Inc.	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							NVLCAP Select Manager Canada Fund II, L.P.	.CAN	NIA	NVLCAP Canada II GenPar, Inc.	Ownership	100.000	New York Life Insurance Company		

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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							NYLIM Mezzanine Partners II GenPar GP, LLC ..	DE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company		
							NYLIM Mezzanine Partners II GenPar, LP	DE	NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
							NYLCAP Mezzanine Partners III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company		
							NYLCAP Mezzanine Partners III GenPar, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
							NYLCAP Mezzanine Partners III 2012 Co-Invest	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership.....	100.000	New York Life Insurance Company		
							ECI Blocker Holdco B, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest	Ownership.....	100.000	New York Life Insurance Company		
							NYLCAP Mezzanine Partners III 2012 Co-Invest	DE	NIA	Invest ECI Blocker Holdco B, LP	Ownership.....	100.000	New York Life Insurance Company		
							ECI Blocker B, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
					0001483925		NYLCAP Mezzanine Offshore Partners III, LP	CYM	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company		
							NYLCAP Select Manager GenPar GP, LLC	DE	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
					0001441093		NYLCAP Select Manager Fund, LP	DE	NIA	NYLCAP Select Manager GenPar, LP	Ownership.....	100.000	New York Life Insurance Company		
							NYLCAP Select Manager Cayman Fund, LP	CYM	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
							NYLCAP Select Manager II GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company		
							NYLCAP Select Manager II GenPar, L.P.	CYM	NIA	NYLCAP Select Manager II GenPar GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
					0001520743		NYLCAP Select Manager Fund II, L.P.	CYM	NIA	NYLCAP Select Manager II GenPar GP, LP	Ownership.....	100.000	New York Life Insurance Company		
							NYLCAP India Funding LLC	DE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company		
							NYLIM-JB Asset Management Co. (Mauritius) LLC	MUS	NIA	NYLCAP India Funding LLC	Ownership.....	24.660	New York Life Insurance Company		3
					0001356865		New York Life Investment Management India Fund II, LLC	MUS	NIA	NYLIM-JB Asset Management Co., LLC	Ownership.....	100.000	New York Life Insurance Company		
							New York Life Investment Management India Fund (FVCI) II, LLC	MUS	NIA	New York Life Investment Management India Fund II, LLC	Ownership.....	100.000	New York Life Insurance Company		
							NYLCAP India Funding III LLC	DE	NIA	GoldPoint Partners LLC	Ownership.....	100.000	New York Life Insurance Company		
							NYLIM-Jacob Ballas Asset Management Co. III, LLC	MUS	NIA	NYLCAP India Funding III LLC	Ownership.....	24.660	New York Life Insurance Company		4
					0001435025		NYLIM Jacob Ballas India Fund III, LLC	MUS	NIA	NYLIM-Jacob Ballas Asset Management Company III, LLC	Ownership.....	100.000	New York Life Insurance Company		
							NYLIM Jacob Ballas Capital India (FVCI) III, LLC	MUS	NIA	NYLIM Jacob Ballas India Fund III, LLC	Ownership.....	100.000	New York Life Insurance Company		
							NYLIM Jacob Ballas India (FII) III, LLC	MUS	NIA	NYLIM Jacob Ballas India Fund III, LLC	Ownership.....	100.000	New York Life Insurance Company		
							Evolvence Asset Management, Ltd.	CYM	NIA	GoldPoint Partners LLC	Ownership.....	24.500	New York Life Insurance Company		
							EIF Managers Limited	MUS	NIA	Evolvence Asset Management, Ltd.	Ownership.....	58.720	New York Life Insurance Company		
							EIF Managers II Limited	MUS	NIA	Evolvence Asset Management, Ltd.	Ownership.....	55.000	New York Life Insurance Company		
							PA Capital LLC	DE	NIA	New York Life Investments Alternatives LLC	Ownership.....	100.000	New York Life Insurance Company		
							BMG PAMP GP, LLC	DE	NIA	PA Capital LLC	Ownership.....	100.000	New York Life Insurance Company		
							BMG PA Private Markets (Delaware) LP	DE	NIA	BMG PAMP GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
							BMG Private Markets (Cayman) LP	CYM	NIA	BMG PAMP GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
							PACD MM, LLC	DE	NIA	PA Capital LLC	Ownership.....	100.000	New York Life Insurance Company		
							PA Capital Direct, LLC	DE	NIA	PACD MM, LLC	Other.....	0.000	New York Life Insurance Company		8
							PA Credit Program Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership.....	100.000	New York Life Insurance Company		
							PA Credit Program Carry, LLC	DE	NIA	PA Credit Program Carry Parent, LLC	Ownership.....	100.000	New York Life Insurance Company		
							PACIF Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership.....	100.000	New York Life Insurance Company		
							PACIF Carry, LLC	DE	NIA	PACIF Carry Parent, LLC	Ownership.....	100.000	New York Life Insurance Company		
							PACIF GP, LLC	DE	NIA	PA Capital LLC	Ownership.....	100.000	New York Life Insurance Company		
					0001368975		Private Advisors Coinvestment Fund, LP	DE	NIA	PACIF GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
							PACIF II GP, LLC	DE	NIA	PA Capital LLC	Ownership.....	100.000	New York Life Insurance Company		
					0001489910		Private Advisors Coinvestment Fund II, LP	DE	NIA	PACIF II GP, LLC	Ownership.....	100.000	New York Life Insurance Company		
			54-1886751												
			84-2631913												
			84-2611868												
			98-1503475												
			84-2641258												
			84-2106547												
			82-1396530												
			45-2572635												
			45-2591793												
			20-4877177												
			26-1662477												

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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			45-2591588 45-2591860				PACIF II Carry Parent, LLC PACIF II Carry, LLC PACIF III GP, LLC	DE DE DE	NIA NIA NIA	PA Capital LLC PACIF II Carry Parent, LLC PA Capital LLC	Ownership Ownership Ownership	100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			46-1360141 46-2548534 80-0916710		0001562375		Private Advisors Coinvestment Fund III, LP PACIF III Carry Parent, LLC PACIF III Carry, LLC PACIF IV GP, LLC	DE DE DE DE	NIA NIA NIA NIA	PACIF III GP, LLC PA Capital LLC PACIF III Carry Parent, LLC PA Capital LLC	Ownership Ownership Ownership Ownership	100.000 100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			47-4247870 47-4489053		0001646588		Private Advisors Coinvestment Fund IV, LP PACIF IV Carry Parent, LLC PACIF IV Carry, LLC PAMMF GP, LLC	DE DE DE DE	NIA NIA NIA NIA	PACIF IV GP, LLC PA Capital LLC PACIF IV Carry Parent, LLC PA Capital LLC	Ownership Ownership Ownership Ownership	100.000 100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			83-1689912 03-0532213		0001762448 0001322607		PA Middle Market Fund, LP PA Hedged Equity Fund, L.P. Private Advisors Hedged Equity Fund (QP), L.P.	DE DE DE	NIA NIA NIA	PAMMF GP, LLC PA Capital LLC PA Capital LLC	Ownership Ownership Ownership	100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			54-2051483		0001459910		Private Advisors Hedged Equity Fund (QP), L.P.	DE	NIA	PA Capital LLC Private Advisors Hedged Equity Fund (QP), L.P.	Ownership Other	100.000 0.000	New York Life Insurance Company New York Life Insurance Company		B
			20-5904617 83-0892695 83-0875251				Private Advisors Hedged Equity Master Fund PASOF GP, LLC PA Strategic Opportunities Fund, LP PASCBF III GP, LLC	DE DE DE DE	NIA NIA NIA NIA	PA Capital LLC PASOF GP, LLC PASOF GP, LLC PA Capital LLC	Ownership Ownership Ownership Ownership	100.000 100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			20-4838202		0001374891		Private Advisors Small Company Buyout Fund III, LP PASCBF IV GP, LLC Private Advisors Small Company Buyout Fund IV, LP	DE DE DE	NIA NIA NIA	PASCBF III GP, LLC PA Capital LLC PASCBF IV GP, LLC	Ownership Ownership Ownership	100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			26-1662399 45-2573409 45-2591925		0001442524		PASCBF IV Carry Parent, LLC PASCBF IV Carry, LLC PASCBF V GP, LLC Private Advisors Small Company Buyout Fund V, LP	DE DE DE DE	NIA NIA NIA NIA	PASCBF IV GP, LLC PA Capital LLC PASCBF IV Carry Parent, LLC PA Capital LLC	Ownership Ownership Ownership Ownership	100.000 100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			45-4078336		0001537995		Private Advisors Small Company Buyout Fund V, LP Private Advisors Small Company Buyout V – ERISA Fund, LP	DE	NIA	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company		
			46-1799496 46-2714292 35-2476750		0001576987		PASCBF V Carry Parent, LLC PASCBF V Carry, LLC PASCPF VI Carry Parent, LLC	DE DE DE	NIA NIA NIA	PA Capital LLC PASBF V Carry Parent, LLC PA Capital LLC	Ownership Ownership Ownership	100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			47-4523581				PASCPF VI Carry, LLC PASCPF VI GP, LLC Private Advisors Small Company Private Equity Fund VI, LP	DE DE DE	NIA NIA NIA	PASCPF VI Carry Parent, LLC PA Capital LLC PASCPF VI GP, LLC	Ownership Ownership Ownership	100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			46-4301623		0001595889		Private Advisors Small Company Private Equity Fund VI (Cayman), LP	CYM	NIA	PASCPF VI GP, LLC	Ownership	100.000	New York Life Insurance Company		
			98-1223903		0001635254		PASCPF VII GP, LLC Private Advisors Small Company Private Equity Fund VII, LP	DE DE	NIA NIA	PA Capital LLC PASCPF VII GP, LLC	Ownership Ownership	100.000 100.000	New York Life Insurance Company New York Life Insurance Company		
			47-5430553		0001657189		Private Advisors Small Company Private Equity Fund VII (Cayman), LP	CYM	NIA	PASCPF VII GP, LLC	Ownership	100.000	New York Life Insurance Company		
			98-1286549		0001711424		PASCPF VII Carry Parent, LLC PASCPF VII Carry, LLC PASCPF VIII GP LLC	DE DE DE	NIA NIA NIA	PA Capital LLC PASCPF VII Carry Parent LLC PA Capital LLC	Ownership Ownership Ownership	100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company		
			47-5442078				Private Advisors Small Company Private Equity Fund VIII, LP	DE	NIA	PASCPF VIII GP LLC	Ownership	100.000	New York Life Insurance Company		
			82-2042371				Private Advisors Small Company Private Equity Fund VIII (Cayman), LP	CYM	NIA	PASCPF VIII GP LLC	Ownership	100.000	New York Life Insurance Company		
			98-1417728 84-1939809		0001711426		PASCPF IX GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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			84-1800282				PA Small Company Private Equity Fund IX, LP	DE	NIA	PASCEPF IX GP, LLC	Ownership	100.000	New York Life Insurance Company		
			98-1516465				PA Small Company Private Equity Fund IX, (Cayman), LP	CYM	NIA	PASCEPF IX GP, LLC	Ownership	100.000	New York Life Insurance Company		
			26-4331000				Cuyahoga Capital Partners IV Management Group LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			26-4331219		0001514824		Cuyahoga Capital Partners IV LP	DE	NIA	Cuyahoga Capital Partners IV Management Group LLC	Other	0.000	New York Life Insurance Company		8
			26-3698069	3835342			Cuyahoga Capital Emerging Buyout Partners Management Group LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			26-3698209	3835351			Cuyahoga Capital Emerging Buyout Partners LP	DE	NIA	Cuyahoga Capital Emerging Buyout Partners Management Group LLC	Other	0.000	New York Life Insurance Company		8
			47-4479441				PA Real Assets Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							PA Real Assets Carry, LLC	DE	NIA	PA Real Assets Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
							PA Real Assets Carry Parent II, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			82-2582122				PA Real Assets Carry II, LLC	DE	NIA	PA Real Assets Carry Parent II, LLC	Ownership	100.000	New York Life Insurance Company		
							PA Emerging Manager Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			47-4468334				PA Emerging Manager Carry, LLC	DE	NIA	PA Emerging Manager Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
							PA Emerging Manager Carry Parent II, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			82-2884836				PA Emerging Manager Carry II, LLC	DE	NIA	PA Emerging Manager Carry Parent II, LLC	Ownership	100.000	New York Life Insurance Company		
							RIC I GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			47-4146929				Richmond Coinvestment Partners I, LP	DE	NIA	RIC I GP, LLC	Ownership	100.000	New York Life Insurance Company		
							RIC I Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			47-4511149				RIC I Carry, LLC	DE	NIA	RIC I Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
							PASF V GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			47-4252449		0001646590		Private Advisors Secondary Fund V, LP	DE	NIA	PASF V GP, LLC	Ownership	100.000	New York Life Insurance Company		
							PASF V Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							PASF V Carry, LLC	DE	NIA	PASF V Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
			84-3310049				PASF VI GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			84-3090059				PA Secondary Fund VI, LP	DE	NIA	PASF VI GP, LLC	Ownership	100.000	New York Life Insurance Company		
							PA Secondary Fund VI Coinvestments, LP	DE	NIA	PASF VI GP, LLC	Ownership	68.140	New York Life Insurance Company		
							PA Secondary Fund VI (Cayman), LP	CYM	NIA	PASF VI GP, LLC	Ownership	68.140	New York Life Insurance Company		
							PARAF GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			47-5323045		0001656546		Private Advisors Real Assets Fund, LP	DE	NIA	PARAF GP, LLC	Ownership	100.000	New York Life Insurance Company		
							PARAF Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			47-5392508				PARAF Carry, LLC	DE	NIA	PARAF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
							PASCCIF GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							Private Advisors Small Company Coinvestment Fund, LP	DE	NIA	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company		
							Private Advisors Small Company Coinvestment Fund ERISA, LP	DE	NIA	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company		
			81-4614299		0001691962		PASCCIF II GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							PA Small Company Coinvestment Fund II, LP	DE	NIA	PASCCIF II GP, LLC	Ownership	100.000	New York Life Insurance Company		
							PA Small Company Coinvestment Fund II (Cayman), LP	CYM	NIA	PASCCIF II GP, LLC	Ownership	100.000	New York Life Insurance Company		
							PASCCIF Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			47-5472308				PASCCIF Carry, LLC	DE	NIA	PASCCIF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
			82-3120890				PARAF II GP LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			82-3541209		0001721164		Private Advisors Real Assets Fund II LP	DE	NIA	PARAF II GP LLC	Ownership	100.000	New York Life Insurance Company		
			86-1973380				PARAF III GP LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			86-1678206				PA Real Assets Fund III, LP	DE	NIA	PARAF III GP LLC	Ownership	100.000	New York Life Insurance Company		
					0001223706		Private Advisors Hedged Equity Fund, Ltd.	CYM	NIA	PA Capital LLC	Other	0.000	New York Life Insurance Company		8
							Private Advisors Hedged Equity Fund (QP), Ltd.	CYM	NIA	PA Capital LLC	Other	0.000	New York Life Insurance Company		8
			20-1079864		0001459910			CYM	NIA	PA Capital LLC	Other	0.000	New York Life Insurance Company		8

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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			98-0626772				Private Advisors Hedged Equity Master Fund, Ltd.	.CYM	NIA	Private Advisors Hedged Equity Fund (QP), Ltd.	Other	0.000	New York Life Insurance Company		8
			87-1875231				SAF GP LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			87-1371149				Social Advancement Fund, LP	.DE	NIA	SAF GP LLC	Ownership	100.000	New York Life Insurance Company		
							WTP GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			46-5163324		0001605509		West Tower Partners, LP	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			98-1164867		0001605502		West Tower Partners, Ltd.	.CYM	NIA	PA Capital LLC	Other	0.000	New York Life Insurance Company		8
			98-1164329				West Tower Partners SPC	.CYM	NIA	West Tower Partners, Ltd.	Other	0.000	New York Life Insurance Company		8
			83-2670366				Washington Pike GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			83-2634832				Washington Pike, LP	.DE	NIA	Washington Pike GP, LLC	Ownership	100.000	New York Life Insurance Company		
			84-5036706				RidgeLake Partners GP, LLC	.DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			84-5053710				RidgeLake Partners, LP	.DE	NIA	RidgeLake Partners GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYLCAP Holdings (Mauritius)	.MUS	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							Jacob Ballas Capital India PVT, Ltd.	.MUS	NIA	NYLCAP Holdings (Mauritius)	Ownership	23.300	New York Life Insurance Company		
							Industrial Assets Holdings Limited	.MUS	NIA	NYLCAP Holdings (Mauritius)	Ownership	28.020	New York Life Insurance Company		
							JB Cerestra Investment Management LLP	.MUS	NIA	NYLCAP Holdings (Mauritius)	Ownership	12.580	New York Life Insurance Company		
			22-3704242		0001103598		NYLIM Service Company LLC	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							NYL Workforce GP LLC	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
			52-2206685		0001133639		New York Life Investment Management LLC	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM Fund II GP, LLC	.DE	NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM-TND, LLC	.DE	NIA	NYLIM Fund II GP, LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Investment Management Hong Kong Limited	.CHN	NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company		
							WIFHG, GP LLC	.DE	NIA	New York Life Investment Management LLC	Ownership	50.000	New York Life Insurance Company		
				4643807	0001406803		Workforce Housing Fund I - 2007, LP	.DE	NIA	WIFHG, GP LLC	Ownership	50.000	New York Life Insurance Company		
							IndexIQ Holdings Inc.	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
			02-0811751		0001483922		IndexIQ LLC	.DE	NIA	New York Life Investment Management Holdings LLC	Ownership	74.370	New York Life Insurance Company		
			02-0811751		0001483922		IndexIQ LLC	.DE	NIA	IndexIQ Holdings Inc.	Ownership	25.630	New York Life Insurance Company		
			02-0811753		0001415996		IndexIQ Advisors LLC	.DE	NIA	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company		
							IndexIQ Active ETF Trust	.DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	98.500	New York Life Insurance Company		
							IQ MacKay ESG Core Plus Bond ETF	.DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	95.020	New York Life Insurance Company		
							IQ MacKay California Municipal Intermediate ETF	.DE	NIA	New York Life Investment Management LLC	Ownership	49.010	New York Life Insurance Company		
							IQ MacKay California Municipal Intermediate ETF	.DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	50.000	New York Life Insurance Company		
							IndexIQ ETF Trust	.DE	NIA	New York Life Insurance Company	Ownership	10.200	New York Life Insurance Company		
							IQ 50 Percent Hedged FTSE International ETF	.DE	NIA	New York Life Investment Management LLC	Ownership	48.910	New York Life Insurance Company		
							IQ 500 International ETF	.DE	NIA	New York Life Investment Management LLC	Ownership	98.860	New York Life Insurance Company		
							IQ Chaikin US Large Cap ETF	.DE	NIA	New York Life Investment Management LLC	Ownership	98.040	New York Life Insurance Company		
							IQ Chaikin US Small Cap ETF	.DE	NIA	New York Life Investment Management LLC	Ownership	80.250	New York Life Insurance Company		
							IQ Clean Oceans ETF	.DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	94.850	New York Life Insurance Company		
							IQ Cleaner Transport ETF	.DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	75.900	New York Life Insurance Company		
							IQ Engender Equality ETF	.DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	66.690	New York Life Insurance Company		

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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							IQ Healthy Hearts ETFDE	..NIA	New York Life Insurance and Annuity Corporation	Ownership.....	79.770	New York Life Insurance Company		
							IQ S&P High Yield Low Volatility Bd ETFDE	..NIA	New York Life Investment Management LLC	Ownership.....	54.570	New York Life Insurance Company		
							IQ Candriam ESG International Equity ETFDE	..NIA	New York Life Investment Management Holdings LLC	Ownership.....	95.090	New York Life Insurance Company		
							IQ Candriam ESG US Equity ETFDE	..NIA	New York Life Investment Management LLC	Ownership.....	88.540	New York Life Insurance Company		
							New York Life Investment Management Holdings InternationalLUX	..NIA	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company		
							New York Life Investment Management Holdings II InternationalLUX	..NIA	New York Life Investment Management Holdings International	Ownership.....	100.000	New York Life Insurance Company		
							Candriam GroupLUX	..NIA	New York Life Investment Management Holdings II International	Ownership.....	100.000	New York Life Insurance Company		
							CGH UK Acquisition Company LimitedGBR	..NIA	Candriam Group	Ownership.....	100.000	New York Life Insurance Company		
							Tristan Capital Partners Holdings LimitedGBR	..NIA	CGH UK Acquisition Company Limited	Ownership.....	49.000	New York Life Insurance Company		
							Tristan Capital Holdings LimitedGBR	..NIA	Tristan Capital Partners Holdings Limited	Ownership.....	40.000	New York Life Insurance Company		
							Tristan Capital Partners LLPGBR	..NIA	Tristan Capital Partners Holdings Limited	Ownership.....	100.000	New York Life Insurance Company		
							EPISO 4 Co-Investment LLPGBR	..NIA	Tristan Capital Partners Holdings Limited	Ownership.....	50.000	New York Life Insurance Company		
							EPISO 4 (GP) LLPGBR	..NIA	EPISO 4 Co-Investment LLP	Ownership.....	16.000	New York Life Insurance Company		
							EPISO 4 Incentive Partners LLPGBR	..NIA	Tristan Capital Partners Holdings Limited	Ownership.....	4.700	New York Life Insurance Company		
							CCP 5 Co-Investment LLPGBR	..NIA	Tristan Capital Partners Holdings Limited	Ownership.....	50.000	New York Life Insurance Company		
							Tristan Capital LimitedGBR	..NIA	Tristan Capital Partners Holdings Limited	Ownership.....	100.000	New York Life Insurance Company		
							Tristan Capital Partners LLPGBR	..NIA	Tristan Capital Limited	Ownership.....	92.000	New York Life Insurance Company		
							CCP III Co-Investment (GP) LimitedGBR	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							CCP III Co-Investment LPGBR	..NIA	CCP III Co-Investment (GP) Limited	Ownership.....	100.000	New York Life Insurance Company		
							CCP IV Co-Investment LPGBR	..NIA	CCP III Co-Investment (GP) Limited	Ownership.....	100.000	New York Life Insurance Company		
							CCP III (GP) LLPGBR	..NIA	Tristan Capital Partners LLP	Ownership.....	50.000	New York Life Insurance Company		
							CCP III Incentive Partners (GP) LimitedGBR	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							CCP III Incentive Partners LPGBR	..NIA	CCP III Incentive Partners (GP) Limited	Ownership.....	100.000	New York Life Insurance Company		
							CCP IV Incentive Partners LPGBR	..NIA	CCP III Incentive Partners (GP) Limited	Ownership.....	100.000	New York Life Insurance Company		
							Curzon Capital Partners III (GP) LimitedGBR	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							CCP III (GP) LLPGBR	..NIA	Curzon Capital Partners III (GP) Limited	Ownership.....	100.000	New York Life Insurance Company		
							EPISO 3 Co-Investment (GP) LimitedGBR	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							EPISO 3 Co-Investment LPGBR	..NIA	EPISO 3 Co-Investment (GP) Limited	Ownership.....	100.000	New York Life Insurance Company		
							EPISO 3 Incentive Partners (GP) LimitedGBR	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							EPISO 3 Incentive Partners LPGBR	..NIA	EPISO 3 Incentive Partners (GP) Limited	Ownership.....	100.000	New York Life Insurance Company		
							EPISO 3 IOM LimitedJMN	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							CCP IV (GP) LLPGBR	..NIA	Tristan Capital Partners LLP	Ownership.....	50.000	New York Life Insurance Company		
							Curzon Capital Partners IV (GP) LimitedGBR	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							CCP 5 GP LLPGBR	..NIA	Tristan Capital Partners LLP	Ownership.....	33.000	New York Life Insurance Company		
							CCP 5 Pool Partnership GP LimitedNJ	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							CCP 5 Pool Partnership SLPNJ	..NIA	CCP 5 Pool Partnership GP Limited	Ownership.....	100.000	New York Life Insurance Company		
							Tristan Capital Partners Asset Management LimitedGBR	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							TCP Poland Spolka z ograniczona odpowiedzialnosciaPOL	..NIA	Tristan Capital Partners Asset Management Limited	Ownership.....	100.000	New York Life Insurance Company		
							TCP Co-Investment (GP) S.á.r.l.LUX	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							TCP Co-Investment SCSPLUX	..NIA	TCP Co-Investment (GP) S.á.r.l.	Ownership.....	100.000	New York Life Insurance Company		
							TCP Incentive Partners SCSPLUX	..NIA	TCP Co-Investment (GP) S.á.r.l.	Ownership.....	100.000	New York Life Insurance Company		
							TCP Incentive Partnrs (GP) S.á.r.l.LUX	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							German Property Performance Partners Investors Feeder Verwaltungs GmbHDEU	..NIA	TCP Incentive Partners (GP) S.á.r.l.	Ownership.....	100.000	New York Life Insurance Company		
							EPISO 4 (GP) S.á.r.l.LUX	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							EPISO 4 (GP) II S.á.r.l.LUX	..NIA	Tristan Capital Partners LLP	Ownership.....	100.000	New York Life Insurance Company		
							Tristan (Holdings) LimitedGBR	..NIA	CGH UK Acquisition Company Limited	Ownership.....	40.000	New York Life Insurance Company		

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PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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							EPISO 3 Feeder (GP) LimitedGBR	NIA.....	Tristan (Holdings) Limited	Ownership.....	40.000	New York Life Insurance Company		
							CCP V Feeder (GP) LLPGBR	NIA.....	Tristan (Holdings) Limited	Ownership.....	40.000	New York Life Insurance Company		
							EPISO 4 Feeder (GP) LLPGBR	NIA.....	Tristan (Holdings) Limited	Ownership.....	40.000	New York Life Insurance Company		
							CCP 5 Feeder LLPGBR	NIA.....	Tristan (Holdings) Limited	Ownership.....	40.000	New York Life Insurance Company		
							Tristan Global Securities GP LimitedCYM	NIA.....	Tristan (Holdings) Limited	Ownership.....	40.000	New York Life Insurance Company		
							Tristan Global Securities LPCYM	NIA.....	Tristan Global Securities GP Limited	Ownership.....	40.000	New York Life Insurance Company		
							Candriam Luxco S.á.r.l.LUX	NIA.....	Candriam Group	Ownership.....	100.000	New York Life Insurance Company		
							Candriam LuxembourgLUX	NIA.....	Candriam Group	Ownership.....	90.394	New York Life Insurance Company		
							Candriam BelgiumBEL	NIA.....	Candriam Luxembourg	Ownership.....	100.000	New York Life Insurance Company		
							Candriam FranceFRA	NIA.....	Candriam Luxembourg	Ownership.....	100.000	New York Life Insurance Company		
							Candriam Monétaire SICAVFRA	NIA.....	Candriam Belgium	Ownership.....	2.860	New York Life Insurance Company		
							Candriam Monétaire SICAVFRA	NIA.....	Candriam France	Ownership.....	2.380	New York Life Insurance Company		
							Candriam Monétaire SICAVFRA	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam Switzerland LLCCHE	NIA.....	Candriam Luxembourg	Ownership.....	100.000	New York Life Insurance Company		
							Candriam GPLUX	NIA.....	Candriam Luxembourg	Ownership.....	100.000	New York Life Insurance Company		
							KTA HoldcoLUX	NIA.....	Candriam Luxembourg	Ownership.....	66.670	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership.....				
							KTA HoldcoLUX	NIA.....	Corporation	Ownership.....	33.330	New York Life Insurance Company		
							Kartesia Management SALUX	NIA.....	KTA Holdco	Ownership.....	33.000	New York Life Insurance Company		
							Kartesia UK Ltd.GBR	NIA.....	Kartesia Management SA	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia BelgiumBEL	NIA.....	Kartesia Management SA	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia Credit FFSFRA	NIA.....	Kartesia Management SA	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia GP IIILUX	NIA.....	Kartesia Management SA	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia Credit Opportunities III S.C.A., SICAV-SIFLUX	NIA.....	Kartesia GP III	Ownership.....	100.000	New York Life Insurance Company		
										Kartesia Credit Opportunities III S.C.A., SICAV-SIF	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia SecuritiesLUX	NIA.....	Kartesia Credit Opportunities III S.C.A., SICAV-SIF	Ownership.....	100.000	New York Life Insurance Company		
										Kartesia Credit Opportunities III S.C.A., SICAV-SIF	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia III Topco S.?.r.l.LUX	NIA.....	SICAV-SIF	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia GP IVLUX	NIA.....	Kartesia Management SA	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia Credit Opportunities IV SCS SICAV-SIFLUX	NIA.....	Kartesia GP IV	Ownership.....	100.000	New York Life Insurance Company		
										Kartesia Credit Opportunities IV SCS SICAV-SIF	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia Securities IVLUX	NIA.....	SICAV-SIF	Ownership.....	100.000	New York Life Insurance Company		
										Kartesia Credit Opportunities IV SCS SICAV-SIF	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia Securities IV Topco S.?.r.l.LUX	NIA.....	SICAV-SIF	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia Master GPLUX	NIA.....	Kartesia Management SA	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia Credit Opportunities V Feeder SCSLUX	NIA.....	Kartesia Master GP	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia Senior Opportunities I SCS, SICAV-RAIFLUX	NIA.....	Kartesia Master GP	Ownership.....	100.000	New York Life Insurance Company		
										Kartesia Senior Opportunities I SCS, SICAV-RAIF	Ownership.....	100.000	New York Life Insurance Company		
							KASS Unleveled S.?.r.l.LUX	NIA.....	KASS Unleveled S.?.r.l.	Ownership.....	100.000	New York Life Insurance Company		
							KSO I Topco S.?.r.l.LUX	NIA.....	KASS Unleveled S.?.r.l.	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia Credit Opportunities V SCSLUX	NIA.....	Kartesia Master GP	Ownership.....	100.000	New York Life Insurance Company		
							Kartesia Securities V S.?.r.l.LUX	NIA.....	Kartesia Credit Opportunities V SCS	Ownership.....	100.000	New York Life Insurance Company		
							CordiusLUX	NIA.....	Candriam Luxembourg	Ownership.....	4.520	New York Life Insurance Company		
							CordiusLUX	NIA.....	Candriam Belgium	Ownership.....	4.680	New York Life Insurance Company		
							Cordius CIGLUX	NIA.....	Candriam Belgium	Ownership.....	50.880	New York Life Insurance Company		
							Cordius CIGLUX	NIA.....	Candriam Luxembourg	Ownership.....	49.120	New York Life Insurance Company		
							IndexIQLUX	NIA.....	Cordius CIG	Ownership.....	28.000	New York Life Insurance Company		
							IndexIQ Factors Sustainable Corporate Euro BondLUX	NIA.....	Cordius CIG	Ownership.....	19.000	New York Life Insurance Company		
							IndexIQ Factors Sustainable EMU EquityLUX	NIA.....	Cordius CIG	Ownership.....	3.490	New York Life Insurance Company		
							IndexIQ Factors Sustainable Europe EquityLUX	NIA.....	Cordius CIG	Ownership.....	9.000	New York Life Insurance Company		

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							IndexIQ Factors Sustainable Japan EquityLUX	NIA.....	Cordius CIG	Ownership.....	14.000	New York Life Insurance Company		
							IndexIQ Factors Sustainable Sovereign Euro BondLUX	NIA.....	Cordius CIG	Ownership.....	48.000	New York Life Insurance Company		
							Candriam Absolute ReturnLUX	NIA.....	Cordius CIG	Ownership.....	1.670	New York Life Insurance Company		
							Candriam Absolute Return Equity Market NeutralLUX	NIA.....	Cordius CIG	Ownership.....	1.740	New York Life Insurance Company		
							Candriam Absolute Return Long Short Digital EquityLUX	NIA.....	Cordius CIG	Ownership.....	0.020	New York Life Insurance Company		
							Candriam AlternativeLUX	NIA.....	Candriam Luxembourg	Ownership.....	100.000	New York Life Insurance Company		
							Candriam Alternative SystematLUX	NIA.....	Candriam Luxembourg	Ownership.....	100.000	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership.....	0.180	New York Life Insurance Company		
							Candriam BondsLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam Bonds Capital SecuritiesLUX	NIA.....	New York Life Insurance and Annuity Corporation	Ownership.....	22.690	New York Life Insurance Company		
							Candriam Bonds Credit AlphaLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam Bonds Credit AlphaLUX	NIA.....						
							Candriam Bonds Emerging Debt Local CurrenciesLUX	NIA.....	Cordius CIG	Ownership.....	0.020	New York Life Insurance Company		
							Candriam Bonds Euro Long TermLUX	NIA.....	Cordius CIG	Ownership.....	0.020	New York Life Insurance Company		
							Candriam Bonds Global Sovereign QualityLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam Bonds InternationalLUX	NIA.....	Candriam Luxembourg	Ownership.....	0.020	New York Life Insurance Company		
							Candriam Diversified FuturesLUX	NIA.....	Cordius CIG	Ownership.....	2.440	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership.....	0.090	New York Life Insurance Company		
							Candriam Equities LLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam Equities L EMU InnovationLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam Equities L Europe ConvictionLUX	NIA.....	Cordius CIG	Ownership.....	0.030	New York Life Insurance Company		
							Candriam Equities L Life CareLUX	NIA.....	Cordius CIG	Ownership.....	0.030	New York Life Insurance Company		
							Candriam Equities L Risk Arbitrage			New York Life Insurance and Annuity Corporation	Ownership.....	12.950	New York Life Insurance Company		
							OpportunitiesLUX	NIA.....						
							Candriam Equities L Risk Arbitrage								
							OpportunitiesLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership.....	53.500	New York Life Insurance Company		
							Candriam GFLUX	NIA.....	Cordius CIG	Ownership.....	0.020	New York Life Insurance Company		
							Candriam GFLUX	NIA.....						
							Candriam GF AUSBIL Global Essential InfrastructureLUX	NIA.....	New York Life Insurance and Annuity Corporation	Ownership.....	77.050	New York Life Insurance Company		
							Candriam GF AUSBIL Global Essential InfrastructureLUX	NIA.....	Cordius CIG	Ownership.....	0.020	New York Life Insurance Company		
							Candriam GF Short Duration US High Yield BondsLUX	NIA.....	Cordius CIG	Ownership.....	0.120	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership.....	95.060	New York Life Insurance Company		
							Candriam GF US Corporate BondsLUX	NIA.....	New York Life Insurance and Annuity Corporation	Ownership.....	41.150	New York Life Insurance Company		
							Candriam GF US High Yield Corporate BondsLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam GF US High Yield Corporate BondsLUX	NIA.....	Cordius CIG	Ownership.....	0.310	New York Life Insurance Company		
							Candriam Global Alpha			New York Life Insurance and Annuity Corporation	Ownership.....	32.790	New York Life Insurance Company		
							Candriam Impact OneLUX	NIA.....	Cordius CIG	Ownership.....	0.060	New York Life Insurance Company		
							Candriam LLUX	NIA.....	Cordius CIG	Ownership.....	0.060	New York Life Insurance Company		
							Candriam L Defensive Asset AllocationLUX	NIA.....	Cordius CIG	Ownership.....	4.410	New York Life Insurance Company		
							Candriam L Dynamic Asset AllocationLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam L Multi-Asset Income & Growth			New York Life Insurance and Annuity Corporation	Ownership.....	10.000	New York Life Insurance Company		
							Candriam L Multi-Asset PremiaLUX	NIA.....						

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
							Candriam L Multi-Asset PremiaLUX	NIA.....	Cordius CIG	Ownership.....	0.030	New York Life Insurance Company		
							Candriam MM Multi StrategiesFRA	NIA.....	Cordius CIG	Ownership.....	0.080	New York Life Insurance Company		
							Candriam Multi-StrategiesLUX	NIA.....	Candriam Belgium	Ownership.....	16.510	New York Life Insurance Company		
							Candriam Multi-StrategiesLUX	NIA.....	Candriam France	Ownership.....	25.320	New York Life Insurance Company		
							Candriam Multi-StrategiesLUX	NIA.....	Candriam Luxembourg	Ownership.....	58.140	New York Life Insurance Company		
							Candriam Multi-StrategiesLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam QuantLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam Quant Equities EuropeLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam Quant Equities Multi-Factor EMULUX	NIA.....	Cordius CIG	Ownership.....	0.060	New York Life Insurance Company		
							Candriam Quant Equities Multi-Factor GlobalLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam Quant Equities USALUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam SRILUX	NIA.....	Candriam Luxembourg	Ownership.....	0.010	New York Life Insurance Company		
							Candriam SRI Bond Emerging MarketsLUX	NIA.....	Candriam Luxembourg	Ownership.....	0.010	New York Life Insurance Company		
							Candriam SRI Bond EuroLUX	NIA.....	Candriam Luxembourg	Ownership.....	0.040	New York Life Insurance Company		
							Candriam SRI Bond Euro Aggregate IndexLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam SRI Bond Euro CorporateLUX	NIA.....	Candriam Luxembourg	Ownership.....	0.030	New York Life Insurance Company		
							Candriam SRI Bond Global High YieldLUX	NIA.....	Candriam Luxembourg	Ownership.....	0.020	New York Life Insurance Company		
							Candriam SRI Equity Circular EconomyLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam SRI Equity Emerging MarketsLUX	NIA.....	Candriam Luxembourg	Ownership.....	0.010	New York Life Insurance Company		
							Candriam SRI Equity EMULUX	NIA.....	Candriam Luxembourg	Ownership.....	0.020	New York Life Insurance Company		
							Candriam SRI Equity EuropeLUX	NIA.....	Candriam Luxembourg	Ownership.....	0.010	New York Life Insurance Company		
							Candriam SRI Equity North AmericaLUX	NIA.....	Candriam Luxembourg	Ownership.....	0.010	New York Life Insurance Company		
							Candriam SRI Equity PacificLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam SRI Equity WorldLUX	NIA.....	Candriam Luxembourg	Ownership.....	0.010	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership.....	0.120	New York Life Insurance Company		
							Candriam SustainableLUX	NIA.....	Cordius CIG	Ownership.....	0.020	New York Life Insurance Company		
							Candriam Sustainable Bond GlobalLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership.....	46.130	New York Life Insurance Company		
							Candriam Sustainable Bond ImpactLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
							Candriam Sustainable Equity ChildrenLUX	NIA.....	Cordius CIG	Ownership.....	100.000	New York Life Insurance Company		
							Candriam Sustainable Equity Future MobilityLUX	NIA.....	Cordius CIG	Ownership.....	0.010	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership.....	41.720	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership.....	42.270	New York Life Insurance Company		
							Cleome Index Global EquitiesLUX	NIA.....	Cleome Index	Ownership.....	0.001	New York Life Insurance Company		
							Cleome Index World EquitiesLUX	NIA.....	Cleome Index	Ownership.....	0.000	New York Life Insurance Company		
							ParicorLUX	NIA.....	Cordius CIG	Ownership.....	0.060	New York Life Insurance Company		
							Paricor PatrimoniumLUX	NIA.....	Cordius CIG	Ownership.....	0.070	New York Life Insurance Company		
										New York Life Investment Management Holdings II International	Ownership.....	79.920	New York Life Insurance Company		
							Ausbil Investment Management LimitedAUS	NIA.....	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company		
							Ausbil Australia Pty. Ltd.AUS	NIA.....	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company		
							Ausbil Asset Management Pty. Ltd.AUS	NIA.....	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company		
							Ausbil Global Infrastructure Pty. LimitedAUS	NIA.....	Ausbil Investment Management Limited	Ownership.....	55.000	New York Life Insurance Company		
							ISPT HoldingAUS	NIA.....	Ausbil Investment Management Limited	Ownership.....	0.370	New York Life Insurance Company		
							Ausbil Investment Management Limited Employee Share TrustAUS	NIA.....	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company		
							Ausbil 130/30 Focus FundAUS	NIA.....	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company		12
										New York Life Insurance and Annuity Corporation	Ownership.....	14.260	New York Life Insurance Company		
							Ausbil Australian Active Equity FundAUS	NIA.....	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company		
							Ausbil Australian Concentrated Equity FundAUS	NIA.....	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Per-cent-age	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
							Ausbil Australian Emerging Leaders FundAUS	.NIA	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company		
							Ausbil Australian Geared Equity FundAUS	.NIA	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company		
							Ausbil Australian Smallcap FundAUS	.NIA	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company		
							Ausbil Balanced FundAUS	.NIA	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company		
							Ausbil EGS Focus FundAUS	.NIA	Ausbil Investment Management Limited	Ownership.....	100.000	New York Life Insurance Company		
										New York Life Insurance and Annuity Corporation	Ownership.....	19.560	New York Life Insurance Company		
							Ausbil Global Essential Infrastructure FundAUS	.NIA	New York Life Insurance and Annuity Corporation	Ownership.....	32.510	New York Life Insurance Company		
							Ausbil IT - Ausbil Global SmallCap FundAUS	.NIA	New York Life Insurance and Annuity Corporation	Ownership.....	98.610	New York Life Insurance Company		
							Ausbil IT - MacKay Shields Multi-Sector Bond FundAUS	.NIA	New York Life Insurance and Annuity Corporation	Ownership.....	21.800	New York Life Insurance Company		
							Ausbil IT Ausbil Long Short Focus FundAUS	.NIA	New York Life Investment Management Holdings LLC	Ownership.....	100.000	New York Life Insurance Company		
			56-2412827	3663273	0000914898		NYLIFE Distributors LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							Huntsville NVL LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
			27-0169511				CC Acquisitions, LPDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-IND Cedar Farms TN LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
			61-1724274				REEP-IND Continental NC LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
			46-3561738				LRC-Patriot, LLCDE	.NIA	REEP-IND Continental NC LLC	Ownership.....	93.000	New York Life Insurance Company		
			35-2496884				REEP-LRC Industrial LLCDE	.NIA	LRC-Patriot, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-IND Forest Park NJ LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							FP Building 4 LLCDE	.NIA	REEP-IND Forest Park NJ LLC	Ownership.....	100.000	New York Life Insurance Company		
							FP Building 1-2-3 LLCDE	.NIA	REEP-IND Forest Park NJ LLC	Ownership.....	100.000	New York Life Insurance Company		
							FP Building 17, LLCDE	.NIA	REEP-IND Forest Park NJ LLC	Ownership.....	100.000	New York Life Insurance Company		
							FP Building 20, LLCDE	.NIA	REEP-IND Forest Park NJ LLC	Ownership.....	100.000	New York Life Insurance Company		
							FP Mantua Grove LLCDE	.NIA	REEP-IND Forest Park NJ LLC	Ownership.....	100.000	New York Life Insurance Company		
							FP Lot 1.01 LLCDE	.NIA	REEP-IND Forest Park NJ LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-IND NJ LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							NJIND JV LLCDE	.NIA	REEP-IND NJ LLC	Ownership.....	93.000	New York Life Insurance Company		
							NJIND Hook Road LLCDE	.NIA	NJIND JV LLC	Ownership.....	100.000	New York Life Insurance Company		
							NJIND Bay Avenue LLCDE	.NIA	NJIND JV LLC	Ownership.....	100.000	New York Life Insurance Company		
							NJIND Bay Avenue Urban Renewal LLCDE	.NIA	NJIND JV LLC	Ownership.....	100.000	New York Life Insurance Company		
							NJIND Corbin Street LLCDE	.NIA	NJIND JV LLC	Ownership.....	100.000	New York Life Insurance Company		
			46-2951535				REEP-MF Cumberland TN LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
			20-1807159				Cumberland Apartments, LLCTN	.NIA	REEP-MF Cumberland TN LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MF Enclave TX LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MF Marina Landing WA LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-SP Marina Landing LLCDE	.NIA	REEP-MF Marina Landing WA LLC	Ownership.....	98.000	New York Life Insurance Company		
							REEP-MF Mira Loma II TX LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							Mira Loma II, LLCDE	.NIA	REEP-MF Mira Loma II TX LLC	Ownership.....	50.000	New York Life Insurance Company		
							REEP-MF Summitt Ridge CO LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MF Woodridge IL LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OF Centerpointe VA LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							Centerpointe Fairfax Holdings LLCDE	.NIA	REEP-OF Centerpointe VA LLC	Ownership.....	50.000	New York Life Insurance Company		
							REEP-OFC 575 Lex NY LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC 575 Lex NY GP LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							Maple REEP-OFC 575 Lex Holdings LPDE	.NIA	REEP-OFC 575 Lex NY GP LLC	Ownership.....	50.000	New York Life Insurance Company		
							Maple REEP-OFC 575 Lex Owner LLCDE	.NIA	REEP-OFC 575 Lex NY GP LLC	Ownership.....	50.000	New York Life Insurance Company		
							REEP-RTL SASI GA LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-RTL Bradford PA LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC Royal Centre GA LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							Royal Centre, LLCDE	.NIA	REEP-OFC Royal Centre GA LLC	Ownership.....	90.000	New York Life Insurance Company		
							REEP-RTL CTC NY LLCDE	.NIA	NVLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
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							REEP-OFC 5005 LBJ Freeway TX LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	97.000	New York Life Insurance Company		
							5005 LBJ Tower LLC	DE	NIA.....	REEP-OFC 5005 LBJ Freeway TX LLC	Ownership.....	97.000	New York Life Insurance Company		
							REEP-OFC/RTL MARKET ROSS TX LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
			37-1842612				MARKET ROSS TX JV LLC	DE	NIA.....	REEP-OFC/RTL MARKET ROSS TX LLC	Ownership.....	98.700	New York Life Insurance Company		
			61-1808552				MARKET ROSS TX GARAGE OWNER LC	DE	NIA.....	MARKET ROSS TX JV LLC	Ownership.....	100.000	New York Life Insurance Company		
			36-4852864				MARKET ROSS TX OFFICE OWNER LLC	DE	NIA.....	MARKET ROSS TX JV LLC	Ownership.....	100.000	New York Life Insurance Company		
			32-0511592				MARKET ROSS TX RETAIL OWNER LLC	DE	NIA.....	MARKET ROSS TX JV LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC Mallory TN LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							3665 Mallory JV LLC	DE	NIA.....	REEP-OFC Mallory TN LLC	Ownership.....	90.900	New York Life Insurance Company		
							REEP-OFC Water Ridge NC LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-OFC Viridian AZ LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-Hines Viridian JV LLC	DE	NIA.....	REEP-OFC Viridian AZ LLC	Ownership.....	73.031	New York Life Insurance Company		
							REEP-OFC 2300 EMPIRE LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MF Wynnewood PA LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
			30-1018932				Wynnewood JV LLC	DE	NIA.....	REEP-MF Wynnewood PA LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MU Fayetteville NC LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							501 Fayetteville JV LLC	DE	NIA.....	REEP-MU Fayetteville NC LLC	Ownership.....	85.000	New York Life Insurance Company		
							501 Fayetteville Owner LLC	DE	NIA.....	501 Fayetteville JV LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-MU SOUTH GRAHAM NC LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							401 SOUTH GRAHAM JV LLC	DE	NIA.....	REEP-MU SOUTH GRAHAM NC LLC	Ownership.....	90.000	New York Life Insurance Company		
							401 SOUTH GRAHAM OWNER LLC	DE	NIA.....	401 SOUTH GRAHAM JV LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-IND COMMERCE CITY CO LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-BRENNAN COMMERCE CITY JV LLC	DE	NIA.....	REEP-IND COMMERCE CITY CO LLC	Ownership.....	95.000	New York Life Insurance Company		
							REEP-MF ART TOWER OR LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-WP ART TOWER JV LLC	DE	NIA.....	REEP-MF ART TOWER OR LLC	Ownership.....	95.000	New York Life Insurance Company		
							REEP-OFC Mass Ave MA LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
			85-3570605				REEP-MF FARMINGTON IL LLC	DE	NIA.....	NYLife Real Estate Holdings, LLC	Ownership.....	100.000	New York Life Insurance Company		
			85-3582543				REEP-MARQUETTE FARMINGTON JV LLC	DE	NIA.....	REEP-MF FARMINGTON IL LLC	Ownership.....	90.000	New York Life Insurance Company		
			85-3602362				REEP-MARQUETTE FARMINGTON OWNER LLC	DE	NIA.....	REEP-MARQUETTE FARMINGTON JV LLC	Ownership.....	100.000	New York Life Insurance Company		
			87-2888368				REEP-MF BELLEVUE STATION WA LLC	DE	NIA.....	NYLife Real Estate Holdings LLC	Ownership.....	100.000	New York Life Insurance Company		
			87-2917401				REEP-LP BELLEVUE STATION JV LLC	DE	NIA.....	REEP-MF BELLEVUE STATION WA LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-HINES ENCLAVE POINT AZ LLC	DE	NIA.....	NYLife Real Estate Holdings LLC	Ownership.....	100.000	New York Life Insurance Company		
							REEP-HINES ENCLAVE POINT JV LLC	DE	NIA.....	REEP-HINES ENCLAVE POINT AZ LLC	Ownership.....	50.000	New York Life Insurance Company		
							REEP-MF WILDHORSE RANCH TX LLC	DE	NIA.....	NYLife Real Estate Holdings LLC	Ownership.....	100.000	New York Life Insurance Company		
			87-2917401				REEP-WP WILDHORSE RANCH JV LLC	DE	NIA.....	REEP-MF WILDHORSE RANCH TX LLC	Ownership.....	100.000	New York Life Insurance Company		

Asterisk	Explanation
1	Contractual Client – Madison Capital Funding LLC, an indirect wholly owned affiliate of the Company, has contractual control of this entity's loan portfolio.
2	Control of this entity is pursuant to an investment management contract with New York Life Investment Management Holdings LLC or affiliate, not through ownership of voting interests.
3	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding LLC owns 36% of non-voting carry shares.
4	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding III LLC owns 31.36% of non-voting carry shares.
5	Investment Pool – Investment pool of leveraged loans managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
6	Reliance Relationship – Entire proceeds of the entity are invested in a funding agreement of the Company.
7	Energy Contracts and Aircraft Loans Investments – with 100% of the investments coming from the Company and its wholly owned affiliate New York Life Insurance and Annuity Corporation.
8	Control of this entity is pursuant to an investment management contract with Private Advisors LLC, or affiliate, not through ownership of voting interests.
9	Investment Pool – Investment pool of mixed assets managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
10	One share held by Candriam Luxco S.á.r.l.
11	Control of this entity is pursuant to a management contract with NYL Investors LLC.

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

Asterisk	Explanation
12	Ausbil Investment Management Limited has sole authority over the management of the fund.

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

[illegible]

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 3 - ULTIMATE CONTROLLING PARTY AND LISTING OF OTHER U.S. INSURANCE GROUPS OR ENTITIES UNDER THAT ULTIMATE CONTROLLING PARTY'S CONTROL

[illegible]

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a “NONE” report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.








	Responses
MARCH FILING	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?	YES
3. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?	YES
4. Will an actuarial opinion be filed by March 1?	YES
APRIL FILING	
5. Will Management’s Discussion and Analysis be filed by April 1?	YES
6. Will the Life, Health & Annuity Guaranty Association Assessable Premium Exhibit - Parts 1 and 2 be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	YES
7. Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
JUNE FILING	
8. Will an audited financial report be filed by June 1?	YES
9. Will Accountant’s Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES

The following supplemental reports are required to be filed as part of your annual statement filing **if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a “NONE” report and a bar code will be printed below.** If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

MARCH FILING	
10. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? (Not applicable to fraternal benefit societies) ...	NO
11. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
12. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1?	NO
13. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	NO
14. Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	YES
15. Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1?	NO
16. Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1?	NO
17. Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1?	NO
18. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
19. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
20. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1?	NO
21. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
22. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
23. Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1?	NO
24. Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1?	NO
25. Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1?	NO























ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

26.	Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1?	NO
27.	Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1?	NO
28.	Will the Worker's Compensation Carve-Out Supplement be filed by March 1? (Not applicable to fraternal benefit societies)	NO
29.	Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1?	YES
30.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?	NO
31.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
32.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
33.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?	SEE EXPLANATION
34.	Will the VM-20 Reserves Supplement be filed with the state of domicile and the NAIC by March 1?	
35.	Will the Health Care Receivables Supplement be filed with the state of domicile and the NAIC by March 1?	
APRIL FILING		
36.	Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by the Valuation Manual be filed with the state of domicile by April 1?	YES
37.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO
38.	Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies) ...	NO
39.	Will the Accident and Health Policy Experience Exhibit be filed by April 1?	NO
40.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	NO
41.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1?	NO
42.	Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30?	NO
43.	Will the Supplemental Term and Universal Life Insurance Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1?	YES
44.	Will the Variable Annuities Supplement be filed with the state of domicile and the NAIC by April 1?	NO
45.	Will the confidential Executive Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO
46.	Will the confidential Life Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO
47.	Will the confidential Variable Annuities Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO
AUGUST FILING		
48.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	NO
Explanations:		
10.		
11.		
12.		
13.		
15.		
16.		
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20.		
21.		
22.		
23.		
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26.		
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28.		
30.		
31.		
32.		
33.	Exempt - total written and assumed premium is below stated threshold.	
34.		
35.		
37.		
38.		
39.		
40.		
41.		
42.		
44.		
45.		
46.		
47.		
48.		
Bar Codes:		
10.	SIS Stockholder Information Supplement [Document Identifier 420]	
11.	Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]	
12.	Trusted Surplus Statement [Document Identifier 490]	
13.	Participating Opinion for Exhibit 5 [Document Identifier 371]	
15.	Actuarial Opinion on X-Factors [Document Identifier 442]	
16.	Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit [Document Identifier 443]	
17.	Actuarial Opinion on Synthetic Guaranteed Investment Contracts [Document Identifier 444]	

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

18.	Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]	 <div>813532021445000000</div>
19.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]	 <div>813532021446000000</div>
20.	Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]	 <div>813532021447000000</div>
21.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]	 <div>813532021448000000</div>
22.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]	 <div>813532021449000000</div>
23.	C-3 RBC Certifications Required Under C-3 Phase I [Document Identifier 450]	 <div>813532021450000000</div>
24.	C-3 RBC Certifications Required Under C-3 Phase II [Document Identifier 451]	 <div>813532021451000000</div>
25.	Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities [Document Identifier 452]	 <div>813532021452000000</div>
26.	Modified Guaranteed Annuity Model Regulation [Document Identifier 453]	 <div>813532021453000000</div>
27.	Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities [Document Identifier 454]	 <div>813532021454000000</div>
28.	Workers' Compensation Carve-Out Supplement [Document Identifier 495]	 <div>813532021495000000</div>
30.	Medicare Part D Coverage Supplement [Document Identifier 365]	 <div>813532021365000000</div>
31.	Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]	 <div>813532021224000000</div>
32.	Relief from the one-year cooling off period for independent CPA [Document Identifier 225]	 <div>813532021225000000</div>
34.	VM-20 Reserves Supplement [Document Identifier 456]	 <div>813532021456000000</div>
35.	Health Care Receivables Supplement [Document Identifier 470]	 <div>813532021470000000</div>
37.	Long-Term Care Experience Reporting Forms [Document Identifier 306]	 <div>813532021306000000</div>
38.	Credit Insurance Experience Exhibit [Document Identifier 230]	 <div>813532021230000000</div>
39.	Accident and Health Policy Experience Exhibit [Document Identifier 210]	 <div>813532021210000000</div>
40.	Supplemental Health Care Exhibit (Parts 1, 2 and 3) [Document Identifier 216]	 <div>813532021216000000</div>
41.	Supplemental Health Care Exhibit's Expense Allocation Report [Document Identifier 217]	 <div>813532021217000000</div>
42.	Actuarial Memorandum Required by Actuarial Guideline XXXVIII 8D [Document Identifier 435]	 <div>813532021435000000</div>
44.	Variable Annuities Supplement [Document Identifier 286]	 <div>813532021286000000</div>
45.	Executive Summary of the PBR Actuarial Report [Document Identifier 457]	 <div>813532021457000000</div>
46.	Life Summary of the PBR Actuarial Report [Document Identifier 458]	 <div>813532021458000000</div>
47.	Variable Annuities Summary of the PBR Actuarial Report [Document Identifier 459]	 <div>813532021459000000</div>
48.	Management's Report of Internal Control Over Financial Reporting [Document Identifier 223]	 <div>813532021223000000</div>

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1	2	3	4	5	6
	Amount	Percentage of Column 1 Line 13	Amount	Securities Lending Reinvested Collateral Amount	Total (Col. 3 + 4) Amount	Percentage of Column 5 Line 13
1. Long-Term Bonds (Schedule D, Part 1):						
1.01 U.S. governments	10,363,405	7.261	10,363,402		10,363,402	7.261
1.02 All other governments	749,618	0.525	749,618		749,618	0.525
1.03 U.S. states, territories and possessions, etc. guaranteed		0.000				0.000
1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed		0.000				0.000
1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed	8,937,186	6.262	8,937,186		8,937,186	6.262
1.06 Industrial and miscellaneous	118,533,817	83.050	118,533,819		118,533,819	83.050
1.07 Hybrid securities		0.000				0.000
1.08 Parent, subsidiaries and affiliates		0.000				0.000
1.09 SVO identified funds		0.000				0.000
1.10 Unaffiliated Bank loans		0.000				0.000
1.11 Total long-term bonds	138,584,026	97.098	138,584,025		138,584,025	97.098
2. Preferred stocks (Schedule D, Part 2, Section 1):						
2.01 Industrial and miscellaneous (Unaffiliated)		0.000				0.000
2.02 Parent, subsidiaries and affiliates		0.000				0.000
2.03 Total preferred stocks		0.000				0.000
3. Common stocks (Schedule D, Part 2, Section 2):						
3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)		0.000				0.000
3.02 Industrial and miscellaneous Other (Unaffiliated)		0.000				0.000
3.03 Parent, subsidiaries and affiliates Publicly traded		0.000				0.000
3.04 Parent, subsidiaries and affiliates Other		0.000				0.000
3.05 Mutual funds		0.000				0.000
3.06 Unit investment trusts		0.000				0.000
3.07 Closed-end funds		0.000				0.000
3.08 Total common stocks		0.000				0.000
4. Mortgage loans (Schedule B):						
4.01 Farm mortgages		0.000				0.000
4.02 Residential mortgages		0.000				0.000
4.03 Commercial mortgages		0.000				0.000
4.04 Mezzanine real estate loans		0.000				0.000
4.05 Total valuation allowance		0.000				0.000
4.06 Total mortgage loans		0.000				0.000
5. Real estate (Schedule A):						
5.01 Properties occupied by company		0.000				0.000
5.02 Properties held for production of income		0.000				0.000
5.03 Properties held for sale		0.000				0.000
5.04 Total real estate		0.000				0.000
6. Cash, cash equivalents and short-term investments:						
6.01 Cash (Schedule E, Part 1)	590,045	0.413	590,045		590,045	0.413
6.02 Cash equivalents (Schedule E, Part 2)	2,144,307	1.502	2,144,310		2,144,310	1.502
6.03 Short-term investments (Schedule DA)	1,406,887	0.986	1,406,887		1,406,887	0.986
6.04 Total cash, cash equivalents and short-term investments	4,141,239	2.902	4,141,242		4,141,242	2.902
7. Contract loans		0.000				0.000
8. Derivatives (Schedule DB)		0.000				0.000
9. Other invested assets (Schedule BA)		0.000				0.000
10. Receivables for securities		0.000				0.000
11. Securities Lending (Schedule DL, Part 1).....		0.000		XXX	XXX	XXX
12. Other invested assets (Page 2, Line 11)		0.000				0.000
13. Total invested assets	142,725,265	100.000	142,725,267		142,725,267	100.000

Schedule A - Verification - Real Estate

N O N E

Schedule B - Verification - Mortgage Loans

N O N E

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year	
2.	Cost of acquired:	
	2.1 Actual cost at time of acquisition (Part 2, Column 8)	
	2.2 Additional investment made after acquisition (Part 2, Column 9)	
3.	Capitalized deferred interest and other:	
	3.1 Totals, Part 1, Column 16	
	3.2 Totals, Part 3, Column 12	
4.	Accrual of discount	
5.	Unrealized valuation increase (decrease):	
	5.1 Totals, Part 1, Column 13	
	5.2 Totals, Part 3, Column 9	
6.	Total gain (loss) on disposals, Part 3, Column 19	
7.	Deduct amounts received on disposals, Part 3, Column 16	
8.	Deduct amortization of premium and depreciation	
9.	Total foreign exchange change in book/adjusted carrying value:	
	9.1 Totals, Part 1, Column 17	
	9.2 Totals, Part 3, Column 14	
10.	Deduct current year's other than temporary impairment recognized:	
	10.1 Totals, Part 1, Column 15	
	10.2 Totals, Part 3, Column 11	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	
12.	Deduct total nonadmitted amounts	
13.	Statement value at end of current period (Line 11 minus Line 12)	

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year	138,325,686
2.	Cost of bonds and stocks acquired, Part 3, Column 7	21,154,322
3.	Accrual of discount	91,057
4.	Unrealized valuation increase (decrease):	
	4.1. Part 1, Column 12	
	4.2. Part 2, Section 1, Column 15	
	4.3. Part 2, Section 2, Column 13	
	4.4. Part 4, Column 11	
5.	Total gain (loss) on disposals, Part 4, Column 19	93,816
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7	21,030,484
7.	Deduct amortization of premium	343,175
8.	Total foreign exchange change in book/adjusted carrying value:	
	8.1. Part 1, Column 15	
	8.2. Part 2, Section 1, Column 19	
	8.3. Part 2, Section 2, Column 16	
	8.4. Part 4, Column 15	
9.	Deduct current year's other than temporary impairment recognized:	
	9.1. Part 1, Column 14	
	9.2. Part 2, Section 1, Column 17	
	9.3. Part 2, Section 2, Column 14	
	9.4. Part 4, Column 13	
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Note 5Q, Line 2	292,811
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	138,584,033
12.	Deduct total nonadmitted amounts	
13.	Statement value at end of current period (Line 11 minus Line 12)	138,584,033

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS					
Governments (Including all obligations guaranteed by governments)	1. United States	10,363,405	10,522,396	10,517,885	10,270,674
	2. Canada	749,618	767,297	746,925	750,000
	3. Other Countries				
	4. Totals	11,113,023	11,289,693	11,264,810	11,020,674
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals				
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals				
U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions	7. Totals	8,937,186	9,616,429	8,954,707	8,941,820
Industrial and Miscellaneous, SVO Identified Funds, Unaffiliated Bank Loans and Hybrid Securities (unaffiliated)	8. United States	99,061,667	101,893,082	99,412,365	98,223,553
	9. Canada	4,742,440	4,809,700	4,733,121	4,750,000
	10. Other Countries	14,729,710	15,217,296	14,768,166	14,650,000
	11. Totals	118,533,817	121,920,078	118,913,652	117,623,553
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	138,584,026	142,826,200	139,133,169	137,586,047
PREFERRED STOCKS Industrial and Miscellaneous (unaffiliated)	14. United States				
	15. Canada				
	16. Other Countries				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
COMMON STOCKS Industrial and Miscellaneous (unaffiliated)	20. United States				
	21. Canada				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	138,584,026	142,826,200	139,133,169	

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1	5,427,566	5,967,727	1,741,942	727,545	49,392	XXX	13,914,172	9.8	13,902,080	10.0	13,914,171	1
1.2 NAIC 2						XXX						
1.3 NAIC 3						XXX						
1.4 NAIC 4						XXX						
1.5 NAIC 5						XXX						
1.6 NAIC 6						XXX						
1.7 Totals	5,427,566	5,967,727	1,741,942	727,545	49,392	XXX	13,914,172	9.8	13,902,080	10.0	13,914,171	1
2. All Other Governments												
2.1 NAIC 1		749,618				XXX	749,618	0.5	1,249,280	0.9	749,618	
2.2 NAIC 2						XXX						
2.3 NAIC 3						XXX						
2.4 NAIC 4						XXX						
2.5 NAIC 5						XXX						
2.6 NAIC 6						XXX						
2.7 Totals		749,618				XXX	749,618	0.5	1,249,280	0.9	749,618	
3. U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1						XXX						
3.2 NAIC 2						XXX						
3.3 NAIC 3						XXX						
3.4 NAIC 4						XXX						
3.5 NAIC 5						XXX						
3.6 NAIC 6						XXX						
3.7 Totals						XXX						
4. U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed												
4.1 NAIC 1						XXX						
4.2 NAIC 2						XXX						
4.3 NAIC 3						XXX						
4.4 NAIC 4						XXX						
4.5 NAIC 5						XXX						
4.6 NAIC 6						XXX						
4.7 Totals						XXX						
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1	434,622	1,716,896	3,030,963	3,739,679	15,026	XXX	8,937,186	6.3	10,207,169	7.3	8,937,186	
5.2 NAIC 2						XXX						
5.3 NAIC 3						XXX						
5.4 NAIC 4						XXX						
5.5 NAIC 5						XXX						
5.6 NAIC 6						XXX						
5.7 Totals	434,622	1,716,896	3,030,963	3,739,679	15,026	XXX	8,937,186	6.3	10,207,169	7.3	8,937,186	

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA
SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)												
6.1 NAIC 1	12,473,696	38,834,935	49,916,391	415,400		XXX	101,640,422	71.5	96,255,426	69.0	85,950,969	15,689,453
6.2 NAIC 2	750,745	11,881,805	4,060,831			XXX	16,693,381	11.7	17,774,984	12.7	14,210,339	2,483,042
6.3 NAIC 3	200,016					XXX	200,016	0.1	124,711	0.1		200,016
6.4 NAIC 4						XXX						
6.5 NAIC 5						XXX						
6.6 NAIC 6						XXX						
6.7 Totals	13,424,457	50,716,740	53,977,222	415,400		XXX	118,533,819	83.4	114,155,121	81.8	100,161,308	18,372,511
7. Hybrid Securities												
7.1 NAIC 1						XXX						
7.2 NAIC 2						XXX						
7.3 NAIC 3						XXX						
7.4 NAIC 4						XXX						
7.5 NAIC 5						XXX						
7.6 NAIC 6						XXX						
7.7 Totals						XXX						
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1						XXX						
8.2 NAIC 2						XXX						
8.3 NAIC 3						XXX						
8.4 NAIC 4						XXX						
8.5 NAIC 5						XXX						
8.6 NAIC 6						XXX						
8.7 Totals						XXX						
9. SVO Identified Funds												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX							
9.2 NAIC 2	XXX	XXX	XXX	XXX	XXX							
9.3 NAIC 3	XXX	XXX	XXX	XXX	XXX							
9.4 NAIC 4	XXX	XXX	XXX	XXX	XXX							
9.5 NAIC 5	XXX	XXX	XXX	XXX	XXX							
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX							
9.7 Totals	XXX	XXX	XXX	XXX	XXX							
10. Unaffiliated Bank Loans												
10.1 NAIC 1						XXX						
10.2 NAIC 2						XXX						
10.3 NAIC 3						XXX						
10.4 NAIC 4						XXX						
10.5 NAIC 5						XXX						
10.6 NAIC 6						XXX						
10.7 Totals						XXX						

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA
SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
11. Total Bonds Current Year												
11.1 NAIC 1	(d) 18,335,884	47,269,176	54,689,296	4,882,624	64,418		125,241,398	88.1	XXX	XXX	109,551,944	15,689,454
11.2 NAIC 2	(d) 750,745	11,881,805	4,060,831				16,693,381	11.7	XXX	XXX	14,210,339	2,483,042
11.3 NAIC 3	(d) 200,016						200,016	0.1	XXX	XXX		200,016
11.4 NAIC 4	(d)								XXX	XXX		
11.5 NAIC 5	(d)						(c)		XXX	XXX		
11.6 NAIC 6	(d)						(c)		XXX	XXX		
11.7 Totals	19,286,645	59,150,981	58,750,127	4,882,624	64,418		(b) 142,134,795	100.0	XXX	XXX	123,762,283	18,372,512
11.8 Line 11.7 as a % of Col. 7	13.6	41.6	41.3	3.4	0.0		100.0	XXX	XXX	XXX	87.1	12.9
12. Total Bonds Prior Year												
12.1 NAIC 1	9,443,616	60,033,076	44,826,497	6,995,747	315,019		XXX	XXX	121,613,955	87.2	109,117,049	12,496,906
12.2 NAIC 2	2,084,994	12,319,322	3,370,668				XXX	XXX	17,774,984	12.7	14,171,054	3,603,930
12.3 NAIC 3		124,711					XXX	XXX	124,711	0.1	124,711	
12.4 NAIC 4							XXX	XXX				
12.5 NAIC 5							XXX	XXX	(c)			
12.6 NAIC 6							XXX	XXX	(c)			
12.7 Totals	11,528,610	72,477,109	48,197,165	6,995,747	315,019		XXX	XXX	(b) 139,513,650	100.0	123,412,814	16,100,836
12.8 Line 12.7 as a % of Col. 9	8.3	51.9	34.5	5.0	0.2		XXX	XXX	100.0	XXX	88.5	11.5
13. Total Publicly Traded Bonds												
13.1 NAIC 1	15,240,700	42,177,716	47,192,809	4,876,300	64,418		109,551,943	77.1	109,117,049	78.2	109,551,943	XXX
13.2 NAIC 2	750,745	10,378,107	3,081,487				14,210,339	10.0	14,171,054	10.2	14,210,339	XXX
13.3 NAIC 3									124,711	0.1		XXX
13.4 NAIC 4												XXX
13.5 NAIC 5												XXX
13.6 NAIC 6												XXX
13.7 Totals	15,991,445	52,555,823	50,274,296	4,876,300	64,418		123,762,282	87.1	123,412,814	88.5	123,762,282	XXX
13.8 Line 13.7 as a % of Col. 7	12.9	42.5	40.6	3.9	0.1		100.0	XXX	XXX	XXX	100.0	XXX
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11	11.3	37.0	35.4	3.4	0.0		87.1	XXX	XXX	XXX	87.1	XXX
14. Total Privately Placed Bonds												
14.1 NAIC 1	3,095,184	5,091,460	7,496,487	6,324			15,689,455	11.0	12,496,906	9.0	XXX	15,689,455
14.2 NAIC 2		1,503,698	979,344				2,483,042	1.7	3,603,930	2.6	XXX	2,483,042
14.3 NAIC 3	200,016						200,016	0.1			XXX	200,016
14.4 NAIC 4											XXX	
14.5 NAIC 5											XXX	
14.6 NAIC 6											XXX	
14.7 Totals	3,295,200	6,595,158	8,475,831	6,324			18,372,513	12.9	16,100,836	11.5	XXX	18,372,513
14.8 Line 14.7 as a % of Col. 7	17.9	35.9	46.1	0.0			100.0	XXX	XXX	XXX	XXX	100.0
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	2.3	4.6	6.0	0.0			12.9	XXX	XXX	XXX	XXX	12.9

(a) Includes \$16,927,697 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.
(b) Includes \$ current year of bonds with Z designations and \$ prior year of bonds with Z designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement.
(c) Includes \$ current year, \$ prior year of bonds with 5GI designations and \$ current year, \$ prior year of bonds with 6* designations. "5GI" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.
(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$3,550,769 ; NAIC 2 \$; NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA
SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1. U.S. Governments												
1.01 Issuer Obligations	4,810,296	3,111,512				XXX	7,921,808	5.6	5,659,124	4.1	7,921,808	
1.02 Residential Mortgage-Backed Securities						XXX						
1.03 Commercial Mortgage-Backed Securities	617,191	2,856,214	1,741,942	727,545	49,392	XXX	5,992,284	4.2	8,227,855	5.9	5,992,284	
1.04 Other Loan-Backed and Structured Securities	79					XXX	79	0.0	15,101	0.0	79	
1.05 Totals	5,427,566	5,967,726	1,741,942	727,545	49,392	XXX	13,914,171	9.8	13,902,080	10.0	13,914,171	
2. All Other Governments												
2.01 Issuer Obligations		749,618				XXX	749,618	0.5	1,249,280	0.9	749,618	
2.02 Residential Mortgage-Backed Securities						XXX						
2.03 Commercial Mortgage-Backed Securities						XXX						
2.04 Other Loan-Backed and Structured Securities						XXX						
2.05 Totals		749,618				XXX	749,618	0.5	1,249,280	0.9	749,618	
3. U.S. States, Territories and Possessions, Guaranteed												
3.01 Issuer Obligations						XXX						
3.02 Residential Mortgage-Backed Securities						XXX						
3.03 Commercial Mortgage-Backed Securities						XXX						
3.04 Other Loan-Backed and Structured Securities						XXX						
3.05 Totals						XXX						
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.01 Issuer Obligations						XXX						
4.02 Residential Mortgage-Backed Securities						XXX						
4.03 Commercial Mortgage-Backed Securities						XXX						
4.04 Other Loan-Backed and Structured Securities						XXX						
4.05 Totals						XXX						
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed												
5.01 Issuer Obligations						XXX						
5.02 Residential Mortgage-Backed Securities	416,845	1,511,936	2,510,064	406,388	4	XXX	4,845,237	3.4	5,603,639	4.0	4,845,237	
5.03 Commercial Mortgage-Backed Securities	17,777	204,960	520,899	3,333,292	15,022	XXX	4,091,950	2.9	4,603,530	3.3	4,091,949	1
5.04 Other Loan-Backed and Structured Securities						XXX						
5.05 Totals	434,622	1,716,896	3,030,963	3,739,680	15,026	XXX	8,937,187	6.3	10,207,169	7.3	8,937,186	1
6. Industrial and Miscellaneous												
6.01 Issuer Obligations	11,030,117	50,317,678	44,520,276	374,705		XXX	106,242,776	74.7	100,536,074	72.1	89,182,051	17,060,725
6.02 Residential Mortgage-Backed Securities	11,004	26,045	20,580	34,371		XXX	92,000	0.1	115,678	0.1	92,000	
6.03 Commercial Mortgage-Backed Securities	2,345,204	279,600	9,356,275			XXX	11,981,079	8.4	13,147,754	9.4	10,887,256	1,093,823
6.04 Other Loan-Backed and Structured Securities	38,131	93,417	80,092	6,324		XXX	217,964	0.2	355,613	0.3		217,964
6.05 Totals	13,424,456	50,716,740	53,977,223	415,400		XXX	118,533,819	83.4	114,155,119	81.8	100,161,307	18,372,512
7. Hybrid Securities												
7.01 Issuer Obligations						XXX						
7.02 Residential Mortgage-Backed Securities						XXX						
7.03 Commercial Mortgage-Backed Securities						XXX						
7.04 Other Loan-Backed and Structured Securities						XXX						
7.05 Totals						XXX						
8. Parent, Subsidiaries and Affiliates												
8.01 Issuer Obligations						XXX						
8.02 Residential Mortgage-Backed Securities						XXX						
8.03 Commercial Mortgage-Backed Securities						XXX						
8.04 Other Loan-Backed and Structured Securities						XXX						
8.05 Affiliated Bank Loans - Issued						XXX						
8.06 Affiliated Bank Loans - Acquired						XXX						
8.07 Totals						XXX						

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA
SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
9. SVO Identified Funds												
9.01 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX							
10. Unaffiliated Bank Loans												
10.01 Unaffiliated Bank Loans - Issued						XXX						
10.02 Unaffiliated Bank Loans - Acquired						XXX						
10.03 Totals						XXX						
11. Total Bonds Current Year												
11.01 Issuer Obligations	15,840,413	54,178,808	44,520,276	374,705		XXX	114,914,202	80.8	XXX	XXX	97,853,477	17,060,725
11.02 Residential Mortgage-Backed Securities	427,849	1,537,981	2,530,644	440,759	4	XXX	4,937,237	3.5	XXX	XXX	4,937,237	
11.03 Commercial Mortgage-Backed Securities	2,980,172	3,340,774	11,619,116	4,060,837	64,414	XXX	22,065,313	15.5	XXX	XXX	20,971,489	1,093,824
11.04 Other Loan-Backed and Structured Securities ..	38,210	93,417	80,092	6,324		XXX	218,043	0.2	XXX	XXX	79	217,964
11.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
11.06 Affiliated Bank Loans						XXX			XXX	XXX		
11.07 Unaffiliated Bank Loans						XXX			XXX	XXX		
11.08 Totals	19,286,644	59,150,980	58,750,128	4,882,625	64,418		142,134,795	100.0	XXX	XXX	123,762,282	18,372,513
11.09 Line 11.08 as a % of Col. 7	13.6	41.6	41.3	3.4	0.0		100.0	XXX	XXX	XXX	87.1	12.9
12. Total Bonds Prior Year												
12.01 Issuer Obligations	9,640,274	64,644,653	31,977,616	1,181,935		XXX	XXX	XXX	107,444,478	77.0	92,794,644	14,649,834
12.02 Residential Mortgage-Backed Securities	657,732	1,593,161	2,620,994	847,093	337	XXX	XXX	XXX	5,719,317	4.1	5,719,316	1
12.03 Commercial Mortgage-Backed Securities	1,180,326	6,067,304	13,487,601	4,929,226	314,682	XXX	XXX	XXX	25,979,139	18.6	24,883,752	1,095,387
12.04 Other Loan-Backed and Structured Securities ..	50,277	171,990	110,954	37,493		XXX	XXX	XXX	370,714	0.3	15,101	355,613
12.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX		XXX	XXX				
12.06 Affiliated Bank Loans						XXX	XXX	XXX				
12.07 Unaffiliated Bank Loans						XXX	XXX	XXX				
12.08 Totals	11,528,609	72,477,108	48,197,165	6,995,747	315,019		XXX	XXX	139,513,648	100.0	123,412,813	16,100,835
12.09 Line 12.08 as a % of Col. 9	8.3	51.9	34.5	5.0	0.2		XXX	XXX	100.0	XXX	88.5	11.5
13. Total Publicly Traded Bonds												
13.01 Issuer Obligations	13,283,446	47,677,068	36,518,257	374,705		XXX	97,853,476	68.8	92,794,644	66.5	97,853,476	XXX
13.02 Residential Mortgage-Backed Securities	427,849	1,537,981	2,530,644	440,758	4	XXX	4,937,236	3.5	5,719,316	4.1	4,937,236	XXX
13.03 Commercial Mortgage-Backed Securities	2,280,071	3,340,774	11,225,395	4,060,836	64,414	XXX	20,971,490	14.8	24,883,752	17.8	20,971,490	XXX
13.04 Other Loan-Backed and Structured Securities ..	79					XXX	79	0.0	15,101	0.0	79	XXX
13.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX							XXX
13.06 Affiliated Bank Loans						XXX						XXX
13.07 Unaffiliated Bank Loans						XXX						XXX
13.08 Totals	15,991,445	52,555,823	50,274,296	4,876,299	64,418		123,762,281	87.1	123,412,813	88.5	123,762,281	XXX
13.09 Line 13.08 as a % of Col. 7	12.9	42.5	40.6	3.9	0.1		100.0	XXX	XXX	XXX	100.0	XXX
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	11.3	37.0	35.4	3.4	0.0		87.1	XXX	XXX	XXX	87.1	XXX
14. Total Privately Placed Bonds												
14.01 Issuer Obligations	2,556,967	6,501,740	8,002,019			XXX	17,060,726	12.0	14,649,834	10.5	XXX	17,060,726
14.02 Residential Mortgage-Backed Securities				1		XXX	1	0.0	1	0.0	XXX	1
14.03 Commercial Mortgage-Backed Securities	700,101		393,721	1		XXX	1,093,823	0.8	1,095,387	0.8	XXX	1,093,823
14.04 Other Loan-Backed and Structured Securities ..	38,131	93,417	80,092	6,324		XXX	217,964	0.2	355,613	0.3	XXX	217,964
14.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX						XXX	
14.06 Affiliated Bank Loans						XXX					XXX	
14.07 Unaffiliated Bank Loans						XXX					XXX	
14.08 Totals	3,295,199	6,595,157	8,475,832	6,326			18,372,514	12.9	16,100,835	11.5	XXX	18,372,514
14.09 Line 14.08 as a % of Col. 7	17.9	35.9	46.1	0.0			100.0	XXX	XXX	XXX	XXX	100.0
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11	2.3	4.6	6.0	0.0			12.9	XXX	XXX	XXX	XXX	12.9

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE DA - VERIFICATION BETWEEN YEARS

Short-Term Investments

	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year					
2. Cost of short-term investments acquired	7,444,322	7,444,322			
3. Accrual of discount	548	548			
4. Unrealized valuation increase (decrease)					
5. Total gain (loss) on disposals	(25)	(25)			
6. Deduct consideration received on disposals	6,037,959	6,037,959			
7. Deduct amortization of premium					
8. Total foreign exchange change in book/adjusted carrying value					
9. Deduct current year's other than temporary impairment recognized					
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	1,406,886	1,406,886			
11. Deduct total nonadmitted amounts					
12. Statement value at end of current period (Line 10 minus Line 11)	1,406,886	1,406,886			

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS

(Cash Equivalents)

	1	2	3	4
	Total	Bonds	Money Market Mutual funds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year	1,562,924	1,187,969	374,955	
2. Cost of cash equivalents acquired	54,878,382	39,856,566	15,021,816	
3. Accrual of discount	1,620	1,620		
4. Unrealized valuation increase (decrease)				
5. Total gain (loss) on disposals	183	183		
6. Deduct consideration received on disposals	54,298,800	38,902,455	15,396,345	
7. Deduct amortization of premium				
8. Total foreign exchange change in book/adjusted carrying value				
9. Deduct current year's other than temporary impairment recognized				
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	2,144,309	2,143,883	426	
11. Deduct total nonadmitted amounts				
12. Statement value at end of current period (Line 10 minus Line 11)	2,144,309	2,143,883	426	

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment: