

**ANNUAL STATEMENT**

**OF THE**

**NEW YORK LIFE GROUP INSURANCE COMPANY OF NY**

**TO THE**

**Insurance Department**

**OF THE**

**STATE OF**

**FOR THE YEAR ENDED  
DECEMBER 31, 2021**

LIFE, ACCIDENT AND HEALTH

FRATERNAL BENEFIT SOCIETIES

**2021**



LIFE, AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES – ASSOCIATION EDITION

ANNUAL STATEMENT
FOR THE YEAR ENDED DECEMBER 31, 2021
OF THE CONDITION AND AFFAIRS OF THE

New York Life Group Insurance Company of NY

NAIC Group Code 0826, 0826 NAIC Company Code 64548 Employer's ID No. 13-2556568

Organized under the Laws of New York, State of Domicile or Port of Entry NY, Country of Domicile United States of America

Table with 2 columns: Information (e.g., INCORPORATED/ORGANIZED, Statutory Home Office) and Details (e.g., JUNE 29, 1965, 51 Madison Avenue, New York, NY, U.S. 10010)

EXECUTIVE OFFICERS

- SCOTT LLOYD BERLIN # President
ROBERT MICHAEL GARDNER Senior Vice President and Controller
THOMAS ALEXANDER HENDRY Senior Vice President and Treasurer
MATTHEW DAVID WION #1 Senior Vice President and Interim Chief Financial Officer
KEVIN COLIN MCGOLDRICK # Corporate Vice President and Actuary
COLLEEN ANNE MEADE Associate General Counsel and Secretary

DIRECTORS OR TRUSTEES

- SCOTT LLOYD BERLIN # ANTHONY RAMSEY MALLOY BENJAMIN LAURENCE ROSENTHAL
ELIZABETH KATHERINE BRILL MICHAEL KELLY MCDONNELL MATTHEW DAVID WION
ALAIN MAURICE KARAOGLAN JOANNE HELEN RODGERS

State of Pennsylvania } SS
County of Philadelphia

State of New York } SS
County of New York

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

DocuSigned by: Scott Berlin (A36F17D59585467...) SCOTT LLOYD BERLIN # President
DocuSigned by: Justin Somers (3B5611B42032450...) JUSTIN ADAM SOMERS # Vice President and Chief Financial Officer
DocuSigned by: Colleen Meade (43F3CF83969D48E...) COLLEEN ANNE MEADE Associate General Counsel and Secretary

Subscribed and sworn to before me this day of February 2022

Subscribed and sworn to before me this day of February 2022

- a. Is this an original filing? Yes [X] No [ ]
b. If no: 1. State the amendment number ...
2. Date filed ...
3. Number of pages attached ...

# Officers and Directors who did not occupy the indicated position in the previous annual statement.

1. Justin Adam Somers replaced Matthew David Wion as the Chief Financial Officer and joined the Board of Directors effective January 1, 2022

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**ASSETS**

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D) .....	436,963,998		436,963,998	394,163,570
2. Stocks (Schedule D):				
2.1 Preferred stocks .....				
2.2 Common stocks .....				
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens .....	20,188,074		20,188,074	
3.2 Other than first liens .....	656,135		656,135	
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$ .....				
encumbrances) .....				
4.2 Properties held for the production of income (less				
\$ .....				
encumbrances) .....				
4.3 Properties held for sale (less \$ .....				
encumbrances) .....				
5. Cash (\$ .....	562,782			
, Schedule E - Part 1), cash equivalents				
(\$ .....	850,144			
, Schedule E - Part 2) and short-term				
investments (\$ .....				
, Schedule DA) .....	1,412,926		1,412,926	11,003,793
6. Contract loans (including \$ .....				
premium notes) .....				
7. Derivatives (Schedule DB) .....				
8. Other invested assets (Schedule BA) .....				
9. Receivables for securities .....	76,949		76,949	
10. Securities lending reinvested collateral assets (Schedule DL) .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	459,298,082		459,298,082	405,167,363
13. Title plants less \$ .....				
charged off (for Title insurers				
only) .....				
14. Investment income due and accrued .....	3,518,439		3,518,439	3,929,544
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	36,301,880	17,730,977	18,570,903	29,427,949
15.2 Deferred premiums and agents' balances and installments booked but				
deferred and not yet due (including \$ .....				
earned but unbilled premiums) .....				
15.3 Accrued retrospective premiums (\$ .....				
) and				
contracts subject to redetermination (\$ .....				
) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	2,330,337		2,330,337	3,919,088
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....	107,928	52,245	55,683	131,251
18.1 Current federal and foreign income tax recoverable and interest thereon .....	1,327,188		1,327,188	
18.2 Net deferred tax asset .....	31,527,554	18,218,374	13,309,180	12,879,190
19. Guaranty funds receivable or on deposit .....	1,000		1,000	
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets				
(\$ .....				
) .....				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	83,755		83,755	
24. Health care (\$ .....	0			
) and other amounts receivable .....				
25. Aggregate write-ins for other than invested assets .....	4,926,079		4,926,079	6,085,631
26. Total assets excluding Separate Accounts, Segregated Accounts and				
Protected Cell Accounts (Lines 12 to 25) .....	539,422,242	36,001,596	503,420,646	461,540,016
27. From Separate Accounts, Segregated Accounts and Protected Cell				
Accounts .....				
28. Total (Lines 26 and 27) .....	539,422,242	36,001,596	503,420,646	461,540,016
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above) .....				
2501. PFL risk adjustment receivable .....	4,925,079		4,925,079	6,063,574
2502. Miscellaneous .....	1,000		1,000	22,057
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above) .....	4,926,079		4,926,079	6,085,631

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Year	2 Prior Year
1. Aggregate reserve for life contracts \$ 18,809,980 (Exh. 5, Line 9999999) less \$ included in Line 6.3 (including \$ Modco Reserve)	18,809,980	18,972,985
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)	268,253,506	252,660,172
3. Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$ Modco Reserve)	17,566,956	18,589,163
4. Contract claims:		
4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11)	17,478,251	17,244,273
4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11)	20,879,359	17,775,087
5. Policyholders' dividends/refunds to members \$ and coupons \$ due and unpaid (Exhibit 4, Line 10)		
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ Modco)		
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ Modco)		
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of lines 4 and 14)		
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act	4,565,548	4,461,175
9.3 Other amounts payable on reinsurance, including \$ assumed and \$ 1,767,681 ceded	1,767,681	2,773,799
9.4 Interest maintenance reserve (IMR, Line 6)	2,852,629	2,001,281
10. Commissions to agents due or accrued-life and annuity contracts \$ 1,111,057 accident and health \$ 2,972,495 and deposit-type contract funds \$	4,083,552	3,910,930
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued (Exhibit 2, Line 12, Col. 7)	453,380	438,329
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 6)	(1,792,212)	838,766
15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses)		
15.2 Net deferred tax liability		
16. Unearned investment income	2,121	
17. Amounts withheld or retained by reporting entity as agent or trustee	6,004	
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated	19,250,830	18,189,085
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve (AVR, Line 16, Col. 7)	4,080,059	3,332,443
24.02 Reinsurance in unauthorized and certified (\$ ) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	13,290,801	1,581,458
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives		
24.09 Payable for securities		
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	(194)	30,617
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	391,548,251	362,799,563
27. From Separate Accounts Statement		
28. Total liabilities (Lines 26 and 27)	391,548,251	362,799,563
29. Common capital stock	1,100,000	1,100,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds		
32. Surplus notes		
33. Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1)	5,250,165	5,250,165
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	105,522,230	92,390,288
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$ )		
36.2 shares preferred (value included in Line 30 \$ )		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	110,772,395	97,640,453
38. Totals of Lines 29, 30 and 37 (Page 4, Line 55)	111,872,395	98,740,453
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	503,420,646	461,540,016
<b>DETAILS OF WRITE-INS</b>		
2501. Escheat liabilities		25,617
2502. Other liabilities	(194)	5,000
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	(194)	30,617
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 thru 3103 plus 3198)(Line 31 above)		
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above)		

**SUMMARY OF OPERATIONS**

	1 Current Year	2 Prior Year
1. Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11) .....	257,307,726	233,338,872
2. Considerations for supplementary contracts with life contingencies .....		
3. Net investment income (Exhibit of Net Investment Income, Line 17) .....	15,722,371	14,882,174
4. Amortization of Interest Maintenance Reserve (IMR, Line 5) .....	775,367	332,402
5. Separate Accounts net gain from operations excluding unrealized gains or losses .....		
6. Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1) .....	217,638	52,004
7. Reserve adjustments on reinsurance ceded .....		
8. Miscellaneous Income:		
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts .....		
8.2 Charges and fees for deposit-type contracts .....	(25,462)	175
8.3 Aggregate write-ins for miscellaneous income .....	(924)	114
9. Total (Lines 1 to 8.3) .....	273,996,716	248,605,741
10. Death benefits .....	51,884,028	57,807,471
11. Matured endowments (excluding guaranteed annual pure endowments) .....		
12. Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8) .....		
13. Disability benefits and benefits under accident and health contracts .....	156,938,761	143,747,292
14. Coupons, guaranteed annual pure endowments and similar benefits .....		
15. Surrender benefits and withdrawals for life contracts .....		
16. Group conversions .....		
17. Interest and adjustments on contract or deposit-type contract funds .....	73,835	251,005
18. Payments on supplementary contracts with life contingencies .....		
19. Increase in aggregate reserves for life and accident and health contracts .....	12,321,373	23,726,032
20. Totals (Lines 10 to 19) .....	221,217,997	225,531,800
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1) .....	12,694,143	12,303,869
22. Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1) .....		
23. General insurance expenses and fraternal expenses (Exhibit 2, Line 10, Cols. 1, 2, 3, 4 and 6) .....	19,584,143	18,749,913
24. Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3 + 5) .....	6,266,958	6,219,037
25. Increase in loading on deferred and uncollected premiums .....		
26. Net transfers to or (from) Separate Accounts net of reinsurance .....		
27. Aggregate write-ins for deductions .....	(4,336,731)	(8,362,782)
28. Totals (Lines 20 to 27) .....	255,426,510	254,441,837
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28) .....	18,570,206	(5,836,096)
30. Dividends to policyholders and refunds to members .....		
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30) .....	18,570,206	(5,836,096)
32. Federal and foreign income taxes incurred (excluding tax on capital gains) .....	1,936,812	1,027,349
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) .....	16,633,394	(6,863,445)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ .....(432,418) (excluding taxes of \$ .....432,418 transferred to the IMR) .....	432,418	(522,498)
35. Net income (Line 33 plus Line 34) .....	17,065,812	(7,385,943)
<b>CAPITAL AND SURPLUS ACCOUNT</b>		
36. Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2) .....	98,740,453	104,879,767
37. Net income (Line 35) .....	17,065,812	(7,385,943)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....		
39. Change in net unrealized foreign exchange capital gain (loss) .....		
40. Change in net deferred income tax .....	(1,459,039)	27,902,604
41. Change in nonadmitted assets .....	1,133,687	(26,221,104)
42. Change in liability for reinsurance in unauthorized and certified companies .....		
43. Change in reserve on account of change in valuation basis, (increase) or decrease .....		
44. Change in asset valuation reserve .....	(747,616)	129,964
45. Change in treasury stock (Page 3, Lines 36.1 and 36.2, Col. 2 minus Col. 1) .....		
46. Surplus (contributed to) withdrawn from Separate Accounts during period .....		
47. Other changes in surplus in Separate Accounts Statement .....		
48. Change in surplus notes .....		
49. Cumulative effect of changes in accounting principles .....		
50. Capital changes:		
50.1 Paid in .....		
50.2 Transferred from surplus (Stock Dividend) .....		
50.3 Transferred to surplus .....		
51. Surplus adjustment:		
51.1 Paid in .....		(564,835)
51.2 Transferred to capital (Stock Dividend) .....		
51.3 Transferred from capital .....		
51.4 Change in surplus as a result of reinsurance .....		
52. Dividends to stockholders .....		
53. Aggregate write-ins for gains and losses in surplus .....	(2,860,902)	
54. Net change in capital and surplus for the year (Lines 37 through 53) .....	13,131,942	(6,139,314)
55. Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38) .....	111,872,395	98,740,453
<b>DETAILS OF WRITE-INS</b>		
08.301. Sundries .....	(924)	114
08.302. ....		
08.303. ....		
08.398. Summary of remaining write-ins for Line 8.3 from overflow page .....		
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398)(Line 8.3 above) .....	(924)	114
2701. Fines, penalties and fees from regulatory authorities .....	421	
2702. Other write ins .....		49,492
2703. PFL risk adjustment .....	(4,337,152)	(8,412,274)
2798. Summary of remaining write-ins for Line 27 from overflow page .....		
2799. Totals (Lines 2701 thru 2703 plus 2798)(Line 27 above) .....	(4,336,731)	(8,362,782)
5301. Prior period correction .....	(2,860,902)	
5302. ....		
5303. ....		
5398. Summary of remaining write-ins for Line 53 from overflow page .....		
5399. Totals (Lines 5301 thru 5303 plus 5398)(Line 53 above) .....	(2,860,902)	

## ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**CASH FLOW**

	1	2
	Current Year	Prior Year
<b>Cash from Operations</b>		
1. Premiums collected net of reinsurance .....	267,006,828	220,876,578
2. Net investment income .....	16,259,532	14,992,284
3. Miscellaneous income .....	191,252	52,293
4. Total (Lines 1 through 3) .....	283,457,612	235,921,155
5. Benefit and loss related payments .....	204,727,687	194,185,871
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....		
7. Commissions, expenses paid and aggregate write-ins for deductions .....	36,443,957	28,577,512
8. Dividends paid to policyholders .....		
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	3,264,000	1,875,447
10. Total (Lines 5 through 9) .....	244,435,644	224,638,830
11. Net cash from operations (Line 4 minus Line 10) .....	39,021,968	11,282,325
<b>Cash from Investments</b>		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds .....	86,475,681	66,101,320
12.2 Stocks .....		
12.3 Mortgage loans .....	(4,012)	
12.4 Real estate .....		
12.5 Other invested assets .....		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....		
12.7 Miscellaneous proceeds .....	1	203,316
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	86,471,670	66,304,636
13. Cost of investments acquired (long-term only):		
13.1 Bonds .....	127,345,040	118,899,924
13.2 Stocks .....		
13.3 Mortgage loans .....	20,836,086	
13.4 Real estate .....		
13.5 Other invested assets .....		
13.6 Miscellaneous applications .....	76,949	146,932
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	148,258,075	119,046,856
14. Net increase (decrease) in contract loans and premium notes .....		
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14) .....	(61,786,405)	(52,742,220)
<b>Cash from Financing and Miscellaneous Sources</b>		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes .....		
16.2 Capital and paid in surplus, less treasury stock .....		(564,835)
16.3 Borrowed funds .....		
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(1,022,207)	3,628,510
16.5 Dividends to stockholders .....		
16.6 Other cash provided (applied) .....	14,195,777	3,145,777
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6) .....	13,173,570	6,209,452
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(9,590,867)	(35,250,443)
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year .....	11,003,793	46,254,236
19.2 End of year (Line 18 plus Line 19.1) .....	1,412,926	11,003,793
<b>Note: Supplemental disclosures of cash flow information for non-cash transactions:</b>		
20.0001. Bond exchanges allowed under rule 144A of the 1933 securities act and other security restructures .....	847,663	4,250,571
20.0002. Capitalized interest on mortgage loans .....	2,266	

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - SUMMARY**

	1	2	3	4	5	6	7	8	9
	Total	Individual Life	Group Life	Individual Annuities	Group Annuities	Accident and Health	Fraternal	Other Lines of Business	YRT Mortality Risk Only
1. Premiums and annuity considerations for life and accident and health contracts .....	257,307,726		55,517,883			201,789,843			
2. Considerations for supplementary contracts with life contingencies .....		XXX	XXX			XXX	XXX		XXX
3. Net investment income .....	15,722,371	2,048	1,028,168			14,692,155			
4. Amortization of Interest Maintenance Reserve (IMR) .....	775,367	101	50,705			724,561			
5. Separate Accounts net gain from operations excluding unrealized gains or losses .....							XXX		
6. Commissions and expense allowances on reinsurance ceded .....	217,638					217,638	XXX		
7. Reserve adjustments on reinsurance ceded .....							XXX		
8. Miscellaneous Income:									
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts .....							XXX		
8.2 Charges and fees for deposit-type contracts .....	(25,462)		(25,462)			XXX	XXX		
8.3 Aggregate write-ins for miscellaneous income .....	(924)		54			(978)			
9. Totals (Lines 1 to 8.3) .....	273,996,716	2,149	56,571,348			217,423,219			
10. Death benefits .....	51,884,028		51,884,028			XXX	XXX		
11. Matured endowments (excluding guaranteed annual pure endowments) .....						XXX	XXX		
12. Annuity benefits .....		XXX	XXX			XXX	XXX		XXX
13. Disability benefits and benefits under accident and health contracts .....	156,938,761					156,938,761	XXX		
14. Coupons, guaranteed annual pure endowments and similar benefits .....							XXX		
15. Surrender benefits and withdrawals for life contracts .....						XXX	XXX		
16. Group conversions .....							XXX		
17. Interest and adjustments on contract or deposit-type contract funds .....	73,835		73,657			178	XXX		
18. Payments on supplementary contracts with life contingencies .....						XXX	XXX		
19. Increase in aggregate reserves for life and accident and health contracts .....	12,321,373	2,854	(165,861)			12,484,380	XXX		
20. Totals (Lines 10 to 19) .....	221,217,997	2,854	51,791,824			169,423,319	XXX		
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only) .....	12,694,143		3,166,011			9,528,132			XXX
22. Commissions and expense allowances on reinsurance assumed .....							XXX		
23. General insurance expenses and fraternal expenses .....	19,584,143		7,448,177			12,135,966			
24. Insurance taxes, licenses and fees, excluding federal income taxes .....	6,266,958		1,458,467			4,808,491			
25. Increase in loading on deferred and uncollected premiums .....							XXX		
26. Net transfers to or (from) Separate Accounts net of reinsurance .....							XXX		
27. Aggregate write-ins for deductions .....	(4,336,731)		70			(4,336,801)			
28. Totals (Lines 20 to 27) .....	255,426,510	2,854	63,864,549			191,559,107			
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28) .....	18,570,206	(705)	(7,293,201)			25,864,112			
30. Dividends to policyholders and refunds to members .....							XXX		
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30) .....	18,570,206	(705)	(7,293,201)			25,864,112			
32. Federal income taxes incurred (excluding tax on capital gains) .....	1,936,812	(169)	(762,301)			2,699,282			
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) .....	16,633,394	(536)	(6,530,900)			23,164,830			
34. Policies/certificates in force end of year .....	3,800	26	463			3,311	XXX		
<b>DETAILS OF WRITE-INS</b>									
08.301. Fees and Other Income .....	(924)		54			(978)			
08.302. ....									
08.303. ....									
08.398. Summary of remaining write-ins for Line 8.3 from overflow page .....									
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above) .....	(924)		54			(978)			
2701. Fines, penalties and fees from regulatory authorities .....	421		70			351			
2702. PFL Risk Adjustment .....	(4,337,152)					(4,337,152)			
2703. ....									
2798. Summary of remaining write-ins for Line 27 from overflow page .....									
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above) .....	(4,336,731)		70			(4,336,801)			

**ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - INDIVIDUAL LIFE INSURANCE (b)**

	1	2	3	4	5	6	7	8	9	10	11	12
	Total	Industrial Life	Whole Life	Term Life	Indexed Life	Universal Life	Universal Life With Secondary Guarantees	Variable Life	Variable Universal Life	Credit Life (c)	Other Individual Life	YRT Mortality Risk Only
1. Premiums for life contracts (a)												
2. Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3. Net investment income	2,048			2,048								
4. Amortization of Interest Maintenance Reserve (IMR)	101			101								
5. Separate Accounts net gain from operations excluding unrealized gains or losses												
6. Commissions and expense allowances on reinsurance ceded												
7. Reserve adjustments on reinsurance ceded												
8. Miscellaneous Income:												
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts												
8.2 Charges and fees for deposit-type contracts												
8.3 Aggregate write-ins for miscellaneous income												
9. Totals (Lines 1 to 8.3)	2,149			2,149								
10. Death benefits												
11. Matured endowments (excluding guaranteed annual pure endowments)												
12. Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13. Disability benefits and benefits under accident and health contracts												
14. Coupons, guaranteed annual pure endowments and similar benefits												
15. Surrender benefits and withdrawals for life contracts												
16. Group conversions												
17. Interest and adjustments on contract or deposit-type contract funds												
18. Payments on supplementary contracts with life contingencies												
19. Increase in aggregate reserves for life and accident and health contracts	2,854			2,854								
20. Totals (Lines 10 to 19)	2,854			2,854								
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)												XXX
22. Commissions and expense allowances on reinsurance assumed												
23. General insurance expenses												
24. Insurance taxes, licenses and fees, excluding federal income taxes												
25. Increase in loading on deferred and uncollected premiums												
26. Net transfers to or (from) Separate Accounts net of reinsurance												
27. Aggregate write-ins for deductions												
28. Totals (Lines 20 to 27)	2,854			2,854								
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(705)			(705)								
30. Dividends to policyholders and refunds to members												
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(705)			(705)								
32. Federal income taxes incurred (excluding tax on capital gains)	(169)			(169)								
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(536)			(536)								
34. Policies/certificates in force end of year	26			26								
<b>DETAILS OF WRITE-INS</b>												
08.301.												
08.302.												
08.303.												
08.398. Summary of remaining write-ins for Line 8.3 from overflow page												
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)												
2701.												
2702.												
2703.												
2798. Summary of remaining write-ins for Line 27 from overflow page												
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)												

(a) Include premium amounts for preneed plans included in Line 1  
(b) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.  
(c) Individual and Group Credit Life are combined and included on page. (Indicate whether included with Individual or Group.)

**ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY**  
**ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - GROUP LIFE INSURANCE (c)**

	1	2	3	4	5	6	7	8	9
	Total	Whole Life	Term Life	Universal Life	Variable Life	Variable Universal Life	Credit Life (d)	Other Group Life (a)	YRT Mortality Risk Only
1. Premiums for life contracts <sup>(b)</sup>	55,517,883		55,517,883						
2. Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3. Net investment income	1,028,168		1,028,168						
4. Amortization of Interest Maintenance Reserve (IMR)	50,705		50,705						
5. Separate Accounts net gain from operations excluding unrealized gains or losses									
6. Commissions and expense allowances on reinsurance ceded									
7. Reserve adjustments on reinsurance ceded									
8. Miscellaneous Income:									
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts									
8.2 Charges and fees for deposit-type contracts	(25,462)		(25,462)						
8.3 Aggregate write-ins for miscellaneous income	54		54						
9. Totals (Lines 1 to 8.3)	56,571,348		56,571,348						
10. Death benefits	51,884,028		51,884,028						
11. Matured endowments (excluding guaranteed annual pure endowments)									
12. Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13. Disability benefits and benefits under accident and health contracts									
14. Coupons, guaranteed annual pure endowments and similar benefits									
15. Surrender benefits and withdrawals for life contracts									
16. Group conversions									
17. Interest and adjustments on contract or deposit-type contract funds	73,657		73,657						
18. Payments on supplementary contracts with life contingencies									
19. Increase in aggregate reserves for life and accident and health contracts	(165,861)		(165,861)						
20. Totals (Lines 10 to 19)	51,791,824		51,791,824						
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	3,166,011		3,166,011						XXX
22. Commissions and expense allowances on reinsurance assumed									
23. General insurance expenses	7,448,177		7,448,177						
24. Insurance taxes, licenses and fees, excluding federal income taxes	1,458,467		1,458,467						
25. Increase in loading on deferred and uncollected premiums									
26. Net transfers to or (from) Separate Accounts net of reinsurance									
27. Aggregate write-ins for deductions	70		70						
28. Totals (Lines 20 to 27)	63,864,549		63,864,549						
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	(7,293,201)		(7,293,201)						
30. Dividends to policyholders and refunds to members									
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(7,293,201)		(7,293,201)						
32. Federal income taxes incurred (excluding tax on capital gains)	(762,301)		(762,301)						
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(6,530,900)		(6,530,900)						
34. Policies/certificates in force end of year	463		463						
<b>DETAILS OF WRITE-INS</b>									
08.301. Fees and Other Income	54		54						
08.302. ....									
08.303. ....									
08.398. Summary of remaining write-ins for Line 8.3 from overflow page									
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	54		54						
2701. Fines, penalties and fees from regulatory authorities	70		70						
2702. ....									
2703. ....									
2798. Summary of remaining write-ins for Line 27 from overflow page									
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	70		70						

(a) Includes the following amounts for FEGLI/SGLI: Line 1 \_\_\_\_\_, Line 10 \_\_\_\_\_, Line 16 \_\_\_\_\_, Line 23 \_\_\_\_\_, Line 24 \_\_\_\_\_

(b) Include premium amounts for preneed plans included in Line 1 \_\_\_\_\_

(c) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected. \_\_\_\_\_

(d) Individual and Group Credit Life are combined and included on \_\_\_\_\_ page. (Indicate whether included with Individual or Group.)

Analysis of Operations by Lines of Business - Individual Annuities

**N O N E**

Analysis of Operations by Lines of Business - Group Annuities

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - ACCIDENT AND HEALTH (a)**

	1 Total	Comprehensive		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefits Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Credit A&H	11 Disability Income	12 Long-Term Care	13 Other Health
		2 Individual	3 Group										
1. Premiums for accident and health contracts	201,789,843										193,748,762		8,041,081
2. Considerations for supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
3. Net investment income	14,692,155										14,592,646		99,509
4. Amortization of Interest Maintenance Reserve (IMR)	724,561										719,654		4,907
5. Separate Accounts net gain from operations excluding unrealized gains or losses													
6. Commissions and expense allowances on reinsurance ceded	217,638												217,638
7. Reserve adjustments on reinsurance ceded													
8. Miscellaneous Income:													
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts													
8.2 Charges and fees for deposit-type contracts	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8.3 Aggregate write-ins for miscellaneous income	(978)										(978)		
9. Totals (Lines 1 to 8.3)	217,423,219										209,060,084		8,363,135
10. Death benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11. Matured endowments (excluding guaranteed annual pure endowments)	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
12. Annuity benefits	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
13. Disability benefits and benefits under accident and health contracts	156,938,761										153,835,846		3,102,915
14. Coupons, guaranteed annual pure endowments and similar benefits													
15. Surrender benefits and withdrawals for life contracts	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
16. Group conversions													
17. Interest and adjustments on contract or deposit-type contract funds	178												178
18. Payments on supplementary contracts with life contingencies	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
19. Increase in aggregate reserves for life and accident and health contracts	12,484,380										12,756,358		(271,978)
20. Totals (Lines 10 to 19)	169,423,319										166,592,204		2,831,115
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	9,528,132										8,619,729		908,403
22. Commissions and expense allowances on reinsurance assumed													
23. General insurance expenses	12,135,966										10,940,758		1,195,208
24. Insurance taxes, licenses and fees, excluding federal income taxes	4,808,491										4,781,428		27,063
25. Increase in loading on deferred and uncollected premiums													
26. Net transfers to or (from) Separate Accounts net of reinsurance													
27. Aggregate write-ins for deductions	(4,336,801)										(4,337,109)		308
28. Totals (Lines 20 to 27)	191,559,107										186,597,010		4,962,097
29. Net gain from operations before dividends to policyholders, and refunds to members and federal income taxes (Line 9 minus Line 28)	25,864,112										22,463,074		3,401,038
30. Dividends to policyholders and refunds to members													
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	25,864,112										22,463,074		3,401,038
32. Federal income taxes incurred (excluding tax on capital gains)	2,699,282										2,000,226		699,056
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	23,164,830										20,462,848		2,701,982
34. Policies/certificates in force end of year	3,311										2,385		926
<b>DETAILS OF WRITE-INS</b>													
08.301. Fees and Other Income	(978)										(978)		
08.302.													
08.303.													
08.398. Summary of remaining write-ins for Line 8.3 from overflow page													
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	(978)										(978)		
2701. Fines, penalties and fees from regulatory authorities	351										43		308
2702. PFL Risk Adjustment	(4,337,152)										(4,337,152)		
2703.													
2798. Summary of remaining write-ins for Line 27 from overflow page													
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	(4,336,801)										(4,337,109)		308

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - INDIVIDUAL LIFE INSURANCE (a)**

	1	2	3	4	5	6	7	8	9	10	11	12
	Total	Industrial Life	Whole Life	Term Life	Indexed Life	Universal Life	Universal Life With Secondary Guarantees	Variable Life	Variable Universal Life	Credit Life <sup>(b)</sup> (N/A Fraternal)	Other Individual Life	YRT Mortality Risk Only
<b>Involving Life or Disability Contingencies (Reserves)</b> (Net of Reinsurance Ceded)												
1. Reserve December 31 of prior year .....	34,538			34,538								
2. Tabular net premiums or considerations .....												
3. Present value of disability claims incurred .....												
4. Tabular interest .....	2,029			2,029								
5. Tabular less actual reserve released .....	825			825								
6. Increase in reserve on account of change in valuation basis .....												
6.1 Change in excess of VM-20 deterministic/stochastic reserve over net premium reserve .....		XXX								XXX		
7. Other increases (net) .....												
8. Totals (Lines 1 to 7) .....	37,392			37,392								
9. Tabular cost .....												
10. Reserves released by death .....												
11. Reserves released by other terminations (net) .....												
12. Annuity, supplementary contract and disability payments involving life contingencies .....												
13. Net transfers to or (from) Separate Accounts .....												
14. Total Deductions (Lines 9 to 13) .....												
15. Reserve December 31 of current year .....	37,392			37,392								
<b>Cash Surrender Value and Policy Loans</b>												
16. CSV Ending balance December 31, current year .....												
17. Amount Available for Policy Loans Based upon Line 16 CSV .....												

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected. ....  
(b) Individual and Group Credit Life are combined and included on ..... page. (Indicate whether included with Individual or Group.)

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - GROUP LIFE INSURANCE (a)**  
**(N/A Fraternal)**

	1	2	3	4	5	6	7	8	9
	Total	Whole Life	Term Life	Variable Life	Universal Life	Variable Universal Life	Credit Life <sup>(b)</sup>	Other Group Life	YRT Mortality Risk Only
<b>Involving Life or Disability Contingencies (Reserves)</b> (Net of Reinsurance Ceded)									
1. Reserve December 31 of prior year .....	18,938,447		18,938,447						
2. Tabular net premiums or considerations .....	54,483,509		54,483,509						
3. Present value of disability claims incurred .....	3,421,104		3,421,104						
4. Tabular interest .....	662,529		662,529						
5. Tabular less actual reserve released .....	(847,293)		(847,293)						
6. Increase in reserve on account of change in valuation basis .....									
7. Other increases (net) .....									
8. Totals (Lines 1 to 7) .....	76,658,296		76,658,296						
9. Tabular cost .....	54,483,509		54,483,509						
10. Reserves released by death .....									
11. Reserves released by other terminations (net) .....									
12. Annuity, supplementary contract and disability payments involving life contingencies .....	3,402,200		3,402,200						
13. Net transfers to or (from) Separate Accounts .....									
14. Total Deductions (Lines 9 to 13) .....	57,885,709		57,885,709						
15. Reserve December 31 of current year .....	18,772,587		18,772,587						
<b>Cash Surrender Value and Policy Loans</b>									
16. CSV Ending balance December 31, current year .....									
17. Amount Available for Policy Loans Based upon Line 16 CSV .....									

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected. ....

(b) Individual and Group Credit Life are combined and included on ..... page. (Indicate whether included with Individual or Group.)

Analysis of Increase in Reserves During the Year - Individual Annuities

**N O N E**

Analysis of Increase in Reserves During the Year - Group Annuities

**N O N E**

**EXHIBIT OF NET INVESTMENT INCOME**

	1	2
	Collected During Year	Earned During Year
1. U.S. Government bonds .....	(a) 4,892	22,245
1.1 Bonds exempt from U.S. tax .....	(a) .....	.....
1.2 Other bonds (unaffiliated) .....	(a) 16,590,704	16,134,142
1.3 Bonds of affiliates .....	(a) .....	.....
2.1 Preferred stocks (unaffiliated) .....	(b) .....	.....
2.11 Preferred stocks of affiliates .....	(b) .....	.....
2.2 Common stocks (unaffiliated) .....	.....	.....
2.21 Common stocks of affiliates .....	.....	.....
3. Mortgage loans .....	(c) 58,019	84,002
4. Real estate .....	(d) .....	.....
5. Contract loans .....	.....	.....
6. Cash, cash equivalents and short-term investments .....	(e) 650	650
7. Derivative instruments .....	(f) .....	.....
8. Other invested assets .....	.....	.....
9. Aggregate write-ins for investment income .....	16,911	16,911
10. Total gross investment income .....	16,671,176	16,257,950
11. Investment expenses .....	.....	(g) 485,815
12. Investment taxes, licenses and fees, excluding federal income taxes .....	.....	(g) .....
13. Interest expense .....	.....	(h) 49,764
14. Depreciation on real estate and other invested assets .....	.....	(i) .....
15. Aggregate write-ins for deductions from investment income .....	.....	.....
16. Total deductions (Lines 11 through 15) .....	.....	535,579
17. Net investment income (Line 10 minus Line 16) .....	.....	15,722,371
<b>DETAILS OF WRITE-INS</b>		
0901. Miscellaneous sources .....	16,911	16,911
0902. ....	.....	.....
0903. ....	.....	.....
0998. Summary of remaining write-ins for Line 9 from overflow page .....	.....	.....
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above) .....	16,911	16,911
1501. ....	.....	.....
1502. ....	.....	.....
1503. ....	.....	.....
1598. Summary of remaining write-ins for Line 15 from overflow page .....	.....	.....
1599. Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above) .....	.....	.....

- (a) Includes \$ 445,690 accrual of discount less \$ 573,735 amortization of premium and less \$ 173,986 paid for accrued interest on purchases.
- (b) Includes \$ ..... accrual of discount less \$ ..... amortization of premium and less \$ ..... paid for accrued dividends on purchases.
- (c) Includes \$ 1,844 accrual of discount less \$ ..... amortization of premium and less \$ ..... paid for accrued interest on purchases.
- (d) Includes \$ ..... for company's occupancy of its own buildings; and excludes \$ ..... interest on encumbrances.
- (e) Includes \$ ..... accrual of discount less \$ ..... amortization of premium and less \$ ..... paid for accrued interest on purchases.
- (f) Includes \$ ..... accrual of discount less \$ ..... amortization of premium.
- (g) Includes \$ ..... investment expenses and \$ ..... investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ ..... interest on surplus notes and \$ ..... interest on capital notes.
- (i) Includes \$ ..... depreciation on real estate and \$ ..... depreciation on other invested assets.

**EXHIBIT OF CAPITAL GAINS (LOSSES)**

	1	2	3	4	5
	Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1. U.S. Government bonds .....	(49,389)	.....	(49,389)	.....	.....
1.1 Bonds exempt from U.S. tax .....	.....	.....	.....	.....	.....
1.2 Other bonds (unaffiliated) .....	2,108,522	.....	2,108,522	.....	.....
1.3 Bonds of affiliates .....	.....	.....	.....	.....	.....
2.1 Preferred stocks (unaffiliated) .....	.....	.....	.....	.....	.....
2.11 Preferred stocks of affiliates .....	.....	.....	.....	.....	.....
2.2 Common stocks (unaffiliated) .....	.....	.....	.....	.....	.....
2.21 Common stocks of affiliates .....	.....	.....	.....	.....	.....
3. Mortgage loans .....	.....	.....	.....	.....	.....
4. Real estate .....	.....	.....	.....	.....	.....
5. Contract loans .....	.....	.....	.....	.....	.....
6. Cash, cash equivalents and short-term investments .....	.....	.....	.....	.....	.....
7. Derivative instruments .....	.....	.....	.....	.....	.....
8. Other invested assets .....	.....	.....	.....	.....	.....
9. Aggregate write-ins for capital gains (losses) .....	.....	.....	.....	.....	.....
10. Total capital gains (losses) .....	2,059,133	.....	2,059,133	.....	.....
<b>DETAILS OF WRITE-INS</b>					
0901. ....	.....	.....	.....	.....	.....
0902. ....	.....	.....	.....	.....	.....
0903. ....	.....	.....	.....	.....	.....
0998. Summary of remaining write-ins for Line 9 from overflow page .....	.....	.....	.....	.....	.....
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above) .....	.....	.....	.....	.....	.....

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**EXHIBIT - 1 PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS**

	Insurance											11 Aggregate of All Other Lines of Business	12 Fraternal (Fraternal Benefit Societies Only)
	1 Total	2 Industrial Life	Ordinary		5 Credit Life (Group and Individual)	Group		Accident and Health					
			3 Life Insurance	4 Individual Annuities		6 Life Insurance	7 Annuities	8 Group	9 Credit (Group and Individual)	10 Other			
<b>FIRST YEAR (other than single)</b>													
1. Uncollected .....													
2. Deferred and accrued .....													
3. Deferred, accrued and uncollected:													
3.1 Direct .....													
3.2 Reinsurance assumed .....													
3.3 Reinsurance ceded .....													
3.4 Net (Line 1 + Line 2) .....													
4. Advance .....													
5. Line 3.4 - Line 4 .....													
6. Collected during year:													
6.1 Direct .....													
6.2 Reinsurance assumed .....													
6.3 Reinsurance ceded .....													
6.4 Net .....													
7. Line 5 + Line 6.4 .....													
8. Prior year (uncollected + deferred and accrued - advance) .....													
9. First year premiums and considerations:													
9.1 Direct .....													
9.2 Reinsurance assumed .....													
9.3 Reinsurance ceded .....													
9.4 Net (Line 7 - Line 8) .....													
<b>SINGLE</b>													
10. Single premiums and considerations:													
10.1 Direct .....													
10.2 Reinsurance assumed .....													
10.3 Reinsurance ceded .....													
10.4 Net .....													
<b>RENEWAL</b>													
11. Uncollected .....	36,301,880					7,881,975		28,419,592				313	
12. Deferred and accrued .....	(4,565,548)					(4,565,548)							
13. Deferred, accrued and uncollected:													
13.1 Direct .....	32,701,264		1,786			3,694,440		29,004,725				313	
13.2 Reinsurance assumed .....													
13.3 Reinsurance ceded .....	964,932		1,786			378,013		585,133					
13.4 Net (Line 11 + Line 12) .....	31,736,332					3,316,427		28,419,592				313	
14. Advance .....													
15. Line 13.4 - Line 14 .....	31,736,332					3,316,427		28,419,592				313	
16. Collected during year:													
16.1 Direct .....	269,998,386		3,947			59,368,421		210,394,778				231,240	
16.2 Reinsurance assumed .....													
16.3 Reinsurance ceded .....	2,991,557		3,947			261,852		2,725,758					
16.4 Net .....	267,006,829					59,106,569		207,669,020				231,240	
17. Line 15 + Line 16.4 .....	298,743,161					62,422,996		236,088,612				231,553	
18. Prior year (uncollected + deferred and accrued - advance) .....	41,435,435					6,905,112		34,530,323					
19. Renewal premiums and considerations:													
19.1 Direct .....	260,239,454		4,167			55,758,730		204,245,005				231,552	
19.2 Reinsurance assumed .....													
19.3 Reinsurance ceded .....	2,931,728		4,167			240,846		2,686,715					
19.4 Net (Line 17 - Line 18) .....	257,307,726					55,517,884		201,558,290				231,552	
<b>TOTAL</b>													
20. Total premiums and annuity considerations:													
20.1 Direct .....	260,239,454		4,167			55,758,730		204,245,005				231,552	
20.2 Reinsurance assumed .....													
20.3 Reinsurance ceded .....	2,931,728		4,167			240,846		2,686,715					
20.4 Net (Lines 9.4 + 10.4 + 19.4) .....	257,307,726					55,517,884		201,558,290				231,552	

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**EXHIBIT - 1 PART 2 - POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED, REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)**

	1	2	Insurance									11	12
			Ordinary		5	Group		Accident and Health					
			3	4		6	7	8	9	10			
Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business	Fraternal (Fraternal Benefit Societies Only)		
<b>POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED (included in Part 1)</b>													
21. To pay renewal premiums .....													
22. All other .....													
<b>REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED</b>													
23. First year (other than single):													
23.1 Reinsurance ceded .....													
23.2 Reinsurance assumed .....													
23.3 Net ceded less assumed .....													
24. Single:													
24.1 Reinsurance ceded .....													
24.2 Reinsurance assumed .....													
24.3 Net ceded less assumed .....													
25. Renewal:													
25.1 Reinsurance ceded .....	217,638							217,638					
25.2 Reinsurance assumed .....													
25.3 Net ceded less assumed .....	217,638							217,638					
26. Totals:													
26.1 Reinsurance ceded (Page 6, Line 6) .....	217,638							217,638					
26.2 Reinsurance assumed (Page 6, Line 22) .....													
26.3 Net ceded less assumed .....	217,638							217,638					
<b>COMMISSIONS INCURRED (direct business only)</b>													
27. First year (other than single) .....													
28. Single .....													
29. Renewal .....	12,694,142					3,166,010		9,528,133		(1)			
30. Deposit-type contract funds .....													
31. Totals (to agree with Page 6, Line 21) .....	12,694,142					3,166,010		9,528,133		(1)			

**ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
EXHIBIT 2 - GENERAL EXPENSES**

	Insurance				5 Investment	6 Fraternal	7 Total
	1 Life	2 Accident and Health		4 All Other Lines of Business			
		Cost Containment	3 All Other				
1. Rent	59,620	36,908	45,485			142,013	
2. Salaries and wages	981,882	832,514	1,025,978			2,840,374	
3.11 Contributions for benefit plans for employees	77,945	76,996	94,889			249,830	
3.12 Contributions for benefit plans for agents							
3.21 Payments to employees under non-funded benefit plans							
3.22 Payments to agents under non-funded benefit plans							
3.31 Other employee welfare	811	693	854			2,358	
3.32 Other agent welfare							
4.1 Legal fees and expenses							
4.2 Medical examination fees							
4.3 Inspection report fees							
4.4 Fees of public accountants and consulting actuaries							
4.5 Expense of investigation and settlement of policy claims	591	682,339	840,904			1,523,834	
5.1 Traveling expenses	11,627	7,308	9,006			27,941	
5.2 Advertising	2,092	1,292	1,592			4,976	
5.3 Postage, express, telegraph and telephone							
5.4 Printing and stationery	191	117	144			452	
5.5 Cost or depreciation of furniture and equipment							
5.6 Rental of equipment							
5.7 Cost or depreciation of EDP equipment and software							
6.1 Books and periodicals		361	444			805	
6.2 Bureau and association fees							
6.3 Insurance, except on real estate							
6.4 Miscellaneous losses							
6.5 Collection and bank service charges							
6.6 Sundry general expenses	830	499	614	485,815		487,758	
6.7 Group service and administration fees	712,192	699,041	861,488			2,272,721	
6.8 Reimbursements by uninsured plans			(684,041)			(684,041)	
7.1 Agency expense allowance							
7.2 Agents' balances charged off (less \$ 0 recovered)							
7.3 Agency conferences other than local meetings		591	729			1,320	
8.1 Official publication (Fraternal Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX		
8.2 Expense of supreme lodge meetings (Fraternal Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX		
9.1 Real estate expenses							
9.2 Investment expenses not included elsewhere							
9.3 Aggregate write-ins for expenses	5,600,396	3,097,664	4,501,557			13,199,617	
10. General expenses incurred	7,448,177	5,436,323	6,699,643	485,815	(b)	(a) 20,069,958	
11. General expenses unpaid Dec. 31, prior year	112,199		326,130			438,329	
12. General expenses unpaid Dec. 31, current year	95,535		357,845			453,380	
13. Amounts receivable relating to uninsured plans, prior year			131,251			131,251	
14. Amounts receivable relating to uninsured plans, current year			55,683			55,683	
15. General expenses paid during year (Lines 10+11-12-13+14)	7,464,841	5,436,323	6,592,360	485,815		19,979,339	
<b>DETAILS OF WRITE-INS</b>							
09.301. Corporate Expenses	5,600,396	3,097,664	4,501,557			13,199,617	
09.302.							
09.303.							
09.398. Summary of remaining write-ins for Line 9.3 from overflow page							
09.399. Totals (Lines 09.301 thru 09.303 plus 09.398) (Line 9.3 above)	5,600,396	3,097,664	4,501,557			13,199,617	

(a) Includes management fees of \$ \_\_\_\_\_ to affiliates and \$ \_\_\_\_\_ to non-affiliates.

(b) Show the distribution of this amount in the following categories (Fraternal Benefit Societies Only):

1. Charitable \$ \_\_\_\_\_ ; 2. Institutional \$ \_\_\_\_\_ ; 3. Recreational and Health \$ \_\_\_\_\_ ; 4. Educational \$ \_\_\_\_\_ ; 5. Religious \$ \_\_\_\_\_ ; 6. Membership \$ \_\_\_\_\_ ; 7. Other \$ \_\_\_\_\_ ; 8. Total \$ \_\_\_\_\_

**EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOME TAXES)**

	Insurance			4 Investment	5 Fraternal	6 Total
	1 Life	2 Accident and Health	3 All Other Lines of Business			
1. Real estate taxes						
2. State insurance department licenses and fees	388,395	1,109,810				1,498,205
3. State taxes on premiums	1,017,003	3,589,351				4,606,354
4. Other state taxes, including \$ for employee benefits	2,171	5,507				7,678
5. U.S. Social Security taxes	49,480	97,556				147,036
6. All other taxes	1,418	6,267				7,685
7. Taxes, licenses and fees incurred	1,458,467	4,808,492				6,266,958
8. Taxes, licenses and fees unpaid Dec. 31, prior year	1,095,748	(256,982)				838,766
9. Taxes, licenses and fees unpaid Dec. 31, current year	(3,057,630)	1,265,419				(1,792,212)
10. Taxes, licenses and fees paid during year (Lines 7 + 8 - 9)	5,611,845	3,286,091				8,897,936

**EXHIBIT 4 - DIVIDENDS OR REFUNDS**

	1 Life	2 Accident and Health
	1. Applied to pay renewal premiums	
2. Applied to shorten the endowment or premium-paying period		
3. Applied to provide paid-up additions		
4. Applied to provide paid-up annuities		
5. Total Lines 1 through 4		
6. Paid in cash		
7. Left on deposit		
8. Aggregate write-ins for dividend or refund options		
9. Total Lines 5 through 8		
10. Amount due and unpaid		
11. Provision for dividends or refunds payable in the following calendar year		
12. Terminal dividends		
13. Provision for deferred dividend contracts		
14. Amount provisionally held for deferred dividend contracts not included in Line 13		
15. Total Lines 10 through 14		
16. Total from prior year		
17. Total dividends or refunds (Lines 9 + 15 - 16)		
<b>DETAILS OF WRITE-INS</b>		
0801.		
0802.		
0803.		
0898. Summary of remaining write-ins for Line 8 from overflow page		
0899. Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above)		

**NONE**

**EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS**

1	2	3	4	5	6
Valuation Standard	Total <sup>(a)</sup>	Industrial	Ordinary	Credit (Group and Individual)	Group
0100001. 1958 CSO 3% NP ANB	5,972		5,972		
0100002. 1958 CSO 3% MOD ANB	41,709		41,709		
0100003. 1958 CSO 3.5% CRVM ANB	7,105		7,105		
0100004. 1958 CSO 4% NL ANB	65,929		65,929		
0100005. 1958 CSO 4.5% NL ANB	79,877		79,877		
0100006. CET 3% ANB	2,283		2,283		
0199997. Totals (Gross)	202,875		202,875		
0199998. Reinsurance ceded	202,875		202,875		
0199999. Life Insurance: Totals (Net)					
0200001. 1983GAMNB 5.75%	1,873,771	XXX		XXX	1,873,771
0200002. 1983 Table A @ 9.25% (86)	233,020	XXX	233,020	XXX	
0200003. CARVM at 4.00%	149,561	XXX	149,561	XXX	
0200004. 1983GAMNB 6%	1,179,719	XXX		XXX	1,179,719
0200005. 1983 Table A @ 11.00% (85)	25,690,921	XXX	25,690,921	XXX	
0200006. CARVM at 5.50%	5,112	XXX	5,112	XXX	
0200007. 1983GAMNB 6.25%	33,262	XXX		XXX	33,262
0200008. 1983 Table A @ 9.50%, 7.50%, 6.25% (85)	3,484,250	XXX	3,484,250	XXX	
0200009. CARVM at 6.50%	320,218	XXX	320,218	XXX	
0200010. 1983GAMNB 7%	249,221	XXX		XXX	249,221
0200011. 1983 Table A @ 11.25% (84)	33,237,061	XXX	33,237,061	XXX	
0200012. 1983GAMNB 7.25%	144,224	XXX		XXX	144,224
0200013. 1983 Table A @ 9.75%, 7.50%, 6.25% (84)	1,860,486	XXX	1,860,486	XXX	
0200014. 1983GAMNB 7.5%	127,307	XXX		XXX	127,307
0200015. 1971 IAM @ 13.25% (82)	5,531,435	XXX	5,531,435	XXX	
0200016. 1983GAMNB 7.75%	1,358,078	XXX		XXX	1,358,078
0200017. 1971 IAM @ 11.25%, 7.50%, 6.25% (82)	614,964	XXX	614,964	XXX	
0200018. 1983GAMNB 8%	193,265	XXX		XXX	193,265
0200019. 1971 IAM @ 11.25% (83)	13,738,217	XXX	13,738,217	XXX	
0200020. 1983GAMNB 8.25%	395,886	XXX		XXX	395,886
0200021. 1971 IAM @ 9.75%, 7.50%, 6.25% (83)	2,281,295	XXX	2,281,295	XXX	
0200022. 1983GAMNB 8.5%	443,405	XXX		XXX	443,405
0200023. 1971 IAM @ 7.50% (81)	2,356,368	XXX	2,356,368	XXX	
0200024. 1983GAMNB 8.75%	8,513	XXX		XXX	8,513
0200025. 1971 IAM @ 7.00% (80)	2,378,370	XXX	2,378,370	XXX	
0200026. 1971IAMNB 6.75%	531,657	XXX		XXX	531,657
0200027. 1971 IAM @ 6.00% (77-79)	54,173	XXX	54,173	XXX	
0200028. 1971IAMNB 7%	18,387	XXX		XXX	18,387
0200029. 1971IAMNB 7.5%	326,066	XXX		XXX	326,066
0200030. 1971IAMNB 8.25%	108,383	XXX		XXX	108,383
0200031. 1971IAMNB 9%	23,388	XXX		XXX	23,388
0200032. 1971IAMNB 9.25%	91,280	XXX		XXX	91,280
0200033. 1971IAMNB 9.5%	310,793	XXX		XXX	310,793
0200034. 1937 SA NB 6.75%	24,832	XXX		XXX	24,832
0200035. 1937 SA NB 7%		XXX		XXX	
0200036. 1937 SA NB 7.5%	5,802	XXX		XXX	5,802
0200037. 1937 SA NB 8.25%	4,839	XXX		XXX	4,839
0200038. 1971GAMNB 7.5%	312,569	XXX		XXX	312,569
0200039. 1971GAMNB 9.5%	207,144	XXX		XXX	207,144
0299997. Totals (Gross)	99,907,242	XXX	91,935,451	XXX	7,971,791
0299998. Reinsurance ceded	99,907,242	XXX	91,935,451	XXX	7,971,791
0299999. Annuities: Totals (Net)		XXX		XXX	
0300001. 2000 IAM IMM NFI 6.00%	15,747		15,747		
0399997. Totals (Gross)	15,747		15,747		
0399998. Reinsurance ceded	15,747		15,747		
0399999. SCWLC: Totals (Net)					
0400001. 1959 ADB, 80 CSO 4.0%	28		28		
0499997. Totals (Gross)	28		28		
0499998. Reinsurance ceded	28		28		
0499999. Accidental Death Benefits: Totals (Net)					
0500001. 1952 STUDY-58 CSO 3.5%	34		34		
0599997. Totals (Gross)	34		34		
0599998. Reinsurance ceded	34		34		
0599999. Disability-Active Lives: Totals (Net)					
0600001. 1958 CSO 3.5%	3,175		3,175		
0600002. 2005 GWP 3.0%	80,720				80,720
0600003. 2005 GWP 3.5%	527,767				527,767
0600004. 2005 GWP 3.5%	16,562,406				16,562,406
0600005. 2005 GWP 4.0%	859,686				859,686
0600006. 2005 GWP 4.5%	718,991				718,991
0600007. 2005 GWP 5.0%	17,027				17,027
0600008. 2005 GWP 5.5%	201,025		37,392		163,633
0699997. Totals (Gross)	18,970,797		40,567		18,930,230
0699998. Reinsurance ceded	160,818		3,175		157,643
0699999. Disability-Disabled Lives: Totals (Net)	18,809,979		37,392		18,772,587
0700001. Additional Reserve due to CARVM	16,086,372		16,086,372		
0700002. Non-deduction of deferred fractional premiums					
	1,057		1,057		
0700003. Extra reserve from cash flow testing	23,110,236		23,110,236		
0700004. Immediate Payment of Claims	3,990		3,990		
0799997. Totals (Gross)	39,201,655		39,201,655		
0799998. Reinsurance ceded	39,201,655		39,201,655		
0799999. Miscellaneous Reserves: Totals (Net)					
9999999. Totals (Net) - Page 3, Line 1	18,809,979		37,392		18,772,587

(a) Included in the above table are amounts of deposit-type contracts that originally contained a mortality risk. Amounts of deposit-type contracts in Column 2 that no longer contain a mortality risk are Life Insurance \$ ..... ; Annuities \$ ..... ; Supplementary Contracts with Life Contingencies \$ ..... ; Accidental Death Benefits \$ ..... ; Disability - Active Lives \$ ..... ; Disability - Disabled Lives \$ ..... ; Miscellaneous Reserves \$ .....

**EXHIBIT 5 - INTERROGATORIES**

- 1.1 Has the reporting entity ever issued both participating and non-participating contracts?..... Yes [ ] No [ X ]
- 1.2 If not, state which kind is issued. ....
- 2.1 Does the reporting entity at present issue both participating and non-participating contracts?..... Yes [ ] No [ X ]
- 2.2 If not, state which kind is issued. ....
- 3. Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements?..... Yes [ ] No [ X ]  
If so, attach a statement that contains the determination procedures, answers to the interrogatories and an actuarial opinion as described in the instructions.
- 4. Has the reporting entity any assessment or stipulated premium contracts in force? ..... Yes [ ] No [ X ]  
If so, state:  
4.1 Amount of insurance? ..... \$ .....  
4.2 Amount of reserve? ..... \$ .....  
4.3 Basis of reserve: .....  
4.4 Basis of regular assessments: .....  
4.5 Basis of special assessments: .....  
4.6 Assessments collected during the year ..... \$ .....
- 5. If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts. ....
- 6. Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis? ..... Yes [ X ] No [ ]  
6.1 If so, state the amount of reserve on such contracts on the basis actually held: ..... \$ ..... 32,266,084  
6.2 That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently approved by the state of domicile for valuing individual annuity benefits: ..... \$ ..... 37,601,187  
Attach statement of methods employed in their valuation.
- 7. Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year? ..... Yes [ ] No [ X ]  
7.1 If yes, state the total dollar amount of assets covered by these contracts or agreements ..... \$ .....  
7.2 Specify the basis (fair value, amortized cost, etc.) for determining the amount: .....  
7.3 State the amount of reserves established for this business: ..... \$ .....  
7.4 Identify where the reserves are reported in the blank: .....
- 8. Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year? ..... Yes [ ] No [ X ]  
8.1 If yes, state the total dollar amount of account value covered by these contracts or agreements: ..... \$ .....  
8.2 State the amount of reserves established for this business: ..... \$ .....  
8.3 Identify where the reserves are reported in the blank: .....
- 9. Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year? ..... Yes [ ] No [ X ]  
9.1 If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders: ..... \$ .....  
9.2 State the amount of reserves established for this business: ..... \$ .....  
9.3 Identify where the reserves are reported in the blank: .....

**EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR**

1	Valuation Basis		4
Description of Valuation Class	2 Changed From	3 Changed To	Increase in Actuarial Reserve Due to Change
NONE			
9999999 - Total (Column 4, only)			

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**EXHIBIT 6 - AGGREGATE RESERVES FOR ACCIDENT AND HEALTH CONTRACTS (a)**

	1 Total	Comprehensive		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefits Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Credit A&H	11 Disability Income	12 Long-Term Care	13 Other Health
		2 Individual	3 Group										
<b>ACTIVE LIFE RESERVE</b>													
1. Unearned premium reserves .....	444,900										198,040		246,860
2. Additional contract reserves (b) .....													
3. Additional actuarial reserves-Asset/Liability analysis .....													
4. Reserve for future contingent benefits .....													
5. Reserve for rate credits .....													
6. Aggregate write-ins for reserves .....													
7. Totals (Gross) .....	444,900										198,040		246,860
8. Reinsurance ceded .....													
9. Totals (Net) .....	444,900										198,040		246,860
<b>CLAIM RESERVE</b>													
10. Present value of amounts not yet due on claims .....	276,595,977										276,595,977		
11. Additional actuarial reserves-Asset/Liability analysis .....													
12. Reserve for future contingent benefits .....													
13. Aggregate write-ins for reserves .....													
14. Totals (Gross) .....	276,595,977										276,595,977		
15. Reinsurance ceded .....	8,787,371												
16. Totals (Net) .....	267,808,606										267,808,606		
17. TOTAL (Net) .....	268,253,506										268,006,646		246,860
18. TABULAR FUND INTEREST .....	8,605,743										8,593,093		12,650
DETAILS OF WRITE-INS													
0601. ....													
0602. ....													
0603. ....													
0698. Summary of remaining write-ins for Line 6 from overflow page .....													
0699. TOTALS (Lines 0601 thru 0603 plus 0698) (Line 6 above) .....													
1301. ....													
1302. ....													
1303. ....													
1398. Summary of remaining write-ins for Line 13 from overflow page .....													
1399. TOTALS (Lines 1301 thru 1303 plus 1398) (Line 13 above) .....													

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected. ....  
 (b) Attach statement as to valuation standard used in calculating this reserve, specifying reserve bases, interest rates and methods. ....

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**EXHIBIT 7 - DEPOSIT TYPE CONTRACTS**

	1	2	3	4	5	6
	Total	Guaranteed Interest Contracts	Annuities Certain	Supplemental Contracts	Dividend Accumulations or Refunds	Premium and Other Deposit Funds
1. Balance at the beginning of the year before reinsurance .....	18,852,067					18,852,067
2. Deposits received during the year .....	17,903,428					17,903,428
3. Investment earnings credited to the account .....	19,953					19,953
4. Other net change in reserves .....	17,925					17,925
5. Fees and other charges assessed .....						
6. Surrender charges .....						
7. Net surrender or withdrawal payments .....	18,455,247					18,455,247
8. Other net transfers to or (from) Separate Accounts .....	500,380					500,380
9. Balance at the end of current year before reinsurance (Lines 1+2+3+4-5-6-7-8) .....	17,837,746					17,837,746
10. Reinsurance balance at the beginning of the year .....	(262,907)					(262,907)
11. Net change in reinsurance assumed .....						
12. Net change in reinsurance ceded .....	7,886					7,886
13. Reinsurance balance at the end of the year (Lines 10+11-12) .....	(270,793)					(270,793)
14. Net balance at the end of current year after reinsurance (Lines 9 + 13)	17,566,953					17,566,953

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS**

**PART 1 - Liability End of Current Year**

	1 Total	2 Industrial Life	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health		
			3 Life Insurance	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other
1. Due and unpaid:											
1.1 Direct	17,250			17,250							
1.2 Reinsurance assumed											
1.3 Reinsurance ceded	17,250			17,250							
1.4 Net											
2. In course of settlement:											
2.1 Resisted											
2.11 Direct											
2.12 Reinsurance assumed											
2.13 Reinsurance ceded											
2.14 Net			(b)	(b)		(b)	(b)				
2.2 Other	10,941,935						9,697,899		1,244,036		
2.21 Direct											
2.22 Reinsurance assumed											
2.23 Reinsurance ceded	28,208								28,208		
2.24 Net	10,913,727		(b)	(b)		(b)	9,697,899		1,215,828	(b)	(b)
3. Incurred but unreported:											
3.1 Direct	27,741,912						7,815,991		19,914,821		11,100
3.2 Reinsurance assumed											
3.3 Reinsurance ceded	298,029						35,638		262,391		
3.4 Net	27,443,883		(b)	(b)		(b)	7,780,353		19,652,430	(b)	11,100
4. TOTALS											
4.1 Direct	38,701,097			17,250			17,513,890		21,158,857		11,100
4.2 Reinsurance assumed											
4.3 Reinsurance ceded	343,487			17,250			35,638		290,599		
4.4 Net	38,357,610	(a)	(a)				17,478,252		20,868,258		11,100

(a) Including matured endowments (but not guaranteed annual pure endowments) unpaid amounting to \$ \_\_\_\_\_ in Column 2, \$ \_\_\_\_\_ in Column 3 and \$ \_\_\_\_\_ in Column 7.

(b) Include only portion of disability and accident and health claim liabilities applicable to assumed "accrued" benefits. Reserves (including reinsurance assumed and net of reinsurance ceded) for unaccrued benefits for Ordinary Life Insurance \$ \_\_\_\_\_, Individual Annuities \$ \_\_\_\_\_, Credit Life (Group and Individual) \$ \_\_\_\_\_, and Group Life \$ \_\_\_\_\_, are included in Page 3, Line 1, (See Exhibit 5, Section on Disability Disabled Lives); and for Group Accident and Health \$ \_\_\_\_\_, Credit (Group and Individual) Accident and Health \$ \_\_\_\_\_, and Other Accident and Health \$ \_\_\_\_\_ are included in Page 3, Line 2 (See Exhibit 6, Claim Reserve).

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS**

**PART 2 - Incurred During the Year**

	1 Total	2 Industrial Life (a)	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health		
			3 Life Insurance (b)	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance (c)	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other
1. Settlements During the Year:											
1.1 Direct	219,710,832		848	10,113,419			50,721,701	1,262,762	157,580,179		31,923
1.2 Reinsurance assumed											
1.3 Reinsurance ceded	15,621,553		848	10,898,143			1,287,107	1,262,762	2,172,693		
1.4 Net (d)	204,089,279			(784,724)			49,434,594		155,407,486		31,923
2. Liability December 31, current year from Part 1:											
2.1 Direct	38,701,097			17,250			17,513,890		21,158,857		11,100
2.2 Reinsurance assumed											
2.3 Reinsurance ceded	343,487			17,250			35,638		290,599		
2.4 Net	38,357,610						17,478,252		20,868,258		11,100
3. Amounts recoverable from reinsurers December 31, current year	2,330,337						400,062		1,930,275		
4. Liability December 31, prior year:											
4.1 Direct	35,898,293			19,011			18,029,338		17,837,599		12,345
4.2 Reinsurance assumed											
4.3 Reinsurance ceded	878,932			19,011			785,064		74,857		
4.4 Net	35,019,361						17,244,274		17,762,742		12,345
5. Amounts recoverable from reinsurers December 31, prior year	3,919,088			784,724			2,713,549		420,815		
6. Incurred Benefits											
6.1 Direct	222,513,636		848	10,111,658			50,206,253	1,262,762	160,901,437		30,678
6.2 Reinsurance assumed											
6.3 Reinsurance ceded	13,497,357		848	10,111,658			(1,775,806)	1,262,762	3,897,895		
6.4 Net	209,016,279						51,982,059		157,003,542		30,678

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
 \$ ..... in Line 6.1, and \$ ..... in Line 6.4.

(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
 \$ ..... in Line 6.1, and \$ ..... in Line 6.4.

(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
 \$ ..... in Line 6.1, and \$ ..... in Line 6.4.

(d) Includes \$ ..... premiums waived under total and permanent disability benefits.

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**EXHIBIT OF NON-ADMITTED ASSETS**

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D) .....			
2. Stocks (Schedule D):			
2.1 Preferred stocks .....			
2.2 Common stocks .....			
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens .....			
3.2 Other than first liens .....			
4. Real estate (Schedule A):			
4.1 Properties occupied by the company .....			
4.2 Properties held for the production of income .....			
4.3 Properties held for sale .....			
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA) .....			
6. Contract loans .....			
7. Derivatives (Schedule DB) .....			
8. Other invested assets (Schedule BA) .....			
9. Receivables for securities .....			
10. Securities lending reinvested collateral assets (Schedule DL) .....			
11. Aggregate write-ins for invested assets .....			
12. Subtotals, cash and invested assets (Lines 1 to 11) .....			
13. Title plants (for Title insurers only) .....			
14. Investment income due and accrued .....			
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection .....	17,730,977	16,468,660	(1,262,317)
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due ..			
15.3 Accrued retrospective premiums and contracts subject to redetermination .....			
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers .....			
16.2 Funds held by or deposited with reinsured companies .....			
16.3 Other amounts receivable under reinsurance contracts .....			
17. Amounts receivable relating to uninsured plans .....	52,245	185,538	133,293
18.1 Current federal and foreign income tax recoverable and interest thereon .....			
18.2 Net deferred tax asset .....	18,218,374	20,107,403	1,889,029
19. Guaranty funds receivable or on deposit .....			
20. Electronic data processing equipment and software .....			
21. Furniture and equipment, including health care delivery assets .....			
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			
23. Receivables from parent, subsidiaries and affiliates .....			
24. Health care and other amounts receivable .....			
25. Aggregate write-ins for other than invested assets .....			
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	36,001,596	36,761,601	760,005
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
28. Total (Lines 26 and 27) .....	36,001,596	36,761,601	760,005
<b>DETAILS OF WRITE-INS</b>			
1101. ....			
1102. ....			
1103. ....			
1198. Summary of remaining write-ins for Line 11 from overflow page .....			
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)			
2501. OTHER ASSETS .....			
2502. ....			
2503. ....			
2598. Summary of remaining write-ins for Line 25 from overflow page .....			
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)			

## NOTES TO FINANCIAL STATEMENTS

### 1. Summary of Significant Accounting Policies and Going Concern

- A. The accompanying financial statements of New York Life Group Insurance Company of NY ("the Company") have been prepared using accounting practices prescribed or permitted by the New York State Department of Financial Services ("NYSDFS").

The NYSDFS recognizes only statutory accounting practices prescribed or permitted by the State of New York for determining and reporting the financial position and results of operations of an insurance company and for determining its solvency under the New York State Insurance Law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the State of New York. Prescribed statutory accounting practices include state laws and regulations. Permitted statutory accounting practices encompass accounting practices that are not prescribed; such practices differ from state to state, may differ from company to company within a state, and may change in the future. The Company has no permitted practices.

A reconciliation of the Company's net income and capital and surplus at December 31, 2021 and 2020 between practices prescribed or permitted by the State of New York and NAIC SAP is shown below:

	SSAP #	F/S Page	F/S Line #	2021	2020
<u>Net Income</u>					
(1) Net income Pennsylvania state basis (Page 4, Line 35, Columns 1 & 2)	XXX	XXX	XXX	\$ 17,065,812	\$ (7,385,943)
(2) State prescribed practices that increase/(decrease) NAIC SAP:				—	—
(3) State permitted practices that increase/(decrease) NAIC SAP:				—	—
(4) Net income, NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ 17,065,812</u>	<u>\$ (7,385,943)</u>
<u>Capital and Surplus</u>					
(5) Statutory capital and surplus Pennsylvania state basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 111,872,395	\$ 98,740,453
(6) State prescribed practices that increase/(decrease) NAIC SAP:				—	—
(7) State permitted practices that increase/(decrease) NAIC SAP:				—	—
(8) Capital and surplus, NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 111,872,395</u>	<u>\$ 98,740,453</u>

- B. The preparation of financial statements requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities at the date of the financial statements. Management is also required to disclose contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results may differ from those estimates.
- C. Premiums for individual and group life and health insurance are recognized as revenue when due. Annuity considerations are recognized as revenue when received. Commissions and other costs associated with acquiring new business are charged to operations as incurred. Amounts received or paid under deposit type contracts without mortality or morbidity risk are not reported as income or benefits but are recorded directly as an adjustment to the liability for deposit funds.

Aggregate reserves for life, health and annuity are based on mortality tables and valuation interest rates, which are consistent with statutory requirements and are designed to be sufficient to provide for contractual benefits. The Company holds reserves greater than those developed under the minimum statutory reserving rules when the valuation actuary determines that the minimum statutory reserves are inadequate.

In addition, the Company uses the following accounting policies:

- (1) Short-term investments consist of securities with remaining maturities of one year or less but greater than three months at the time of acquisition and are carried at amortized cost, which approximates fair value. Cash and cash equivalents include cash on hand, amounts due from banks and highly liquid debt instruments that have original maturities of three months or less at date of purchase and are stated at amortized cost. Cash and cash equivalents also include money market mutual funds which are stated at fair value.
- (2) Bonds not backed by loans are stated at amortized cost using the interest method. Bonds in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. The cost basis of bonds is adjusted for impairments in value deemed to be other-than-temporary, with the difference between the bond's amortized cost and its fair value recognized as a realized loss reported in net income. The new cost basis of an impaired bond is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary impairment ("OTTI"), the impaired bond is accounted for as if it had been purchased on the measurement date of the impairment. SVO-Identified bond Exchange Traded Funds ("ETFs") are stated at fair value and reported as bonds. Refer to Note 20 - Fair Value Measurements, for discussion on the valuation approach and methods for bonds.
- (3)-(4) The Company does not own any common stock and preferred stock.
- (5) Mortgage loans on real estate are carried at unpaid principal balances, net of discounts, premiums, deferred origination fees related to points, and specific valuation allowances, and are collateralized. Specific valuation allowances are established for the excess carrying value of the mortgage loan over the estimated fair value of the collateral as an unrealized loss in surplus, when it is probable that based on current information and events, the Company will be unable to collect all amounts due under the contractual terms of the loan agreement. Fair value of the collateral is estimated by performing an internal or external current appraisal. If impairment is deemed to be other-than-temporary, which can include a loan modification that qualifies as a troubled debt restructuring ("TDR"), a direct write-down is recognized as a realized loss reported in net income, and a new cost basis for the individual mortgage loan, which is equal to the fair value of the collateral, less costs to obtain and sell, is established. Refer to Note 20 - Fair Value Measurements, for discussion on the valuation approach and methods for mortgage loans.
- (6) Loan-backed and structured securities, which are included in bonds, are valued at amortized cost using the interest method including current assumptions of projected cash flows. Loan-backed and structured securities in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. Amortization of premium or accretion of discount from the purchase of these securities considers the estimated timing and amount of cash flows of the underlying loans, including prepayment assumptions based on data obtained from external sources or internal estimates. Projected future cash flows are updated monthly, and the amortized cost and effective yield of the securities are adjusted as necessary to reflect historical prepayment experience and changes in estimated future prepayments. For high credit quality loan-backed and structured securities (those rated AA or above at the date of acquisition), the adjustments to amortized cost are recorded as a charge or credit to net investment income in accordance with the retrospective method. For loan-backed and structured securities that are not of high

## NOTES TO FINANCIAL STATEMENTS

credit quality (those rated below AA at date of acquisition), certain floating rate securities and securities with the potential for a loss of a portion of the original investment due to contractual prepayments (e.g., interest only securities), the effective yield is adjusted prospectively for any changes in estimated cash flows. Refer to Note 20 - Fair Value Measurements, for discussion on the valuation approach and methods for bonds.

The cost basis of loan-backed and structured securities is adjusted for impairments in value that are deemed to be other-than-temporary. An other-than-temporary loss is recognized in net income when it is anticipated that the amortized cost will not be recovered. The entire difference between the loan-backed or structured security's amortized cost and its fair value is recognized in net income only when the Company (1) has the intent to sell the security or (2) it does not have the intent and ability to hold the security to recovery. If neither of these two conditions exists, a realized loss is recognized in net income for the difference between the amortized cost basis of the security and the net present value of projected future cash flows expected to be collected. The net present value is calculated by discounting the Company's best estimate of projected future cash flows at the effective interest rate implicit in the loan-backed or structured security prior to impairment.

The new cost basis of an impaired security is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary impairment ("OTTI"), the impaired bond security is accounted for as if it had been purchased on the measurement date of the impairment. Accordingly, the discount (or reduced premium) based on the new cost basis may be accreted (or amortized) into net investment income in future periods based on prospective changes in cash flow estimates, to reflect adjustments to the effective yield.

- (7) The Company does not have investments in subsidiaries or affiliates.
- (8) The Company does not have investments in limited partnerships or limited liability companies.
- (9) The Company does not have any derivative instruments.
- (10) Premium deficiency calculations do not apply to the Company's products.
- (11) Unpaid losses and loss adjustment expenses for accident and health contracts include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liabilities are continually reviewed and any adjustments are reflected in the period determined.
- (12) The Company has not changed its capitalization policy from the prior year.
- (13) The Company does not have any pharmaceutical rebates receivable.

### D. Going Concern

The Company does not have any doubt about its ability to continue as a going concern.

## 2. Accounting Changes and Corrections of Errors

### Changes in Accounting Principles

Accounting changes adopted to conform to the provisions of NAIC SAP or other state prescribed accounting practices are reported as changes in accounting principles. The cumulative effect of changes in accounting principles is generally reported as an adjustment to unassigned surplus in the period of the change in accounting principle. Generally, the cumulative effect is the difference between the amount of capital and surplus at the beginning of the year and the amount of capital and surplus that would have been reported at that date if the new accounting principles had been applied retroactively for all prior periods. There were no significant accounting changes in 2021 or 2020.

### Prior Period Correction

Following the acquisition of the Company by New York Life Insurance Company ("New York Life") on December 31, 2020, New York Life began a detailed review of assets and liabilities of the Company. At the time the 2020 annual statement was filed on February 28, 2021, New York Life had not yet completed its review of the Company's assets and liabilities in accordance with terms of the purchase and sale agreement between New York Life, Cigna Holding Company ("CHC") and Cigna Corporation ("Cigna"). CHC is a direct wholly-owned subsidiary of Cigna. New York Life completed its review by March 31, 2021 and the adjustments identified through the review were reflected in the 2020 audited statutory financial statements and as a result, were recorded in the first quarter 2021 unaudited financial statements as an out of period adjustment. These adjustments were primarily related to the liabilities for policy reserves and policy claims which decreased surplus by \$2,860,902.

## 3. Business Combinations and Goodwill

Not applicable.

## 4. Discontinued Operations

Not applicable.

## 5. Investments

### A. Mortgage Loans, including Mezzanine Real Estate Loans

- (1) The maximum and minimum lending rates for new commercial mortgage loans funded during 2021 were 5.35% and 1.58%, respectively. There were no residential mortgage loans funded during 2021.
- (2) For 2021, the maximum percentage of any one commercial mortgage loan to the value of the security at the time of the loan, exclusive of insured or guaranteed or purchase money mortgages was 72.2% (current average percentage was 60.2%).
- (3) Not Applicable.
- (4) Age analysis of mortgage loans and identification of mortgage loans in which the insurer is a participant or co-lender in a mortgage loan agreement:

## NOTES TO FINANCIAL STATEMENTS

	Residential			Commercial		Mezzanine	Total
	Farm	Insured	All Other	Insured	All Other		
a. Current Year							
1. Recorded investment (all)							
(a) Current	\$ —	\$ —	\$ —	\$ —	\$ 20,188,074	\$ 656,135	\$ 20,844,209
(b) 30 - 59 days past due	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(c) 60 - 89 days past due	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(d) 90 - 179 days past due	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(e) 180+ days past due	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
2. Accruing interest 90 - 179 days past due							
(a) Recorded investment	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(b) Interest accrued	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
3. Accruing interest 180+ days past due							
(a) Recorded investment	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(b) Interest accrued	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
4. Interest reduced							
(a) Recorded investment	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(b) Number of loans	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(c) Percent reduced	— %	— %	— %	— %	— %	— %	— %
5. Participant or co-lender in a mortgage loan agreement							
(a) Recorded investment	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. Prior Year							
1. Recorded investment (all)							
(a) Current	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(b) 30 - 59 days past due	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(c) 60 - 89 days past due	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(d) 90 - 179 days past due	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(e) 180+ days past due	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
2. Accruing interest 90 - 179 days past due							
(a) Recorded investment	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(b) Interest accrued	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
3. Accruing interest 180+ days past due							
(a) Recorded investment	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(b) Interest accrued	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
4. Interest reduced							
(a) Recorded investment	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(b) Number of loans	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(c) Percent reduced	— %	— %	— %	— %	— %	— %	— %
5. Participant or co-lender in a mortgage loan agreement							
(a) Recorded investment	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

(5) Investments in impaired loans with or without allowance for credit losses and impaired loans subject to a participant or co-lender mortgage loan agreement for which the reporting entity is restricted from unilaterally foreclosing on the mortgage loan:

	Residential			Commercial		Mezzanine	Total
	Farm	Insured	All Other	Insured	All Other		
a. Current Year							
1. With allowance for credit losses	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
2. No allowance for credit losses	—	—	—	—	—	—	—
3. Total (1 + 2)	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
4. Subject to a participant or co-lender mortgage loan agreement for which the reporting entity is restricted from unilaterally foreclosing on the mortgage loan	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. Prior Year							
1. With allowance for credit losses	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
2. No allowance for credit losses	—	—	—	—	—	—	—
3. Total (1 + 2)	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
4. Subject to a participant or co-lender mortgage loan agreement for which the reporting entity is restricted from unilaterally foreclosing on the mortgage loan	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

(6) Investments in impaired loans – average recorded investment, interest income recognized, recorded investment on nonaccrual status and amount of interest income recognized using a cash-basis method of accounting:

## NOTES TO FINANCIAL STATEMENTS

	Residential			Commercial		Mezzanine	Total
	Farm	Insured	All Other	Insured	All Other		
a. Current Year							
1. Average recorded investment	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
2. Interest income recognized	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
3. Recorded investments on nonaccrual status	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
4. Amount of interest income recognized using a cash-basis method of accounting	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
b. Prior Year							
1. Average recorded investment	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
2. Interest income recognized	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
3. Recorded investments on nonaccrual status	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
4. Amount of interest income recognized using a cash-basis method of accounting	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

## (7) Allowance for credit losses:

	December 31, 2021	December 31, 2020
a. Balance at beginning of period	\$ —	\$ —
b. Additions charged to operations	—	—
c. Direct write-downs charged against the allowance	—	—
d. Recoveries of amounts previously charged off	—	—
e. Balance at end of period	\$ —	\$ —

## (8) Mortgage loans derecognized as a result of foreclosure:

	December 31, 2021
a. Aggregate amount of mortgage loans derecognized	\$ —
b. Real estate collateral recognized	—
c. Other collateral recognized	—
d. Receivables recognized from a government guarantee of the foreclosed mortgage loan	—

- (9) The Company accrues interest income on mortgage loans to the extent it is deemed collectible. The Company places loans on non-accrual status, and ceases to recognize interest income when management determines that the collection of interest and repayment of principal is not probable. Any accrued but uncollected interest is reversed out of interest income once a loan is put on non-accrual status. Interest payments received on mortgage loans where interest payments have been deemed uncollectible are recognized on a cash basis and recorded as interest income. If a loan in default has any investment income due and accrued that is 90 days past due and collectible, the investment income shall continue to accrue, but all accrued interest related to the loan is reported as a nonadmitted asset until such time that it has either been paid or deemed uncollectible.

## NOTES TO FINANCIAL STATEMENTS

### B. Debt Restructuring

A restructuring is considered a TDR when a debtor is experiencing financial difficulties and the Company has granted a concession. The Company had the following restructured debt in the general account for which it is the creditor:

	General Account	
	December 31, 2021	December 31, 2020
(1) The total recorded investment in restructured debt, as of year-end	\$ —	\$ —
(2) The realized capital losses related to this debt	\$ —	\$ —
(3) Total contractual commitments to extend credit to debtors owing receivables whose terms have been modified in TDR	\$ —	\$ —

The Company does not have any restructured debt in the separate accounts for which it is the creditor.

- (4) The Company accrues interest income on impaired debt instruments to the extent it is deemed collectible (delinquent less than 90 days) and the debt instrument continues to perform under its original or restructured contractual terms. Interest income on non-performing debt instruments is generally recognized on a cash basis.

### C. Reverse Mortgages

The Company does not have any reverse mortgages.

### D. Loan-Backed Securities

- (1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from external sources such as Intex and Blackrock Solutions.
- (2) The Company does not have any loan-backed and structured securities which are other-than-temporarily impaired where the Company intends to sell, or does not have the intent and ability to hold until recovery at December 31, 2021.
- (3) The Company does not have any loan-backed and structured securities which are other-than-temporarily impaired where the present value of cash flows expected to be collected is less than the amortized cost basis during the current year.
- (4) The following table presents the Company's gross unrealized losses and fair values for loan-backed and structured securities, aggregated by the length of time that the individual securities have been in a continuous unrealized loss position at December 31, 2021:

	Less than 12 Months		12 Months or Greater		Total	
	Estimated Fair Value	Unrealized Losses	Estimated Fair Value	Unrealized Losses	Estimated Fair Value	Unrealized Losses
General Account	\$ 37,012,573	\$ 351,776	\$ —	\$ —	\$ 37,012,573	\$ 351,776
Total	\$ 37,012,573	\$ 351,776	\$ —	\$ —	\$ 37,012,573	\$ 351,776

- (5) The Company performs quantitative and qualitative analysis to determine if a decline in fair value was temporary. For those securities where the decline was considered temporary, the Company did not take an impairment when it had the ability and intent to hold until recovery. Factors considered in evaluating whether a decline in value is other-than-temporary include: (1) whether the decline is substantial; (2) the duration that the fair value has been less than amortized cost; (3) the financial condition and near-term prospects of the issuer; and (4) the Company's ability and intent to retain the investment for the period of time sufficient to allow for an anticipated recovery in value.

### E. Dollar Repurchase Agreements and/or Securities Lending Transactions

(1) - (2) Not applicable.

c. Collateral Received

i. Not applicable.

ii. The Company has not sold or repledged collateral received from securities lending agreements. All collateral is received in cash.

iii. Not applicable.

(4) - (7) Not applicable.

### F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

### G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing -

Not applicable.

### H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

### I. Reverse Repurchase Agreements Transactions Accounted for a Sale

Not applicable.

### J. Real Estate

Not applicable.

## NOTES TO FINANCIAL STATEMENTS

### K. Low-Income Housing Tax Credits

Not applicable.

### L. Restricted Assets

(1) Restricted assets (including pledged):

Restricted Asset Category	Gross (Admitted and Nonadmitted) Restricted							8	9	Percentage	
	Current Year					6	7			10	11
	1	2	3	4	5						
	Total General Account (G/A)	G/A Assets Supporting Separate Accounts (S/A) Activity (a)	Total S/A Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total from Prior Year	Increase/ (Decrease) (5 minus 6)	Total Nonadmitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted and Non-admitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)
a. Subject to contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	0.000 %	0.000 %
b. Collateral held under security lending agreements	—	—	—	—	—	—	—	—	—	0.000 %	0.000 %
c. Subject to repurchase agreements	—	—	—	—	—	—	—	—	—	0.000 %	0.000 %
d. Subject to reverse repurchase agreements	—	—	—	—	—	—	—	—	—	0.000 %	0.000 %
e. Subject to dollar repurchase agreements	—	—	—	—	—	—	—	—	—	0.000 %	0.000 %
f. Subject to dollar reverse repurchase agreements	—	—	—	—	—	—	—	—	—	0.000 %	0.000 %
g. Placed under option contracts	—	—	—	—	—	—	—	—	—	0.000 %	0.000 %
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock	—	—	—	—	—	—	—	—	—	0.000 %	0.000 %
i. FHLB capital stock	—	—	—	—	—	—	—	—	—	0.000 %	0.000 %
j. On deposit with states	700,695	—	—	—	700,695	705,635	(4,940)	—	700,695	0.130 %	0.139 %
k. On deposit with other regulatory bodies	—	—	—	—	—	—	—	—	—	0.000 %	0.000 %
l. Pledged as collateral to FHLB (including assets backing funding agreements)	—	—	—	—	—	—	—	—	—	0.000 %	0.000 %
m. Pledged as collateral not captured in other categories	—	—	—	—	—	—	—	—	—	0.000 %	0.000 %
n. Other restricted assets	—	—	—	—	—	—	—	—	—	0.000 %	0.000 %
<b>o. Total restricted assets</b>	<b>\$ 700,695</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ 700,695</b>	<b>\$ 705,635</b>	<b>\$ (4,940)</b>	<b>\$ —</b>	<b>\$ 700,695</b>	<b>0.139 %</b>	<b>0.139 %</b>

(a) Subset of column 1

(b) Subset of column 3

(c) Column 5 divided by Asset page, Column 1, Line 28

(d) Column 9 divided by Asset page, Column 3, Line 28

(2) There were no assets pledged as collateral not captured in other categories at December 31, 2021 and 2020.

(3) There were no other restricted assets at December 31, 2021 and 2020.

(4) There were no other assets received as collateral and reflected as assets at December 31, 2021 and 2020.

### M. Working Capital Finance Investments

Not applicable.

### N. Offsetting and Netting of Assets and Liabilities

Not applicable.

### O. 5GI Securities

Not applicable.

### P. Short Sales

Not applicable.

### Q. Prepayment Penalty and Acceleration Fees

The following represents the Company's securities sold, redeemed or otherwise disposed as a result of a callable feature (including make whole call provisions) and the aggregate amount of investment income generated as a result of a prepayment penalty and/or acceleration fee.

	General Account
(1) Number of CUSIPs	13
(2) Aggregate Amount of Investment Income	\$ 1,058,397

## 6. Joint Ventures, Partnerships and Limited Liability Companies

**A.** The Company had no investments in joint ventures, limited partnerships or limited liability companies that exceeded 10% of its admitted assets.

**B.** The Company did not recognize any impairment write-downs for the years ended December 31, 2021 and 2020.

## NOTES TO FINANCIAL STATEMENTS

**7. Investment Income**

- A. Due and accrued investment income is excluded from surplus when amounts are over 90 days past due or collection is uncertain.
- B. There was no investment income due and accrued in 2021 that was nonadmitted and excluded from surplus.

**8. Derivative Instruments**

Not applicable.

## NOTES TO FINANCIAL STATEMENTS

## 9. Income Taxes

A. The components of the net deferred tax assets ("DTAs") and net deferred tax liabilities ("DTLs") at December 31, 2021 and 2020 were as follows:

		2021		
		Ordinary	Capital	Total
(1)				
	(a) Gross DTAs	\$ 32,166,712	\$ 282,750	\$ 32,449,462
	(b) Statutory valuation allowance adjustment	—	—	—
	(c) Adjusted gross DTAs (1a - 1b)	32,166,712	282,750	32,449,462
	(d) DTAs nonadmitted	18,218,374	—	18,218,374
	(e) Subtotal of net admitted DTAs (1c-1d)	13,948,338	282,750	14,231,088
	(f) Gross DTLs	206,740	715,168	921,908
	(g) Net admitted DTAs/(DTLs) (1e - 1f)	<u>\$ 13,741,598</u>	<u>\$ (432,418)</u>	<u>\$ 13,309,180</u>
		2020		
		Ordinary	Capital	Total
	(a) Gross DTAs	\$ 33,194,459	\$ —	\$ 33,194,459
	(b) Statutory valuation allowance adjustment	—	—	—
	(c) Adjusted gross DTAs (1a - 1b)	33,194,459	—	33,194,459
	(d) DTAs nonadmitted	20,107,403	—	20,107,403
	(e) Subtotal of net admitted DTAs (1c-1d)	13,087,056	—	13,087,056
	(f) Gross DTLs	207,866	—	207,866
	(g) Net admitted DTAs/(DTLs) (1e - 1f)	<u>\$ 12,879,190</u>	<u>\$ —</u>	<u>\$ 12,879,190</u>
		Change		
		Ordinary	Capital	Total
	(a) Gross DTAs	\$ (1,027,747)	\$ 282,750	\$ (744,997)
	(b) Statutory valuation allowance adjustment	—	—	—
	(c) Adjusted gross DTAs (1a - 1b)	(1,027,747)	282,750	(744,997)
	(d) DTAs nonadmitted	(1,889,029)	—	(1,889,029)
	(e) Subtotal of net admitted DTAs (1c-1d)	861,282	282,750	1,144,032
	(f) Gross DTLs	(1,126)	715,168	714,042
	(g) Net admitted DTAs/(DTLs) (1e - 1f)	<u>\$ 862,408</u>	<u>\$ (432,418)</u>	<u>\$ 429,990</u>
(2)	The admission calculation components were as follows:			
		2021		
		Ordinary	Capital	Total
	(a) Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 1,936,812	\$ —	\$ 1,936,812
	(b) Adjusted gross DTAs expected to be realized (excluding the amounts of the DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2)	11,372,368	—	11,372,368
	1. Adjusted gross DTAs expected to be realized following the balance sheet date (2(b)1)	11,372,368	—	11,372,368
	2. Adjusted gross DTAs allowed per limitation threshold (2(b)2)	XXX	XXX	14,784,482
	(c) Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	639,158	282,750	921,908
	(d) DTAs admitted as the result of application of SSAP No. 101			
	Total (2(a)+2(b)+2(c))	<u>\$ 13,948,338</u>	<u>\$ 282,750</u>	<u>\$ 14,231,088</u>
		2020		
		Ordinary	Capital	Total
	(a) Federal income taxes paid in prior years recoverable through loss carrybacks	\$ —	\$ —	\$ —
	(b) Adjusted gross DTAs expected to be realized (excluding the amounts of the DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2)	12,879,190	—	12,879,190
	1. Adjusted gross DTAs expected to be realized following the balance sheet date (2(b)1)	13,308,491	—	13,308,491
	2. Adjusted gross DTAs allowed per limitation threshold (2(b)2)	XXX	XXX	12,879,190
	(c) Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	207,866	—	207,866
	(d) DTAs admitted as the result of application of SSAP No. 101			
	Total (2(a)+2(b)+2(c))	<u>\$ 13,087,056</u>	<u>\$ —</u>	<u>\$ 13,087,056</u>

## NOTES TO FINANCIAL STATEMENTS

	Change		
	Ordinary	Capital	Total
(a) Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 1,936,812	\$ —	\$ 1,936,812
(b) Adjusted gross DTAs expected to be realized (excluding the amounts of the DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2)	(1,506,822)	—	(1,506,822)
1. Adjusted gross DTAs expected to be realized following the balance sheet date (2(b)1)	(1,936,123)	—	(1,936,123)
2. Adjusted gross DTAs allowed per limitation threshold (2(b)2)	XXX	N/A	—
(c) Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	431,292	282,750	714,042
(d) DTAs admitted as the result of application of SSAP No. 101			
Total 2(a)+2(b)+2(c)	\$ 861,282	\$ 282,750	\$ 1,144,032

(3) The ratio used to determine the applicable period used in 2(b)1 above and the amount of adjusted capital and surplus used to determine the percentage threshold limitation in 2(b)2 above were as follows at December 31, 2019 and 2018:

	2021	2020
(a) Ratio percentage used to determine recovery period and threshold limitation amount in 2(b)1 above.	453%	372%
(b) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)2 above.	98,563,215	85,861,266

(4) There was no impact on adjusted gross and net admitted DTAs due to tax-planning strategies at December 31, 2021 and 2020. The Company did not use reinsurance in its tax-planning strategies.

B. The Company had no unrecognized DTLs at December 31, 2021 and 2020.

C. Significant components of income taxes incurred and the changes in DTAs and DTLs for the years ended December 31, 2021 and 2020 were as follows:

	2021	2020	Change
(1) Current Income Tax:			
(a) Federal	\$ 1,936,812	\$ 1,027,349	\$ 909,463
(b) Foreign	—	—	—
(c) Subtotal	1,936,812	1,027,349	909,463
(d) Federal income tax on net capital gains	—	164,100	(164,100)
(e) Utilization of capital loss carry-forward	—	—	—
(f) Other (Prior period correction)	—	—	—
(g) Federal and foreign income taxes incurred	\$ 1,936,812	\$ 1,191,449	\$ 745,363

	2021	2020	Change
(2) DTAs:			
(a) Ordinary:			
(1) Discounting of unpaid losses	\$ —	\$ —	\$ —
(2) Unearned premium reserve	—	—	—
(3) Policyholder reserve	6,922,874	6,006,901	915,973
(4) Investments	6,712,127	8,316,274	(1,604,147)
(5) Deferred acquisition costs	314,911	—	314,911
(6) Policyholder dividends accrual	—	—	—
(7) Fixed Assets	—	—	—
(8) Compensation and benefits accrual	—	—	—
(9) Pension accrual	—	—	—
(10) Receivables - nonadmitted	3,734,476	3,497,381	237,095
(11) Net operating loss carry-forward	—	—	—
(12) Tax credit carry-forward	—	—	—
(13) Other	27,088	3,511	23,577
(14) Goodwill and Intangibles	14,455,236	15,370,392	(915,156)
(99) Subtotal	32,166,712	33,194,459	(1,027,747)
(b) Statutory valuation allowance adjustment	—	—	—
(c) Nonadmitted	18,218,374	20,107,403	(1,889,029)
(d) Admitted ordinary DTAs (2a99 - 2b - 2c)	13,948,338	13,087,056	861,282
(e) Capital:			
(1) Investments	—	—	—
(2) Net capital loss carry-forward	282,750	—	282,750
(3) Real estate	—	—	—
(4) Other	—	—	—
(99) Subtotal	282,750	—	282,750
(f) Statutory valuation allowance adjustment	—	—	—
(g) Nonadmitted	—	—	—
(h) Admitted capital DTAs (2e99 - 2f - 2g)	282,750	—	282,750
(i) Total admitted DTAs (2d + 2h)	\$ 14,231,088	\$ 13,087,056	\$ 1,144,032

## NOTES TO FINANCIAL STATEMENTS

	2021	2020	Change
(3) DTLs:			
(a) Ordinary:			
(1) Investments	\$ 95,437	\$ 207,662	\$ (112,225)
(2) Fixed assets	—	—	—
(3) Deferred & uncollected premium	111,093	—	111,093
(4) Policyholder reserves	—	—	—
(5) Other	210	204	6
(99) Subtotal	<u>206,740</u>	<u>207,866</u>	<u>(1,126)</u>
(b) Capital:			
(1) Investments	\$ 715,168	\$ —	\$ 715,168
(2) Real estate	—	—	—
(3) Other	—	—	—
(99) Subtotal	<u>715,168</u>	<u>—</u>	<u>715,168</u>
(c) Total DTLs (3a99 + 3b99)	<u>921,908</u>	<u>207,866</u>	<u>714,042</u>
(4) Net admitted DTAs/(DTLs) (2i - 3c)	<u>\$ 13,309,180</u>	<u>\$ 12,879,190</u>	<u>\$ 429,990</u>

Decrease in net deferred tax related to other items	(1,459,039)
Increase to nonadmitted deferred taxes booked to surplus	(373,682)
Decrease in deferred tax asset nonadmitted	2,262,711
Total change in net admitted DTAs	<u>\$ 429,990</u>

Pursuant to the acquisition of the Company on December 31, 2020 by New York Life, the Company elected to step up the tax basis of its assets under Internal Revenue Code §338(h)(10). This resulted in following changes in deferred taxes on the acquisition date:

	2020
Investments	\$ 7,935,948
Policyholder reserves	(1,295,885)
Deferred acquisition costs	1,958,935
Goodwill and intangible assets	15,370,392
Other	3,511
Increase in net deferred tax	23,972,901
Decrease in DTA nonadmitted	(16,442,822)
Total change in net DTA's	<u>\$ 7,530,079</u>

(5) The Company had no investment tax credits for the years ended December 31, 2021 and 2020.

(6) The Company did not have operating loss carry-forwards at December 31, 2021.

(7) The Company had no adjustments to gross DTAs because of a change in circumstances that causes a change in judgment about the realizability of the related DTAs.

D. The Company's income tax expense and change in net deferred taxes at December 31, 2021 and 2020 differs from the amount obtained by applying the statutory rate of 21% to net gain from operations after dividends to policyholders and before federal income taxes for the following reasons:

	2021	2020	Change
Net gain from operations after dividends to policyholders and before federal income taxes @ statutory rate	\$ 3,899,743	\$ (1,225,580)	\$ 5,125,323
Net realized capital gains @ statutory rate	\$ 432,418	\$ 124,575	\$ 307,843
Investment Items	(14,160)	(148,191)	134,031
Change in nonadmitted assets	(237,095)	(1,433,929)	1,196,834
Amortization of IMR	(162,827)	(69,804)	(93,023)
Meals & Entertainment	—	4,254	(4,254)
338(h)(10) tax basis step up	—	(23,972,901)	23,972,901
Other items impacting surplus	(522,316)	—	(522,316)
Other	88	10,421	(10,333)
Federal and foreign income taxes incurred and change in net deferred taxes during the year	<u>\$ 3,395,851</u>	<u>\$ (26,711,155)</u>	<u>\$ 30,107,006</u>
Federal and foreign income tax expense (benefit) reported in the Summary of Operations	\$ 1,936,812	\$ 1,191,449	\$ 745,363
Decrease in Net DTAs	<u>1,459,039</u>	<u>(27,902,604)</u>	<u>29,361,643</u>
Federal and foreign income taxes incurred and change in net deferred taxes during the year	<u>\$ 3,395,851</u>	<u>\$ (26,711,155)</u>	<u>\$ 30,107,006</u>

## NOTES TO FINANCIAL STATEMENTS

E. (1) The Company had the following carry forwards available for tax purposes:

	Amount	Origination Date	Expiration Date
Capital loss	\$ 1,346,430	2021	2026

(2) The Company has income taxes incurred in the current and prior years that will be available for recoupment in the event of future net losses.

	Ordinary	Capital
Year 2021	\$ 1,936,812	\$ —
Year 2020	—	—
Year 2019	—	—

(3) At December 31, 2021, the Company had no protective tax deposits on deposit with the Internal Revenue Service under Section 6603 of the Internal Revenue Code.

F. The Company's federal income tax return is consolidated with the following entities:

- i. New York Life
- ii. New York Life Insurance and Annuity Company ("NYLIAC")
- iii. NYLIFE Insurance Company of Arizona
- iv. NYLIFE LLC and its domestic affiliates
- v. New York Life Investment Management Holdings LLC and its domestic subsidiaries
- vi. New York Life Enterprises and its domestic subsidiaries
- vii. NYL Investors LLC ("NYL Investors")
- viii. Life Insurance Company of North America ("LINA")
- ix. LINA Benefit Payments, Inc.

As of December 31, 2020, the Company is a member of an affiliated group, which files a consolidated federal income tax return with New York Life. The consolidated income tax provision or benefit is allocated among the members of the group in accordance with a tax allocation agreement. This tax allocation agreement provides that the Company computes its share of the consolidated tax provision or benefit, in general, on a separate company basis, and may, where applicable, include the tax benefits of operating or capital losses utilizable in the New York Life's consolidated returns. Intercompany tax balances are settled quarterly on an estimated basis with a final settlement occurring within 30 days of the filing of the consolidated tax return. Current federal income taxes are charged or credited to operations based upon amounts estimated to be payable or recoverable as a result of taxable operations for the current year and any adjustments to such estimates from prior years.

Through December 31, 2020 the Company was included in the consolidated federal income tax return filed by Cigna. Pursuant to the tax sharing agreement with Cigna, federal income taxes were allocated to the Company as if it were filing on a separate return basis. The tax benefit of net operating losses, capital losses and tax credits were funded to the extent they reduced the consolidated federal income tax liability.

G. The Company does not anticipate any significant changes to its total unrecognized tax benefits within the next 12 months.

H. The Company does not have repatriation transition tax owed under the Tax Cuts and Jobs Act.

I. The Company does not have an AMT credit that was recognized as a current year recoverable or DTA.

### 10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

The following note discloses significant related party transactions.

**A-B.** For the years ended December 31, 2021 and 2020 the Company did not make any dividend payments to or receive contributions from its parent Company, New York Life.

## NOTES TO FINANCIAL STATEMENTS

Significant agreements entered into by the Company with its subsidiaries and affiliates for the years ended December 31, 2021 and 2020 were as follows:

Date of Transaction	Name of Related Party	Nature of Relationship	Type of Transaction	Description
<b>Active Agreements</b>				
12/31/2020	New York Life/ LINA	Parent / Insurance affiliate	Claims administration service agreement	The Company has entered into a claims administration services agreement with both New York Life and LINA.
12/31/2020	New York Life	Parent	Service and facility agreement	New York Life provides the Company with certain services and facilities including, but not limited to accounting, tax and auditing services, legal services, actuarial services, electronic data processing operations and communications operations. New York Life charges the Company for the identified costs associated with these services and facilities under the terms of a service agreement between New York Life and the Company.
12/31/2020	New York Life	Parent	Credit agreement	The Company, as borrower, entered into a credit agreement with New York Life, as lender for a maximum aggregate amount of \$10,000,000. For the years ended at December 31, 2021, the credit facility was not used, no interest was paid, and there was no outstanding balance due
12/31/2020	NYL Investors	Non insurance affiliate	Investment management agreement	The Company is a party to an investment management agreement with NYL Investors (a wholly owned subsidiary of New York Life), as amended from time to time, whereby NYL Investors provides investment advisory and administrative services to the Company. For the years ended December 31, 2021 and 2020, the total cost for these services amounted to \$473,033 and \$0, respectively, which is included in the costs of services billed by New York Life to the Company.
<b>Terminated Agreements at December 31, 2020<sup>1</sup></b>				
12/31/2020	CHC	Indirect Parent	Credit agreement	The Company was the lender in a line of credit agreement with CHC under which the maximum amount that may be loaned was the lesser of 3% of admitted assets or 25% of the surplus, up to \$300,000,000.
12/31/2020	Cigna Investments, Inc. ("CII")	Non insurance affiliate	Investment management agreement	The Company was a party to an investment management agreement with CII (an indirect wholly owned subsidiary of Cigna), whereby CII provided investment advisory and administrative services to the Company. For the years ended December 31, 2020, the total cost for these services amounted to \$449,000.
12/31/2020	Cigna/ Connecticut General Life Insurance Company ("CGLIC")	Ultimate Parent/ Insurance affiliate	Service agreement	Cigna and CGLIC, an indirect wholly owned subsidiary of Cigna, had provided the Company with certain services but not limited to accounting, tax and auditing services, legal services, actuarial services, electronic data processing operations and communications operations. Cigna and CGLIC charged the Company for the identified costs associated with these services and facilities. For the year ended December 31, 2020, the fees incurred associated with these services and facilities amounted to \$506,000.
12/31/2020	CGLIC	Insurance affiliate	Facility agreement	The Company leased space at 140 E. 45th Street in New York City from CGLIC.

<sup>(1)</sup> Upon the acquisition by New York Life on December 31, 2020, all previous related party contracts and agreements in which the Company had entered into with Cigna and its subsidiaries were subsequently terminated.

- C. The Company had no transaction with related parties not reported on schedule Y.
- D. At December 31, 2021 and 2020, the Company reported a net amount of \$13,207,046 and \$1,581,458, respectively, as amounts payable to parent and affiliates. The terms of the underlying agreements generally require that these amounts be settled in cash within 90 days.
- E. Refer to sections A-B for significant administrative agreements the Company has entered into with its affiliates.
- F. In the ordinary course of business the Company may enter into guarantees and/or keep wells between itself, its parent and/or its affiliates. Refer to Note 14 - Liabilities, Contingencies and Assessments for more information.
- G. All outstanding shares of the Company are owned by the parent company, New York Life, a mutual insurance company domiciled in the State of New York.
- H. The Company does not own any shares of an upstream affiliate either directly or through its subsidiaries.
- I-K. The Company does not have an investment in a SCA entity that exceeds 10% of the admitted assets.
- L. The Company does not hold investments in any downstream non-insurance holding companies.
- M. The Company does not have affiliated common stock investments.
- N. The Company does not hold investments in an SCA.
- O. The Company does not hold investments in an SCA in a loss position.

### 11. Debt

- A. Refer to Note 10, sections A-B for the Company's significant credit agreements with its parent and affiliates..
- B. Not Applicable

### 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

#### A. Defined Benefit Plan

Refer to section G.

#### B. Plan Asset Investment Policies and Strategies

Refer to section G.

## NOTES TO FINANCIAL STATEMENTS

### C. Determination of Fair Values

Refer to section G.

### D. Long-term Rate of Return on Plan Assets

Refer to section G.

### E. Defined Contribution Plans

Refer to section G.

### F. Multiemployer Plans

Not applicable.

### G. Consolidated/Holding Company Plans

The Company shares in the cost of the following plans sponsored by New York Life: (1) certain defined contribution plans for substantially all employees and (2) postemployment benefits. The expense for these plans is allocated to the Company in accordance with an intercompany cost sharing agreement. The liabilities for these plans are included with the liabilities for the corresponding plan of New York Life. The Company's share of the cost of defined contribution plans and postemployment benefits was \$90,330 and \$14,356, respectively, for the year ended December 31, 2021.

Prior to its acquisition by New York Life, the Company was provided certain postretirement, postemployment and compensated absence benefits through a plan sponsored by Cigna. The Company also participated in a capital accumulation 401(k) plan sponsored by Cigna in that employee contributions on a before-tax basis were supplemented by Cigna's matching contributions. The Company had no legal obligation for benefits under these plans. Cigna allocated amounts to the Company based on salary ratios and member months. The Company's share of net expense for such benefits was \$9 thousand for the year ended December 31, 2020.

Cigna froze its primary domestic defined benefit pension plans effective July 1, 2009. As a result, pension expense was no longer allocated to the Company.

### H. Postemployment Benefits and Compensated Absences

Refer to section G.

### I. Impact of Medicare Modernization Act on Postretirement Benefit (INT 04-17)

Refer to section G.

## 13. Capital and Surplus, Shareholders' Dividend Restrictions, and Quasi-Reorganizations

A. The Company has 40,000 shares authorized, and approximately 0 shares issued and outstanding as of December 31, 2021 and 2020 with a par value of \$27.50. There are no other classes of capital stock.

B. The Company has not issued preferred stock.

C. The Company is subject to restrictions on the payment of dividends to New York Life. Under the New York Insurance Code, cash dividends can be paid only out of that part of the Company's available and accumulated surplus funds which are derived from realized net operating profits on its business and realized capital gains, and dividends (or other distributions) on capital stock can be declared and paid only out of earned surplus (being an amount equal to the unassigned funds of the Company as set forth in this annual statement submitted to the New York Insurance Commissioner ("the Commissioner"), including all or part of the surplus arising from unrealized capital gains or revaluation of assets), except as otherwise approved by the Commissioner (provided that stock dividends may be paid out of any available surplus funds). Furthermore, no extraordinary dividend may be paid until 30 days after the Commissioner has received notice of such declaration and has not disapproved such payment within such 30 day period, or the Commissioner has approved such payment within that 30 day period. Extraordinary dividends are defined as any dividend or distribution or cash or other property, whose fair market value, together with that of other dividends or distributions made within the preceding 12 months, exceeds the greater of (1) ten percent of the Company's surplus as regards policyholders as of the preceding December 31 or (2) the net gain from operations of the Company for the 12 month period ending on the preceding December 31 (not including pro rata distributions of any class of the Company's own securities).

The maximum amount of dividends that may be paid in 2022 without prior notice to or approval of the Commissioner is \$11,077,240. Dividends may be declared by the Board of Directors of the Company from available surplus, as it deems appropriate, on a non-cumulative basis.

D. During the year ended December 31, 2021, the Company did not pay a dividend to its sole shareholder, New York Life. During the year ended December 31, 2020, the Company did not pay a dividend to its sole shareholder, New York Life, or to Connecticut General Corporation, the Company's direct parent prior to the acquisition of the Company by New York Life.

E. Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

F. No restrictions have been placed on the unassigned surplus funds of the Company.

G. The Company did not have any advances to surplus.

H. The Company did not hold stock, including stock of affiliated companies, for any special purpose.

I. The Company did not hold any special surplus funds.

J. There were no unassigned funds (surplus) represented or reduced by cumulative net unrealized gains, gross of deferred taxes at December 31, 2021.

K. The Company has not issued any surplus notes.

L.-M. The Company has never had a quasi-reorganization.

## 14. Liabilities, Contingencies and Assessments

### A. Contingent Commitments

## NOTES TO FINANCIAL STATEMENTS

### 1. Commitments or contingent commitments

At December 31, 2021, the Company had outstanding contractual obligations to acquire additional private placement securities amounting to \$5,097,365.

### 2. Guarantees

The Company does not have any guarantees.

### 3. Guarantee obligations

The Company does not have any guarantee obligations.

### B. Assessments

The Company operates in a regulatory environment that may require its participation in assessments under state insurance guaranty association laws. The Company's exposure to assessments for certain obligations of insolvent insurance companies to policyholders and claimants is based on its share of business written in the relevant jurisdictions. The Company recorded no guaranty fund receivables or liabilities as of December 31, 2021 and 2020.

On March 1, 2017, the Commonwealth Court of Pennsylvania entered an order of liquidation of Penn Treaty Network America Insurance Company, together with its subsidiary American Network Insurance Company (collectively "Penn Treaty", a long-term care insurance carrier), triggering guaranty fund coverage and accrual of a liability. Some of the assessments were recorded on a discounted basis, using a weighted average discount rate of 3.5%. As of December 31, 2021 and 2020, there was no recorded liabilities. This assessment is expected to be updated in future periods for changes in the estimate of the insolvency. In addition, a portion of this assessment is expected to be offset in the future by premium tax credits that will be recognized in the period received.

### C. Gain Contingencies

Not applicable.

### D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

Not applicable.

### E. Joint and Several Liabilities

Not applicable.

### F. All Other Contingencies

The Company is a defendant in individual and/or alleged class action suits arising from its agency sales force, insurance (including variable contracts registered under the federal securities law), investment, retail securities, and/or other operations, including actions involving retail sales practices. Some of these actions seek substantial or unspecified compensatory and punitive damages. The Company is also from time to time involved in various governmental, administrative, and investigative proceedings and inquiries.

Notwithstanding the uncertain nature of litigation and regulatory inquiries, the outcome of which cannot be predicted, the Company believes that, after provisions made in the financial statements, the ultimate liability that could result from litigation and proceedings would not have a material adverse effect on the Company's financial position; however, it is possible that settlements or adverse determinations in one or more actions or other proceedings in the future could have a material adverse effect on the Company's operating results for a given year.

Several commercial banks have customary security interests in certain assets of the Company to secure potential overdrafts and other liabilities of the Company that may arise under custody, securities lending and other banking agreements with such banks.

Based upon Company experience, the amount of premiums and other accounts receivable that may become uncollectible and result in a potential loss is not material to the Company's financial condition.

## 15. Leases

### A. Lessee Operating Lease

- At December 31, 2021, there were no aggregate future minimum rental payments under leases having initial or remaining non-cancelable lease term in excess of one year. There were no rental expenses for operating leases or sublease rentals in 2021.
- The Company does not have any non-cancelable lease terms in excess of one year.
- The Company is not involved in any material sale-leaseback transactions.

### B. Lessor Leases

Not applicable.

## 16. Information About Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable.

## 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

### A. Transfers of Receivables Reported as Sales

Not applicable.

### B. Transfer and Servicing of Financial Assets

(1)-(7) Not applicable.

### C. Wash Sales

- In the course of the Company's investment management activities, securities may be sold and purchased within 30 days of the sale date to meet individual portfolio objectives and to achieve the ongoing rebalancing of exposure.

## NOTES TO FINANCIAL STATEMENTS

- (2) The Company did not have any wash sales where securities with an NAIC rating designation of 3 or below, or unrated, were sold during the year ended December 31, 2021 and reacquired within 30 days of the sale date.

### 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

#### A. ASO Plans

Information with regard to the profitability of Administrative Services Only (ASO) uninsured accident and health plans and the uninsured portion of partially insured plans was as follows for the years ended December 31:

2021			
<i>(In whole dollars)</i>	ASO Uninsured Plans	Uninsured Portion of Partially Insured Plans	Total ASO
Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$ 437,167	\$ —	\$ 437,167
Total net other income or expenses (including interest paid to or received from plans)	\$ —	\$ —	\$ —
Net gain or (loss) from operations	\$ 437,167	\$ —	\$ 437,167
Total claim payment volume	\$ 3,471	\$ —	\$ 3,471

  

2020			
<i>(In whole dollars)</i>	ASO Uninsured Plans	Uninsured Portion of Partially Insured Plans	Total ASO
Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$ 516,446	\$ —	\$ 516,446
Total net other income or expenses (including interest paid to or received from plans)	\$ —	\$ —	\$ —
Net gain or (loss) from operations	\$ 516,446	\$ —	\$ 516,446
Total claim payment volume	\$ 3,782	\$ —	\$ 3,782

#### B. ASC Plans

Not applicable.

#### C. Medicare or Similarly Structured Cost Based Reimbursement Contract

Not applicable.

### 19. Direct Premium Written/Produced by Managing General Agents/Third-Party Administrators

The Company did not have any direct premium written/produced by managing general agents/third-party administrators equal to or greater than 5% of surplus during 2021.

### 20. Fair Value Measurements

- A. The Company's financial assets and liabilities carried at fair value have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100, "Fair Value Measurements". Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This guidance establishes a framework for measuring fair value that includes a hierarchy used to classify the inputs used in measuring fair value. The hierarchy prioritizes the inputs to valuation techniques used to measure fair value into three levels. The level in the fair value hierarchy within which the fair value measurement falls is determined based on the lowest level input that is significant to the fair value measurement.

- (1) The levels of the fair value hierarchy are based on the inputs to the valuation as follows:

Level 1	Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market. Active markets are defined as a market in which many transactions occur with sufficient frequency and volume to provide pricing information on an ongoing basis.
Level 2	Observable inputs other than level 1 prices, such as quoted prices in active markets for similar assets or liabilities; quoted prices in markets that are not active for identical or similar assets or liabilities, or other model driven inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities. Valuations are generally obtained from third-party pricing services for identical or comparable assets or liabilities or through the use of valuation methodologies using observable market inputs.
Level 3	Instruments whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs reflect management's own assumptions in pricing the asset or liability. Pricing may also be based upon broker quotes that do not represent an offer to transact. Prices are determined using valuation methodologies such as option pricing models, discounted cash flow models and other similar techniques. Non-binding broker quotes, which are utilized when pricing service information is not available, are reviewed for reasonableness based on the Company's understanding of the market, and are generally considered Level 3. To the extent the internally developed valuations use significant unobservable inputs, they are classified as Level 3.

The Company had no investments held at fair value at December 31, 2021:

## NOTES TO FINANCIAL STATEMENTS

B. Not applicable.

C. The following table presents the carrying amounts and estimated fair values of the Company's financial instruments at December 31, 2021. Since the SSAP 100 hierarchy only applies to items that are measured at fair value at the reporting date, the items in the tables above are subsets of the amounts reported in the following table.

	Fair Value	Carrying Amount	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
<b>Assets:</b>							
Bonds	\$ 454,889,614	\$ 436,963,998	\$ —	\$ 452,396,532	\$ 2,493,082	\$ —	\$ —
Cash, cash equivalents and short-term investments	1,412,926	1,412,926	14,513,394	(13,100,468)	—	—	—
Investment income due and accrued	3,518,439	3,518,439	—	3,518,439	—	—	—
Mortgage loans	20,805,961	20,844,209	—	—	20,805,961	—	—
Total assets	<u>\$ 480,626,940</u>	<u>\$ 462,739,572</u>	<u>\$ 14,513,394</u>	<u>\$ 442,814,503</u>	<u>\$ 23,299,043</u>	<u>\$ —</u>	<u>\$ —</u>
<b>Liabilities:</b>							
Payable to parent and affiliates	\$ 13,290,801	\$ 13,290,801	\$ —	\$ 13,290,801	\$ —	\$ —	\$ —
Total liabilities	<u>\$ 13,290,801</u>	<u>\$ 13,290,801</u>	<u>\$ —</u>	<u>\$ 13,290,801</u>	<u>\$ —</u>	<u>\$ —</u>	<u>\$ —</u>

<sup>1</sup> Excludes investments accounted for under the equity method.

### Bonds

The fair value of bonds is determined by considering one of four primary sources: (1) security pricing is applied using a hierarchy approach whereby publicly available prices are first sought from nationally recognized third-party pricing services, (2) securities are priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices, (3) securities are priced using an internal pricing model or methodology, and (4) securities are submitted to independent brokers for prices.

The pricing service generally uses an income-based approach by using a discounted cash-flow model or it may also use a market approach by looking at recent trades of a specific security to determine fair value on public securities or a combination of the two. Typical inputs used by these pricing services include, but are not limited to; benchmark yields, reported trades, issuer spreads, bids, offers, benchmark securities, estimated cash flows and prepayment speeds.

Independent pricing vendors do not supply prices for private placement bonds. These securities are primarily priced using a market approach such as a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices. Any private securities that cannot be priced using this methodology, are priced using an internally developed model based upon assigned comparable public issues adjusted for liquidity, maturity and rating or are priced based on internal calculations. The Company assigns a credit rating based upon internal analysis.

Prices from pricing services and broker quotes are validated on an ongoing basis to ensure the adequacy and reliability of the fair value measurement. The Company performs both quantitative and qualitative analysis of the prices including initial and ongoing review of third-party pricing methodologies, back testing of recent trades, and a thorough review of pricing trends and statistics.

### Mortgage loans

The estimated fair value of mortgage loans is determined using an income approach, based upon the present value of the expected cash flows discounted at an interpolated treasury yield plus a spread. The spread is based on management's judgment and assumptions, which takes into account matters such as property type, LTV and remaining term of each loan, etc. The spread is a significant component of the pricing inputs, and therefore, these investments are classified as Level 3.

### Cash, cash equivalents, short-term investments and investment income due and accrued

Cash on hand and money market mutual funds are classified as Level 1. Cash overdrafts (i.e. outstanding checks) are classified as Level 2. Due to the short-term maturities of cash equivalents, short term investments, and investment income due and accrued, carrying value approximates fair value and is classified as Level 2.

D. If it is not practicable for an entity to estimate the fair value of that financial instrument or a class of financial instruments, the following shall be disclosed:

(1)-(2) Not applicable.

E. Not Applicable.

## 21. Other Items

### A. Unusual or Infrequent Items

The disruption caused by the COVID-19 pandemic continues to have a major impact on the global economy, the supply chain and the economies of particular countries and industries. It has also resulted in elevated mortality and morbidity experience for the global population, and could have long-term effects on the Company's life, health and disability insurance businesses. The ultimate extent of the impact of the COVID-19 pandemic will depend on numerous factors, all of which are highly uncertain and cannot be predicted. These factors include the length and severity of the outbreak, including the impact of new variants of the virus and the efficacy of vaccines and therapeutic treatments in combating the virus, the responses to the pandemic taken by governments and private sector businesses, and the impacts on the Company's customers, employees and vendors. Although the Company has taken certain steps to mitigate some of the adverse impacts resulting from the pandemic, these events could have an adverse effect on the risks described above and the Company's results of operations and cash flows in any period and, depending on their severity and duration, could also adversely affect the Company's financial condition.

### B. Troubled Debt Restructuring: Debtors

Not applicable.

### C. Other Disclosures

Assets with a carrying value of \$700,695 at December 31, 2021 were on deposit with government authorities or trustees as required by certain state insurance laws.

## NOTES TO FINANCIAL STATEMENTS

### D. Business Interruption Insurance Recoveries

Not applicable.

### E. State Transferable and Non-transferable Tax Credits

Not applicable.

### F. Subprime and Midprime Mortgage Related Risk Exposure

- (1) The Company categorizes mortgage securities with an average FICO score (credit score) of 625 or less as “subprime” mortgage securities and mortgage securities with an average FICO score of greater than 625 and less than 700 as “midprime” mortgage securities. Securities with an average FICO score of 700 or greater are characterized as “prime”. The delinquency, credit loss, prepayment rate of the pool of mortgages collateralizing the investment and credit enhancement available for the investment are reviewed. Cash flow forecasts for each subprime and midprime mortgage security using estimates of future prepayment, delinquency, default and loss severity rates are prepared and are stress tested. This analysis shows that the majority of the unrealized losses associated with the Company’s subprime and midprime mortgage holdings are due to market dislocation and is not reflective of the projected cash flows for the portfolio of securities or how these securities have performed to date.
- (2) The Company does not engage in subprime residential or commercial mortgage lending and therefore has no direct exposure through investments in subprime mortgage loans.
- (3) The Company has exposure to subprime and midprime residential mortgage lending through its fixed maturity investments that are collateralized by mortgages that have characteristics of subprime or midprime lending. Subprime residential mortgage lending is the origination of residential mortgage loans to customers with weak credit profiles, including using relaxed mortgage-underwriting standards that provide for affordable mortgage products. These investments are primarily in the form of asset-backed securities (“ABS”) supported by subprime or midprime residential mortgage loans or collateralized debt securities (“CDOs”) that contain a subprime or midprime loan component. The collective carrying value of these investments is \$0 representing 0.00% of total fixed maturity investments. Of this amount, 0.00% had “AAA” or “AA” credit quality ratings. There was no common stock subprime or midprime exposure. The Company manages its subprime and midprime risk exposure by limiting the Company’s holdings in these types of instruments, and performing ongoing analysis of cash flows, prepayment speeds, default rates and other stress variables.

The Company’s general account fixed maturity investments that are collateralized by residential mortgages that have characteristics of subprime or midprime lending at December 31, 2021 are:

<b>Subprime - Type</b>	<b>Actual Cost</b>	<b>Book Adjusted Carrying Value</b>	<b>Fair Value</b>	<b>OTTI Losses</b>
Residential mortgage-backed securities	\$ —	\$ —	\$ —	\$ —
Commercial mortgage-backed securities	—	—	—	—
Collateralized debt obligations	—	—	—	—
Structured securities	—	—	—	—
Equity investment in SCAs	—	—	—	—
Other assets	—	—	—	—
<b>Total</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>

  

<b>Midprime - Type</b>	<b>Actual Cost</b>	<b>Book Adjusted Carrying Value</b>	<b>Fair Value</b>	<b>OTTI Losses</b>
Residential mortgage-backed securities	\$ —	\$ —	\$ —	\$ —
Commercial mortgage-backed securities	—	—	—	—
Collateralized debt obligations	—	—	—	—
Structured securities	—	—	—	—
Equity investment in SCAs	—	—	—	—
Other assets	—	—	—	—
<b>Total</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>

  

<b>Grand total (subprime and midprime)</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>
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The Company’s guaranteed separate accounts fixed maturity investments that are collateralized by residential mortgages that have characteristics of subprime or midprime lending at December 31, 2021 are:

<b>Subprime - Type</b>	<b>Actual Cost</b>	<b>Book Adjusted Carrying Value</b>	<b>Fair Value</b>	<b>OTTI Losses</b>
Residential mortgage-backed securities	\$ —	\$ —	\$ —	\$ —
Commercial mortgage-backed securities	—	—	—	—
Collateralized debt obligations	—	—	—	—
Structured securities	—	—	—	—
Equity investment in SCAs	—	—	—	—
Other assets	—	—	—	—
<b>Total</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>

  

<b>Midprime - Type</b>	<b>Actual Cost</b>	<b>Book Adjusted Carrying Value</b>	<b>Fair Value</b>	<b>OTTI Losses</b>
Residential mortgage-backed securities	\$ —	\$ —	\$ —	\$ —
Commercial mortgage-backed securities	—	—	—	—
Collateralized debt obligations	—	—	—	—
Structured securities	—	—	—	—
Equity investment in SCAs	—	—	—	—
Other assets	—	—	—	—
<b>Total</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>

  

<b>Grand total (subprime and midprime)</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>
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## NOTES TO FINANCIAL STATEMENTS

- (4) The Company does not have underwriting exposure to subprime mortgage risk through mortgage guaranty or financial guaranty insurance coverage.

### G. Retained Assets

Retained Asset Accounts are classified as liabilities for deposit-type contracts. These accounts represent the Company's method for settling certain life, disability and accidental death and dismemberment claims where the claimant does not specify or request payment in an alternate form, or where another form of payment is directed by applicable law. The insurance proceeds are retained in the Company's general account and credited to a beneficiary's free interest-bearing account with draft privileges that can be liquidated at any time. The account balance and earned interest are fully guaranteed by the Company. The interest crediting rate is updated weekly and pegged to the Bank Rate Monitor Index, which reflects the average annual effective yield on money markets offered by one hundred large banks and thrifts in the United States. Interest is compounded daily and is credited to account holders on a monthly basis. The weighted average effective interest rate credited to account holders in 2021 was 0.07%, ranging from 0.07% to 0.09%. Account holders are charged fees only for special services (stop payment requests, checks denied due to insufficient funds, copies of drafts or statements) and are not charged per-draft fees, maintenance charges or withdrawal penalties.

Effective Date		Interest Rate \$10,000 or more	Interest Rate \$9,999.99 or less
From	Through		
1/1/2021	1/26/2021	0.09%	0.09%
1/27/2021	4/6/2021	0.08%	0.08%
4/7/2021	12/31/2021	0.07%	0.07%

The applicable fees charged for retained asset accounts in 2021 were immaterial.

- (1) The following table presents the number and balance of retained asset accounts in-force at December 31, 2021 and 2020, respectively:

	In-Force			
	2021		2020	
	Number	Amount	Number	Amount
Up to and including 12 months	83	\$ 5,694,219	98	\$ 7,730,548
13 to 24 months	64	3,504,936	48	2,236,589
25 to 36 months	34	1,677,988	39	2,232,754
37 to 48 months	27	1,207,041	37	1,279,458
49 to 60 months	32	1,119,356	34	810,101
Over 60 months	99	2,208,152	97	2,162,086
Total	339	\$ 15,411,692	353	\$ 16,451,536

- (2) The following table presents the Company's retained asset accounts at December 31, 2021:

	Group	
	Number	Amount
Retained asset accounts at the beginning of the year	\$ 353	\$ 16,451,536
Retained asset accounts issued/added during the year	188	17,922,932
Investment earnings credited to retained asset accounts during the year	—	12,410
Fees and other charges assessed to retained asset accounts during the year	—	(55)
Retained asset accounts transferred to state unclaimed property funds during the year	—	—
Retained asset accounts closed/withdrawn during the year	(202)	(18,975,131)
Retained asset accounts at the end of the year	339	\$ 15,411,692

### H. Insurance-Linked Securities ("ILS") Contracts

Not applicable.

### I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

Not applicable.

## 22. Events Subsequent

Effective January 1, 2022, the Company is the insurer for New York Life's employees and agents group disability, life and accident coverages. Premiums associated with these policies are expected to be over 10% of the Company's annual premiums.

At February 28, 2022, the date the annual statement was available to be issued, there have been no events occurring subsequent to the close of the Company's books or accounts for the accompanying annual statement that would have a material effect on the financial condition of the Company.

## 23. Reinsurance

### A. Ceded Reinsurance Report

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company? Yes ( ) No (x). If yes, give full details. \$—
- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes ( ) No (x). If yes, give full details. \$—

## NOTES TO FINANCIAL STATEMENTS

### Section 2 – Ceded Reinsurance Report – Part A

- (1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits? Yes ( ) No (x).
  - a. If yes, what is the estimated amount of the aggregate reduction in surplus of a unilateral cancellation by the reinsurer as of the date of this statement, for those agreements in which cancellation results in a net obligation of the company to the reinsurer, and for which such obligation is not presently accrued? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. \$—
  - b. What is the total amount of reinsurance credits taken, whether as an asset or as a reduction of liability, for these agreements in this statement? \$—
- (2) Does the company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts which, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes ( ) No (x). If yes, give full details.\$—

### Section 3 – Ceded Reinsurance Report – Part B

- (1) What is the estimated amount of the aggregate reduction, in surplus, for agreements not reflected in Section 2 above, of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. If all reinsurance agreements were terminated by either party as of the date of the statement, the resulting reduction in surplus due to loss of reserve credits net of unearned premium would be approximately \$—
- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts which were in-force or which had existing reserves established by the Company as of the effective date of the agreement? Yes ( ) No (x) If yes, what is the amount of reinsurance credits, whether an asset or reduction of liability, taken for such new agreements or amendments? \$—

**B - G.** Not applicable.

#### **H.** Reinsurance Credit

- (1) Disclose any reinsurance contracts (or multiple contracts with the same reinsurer or its affiliates) subject to A-791 that includes a provision, which limits the reinsurer's assumption of significant risks identified as in A-791. Examples of risk limiting features include provisions such as a deductible, a loss ratio corridor, a loss cap, an aggregate limit or similar effect. If true, indicate the number of reinsurance contracts to which such provisions apply. For contracts subject to A-791, indicate if deposit accounting was applied for all contracts, which limit significant risks.

Not applicable

- (2) The Company's group life and disability businesses use catastrophic reinsurance to cover member events hosted by some insured affinity groups. These catastrophic agreements provide coverage for multiple deaths up to specific caps that are included in the treaties. No triggering events have occurred under these treaties in 2021.

- (3) Disclose if any reinsurance contracts contain features (except reinsurance contracts with a federal or state facility) described below which result in delays in payment in form or in fact:

Not applicable

- (4) Disclose if the reporting entity has reflected reinsurance accounting credit for any contracts not subject to Appendix A-791 and not yearly renewable term, which meet the risk transfer requirements of SSAP No. 61R and identify the type of contracts and the reinsurance contracts.

Not applicable

- (5) Disclose if the reporting entity ceded any risk which is not subject to A-791 and not yearly renewable term reinsurance, under any reinsurance contract (or multiple contracts with the same reinsurer or its affiliates) during the period covered by the financial statement, and either:

Not applicable

- (6) If affirmative disclosure is required for Paragraph 23H(5) above, explain why the contract(s) is treated differently for GAAP and SAP.

Not applicable

#### **24. Retrospectively Rated Contracts and Contracts Subject to Redetermination**

- A.** The company estimates accrued retrospective premium adjustments for its group life, accident and health insurance business by utilizing appropriate analytics relative to through mathematical approach using the Company's underwriting rules and experience rating practice.
- B.** The company estimates accrued retrospective premium adjustments to earned premium.
- C.** The amount of net premiums written by the Company at December 31, 2021 and 2020 that are subject to retrospective rating features were \$2,858,562 and \$2,920,460, respectively, that represented 1% of total net premiums written for both periods presented. No other net premiums written by the Company are subject to retrospective rating feature.
- D.** Not applicable.
- E.** Not applicable.

#### **25. Change in Incurred Losses and Loss Adjustment Expenses**

- A.** Claim reserves and unpaid claim liabilities at December 31, 2020 were \$269,703,871. For the year ended December 31, 2021, \$72,954,405 has been paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$221,745,271 as a result of re-estimation of unpaid claims and claim adjustment expenses principally on disability income, and accidental death and dismemberment coverage. Therefore, there has been a \$24,995,805 unfavorable prior-year development from December 31, 2020 to December 31, 2021. The increase is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims.

## NOTES TO FINANCIAL STATEMENTS

The Company has no unfavorable prior year loss development on retrospectively rated policies included in this decrease. However, the business to which it relates is subject to premium adjustments.

B. Not applicable.

### 26. Intercompany Pooling Arrangements

Not applicable.

### 27. Structured Settlements

Not applicable.

### 28. Health Care Receivables

Not applicable.

### 29. Participating Policies

Not applicable.

### 30. Premium Deficiency Reserves

Not applicable.

### 31. Reserves for Life Contracts and Annuity Contracts

- (1) The Company generally waives deduction of deferred fractional premiums upon death of insured and returns any portion of the premium beyond the date of death. Surrender values are not promised in excess of the legally computed reserves.
- (2) Additional reserves are held on account of anticipated extra mortality for policies subject to extra premiums.
- (3) Not applicable.
- (4) Tabular Interest, Tabular less actual reserves released, and Tabular cost were generally determined by formula as described in the instructions.
- (5) Tabular interest on funds not involving life contingencies shown on Exhibit 7 was determined from the basic data for the calculation of deposit fund liabilities.
- (6) There were no "other increases (net)" on Page 7, Line 7.

### 32. Analysis of Annuity Actuarial Reserves and Deposit-Type Contract Liabilities by Withdrawal Characteristics

#### A. Individual Annuities

		December 31, 2021				
		General Account	Separate Account with Guarantees	Separate Account Nonguaranteed	Total	% of Total
(1)	Subject to discretionary withdrawal:					
a.	With market value adjustment	\$ —	\$ —	\$ —	\$ —	— %
b.	At book value less current surrender charge of 5% or more	—	—	—	—	—
c.	At fair value	—	—	—	—	—
d.	Total with market value adjustment or at fair value (total of a through c)	—	—	—	—	—
e.	At book value without adjustment (minimal or no charge or adjustment)	—	—	—	—	—
(2)	Not subject to discretionary withdrawal	130,657,167	—	—	130,657,167	100.0
(3)	Total (gross: direct + assumed)	130,657,167	—	—	130,657,167	100.0
(4)	Reinsurance ceded	130,657,167	—	—	130,657,167	100.0
(5)	Total (net)* (3) - (4)	<u>\$ —</u>	<u>\$ —</u>	<u>\$ —</u>	<u>\$ —</u>	<u>— %</u>
(6)	Amount included in A(1)b above that will move to A(1)e for the first time within the year after the statement date:	\$ —	\$ —	\$ —	\$ —	—

## NOTES TO FINANCIAL STATEMENTS

### B. Group Annuities

		December 31, 2021				
		General Account	Separate Account with Guarantees	Separate Account Nonguaranteed	Total	% of Total
(1)	Subject to discretionary withdrawal:					
a.	With market value adjustment	\$ —	\$ —	\$ —	\$ —	— %
b.	At book value less current surrender charge of 5% or more	—	—	—	—	—
c.	At fair value	—	—	—	—	—
d.	Total with market value adjustment or at fair value (total of a through c)	—	—	—	—	—
e.	At book value without adjustment (minimal or no charge or adjustment)	—	—	—	—	—
(2)	Not subject to discretionary withdrawal	7,971,791	—	—	7,971,791	100.0
(3)	Total (gross: direct + assumed)	7,971,791	—	—	7,971,791	100.0
(4)	Reinsurance ceded	7,971,791	—	—	7,971,791	100.0
(5)	Total (net) * (3) – (4)	\$ —	\$ —	\$ —	\$ —	— %
(6)	Amount included in B(1)b above that will move to B(1)e for the first time within the year after the statement date:	\$ —	\$ —	\$ —	\$ —	—

### C. Deposit-Type Contracts (no life contingencies)

		December 31, 2021				
		General Account	Separate Account with Guarantees	Separate Account Nonguaranteed	Total	% of Total
(1)	Subject to discretionary withdrawal:					
a.	With market value adjustment	\$ 270,793	\$ —	\$ —	\$ 270,793	1.5 %
b.	At book value less current surrender charge of 5% or more	—	—	—	—	—
c.	At fair value	—	—	—	—	—
d.	Total with market value adjustment or at fair value (total of a through c)	270,793	—	—	270,793	1.5
e.	At book value without adjustment (minimal or no charge or adjustment)	15,412,639	—	—	15,412,639	87.7
(2)	Not subject to discretionary withdrawal	2,154,314	—	—	2,154,314	12.3
(3)	Total (gross: direct + assumed)	17,837,746	—	—	17,837,746	101.5
(4)	Reinsurance ceded	270,793	—	—	270,793	1.5
(5)	Total (net) * (3) – (4)	\$ 17,566,953	\$ —	\$ —	\$ 17,566,953	100.0 %
(6)	Amount included in C(1)b above that will move to C(1)e for the first time within the year after the statement date:	\$ —	\$ —	\$ —	\$ —	—

\* Reconciliation of total annuity actuarial reserves and deposit-type liabilities.

### D. Life & Accident & Health Annual Statement:

		December 31, 2021
(1)	Exhibit 5, Annuities Section, Total (net)	\$ —
(2)	Exhibit 5, Supplementary Contracts with Life Contingencies Section, Total (net)	—
(3)	Exhibit 7, Deposit-Type Contracts Line 14, Column 1	17,566,953
(4)	Subtotal	17,566,953
Separate Accounts Annual Statement:		
(5)	Exhibit 3, Annuities Section, Total (net)	—
(6)	Exhibit 3, Supplementary Contracts with Life Contingencies Section, Total (net)	—
(7)	Policyholder dividend and coupon accumulations	—
(8)	Policyholder premiums	—
(9)	Guaranteed interest contracts	—
(10)	Other contract deposit funds	—
(11)	Subtotal	—
(12)	Combined Total	\$ 17,566,953

## NOTES TO FINANCIAL STATEMENTS

## 33. Analysis of Life Actuarial Reserves by Withdrawal Characteristics

		December 31, 2021		
		Account Value	Cash Value	Reserve
<b>A. General Account</b>				
(1)	Subject to discretionary withdrawal, surrender values, or policy loans:			
a.	Term policies with cash value	\$ —	\$ —	\$ —
b.	Universal life	—	—	—
c.	Universal life with secondary guarantees	—	—	—
d.	Indexed universal life	—	—	—
e.	Indexed universal life with secondary guarantees	—	—	—
f.	Indexed life	—	—	—
g.	Other permanent cash value life insurance	—	167,253	200,592
h.	Variable life	—	—	—
i.	Variable universal life	—	—	—
j.	Miscellaneous reserves	—	—	—
(2)	Not subject to discretionary withdrawal or no cash values:			
a.	Term policies without cash value	—	—	2,283
b.	Accidental death benefits	—	—	28
c.	Disability - active lives	—	—	34
d.	Disability - disabled lives	—	—	18,970,798
e.	Miscellaneous reserves	—	—	5,047
(3)	Total (gross: direct + assumed)	—	167,253	19,178,782
(4)	Reinsurance ceded	—	167,253	368,802
(5)	Total (net) (C) - (D)	\$ —	\$ —	\$ 18,809,980
<b>B. Separate Account with Guarantees</b>				
(1)	Subject to discretionary withdrawal, surrender values, or policy loans:			
a.	Term policies with cash value	\$ —	\$ —	\$ —
b.	Universal life	—	—	—
c.	Universal life with secondary guarantees	—	—	—
d.	Indexed universal life	—	—	—
e.	Indexed universal life with secondary guarantees	—	—	—
f.	Indexed life	—	—	—
g.	Other permanent cash value life insurance	—	—	—
h.	Variable life	—	—	—
i.	Variable universal life	—	—	—
j.	Miscellaneous reserves	—	—	—
(2)	Not subject to discretionary withdrawal or no cash values:			
a.	Term policies without cash value	—	—	—
b.	Accidental death benefits	—	—	—
c.	Disability - active lives	—	—	—
d.	Disability - disabled lives	—	—	—
e.	Miscellaneous reserves	—	—	—
(3)	Total (gross: direct + assumed)	—	—	—
(4)	Reinsurance ceded	—	—	—
(5)	Total (net)	\$ —	\$ —	\$ —
<b>C. Separate Account Nonguaranteed</b>				
(1)	Subject to discretionary withdrawal, surrender values, or policy loans:			
a.	Term policies with cash value	\$ —	\$ —	\$ —
b.	Universal life	—	—	—
c.	Universal life with secondary guarantees	—	—	—
d.	Indexed universal life	—	—	—
e.	Indexed universal life with secondary guarantees	—	—	—
f.	Indexed life	—	—	—
g.	Other permanent cash value life insurance	—	—	—
h.	Variable life	—	—	—
i.	Variable universal life	—	—	—
j.	Miscellaneous reserves	—	—	—
(2)	Not subject to discretionary withdrawal or no cash values:			
a.	Term policies without cash value	—	—	—
b.	Accidental death benefits	—	—	—
c.	Disability - active lives	—	—	—
d.	Disability - disabled lives	—	—	—
e.	Miscellaneous reserves	—	—	—
(3)	Total (gross: direct + assumed)	—	—	—
(4)	Reinsurance ceded	—	—	—
(5)	Total (net) (C) - (D)	\$ —	\$ —	\$ —

## NOTES TO FINANCIAL STATEMENTS

D. Life & Accident & Health Annual Statement:	December 31, 2021
(1) Exhibit 5, Life Insurance Section, Total (net)	\$ —
(2) Exhibit 5, Accidental Death Benefits Section, Total (net)	—
(3) Exhibit 5, Disability-Active Lives Section, Total (net)	—
(4) Exhibit 5, Disability-Disabled Lives Section, Total (net)	18,809,980
(5) Exhibit 5, Miscellaneous Reserves Section, Total (net)	—
(6) Subtotal	18,809,980
Separate Accounts Annual Statement:	
(7) Exhibit 3, Life Insurance Section, Total (net)	—
(8) Exhibit 3, Accident and Health Contracts Section, Total (net)	—
(9) Exhibit 3, Miscellaneous Reserves Section, Total (net)	—
(10) Subtotal (Lines (7) through (9))	—
(11) Combined Total ((6) and (10))	\$ 18,809,980

### 34. Premium and Annuity Considerations Deferred and Uncollected

A. Deferred and uncollected life insurance premiums and annuity considerations at December 31, 2021 were as follows:

Type	Gross	Net of Loading
(1) Ordinary renewals	\$ —	\$ —
(2) Group life	7,881,975	7,881,975
Total	\$ 7,881,975	\$ 7,881,975

### 35. Separate Accounts

Not applicable.

### 36. Loss/Claim Adjustment Expenses

The following is a rollforward of the Company's accident and health liabilities for unpaid claims and claims adjustment expenses for the years ended December 31, 2021 and 2020:

<i>(In whole dollars)</i>	2021	2020
Liability at beginning of year	\$ 17,775,088	\$ 16,154,593
Incurred expenses for insured or covered events, current year	132,038,415	112,060,956
Incurred expenses for insured or covered events, prior years	24,995,805	31,686,336
Total provision	157,034,220	143,747,292
Payments for insured or covered events, current year	(80,975,544)	(69,058,897)
Payments for insured or covered events, prior years	(72,954,405)	(73,067,900)
Total payments	(153,929,949)	(142,126,797)
Liability at end of year	\$ 20,879,359	\$ 17,775,088

The incurred policy claims and loss adjustment expenses attributable to insured or covered events of prior years were unfavorable to reserve levels by \$24,995,805 for the year ended December 31, 2021, primarily attributable to reserve assumption updates made throughout the year, and observed disability resolution rate experience. There was no change to the liability associated with estimated anticipated salvage and subrogation.

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES  
GENERAL**

- 1.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1, 1A, 2 and 3.
- 1.2 If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? ..... Yes [ X ] No [ ] N/A [ ]
- 1.3 State Regulating? ..... New York
- 1.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]
- 1.5 If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2016
- 3.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2016
- 3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 06/12/2018
- 3.4 By what department or departments?  
New York State Department of Financial Services .....
- 3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 3.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  
4.11 sales of new business? ..... Yes [ ] No [ X ]  
4.12 renewals? ..... Yes [ ] No [ X ]
- 4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  
4.21 sales of new business? ..... Yes [ ] No [ X ]  
4.22 renewals? ..... Yes [ ] No [ X ]
- 5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]  
If yes, complete and file the merger history data file with the NAIC.
- 5.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 6.2 If yes, give full information: .....
- 7.1 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? ..... Yes [ ] No [ X ]
- 7.2 If yes,  
7.21 State the percentage of foreign control; ..... %  
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

1 Nationality	2 Type of Entity

**ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
GENERAL INTERROGATORIES**

- 8.1 Is the company a subsidiary of a depository institution holding company (DIHC) or a DIHC itself, regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If the response to 8.1 is yes, please identify the name of the DIHC.  
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
NYLIFE Securities LLC	New York, NY				YES
NYLIFE Distributors LLC	Jersey City, NJ				YES
Eagle Strategies LLC	New York, NY				YES
New York Life Investment Management LLC	New York, NY				YES
MacKay Shields LLC	New York, NY				YES
GoldPoint Partners LLC	New York, NY				YES
NYLIM Service Company LLC	Jersey City, NJ				YES
PA Capital LLC	Richmond, VA				YES
NYL Investors LLC	New York, NY				YES
Madison Capital Funding LLC	Chicago, IL				YES
MKS CLO Advisors, LLC	New York, NY				YES
Cascade CLO Manager, LLC	New York, NY				YES
New York Life Investments Alternatives LLC	New York, NY				YES
Candriam Luxembourg S.C.A.	Strassen, LUX				YES
Candriam France S.A.S.	Paris, FRA				YES
Candriam Belgium S.A.	Brussels, BEL				YES
Ausbil Investment Management Limited	Sydney, AUS				YES

- 8.5 Is the reporting entity a depository institution holding company with significant insurance operations as defined by the Board of Governors of Federal Reserve System or a subsidiary of the reporting entity? ..... Yes [ ] No [ X ]
- 8.6 If response to 8.5 is no, is the reporting entity a company or subsidiary of a company that has otherwise been made subject to the Federal Reserve Board's capital rule? ..... Yes [ ] No [ ] N/A [ X ]
9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?  
Pricewaterhouse Coopers, LLP, 300 Madison Avenue, New York, NY 10017
- 10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? ..... Yes [ ] No [ X ]
- 10.2 If the response to 10.1 is yes, provide information related to this exemption:  
.....
- 10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation? ..... Yes [ ] No [ X ]
- 10.4 If the response to 10.3 is yes, provide information related to this exemption:  
.....
- 10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? ..... Yes [ ] No [ X ] N/A [ ]
- 10.6 If the response to 10.5 is no or n/a, please explain  
Effective December 31, 2020 the reporting entity designated the Audit Committee of New York Life Insurance Company Board of Directors (NYLIC Audit Committee) as the Audit Committee of the reporting entity's Board of Directors solely for the purpose of complying with 11 NYCRR § (New York State Insurance Regulation 118) (the NY Regulation). The NYLIC Audit Committee satisfies the independence requirements of the NY Regulation and New York Life Insurance Company is the 'ultimate controlling person' of the reporting entity as defined in the NY Regulation.
11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?  
Kevin C. McGoldrick, Corporate Vice President & Actuary, New York Life, 1601 Chestnut Street, Philadelphia, PA 19192.
- 12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? ..... Yes [ ] No [ X ]
- 12.11 Name of real estate holding company .....
- 12.12 Number of parcels involved .....
- 12.13 Total book/adjusted carrying value ..... \$ .....
- 12.2 If, yes provide explanation:  
.....
- 13. FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:**
- 13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?  
.....
- 13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? ..... Yes [ ] No [ ]
- 13.3 Have there been any changes made to any of the trust indentures during the year? ..... Yes [ ] No [ ]
- 13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? ..... Yes [ ] No [ ] N/A [ ]
- 14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [ X ] No [ ]
- a. Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- b. Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- c. Compliance with applicable governmental laws, rules and regulations;
- d. The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- e. Accountability for adherence to the code.
- 14.11 If the response to 14.1 is No, please explain:  
.....
- 14.2 Has the code of ethics for senior managers been amended? ..... Yes [ X ] No [ ]
- 14.21 If the response to 14.2 is yes, provide information related to amendment(s).  
Working with Integrity Standards of Business Conduct was revised effective December 2021. It was updated to reflect changes in policies and procedures and points of contact as well as additional features including: 1) links to Company policies and a consolidated policy center on the Company's intranet, 2) new sections on anti-money laundering compliance and engaging in public policy and enhanced sections on FCPA, whistleblowing, gifts and entertainment involving government officials, improperly influencing government officials and 3) improved readability, navigation and easily identifiable points of contact.
- 14.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [ X ]
- 14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).  
.....

**ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
GENERAL INTERROGATORIES**

- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? ..... Yes [ ] No [ X ]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

**BOARD OF DIRECTORS**

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? ..... Yes [ X ] No [ ]
17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? ..... Yes [ X ] No [ ]
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? ..... Yes [ X ] No [ ]

**FINANCIAL**

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? ..... Yes [ ] No [ X ]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):
- |   |          |
|---|----------|
| 20.11 To directors or other officers.....               | \$ ..... |
| 20.12 To stockholders not officers.....                 | \$ ..... |
| 20.13 Trustees, supreme or grand (Fraternal Only) ..... | \$ ..... |
- 20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):
- |   |          |
|---|----------|
| 20.21 To directors or other officers.....               | \$ ..... |
| 20.22 To stockholders not officers.....                 | \$ ..... |
| 20.23 Trustees, supreme or grand (Fraternal Only) ..... | \$ ..... |
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? ..... Yes [ ] No [ X ]
- 21.2 If yes, state the amount thereof at December 31 of the current year:
- |                                 |          |
|---------------------------------|----------|
| 21.21 Rented from others.....   | \$ ..... |
| 21.22 Borrowed from others..... | \$ ..... |
| 21.23 Leased from others .....  | \$ ..... |
| 21.24 Other .....               | \$ ..... |
- 22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? ..... Yes [ ] No [ X ]
- 22.2 If answer is yes:
- |   |
|---|
| 22.21 Amount paid as losses or risk adjustment \$ ..... |
| 22.22 Amount paid as expenses .....                     |
| 22.23 Other amounts paid .....                          |
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [ ] No [ X ]
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ .....
- 24.1 Does the insurer utilize third parties to pay agent commissions in which the amounts advanced by the third parties are not settled in full within 90 days? ..... Yes [ ] No [ X ]
- 24.2 If the response to 24.1 is yes, identify the third-party that pays the agents and whether they are a related party.

Name of Third-Party	Is the Third-Party Agent a Related Party (Yes/No)

**INVESTMENT**

- 25.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 25.03)..... Yes [ X ] No [ ]

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**GENERAL INTERROGATORIES**

- 25.02 If no, give full and complete information relating thereto  
 .....
- 25.03 For securities lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)  
 NA .....
- 25.04 For the reporting entity's securities lending program, report amount of collateral for conforming programs as outlined in the Risk-Based Capital Instructions. .... \$ .....
- 25.05 For the reporting entity's securities lending program, report amount of collateral for other programs. .... \$ .....
- 25.06 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? ..... Yes [ ] No [ ] N/A [ X ]
- 25.07 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? ..... Yes [ ] No [ ] N/A [ X ]
- 25.08 Does the reporting entity or the reporting entity 's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? ..... Yes [ ] No [ ] N/A [ X ]
- 25.09 For the reporting entity's securities lending program state the amount of the following as of December 31 of the current year:
- 25.091 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....
- 25.092 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....
- 25.093 Total payable for securities lending reported on the liability page. .... \$ .....

- 26.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 25.03). .... Yes [ X ] No [ ]
- 26.2 If yes, state the amount thereof at December 31 of the current year:
- 26.21 Subject to repurchase agreements ..... \$ .....
- 26.22 Subject to reverse repurchase agreements ..... \$ .....
- 26.23 Subject to dollar repurchase agreements ..... \$ .....
- 26.24 Subject to reverse dollar repurchase agreements ..... \$ .....
- 26.25 Placed under option agreements ..... \$ .....
- 26.26 Letter stock or securities restricted as to sale - excluding FHLB Capital Stock ..... \$ .....
- 26.27 FHLB Capital Stock ..... \$ .....
- 26.28 On deposit with states ..... \$ .....700,695
- 26.29 On deposit with other regulatory bodies ..... \$ .....
- 26.30 Pledged as collateral - excluding collateral pledged to an FHLB ..... \$ .....
- 26.31 Pledged as collateral to FHLB - including assets backing funding agreements ..... \$ .....
- 26.32 Other ..... \$ .....

26.3 For category (26.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount
.....	.....	.....

- 27.1 Does the reporting entity have any hedging transactions reported on Schedule DB? ..... Yes [ ] No [ X ]
- 27.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ] N/A [ X ]  
 If no, attach a description with this statement.

LINES 27.3 through 27.5: FOR LIFE/FRATERNAL REPORTING ENTITIES ONLY:

- 27.3 Does the reporting entity utilize derivatives to hedge variable annuity guarantees subject to fluctuations as a result of interest rate sensitivity? .. Yes [ ] No [ X ]
- 27.4 If the response to 27.3 is YES, does the reporting entity utilize:
- 27.41 Special accounting provision of SSAP No. 108 ..... Yes [ ] No [ ]
- 27.42 Permitted accounting practice ..... Yes [ ] No [ ]
- 27.43 Other accounting guidance ..... Yes [ ] No [ ]
- 27.5 By responding YES to 27.41 regarding utilizing the special accounting provisions of SSAP No. 108, the reporting entity attests to the following: ..... Yes [ ] No [ ]
- The reporting entity has obtained explicit approval from the domiciliary state.
  - Hedging strategy subject to the special accounting provisions is consistent with the requirements of VM-21.
  - Actuarial certification has been obtained which indicates that the hedging strategy is incorporated within the establishment of VM-21 reserves and provides the impact of the hedging strategy within the Actuarial Guideline Conditional Tail Expectation Amount.
  - Financial Officer Certification has been obtained which indicates that the hedging strategy meets the definition of a Clearly Defined Hedging Strategy within VM-21 and that the Clearly Defined Hedging Strategy is the hedging strategy being used by the company in its actual day-to-day risk mitigation efforts.
- 28.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? ..... Yes [ ] No [ X ]
- 28.2 If yes, state the amount thereof at December 31 of the current year. .... \$ .....
29. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?..... Yes [ X ] No [ ]
- 29.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
JPMorgan Chase .....	270 Park Avenue, New York, NY 10017 .....

**ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY**  
**GENERAL INTERROGATORIES**

29.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

29.03 Have there been any changes, including name changes, in the custodian(s) identified in 29.01 during the current year?..... Yes [  ] No [  ]

29.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

29.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
NYL Investors LLC .....	A.....
Mackay Shields LLC .....	A.....
Goldman Sachs Asset Management LP .....	U.....
J.P. Morgan Investment Management Inc. ....	U.....

29.0597 For those firms/individuals listed in the table for Question 29.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [  ] No [  ]

29.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 29.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [  ] No [  ]

29.06 For those firms or individuals listed in the table for 29.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
169553 .....	NYL Investors LLC .....	5493000EG09W0QURS721 .....	SEC .....	DS.....
107717 .....	Mackay Shields LLC .....	549300Y7LLCOFU7R8H16 .....	SEC .....	DS.....
107738 .....	Goldman Sachs Asset Management LP .....	CF5M58QA35CFPUX70H17 .....	SEC .....	NO.....
107038 .....	J.P. Morgan Investment Management Inc. ....	549300W78QHV4XMM6K69 .....	SEC .....	NO.....

30.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])?..... Yes [  ] No [  ]

30.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
30.2999 - Total		

30.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation

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**GENERAL INTERROGATORIES**

31. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1	2	3
	Statement (Admitted) Value	Fair Value	Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
31.1 Bonds .....	436,963,986	454,889,614	17,925,628
31.2 Preferred stocks .....			
31.3 Totals	436,963,986	454,889,614	17,925,628

31.4 Describe the sources or methods utilized in determining the fair values:

See Note 20 .....

32.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? ..... Yes [ ] No [ X ]

32.2 If the answer to 32.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? ..... Yes [ ] No [ ]

32.3 If the answer to 32.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D: .....

33.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]

33.2 If no, list exceptions: .....

34. By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI security:  
a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.  
b. Issuer or obligor is current on all contracted interest and principal payments.  
c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.  
Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

35. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:  
a. The security was purchased prior to January 1, 2018.  
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.  
d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.  
Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

36. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:  
a. The shares were purchased prior to January 1, 2019.  
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.  
d. The fund only or predominantly holds bonds in its portfolio.  
e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.  
f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.  
Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

37. By rolling/renewing short-term or cash equivalent investments with continued reporting on Schedule DA, Part 1 or Schedule E Part 2 (identified through a code (%) in those investment schedules), the reporting entity is certifying to the following:  
a. The investment is a liquid asset that can be terminated by the reporting entity on the current maturity date.  
b. If the investment is with a nonrelated party or nonaffiliate, then it reflects an arms-length transaction with renewal completed at the discretion of all involved parties.  
c. If the investment is with a related party or affiliate, then the reporting entity has completed robust re-underwriting of the transaction for which documentation is available for regulator review.  
d. Short-term and cash equivalent investments that have been renewed/rolled from the prior period that do not meet the criteria in 37.a - 37.c are reported as long-term investments.  
Has the reporting entity rolled/renewed short-term or cash equivalent investments in accordance with these criteria? ..... Yes [ X ] No [ ] N/A [ ]

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**GENERAL INTERROGATORIES**

**OTHER**

38.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any? .....\$ .....

38.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid

39.1 Amount of payments for legal expenses, if any? .....\$ .....

39.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid

40.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any? .....\$ .....

40.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**GENERAL INTERROGATORIES**

**PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES**

**Life, Accident and Health Companies/Fraternal Benefit Societies:**

- 1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? ..... Yes [ ] No [ X ]
- 1.2 If yes, indicate premium earned on U.S. business only ..... \$ .....
- 1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? ..... \$ .....
- 1.31 Reason for excluding:  
 .....
- 1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above. .... \$ .....
- 1.5 Indicate total incurred claims on all Medicare Supplement insurance. .... \$ .....
- 1.6 Individual policies:
- Most current three years:
- 1.61 Total premium earned ..... \$ .....
- 1.62 Total incurred claims ..... \$ .....
- 1.63 Number of covered lives .....
- All years prior to most current three years
- 1.64 Total premium earned ..... \$ .....
- 1.65 Total incurred claims ..... \$ .....
- 1.66 Number of covered lives .....
- 1.7 Group policies:
- Most current three years:
- 1.71 Total premium earned ..... \$ .....
- 1.72 Total incurred claims ..... \$ .....
- 1.73 Number of covered lives .....
- All years prior to most current three years
- 1.74 Total premium earned ..... \$ .....
- 1.75 Total incurred claims ..... \$ .....
- 1.76 Number of covered lives .....

2. Health Test:

	1 Current Year	2 Prior Year
2.1 Premium Numerator .....	201,789,842	.....
2.2 Premium Denominator .....	257,307,726	233,338,872
2.3 Premium Ratio (2.1/2.2) .....	0.784	0.000
2.4 Reserve Numerator .....	21,324,258	18,506,475
2.5 Reserve Denominator .....	325,421,096	306,652,517
2.6 Reserve Ratio (2.4/2.5) .....	0.066	0.060

- 3.1 Does this reporting entity have Separate Accounts? ..... Yes [ ] No [ X ]
- 3.2 If yes, has a Separate Accounts Statement been filed with this Department? ..... Yes [ ] No [ ] N/A [ ]
- 3.3 What portion of capital and surplus funds of the reporting entity covered by assets in the Separate Accounts statement, is not currently distributable from the Separate Accounts to the general account for use by the general account? ..... \$ .....
- 3.4 State the authority under which Separate Accounts are maintained:  
 .....
- 3.5 Was any of the reporting entity's Separate Accounts business reinsured as of December 31? ..... Yes [ ] No [ X ]
- 3.6 Has the reporting entity assumed by reinsurance any Separate Accounts business as of December 31? ..... Yes [ ] No [ X ]
- 3.7 If the reporting entity has assumed Separate Accounts business, how much, if any, reinsurance assumed receivable for reinsurance of Separate Accounts reserve expense allowances is included as a negative amount in the liability for "Transfers to Separate Accounts due or accrued (net)"? ..... \$ .....
4. For reporting entities having sold annuities to another insurer where the insurer purchasing the annuities has obtained a release of liability from the claimant (payee) as the result of the purchase of an annuity from the reporting entity only:
- 4.1 Amount of loss reserves established by these annuities during the current year: ..... \$ .....
- 4.2 List the name and location of the insurance company purchasing the annuities and the statement value on the purchase date of the annuities.

1	2 Statement Value on Purchase Date of Annuities (i.e., Present Value)
P&C Insurance Company And Location	

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**GENERAL INTERROGATORIES**

**PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES**

- 5.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]
- 5.2 If yes, please provide the amount of custodial funds held as of the reporting date. .... \$ .....
- 5.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]
- 5.4 If yes, please provide the balance of funds administered as of the reporting date. .... \$ .....
- 6.1 Are any of the captive affiliates reported on Schedule S, Part 3, authorized reinsurers? ..... Yes [ ] No [ X ] N/A [ ]
- 6.2 If the answer to 6.1 is yes, please provide the following:

1 Company Name	2 NAIC Company Code	3 Domiciliary Jurisdiction	4 Reserve Credit	Assets Supporting Reserve Credit		
				5 Letters of Credit	6 Trust Agreements	7 Other

7. Provide the following for individual ordinary life insurance\* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded):
- 7.1 Direct Premium Written ..... \$ .....
- 7.2 Total Incurred Claims ..... \$ ..... 848
- 7.3 Number of Covered Lives .....

*Ordinary Life Insurance Includes
Term (whether full underwriting, limited underwriting, jet issue, "short form app")
Whole Life (whether full underwriting, limited underwriting, jet issue, "short form app")
Variable Life (with or without secondary gurarantee)
Universal Life (with or without secondary gurarantee)
Variable Universal Life (with or without secondary gurarantee)

8. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]
- 8.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

**Life, Accident and Health Companies Only:**

- 9.1 Are personnel or facilities of this reporting entity used by another entity or entities or are personnel or facilities of another entity or entities used by this reporting entity (except for activities such as administration of jointly underwritten group contracts and joint mortality or morbidity studies)? ..... Yes [ ] No [ X ]
- 9.2 Net reimbursement of such expenses between reporting entities:
- 9.21 Paid ..... \$ .....
- 9.22 Received ..... \$ .....
- 10.1 Does the reporting entity write any guaranteed interest contracts? ..... Yes [ ] No [ X ]
- 10.2 If yes, what amount pertaining to these lines is included in:
- 10.21 Page 3, Line 1 ..... \$ .....
- 10.22 Page 4, Line 1 ..... \$ .....
11. For stock reporting entities only:
- 11.1 Total amount paid in by stockholders as surplus funds since organization of the reporting entity: ..... \$ ..... 5,250,165
12. Total dividends paid stockholders since organization of the reporting entity:
- 12.11 Cash ..... \$ ..... 370,017,723
- 12.12 Stock ..... \$ .....
- 13.1 Does the reporting entity reinsure any Workers' Compensation Carve-Out business defined as: ..... Yes [ ] No [ X ]  
 Reinsurance (including retrocessional reinsurance) assumed by life and health insurers of medical, wage loss and death benefits of the occupational illness and accident exposures, but not the employers liability exposures, of business originally written as workers' compensation insurance.
- 13.2 If yes, has the reporting entity completed the Workers' Compensation Carve-Out Supplement to the Annual Statement? ..... Yes [ ] No [ ]
- 13.3 If 13.1 is yes, the amounts of earned premiums and claims incurred in this statement are:

	1 Reinsurance Assumed	2 Reinsurance Ceded	3 Net Retained
13.31 Earned premium .....			
13.32 Paid claims .....			
13.33 Claim liability and reserve (beginning of year) .....			
13.34 Claim liability and reserve (end of year) .....			
13.35 Incurred claims .....			

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**GENERAL INTERROGATORIES**

**PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES**

13.4 If reinsurance assumed included amounts with attachment points below \$1,000,000, the distribution of the amounts reported in Lines 13.31 and 13.34 for Column (1) are:

	Attachment Point	1 Earned Premium	2 Claim Liability and Reserve
13.41	<\$25,000	.....	.....
13.42	\$25,000 - 99,999	.....	.....
13.43	\$100,000 - 249,999	.....	.....
13.44	\$250,000 - 999,999	.....	.....
13.45	\$1,000,000 or more	.....	.....

13.5 What portion of earned premium reported in 13.31, Column 1 was assumed from pools? ..... \$ .....

**Fraternal Benefit Societies Only:**

- 14. Is the reporting entity organized and conducted on the lodge system, with ritualistic form of work and representative form of government? ..... Yes [ ] No [ ]
- 15. How often are meetings of the subordinate branches required to be held?  
.....
- 16. How are the subordinate branches represented in the supreme or governing body?  
.....
- 17. What is the basis of representation in the governing body?  
.....
- 18.1 How often are regular meetings of the governing body held?  
.....
- 18.2 When was the last regular meeting of the governing body held? .....
- 18.3 When and where will the next regular or special meeting of the governing body be held? .....
- 18.4 How many members of the governing body attended the last regular meeting? .....
- 18.5 How many of the same were delegates of the subordinate branches? .....
- 19. How are the expenses of the governing body defrayed?  
.....
- 20. When and by whom are the officers and directors elected?  
.....
- 21. What are the qualifications for membership?  
.....
- 22. What are the limiting ages for admission?  
.....
- 23. What is the minimum and maximum insurance that may be issued on any one life?  
.....
- 24. Is a medical examination required before issuing a benefit certificate to applicants? ..... Yes [ ] No [ ]
- 25. Are applicants admitted to membership without filing an application with and becoming a member of a local branch by ballot and initiation? ..... Yes [ ] No [ ]
- 26.1 Are notices of the payments required sent to the members? ..... Yes [ ] No [ ] N/A [ ]
- 26.2 If yes, do the notices state the purpose for which the money is to be used? ..... Yes [ ] No [ ]
- 27. What proportion of first and subsequent year's payments may be used for management expenses?  
 27.11 First Year ..... %  
 27.12 Subsequent Years ..... %
- 28.1 Is any part of the mortuary, disability, emergency or reserve fund, or the accretions from or payments for the same, used for expenses? ..... Yes [ ] No [ ]
- 28.2 If so, what amount and for what purpose? ..... \$ .....
- 29.1 Does the reporting entity pay an old age disability benefit? ..... Yes [ ] No [ ]
- 29.2 If yes, at what age does the benefit commence? .....
- 30.1 Has the constitution or have the laws of the reporting entity been amended during the year? ..... Yes [ ] No [ ]
- 30.2 If yes, when?  
.....
- 31. Have you filed with this Department all forms of benefit certificates issued, a copy of the constitution and all of the laws, rules and regulations in force at the present time? ..... Yes [ ] No [ ]
- 32.1 State whether all or a portion of the regular insurance contributions were waived during the current year under premium-paying certificates on account of meeting attained age or membership requirements? ..... Yes [ ] No [ ]
- 32.2 If so, was an additional reserve included in Exhibit 5? ..... Yes [ ] No [ ] N/A [ ]
- 32.3 If yes, explain  
.....
- 33.1 Has the reporting entity reinsured, amalgamated with, or absorbed any company, order, society, or association during the year? ..... Yes [ ] No [ ]
- 33.2 If yes, was there any contract agreement, or understanding, written or oral, expressed or implied, by means of which any officer, director, trustee, or any other person, or firm, corporation, society or association, received or is to receive any fee, commission, emolument, or compensation of any nature whatsoever in connection with, on an account of such reinsurance, amalgamation, absorption, or transfer of membership or funds? ..... Yes [ ] No [ ] N/A [ ]
- 34. Has any present or former officer, director, trustee, incorporator, or any other persons, or any firm, corporation, society or association, any claims of any nature whatsoever against this reporting entity, which is not included in the liabilities on Page 3 of this statement? ..... Yes [ ] No [ ]
- 35.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? ..... Yes [ ] No [ ]
- 35.2 If yes, what is the date of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....	.....

**FIVE-YEAR HISTORICAL DATA**

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.  
\$000 omitted for amounts of life insurance

	1 2021	2 2020	3 2019	4 2018	5 2017
<b>Life Insurance in Force</b> (Exhibit of Life Insurance)					
1. Ordinary - whole life and endowment (Line 34, Col. 4) .....	331	331	331	332	348
2. Ordinary - term (Line 21, Col. 4, less Line 34, Col. 4) .....					
3. Credit life (Line 21, Col. 6) .....					
4. Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4) .....	27,427,954	35,138,250	33,528,800	32,640,188	31,049,200
5. Industrial (Line 21, Col. 2) .....					
6. FEGLI/SGLI (Lines 43 & 44, Col. 4) .....					
7. Total (Line 21, Col. 10) .....	27,428,285	35,138,581	33,529,131	32,640,520	31,049,548
7.1 Total in force for which VM-20 deterministic/stochastic reserves are calculated .....					
<b>New Business Issued</b> (Exhibit of Life Insurance)					
8. Ordinary - whole life and endowment (Line 34, Col. 2) .....			10		
9. Ordinary - term (Line 2, Col. 4, less Line 34, Col. 2) .....					
10. Credit life (Line 2, Col. 6) .....					
11. Group (Line 2, Col. 9) .....	3,339,834	2,685,970	3,035,153	2,213,405	5,745,606
12. Industrial (Line 2, Col. 2) .....					
13. Total (Line 2, Col. 10) .....	3,339,834	2,685,970	3,035,163	2,213,405	5,745,606
<b>Premium Income - Lines of Business</b> (Exhibit 1 - Part 1)					
14. Industrial life (Line 20.4, Col. 2) .....					
15.1 Ordinary-life insurance (Line 20.4, Col. 3) .....					
15.2 Ordinary-individual annuities (Line 20.4, Col. 4) .....					
16. Credit life (group and individual) (Line 20.4, Col. 5) .....					
17.1 Group life insurance (Line 20.4, Col. 6) .....	55,517,884	60,344,603	61,695,611	57,082,589	57,220,237
17.2 Group annuities (Line 20.4, Col. 7) .....					
18.1 A & H-group (Line 20.4, Col. 8) .....	201,558,290	172,721,603	155,108,632	144,634,569	121,153,551
18.2 A & H-credit (group and individual) (Line 20.4, Col. 9) .....					
18.3 A & H-other (Line 20.4, Col. 10) .....	231,552	272,666	287,844	305,968	321,526
19. Aggregate of all other lines of business (Line 20.4, Col. 11) .....					
20. Total .....	257,307,726	233,338,872	217,092,087	202,023,126	178,695,314
<b>Balance Sheet (Pages 2 &amp; 3)</b>					
21. Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3) .....	503,420,646	461,540,016	424,415,533	408,697,562	403,710,539
22. Total liabilities excluding Separate Accounts business (Page 3, Line 26) .....	391,548,251	362,799,563	319,535,766	299,912,699	294,723,476
23. Aggregate life reserves (Page 3, Line 1) .....	18,809,980	18,972,985	17,727,222	17,379,753	14,999,062
23.1 Excess VM-20 deterministic/stochastic reserve over NPR related to Line 7.1 .....					
24. Aggregate A & H reserves (Page 3, Line 2) .....	268,253,506	252,660,172	230,179,903	219,657,169	224,725,823
25. Deposit-type contract funds (Page 3, Line 3) .....	17,566,956	18,589,163	14,960,653	14,478,475	17,130,614
26. Asset valuation reserve (Page 3, Line 24.01) .....	4,080,059	3,332,443	3,462,407	4,463,571	4,428,968
27. Capital (Page 3, Lines 29 and 30) .....	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000
28. Surplus (Page 3, Line 37) .....	110,772,395	97,640,453	103,779,767	107,684,863	107,887,063
<b>Cash Flow (Page 5)</b>					
29. Net Cash from Operations (Line 11) .....	39,021,968	11,282,325	26,219,640	14,802,214	25,966,308
<b>Risk-Based Capital Analysis</b>					
30. Total adjusted capital .....	115,952,454	102,072,896	108,342,174	113,248,434	113,416,031
31. Authorized control level risk - based capital .....	22,645,145	23,979,105	22,729,976	22,287,631	19,955,284
<b>Percentage Distribution of Cash, Cash Equivalents and Invested Assets</b> (Page 2, Col. 3) (Line No. /Page 2, Line 12, Col. 3) x 100.0					
32. Bonds (Line 1) .....	95.1	97.3	88.1	97.7	97.7
33. Stocks (Lines 2.1 and 2.2) .....					
34. Mortgage loans on real estate(Lines 3.1 and 3.2 ) .....	4.5				
35. Real estate (Lines 4.1, 4.2 and 4.3) .....					
36. Cash, cash equivalents and short-term investments (Line 5) .....	0.3	2.7	11.9	2.2	2.2
37. Contract loans (Line 6) .....					
38. Derivatives (Page 2, Line 7) .....					
39. Other invested assets (Line 8) .....					
40. Receivables for securities (Line 9) .....	0.0		0.0	0.0	0.0
41. Securities lending reinvested collateral assets (Line 10) .....					
42. Aggregate write-ins for invested assets (Line 11) .....					
43. Cash, cash equivalents and invested assets (Line 12) .....	100.0	100.0	100.0	100.0	100.0

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**FIVE-YEAR HISTORICAL DATA**

(Continued)

	1 2021	2 2020	3 2019	4 2018	5 2017
<b>Investments in Parent, Subsidiaries and Affiliates</b>					
44. Affiliated bonds (Schedule D Summary, Line 12, Col. 1) .....					
45. Affiliated preferred stocks (Schedule D Summary, Line 18, Col. 1) .....					
46. Affiliated common stocks (Schedule D Summary Line 24, Col. 1), .....					
47. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10) .....					
48. Affiliated mortgage loans on real estate .....					
49. All other affiliated .....					
50. Total of above Lines 44 to 49 .....					
51. Total Investment in Parent included in Lines 44 to 49 above .....					
<b>Total Nonadmitted and Admitted Assets</b>					
52. Total nonadmitted assets (Page 2, Line 28, Col. 2) .....	36,001,596	36,761,601	10,540,497	7,688,736	1,589,987
53. Total admitted assets (Page 2, Line 28, Col. 3) .....	503,420,646	461,540,016	424,415,533	408,697,562	403,710,539
<b>Investment Data</b>					
54. Net investment income (Exhibit of Net Investment Income) .....	15,722,371	14,882,174	17,015,497	17,261,410	17,397,222
55. Realized capital gains (losses) (Page 4, Line 34, Column 1) .....	432,418	(522,498)	760,634	(101,202)	(63,081)
56. Unrealized capital gains (losses) (Page 4, Line 38, Column 1) .....					
57. Total of above Lines 54, 55 and 56 .....	16,154,789	14,359,676	17,776,131	17,160,208	17,334,141
<b>Benefits and Reserve Increases (Page 6)</b>					
58. Total contract/certificate benefits - life (Lines 10, 11, 12, 13, 14 and 15, Col. 1 minus Lines 10, 11, 12, 13, 14 and 15, Cols. 6, 7 and 8) .....	51,884,028	57,807,471	43,853,605	39,865,278	41,657,993
59. Total contract/certificate benefits - A & H (Lines 13 & 14, Col. 6) .....	156,938,761	143,747,292	124,666,355	110,855,474	82,096,919
60. Increase in life reserves - other than group and annuities (Line 19, Col. 2) .....	2,854	(9,005)	(2,486)	(4,475)	
61. Increase in A & H reserves (Line 19, Col. 6) .....	12,484,380	22,480,266	10,522,734	1,282,100	4,703,984
62. Dividends to policyholders and refunds to members (Line 30, Col. 1) .....					
<b>Operating Percentages</b>					
63. Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23, less Line 6)/(Page 6, Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.0 .....	12.5	13.3	15.1	16.2	16.6
64. Lapse percent (ordinary only) [(Exhibit of Life Insurance, Col. 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Col. 4, Lines 1 & 21)] x 100.0 .....					
65. A & H loss percent (Schedule H, Part 1, Lines 5 and 6, Col. 2) .....	88.3	98.8	91.1	77.7	76.2
66. A & H cost containment percent (Schedule H, Pt. 1, Line 4, Col. 2) .....	2.7	2.7	4.2	4.6	4.7
67. A & H expense percent excluding cost containment expenses (Schedule H, Pt. 1, Line 10, Col. 2) .....	10.3	13.7	13.6	12.9	14.3
<b>A &amp; H Claim Reserve Adequacy</b>					
68. Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1 Col. 2) .....	294,505,286	276,839,763	245,690,251	223,672,931	234,143,761
69. Prior years' claim liability and reserve - group health (Schedule H, Part 3, Line 3.2 Col. 2) .....	269,462,776	245,109,185	232,246,455	232,701,240	228,101,460
70. Incurred losses on prior years' claims-health other than group (Schedule H, Part 3, Line 3.1 Col. 1 less Col. 2) .....	194,389	207,891	216,865	243,471	278,779
71. Prior years' claim liability and reserve-health other than group (Schedule H, Part 3, Line 3.2 Col. 1 less Col. 2) .....	241,095	252,133	269,955	309,203	331,207
<b>Net Gains From Operations After Dividends to Policyholders/Members' Refunds and Federal Income Taxes by Lines of Business (Page 6.x, Line 33)</b>					
72. Industrial life (Page 6.1, Col. 2) .....					
73. Ordinary - life (Page 6.1, Col. 1 less Cols. 2, 10 and 12) .....	(536)	9,369	1,770	3,238	
74. Ordinary - individual annuities (Page 6, Col. 4) .....					
75. Ordinary-supplementary contracts .....	XXX	XXX	XXX		
76. Credit life (Page 6.1, Col. 10 plus Page 6.2, Col. 7) .....					
77. Group life (Page 6.2, Col. 1 Less Cols. 7 and 9) .....	(6,530,900)	(6,138,009)	6,670,849	2,058,774	5,298,168
78. Group annuities (Page 6, Col. 5) .....					
79. A & H-group (Page 6.5, Col. 3) .....				15,692,348	17,569,638
80. A & H-credit (Page 6.5, Col. 10) .....					
81. A & H-other (Page 6.5, Col. 1 less Cols. 3 and 10) .....	23,164,830	(734,803)	7,672,158	196,485	137,706
82. Aggregate of all other lines of business (Page 6, Col. 8) .....					
83. Fraternal (Page 6, Col. 7) .....					
84. Total (Page 6, Col. 1) .....	16,633,394	(6,863,442)	14,344,777	17,950,845	23,005,512

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [ ] No [ ]  
 If no, please explain: .....



**ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY**

DIRECT BUSINESS IN THE STATE OF Grand Total  
 NAIC Group Code 0826

DURING THE YEAR 2021  
 NAIC Company Code 64548

**LIFE INSURANCE**

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1	2	3	4	5
	Ordinary	Credit Life (Group and Individual)	Group	Industrial	Total
1. Life insurance	3,947		59,368,421		59,372,368
2. Annuity considerations					
3. Deposit-type contract funds		XXX		XXX	
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)	3,947		59,368,421		59,372,368
<b>DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS</b>					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
<b>DIRECT CLAIMS AND BENEFITS PAID</b>					
9. Death benefits	848		50,721,701		50,722,549
10. Matured endowments					
11. Annuity benefits	8,231,733				8,231,733
12. Surrender values and withdrawals for life contracts					
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health					
15. Totals	8,232,581		50,721,701		58,954,282
<b>DETAILS OF WRITE-INS</b>					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1	2	3	4	5	6	7	8	9	10
	No. of Pol. & Certifs.	Amount	No. of Ind. Pol. & Gr. Certifs.	Amount	No. of Certifs.	Amount	No. of Pol. & Certifs.	Amount	No. of Pol. & Certifs.	Amount
16. Unpaid December 31, prior year					206	18,029,337			206	18,029,337
17. Incurred during current year					1,369	50,206,253			1,369	50,206,253
Settled during current year:										
18.1 By payment in full					1,346	50,721,700			1,346	50,721,700
18.2 By payment on compromised claims										
18.3 Totals paid					1,346	50,721,700			1,346	50,721,700
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements					1,346	50,721,700			1,346	50,721,700
19. Unpaid Dec. 31, current year (16+17-18.6)					229	17,513,890			229	17,513,890
<b>POLICY EXHIBIT</b>						No. of Policies				
20. In force December 31, prior year	26	330,926	(a)		475	35,138,249,875			501	35,138,580,801
21. Issued during year					71	3,339,833,751			71	3,339,833,751
22. Other changes to in force (Net)					(83)	(11,050,130,013)			(83)	(11,050,130,013)
23. In force December 31 of current year	26	330,926	(a)		463	27,427,953,613			489	27,428,284,539

(a) Includes Individual Credit Life Insurance prior year \$ \_\_\_\_\_, current year \$ \_\_\_\_\_  
 Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year \$ \_\_\_\_\_, current year \$ \_\_\_\_\_  
 Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year \$ \_\_\_\_\_, current year \$ \_\_\_\_\_

**ACCIDENT AND HEALTH INSURANCE**

	1	2	3	4	5
	Direct Premiums	Direct Premiums Earned	Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	Direct Losses Paid	Direct Losses Incurred
24. Group Policies (b)	204,245,006	204,245,006		157,580,179	176,645,263
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees					
Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					(533)
25.5 All other (b)	231,552	231,552		31,923	3,624
25.6 Totals (sum of Lines 25.1 to 25.5)	231,552	231,552		31,923	3,091
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)	204,476,558	204,476,558		157,612,102	176,648,354

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**EXHIBIT OF LIFE INSURANCE**

(\$000 Omitted for Amounts of Life Insurance)

	Industrial		Ordinary		Credit Life (Group and Individual)		Group			10 Total Amount of Insurance
	1	2	3	4	5	6	Number of		9	
	Number of Policies	Amount of Insurance	Number of Policies	Amount of Insurance	Number of Individual Policies and Group Certificates	Amount of Insurance	Policies	Certificates	Amount of Insurance	
1. In force end of prior year .....			26	331			475	266,594	35,138,250	35,138,581
2. Issued during year .....							71	26,093	3,339,834	3,339,834
3. Reinsurance assumed .....										
4. Revived during year .....										
5. Increased during year (net) .....										
6. Subtotals, Lines 2 to 5 .....							71	26,093	3,339,834	3,339,834
7. Additions by dividends during year .....	XXX		XXX		XXX		XXX	XXX		
8. Aggregate write-ins for increases .....										
9. Totals (Lines 1 and 6 to 8) .....			26	331			546	292,687	38,478,084	38,478,415
Deductions during year:										
10. Death .....							XXX	680	41,920	41,920
11. Maturity .....							XXX			
12. Disability .....							XXX			
13. Expiry .....										
14. Surrender .....										
15. Lapse .....							83	42,772	7,442,268	7,442,268
16. Conversion .....							XXX	XXX	XXX	
17. Decreased (net) .....								7,316	3,565,942	3,565,942
18. Reinsurance .....										
19. Aggregate write-ins for decreases .....										
20. Totals (Lines 10 to 19) .....							83	50,768	11,050,130	11,050,130
21. In force end of year (b) (Line 9 minus Line 20) .....			26	331			463	241,919	27,427,954	27,428,285
22. Reinsurance ceded end of year .....	XXX		XXX	331	XXX		XXX	XXX	619,872	620,203
23. Line 21 minus Line 22 .....	XXX		XXX		XXX	(a)	XXX	XXX	26,808,082	26,808,082
<b>DETAILS OF WRITE-INS</b>										
0801. ....										
0802. ....										
0803. ....										
0898. Summary of remaining write-ins for Line 8 from overflow page .....										
0899. TOTALS (Lines 0801 thru 0803 plus 0898) (Line 8 above) .....										
1901. ....										
1902. ....										
1903. ....										
1998. Summary of remaining write-ins for Line 19 from overflow page .....										
1999. TOTALS (Lines 1901 thru 1903 plus 1998) (Line 19 above) .....										

Life, Accident and Health Companies Only:

(a) Group \$ ..... ; Individual \$ .....

Fraternal Benefit Societies Only:

(b) Paid-up insurance included in the final totals of Line 21 (including additions to certificates) number of certificates ....., Amount \$ .....

Additional accidental death benefits included in life certificates were in amount \$ ....., Does the society collect any contributions from members for general expenses of the society under fully paid-up certificates? Yes [ ] No [ ]

If not, how are such expenses met?

.....

**EXHIBIT OF LIFE INSURANCE**

(\$000 Omitted for Amounts of Life Insurance) (Continued)  
**ADDITIONAL INFORMATION ON INSURANCE IN FORCE END OF YEAR**

	Industrial		Ordinary	
	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance
24. Additions by dividends .....	XXX		XXX	
25. Other paid-up insurance .....	XXX			
26. Debit ordinary insurance .....	XXX			

**NONE**

**ADDITIONAL INFORMATION ON ORDINARY INSURANCE**

Term Insurance Excluding Extended Term Insurance	Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance
27. Term policies - decreasing .....				
28. Term policies - other .....				
29. Other term insurance - decreasing .....	XXX		XXX	
30. Other term insurance .....	XXX		XXX	
31. Totals (Lines 27 to 30) .....				
Reconciliation to Lines 2 and 21:				
32. Term additions .....	XXX		XXX	
33. Totals, extended term insurance .....	XXX	XXX		
34. Totals, whole life and endowment .....			26	331
35. Totals (Lines 31 to 34) .....			26	331

**CLASSIFICATION OF AMOUNT OF INSURANCE BY PARTICIPATING STATUS**

	Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	1 Non-Participating	2 Participating	3 Non-Participating	4 Participating
36. Industrial .....				
37. Ordinary .....				331
38. Credit Life (Group and Individual) .....				
39. Group .....	3,339,834		27,427,954	
40. Totals (Lines 36 to 39) .....	3,339,834		27,428,285	

**ADDITIONAL INFORMATION ON CREDIT LIFE AND GROUP INSURANCE**

	Credit Life		Group	
	1 Number of Individual Policies and Group Certificates	2 Amount of Insurance	3 Number of Certificates	4 Amount of Insurance
41. Amount of insurance included in Line 2 ceded to other companies .....	XXX		XXX	
42. Number in force end of year if the number under ceded groups is limited on a pro-rata basis .....				XXX
43. Federal Employees' Group Life Insurance included in Line 21 .....				
44. Servicemen's Group Life Insurance included in Line 21 .....				
45. Group Permanent Insurance included in Line 21 .....				

**NONE**

**ADDITIONAL ACCIDENTAL DEATH BENEFITS**

46. Amount of additional accidental death benefits in force end of year under ordinary policies .....	
---	--

**NONE**

**BASIS OF CALCULATION ON ORDINARY TERM INSURANCE**

47. State basis of calculation of (47.1) decreasing term insurance contracts included in Family Income Mortgage Protection, etc., policies and riders and of (47.2) term insurance on wife and children under Family, Parent and Children, etc., policies and riders included in above .....	
47.1 .....	
47.2 .....	

**NONE**

**POLICIES WITH DISABILITY PROVISIONS**

Disability Provisions	Industrial		Ordinary		Credit		Group	
	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance	5 Number of Policies	6 Amount of Insurance	7 Number of Certificates	8 Amount of Insurance
48. Waiver of Premium .....								
49. Disability Income .....								
50. Extended Benefits .....			XXX	XXX				
51. Other .....								
52. Total .....	(a)		(a)		(a)		(a)	

**NONE**

(a) See the Annual Audited Financial Reports section of the annual statement instructions

**ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME  
PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY  
CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES**

**SUPPLEMENTARY CONTRACTS**

	Ordinary		Group	
	1 Involving Life Contingencies	2 Not Involving Life Contingencies	3 Involving Life Contingencies	4 Not Involving Life Contingencies
1. In force end of prior year .....				
2. Issued during year .....				
3. Reinsurance assumed .....				
4. Increased during year (net) .....				
5. Total (Lines 1 to 4) .....				
Deductions during year:				
6. Decreased (net) .....				
7. Reinsurance ceded .....				
8. Totals (Lines 6 and 7) .....				
9. In force end of year (line 5 minus line 8) .....				
10. Amount on deposit .....		(a)		(a)
11. Income now payable .....				
12. Amount of income payable .....	(a)	(a)	(a)	(a)

**NONE**

**ANNUITIES**

	Ordinary		Group	
	1 Immediate	2 Deferred	3 Contracts	4 Certificates
1. In force end of prior year .....	178			
2. Issued during year .....				
3. Reinsurance assumed .....				
4. Increased during year (net) .....				
5. Totals (Lines 1 to 4) .....	178			
Deductions during year:				
6. Decreased (net) .....	5			
7. Reinsurance ceded .....				
8. Totals (Lines 6 and 7) .....	5			
9. In force end of year (line 5 minus line 8) .....	173			
Income now payable:				
10. Amount of income payable .....	(a) 10,113,420	XXX	XXX	(a)
Deferred fully paid:				
11. Account balance .....	XXX	(a)	XXX	(a)
Deferred not fully paid:				
12. Account balance .....	XXX	(a)	XXX	(a)

**ACCIDENT AND HEALTH INSURANCE**

	Group		Credit		Other	
	1 Certificates	2 Premiums in Force	3 Policies	4 Premiums in Force	5 Policies	6 Premiums in Force
1. In force end of prior year .....	2,972	159,268,969			377	333,317
2. Issued during year .....	399	4,021,489			32	28,481
3. Reinsurance assumed .....						
4. Increased during year (net) .....		XXX		XXX		XXX
5. Totals (Lines 1 to 4) .....	3,371	XXX		XXX	409	XXX
Deductions during year:						
6. Conversions .....		XXX	XXX	XXX	XXX	XXX
7. Decreased (net) .....	430	XXX		XXX	102	XXX
8. Reinsurance ceded .....	27	XXX		XXX		XXX
9. Totals (Lines 6 to 8) .....	457	XXX		XXX	102	XXX
10. In force end of year (line 5 minus line 9) .....	2,914	(a) 192,356,206		(a)	307	(a) 302,210

**DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS**

	1	2
	Deposit Funds Contracts	Dividend Accumulations Contracts
1. In force end of prior year .....		
2. Issued during year .....		
3. Reinsurance assumed .....		
4. Increased during year (net) .....		
5. Totals (Lines 1 to 4) .....		
Deductions During Year:		
6. Decreased (net) .....		
7. Reinsurance ceded .....		
8. Totals (Lines 6 and 7) .....		
9. In force end of year (line 5 minus line 8) .....		
10. Amount of account balance .....	(a)	(a)

**NONE**

(a) See the Annual Audited Financial Reports section of the annual statement instructions.

**ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE**

**INTEREST MAINTENANCE RESERVE**

	1 Amount
1. Reserve as of December 31, Prior Year .....	2,001,283
2. Current year's realized pre-tax capital gains/(losses) of \$ .....2,059,133 transferred into the reserve net of taxes of \$ .....432,418 .....	1,626,715
3. Adjustment for current year's liability gains/(losses) released from the reserve .....	
4. Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3) .....	3,627,998
5. Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4) .....	775,369
6. Reserve as of December 31, current year (Line 4 minus Line 5)	2,852,628

**AMORTIZATION**

Year of Amortization	1 Reserve as of December 31, Prior Year	2 Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	3 Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	4 Balance Before Reduction for Current Year's Amortization (Cols. 1 + 2 + 3)
1. 2021 .....	325,989	449,380		775,369
2. 2022 .....	214,754	446,671		661,425
3. 2023 .....	191,524	308,627		500,151
4. 2024 .....	189,169	225,987		415,156
5. 2025 .....	184,544	138,453		322,997
6. 2026 .....	180,229	48,512		228,741
7. 2027 .....	161,082	3,217		164,299
8. 2028 .....	145,677	2,541		148,218
9. 2029 .....	122,022	1,838		123,860
10. 2030 .....	97,565	1,108		98,673
11. 2031 .....	78,393	379		78,772
12. 2032 .....	58,959			58,959
13. 2033 .....	37,908			37,908
14. 2034 .....	15,662			15,662
15. 2035 .....	1,528			1,528
16. 2036 .....	(1,366)			(1,366)
17. 2037 .....	(1,119)			(1,119)
18. 2038 .....	(905)			(905)
19. 2039 .....	(667)			(667)
20. 2040 .....	(496)			(496)
21. 2041 .....	(250)			(250)
22. 2042 .....	(53)			(53)
23. 2043 .....	167			167
24. 2044 .....	272			272
25. 2045 .....	231			231
26. 2046 .....	190			190
27. 2047 .....	143			143
28. 2048 .....	94			94
29. 2049 .....	37			37
30. 2050 .....				
31. 2051 and Later				
32. Total (Lines 1 to 31)	2,001,283	1,626,715		3,627,998

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**ASSET VALUATION RESERVE**

	Default Component			Equity Component			7 Total Amount (Cols. 3 + 6)
	1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1 + 2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4 + 5)	
1. Reserve as of December 31, prior year .....	3,332,443		3,332,443				3,332,443
2. Realized capital gains/(losses) net of taxes - General Account .....							
3. Realized capital gains/(losses) net of taxes - Separate Accounts .....							
4. Unrealized capital gains/(losses) net of deferred taxes - General Account .....							
5. Unrealized capital gains/(losses) net of deferred taxes - Separate Accounts .....							
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves .....							
7. Basic contribution .....	955,477	75,476	1,030,954				1,030,954
8. Accumulated balances (Lines 1 through 5 - 6 + 7) .....	4,287,920	75,476	4,363,397				4,363,397
9. Maximum reserve .....	4,409,909	294,161	4,704,070				4,704,070
10. Reserve objective .....	2,721,086	225,624	2,946,709				2,946,709
11. 20% of (Line 10 - Line 8) .....	(313,367)	30,029	(283,338)				(283,338)
12. Balance before transfers (Lines 8 + 11) .....	3,974,553	105,506	4,080,059				4,080,059
13. Transfers .....							
14. Voluntary contribution .....							
15. Adjustment down to maximum/up to zero .....							
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	3,974,553	105,506	4,080,059				4,080,059

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**ASSET VALUATION RESERVE  
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS  
DEFAULT COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols.4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
LONG-TERM BONDS												
1.		Exempt Obligations	10,549,172	XXX	XXX	10,549,172	0.0000		0.0000		0.0000	
2.1	1	NAIC Designation Category 1.A	32,184,029	XXX	XXX	32,184,029	0.0005	16,092	0.0016	51,494	0.0033	106,207
2.2	1	NAIC Designation Category 1.B	10,534,535	XXX	XXX	10,534,535	0.0005	5,267	0.0016	16,855	0.0033	34,764
2.3	1	NAIC Designation Category 1.C	3,162,817	XXX	XXX	3,162,817	0.0005	1,581	0.0016	5,061	0.0033	10,437
2.4	1	NAIC Designation Category 1.D	4,320,802	XXX	XXX	4,320,802	0.0005	2,160	0.0016	6,913	0.0033	14,259
2.5	1	NAIC Designation Category 1.E	22,734,782	XXX	XXX	22,734,782	0.0005	11,367	0.0016	36,376	0.0033	75,025
2.6	1	NAIC Designation Category 1.F	36,978,879	XXX	XXX	36,978,879	0.0005	18,489	0.0016	59,166	0.0033	122,030
2.7	1	NAIC Designation Category 1.G	45,509,223	XXX	XXX	45,509,223	0.0005	22,755	0.0016	72,815	0.0033	150,180
2.8		Subtotal NAIC 1 (2.1+2.2+2.3+2.4+2.5+2.6+2.7)	155,425,068	XXX	XXX	155,425,068	XXX	77,713	XXX	248,680	XXX	512,903
3.1	2	NAIC Designation Category 2.A	95,696,493	XXX	XXX	95,696,493	0.0021	200,963	0.0064	612,458	0.0106	1,014,383
3.2	2	NAIC Designation Category 2.B	117,751,461	XXX	XXX	117,751,461	0.0021	247,278	0.0064	753,609	0.0106	1,248,165
3.3	2	NAIC Designation Category 2.C	29,662,275	XXX	XXX	29,662,275	0.0021	62,291	0.0064	189,839	0.0106	314,420
3.4		Subtotal NAIC 2 (3.1+3.2+3.3)	243,110,229	XXX	XXX	243,110,229	XXX	510,531	XXX	1,555,905	XXX	2,576,968
4.1	3	NAIC Designation Category 3.A	5,871,881	XXX	XXX	5,871,881	0.0099	58,132	0.0263	154,430	0.0376	220,783
4.2	3	NAIC Designation Category 3.B	6,464,082	XXX	XXX	6,464,082	0.0099	63,994	0.0263	170,005	0.0376	243,049
4.3	3	NAIC Designation Category 3.C	10,294,261	XXX	XXX	10,294,261	0.0099	101,913	0.0263	270,739	0.0376	387,064
4.4		Subtotal NAIC 3 (4.1+4.2+4.3)	22,630,224	XXX	XXX	22,630,224	XXX	224,039	XXX	595,175	XXX	850,896
5.1	4	NAIC Designation Category 4.A	3,423,682	XXX	XXX	3,423,682	0.0245	83,880	0.0572	195,835	0.0817	279,715
5.2	4	NAIC Designation Category 4.B	559,165	XXX	XXX	559,165	0.0245	13,700	0.0572	31,984	0.0817	45,684
5.3	4	NAIC Designation Category 4.C	887,595	XXX	XXX	887,595	0.0245	21,746	0.0572	50,770	0.0817	72,516
5.4		Subtotal NAIC 4 (5.1+5.2+5.3)	4,870,441	XXX	XXX	4,870,441	XXX	119,326	XXX	278,589	XXX	397,915
6.1	5	NAIC Designation Category 5.A		XXX	XXX		0.0630		0.1128		0.1880	
6.2	5	NAIC Designation Category 5.B	378,864	XXX	XXX	378,864	0.0630	23,868	0.1128	42,736	0.1880	71,226
6.3	5	NAIC Designation Category 5.C		XXX	XXX		0.0630		0.1128		0.1880	
6.4		Subtotal NAIC 5 (6.1+6.2+6.3)	378,864	XXX	XXX	378,864	XXX	23,868	XXX	42,736	XXX	71,226
7.	6	NAIC 6		XXX	XXX		0.0000		0.2370		0.2370	
8.		Total Unrated Multi-class Securities Acquired by Conversion		XXX	XXX		XXX		XXX		XXX	
9.		Total Long-Term Bonds (1+2.8+3.4+4.4+5.4+6.4+7+8)	436,963,998	XXX	XXX	436,963,998	XXX	955,477	XXX	2,721,086	XXX	4,409,909
PREFERRED STOCKS												
10.	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
11.	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
12.	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
13.	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
14.	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
15.	6	In or Near Default		XXX	XXX		0.0000		0.2370		0.2370	
16.		Affiliated Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
17.		Total Preferred Stocks (Sum of Lines 10 through 16)		XXX	XXX		XXX		XXX		XXX	

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**DEFAULT COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
<b>SHORT-TERM BONDS</b>												
18.		Exempt Obligations		XXX	XXX		0.0000		0.0000		0.0000	
19.1	1	NAIC Designation Category 1.A		XXX	XXX		0.0005		0.0016		0.0033	
19.2	1	NAIC Designation Category 1.B		XXX	XXX		0.0005		0.0016		0.0033	
19.3	1	NAIC Designation Category 1.C		XXX	XXX		0.0005		0.0016		0.0033	
19.4	1	NAIC Designation Category 1.D		XXX	XXX		0.0005		0.0016		0.0033	
19.5	1	NAIC Designation Category 1.E		XXX	XXX		0.0005		0.0016		0.0033	
19.6	1	NAIC Designation Category 1.F		XXX	XXX		0.0005		0.0016		0.0033	
19.7	1	NAIC Designation Category 1.G		XXX	XXX		0.0005		0.0016		0.0033	
19.8		Subtotal NAIC 1 (19.1+19.2+19.3+19.4+19.5+19.6+19.7)		XXX	XXX		XXX		XXX		XXX	
20.1	2	NAIC Designation Category 2.A		XXX	XXX		0.0021		0.0064		0.0106	
20.2	2	NAIC Designation Category 2.B		XXX	XXX		0.0021		0.0064		0.0106	
20.3	2	NAIC Designation Category 2.C		XXX	XXX		0.0021		0.0064		0.0106	
20.4		Subtotal NAIC 2 (20.1+20.2+20.3)		XXX	XXX		XXX		XXX		XXX	
21.1	3	NAIC Designation Category 3.A		XXX	XXX		0.0099		0.0263		0.0376	
21.2	3	NAIC Designation Category 3.B		XXX	XXX		0.0099		0.0263		0.0376	
21.3	3	NAIC Designation Category 3.C		XXX	XXX		0.0099		0.0263		0.0376	
21.4		Subtotal NAIC 3 (21.1+21.2+21.3)		XXX	XXX		XXX		XXX		XXX	
22.1	4	NAIC Designation Category 4.A		XXX	XXX		0.0245		0.0572		0.0817	
22.2	4	NAIC Designation Category 4.B		XXX	XXX		0.0245		0.0572		0.0817	
22.3	4	NAIC Designation Category 4.C		XXX	XXX		0.0245		0.0572		0.0817	
22.4		Subtotal NAIC 4 (22.1+22.2+22.3)		XXX	XXX		XXX		XXX		XXX	
23.1	5	NAIC Designation Category 5.A		XXX	XXX		0.0630		0.1128		0.1880	
23.2	5	NAIC Designation Category 5.B		XXX	XXX		0.0630		0.1128		0.1880	
23.3	5	NAIC Designation Category 5.C		XXX	XXX		0.0630		0.1128		0.1880	
23.4		Subtotal NAIC 5 (23.1+23.2+23.3)		XXX	XXX		XXX		XXX		XXX	
24.	6	NAIC 6		XXX	XXX		0.0000		0.2370		0.2370	
25.		Total Short-Term Bonds (18+19.8+20.4+21.4+22.4+23.4+24)		XXX	XXX		XXX		XXX		XXX	
<b>DERIVATIVE INSTRUMENTS</b>												
26.		Exchange Traded		XXX	XXX		0.0005		0.0016		0.0033	
27.	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
28.	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
29.	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
30.	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
31.	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
32.	6	In or Near Default		XXX	XXX		0.0000		0.2370		0.2370	
33.		Total Derivative Instruments		XXX	XXX		XXX		XXX		XXX	
34.		Total (Lines 9 + 17 + 25 + 33)	436,963,998	XXX	XXX	436,963,998	XXX	955,477	XXX	2,721,086	XXX	4,409,909

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**DEFAULT COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
MORTGAGE LOANS												
In Good Standing:												
35.		Farm Mortgages - CM1 - Highest Quality .....			XXX		0.0011		0.0057		0.0074	
36.		Farm Mortgages - CM2 - High Quality .....			XXX		0.0040		0.0114		0.0149	
37.		Farm Mortgages - CM3 - Medium Quality .....			XXX		0.0069		0.0200		0.0257	
38.		Farm Mortgages - CM4 - Low Medium Quality .....			XXX		0.0120		0.0343		0.0428	
39.		Farm Mortgages - CM5 - Low Quality .....			XXX		0.0183		0.0486		0.0628	
40.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0007		0.0011	
41.		Residential Mortgages - All Other .....			XXX		0.0015		0.0034		0.0046	
42.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0007		0.0011	
43.		Commercial Mortgages - All Other - CM1 - Highest Quality .....	3,941,062		XXX	3,941,062	0.0011	4,335	0.0057	22,464	0.0074	29,164
44.		Commercial Mortgages - All Other - CM2 - High Quality .....	15,686,452		XXX	15,686,452	0.0040	62,746	0.0114	178,826	0.0149	233,728
45.		Commercial Mortgages - All Other - CM3 - Medium Quality .....	1,216,695		XXX	1,216,695	0.0069	8,395	0.0200	24,334	0.0257	31,269
46.		Commercial Mortgages - All Other - CM4 - Low Medium Quality .....			XXX		0.0120		0.0343		0.0428	
47.		Commercial Mortgages - All Other - CM5 - Low Quality .....			XXX		0.0183		0.0486		0.0628	
Overdue, Not in Process:												
48.		Farm Mortgages .....			XXX		0.0480		0.0868		0.1371	
49.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0006		0.0014		0.0023	
50.		Residential Mortgages - All Other .....			XXX		0.0029		0.0066		0.0103	
51.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0006		0.0014		0.0023	
52.		Commercial Mortgages - All Other .....			XXX		0.0480		0.0868		0.1371	
In Process of Foreclosure:												
53.		Farm Mortgages .....			XXX		0.0000		0.1942		0.1942	
54.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0046		0.0046	
55.		Residential Mortgages - All Other .....			XXX		0.0000		0.0149		0.0149	
56.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0046		0.0046	
57.		Commercial Mortgages - All Other .....			XXX		0.0000		0.1942		0.1942	
58.		Total Schedule B Mortgages (Sum of Lines 35 through 57)	20,844,209		XXX	20,844,209	XXX	75,476	XXX	225,624	XXX	294,161
59.		Schedule DA Mortgages			XXX		0.0034		0.0114		0.0149	
60.		Total Mortgage Loans on Real Estate (Lines 58 + 59)	20,844,209		XXX	20,844,209	XXX	75,476	XXX	225,624	XXX	294,161

**ASSET VALUATION RESERVE**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
COMMON STOCK												
1.		Unaffiliated - Public .....		XXX	XXX		0.0000		0.1580 (a)		0.1580 (a)	
2.		Unaffiliated - Private .....		XXX	XXX		0.0000		0.1945		0.1945	
3.		Federal Home Loan Bank .....		XXX	XXX		0.0000		0.0061		0.0097	
4.		Affiliated - Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
Affiliated - Investment Subsidiary:												
5.		Fixed Income - Exempt Obligations .....					XXX		XXX		XXX	
6.		Fixed Income - Highest Quality .....					XXX		XXX		XXX	
7.		Fixed Income - High Quality .....					XXX		XXX		XXX	
8.		Fixed Income - Medium Quality .....					XXX		XXX		XXX	
9.		Fixed Income - Low Quality .....					XXX		XXX		XXX	
10.		Fixed Income - Lower Quality .....					XXX		XXX		XXX	
11.		Fixed Income - In/Near Default .....					XXX		XXX		XXX	
12.		Unaffiliated Common Stock - Public .....					0.0000		0.1580 (a)		0.1580 (a)	
13.		Unaffiliated Common Stock - Private .....					0.0000		0.1945		0.1945	
14.		Real Estate .....					(b)		(b)		(b)	
15.		Affiliated - Certain Other (See SVO Purposes and Procedures Manual) .....		XXX	XXX		0.0000		0.1580		0.1580	
16.		Affiliated - All Other .....		XXX	XXX		0.0000		0.1945		0.1945	
17.		Total Common Stock (Sum of Lines 1 through 16)					XXX		XXX		XXX	
REAL ESTATE												
18.		Home Office Property (General Account only) .....					0.0000		0.0912		0.0912	
19.		Investment Properties .....					0.0000		0.0912		0.0912	
20.		Properties Acquired in Satisfaction of Debt .....					0.0000		0.1337		0.1337	
21.		Total Real Estate (Sum of Lines 18 through 20)					XXX		XXX		XXX	
OTHER INVESTED ASSETS												
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS												
22.		Exempt Obligations .....		XXX	XXX		0.0000		0.0000		0.0000	
23.	1	Highest Quality .....		XXX	XXX		0.0005		0.0016		0.0033	
24.	2	High Quality .....		XXX	XXX		0.0021		0.0064		0.0106	
25.	3	Medium Quality .....		XXX	XXX		0.0099		0.0263		0.0376	
26.	4	Low Quality .....		XXX	XXX		0.0245		0.0572		0.0817	
27.	5	Lower Quality .....		XXX	XXX		0.0630		0.1128		0.1880	
28.	6	In or Near Default .....		XXX	XXX		0.0000		0.2370		0.2370	
29.		Total with Bond Characteristics (Sum of Lines 22 through 28)		XXX	XXX		XXX		XXX		XXX	

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF PREFERRED STOCKS												
30.	1	Highest Quality .....		XXX	XXX		0.0005		0.0016		0.0033	
31.	2	High Quality .....		XXX	XXX		0.0021		0.0064		0.0106	
32.	3	Medium Quality .....		XXX	XXX		0.0099		0.0263		0.0376	
33.	4	Low Quality .....		XXX	XXX		0.0245		0.0572		0.0817	
34.	5	Lower Quality .....		XXX	XXX		0.0630		0.1128		0.1880	
35.	6	In or Near Default .....		XXX	XXX		0.0000		0.2370		0.2370	
36.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
37.		Total with Preferred Stock Characteristics (Sum of Lines 30 through 36)		XXX	XXX		XXX		XXX		XXX	
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF MORTGAGE LOANS												
In Good Standing Affiliated:												
38.		Mortgages - CM1 - Highest Quality .....			XXX		0.0011		0.0057		0.0074	
39.		Mortgages - CM2 - High Quality .....			XXX		0.0040		0.0114		0.0149	
40.		Mortgages - CM3 - Medium Quality .....			XXX		0.0069		0.0200		0.0257	
41.		Mortgages - CM4 - Low Medium Quality .....			XXX		0.0120		0.0343		0.0428	
42.		Mortgages - CM5 - Low Quality .....			XXX		0.0183		0.0486		0.0628	
43.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0007		0.0011	
44.		Residential Mortgages - All Other .....		XXX	XXX		0.0015		0.0034		0.0046	
45.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0007		0.0011	
Overdue, Not in Process Affiliated:												
46.		Farm Mortgages .....			XXX		0.0480		0.0868		0.1371	
47.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0006		0.0014		0.0023	
48.		Residential Mortgages - All Other .....			XXX		0.0029		0.0066		0.0103	
49.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0006		0.0014		0.0023	
50.		Commercial Mortgages - All Other .....			XXX		0.0480		0.0868		0.1371	
In Process of Foreclosure Affiliated:												
51.		Farm Mortgages .....			XXX		0.0000		0.1942		0.1942	
52.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0046		0.0046	
53.		Residential Mortgages - All Other .....			XXX		0.0000		0.0149		0.0149	
54.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0046		0.0046	
55.		Commercial Mortgages - All Other .....			XXX		0.0000		0.1942		0.1942	
56.		Total Affiliated (Sum of Lines 38 through 55)			XXX		XXX		XXX		XXX	
57.		Unaffiliated - In Good Standing With Covenants .....			XXX		(c)		(c)		(c)	
58.		Unaffiliated - In Good Standing Defeased With Government Securities .....			XXX		0.0011		0.0057		0.0074	
59.		Unaffiliated - In Good Standing Primarily Senior .....			XXX		0.0040		0.0114		0.0149	
60.		Unaffiliated - In Good Standing All Other .....			XXX		0.0069		0.0200		0.0257	
61.		Unaffiliated - Overdue, Not in Process .....			XXX		0.0480		0.0868		0.1371	
62.		Unaffiliated - In Process of Foreclosure .....			XXX		0.0000		0.1942		0.1942	
63.		Total Unaffiliated (Sum of Lines 57 through 62)			XXX		XXX		XXX		XXX	
64.		Total with Mortgage Loan Characteristics (Lines 56 + 63)			XXX		XXX		XXX		XXX	

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK												
65.		Unaffiliated Public .....		XXX	XXX		0.0000		0.1580 (a)		0.1580 (a)	
66.		Unaffiliated Private .....		XXX	XXX		0.0000		0.1945		0.1945	
67.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
68.		Affiliated Certain Other (See SVO Purposes & Procedures Manual) .....		XXX	XXX		0.0000		0.1580		0.1580	
69.		Affiliated Other - All Other .....		XXX	XXX		0.0000		0.1945		0.1945	
70.		Total with Common Stock Characteristics (Sum of Lines 65 through 69)		XXX	XXX		XXX		XXX		XXX	
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE												
71.		Home Office Property (General Account only) .....					0.0000		0.0912		0.0912	
72.		Investment Properties .....					0.0000		0.0912		0.0912	
73.		Properties Acquired in Satisfaction of Debt .....					0.0000		0.1337		0.1337	
74.		Total with Real Estate Characteristics (Sum of Lines 71 through 73)					XXX		XXX		XXX	
LOW INCOME HOUSING TAX CREDIT INVESTMENTS												
75.		Guaranteed Federal Low Income Housing Tax Credit .....					0.0003		0.0006		0.0010	
76.		Non-guaranteed Federal Low Income Housing Tax Credit .....					0.0063		0.0120		0.0190	
77.		Guaranteed State Low Income Housing Tax Credit .....					0.0003		0.0006		0.0010	
78.		Non-guaranteed State Low Income Housing Tax Credit .....					0.0063		0.0120		0.0190	
79.		All Other Low Income Housing Tax Credit .....					0.0273		0.0600		0.0975	
80.		Total LIHTC (Sum of Lines 75 through 79)					XXX		XXX		XXX	
ALL OTHER INVESTMENTS												
81.		NAIC 1 Working Capital Finance Investments .....		XXX			0.0000		0.0042		0.0042	
82.		NAIC 2 Working Capital Finance Investments .....		XXX			0.0000		0.0137		0.0137	
83.		Other Invested Assets - Schedule BA .....		XXX			0.0000		0.1580		0.1580	
84.		Other Short-Term Invested Assets - Schedule DA .....		XXX			0.0000		0.1580		0.1580	
85.		Total All Other (Sum of Lines 81, 82, 83 and 84) .....		XXX			XXX		XXX		XXX	
86.		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 29, 37, 64, 70, 74, 80 and 85)					XXX		XXX		XXX	

(a) Times the company's weighted average portfolio beta (Minimum .1215, Maximum .2431).

(b) Determined using the same factors and breakdowns used for directly owned real estate.

(c) This will be the factor associated with the risk category determined in the company generated worksheet.

Asset Valuation Reserve - Replications (Synthetic) Assets

**N O N E**

Schedule F - Claims

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE H - ACCIDENT AND HEALTH EXHIBIT**

	Total		Group Accident and Health		Credit Accident and Health (Group and Individual)		Collectively Renewable		Other Individual Contracts										
	1 Amount	2 %	3 Amount	4 %	5 Amount	6 %	7 Amount	8 %	Non-Cancelable		Guaranteed Renewable		Non-Renewable for Stated Reasons Only		Other Accident Only		All Other		
									9 Amount	10 %	11 Amount	12 %	13 Amount	14 %	15 Amount	16 %	17 Amount	18 %	
<b>PART 1. - ANALYSIS OF UNDERWRITING OPERATIONS</b>																			
1. Premiums written	201,789,842	XXX	201,558,289	XXX		XXX		XXX		XXX		XXX		XXX		57,509	XXX	174,044	XXX
2. Premiums earned	202,076,331	XXX	201,836,368	XXX		XXX		XXX		XXX		XXX		XXX		58,782	XXX	181,181	XXX
3. Incurred claims	172,914,040	85.6	172,910,949	85.7												(533)	(0.9)	3,624	2.0
4. Cost containment expenses	5,436,324	2.7	5,435,237	2.7												270	0.5	817	0.5
5. Incurred claims and cost containment expenses (Lines 3 and 4)	178,350,364	88.3	178,346,186	88.4												(263)	(0.4)	4,441	2.5
6. Increase in contract reserves																			
7. Commissions (a)	9,310,495	4.6	9,310,495	4.6															
8. Other general insurance expenses	6,699,642	3.3	6,686,318	3.3												3,260	5.5	10,064	5.6
9. Taxes, licenses and fees	4,808,492	2.4	4,802,782	2.4												1,399	2.4	4,311	2.4
10. Total other expenses incurred	20,818,629	10.3	20,799,595	10.3												4,659	7.9	14,375	7.9
11. Aggregate write-ins for deductions																			
12. Gain from underwriting before dividends or refunds	2,907,338	1.4	2,690,587	1.3												54,386	92.5	162,365	89.6
13. Dividends or refunds																			
14. Gain from underwriting after dividends or refunds	2,907,338	1.4	2,690,587	1.3												54,386	92.5	162,365	89.6
<b>DETAILS OF WRITE-INS</b>																			
1101.																			
1102.																			
1103.																			
1198. Summary of remaining write-ins for Line 11 from overflow page																			
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)																			

(a) Includes \$ ..... reported as "Contract, membership and other fees retained by agents."

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE H - ACCIDENT AND HEALTH EXHIBIT (Continued)**

	1 Total	2 Group Accident and Health	3 Credit Accident and Health (Group and Individual)	4 Collectively Renewable	Other Individual Contracts				
					5 Non-Cancelable	6 Guaranteed Renewable	7 Non-Renewable for Stated Reasons Only	8 Other Accident Only	9 All Other
<b>PART 2. - RESERVES AND LIABILITIES</b>									
A. Premium Reserves:									
1. Unearned premiums .....	444,900	419,393						15,772	9,735
2. Advance premiums .....									
3. Reserve for rate credits .....									
4. Total premium reserves, current year .....	444,900	419,393						15,772	9,735
5. Total premium reserves, prior year .....	731,388	697,471						17,045	16,872
6. Increase in total premium reserves .....	(286,488)	(278,078)						(1,273)	(7,137)
B. Contract Reserves:									
1. Additional reserves (a) .....									
2. Reserve for future contingent benefits .....									
3. Total contract reserves, current year .....									
4. Total contract reserves, prior year .....									
5. Increase in contract reserves .....									
C. Claim Reserves and Liabilities:									
1. Total current year .....	288,687,962	288,475,699						8,964	203,299
2. Total prior year .....	269,703,871	269,462,776						9,497	231,598
3. Increase .....	18,984,091	19,012,923						(533)	(28,299)

<b>PART 3. - TEST OF PRIOR YEAR'S CLAIM RESERVES AND LIABILITIES</b>									
1. Claims paid during the year:									
1.1 On claims incurred prior to current year .....	72,954,405	72,922,482							31,923
1.2 On claims incurred during current year .....	80,975,544	80,975,544							
2. Claim reserves and liabilities, December 31, current year:									
2.1 On claims incurred prior to current year .....	221,745,270	221,582,804						985	161,481
2.2 On claims incurred during current year .....	66,942,692	66,892,895						7,979	41,818
3. Test:									
3.1 Lines 1.1 and 2.1 .....	294,699,675	294,505,286						985	193,404
3.2 Claim reserves and liabilities, December 31, prior year .....	269,703,871	269,462,776						9,497	231,598
3.3 Line 3.1 minus Line 3.2 .....	24,995,804	25,042,510						(8,512)	(38,194)

<b>PART 4. - REINSURANCE</b>									
A. Reinsurance Assumed:									
1. Premiums written .....									
2. Premiums earned .....									
3. Incurred claims .....									
4. Commissions .....									
B. Reinsurance Ceded:									
1. Premiums written .....	2,686,715	2,686,715							
2. Premiums earned .....	2,686,715	2,686,715							
3. Incurred claims .....	3,734,314	3,734,314							
4. Commissions .....	217,638	217,638							

(a) Includes \$ ..... premium deficiency reserve.

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**SCHEDULE H - PART 5 - HEALTH CLAIMS**

	1 Medical	2 Dental	3 Other	4 Total
<b>A. Direct:</b>				
1. Incurred Claims .....			176,648,356	176,648,356
2. Beginning Claim Reserves and Liabilities .....			278,729,680	278,729,680
3. Ending Claim Reserves and Liabilities .....			297,765,935	297,765,935
4. Claims Paid			157,612,101	157,612,101
<b>B. Assumed Reinsurance:</b>				
5. Incurred Claims.....				
6. Beginning Claim Reserves and Liabilities .....				
7. Ending Claim Reserves and Liabilities .....				
8. Claims Paid				
<b>C. Ceded Reinsurance:</b>				
9. Incurred Claims.....			3,734,314	3,734,314
10. Beginning Claim Reserves and Liabilities .....			9,446,624	9,446,624
11. Ending Claim Reserves and Liabilities .....			11,008,245	11,008,245
12. Claims Paid			2,172,693	2,172,693
<b>D. Net:</b>				
13. Incurred Claims.....			172,914,042	172,914,042
14. Beginning Claim Reserves and Liabilities .....			269,283,056	269,283,056
15. Ending Claim Reserves and Liabilities .....			286,757,690	286,757,690
16. Claims Paid			155,439,408	155,439,408
<b>E. Net Incurred Claims and Cost Containment Expenses:</b>				
17. Incurred Claims and Cost Containment Expenses .....			178,350,367	178,350,367
18. Beginning Reserves and Liabilities .....			269,283,056	269,283,056
19. Ending Reserves and Liabilities .....			286,757,690	286,757,690
20. Paid Claims and Cost Containment Expenses			160,875,733	160,875,733

Schedule S - Part 1 - Section 1

**NONE**

Schedule S - Part 1 - Section 2

**NONE**

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE S - PART 2**

Reinsurance Recoverable on Paid and Unpaid Losses Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domiciliary Jurisdiction	6 Paid Losses	7 Unpaid Losses
0399999. Total Life and Annuity - U.S. Affiliates						
0699999. Total Life and Annuity - Non-U.S. Affiliates						
0799999. Total Life and Annuity - Affiliates						
62308	06-0303370	01/01/1998	Connecticut General Life Ins. Co.	CT		17,250
88340	59-2859797	01/01/2012	Hannover Life Reassurance Company of America	FL	56,009	4,989
66346	58-0828824	01/01/2001	Munich American Reassurance Company	GA	12,002	1,051
93572	43-1235868	07/01/2001	RGA Reinsurance Company	MO	148,023	13,186
97071	13-3126819	01/01/2017	SCOR Global Life USA Reinsurance Company	DE	32,005	2,838
82627	06-0839705	01/01/2014	Swiss Re Life & Health America Inc.	MO	60,009	5,346
0899999. Life and Annuity - U.S. Non-Affiliates						
00000	AA-1780078	01/01/2016	Partner Reinsurance Europe SE	IRL		31
00000	AA-1580095	07/01/2003	The TOA Reinsurance Company Limited	JPN	92,014	8,197
0999999. Life and Annuity - Non-U.S. Non-Affiliates						
					92,014	8,228
1099999. Total Life and Annuity - Non-Affiliates						
					400,062	52,888
1199999. Total Life and Annuity						
					400,062	52,888
1499999. Total Accident and Health - U.S. Affiliates						
1799999. Total Accident and Health - Non-U.S. Affiliates						
1899999. Total Accident and Health - Affiliates						
67369	59-1031071	12/31/2020	Cigna Health & Life Insurance Company Endurance Speciality Insurance Company	CT	44,126	210,231
41718	75-1844564	01/01/2021	Company	DE	14,555	413
88340	59-2859797	01/01/2012	Hannover Life Reassurance Company of America	FL	36,388	1,862
42374	74-2195939	01/01/2007	Houston Casualty Company	TX	181,938	9,310
66346	58-0828824	01/01/2001	Munich American Reassurance Company	GA	140,558	
66346	58-0828824	01/01/2021	Munich American Reassurance Company - 2021	GA	11,638	7,752
93572	43-1235868	07/01/2001	RGA Reinsurance Company	MO	6,784	
97071	13-3126819	01/01/2017	SCOR Global Life USA Reinsurance Company	DE	261,263	12,757
82627	06-0839705	01/01/2014	Swiss Re Life & Health America Inc.	MO	271,663	452
19453	13-5616275	01/01/2007	Transatlantic Reinsurance Company	NY		746
21113	13-5459190	01/01/2021	United States Fire Insurance Company	DE	43,665	1,240
16535	36-4233459	01/01/2012	Zurich American Insurance Company	NY	218,326	11,172
1999999. Accident and Health - U.S. Non-Affiliates						
					1,230,904	255,935
00000	AA-1126510	01/01/2009	Lloyd's Syndicate Number 0510	GBR	58,220	3,311
00000	AA-1126566	01/01/2012	Lloyd's Syndicate Number 0566	GBR	36,388	1,862
00000	AA-1126609	01/01/2019	Lloyd's Syndicate Number 0609	GBR	14,555	994
00000	AA-1127861	01/01/2018	Lloyd's Syndicate Number 1861	GBR		630
00000	AA-1120096	01/01/2019	Lloyd's Syndicate Number 1880	GBR	14,555	413
00000	AA-1120064	01/01/2018	Lloyd's Syndicate Number 1919	GBR	21,833	1,117
00000	AA-1128001	01/01/2018	Lloyd's Syndicate Number 2001	GBR	20,377	1,043
00000	AA-1128003	01/01/2013	Lloyd's Syndicate Number 2003	GBR	29,110	1,490
00000	AA-1120113	01/01/2017	Lloyd's Syndicate Number 3334	GBR		27
00000	AA-1120055	01/01/2009	Lloyd's Syndicate Number 3623	GBR	74,959	3,836
00000	AA-1120116	01/01/2018	Lloyd's Syndicate Number 3902	GBR	72,775	3,724
00000	AA-1126005	01/01/2012	Lloyd's Syndicate Number 4000	GBR	72,775	3,597
00000	AA-1126004	01/01/2019	Lloyd's Syndicate Number 4444	GBR	80,053	3,466
00000	AA-1126006	01/01/2013	Lloyd's Syndicate Number 4472	GBR	29,110	1,490
00000	AA-1120080	01/01/2018	Lloyd's Syndicate Number 5151	GBR		332
00000	AA-1780096	01/01/2015	Partner Reinsurance Europe SE	IRL		81
00000	AA-3191321	01/01/2017	Sirius Bermuda Insurance Company Limited	BMU	29,110	1,489
00000	AA-1580095	07/01/2003	The TOA Reinsurance Company Limited	JPN	145,551	8,079
2099999. Accident and Health - Non-U.S. Non-Affiliates						
					699,371	36,981
2199999. Total Accident and Health - Non-Affiliates						
					1,930,275	292,916
2299999. Total Accident and Health						
					1,930,275	292,916
2399999. Total U.S. (Sum of 0399999, 0899999, 1499999 and 1999999)						
					1,538,952	300,595
2499999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999 and 2099999)						
					791,385	45,209
9999999 Totals - Life, Annuity and Accident and Health						
					2,330,337	345,804

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE S - PART 3 - SECTION 1**

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Amount in Force at End of Year	Reserve Credit Taken		11 Premiums	Outstanding Surplus Relief		14 Modified Coinsurance Reserve	15 Funds Withheld Under Coinsurance
								9 Current Year	10 Prior Year		12 Current Year	13 Prior Year		
0399999. Total General Account - Authorized U.S. Affiliates														
0699999. Total General Account - Authorized Non-U.S. Affiliates														
0799999. Total General Account - Authorized Affiliates														
62308	06-0303370	12/31/2020	Connecticut General Life Insurance Company	CT	CO/G	FA	8,242,584	7,658,800						
62308	06-0303370	10/01/2000	Connecticut General Life Insurance Co	CT	COMB/I	FA	130,657,167	132,912,010						
41718	75-1844564	01/01/2021	Endurance Speciality Insurance Company	DE	CAT/G	XXXL0			1,934					
88340	59-2859797	01/01/2012	Hannover Life Reassurance Company of America	FL	Other Reinsurance - Group	XXXL0	86,782,000	22,070	21,745	22,536				
81442	16-0986348	01/01/1990	Monitor Life Insurance Company of New York	NY	Individual	XXXL0	331,000	701,797	710,015	4,167				
66346	58-0828824	04/01/2012	Munich American Reassurance Company	GA	OTH/G	XXXL0	18,273,000	4,647	4,380					
93572	43-1235868	07/01/2001	RGA Reinsurance Company	MO	Other Reinsurance - Group	XXXL0	229,352,000	58,328	57,469	57,796				
97071	13-3126819	01/01/2017	SCOR Global Life USA Reinsurance Company	DE	Other Reinsurance - Group	XXXL0	49,376,000	12,557	12,239	18,320				
82627	06-0839705	01/01/2014	Swiss Re Life & Health America Inc.	MO	Other Reinsurance - Group	XXXL0	92,979,000	23,646	23,298	24,146				
21113	13-5459190	01/01/2021	United States Fire Insurance Company	DE	Other Reinsurance - Group	XXXL0				3,423				
0899999. General Account - Authorized U.S. Non-Affiliates							477,093,000	139,722,796	141,399,956	132,322				
1099999. Total General Account - Authorized Non-Affiliates							477,093,000	139,722,796	141,399,956	132,322				
1199999. Total General Account Authorized							477,093,000	139,722,796	141,399,956	132,322				
1499999. Total General Account - Unauthorized U.S. Affiliates														
1799999. Total General Account - Unauthorized Non-U.S. Affiliates														
1899999. Total General Account - Unauthorized Affiliates														
42374	74-2195939	01/01/2007	Houston Casualty Company	TX	CAT/G	XXXL0				4,422				
10227	13-4924125	01/01/2020	Munich Reinsurance America, Incorporated	DE	Catastrophe - Group	XXXL0				4,829				
19453	13-5616275	04/01/2002	Transatlantic Reinsurance Company	NY	Catastrophe - Group	XXXL0				(750)				
16535	36-4233459	01/01/2012	Zurich American Insurance Company	NY	Catastrophe - Group	XXXL0				12,042				
1999999. General Account - Unauthorized U.S. Non-Affiliates										20,543				
00000	AA-1126033	01/01/2021	Lloyd's Syndicate Number 0033	GBR	Catastrophe - Group	XXXL0				1,433				
00000	AA-1126510	01/01/2009	Lloyd's Syndicate Number 0510	GBR	Catastrophe - Group	XXX Life Other				4,898				
00000	AA-1126566	01/01/2007	Lloyd's Syndicate Number 0566	GBR	Catastrophe - Group	XXX Life Other				7,484				
00000	AA-1126609	01/01/2019	Lloyd's Syndicate Number 0609	GBR	Catastrophe - Group	XXX Life Other				1,701				
00000	AA-1120085	01/01/2018	Lloyd's Syndicate Number 1274	GBR	Catastrophe - Group	XXX Life Other				(130)				
00000	AA-1120064	01/01/2018	Lloyd's Syndicate Number 1919	GBR	Catastrophe - Group	XXX Life Other				2,095				
00000	AA-1128001	07/01/2003	Lloyd's Syndicate Number 2001	GBR	Catastrophe - Group	XXX Life Other				2,177				
00000	AA-1128003	01/01/2008	Lloyd's Syndicate Number 2003	GBR	Catastrophe - Group	XXX Life Other				2,993				
00000	AA-1120055	01/01/2009	Lloyd's Syndicate Number 3623	GBR	Catastrophe - Group	XXX Life Other				8,572				
00000	AA-1120116	01/01/2018	Lloyd's Syndicate Number 3902	GBR	Catastrophe - Group	XXX Life Other				5,898				
00000	AA-1126005	01/01/2012	Lloyd's Syndicate Number 4000	GBR	Catastrophe - Group	XXX Life Other				2,966				

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE S - PART 3 - SECTION 1**

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Amount in Force at End of Year	Reserve Credit Taken		11 Premiums	Outstanding Surplus Relief		14 Modified Coinsurance Reserve	15 Funds Withheld Under Coinsurance
								9 Current Year	10 Prior Year		12 Current Year	13 Prior Year		
00000	AA-1126004	01/01/2019	Lloyd's Syndicate Number 4444	GBR	Catastrophe - Group	XXX Life Other				5,932				
00000	AA-1126005	01/01/2012	Lloyd's Syndicate Number 4472	GBR	Catastrophe - Group	XXX Life Other				5,476				
00000	AA-1120080	01/01/2018	Lloyd's Syndicate Number 5151	GBR	Catastrophe - Group	XXX Life Other				(453)				
00000	AA-1780096	01/01/2014	Partner Reinsurance Europe SE	IRL	Other reinsurance - Group	XXX Life Other	539,000	137	465					
00000	AA-3191321	07/01/2017	Sirius Bermuda Insurance Company Limited	BMU	Other reinsurance - Group	XXX Life Other				1,633				
00000	AA-1580095	07/01/2003	The TOA Reinsurance Company Limited	JPN	Other reinsurance - Group	XXX Life Other	142,571,000	36,258	35,724	39,473				
2099999. General Account - Unauthorized Non-U.S. Non-Affiliates							143,110,000	36,395	36,189	92,148				
2199999. Total General Account - Unauthorized Non-Affiliates							143,110,000	36,395	36,189	112,691				
2299999. Total General Account Unauthorized							143,110,000	36,395	36,189	112,691				
2599999. Total General Account - Certified U.S. Affiliates														
2899999. Total General Account - Certified Non-U.S. Affiliates														
2999999. Total General Account - Certified Affiliates														
3299999. Total General Account - Certified Non-Affiliates														
3399999. Total General Account Certified														
3699999. Total General Account - Reciprocal Jurisdiction U.S. Affiliates														
3999999. Total General Account - Reciprocal Jurisdiction Non-U.S. Affiliates														
4099999. Total General Account - Reciprocal Jurisdiction Affiliates														
4399999. Total General Account - Reciprocal Jurisdiction Non-Affiliates														
4499999. Total General Account Reciprocal Jurisdiction														
4599999. Total General Account Authorized, Unauthorized, Reciprocal Jurisdiction and Certified							620,203,000	139,759,191	141,436,145	245,013				
4899999. Total Separate Accounts - Authorized U.S. Affiliates														
5199999. Total Separate Accounts - Authorized Non-U.S. Affiliates														
5299999. Total Separate Accounts - Authorized Affiliates														
5599999. Total Separate Accounts - Authorized Non-Affiliates														
5699999. Total Separate Accounts Authorized														
5999999. Total Separate Accounts - Unauthorized U.S. Affiliates														
6299999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates														
6399999. Total Separate Accounts - Unauthorized Affiliates														
6699999. Total Separate Accounts - Unauthorized Non-Affiliates														
6799999. Total Separate Accounts Unauthorized														
7099999. Total Separate Accounts - Certified U.S. Affiliates														
7399999. Total Separate Accounts - Certified Non-U.S. Affiliates														
7499999. Total Separate Accounts - Certified Affiliates														
7799999. Total Separate Accounts - Certified Non-Affiliates														
7899999. Total Separate Accounts Certified														
8199999. Total Separate Accounts - Reciprocal Jurisdiction U.S. Affiliates														
8499999. Total Separate Accounts - Reciprocal Jurisdiction Non-U.S. Affiliates														
8599999. Total Separate Accounts - Reciprocal Jurisdiction Affiliates														
8899999. Total Separate Accounts - Reciprocal Jurisdiction Non-Affiliates														
8999999. Total Separate Accounts Reciprocal Jurisdiction														
9099999. Total Separate Accounts Authorized, Unauthorized, Reciprocal Jurisdiction and Certified														
9199999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3699999, 4199999, 4899999, 5399999, 5999999, 6499999, 7099999, 7599999, 8199999 and 8699999)							477,093,000	139,722,796	141,399,956	152,865				
9299999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 3999999, 4299999, 5199999, 5499999, 6299999, 6599999, 7399999, 7699999, 8499999 and 8799999)							143,110,000	36,395	36,189	92,148				
9999999 - Totals							620,203,000	139,759,191	141,436,145	245,013				

44.1

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE S - PART 3 - SECTION 2**

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Premiums	9 Unearned Premiums (Estimated)	10 Reserve Credit Taken Other than for Unearned Premiums	Outstanding Surplus Relief		13 Modified Coinsurance Reserve	14 Funds Withheld Under Coinsurance
										11 Current Year	12 Prior Year		
0399999. Total General Account - Authorized U.S. Affiliates													
0699999. Total General Account - Authorized Non-U.S. Affiliates													
0799999. Total General Account - Authorized Affiliates													
67369	59-1031071	12/31/2020	Cigna Health & Life Insurance Company	CT	Other reinsurance - Group	OH	2,167,503						
41718	75-1844564	01/01/2021	Endurance Speciality Insurance Company	DE	Other reinsurance - Group	OH	1,704						
88340	59-2859797	01/01/2012	Hannover Life Reassurance Company of America	FL	Other reinsurance - Group	OH	7,006						
42374	74-2195939	01/01/2007	Houston Casualty Company	TX	Other reinsurance - Group	OH	35,652						
66346	58-0828824	04/01/2002	Munich American Reassurance Company	GA	OTH/G	LTDI			4,108,982				
66346	58-0828824	01/01/2021	Munich American Reassurance Company - 2021	GA	OTH/G	LTDI	227,471		285,193				
93572	43-1235868	12/27/1995	RGA Reinsurance Company	MO	OTH/G	LTDI			94,614				
97071	13-3126819	01/01/2017	SCOR Global Life USA Reinsurance Company	DE	Other reinsurance - Group	OH	49,201						
82627	06-0839705	01/01/2013	Swiss Re Life & Health America Inc.	MO	OTH/G	LTDI			4,298,582				
19453	13-5616275	01/01/2007	Transatlantic Reinsurance Company	NY	Other reinsurance - Group	Other Health	2,817						
21113	13-5459190	01/01/2021	United States Fire Insurance Company	DE	Other reinsurance - Group	OH	5,111						
16535	36-4233459	01/01/2012	Zurich American Insurance Company	NY	Other reinsurance - Group	OH	43,732						
0899999. General Account - Authorized U.S. Non-Affiliates							2,540,197		8,787,371				
00000	AA-1126510	01/01/2009	Lloyd's Syndicate Number 0510	GBR	Other reinsurance - Group	Other Health	12,998						
00000	AA-1126566	01/01/2007	Lloyd's Syndicate Number 0566	GBR	Other reinsurance - Group	Other Health	8,061						
00000	AA-1126609	01/01/2019	Lloyd's Syndicate Number 0609	GBR	Other reinsurance - Group	Other Health	3,866						
00000	AA-1120085	01/01/2020	Lloyd's Syndicate Number 1274	GBR	Other reinsurance - Group	Other Health	60						
00000	AA-1120096	01/01/2021	Lloyd's Syndicate Number 1880	GBR	Other reinsurance - Group	Other Health	1,704						
00000	AA-1120064	01/01/2018	Lloyd's Syndicate Number 1919	GBR	Other reinsurance - Group	Other Health	4,499						
00000	AA-1128001	07/01/2003	Lloyd's Syndicate Number 2001	GBR	Other reinsurance - Group	Other Health	4,230						
00000	AA-1128003	01/01/2008	Lloyd's Syndicate Number 2003	GBR	Other reinsurance - Group	Other Health	6,027						

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE S - PART 3 - SECTION 2**

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Premiums	9 Unearned Premiums (Estimated)	10 Reserve Credit Taken Other than for Unearned Premiums	Outstanding Surplus Relief		13 Modified Coinsurance Reserve	14 Funds Withheld Under Coinsurance
										11 Current Year	12 Prior Year		
00000	AA-1120055	01/01/2009	Lloyd's Syndicate Number 3623	GBR	Other reinsurance - Group	Other Health	15,640						
00000	AA-1120116	01/01/2018	Lloyd's Syndicate Number 3902	GBR	Other reinsurance - Group	Other Health	15,019						
00000	AA-1126005	01/01/2012	Lloyd's Syndicate Number 4000	GBR	Other reinsurance - Group	Other Health	14,100						
00000	AA-1126004	01/01/2019	Lloyd's Syndicate Number 4444	GBR	Other reinsurance - Group	Other Health	16,249						
00000	AA-1126006	01/01/2010	Lloyd's Syndicate Number 4472	GBR	Other reinsurance - Group	Other Health	6,357						
00000	AA-1780096	01/01/2018	Lloyd's Syndicate Number 5151	GBR	Other reinsurance - Group	Other Health	1,307						
0999999. General Account - Authorized Non-U.S. Non-Affiliates							110,117						
1099999. Total General Account - Authorized Non-Affiliates							2,650,314		8,787,371				
1199999. Total General Account Authorized							2,650,314		8,787,371				
1499999. Total General Account - Unauthorized U.S. Affiliates													
1799999. Total General Account - Unauthorized Non-U.S. Affiliates													
1899999. Total General Account - Unauthorized Affiliates													
00000	AA-1580095	07/01/2003	The TOA Reinsurance Company Limited	JPN	OTH/G	OH	30,566						
00000	AA-3191321	01/01/2017	Sirius Bermuda Insurance Company Limited	BMU	OTH/G	OH	5,835						
2099999. General Account - Unauthorized Non-U.S. Non-Affiliates							36,401						
2199999. Total General Account - Unauthorized Non-Affiliates							36,401						
2299999. Total General Account Unauthorized							36,401						
2599999. Total General Account - Certified U.S. Affiliates													
2899999. Total General Account - Certified Non-U.S. Affiliates													
2999999. Total General Account - Certified Affiliates													
3299999. Total General Account - Certified Non-Affiliates													
3399999. Total General Account Certified													
3699999. Total General Account - Reciprocal Jurisdiction U.S. Affiliates													
3999999. Total General Account - Reciprocal Jurisdiction Non-U.S. Affiliates													
4099999. Total General Account - Reciprocal Jurisdiction Affiliates													
4399999. Total General Account - Reciprocal Jurisdiction Non-Affiliates													
4499999. Total General Account Reciprocal Jurisdiction													
4599999. Total General Account Authorized, Unauthorized, Reciprocal Jurisdiction and Certified							2,686,715		8,787,371				
4899999. Total Separate Accounts - Authorized U.S. Affiliates													
5199999. Total Separate Accounts - Authorized Non-U.S. Affiliates													
5299999. Total Separate Accounts - Authorized Affiliates													
5599999. Total Separate Accounts - Authorized Non-Affiliates													
5699999. Total Separate Accounts Authorized													
5999999. Total Separate Accounts - Unauthorized U.S. Affiliates													
6299999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates													
6399999. Total Separate Accounts - Unauthorized Affiliates													
6699999. Total Separate Accounts - Unauthorized Non-Affiliates													
6799999. Total Separate Accounts Unauthorized													
7099999. Total Separate Accounts - Certified U.S. Affiliates													
7399999. Total Separate Accounts - Certified Non-U.S. Affiliates													
7499999. Total Separate Accounts - Certified Affiliates													
7799999. Total Separate Accounts - Certified Non-Affiliates													

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ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE S - PART 3 - SECTION 2**

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Premiums	9 Unearned Premiums (Estimated)	10 Reserve Credit Taken Other than for Unearned Premiums	Outstanding Surplus Relief		13 Modified Coinsurance Reserve	14 Funds Withheld Under Coinsurance
										11 Current Year	12 Prior Year		
7899999. Total Separate Accounts Certified													
8199999. Total Separate Accounts - Reciprocal Jurisdiction U.S. Affiliates													
8499999. Total Separate Accounts - Reciprocal Jurisdiction Non-U.S. Affiliates													
8599999. Total Separate Accounts - Reciprocal Jurisdiction Affiliates													
8899999. Total Separate Accounts - Reciprocal Jurisdiction Non-Affiliates													
8999999. Total Separate Accounts Reciprocal Jurisdiction													
9099999. Total Separate Accounts Authorized, Unauthorized, Reciprocal Jurisdiction and Certified													
9199999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3699999, 4199999, 4899999, 5399999, 5999999, 6499999, 7099999, 7599999, 8199999 and 8699999)							2,540,197		8,787,371				
9299999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 3999999, 4299999, 5199999, 5499999, 6299999, 6599999, 7399999, 7699999, 8499999 and 8799999)							146,518						
9999999 - Totals							2,686,715		8,787,371				

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE S - PART 4**

Reinsurance Ceded to Unauthorized Companies

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Reserve Credit Taken	6 Paid and Unpaid Losses Recoverable (Debit)	7 Other Debits	8 Total (Cols.5+6+7)	9 Letters of Credit	10 Issuing or Confirming Bank Reference Number (a)	11 Trust Agreements	12 Funds Deposited by and Withheld from Reinsurers	13 Other	14 Miscellaneous Balances (Credit)	15 Sum of Cols. 9+11+12+13 +14 but not in Excess of Col. 8
0399999. Total General Account - Life and Annuity U.S. Affiliates														
0699999. Total General Account - Life and Annuity Non-U.S. Affiliates														
0799999. Total General Account - Life and Annuity Affiliates														
.....00000	AA-1780096	01/01/2016	Partner Reinsurance Europe SE		31		31						100	31
.....00000	AA-3191321	01/01/2017	Sirius Bermuda Insurance Company Limited										3,408	
.....00000	AA-1580095	07/01/2003	The TOA Reinsurance Company Limited		100,211		100,211	128,352	0001				17,040	100,211
0999999. General Account - Life and Annuity Non-U.S. Non-Affiliates														
1099999. Total General Account - Life and Annuity Non-Affiliates														
1199999. Total General Account Life and Annuity														
1499999. Total General Account - Accident and Health U.S. Affiliates														
1799999. Total General Account - Accident and Health Non-U.S. Affiliates														
1899999. Total General Account - Accident and Health Affiliates														
.....00000	AA-1780096	01/01/2016	Partner Reinsurance Europe SE	137	81		218						250	218
.....00000	AA-3191321	01/01/2017	Sirius Bermuda Insurance Company Limited		30,599		30,599						32,133	30,599
.....00000	AA-1580095	07/01/2003	The TOA Reinsurance Company Limited	36,258	153,630		189,888	124,274	0001				65,614	189,888
2099999. General Account - Accident and Health Non-U.S. Non-Affiliates														
2199999. Total General Account - Accident and Health Non-Affiliates														
2299999. Total General Account Accident and Health														
2399999. Total General Account														
2699999. Total Separate Accounts - U.S. Affiliates														
2999999. Total Separate Accounts - Non-U.S. Affiliates														
3099999. Total Separate Accounts - Affiliates														
3399999. Total Separate Accounts - Non-Affiliates														
3499999. Total Separate Accounts														
3599999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2699999 and 3199999)														
3699999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2999999 and 3299999)														
9999999 - Totals														

(a)

Issuing or Confirming Bank Reference Number	Letters of Credit Code	American Bankers Association (ABA) Routing Number	Issuing or Confirming Bank Name	Letters of Credit Amount
0001	1	02611947	The Shizuoka Bank	252,626

Schedule S - Part 5

**NONE**

Schedule S - Part 5 - Bank Footnote

**NONE**

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE S - PART 6**

Five Year Exhibit of Reinsurance Ceded Business  
(\$000 Omitted)

	1 2021	2 2020	3 2019	4 2018	5 2017
<b>A. OPERATIONS ITEMS</b>					
1. Premiums and annuity considerations for life and accident and health contracts .....	2,932	1,880	1,663	2,186	2,007
2. Commissions and reinsurance expense allowances .....	218	52			
3. Contract claims .....	13,497	18,291	14,026	12,465	13,684
4. Surrender benefits and withdrawals for life contracts .....					
5. Dividends to policyholders and refunds to members .....					
6. Reserve adjustments on reinsurance ceded .....					
7. Increase in aggregate reserve for life and accident and health contracts .....	(1,841)	(2,062)	738	1,062	(2,173)
<b>B. BALANCE SHEET ITEMS</b>					
8. Premiums and annuity considerations for life and accident and health contracts deferred and uncollected .....	965	1,025	1,220	1,567	1,326
9. Aggregate reserves for life and accident and health contracts .....	148,547	150,387	158,015	155,385	153,745
10. Liability for deposit-type contracts .....	271	263	255	248	241
11. Contract claims unpaid .....	343	879	296	249	179
12. Amounts recoverable on reinsurance .....	2,330	3,919	1,838	1,378	1,196
13. Experience rating refunds due or unpaid .....					
14. Policyholders' dividends and refunds to members (not included in Line 10) .....					
15. Commissions and reinsurance expense allowances due .....					
16. Unauthorized reinsurance offset .....					
17. Offset for reinsurance with Certified Reinsurers .....					
<b>C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)</b>					
18. Funds deposited by and withheld from (F) .....					
19. Letters of credit (L) .....	253	253			
20. Trust agreements (T) .....					
21. Other (O) .....		626			
<b>D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)</b>					
22. Multiple Beneficiary Trust .....					
23. Funds deposited by and withheld from (F) .....					
24. Letters of credit (L) .....					
25. Trust agreements (T) .....					
26. Other (O) .....					

**SCHEDULE S - PART 7**

Restatement of Balance Sheet to Identify Net Credit for Ceded Reinsurance

	1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
<b>ASSETS (Page 2, Col. 3)</b>			
1. Cash and invested assets (Line 12) .....	459,298,082		459,298,082
2. Reinsurance (Line 16) .....	2,330,337	(2,330,337)	
3. Premiums and considerations (Line 15) .....	18,570,903	964,932	19,535,835
4. Net credit for ceded reinsurance .....	XXX	148,487,774	148,487,774
5. All other admitted assets (balance) .....	23,221,324		23,221,324
6. Total assets excluding Separate Accounts (Line 26) .....	503,420,646	147,122,369	650,543,015
7. Separate Account assets (Line 27) .....			
8. Total assets (Line 28) .....	503,420,646	147,122,369	650,543,015
<b>LIABILITIES, CAPITAL AND SURPLUS (Page 3)</b>			
9. Contract reserves (Lines 1 and 2) .....	287,063,486	148,275,770	435,339,256
10. Liability for deposit-type contracts (Line 3) .....	17,566,956	270,793	17,837,749
11. Claim reserves (Line 4) .....	38,357,610	343,487	38,701,097
12. Policyholder dividends/member refunds/reserves (Lines 5 through 7) .....			
13. Premium & annuity considerations received in advance (Line 8) .....			
14. Other contract liabilities (Line 9) .....	9,185,858	(1,767,681)	7,418,177
15. Reinsurance in unauthorized companies (Line 24.02 minus inset amount) .....			
16. Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount) .....			
17. Reinsurance with Certified Reinsurers (Line 24.02 inset amount) .....			
18. Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount) .....			
19. All other liabilities (balance) .....	39,374,341		39,374,341
20. Total liabilities excluding Separate Accounts (Line 26) .....	391,548,251	147,122,369	538,670,620
21. Separate Account liabilities (Line 27) .....			
22. Total liabilities (Line 28) .....	391,548,251	147,122,369	538,670,620
23. Capital & surplus (Line 38) .....	111,872,395	XXX	111,872,395
24. Total liabilities, capital & surplus (Line 39) .....	503,420,646	147,122,369	650,543,015
<b>NET CREDIT FOR CEDED REINSURANCE</b>			
25. Contract reserves .....	148,275,770		
26. Claim reserves .....	343,487		
27. Policyholder dividends/reserves .....			
28. Premium & annuity considerations received in advance .....			
29. Liability for deposit-type contracts .....	270,793		
30. Other contract liabilities .....	(1,767,681)		
31. Reinsurance ceded assets .....	2,330,337		
32. Other ceded reinsurance recoverables .....			
33. Total ceded reinsurance recoverables .....	149,452,706		
34. Premiums and considerations .....	964,932		
35. Reinsurance in unauthorized companies .....			
36. Funds held under reinsurance treaties with unauthorized reinsurers .....			
37. Reinsurance with Certified Reinsurers .....			
38. Funds held under reinsurance treaties with Certified Reinsurers .....			
39. Other ceded reinsurance payables/offsets .....			
40. Total ceded reinsurance payable/offsets .....	964,932		
41. Total net credit for ceded reinsurance .....	148,487,774		

**ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS (b)**

Allocated by States and Territories

States, Etc.	1	Life Contracts		Direct Business Only			
		2	3	4	5	6	7
	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5 (b)	Deposit-Type Contracts
1. Alabama	AL	L		390,192		390,192	
2. Alaska	AK	N					
3. Arizona	AZ	N					
4. Arkansas	AR	N					
5. California	CA	N					
6. Colorado	CO	N					
7. Connecticut	CT	N					
8. Delaware	DE	N					
9. District of Columbia	DC	L		465,012		465,012	
10. Florida	FL	N					
11. Georgia	GA	N					
12. Hawaii	HI	N					
13. Idaho	ID	N					
14. Illinois	IL	N					
15. Indiana	IN	N					
16. Iowa	IA	N					
17. Kansas	KS	N					
18. Kentucky	KY	N					
19. Louisiana	LA	N					
20. Maine	ME	N					
21. Maryland	MD	N					
22. Massachusetts	MA	N					
23. Michigan	MI	N					
24. Minnesota	MN	N					
25. Mississippi	MS	N					
26. Missouri	MO	L		2,044,756		2,044,756	
27. Montana	MT	N					
28. Nebraska	NE	N					
29. Nevada	NV	N					
30. New Hampshire	NH	N					
31. New Jersey	NJ	N					
32. New Mexico	NM	N					
33. New York	NY	L	58,226,713	194,097,206		252,323,919	
34. North Carolina	NC	N					
35. North Dakota	ND	N					
36. Ohio	OH	N					
37. Oklahoma	OK	N					
38. Oregon	OR	N					
39. Pennsylvania	PA	L	1,145,655	5,479,729		6,625,384	
40. Rhode Island	RI	N					
41. South Carolina	SC	N					
42. South Dakota	SD	N					
43. Tennessee	TN	L		1,999,663		1,999,663	
44. Texas	TX	N					
45. Utah	UT	N					
46. Vermont	VT	N					
47. Virginia	VA	N					
48. Washington	WA	N					
49. West Virginia	WV	N					
50. Wisconsin	WI	N					
51. Wyoming	WY	N					
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N					
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N					
58. Aggregate Other Alien	OT	XXX					
59. Subtotal	XXX	59,372,368		204,476,558		263,848,926	
90. Reporting entity contributions for employee benefits plans	XXX						
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						
93. Premium or annuity considerations waived under disability or other contract provisions	XXX						
94. Aggregate or other amounts not allocable by State	XXX						
95. Totals (Direct Business)	XXX	59,372,368		204,476,558		263,848,926	
96. Plus reinsurance assumed	XXX						
97. Totals (All Business)	XXX	59,372,368		204,476,558		263,848,926	
98. Less reinsurance ceded	XXX	265,799		2,686,716		2,952,515	
99. Totals (All Business) less Reinsurance Ceded	XXX	59,106,569		(c) 201,789,842		260,896,411	
<b>DETAILS OF WRITE-INS</b>							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						
9401.	XXX						
9402.	XXX						
9403.	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX						
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX						

(a) Active Status Counts:

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG ..... 6 R - Registered - Non-domiciled RRGs .....  
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state ..... Q - Qualified - Qualified or accredited reinsurer .....  
 N - None of the above - Not allowed to write business in the state ..... 51

(b) Explanation of basis of allocation by states, etc., of premiums and annuity considerations

INDIVIDUAL INSURANCE: ACCORDING TO RESIDENCE OF PAYOR. GROUP INSURANCE: ACCORDING TO THE CONTRACT STATE OF THE POLICYHOLDER (CASES W/ LESS THAN 500 LIVES). GROUP INSURANCE: ACCORDING TO THE BASIS PROPORTIONATE TO THE NUMBER OF INSURED RESIDING IN EACH STATE (CASES W/ MORE THAN 500 LIVES).

(c) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10, or with Schedule H, Part 1, Line 1, indicate which: Schedule H, Part 1, Line 1.....

**SCHEDULE T - PART 2  
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN**

Allocated by States and Territories

States, Etc.	Direct Business Only					Totals
	1 Life (Group and Individual)	2 Annuities (Group and Individual)	3 Disability Income (Group and Individual)	4 Long-Term Care (Group and Individual)	5 Deposit-Type Contracts	
1. Alabama	AL		381,401			381,401
2. Alaska	AK					
3. Arizona	AZ					
4. Arkansas	AR					
5. California	CA					
6. Colorado	CO					
7. Connecticut	CT					
8. Delaware	DE					
9. District of Columbia	DC		433,936			433,936
10. Florida	FL					
11. Georgia	GA					
12. Hawaii	HI					
13. Idaho	ID					
14. Illinois	IL					
15. Indiana	IN					
16. Iowa	IA					
17. Kansas	KS					
18. Kentucky	KY					
19. Louisiana	LA					
20. Maine	ME					
21. Maryland	MD					
22. Massachusetts	MA					
23. Michigan	MI					
24. Minnesota	MN					
25. Mississippi	MS					
26. Missouri	MO		1,987,192			1,987,192
27. Montana	MT					
28. Nebraska	NE					
29. Nevada	NV					
30. New Hampshire	NH					
31. New Jersey	NJ					
32. New Mexico	NM					
33. New York	NY	58,226,713	183,930,948			242,157,661
34. North Carolina	NC					
35. North Dakota	ND					
36. Ohio	OH					
37. Oklahoma	OK					
38. Oregon	OR					
39. Pennsylvania	PA	1,145,655	5,276,836			6,422,491
40. Rhode Island	RI					
41. South Carolina	SC					
42. South Dakota	SD					
43. Tennessee	TN		1,971,124			1,971,124
44. Texas	TX					
45. Utah	UT					
46. Vermont	VT					
47. Virginia	VA					
48. Washington	WA					
49. West Virginia	WV					
50. Wisconsin	WI					
51. Wyoming	WY					
52. American Samoa	AS					
53. Guam	GU					
54. Puerto Rico	PR					
55. U.S. Virgin Islands	VI					
56. Northern Mariana Islands	MP					
57. Canada	CAN					
58. Aggregate Other Alien	OT					
59. Total		59,372,368	193,981,437			253,353,805

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

The following entities are directly controlled by New York Life Insurance Company (Parent) (entities that are indented are directly controlled by the preceding entity).

New York Life Insurance and Annuity Corporation (91596) (DE)  
 NYLIFE Insurance Company of Arizona (81353) (AZ)  
 New York Life Enterprises LLC (See page 52.3 for entity's org chart) (DE)  
 NYLIFE LLC (See page 52.3 for entity's org chart) (DE)  
 NYL Investors LLC (See page 52.4 for entity's org chart) (DE)  
 New York Life Investment Management Holdings LLC (See page 52.5 for entity's org chart) (DE)  
 NYLife Real Estate Holdings LLC (See page 52.11 for entity's org chart) (DE)  
 New York Life Group Insurance Company of NY (NY)  
 Life Insurance Company of North America (PA)  
     LINA Benefit Payments, Inc. (DE)  
 New York Life Benefit Payments LLC (DE)  
 NYL Real Assets LLC (DE)  
 NYL Emerging Manager LLC (DE)  
 NYL Wind Investments LLC (DE)  
 NYLIC HKP Member LLC (DE)  
 NYLIM Jacob Ballas India Holdings IV (MUS)  
 Flatiron RR LLC (DE)  
 Flatiron CLO 2013-1-Ltd. (CYM)  
 Flatiron CLO 2015-1 Ltd (CYM)  
 Flatiron CLO 17 Ltd. (CYM)  
 Flatiron CLO 18 Ltd. (CYM)  
 Flatiron CLO 18 Funding Ltd. (CYM)  
 Flatiron CLO 20 Funding Ltd. (CYM)  
 Flatiron CLO 21 Ltd. (CYM)  
 Flatiron RR CLO 22. LLC (CYM)  
 Flatiron CLO 23 Ltd. (CYM)  
 Flatiron RR CLO 24 Ltd. (CYM)  
 Flatiron RR LLC, Manager Series (DE Series LLC) (DE)  
 Flatiron RR LLC, Retention Series (DE Series LLC) (DE)  
 Stratford CDO 2001-1 Ltd. (CYM)  
 Silver Spring, LLC (DE)  
     Silver Spring Associates, L.P. (PA)  
 SCP 2005-C21-002 LLC (DE)  
 SCP 2005-C21-003 LLC (DE)  
 SCP 2005-C21-006 LLC (DE)  
 SCP 2005-C21-007-LLC (DE)  
 SCP 2005-C21-008 LLC (DE)  
 SCP 2005-C21-009 LLC (DE)  
 SCP 2005-C21-017 LLC (DE)  
 SCP 2005-C21-018 LLC (DE)  
 SCP 2005-C21-021 LLC (DE)  
 SCP 2005-C21-025 LLC (DE)  
 SCP 2005-C21-031 LLC (DE)  
 SCP 2005-C21-036 LLC (DE)  
 SCP 2005-C21-041 LLC (DE)  
 SCP 2005-C21-043 LLC (DE)  
 SCP 2005-C21-044 LLC (DE)  
 SCP 2005-C21-048 LLC (DE)  
 SCP 2005-C21-061 LLC (DE)

SCP 2005-C21-063 LLC (DE)  
 SCP 2005-C21-067 LLC (DE)  
 SCP 2005-C21-069 LLC (DE)  
 SCP 2005-C21-070 LLC (DE)  
 NYMH-Ennis GP, LLC (DE)  
     NYMH-Ennis, L.P. (TX)  
 NYMH-Freeport GP, LLC (DE)  
     NYMH-Freeport, L.P. (TX)  
 NYMH-Houston GP, LLC (DE)  
     NYMH-Houston, L.P. (TX)  
 NYMH-Plano GP, LLC (DE)  
     NYMH-Plano, L.P. (TX)  
 NYMH-San Antonio GP, LLC (DE)  
     NYMH-San Antonio, L.P. (TX)  
 NYMH-Stephenville GP, LLC (DE)  
     NYMH-Stephenville, L.P. (TX)  
 NYMH-Taylor GP, LLC (DE)  
     NYMH-Taylor, L.P. (TX)  
 NYMH-Attleboro MA, LLC (DE)  
 NYMH-Farmingdale, NY, LLC (DE)  
 NYLMDC-King of Prussia GP, LLC (DE)  
     NYLMDC-King of Prussia Realty, LP (DE)  
 2015 DIL PORTFOLIO HOLDINGS LLC (DE)  
     PA 180 KOST RD LLC (DE)  
 2017 CT REO HOLDINGS LLC (DE)  
 Cortlandt Town Center LLC (DE)  
 REEP-HZ SPENCER LLC (DE)  
 REEP-IND 10 WEST AZ LLC (DE)  
 REEP-IND 4700 Nall TX LLC (DE)  
 REEP-IND Aegean MA LLC (DE)  
 REEP-IND Alpha TX LLC (DE)  
 REEP-IND MCP VIII NC LLC (DE)  
 REEP-IND CHINO CA LLC (DE)  
 REEP-IND FRANKLIN MA HOLDER LLC (DE)  
 REEP-IND FREEDOM MA LLC (DE)  
 REEP-IND Fridley MN LLC (MN)  
 REEP-IND Green Oaks IL LLC (DE)  
 REEP-IND Kent LLC (DE)  
 REEP-IND LYMAN MA LLC (DE)  
 REEP-IND MCP II NC LLC (DE)  
 REEP-IND MCP III NC LLC (DE)  
 REEP-IND MCP IV NC LLC (DE)  
 REEP-IND MCP V NC LLC (DE)  
 REEP-IND MCP VII NC LLC (DE)  
 REEP-IND MCP III OWNER NC LLC (DE)  
 REEP-IND RTG NC LLC (DE)  
 REEP-IND Simonton TX LLC (DE)  
 REEP-IND Valley View TX LLC (DE)  
 REEP-IND Valwood TX LLC (DE)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

**New York Life Insurance Company (Parent)** (continued)

REEP-MF 960 East Paces Ferry GA LLC (DE)  
 REEP-MF 960 EPF Opco GA LLC (DE)  
 REEP-MF Emblem DE LLC (DE)  
 REEP-MF Gateway TAF UT LLC (DE)  
     REEP-WP Gateway TAB JV LLC (DE)  
 REEP-MF Issaquah WA LLC (DE)  
 REEP-MF Mount Vernon GA LLC (DE)  
 REEP-MF Mount Laurel NJ LLC (DE)  
 REEP-MF NORTH PARK CA LLC (DE)  
 REEP-MF AVERY TX LLC (DE)  
     REEP-AVERY OWNER LLC (DE)  
 REEP-MF Verde NC LLC (DE)  
 REEP-MF Wallingford WA LLC (DE)  
 REEP-MF STEWART AZ OLDER LLC (DE)  
 REEP-MF STEWART AZ (DE)  
 REEP-OFC Bellevue WA LLC (DE)  
 REEP-OFC Financial Center FL LLC (DE)  
 REEP-OFC WATER RIDGE NC HOLDCO LLC (DE)  
 REEP-OFC ONE WATER RIDGE NC LLC (DE)  
 REEP-OFC TWO WATER RIDGE NC LLC (DE)  
 REEP-OFC FOUR WATER RIDGE NC LLC (DE)  
 REEP-OFC FIVE WATER RIDGE NC LLC (DE)  
 REEP-OFC SIX WATER RIDGE NC LLC (DE)  
 REEP-OFC SEVEN WATER RIDGE NC LLC (DE)  
 REEP-OFC EIGHT WATER RIDGE NC LLC (DE)  
 REEP-OFC NINE WATER RIDGE NC LLC (DE)  
 REEP-OFC TEN WATER RIDGE NC LLC (DE)  
 REEP-OFC ELEVEN WATER RIDGE NC LLC (DE)  
 REEP-MF FOUNTAIN PLACE MN LLC (DE)  
     REEP-MF FOUNTAIN PLACE LLC (DE)  
 REEP-MF Park-Line FL LLC (DE)  
 REEP-OFC 2300 Empire CA LLC (DE)  
 REEP-IND 10 WEST II AZ LLC (DE)  
 REEP-RTL Flemington NJ LLC (DE)  
 REEP-RTL Mill Creek NJ LLC (DE)  
 REEP-RTL NPM GA LLC (DE)  
 REEP TAB ONE LLC (DE)  
 REEP-RTL DTC VA LLC (DE)  
 REEP-RTL DTC-S VA LLC (DE)  
 NJIND Raritan Center LLC (DE)  
 NJIND Talmadge Road LLC (DE)  
 NJIND Melrich Road LLC (DE)  
 FP Building 18, LLC (DE)  
 FP Building 19, LLC (DE)  
 Enclave CAF, LLC (DE)  
 Summitt Ridge Apartments, LLC (DE)  
 PTC Acquisitions, LLC (DE)  
 Martingale Road LLC (DE)  
 New York Life Funding (CYM)

New York Life Global Funding (DE)  
 Government Energy Savings Trust 2003-A (NY)  
 UFI-NOR Federal Receivables Trust, Series 2009B (NY)  
 JREP Fund Holdings I, L.P. (CYM)  
 Jaguar Real Estate Partners L.P. (CYM)  
 NYLIFE Office Holdings Member LLC (DE)  
     NYLIFE Office Holdings LLC (DE)  
         NYLIFE Office Holdings REIT LLC (DE)  
             REEP-OFC DRAKES LANDING CA LLC (DE)  
             REEP-OFC CORPORATE POINTE CA LLC (DE)  
             REEP-OFC VON KARMAN CA LLC (DE)  
             REEP-OFC ONE BOWDOIN SQUARE MA LLC (DE)  
             REEP-OFC 525 N Tryon NC LLC (DE)  
                 525 Charlotte Office LLC (DE)  
             NYLIFE Office Holdings Acquisition REIT LLC (DE)  
                 REEP-OFC Westory DC LLC (DE)  
 Skyhigh SPV Note Issuer 2020 Parent Trust (DE)  
 Skyhigh SPV Note Issuer 2020 LLC (DE)  
 MSVEF Investor LLC (DE)  
     MSVEF Feeder LP (DE)  
         MSVEF REIT LLC (DE)  
             Madison Square Value Enhancement Fund LP (DE)  
                 MSVEF-MF Evanston GP LLC (DE)  
                     MSVEF-MF Evanston IL LP (DE)  
                 MSVEF-MF HUNTINGTON PARK GP LLC (DE)  
                     MSVEF-MF HUNTINGTON PARK WA LP (DE)  
                 MSVEF-OFC Tampa GP LLC (DE)  
                     MSVEF-OFC WFC Tampa FL LP (DE)  
                         MSVEF-FG WFC Tampa JV LP (DE)  
                             MSVEF-OFC WFC Tampa PO GP LLC (DE)  
                                 MSVEF-FG WFC Property Owner LP (DE)  
                 MSVEF-IND Commerce 303 GP LLC (DE)  
                     MSVEF-IND Commerce 303 AZ LP (DE)  
                         MSVEF-SW Commerce 303 JV LP (DE)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

**New York Life Enterprises LLC**

SEAF Sichuan SME Investment Fund LLC (DE)  
New York Life International Holdings Limited (MUS)  
MAX Ventures and Industries Limited (IND)  
    Max I Ltd. (IND)  
    Max Assets Services Ltd. (IND)  
    Max Specialty Films Ltd. (IND)  
    Max Estates Ltd. (IND)  
        Max Square Limited (IND)  
        Pharmax Corporation Ltd. (IND)  
        Wise Zone Builders Pvt. Ltd. (IND)  
NYL Cayman Holdings Ltd. (CYM)  
    NYL Worldwide Capital Investments LLC (DE)  
Seguros Monterrey New York Life, S.A. de C.V. (MEX)  
    Administradora de Conductos SMNYL, S.A. de C.V. (MEX)  
    Agencias de Distribucion SMNYL, S.A. de C.V. (MEX)  
    Inmobiliaria SMNYL, S.A. de C.V. (MEX)

**NYLIFE LLC**

Eagle Strategies LLC (DE)  
Fabric of Family LLC (DE)  
New York Life Capital Corporation (DE)  
New York Life Trust Company (NY)  
NYLIFE Securities LLC (DE)  
NYLINK Insurance Agency Incorporated (DE)  
NYLUK I Company (GBR)  
    NYLUK II Company (GBR)  
        Gresham Mortgage (GBR)  
        W Construction Company (GBR)  
        WUT (GBR)  
        WIM (AIM) (GBR)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

NYL Investors LLC

NYL Investors U.K. Limited (GBR)  
 NYL Investors REIT Manager LLC (DE)  
 NYL Investors NCVAD II GP, LLC (DE)  
     McMorgan Northern California Value Add/Development Fund II, LP (DE)  
         MNCVAD II-MF HENLEY CA LLC (DE)  
             MNCVAD II-SP HENLEY JV LLC (DE)  
                 MNCVAD II-SP HENLEY OWNER LLC (DE)  
         MNCVAD II-OFC 770 L Street CA LLC (DE)  
         MNCVAD II-MF UNION CA LLC (DE)  
             MNCVAD II- HOLLIDAY UNION JV LLC (DE)  
         MNCVAD II-OFC HARBORS CA LLC (DE)  
             MNCVAD II-SEAGATE HARBORS LLC (DE)  
         MNCVAD II-OFC 630 K Street CA LLC (DE)  
 MSSDF GP LLC (DE)  
 MSSDF Member LLC (DE)  
     Madison Square Structured Debt Fund LP (DE)  
         MSSDF REIT LLC (DE)  
             MSSDF REIT Funding Sub I LLC (DE)  
             MSSDF REIT Funding Sub II LLC (DE)  
             MSSDF REIT Funding Sub III LLC (DE)  
             MSSDF REIT Funding Sub IV LLC (DE)  
             MSSDF REIT Funding Sub V LLC (DE)  
 MSVEF GP LLC (DE)  
 MCPF GP LLC (DE)  
 Madison Core Property Fund LP (DE)  
     MCPF Holdings Manager LLC (DE)  
     MCPF MA Holdings LLC (DE)  
     MCPF Holdings LLC (DE)  
         MADISON-IND TAMARAC FL LLC (DE)  
     MIREF Mill Creek, LLC (DE)  
     MIREF Gateway, LLC (DE)  
     MIREF Gateway Phases II and III, LLC (DE)  
     MIREF Delta Court, LLC (DE)  
     MIREF Fremont Distribution Center, LLC (DE)  
     MIREF Century, LLC (DE)  
     MIREF Saddle River LLC (DE)  
     MIREF Newpoint Commons, LLC (DE)  
     MIREF Northsight, LLC (DE)  
     MIREF Riverside, LLC (DE)  
     MIREF Corporate Woods, LLC (DE)  
     Barton's Lodge Apartments, LLC (DE)  
     MIREF 101 East Crossroads, LLC (DE)  
         101 East Crossroads, LLC (DE)  
     MIREF Hawthorne, LLC (DE)  
     MIREF Auburn 277, LLC (DE)  
     MIREF Sumner North, LLC (DE)  
     MIREF Wellington, LLC (DE)  
     MIREF Warner Center, LLC (DE)

MADISON-MF Duluth GA LLC (DE)  
 MADISON-OFC Centerstone I CA LLC (DE)  
 MADISON-OFC Centerstone III CA LLC (DE)  
 MADISON-MOB Centerstone IV CA LLC (DE)  
 MADISON-OFC Centerpoint Plaza CA LLC (DE)  
 MADISON-IND Logistics NC LLC (DE)  
     MCPF-LRC Logistics LLC (DE)  
 MADISON-MF Desert Mirage AZ LLC (DE)  
 MADISON-OFC One Main Place OR LLC (DE)  
 MADISON-IND Fenton MO LLC (DE)  
 MADISON-IND Hitzert Roadway MO LLC (DE)  
 MADISON-MF Hoyt OR LLC (DE)  
 MADISON-RTL Clifton Heights PA LLC (DE)  
 MADISON-IND Locust CA LLC (DE)  
 MADISON-OFC Weston Pointe FL LLC (DE)  
 MADISON-SP Henderson LLC (DE)  
 MADISON-MF MCCADDEN CA LLC (DE)  
 MADISON-OFC 1201 WEST IL LLC (DE)  
     MADISON-MCCAFFERY 1201 WEST IL LLC (DE)  
 MADISON-MF CRESTONE AZ LLC (DE)  
 MADISON-MF TECH RIDGE TX LLC (DE)  
 MADISON-RTL SARASOTA FL, LLC (DE)  
 MADISON-MOB CITRACADO CA LLC (DE)  
 MADISON-ACG THE MEADOWS WA LLC (DE)  
     MADISON-ACG THE MEADOWS JV LLC (DE)  
         MADISON-ACG THE MEADOWS OWNER LLC (DE)  
 Madison-MF Osprey QRS Inc. (DE)  
     Madison-MF Osprey NC GP LLC (DE)  
         Madison-MF Osprey NC LP (DE)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

**New York Life Investment Management Holdings LLC**

<p>New York Life Investment Management Asia Limited (CYM)                  MacKay Shields LLC (DE)                  MacKay Shields Emerging Markets Debt Portfolio (DE)                  MacKay Shields Core Plus Opportunities Fund GP LLC (DE)                      MacKay Shields Core Plus / Opportunities Fund LP (DE)                  MacKay Municipal Managers Opportunities GP LLC (DE)                      MacKay Municipal Opportunities Master Fund, L.P. (DE)                      MacKay Municipal Opportunities Fund, L.P. (DE)                  MacKay Municipal Managers Credit Opportunities GP, LLC (DE)                      MacKay Municipal Credit Opportunities Master Fund, L.P. (DE)                      MacKay Municipal Credit Opportunities Fund, L.P. (DE)                      MacKay Municipal Credit Opportunities HL Fund, L.P. (DE)                  MacKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC (CYM)                      MacKay Municipal Credit Opportunities HL (Cayman) Fund, LP (CYM)                  MacKay Municipal Short Term Opportunities Fund GP LLC (DE)                      MacKay Municipal Short Term Opportunities Fund LP (DE)                  Plainview Funds plc (IRL)                  MacKay Shields High Yield Active Core Fund GP LLC (DE)                      MacKay Shields High Yield Active Core Fund LP (DE)                  MacKay Shields Credit Strategy Fund Ltd (CYM)                  MacKay Shields Credit Strategy Partners LP (DE)                  MacKay Shields Defensive Bond Arbitrage Fund Ltd. (BMU)                  MacKay Shields Core Fixed Income Fund GP LLC (DE)                      MacKay Shields Core Fixed Income Fund LP (DE)                  MacKay Shields Select Credit Opportunities Fund GP LLC (DE)                      MacKay Shields Select Credit Opportunities Fund LP (DE)                  MacKay Shields (International) Ltd. (GBR)                  MacKay Shields (Services) Ltd. (GBR)                      MacKay Shields UK LLP (GBR)                  MacKay Municipal Managers California Opportunities GP LLC (DE)                      MacKay Municipal California Opportunities Fund, L.P. (DE)                  MacKay Municipal New York Opportunities GP LLC (DE)                      MacKay Municipal New York Opportunities Fund, L.P. (DE)                      MacKay Municipal Opportunity HL Fund, L.P. (DE)                  MacKay Municipal Capital Trading GP LLC (DE)                      MacKay Municipal Capital Trading Master Fund, L.P. (DE)                      MacKay Municipal Capital Trading Fund, L.P. (DE)                  MacKay Municipal Managers Strategic Opportunities GP LLC (DE)                      MacKay Municipal Managers Strategic Opportunities Fund, L.P. (DE)                  MacKay Shields US Equity Market Neutral Fund GP LLC (DE)                      MacKay Cornerstone US Equity Market Neutral Fund LP (DE)                  MacKay Shields Intermediate Bond Fund GP LLC (DE)                      MacKay Shields Intermediate Bond Fund LP (DE)                  MacKay Shields General Partner (L/S) LLC (DE)                      MacKay Shields Long/Short Fund (Master) (DE)                  MacKay Municipal Managers Opportunities Allocation GP LLC (DE)                      MacKay Municipal Opportunities Allocation Master Fund LP (DE)                      MacKay Municipal Opportunities Allocation Fund A LP (DE)                      MacKay Municipal Opportunities Allocation Fund B LP (DE)</p>	<p>Mackay Municipal Managers U.S. Infrastructure - Opportunities GP LLC (DE)                      MacKay Municipal U.S. Infrastructure Opportunities Fund LP (DE)                  MacKay Municipal Managers High Yield Select GP LLC (DE)                      MacKay Municipal High Yield Select Fund LP (DE)                  MacKay Flexible Income Fund GP LLC (DE)                      MacKay Flexible Income Fund LP (DE)                  MacKay Multi-Asset Real Return Fund GP LLC (DE)                      MacKay Multi-Asset Real Return Fund LP (DE)                  MacKay Multi-Asset Income Fund GP LLC (DE)                      MacKay Multi-Asset Income Fund LP (DE)                  MacKay Municipal Managers High Income Opportunities GP LLC (DE)                      MacKay Municipal High Income Opportunities Fund LP (DE)                  Cascade CLO Manager LLC (DE)                      MKS CLO Holdings GP LLC (DE)                      MKS CLO Holdings, LP (CYM)                  MKS CLO Advisors, LLC (DE)                  MacKay Shields Europe Investment Management Limited (IRL)                  MacKay Shields European Credit Opportunity Fund Limited (NJ)                  MKS TALF Opportunities Fund GP, LLC (DE)                      MacKay Shields TALF 2.0 Opportunities Feeder Fund (Cayman) LP (CYM)                      MacKay Shields TALF 2.0 Opportunities Feeder Fund (Cayman GBP-Hedged) LP (CYM)                      MacKay Shields TALF 2.0 Opportunities Feeder Fund (US) LP (DE)                      MacKay Shields TALF 2.0 Opportunities Master Fund LP (DE)                  MKS Global Sustainable Emerging Markets Equities Fund GP LLC (DE)                      Candriam Global Sustainable Emerging Markets Equities Fund LP (DE)                  MKS Global Emerging Markets Equities Fund GP LLC (DE)                      Candriam Global Emerging Markets Equities Fund LP (DE)                  Cornerstone Capital Management Holdings LLC (DE)                      Madison Square Investors Asian Equity Market Neutral Fund GP, LLC (DE)                      Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC (DE)                      Cornerstone Capital Management Large-Cap Enhanced Index Fund, L.P. (DE)                  New York Life Investments Alternatives LLC (DE)                      Madison Capital Funding LLC (DE)                      MCF Co-Investment GP LLC (DE)                      MCF Co-Investment GP LP (DE)                      Madison Capital Funding Co-Investment Fund LP (DE)                  Madison Avenue Loan Fund GP LLC (DE)                      Madison Avenue Loan Fund LP (DE)                      MCF Fund I LLC (DE)                  MCF Hanwha Fund LLC (DE)                  Ironshore Investment BL I Ltd. (BMU)                  MCF CLO IV LLC (DE)                  MCF CLO V LLC (DE)                  MCF CLO VI LLC (DE)                  MCF CLO VII LLC (DE)                  MCF CLO VIII Ltd. (DE)                      MCF CLO VIII LLC (DE)                  MCF CLO IX Ltd. (CYM)                      MCF CLO IX LLC (DE)</p>
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**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

**New York Life Investment Management Holdings LLC (continued)**

MCF KB Fund LLC (DE)	GoldPoint Partners Co-Investment V, LP (DE)
MCF KB Fund II LLC (DE)	(DE)GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP (DE)
MCF Hyundai Fund LLC (DE)	GPP V - ECI Aggregator LP (DE)
MCF Senior Debt Fund – 2020 LP (CYM)	GPP V F Blocker Holdco LP (DE)
Montpelier Carry Parent, LLC (DE)	GPP V G Blocker Holdco LP (DE)
Montpelier Carry, LLC (DE)	GoldPoint Partners Private Debt V GenPar GP, LLC (DE)
Montpelier GP, LLC (DE)	GoldPoint Partners Private Debt Offshore V, LP (CYM)
Montpelier Fund, L.P. (DE)	GPP Private Debt V RS LP (DE)
MCF Mezzanine Carry I LLC (DE)	GoldPoint Partners Private Debt V GenPar GP, LP (DE)
MCF Mezzanine Fund I LLC (DE)	GoldPoint Partners Private Debt V, LP (DE)
MCF PD Fund GP LLC (DE)	GPP PD V A Blocker LLC (DE)
MCF PD Fund LP (DE)	GPP Private Debt V-ECI Aggregator LP (DE)
MCF Senior Debt Funds 2019-I GP LLC (DE)	GPP PD V B Blocker LLC (DE)
MCF Senior Debt Fund 2019-I LP (DE)	GPP PD V C Blocker LLC (DE)
Warwick Seller Representative, LLC (DE)	GPP PD V D Blocker LLC (DE)
Young America Holdings, LLC (DE)	GPP LuxCo V GP Sarl (LUX)
YAC.ECOM Incorporated (MN)	GPP Private Debt LuxCo V SCSp (LUX)
Young America, LLC (MN)	GoldPoint Partners Select Manager III GenPar GP, LLC (DE)
Global Fulfillment Services, Inc. (AZ)	GoldPoint Partners Select Manager III GenPar, L.P. (CYM)
SourceOne Worldwide, Inc. (MN)	GoldPoint Partners Select Manager Fund III, L.P. (CYM)
YA Canada Corporation (CAN)	GoldPoint Partners Select Manager Fund III AIV, L.P. (DE)
GoldPoint Partners LLC (DE)	GoldPoint Partners Select Manager IV GenPar GP, LLC (DE)
New York Life Capital Partners II, L.L.C. (DE)	GoldPoint Partners Select Manager IV GenPar, L.P. (DE)
New York Life Capital Partners III GenPar GP, LLC (DE)	GoldPoint Partners Select Manager Fund IV, L.P. (DE)
New York Life Capital Partners IV GenPar GP, LLC (DE)	GoldPoint Partners Select Manager V GenPar GP, LLC (DE)
New York Life Capital Partners IV GenPar, L.P. (DE)	GoldPoint Partners Select Manager V GenPar, L.P. (DE)
New York Life Capital Partners IV, L.P. (DE)	GoldPoint Partners Select Manager Fund V, L.P. (DE)
GoldPoint Core Opportunities Fund, L.P. (DE)	GoldPoint Partners Canada V GenPar Inc. (CAN)
GoldPoint Core Opportunities Fund II L.P. (DE)	GoldPoint Partners Select Manager Canada Fund V, L.P. (CAN)
GoldPoint Mezzanine Partners IV GenPar GP, LLC (DE)	GoldPoint Partners Canada III GenPar Inc (CAN)
GoldPoint Mezzanine Partners IV GenPar, LP (DE)	GoldPoint Partners Select Manager Canada Fund III, L.P. (CAN)
GoldPoint Mezzanine Partners Co-Investment Fund A, LP (DE)	GoldPoint Partners Canada IV GenPar Inc. (CAN)
GoldPoint Mezzanine Partners IV, LP (DE) (“GPPIVLP”)	GoldPoint Partners Select Manager Canada Fund IV, L.P. (CAN)
GPP Mezz IV A Blocker LP (DE) (“GPPMBA”)	GoldPoint Partners Co-Investment VI GenPar GP LLC (DE)
GPP Mezz IV A Preferred Blocker LP (DE)	GoldPoint Partners Co-Investment VI GenPar, LP (DE)
GPP Mezz IV B Blocker LP (DE) (“GPPMBB”)	GoldPoint Partners Co-Investment VI, LP (DE)
GPP Mezz IV C Blocker LP (DE) (“GPPMBC”)	GPP VI – ECI Aggregator LP (DE)
GPP Mezz IV D Blocker LP (DE) (“GPPMBD”)	GPP VI Blocker A LLC (DE)
GPP Mezz IV E Blocker LPP (DE)	GPP VI Blocker B LLC (DE)
GPP Mezz IV ECI Aggregator, LP (DE)	GPP VI Blocker C LLC (DE)
GPP Mezz IV F Blocker LP (DE)	GPP VI Blocker D LLC (DE)
GPP Mezz IV G Blocker LP (DE)	GPP VI Blocker E LLC (DE)
GPP Mezz IV H Blocker LP (DE)	GPP VI Blocker I LLC (DE)
GPP Mezz IV I Blocker LP (DE)	GPP Co-Invest VII GenPar, GP LLC (DE)
GoldPoint Mezzanine Partners Offshore IV, L.P. (CYM)	GPP Co-Invest VII GenPar, LP (DE)
GoldPoint Partners Co-Investment V GenPar GP LLC (DE)	GoldPoint Partners Co-Investment VII, LP (DE)
GoldPoint Partners Co-Investment V GenPar, L.P. (DE)	GoldPoint Private Credit GenPar GP, LLC (DE)
GoldPoint Partners Co-Investment Fund A, LP (DE)	GoldPoint Private Credit Fund, LP (DE)

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

#### New York Life Investment Management Holdings LLC (continued)

GoldPoint Partners Canada GenPar, Inc. (CAN)	PACIF III Carry, LLC (DE)
NYLCAP Select Manager Canada Fund, LP (CAN)	PACIF IV GP, LLC (DE)
NYLCAP Canada II GenPar, Inc. (CAN)	Private Advisors Coinvestment Fund IV, LP (DE)
NYLCAP Select Manager Canada Fund II, L.P. (CAN)	PACIF IV Carry Parent, LLC (DE)
NYLIM Mezzanine Partners II GenPar GP, LLC (DE)	PACIF IV Carry, LLC (DE)
NYLIM Mezzanine Partners II GenPar, LP (DE)	PAMMF GP, LLC (DE)
NYLCAP Mezzanine Partners III GenPar GP, LLC (DE)	PA Middle Market Fund, LP (DE)
NYLCAP Mezzanine Partners III GenPar, LP (DE)	PA Hedged Equity Fund, L.P. (DE)
NYLCAP Mezzanine Partners III, LP (DE)	Private Advisors Hedged Equity Fund (QP), L.P. (DE)
NYLCAP Mezzanine Offshore Partners III, L.P. (CYM)	Private Advisors Hedged Equity Master Fund (DE)
NYLCAP Select Manager GenPar GP, LLC (DE)	PASOF GP, LLC (DE)
NYLCAP Select Manager GenPar, LP (DE)	PA Strategic Opportunities Fund, LP (DE)
NYLCAP Select Manager Fund, LP (DE)	PASCBF III GP, LLC (DE)
NYLCAP Select Manager Cayman Fund, LP (CYM)	Private Advisors Small Company Buyout Fund III, LP (DE)
NYLCAP Select Manager II GenPar GP, LLC (DE)	PASCBF IV GP, LLC (DE)
NYLCAP Select Manager II GenPar GP, L.P. (CYM)	Private Advisors Small Company Buyout Fund IV, LP (DE)
NYLCAP Select Manager Fund II, L.P. (CYM)	PASCBF IV Carry Parent, LLC (DE)
NYLCAP India Funding LLC (DE)	PASCBF IV Carry, LLC (DE)
NYLIM-JB Asset Management Co. (Mauritius) LLC (MUS)	PASCBF V GP, LLC (DE)
New York Life Investment Management India Fund II, LLC (MUS)	Private Advisors Small Company Buyout Fund V, LP (DE)
New York Life Investment Management India Fund (FVCI) II, LLC (MUS)	Private Advisors Small Company Buyout V-ERISA Fund, LP (DE)
NYLCAP India Funding III LLC (DE)	PASCBF V Carry Parent, LLC (DE)
NYLIM-Jacob Ballas Asset Management Co. III, LLC (MUS)	PASCBF V Carry, LLC (DE)
NYLIM Jacob Ballas India Fund III, LLC (MUS)	PASCPEF VI Carry Parent, LLC (DE)
NYLIM Jacob Ballas Capital India (FVCI) III, LLC (MUS)	PASCPEF VI Carry, LLC (DE)
NYLIM Jacob Ballas India (FII) III, LLC (MUS)	PASCPEF VI GP, LLC (DE)
Evolve Asset Management, Ltd. (CYM)	Private Advisors Small Company Private Equity Fund VI, LP (DE)
EIF Managers Limited (MUS)	Private Advisors Small Company Private Equity Fund VI (Cayman), LP (CYM)
EIF Managers II Limited (MUS)	PASCPEF VII GP, LLC (DE)
PA Capital LLC (DE)	Private Advisors Small Company Private Equity Fund VII, LP (DE)
BMG PAMP GP, LLC (DE)	Private Advisors Small Company Private Equity Fund VII (Cayman), LP (CYM)
BMG PA Private Markets (Delaware) LP (DE)	PASCPEF VII Carry Parent, LLC (DE)
BMG Private Markets (Cayman) LP (CYM)	PASCPEF VII Carry, LLC (DE)
PACD MM, LLC (DE)	PASCPEF VIII GP, LLC (DE)
PA Capital Direct, LLC (DE)	Private Advisors Small Company Private Equity Fund VIII, LP (DE)
PA Credit Program Carry Parent, LLC (DE)	Private Advisors Small Company Private Equity Fund VIII (Cayman), LP (DE)
PA Credit Program Carry, LLC (DE)	PASCPEF IX GP, LLC (DE)
PACIF Carry Parent, LLC (DE)	PA Small Company Private Equity Fund IX, LP (DE)
PACIF Carry, LLC (DE)	PA Small Company Private Equity Fund IX, (Cayman) LP (CYM)
PACIF GP, LLC (DE)	Cuyahoga Capital Partners IV Management Group LLC (DE)
Private Advisors Coinvestment Fund, LP (DE)	Cuyahoga Capital Partners IV LP (DE)
PACIF II GP, LLC (DE)	Cuyahoga Capital Emerging Buyout Partners Management Group LLC (DE)
Private Advisors Coinvestment Fund II, LP (DE)	Cuyahoga Capital Emerging Buyout Partners LP (DE)
PACIF II Carry Parent, LLC (DE)	PA Real Assets Carry Parent, LLC (DE)
PACIF II Carry, LLC (DE)	PA Real Assets Carry, LLC (DE)
PACIF III GP, LLC (DE)	PA Real Assets Carry Parent II, LLC (DE)
Private Advisors Coinvestment Fund III, LP (DE)	PA Real Assets Carry II, LLC (DE)
PACIF III Carry Parent, LLC (DE)	PA Emerging Manager Carry Parent, LLC (DE)

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

#### New York Life Investment Management Holdings LLC (continued)

PA Emerging Manager Carry, LLC (DE)	NYLIM Service Company LLC (DE)
PA Emerging Manager Carry Parent II, LLC (DE)	NYL Workforce GP LLC (DE)
PA Emerging Manager Carry II, LLC (DE)	New York Life Investment Management LLC (DE)
RIC I GP, LLC (DE)	NYLIM Fund II GP, LLC (DE)
Richmond Coinvestment Partners I, LP (DE)	NYLIM-TND, LLC (DE)
RIC I Carry Parent, LLC (DE)	New York Life Investment Management Hong Kong Limited (CHN)
RIC I Carry, LLC (DE)	WFHG, GP LLC (DE)
PASF V GP, LLC (DE)	Workforce Housing Fund I-2007, LP (DE)
Private Advisors Secondary Fund V, LP (DE)	Index IQ Holdings Inc. (DE)
PASF V Carry Parent, LLC (DE)	IndexIQ LLC (DE)
PASF V Carry, LLC (DE)	IndexIQ Advisors LLC (DE)
PASF VI GP, LLC (DE)	IndexIQ Active ETF Trust (DE)
PA Secondary Fund VI, LP (DE)	IQ MacKay ESG Core Plus Bond ETF (DE)
PA Secondary Fund VI Coinvestments, LP (DE)	IQ MacKay California Municipal Intermediate ETF (DE)
PA Secondary Fund VI (Cayman), LP (CYM)	IndexIQ ETF Trust (DE)
PARAF GP, LLC (DE)	IQ 50 Percent Hedged FTSE International ETF (DE)
Private Advisors Real Assets Fund, LP (DE)	IQ 500 International ETF (DE)
PARAF Carry Parent, LLC (DE)	IQ Chaikin US Large Cap ETF (DE)
PARAF Carry, LLC (DE)	IQ Chaikin US Small Cap ETF (DE)
PASCCIF GP, LLC (DE)	IQ Clean Oceans ETF (DE)
Private Advisors Small Company Coinvestment Fund, LP (DE)	IQ Cleaner Transport ETF (DE)
Private Advisors Small Company Coinvestment Fund-ERISA, LP (DE)	IQ Engender Equality ETF (DE)
PASCCIF II GP, LLC (DE)	IQ Healthy Hearts ETF (DE)
PA Small Company Coinvestment Fund II, LP (DE)	IQ S&P High Yield Low Volatility Bd ETF (DE)
PA Small Company Coinvestment Fund II (Cayman), LP (CYM)	IQ Candriam ESG International Equity ETF (DE)
PASCCIF Carry Parent, LLC (DE)	IQ Candriam ESG US Equity ETF (DE)
PASCCIF Carry, LLC (DE)	New York Life Investment Management Holdings International (LUX)
PARAF II GP LLC (DE)	New York Life Investment Management Holdings II International (LUX)
Private Advisors Real Assets Fund II, LP (DE)	Candriam Group (LUX)
PARAF III GP, LLC (DE)	CGH UK Acquisition Company Limited (GBR)
PA Real Assets Fund III, LP (DE)	Tristan Capital Partners Holdings Limited (GBR)
Private Advisors Hedged Equity Fund, Ltd. (CYM)	Tristan Capital Holdings Limited (GBR)
Private Advisors Hedged Equity Fund (QP), Ltd. (CYM)	Tristan Capital Partners LLP (GBR)
Private Advisors Hedged Equity Master Fund, Ltd. (CYM)	EPISO 4 Co-Investment LLP (GBR)
SAF GP LLC (DE)	EPISO 4 (GP) LLP (GBR)
Social Advancement Fund, LP (DE)	EPISO 4 Incentive Partners LLP (GBR)
WTP GP, LLC (DE)	CCP 5 Co-Investment LLP (GBR)
West Tower Partners, LP (DE)	Tristan Capital Limited (GBR)
West Tower Partners, Ltd. (CYM)	Tristan Capital Partners LLP (GBR)
West Tower Partners SPC (CYM)	CCP III Co-Investment (GP) Limited (GBR)
Washington Pike GP, LLC (DE)	CCP III Co-Investment LP (GBR)
Washington Pike LP (DE)	CCP IV Co-Investment LP (GBR)
RidgeLake Partners GP, LLC (DE)	CCP III (GP) LLP (GBR)
RidgeLake Partners, LP (DE)	CCP III Incentive Partners (GP) Limited (GBR)
NYLCAP Holdings (Mauritius) (MUS)	CCP III Incentive Partners LP (GBR)
Jacob Ballas Capital India PVT, Ltd. (MUS)	CCP IV Incentive Partners LP (GBR)
Industrial Assets Holdings Limited (MUS)	Curzon Capital Partners III (GP) Limited (GBR)
JB Cerestra Investment Management LLP (MUS)	CCP III (GP) LLP (GBR)

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

#### New York Life Investment Management Holdings LLC (continued)

EPISO 3 Co-Investment (GP) Limited (GBR)	Candriam Luxco S.à.r.l. (LUX)
EPISO 3 Co-Investment LP (GBR)	Candriam Luxembourg (LUX)
EPISO 3 Incentive Partners (GP) Limited (GBR)	Candriam Belgium (BEL)
EPISO 3 Incentive Partners LP (GBR)	Candriam France (FRA)
EPISO 3 IOM Limited (IMN)	Candriam Monétaire SICAV (FRA)
CCP IV (GP) LLP (GBR)	Candriam Switzerland LLC (CHE)
Curzon Capital Partners IV (GP) Limited (GBR)	Candriam GP (LUX)
CCP 5 GP LLP (GBR)	Cordius (LUX)
CCP 5 Pool Partnership GP Limited (NJ)	Cordius CIG (LUX)
CCP 5 Pool Partnership SLP (NJ)	IndexIQ (LUX)
Tristan Capital Partners Asset Management Limited (GBR)	IndexIQ Factors Sustainable Corporate Euro Bond (LUX)
TCP Poland Spolka z ograniczoną odpowiedzialnością	IndexIQ Factors Sustainable EMU Equity (LUX)
(POL)	IndexIQ Factors Sustainable Europe Equity (LUX)
TCP Co-Investment (GP) S.à.r.l. (LUX)	IndexIQ Factors Sustainable Japan Equity (LUX)
TCP Co-Investment SCSP (LUX)	IndexIQ Factors Sustainable Sovereign Euro Bond (LUX)
TCP Incentive Partners SCSP (LUX)	Candriam Absolute Return (LUX)
TCP Incentive Partners (GP) S.à.r.l. (LUX)	Candriam Absolute Return Equity Market Neutral (LUX)
German Property Performance Partners Investors Feeder	Candriam Absolute Return Long Short Digital Equity (LUX)
Verwaltungs GmbH (DEU)	Candriam Alternative (LUX)
EPISO 4 (GP) S.à.r.l. (LUX)	Candriam Alternative Systemat (LUX)
EPISO 4 (GP) II S.à.r.l. (LUX)	Candriam Bonds (LUX)
Tristan (Holdings) Limited (GBR)	Candriam Bonds Capital Securities (LUX)
EPISO 3 Feeder (GP) Limited (GBR)	Candriam Bonds Credit Alpha (LUX)
CCP V Feeder (GP) LLP (GBR)	Candriam Bonds Emerging Debt Local Currencies (LUX)
EPISO 4 Feeder (GP) LLP (GBR)	Candriam Bonds Euro Long Term (LUX)
CCP 5 Feeder LLP (GBR)	Candriam Bonds Global Sovereign Quality (LUX)
Tristan Global Securities GP Limited (CYM)	Candriam Bonds International (LUX)
Tristan Global Securities LP (CYM)	Candriam Diversified Futures (BEL)
KTA Holdco (LUX)	Candriam Equities L (LUX)
Kartesia Management SA (LUX)	Candriam Equities L EMU Innovation (LUX)
Kartesia UK Ltd. (GBR)	Candriam Equities L Europe Conviction (LUX)
Kartesia Belgium (BEL)	Candriam Equities L Life Care (LUX)
Kartesia Credit FFS (FRA)	Candriam Equities L Risk Arbitrage Opportunities (LUX)
Kartesia GP III (LUX)	Candriam GF (LUX)
Kartesia Credit Opportunities III S.C.A., SICAV-SIF (LUX)	Candriam GF AUSBIL Global Essential Infrastructure (LUX)
Kartesia Securities (LUX)	Candriam GF Short Duration US High Yield Bonds (LUX)
Kartesia III Topco S.à.r.l. (LUX)	Candriam GF US Corporate Bonds (LUX)
Kartesia GP IV (LUX)	Candriam GF US High Yield Corporate Bonds (LUX)
Kartesia Credit Opportunities IV SCS SICAV-SIF (LUX)	Candriam Global Alpha (LUX)
Kartesia Securities IV (LUX)	Candriam Impact One (LUX)
Kartesia Securities IV Topco S.à.r.l. (LUX)	Candriam L (LUX)
Kartesia Master GP (LUX)	Candriam L Balanced Asset Allocation (LUX)
Kartesia Credit Opportunities V Feeder SCS (LUX)	Candriam L Defensive Asset Allocation (LUX)
Kartesia Senior Opportunities I SCS, SICAV-RAIF (LUX)	Candriam L Dynamic Asset Allocation (LUX)
KASS Unleveled S.à.r.l. (LUX)	Candriam L Multi-Asset Income & Growth (LUX)
KSO I Topco S.à.r.l. (LUX)	Candriam L Multi-Asset Premia (LUX)
Kartesia Credit Opportunities V SCS (LUX)	Candriam MM Multi Strategies (FRA)
Kartesia Securities V S.à.r.l. (LUX)	Candriam Multi-Strategies (LUX)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

New York Life Investment Management Holdings LLC (continued)

- Candriam Quant (LUX)
  - Candriam Quant Equities Europe (LUX)
  - Candriam Quant Equities Multi-Factor EMU (LUX)
  - Candriam Quant Equities Multi-Factor Global (LUX)
  - Candriam Quant Equities USA (LUX)
- Candriam SRI (LUX)
  - Candriam SRI Bond Emerging Markets (LUX)
  - Candriam SRI Bond Euro (LUX)
  - Candriam SRI Bond Euro Aggregate Index (LUX)
  - Candriam SRI Bond Euro Corporate (LUX)
  - Candriam SRI Bond Global High Yield (LUX)
  - Candriam SRI Equity Circular Economy (LUX)
  - Candriam SRI Equity Emerging Markets (LUX)
  - Candriam SRI Equity EMU (LUX)
  - Candriam SRI Equity Europe (LUX)
  - Candriam SRI Equity North America (LUX)
  - Candriam SRI Equity Pacific (LUX)
  - Candriam SRI Equity World (LUX)
- Candriam Sustainable (LUX)
  - Candriam Sustainable Bond Global (LUX)
  - Candriam Sustainable Bond Impact (LUX)
  - Candriam Sustainable Equity Children (LUX)
  - Candriam Sustainable Equity Future Mobility (LUX)
- Candriam World Alternative (LUX)
  - Candriam World Alternative Alphamax (LUX)
- Paricor (LUX)
  - Paricor Patrimonium (LUX)
- Ausbil Investment Management Limited (AUS)
  - Ausbil Australia Pty. Ltd. (AUS)
  - Ausbil Asset Management Pty. Ltd. (AUS)
  - Ausbil Global Infrastructure Pty. Limited (AUS)
  - ISPT Holding (AUS)
  - Ausbil Investment Management Limited Employee Share Trust (AUS)
  - Ausbil 130/30 Focus Fund (AUS)
  - Ausbil Active Sustainable Equity Fund (AUS)
  - Ausbil Australian Active Equity Fund (AUS)
  - Ausbil Australian Concentrated Equity Fund (AUS)
  - Ausbil Australian Emerging Leaders Fund (AUS)
  - Ausbil Australian Geared Equity Fund (AUS)
  - Ausbil Australian Smallcap Fund (AUS)
  - Ausbil Balanced Fund (AUS)
  - Ausbil EGS Focus Fund (AUS)
  - Ausbil Global Essential Infrastructure Fund (AUS)
  - Ausbil IT - Ausbil Global SmallCap Fund (AUS)
  - Ausbil IT - MacKay Shields Multi-Sector Bond Fund (AUS)
  - Ausbil IT - Ausbil Long Short Focus Fund (AUS)
- NYLIFE Distributors LLC (DE)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 - ORGANIZATIONAL CHART**

NYLife Real Estate Holdings LLC

Huntsville NYL LLC (DE)  
 CC Acquisitions, LP (DE)  
 REEP-IND Cedar Farms TN LLC (DE)  
 REEP-IND Continental NC LLC (DE)  
     LRC-Patriot, LLC (DE)  
         REEP-LRC Industrial LLC (DE)  
 REEP-IND Forest Park NJ LLC (DE)  
     FP Building 4 LLC (DE)  
     FP Building 1-2-3 LLC (DE)  
     FP Building 17, LLC (DE)  
     FP Building 20, LLC (DE)  
     FP Mantua Grove LLC (DE)  
     FP Lot 1.01 LLC (DE)  
 REEP-IND NJ LLC (DE)  
     NJIND JV LLC (DE)  
         NJIND Hook Road LLC (DE)  
         NJIND Bay Avenue LLC (DE)  
         NJIND Bay Avenue Urban Renewal LLC (DE)  
         NJIND Corbin Street LLC (DE)  
 REEP-MF Cumberland TN LLC (DE)  
     Cumberland Apartments, LLC (TN)  
 REEP-MF Enclave TX LLC (DE)  
 REEP-MF Marina Landing WA LLC (DE)  
     REEP-SP Marina Landing LLC (DE)  
 REEP-MF Mira Loma II TX LLC (DE)  
     Mira Loma II, LLC (DE)  
 REEP-MF Summitt Ridge CO LLC (DE)  
 REEP-MF Woodridge IL LLC (DE)  
 REEP-OF Centerpointe VA LLC (DE)  
     Centerpointe (Fairfax) Holdings LLC (DE)  
 REEP-OFC 575 Lex NY LLC (DE)  
 REEP-OFC 575 Lex NY GP LLC (DE)  
     Maple REEP-OFC 575 Lex Holdings LP (DE)  
     Maple REEP-OFC 575 Lex Owner LLC (DE)  
 REEP-RTL SASI GA LLC (DE)  
 REEP-RTL Bradford PA LLC (DE)  
 REEP-OFC Royal Centre GA LLC (DE)  
     Royal Centre, LLC (DE)  
 REEP-RTL CTC NY LLC (DE)  
 REEP-OFC 5005 LBJ Freeway TX LLC (DE)  
     5005 LBJ Tower LLC (DE)  
     REEP-OFC/RTL MARKET ROSS TX LLC (DE)  
     MARKET ROSS TX JV LLC (DE)  
         MARKET ROSS TX GARAGE OWNER LC (DE)  
         MARKET ROSS TX OFFICE OWNER LLC (DE)  
         MARKET ROSS TX RETAIL OWNER LLC (DE)  
 REEP-OFC Mallory TN LLC (DE)

3665 Mallory JV LLC (DE)  
 REEP-OFC WATER RIDGE NC LLC (DE)  
 REEP-OFC VIRIDIAN AZ LLC (DE)  
     REEP-HINES VIRIDIAN JV LLC (DE)  
 REEP-OFC 2300 Empire LLC (DE)  
 REEP-MF Wynnewood PA LLC (DE)  
     Wynnewood JV LLC (DE)  
 REEP-MU Fayetteville NC LLC (DE)  
     501 Fayetteville JV LLC (DE)  
         501 Fayetteville Owner LLC (DE)  
 REEP-MU SOUTH GRAHAM NC LLC (DE)  
     401 SOUTH GRAHAM JV LLC (DE)  
         401 SOUTH GRAHAM OWNER LLC (DE)  
 REEP-IND COMMERCE CITY CO LLC (DE)  
     REEP-BRENNAN COMMERCE CITY JV LLC (DE)  
 REEP-MF ART TOWER OR LLC (DE)  
     REEP-WP ART TOWER JV LLC (DE)  
 REEP-OFC Mass Ave MA LLC (DE)  
 REEP-MF FARMINGTON IL LLC (DE)  
     REEP-MARQUETTE FARMINGTON JV LLC (DE)  
         REEP-MARQUETTE FARMINGTON OWNER LLC (DE)  
 REEP-MF BELLEVUE STATION WA LLC (DE)  
     REEP-LP BELLEVUE STATION JV LLC (DE)  
 REEP-HINE ENCLAVE POINT AZ LLC (DE)  
     REEP-HINES ENCLAVE POINT JV LLC (DE)  
 REEP-MF WILDHORSE RANCH TX LLC (DE)  
     REEP-WP WILDHORSE RANCH JV LLC (DE)

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
.0826	New York Life Group	66915	13-5582869	1583827	0000071633		New York Life Insurance Company	NY	UDP						
.0826	New York Life Group	91596	13-3044743	3683691	0000727136		New York Life Insurance and Annuity Corporation	DE	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
.0826	New York Life Group	81353	52-1530175				NYLIFE Insurance Company of Arizona	AZ	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			13-4199614				New York Life Enterprises LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			13-4081725	2928649	0001270096		NYLIFE LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			46-4293486		0001606720		NYL Investors LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			52-2206682		0001513831		New York Life Investment Management Holdings LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			27-0166422				NYLIFE Real Estate Holdings, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
.0826	New York Life Group	64548	13-2556568				New York Life Group Insurance Company of NY	NY	RE	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
.0826	New York Life Group	65498	23-1503749				Life Insurance Company of North America	PA	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			06-1252418				LINA Benefit Payments, Inc.	DE	DS	Life Insurance Company of North America	Ownership	100.000	New York Life Insurance Company		
			47-2379075				New York Life Benefit Payments LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			47-2530753				NYL Real Assets LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYL Emerging Manager LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYL Wind Investments LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYLIC HKP Member LLC	DE	NIA	New York Life Insurance Company	Ownership	67.974	New York Life Insurance Company		
							New York Life Insurance and Annuity Corporation	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	32.026	New York Life Insurance Company		
							NYLIM Jacob Ballas India Holdings IV	MUS	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							Flatiron RR LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			98-1075997				Flatiron CLO 2013-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
			98-1180305				Flatiron CLO 2015-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
			98-1330289				Flatiron CLO 17 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
							Flatiron CLO 18 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
							Flatiron CLO 18 Funding Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
							Flatiron CLO 19 Funding Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
							Flatiron CLO 20 Funding Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
							Flatiron CLO 21 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		5
							Flatiron RR CLO 22 LLC	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		
							Flatiron CLO 23 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		
							Flatiron RR CLO 24 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		
							Flatiron RR LLC, Manager Series	DE	NIA	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		
							Flatiron RR LLC, Retention Series	DE	NIA	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		
							Stratford CDO 2001-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		9
							Silver Spring, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							Silver Spring Associates, L.P.	PA	NIA	Silver Spring, LLC	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-002 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-003 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-006 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-007-LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-008 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-009 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-017 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-018 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-021 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-025 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-031 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-036 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-041 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-043 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-044 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-048 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		

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**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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							SCP 2005-C21-061 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-063 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-067 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-069 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							SCP 2005-C21-070 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Ennis GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Ennis, L.P.	TX	NIA	NYMH-Ennis GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH-Freepport GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Freepport, L.P.	TX	NIA	NYMH-Freepport GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH-Houston GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Houston, L.P.	TX	NIA	NYMH-Houston GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH-Plano GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Plano, L.P.	TX	NIA	NYMH-Plano GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH-San Antonio GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-San Antonio, L.P.	TX	NIA	NYMH-San Antonio GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH-Stephenville GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Stephenville, L.P.	TX	NIA	NYMH-Stephenville GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH-Taylor GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Taylor, L.P.	TX	NIA	NYMH-Taylor GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYMH Attleboro MA, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYMH-Farmingdale, NY, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYLMDC-King of Prussia GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NYLMDC-King of Prussia Realty, LP	DE	NIA	NYLMDC King of Prussia GP, LLC	Ownership	100.000	New York Life Insurance Company		
			47-3304035				2015 DIL PORTFOLIO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			47-3444658				PA 180 KOST RD LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company		
			82-2586171				2017 CT REO HOLDINGS LLC	DE	NIA	New York Life Insurance Company	Ownership	62.308	New York Life Insurance Company		
							2017 CT REO HOLDINGS LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	37.692	New York Life Insurance Company		
			82-2586171				Cortlandt Town Center LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-HZ SPENCER LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND 10 WEST AZ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND 4700 Nall TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			37-1768259				REEP-IND Aegean MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Alpha TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			83-2598877				REEP-IND MCP VIII NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND CHINO CA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IF STEWART AZ OLDER LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IF STEWART AZ	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND FRANKLIN MA HOLDER LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			61-1738919				REEP-IND FREEDOM MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Fridley MN LLC	MN	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Green Oaks IL LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Kent LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			32-0442193				REEP-IND LYMAN MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			83-4607723				REEP-IND MCP II NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			83-4626597				REEP-IND MCP III NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			83-4646530				REEP-IND MCP IV NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			83-4685915				REEP-IND MCP V NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			83-4592121				REEP-IND MCP VII NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND MCP III OWNER NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND RTG NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Simonton TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Valley View TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND Valwood TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		

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**SCHEDULE Y**

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			82-1945938				REEP-MF 960 East Paces Ferry GA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			84-4102691				REEP-MF 960 EPF Opco GA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			84-4056296				REEP-MF Emblem DE LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-MF Gateway TAF UT LLC	DE	NIA	New York Life Insurance Company	Ownership	99.000	New York Life Insurance Company		
			84-4056296				REEP-MF Gateway TAF UT LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	1.000	New York Life Insurance Company		
			84-4028263				REEP-MF Gateway TAB JV LLC	DE	NIA	REEP-MF Gateway TAF UT LLC	Ownership	99.000	New York Life Insurance Company		
			84-4028263				REEP-MF Gateway TAB JV LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	1.000	New York Life Insurance Company		
							REEP-MF Issaquah WA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-MF Mount Vernon GA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-MF Mount Laurel NJ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-MF NORTH PARK CA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-MF AVERY TX LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-AVERY OWNER LLC	DE	NIA	REEP-MF AVERY TX LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-MF Verde NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			87-1661026				REEP-MF Wallingford WA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-MF STEWART AZ HOLDER LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC Bellevue WA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC Financial Center FL LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC WATER RIDGE NC HOLDCO LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC ONE WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC TWO WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC FOUR WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC FIVE WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC SIX WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC SEVEN WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC EIGHT WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC NINE WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC TEN WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC ELEVEN WATER RIDGE NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			81-2351415				REEP-MF FOUNTAIN PLACE MN LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			81-2456809				REEP-MF FOUNTAIN PLACE LLC	DE	NIA	REEP-MF FOUNTAIN PLACE MN LLC	Ownership	100.000	New York Life Insurance Company		
			85-3514927				REEP-MF Park-Line FL LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC 2300 EMPIRE CA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-IND 10 WEST II AZ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-RTL Flemington NJ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-RTL Mill Creek NJ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
			85-3592979				REEP-RTL NPM GA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP TAB ONE LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							REEP-RTL DTC VA LLC	DE	NIA	New York Life Insurance Company	Ownership	39.000	New York Life Insurance Company		
							REEP-RTL DTC VA LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	61.000	New York Life Insurance Company		
			87-2706041				REEP-RTL DTC-S VA LLC	DE	NIA	New York Life Insurance Company	Ownership	37.000	New York Life Insurance Company		
			87-2706041				REEP-RTL DTC-S VA LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	63.000	New York Life Insurance Company		
							NJIND Raritan Center LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NJIND Talmadge Road LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							NJIND Melrich Road LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							FP Building 18, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							FP Building 19, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							Enclave CAF, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							Summitt Ridge Apartments, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							PTC Acquisitions, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		

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**SCHEDULE Y**

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							Martingale Road LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							New York Life Funding	CM	OTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company		.6
							New York Life Global Funding	DE	OTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company		.6
							Government Energy Savings Trust 2003-A	NY	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		.7
							UFI-NOR Federal Receivables Trust, Series 2009B	NY	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		.7
							JREP Fund Holdings I, L.P.	CM	NIA	New York Life Insurance Company	Ownership	12.500	New York Life Insurance Company		
							Jaguar Real Estate Partners L.P.	CM	NIA	New York Life Insurance Company	Ownership	30.300	New York Life Insurance Company		
							NYLIFE Office Holdings Member LLC	DE	NIA	New York Life Insurance Company	Ownership	51.000	New York Life Insurance Company		
					0001711406		NYLIFE Office Holdings LLC	DE	NIA	NYLIFE Office Holdings Member LLC	Ownership	51.000	New York Life Insurance Company		
					0001728620		NYLIFE Office Holdings REIT LLC	DE	NIA	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC DRAKES LANDING LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC CORPORATE POINTE CA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC VON KARMAN CA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC ONE BOWDOIN SQUARE MA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC 525 N Tryon NC LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company		
			47-2591038				525 Charlotte Office LLC	DE	NIA	REEP-OFC 525 N Tryon NC LLC	Ownership	100.000	New York Life Insurance Company		
					0001728621		NYLIFE Office Holdings Acquisition REIT LLC	DE	NIA	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							REEP OFC Westory DC LLC	DE	NIA	NYLIFE Office Holdings Acquisition REIT LLC	Ownership	100.000	New York Life Insurance Company		
							Skyhigh SPV Note Issuer 2020 Parent Trust	DE	NIA	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		.7
							Skyhigh SPV Note Issuer 2020 LLC	DE	NIA	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company		.7
					0001742549		MSVEF Investor LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company		
							MSVEF Feeder LP	DE	NIA	MSVEF Investor LLC	Ownership	55.560	New York Life Insurance Company		
							MSVEF REIT LLC	DE	NIA	MSVEF Feeder LP	Ownership	55.560	New York Life Insurance Company		
							Madison Square Value Enhancement Fund LP	DE	NIA	MSVEF REIT LLC	Ownership	51.000	New York Life Insurance Company		
							MSVEF-MF Evanston GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	51.000	New York Life Insurance Company		
							MSVEF-MF Evanston II LP	DE	NIA	MSVEF-MF Evanston GP LLC	Ownership	51.000	New York Life Insurance Company		
							MSVEF-MF HUNTINGTON PARK GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	100.000	New York Life Insurance Company		
							MSVEF-MF HUNTINGTON PARK IIA LP	DE	NIA	MSVEF-MF HUNTINGTON PARK GP LLC	Ownership	100.000	New York Life Insurance Company		
							MSVEF-OFC Tampa GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	100.000	New York Life Insurance Company		
							MSVEF-OFC WFC Tampa FL LP	DE	NIA	MSVEF-OFC Tampa GP LLC	Ownership	100.000	New York Life Insurance Company		
							MSVEF-FG WFC Tampa JV LP	DE	NIA	MSVEF-OFC WFC Tampa FL LP	Ownership	100.000	New York Life Insurance Company		
							MSVEF-OFC WFC Tampa PO GP LLC	DE	NIA	MSVEF-FG WFC Tampa JV LP	Ownership	100.000	New York Life Insurance Company		
							MSVEF-FG WFC Property Owner LP	DE	NIA	MSVEF-OFC WFC Tampa PO GP LLC	Ownership	100.000	New York Life Insurance Company		
							MSVEF-IND Commerce 303 GP LLC	DE	NIA	Madison Square Value Enhancement Fund LP	Ownership	100.000	New York Life Insurance Company		
							MSVEF-IND Commerce 303 AZ LP	DE	NIA	MSVEF-IND Commerce 303 GP LLC	Ownership	100.000	New York Life Insurance Company		
							MSVEF-SW Commerce 303 JV LP	DE	NIA	MSVEF-IND Commerce 303 AZ LP	Ownership	95.000	New York Life Insurance Company		
							SEAF Sichuan SME Investment Fund LLC	DE	NIA	New York Life Enterprises LLC	Ownership	39.980	New York Life Insurance Company		
			98-0412951				New York Life International Holdings Limited	MUS	NIA	New York Life Enterprises LLC	Ownership	84.380	New York Life Insurance Company		
			98-0412951				New York Life International Holdings Limited	MUS	NIA	NYL Cayman Holdings Ltd.	Ownership	15.620	New York Life Insurance Company		
							Max Ventures and Industries Limited	IND	NIA	New York Life International Holdings Limited	Ownership	21.300	New York Life Insurance Company		
							Max Ventures and Industries Limited	IND	NIA	New York Life Insurance Company	Ownership	1.400	New York Life Insurance Company		
							Max I Ltd.	IND	NIA	Max Ventures and Industries Limited	Ownership	100.000	New York Life Insurance Company		
							Max Assets Services Ltd.	IND	NIA	Max Ventures and Industries Limited	Ownership	100.000	New York Life Insurance Company		
							Max Specialty Films Ltd.	IND	NIA	Max Ventures and Industries Limited	Ownership	51.000	New York Life Insurance Company		
							Max Estates Ltd.	IND	NIA	Max Ventures and Industries Limited	Ownership	100.000	New York Life Insurance Company		
							Max Square Limited	IND	NIA	Max Estates Ltd.	Ownership	51.000	New York Life Insurance Company		
							Max Square Limited	IND	NIA	New York Life Insurance Company	Ownership	49.000	New York Life Insurance Company		
							Pharmax Corporation Ltd.	IND	NIA	Max Estates Ltd.	Ownership	85.170	New York Life Insurance Company		
							Wide Zone Builders Pvt. Ltd.	IND	NIA	Max Estates Ltd.	Ownership	100.000	New York Life Insurance Company		

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							NYL Cayman Holdings Ltd.	CYM	NIA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company		
							NYL Worldwide Capital Investments, LLC	DE	NIA	NYL Cayman Holdings Ltd.	Ownership	100.000	New York Life Insurance Company		
							Seguros Monterrey New York Life, S.A. de C.V.	MEX	IA	New York Life Enterprises LLC	Ownership	99.998	New York Life Insurance Company		
							Seguros Monterrey New York Life, S.A. de C.V.	MEX	IA	NYL Worldwide Capital Investments	Ownership	0.002	New York Life Insurance Company		
							Administradora de Conductos SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company		
							Agencias de Distribucion SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company		
							Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company		
							Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Agencias de Distribucion SMNYL, S.A. de C.V.	Ownership	1.000	New York Life Insurance Company		
			26-1483563				Eagle Strategies LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
			84-5183198				Fabric of Family LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
			13-3853547				New York Life Capital Corporation	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
			13-3808042		0001033244		New York Life Trust Company	NY	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
			27-0145686		0000071637		NYLIFE Securities LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
			13-3929029				NYLIFE Insurance Agency Incorporated	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							NYLUK I Company	GBR	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company		
							NYLUK II Company	GBR	NIA	NYLUK I Company	Ownership	100.000	New York Life Insurance Company		
							Gresham Mortgage	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company		
							W Construction Company	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company		
							WUT	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company		
							WIM (AIM)	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company		
							NYL Investors (U.K.) Limited	GBR	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company		
							NYL Investors REIT Manager LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company		
							NYL Investors NCVAD II GP, LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company		
							MNCVAD II-MF HENLEY CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company		
							MNCVAD II-SP HENLEY JV LLC	DE	NIA	MNCVAD II-MF HENLEY CA LLC	Ownership	90.000	New York Life Insurance Company		
							MNCVAD II-SP HENLEY OWNER LLC	DE	NIA	MNCVAD II-SP HENLEY JV LLC	Ownership	100.000	New York Life Insurance Company		
							MNCVAD II-OFC 770 L Street CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company		
							MNCVAD II-MF UNION CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company		
							MNCVAD II- HOLLIDAY UNION JV LLC	DE	NIA	MNCVAD II-MF UNION CA LLC	Ownership	90.000	New York Life Insurance Company		
							MNCVAD II-OFC HARBORS CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company		
							MNCVAD II-SEAGATE HARBORS LLC	DE	NIA	MNCVAD II-OFC HARBORS CA LLC	Ownership	90.000	New York Life Insurance Company		
							MNCVAD II-OFC 630 K Street CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company		
			84-1758196				MSSDF GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company		
			84-1781419				MSSDF Member LLC	DE	NIA	New York Life Insurance Company	Ownership	35.000	New York Life Insurance Company		
							MSSDF Member LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	65.000	New York Life Insurance Company		
			84-1781419				Madison Square Structured Debt Fund LP	DE	NIA	New York Life Insurance Company	Ownership	14.100	New York Life Insurance Company		
			84-1797003				Madison Square Structured Debt Fund LP	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	26.300	New York Life Insurance Company		
			84-1819107				MSSDF REIT LLC	DE	NIA	Madison Square Structured Debt Fund LP	Ownership	100.000	New York Life Insurance Company		
			84-1825208				MSSDF REIT Funding Sub I LLC	DE	NIA	MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company		
			85-4113067				MSSDF REIT Funding Sub II LLC	DE	NIA	MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company		
			85-4120070				MSSDF REIT Funding Sub III LLC	DE	NIA	MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company		

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**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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			87-3760197				MSSDF REIT Funding Sub IV LLC	DE	NIA	MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company		
							MSSDF REIT Funding Sub V LLC	DE	NIA	MSSDF REIT LLC	Ownership	100.000	New York Life Insurance Company		
							MSVEF GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company		
							MCPF GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company		
			94-3390961				Madison Core Property Fund LP	DE	NIA	NYL Investors LLC	Management	0.000	New York Life Insurance Company		
			83-4025228				MCPF Holdings Manager LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
			83-4049223				MCPF MA Holdings LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MCPF Holdings LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MADISON-IND TAMARAC FL	DE	NIA	MCPF Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Mill Creek, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Gateway, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Gateway Phases II and III, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Delta Court, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Fremont Distribution Center, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Century, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Saddle River LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Newport Commons, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Northsight, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Riverside, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							MIREF Corporate Woods, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
							Bartons Lodge Apartments, LLC	DE	NIA	Madison Core Property Fund LP	Ownership	90.000	New York Life Insurance Company		
							MIREF 101 East Crossroads, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							101 East Crossroads, LLC	DE	NIA	MIREF 101 East Crossroads, LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Hawthorne, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Auburn 277, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Sumner North, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Wellington, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MIREF Warner Center, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-MF Duluth GA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-OFC Centerstone I CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-OFC Centerstone III CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-MOB Centerstone IV CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-OFC Centerpoint Plaza CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
			47-2279230				MADISON-IND Logistics NC LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
			47-2283899				MCPF-LRC Logistics LLC	DE	NIA	MADISON-IND Logistics NC LLC	Ownership	90.000	New York Life Insurance Company		
							MADISON-MF Desert Mirage AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-OFC One Main Place OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-IND Fenton MO LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-IND Hitzert Roadway MO LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-MF Hoyt OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
			47-5172577				MADISON-RTL Clifton Heights PA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-IND Locust CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-OFC Weston Pointe FL LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-SP Henderson LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	90.000	New York Life Insurance Company		
							MADISON-MF MCCADDEN CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-OFC 1201 WEST IL LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-MCCAFFERY 1201 WEST IL LLC	DE	NIA	MADISON-OFC 1201 WEST IL LLC	Ownership	92.500	New York Life Insurance Company		
							MADISON-MF CRESTONE AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-MF TECH RIDGE TX LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
			83-4019048				MADISON-RTL SARASOTA FL, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-MOB CITRACADO CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-ACG THE MEADOWS WA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-ACG THE MEADOWS JV LLC	DE	NIA	MADISON-ACG THE MEADOWS WA LLC	Ownership	100.000	New York Life Insurance Company		
							MADISON-ACG THE MEADOWS OWNER LLC	DE	NIA	MADISON-ACG THE MEADOWS JV LLC	Ownership	100.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
			87-4097153				Madison-MF Osprey QRS Inc	DE	NIA	Madison Core Property Fund LP	Ownership	100.000	New York Life Insurance Company		
			87-4075458				Madison-MF Osprey NC GP LLC	DE	NIA	Madison-MF Osprey QRS Inc.	Ownership	100.000	New York Life Insurance Company		
							Madison-MF Osprey NC LP	DE	NIA	Madison-MF Osprey QRS Inc.	Ownership	99.000	New York Life Insurance Company		
							Madison-MF Osprey NC LP	DE	NIA	Madison-MF Osprey NC LP	Ownership	1.000	New York Life Insurance Company		
							New York Life Investment Management Asia Limited	CYM	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
			13-4080466		0000061227		MackKay Shields LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							MackKay Shields Emerging Markets Debt Portfolio	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			27-2850988				MackKay Shields Core Plus Opportunities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			27-2851036		0001502131		MackKay Shields Core Plus / Opportunities Fund LP	DE	NIA	MackKay Shields Core Plus Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
			27-0676586				MackKay Municipal Managers Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			26-2332835		0001432467		MackKay Municipal Opportunities Master Fund, L.P.	DE	NIA	MackKay Municipal Managers Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			22-2267512		0001432468		MackKay Municipal Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			27-0676650				MackKay Municipal Managers Credit Opportunities GP, LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			30-0523736		0001460030		MackKay Municipal Credit Opportunities Master Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			30-0523739		0001460023		MackKay Municipal Credit Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			38-4019880		0001700102		MackKay Municipal Credit Opportunities HL Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			98-1374021				MackKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC	CYM	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			98-1370729		0001710885		MackKay Municipal Credit Opportunities HL (Cayman) Fund, LP	CYM	NIA	MackKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC	Ownership	100.000	New York Life Insurance Company		
			45-3040968				MackKay Municipal Short Term Opportunities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			45-3041041		0001532022		MackKay Municipal Short Term Opportunities Fund LP	DE	NIA	MackKay Municipal Short Term Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
							Plainview Funds plc	IRL	NIA	MackKay Shields LLC	Ownership	50.000	New York Life Insurance Company		
							Plainview Funds plc	IRL	NIA	MackKay Shields LLC	Board of Directors	0.000	New York Life Insurance Company		
			27-3064248				MackKay Shields High Yield Active Core Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			26-4248749		0001502130		MackKay Shields High Yield Active Core Fund LP	DE	NIA	MackKay Shields High Yield Active Core Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
			98-0540507				MackKay Shields Credit Strategy Fund Ltd	CYM	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			13-4357172	3859263			MackKay Shields Credit Strategy Partners LP	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
					0001502133		MackKay Shields Defensive Bond Arbitrage Fund Ltd.	BMJ	NIA	MackKay Shields LLC	Ownership	0.160	New York Life Insurance Company		
					0001502133		MackKay Shields Defensive Bond Arbitrage Fund Ltd.	BMJ	NIA	New York Life Insurance Company	Ownership	14.780	New York Life Insurance Company		
			45-2732939				MackKay Shields Core Fixed Income Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			45-2733007		0001529525		MackKay Shields Core Fixed Income Fund LP	DE	NIA	MackKay Shields Core Fixed Income Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
			82-1760156				MackKay Shields Select Credit Opportunities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		

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**SCHEDULE Y**

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			81-4553436		0001703194		MackKay Shields Select Credit Opportunities Fund LP	DE	NIA	MackKay Shields Select Credit Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
			98-1108933				MackKay Shields (International) Ltd.	GBR	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			98-1108959				MackKay Shields (Services) Ltd.	GBR	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			98-1108940				MackKay Shields UK LLP	GBR	NIA	MackKay Shields (International) Ltd.	Ownership	99.000	New York Life Insurance Company		
			98-1108940				MackKay Shields UK LLP	GBR	NIA	MackKay Shields (Services) Ltd.	Ownership	1.000	New York Life Insurance Company		
			47-3358622				MackKay Municipal Managers California Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
							MackKay Municipal California Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers California Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			81-2401724				MackKay Municipal New York Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			38-4002797		0001685030		MackKay Municipal New York Opportunities Fund, L.P.	DE	NIA	MackKay Municipal New York Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
					0001700100		MackKay Municipal Opportunity HL Fund LP	DE	NIA	MackKay Municipal New York Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			81-2575585				MackKay Municipal Capital Trading GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			36-4846547				MackKay Municipal Capital Trading Master Fund, L.P.	DE	NIA	MackKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company		
			37-1836504				MackKay Municipal Capital Trading Fund, L.P.	DE	NIA	MackKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company		
			81-4932734				MackKay Municipal Managers Strategic Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
					0001701742		MackKay Municipal Strategic Opportunities Fund LP	DE	NIA	MackKay Municipal Managers Strategic Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			37-1846456				MackKay Shields US Equity Market Neutral Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			82-1728099				MackKay Cornerstone US Equity Market Neutral Fund LP	DE	NIA	MackKay Shields US Equity Market Neutral Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
			27-4320205		0001510983		MackKay Shields Intermediate Bond Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			82-1715543				MackKay Shields Intermediate Bond Fund LP	DE	NIA	MackKay Shields Intermediate Bond Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
			82-1716026		0001715261		MackKay Shields General Partner (L/S) LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			13-4080466				MackKay Shields Long/Short Fund (Master)	DE	NIA	MackKay Shields General Partner (L/S) LLC	Ownership	100.000	New York Life Insurance Company		
			02-0633343				MackKay Municipal Managers Opportunities Allocation GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
							MackKay Municipal Managers Opportunities Allocation Master Fund LP	DE	NIA	MackKay Municipal Managers Opportunities Allocation GP LLC	Ownership	100.000	New York Life Insurance Company		
			83-3051488				MackKay Municipal Managers Opportunities Allocation Fund A LP	DE	NIA	MackKay Municipal Managers Opportunities Allocation GP LLC	Ownership	100.000	New York Life Insurance Company		
			83-3085547				MackKay Municipal Managers Opportunities Allocation Fund B LP	DE	NIA	MackKay Municipal Managers Opportunities Allocation GP LLC	Ownership	100.000	New York Life Insurance Company		
			83-3088001				MackKay Municipal Managers U.S. Infrastructure - Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
							MackKay Municipal U.S. Infrastructure Opportunities Fund LP	DE	NIA	MackKay Municipal Managers U.S. Infrastructure - Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
			83-3010096				MackKay Municipal Managers High Yield Select GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			84-2017635				MackKay Municipal High Yield Select Fund LP	DE	NIA	MackKay Municipal Managers High Yield Select GP LLC	Ownership	100.000	New York Life Insurance Company		
			84-2046842		0001783642		MackKay Flexible Income Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			85-0605318				MackKay Flexible Income Fund LP	DE	NIA	MackKay Flexible Income Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
					0001811009		MackKay Multi-Asset Real Return Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company		

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**SCHEDULE Y**

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							Mackay Multi-Asset Real Return Fund LP	DE	NIA	Mackay Multi-Asset Real Return Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
							Mackay Multi-Asset Income Fund GP LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company		
							Mackay Multi-Asset Income Fund LP	DE	NIA	Mackay Multi-Asset Income Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
							Mackay Municipal Managers High Income Opportunities GP LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company		
							Mackay Municipal High Income Opportunities Fund LP	DE	NIA	Mackay Municipal Managers High Income Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company		
							Cascade CLO Manager LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company		
							MKS CLO Holdings GP LLC	DE	NIA	Cascade CLO Manager LLC	Ownership	100.000	New York Life Insurance Company		
							MKS CLO Holdings, LP	CYM	NIA	MKS CLO Holdings GP LLC	Ownership	100.000	New York Life Insurance Company		
							MKS CLO Advisors, LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company		
							Mackay Shields Europe Investment Management Limited	IRL	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company		
							Mackay Shields European Credit Opportunity Fund Limited	NJ	NIA	New York Life Insurance and Annuity Corporation	Ownership	33.000	New York Life Insurance Company		
							Mackay Shields European Credit Opportunity Fund Limited	NJ	NIA	Mackay Shields LLC	Ownership	67.000	New York Life Insurance Company		
							MKS TALF Opportunities Fund GP, LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company		
							Mackay Shields TALF 2.0 Opportunities Feeder Fund (Cayman) LP	CYM	NIA	MKS TALF Opportunities Fund GP, LLC	Ownership	100.000	New York Life Insurance Company		
							Mackay Shields TALF 2.0 Opportunities Feeder Fund (Cayman GBP-Hedged) LP	CYM	NIA	MKS TALF Opportunities Fund GP, LLC	Ownership	100.000	New York Life Insurance Company		
			85-0866088				Mackay Shields TALF 2.0 Opportunities Feeder Fund (US) LP	DE	NIA	MKS TALF Opportunities Fund GP, LLC	Ownership	100.000	New York Life Insurance Company		
			85-0860318				Mackay Shields TALF 2.0 Opportunities Master Fund LP	DE	NIA	MKS TALF Opportunities Fund GP, LLC	Ownership	100.000	New York Life Insurance Company		
			87-1580419				MKS Global Sustainable Emerging Markets Equities Fund GP LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			87-1621347				Candriam Global Sustainable Emerging Markets Equities Fund LP	DE	NIA	MKS Global Sustainable Emerging Markets Equities Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
			87-1598388				MKS Global Emerging Markets Equities Fund GP LLC	DE	NIA	Mackay Shields LLC	Ownership	100.000	New York Life Insurance Company		
			87-1645818				Candriam Global Emerging Markets Equities Fund LP	DE	NIA	MKS Global Emerging Markets Equities Fund GP LLC	Ownership	100.000	New York Life Insurance Company		
					0001453415		Cornerstone Capital Management Holdings LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							Madison Square Investors Asian Equity Market Neutral Fund GP, LLC	DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
					0001329043		Cornerstone Capital Management Large-Cap Enhanced Index Fund, LP	DE	NIA	Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	Ownership	100.000	New York Life Insurance Company		
			85-1664787				New York Life Investments Alternatives LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
			36-4715120				Madison Capital Funding LLC	DE	NIA	New York Life Insurance Company	Ownership	21.900	New York Life Insurance Company		
			36-4715120				Madison Capital Funding LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	78.100	New York Life Insurance Company		
			26-2806813				MCF Co-Investment GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company		
			26-2806864		0001538585		MCF Co-Investment GP LP	DE	NIA	MCF Co-Investment GP LLC	Ownership	100.000	New York Life Insurance Company		
			26-2806918		0001538584		Madison Capital Funding Co-Investment Fund LP	DE	NIA	MCF Co-Investment GP LP	Ownership	100.000	New York Life Insurance Company		
			80-0920962				Madison Avenue Loan Fund GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company		
			61-1711540		0001577927		Madison Avenue Loan Fund LP	DE	NIA	Madison Avenue Loan Fund GP LLC	Ownership	100.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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			30-1143853				MCF Fund I LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company		
							MCF Hanwha Fund LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
							Ironshore Investment BL I Ltd.	BMJ	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
			46-2213974				MCF CLO IV LLC	DE	NIA	New York Life Insurance Company	Ownership	6.700	New York Life Insurance Company		
			46-2213974				MCF CLO IV LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
			81-4067250				MCF CLO V LLC	DE	NIA	New York Life Insurance Company	Ownership	5.000	New York Life Insurance Company		
			81-4067250				MCF CLO V LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
			82-1943737				MCF CLO VI LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
			82-2734635				MCF CLO VII LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
							MCF CLO VIII Ltd	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
							MCF CLO VIII LLC	DE	NIA	MCF CLO VIII Ltd	Ownership	100.000	New York Life Insurance Company		
							MCF CLO IX Ltd	CYM	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
							MCF CLO IX LLC	DE	NIA	MCF CLO IX Ltd	Ownership	100.000	New York Life Insurance Company		
			36-4883128				MCF KB Fund LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
			61-1907486				MCF KB Fund II LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
			84-3329380				MCF Hyundai Fund LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
			85-1708233				MCF Senior Debt Fund 2020 LP	CYM	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
			37-1749427				Montpelier Carry Parent, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company		
							Montpelier Carry, LLC	DE	NIA	Montpelier Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
			46-2042988				Montpelier GP, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company		
			46-2042988		0001570694		Montpelier Fund, L.P.	DE	NIA	Montpelier GP, LLC	Ownership	100.000	New York Life Insurance Company		
			35-2537165				MCF Mezzanine Carry I LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
			32-0469843				MCF Mezzanine Fund I LLC	DE	NIA	New York Life Insurance Company	Ownership	66.670	New York Life Insurance Company		
							New York Life Insurance and Annuity Corporation	DE	NIA		Ownership	33.330	New York Life Insurance Company		
			32-0469843				MCF Mezzanine Fund I LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
							MCF PD Fund GP LLC	DE	NIA	MCF PD Fund GP LLC	Other	0.000	New York Life Insurance Company		.1
			98-1450997				MCF PD Fund LP	DE	NIA	MCF PD Fund GP LLC	Other	0.000	New York Life Insurance Company		.1
							MCF Senior Debt Fund 2019-I GP LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company		.1
							MCF Senior Debt Fund 2019-I LP	DE	NIA	MCF Senior Debt Fund 2019-I GP LLC	Other	0.000	New York Life Insurance Company		.1
			83-4242231				Warwick Seller Representative, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company		
							Young America Holdings, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	36.350	New York Life Insurance Company		
							YAC.ECOM Incorporated	MN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
				2644154			Young America, LLC	MN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							Global Fulfillment Services, Inc.	AZ	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company		
							SourceOne Worldwide, Inc.	MN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company		
							YA Canada Corporation	CAN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Investments Alternatives LLC								
							GoldPoint Partners LLC	DE	NIA		Ownership	100.000	New York Life Insurance Company		
							New York Life Capital Partners III, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Capital Partners III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Capital Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Capital Partners IV GenPar, LP	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Capital Partners IV GenPar GP, LLC	DE	NIA	New York Life Capital Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Capital Partners IV GenPar, LP	DE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Core Opportunities Fund, L.P.	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Core Opportunities Fund II L.P.	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Mezzanine Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Mezzanine Partners IV GenPar LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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					0001670568		GoldPoint Mezzanine Partners Co-Investment Fund A, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company		
					0001652367		GoldPoint Mezzanine Partners IV, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV A Blocker LP (GPPMBA)	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV A Preferred Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV B Blocker LP (GPPMBB)	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV C Blocker LP (GPPMBC)	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV D Blocker LP (GPPMBD)	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV E Blocker LPP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV ECI Aggregator LP	DE	NIA	GPP Mezz IV E Blocker LPP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV F Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV G Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV H Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Mezz IV I Blocker LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Mezzanine Partners Offshore IV, L.P.	CYM	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Co-Investment V GenPar GP LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Co-Investment V GenPar, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar GP LLC	Ownership	100.000	New York Life Insurance Company		
					0001670563		GoldPoint Partners Co-Investment Fund-A, LP	DE	NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company		
					0001562188		GoldPoint Partners Co-Investment V, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP V ECI Aggregator LP	DE	NIA	Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company		
							GPP V F Blocker Holdco LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP V G Blocker Holdco LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Private Debt V GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Private Debt Offshore V, LP	CYM	NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GPP Private Debt V RS LP	DE	NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Private Debt V GenPar GP, LP	DE	NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Private Debt V, LP	DE	NIA	GoldPoint Partners Private Debt V GenPar GP, LP	Ownership	100.000	New York Life Insurance Company		
							GPP PD V A Blocker, LLC	DE	NIA	GoldPoint Partners Private Debt V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Private Debt V-ECI Aggregator LP	DE	NIA	GoldPoint Partners Private Debt V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP PD V B Blocker, LLC	DE	NIA	GoldPoint Partners Private Debt V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP PD V C Blocker, LLC	DE	NIA	GoldPoint Partners Private Debt V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP PD V D Blocker LLC	DE	NIA	GoldPoint Partners Private Debt V, LP	Ownership	100.000	New York Life Insurance Company		
							GPP LuxCo V GP Sarl	LUX	NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GPP Private Debt LuxCo V SCSp	LUX	NIA	GoldPoint Partners Private Debt V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager III GenPar, L.P.	CYM	NIA	GoldPoint Partners Select Manager III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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					0001644721		GoldPoint Partners Select Manager Fund III, L.P.	.CVM	NIA	GoldPoint Partners Select Manager III GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager Fund III AIV, L.P.	.DE	NIA	GoldPoint Partners Select Manager III GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager IV GenPar GP, LLC	.DE	NIA	GoldPoint Partners Select Manager IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager IV GenPar, L.P.	.DE	NIA	GoldPoint Partners Select Manager IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
					0001725867		GoldPoint Partners Select Manager Fund IV, L.P.	.DE	NIA	GoldPoint Partners Select Manager IV GenPar, L.P.	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager V GenPar GP, LLC	.DE	NIA	GoldPoint Partners Select Manager V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager V GenPar, L.P.	.DE	NIA	GoldPoint Partners Select Manager V GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager Fund V, L.P.	.DE	NIA	GoldPoint Partners Select Manager V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Canada V GenPar Inc.	.CAN	NIA	GoldPoint Partners Select Manager V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager Canada Fund V, L.P.	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Canada III GenPar, Inc	.CAN	NIA	GoldPoint Partners Canada V GenPar Inc.	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager Canada Fund III, L.P.	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Canada IV GenPar Inc	.CAN	NIA	GoldPoint Partners Canada III GenPar, Inc	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Select Manager Canada Fund IV, L.P.	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Co-Investment VI GenPar GP LLC	.DE	NIA	GoldPoint Partners Canada IV GenPar Inc	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Co-Investment VI GenPar, LP	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar GP LLC	Ownership	100.000	New York Life Insurance Company		
					0001712763		GoldPoint Partners Co-Investment VI LP	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI - ECI Aggregator LP	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI Blocker A LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI Blocker B LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI Blocker C LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI Blocker D LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI Blocker E LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP VI Blocker I LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Co-Invest VII GenPar, GP LLC	.DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							GPP Co-Invest VII, GenPar LP	.DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Partners Co-Investment VII, LP	.DE	NIA	GPP Co-Invest VII, GenPar LP	Ownership	100.000	New York Life Insurance Company		
							GoldPoint Private Credit GenPar GP, LLC	.DE	NIA	GoldPoint Partners Co-Investment VII, LP	Ownership	100.000	New York Life Insurance Company		
					0001718352		GoldPoint Private Credit Fund, LP	.DE	NIA	GoldPoint Partners GP, LLC	Ownership	100.000	New York Life Insurance Company		
							Goldpoint Partners Canada GenPar, Inc.	.CAN	NIA	GoldPoint Partners LP	Ownership	100.000	New York Life Insurance Company		
							NVLCAP Select Manager Canada Fund, LP	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							NVLCAP Canada II GenPar, Inc.	.CAN	NIA	NVLCAP Canada GenPar, Inc.	Ownership	100.000	New York Life Insurance Company		
							NVLCAP Select Manager Canada Fund II, L.P.	.CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
										NVLCAP Canada II GenPar, Inc.	Ownership	100.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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							NYLIM Mezzanine Partners II GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM Mezzanine Partners II GenPar, LP	DE	NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM Mezzanine Partners III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM Mezzanine Partners III GenPar, LP	DE	NIA	NYLIM Mezzanine Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	DE	NIA	NYLIM Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							NYLIM Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP	DE	NIA	NYLIM Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	Ownership	100.000	New York Life Insurance Company		
					0001483925		NYLIM Mezzanine Offshore Partners III, LP	CYM	NIA	NYLIM Mezzanine Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM Select Manager GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
					0001441093		NYLIM Select Manager GenPar, LP	DE	NIA	NYLIM Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM Select Manager Fund, LP	DE	NIA	NYLIM Select Manager GenPar, LP	Ownership	100.000	New York Life Insurance Company		
							NYLIM Select Manager Cayman Fund, LP	CYM	NIA	NYLIM Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM Select Manager II GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
					0001520743		NYLIM Select Manager II GenPar, L.P.	CYM	NIA	NYLIM Select Manager II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM Select Manager Fund II, L.P.	CYM	NIA	NYLIM Select Manager II GenPar GP, LP	Ownership	100.000	New York Life Insurance Company		
							NYLIM India Funding LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM-JB Asset Management Co. (Mauritius) LLC	MUS	NIA	NYLIM India Funding LLC	Ownership	24.660	New York Life Insurance Company		3
					0001356865		New York Life Investment Management India Fund II, LLC	MUS	NIA	NYLIM-JB Asset Management Co., LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Investment Management India Fund (FVCI) II, LLC	MUS	NIA	New York Life Investment Management India Fund II, LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM India Funding III LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM-Jacob Ballas Asset Management Co. III, LLC	MUS	NIA	NYLIM India Funding III LLC	Ownership	24.660	New York Life Insurance Company		4
					0001435025		NYLIM Jacob Ballas India Fund III, LLC	MUS	NIA	NYLIM-Jacob Ballas Asset Management Company III, LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM Jacob Ballas Capital India (FVCI) III, LLC	MUS	NIA	NYLIM Jacob Ballas India Fund III, LLC	Ownership	100.000	New York Life Insurance Company		
							NYLIM Jacob Ballas India (FII) III, LLC	MUS	NIA	NYLIM Jacob Ballas India Fund III, LLC	Ownership	100.000	New York Life Insurance Company		
							Evolvement Asset Management, Ltd.	CYM	NIA	GoldPoint Partners LLC	Ownership	24.500	New York Life Insurance Company		
							EIF Managers Limited	MUS	NIA	Evolvement Asset Management, Ltd.	Ownership	58.720	New York Life Insurance Company		
							EIF Managers II Limited	MUS	NIA	Evolvement Asset Management, Ltd.	Ownership	55.000	New York Life Insurance Company		
			54-1886751				PA Capital LLC	DE	NIA	New York Life Investments Alternatives LLC	Ownership	100.000	New York Life Insurance Company		
			84-2631913				BMG PAMP GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			84-2611868				BMG PA Private Markets (Delaware) LP	DE	NIA	BMG PAMP GP, LLC	Ownership	100.000	New York Life Insurance Company		
			98-1503475				BMG Private Markets (Cayman) LP	CYM	NIA	BMG PAMP GP, LLC	Ownership	100.000	New York Life Insurance Company		
			84-2641258				PACD MM, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			84-2106547				PA Capital Direct, LLC	DE	NIA	PACD MM, LLC	Other	0.000	New York Life Insurance Company		8
							PA Credit Program Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							PA Credit Program Carry, LLC	DE	NIA	PA Credit Program Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
			82-1396530				PACIF Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			45-2572635				PACIF Carry, LLC	DE	NIA	PACIF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
			45-2591793				PACIF GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
					0001368975		Private Advisors Coinvestment Fund, LP	DE	NIA	PACIF GP, LLC	Ownership	100.000	New York Life Insurance Company		
							PACIF II GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			26-1662477		0001489910		Private Advisors Coinvestment Fund II, LP	DE	NIA	PACIF II GP, LLC	Ownership	100.000	New York Life Insurance Company		

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ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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			45-2591588				PACIF II Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			45-2591860				PACIF II Carry, LLC	DE	NIA	PACIF II Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
							PACIF III GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			46-1360141		0001562375		Private Advisors Coinvestment Fund III, LP	DE	NIA	PACIF III GP, LLC	Ownership	100.000	New York Life Insurance Company		
			46-2548534				PACIF III Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			80-0916710				PACIF III Carry, LLC	DE	NIA	PACIF III Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
							PACIF IV GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			47-4247870		0001646588		Private Advisors Coinvestment Fund IV, LP	DE	NIA	PACIF IV GP, LLC	Ownership	100.000	New York Life Insurance Company		
							PACIF IV Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			47-4489053				PACIF IV Carry, LLC	DE	NIA	PACIF IV Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
							PAMMF GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			83-1689912		0001762448		PA Middle Market Fund, LP	DE	NIA	PAMMF GP, LLC	Ownership	100.000	New York Life Insurance Company		
			03-0532213		0001322607		PA Hedged Equity Fund, L.P.	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							Private Advisors Hedged Equity Fund (QP), L.P.	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			54-2051483		0001459910		Private Advisors Hedged Equity Master Fund ...	DE	NIA	PA Capital LLC	Other	0.000	New York Life Insurance Company		B
			20-5904617				PASOF GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			83-0892695				PA Strategic Opportunities Fund, LP	DE	NIA	PASOF GP, LLC	Ownership	100.000	New York Life Insurance Company		
			83-0875251				PASCBF III GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							Private Advisors Small Company Buyout Fund III, LP	DE	NIA	PASCBF III GP, LLC	Ownership	100.000	New York Life Insurance Company		
			20-4838202		0001374891		PASCBF IV GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							Private Advisors Small Company Buyout Fund IV, LP	DE	NIA	PASCBF IV GP, LLC	Ownership	100.000	New York Life Insurance Company		
			26-1662399		0001442524		PASCBF IV Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			45-2573409				PASCBF IV Carry, LLC	DE	NIA	PASCBF IV Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
			45-2591925				PASCBF V GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							Private Advisors Small Company Buyout Fund V, LP	DE	NIA	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company		
			45-4078336		0001537995		Private Advisors Small Company Buyout V - ERISA Fund, LP	DE	NIA	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company		
			46-1799496		0001576987		PASCBF V Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			46-2714292				PASCBF V Carry, LLC	DE	NIA	PASBF V Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
			35-2476750				PASCPPEF VI Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							PASCPPEF VI Carry, LLC	DE	NIA	PASCPPEF VI Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company		
			47-4523581				PASCPPEF VI GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							Private Advisors Small Company Private Equity Fund VI, LP	DE	NIA	PASCPPEF VI GP, LLC	Ownership	100.000	New York Life Insurance Company		
			46-4301623		0001595889		Private Advisors Small Company Private Equity Fund VI (Cayman), LP	CYM	NIA	PASCPPEF VI GP, LLC	Ownership	100.000	New York Life Insurance Company		
			98-1223903		0001635254		PASCPPEF VII GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							Private Advisors Small Company Private Equity Fund VII, LP	DE	NIA	PASCPPEF VII GP, LLC	Ownership	100.000	New York Life Insurance Company		
			47-5430553		0001657189		Private Advisors Small Company Private Equity Fund VII (Cayman), LP	CYM	NIA	PASCPPEF VII GP, LLC	Ownership	100.000	New York Life Insurance Company		
			98-1286549		0001711424		PASCPPEF VII Carry Parent, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							PASCPPEF VII Carry, LLC	DE	NIA	PASCPPEF VII Carry Parent LLC	Ownership	100.000	New York Life Insurance Company		
			47-5442078				PASCPPEF VIII GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
							Private Advisors Small Company Private Equity Fund VIII, LP	DE	NIA	PASCPPEF VIII GP, LLC	Ownership	100.000	New York Life Insurance Company		
			82-2042371				Private Advisors Small Company Private Equity Fund VIII (Cayman), LP	CYM	NIA	PASCPPEF VIII GP, LLC	Ownership	100.000	New York Life Insurance Company		
			98-1417728		0001711426		PASCPPEF IX GP, LLC	DE	NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			84-1939809					DE	NIA		Ownership	100.000	New York Life Insurance Company		



ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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			98-0626772				Private Advisors Hedged Equity Master Fund, Ltd.	..CYM	..NIA	Private Advisors Hedged Equity Fund (GP), Ltd.	Other	0.000	New York Life Insurance Company		8
			87-1875231				SAF GP LLC	..DE	..NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			87-1371149				Social Advancement Fund, LP	..DE	..NIA	SAF GP LLC	Ownership	100.000	New York Life Insurance Company		
							WTP GP, LLC	..DE	..NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			46-5163324		0001605509		West Tower Partners, LP	..DE	..NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			98-1164867		0001605502		West Tower Partners, Ltd.	..CYM	..NIA	PA Capital LLC	Other	0.000	New York Life Insurance Company		8
			98-1164329				West Tower Partners SPC	..CYM	..NIA	West Tower Partners, Ltd.	Other	0.000	New York Life Insurance Company		8
			83-2670366				Washington Pike GP, LLC	..DE	..NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			83-2634832				Washington Pike, LP	..DE	..NIA	Washington Pike GP, LLC	Ownership	100.000	New York Life Insurance Company		
			84-5036706				RidgeLake Partners GP, LLC	..DE	..NIA	PA Capital LLC	Ownership	100.000	New York Life Insurance Company		
			84-5053710				RidgeLake Partners, LP	..DE	..NIA	RidgeLake Partners GP, LLC	Ownership	100.000	New York Life Insurance Company		
							NVLCAP Holdings (Mauritius)	..MUS	..NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							Jacob Ballas Capital India PVT, Ltd.	..MUS	..NIA	NVLCAP Holdings (Mauritius)	Ownership	23.300	New York Life Insurance Company		
							Industrial Assets Holdings Limited	..MUS	..NIA	NVLCAP Holdings (Mauritius)	Ownership	28.020	New York Life Insurance Company		
							JB Cerestra Investment Management LLP	..MUS	..NIA	NVLCAP Holdings (Mauritius)	Ownership	12.580	New York Life Insurance Company		
			22-3704242		0001103598		NVLM Service Company LLC	..DE	..NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							NVLM Workforce GP LLC	..DE	..NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
			52-2206685		0001133639		New York Life Investment Management LLC	..DE	..NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
							NVLM Fund II GP, LLC	..DE	..NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company		
							NVLM-TND, LLC	..DE	..NIA	NVLM Fund II GP, LLC	Ownership	100.000	New York Life Insurance Company		
							New York Life Investment Management Hong Kong Limited	..CHN	..NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company		
							WPHG, GP LLC	..DE	..NIA	New York Life Investment Management LLC	Ownership	50.000	New York Life Insurance Company		
				4643807	0001406803		Workforce Housing Fund I - 2007, LP	..DE	..NIA	WPHG, GP LLC	Ownership	50.000	New York Life Insurance Company		
							IndexIQ Holdings Inc.	..DE	..NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company		
			02-0811751		0001483922		IndexIQ LLC	..DE	..NIA	New York Life Investment Management Holdings LLC	Ownership	74.370	New York Life Insurance Company		
			02-0811751		0001483922		IndexIQ LLC	..DE	..NIA	IndexIQ Holdings Inc.	Ownership	25.630	New York Life Insurance Company		
			02-0811753		0001415996		IndexIQ Advisors LLC	..DE	..NIA	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company		
							IndexIQ Active ETF Trust	..DE	..NIA	New York Life Insurance and Annuity Corporation	Ownership	98.500	New York Life Insurance Company		
							IQ MacKay ESG Core Plus Bond ETF	..DE	..NIA	New York Life Insurance and Annuity Corporation	Ownership	95.020	New York Life Insurance Company		
							IQ MacKay California Municipal Intermediate ETF	..DE	..NIA	New York Life Investment Management LLC	Ownership	49.010	New York Life Insurance Company		
							IQ MacKay California Municipal Intermediate ETF	..DE	..NIA	New York Life Insurance and Annuity Corporation	Ownership	50.000	New York Life Insurance Company		
							IndexIQ ETF Trust	..DE	..NIA	New York Life Insurance Company	Ownership	10.200	New York Life Insurance Company		
							IQ 50 Percent Hedged FTSE International ETF	..DE	..NIA	New York Life Investment Management LLC	Ownership	48.910	New York Life Insurance Company		
							IQ 500 International ETF	..DE	..NIA	New York Life Investment Management LLC	Ownership	98.860	New York Life Insurance Company		
							IQ Chaikin US Large Cap ETF	..DE	..NIA	New York Life Investment Management LLC	Ownership	98.040	New York Life Insurance Company		
							IQ Chaikin US Small Cap ETF	..DE	..NIA	New York Life Investment Management LLC	Ownership	80.250	New York Life Insurance Company		
							IQ Clean Oceans ETF	..DE	..NIA	New York Life Insurance and Annuity Corporation	Ownership	94.850	New York Life Insurance Company		
							IQ Cleaner Transport ETF	..DE	..NIA	New York Life Insurance and Annuity Corporation	Ownership	75.900	New York Life Insurance Company		
							IQ Engender Equality ETF	..DE	..NIA	New York Life Insurance and Annuity Corporation	Ownership	66.690	New York Life Insurance Company		

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**SCHEDULE Y**

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							IQ Healthy Hearts ETF	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	79.770	New York Life Insurance Company		
							IQ S&P High Yield Low Volatility Bd ETF	DE	NIA	New York Life Investment Management LLC	Ownership	54.570	New York Life Insurance Company		
							IQ Candriam ESG International Equity ETF	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	95.090	New York Life Insurance Company		
							IQ Candriam ESG US Equity ETF	DE	NIA	New York Life Investment Management LLC	Ownership	88.540	New York Life Insurance Company		
							New York Life Investment Management Holdings International	LUX	NIA	New York Life Investment Management Holdings International	Ownership	100.000	New York Life Insurance Company		
							New York Life Investment Management Holdings II International	LUX	NIA	New York Life Investment Management Holdings II International	Ownership	100.000	New York Life Insurance Company		
							Candriam Group	LUX	NIA	Candriam Group	Ownership	100.000	New York Life Insurance Company		
							CGH UK Acquisition Company Limited	GBR	NIA	CGH UK Acquisition Company Limited	Ownership	100.000	New York Life Insurance Company		
							Tristan Capital Partners Holdings Limited	GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	49.000	New York Life Insurance Company		
							Tristan Capital Holdings Limited	GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	40.000	New York Life Insurance Company		
							Tristan Capital Partners LLP	GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	100.000	New York Life Insurance Company		
							EPI SO 4 Co-Investment LLP	GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	50.000	New York Life Insurance Company		
							EPI SO 4 (GP) LLP	GBR	NIA	EPI SO 4 Co-Investment LLP	Ownership	16.000	New York Life Insurance Company		
							EPI SO 4 Incentive Partners LLP	GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	4.700	New York Life Insurance Company		
							CCP 5 Co-Investment LLP	GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	50.000	New York Life Insurance Company		
							Tristan Capital Limited	GBR	NIA	Tristan Capital Partners Holdings Limited	Ownership	100.000	New York Life Insurance Company		
							Tristan Capital Partners LLP	GBR	NIA	Tristan Capital Limited	Ownership	92.000	New York Life Insurance Company		
							CCP III Co-Investment (GP) Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							CCP III Co-Investment LP	GBR	NIA	CCP III Co-Investment (GP) Limited	Ownership	100.000	New York Life Insurance Company		
							CCP IV Co-Investment LP	GBR	NIA	CCP III Co-Investment (GP) Limited	Ownership	100.000	New York Life Insurance Company		
							CCP III (GP) LLP	GBR	NIA	Tristan Capital Partners LLP	Ownership	50.000	New York Life Insurance Company		
							CCP III Incentive Partners (GP) Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							CCP III Incentive Partners LP	GBR	NIA	CCP III Incentive Partners (GP) Limited	Ownership	100.000	New York Life Insurance Company		
							CCP IV Incentive Partners LP	GBR	NIA	CCP III Incentive Partners (GP) Limited	Ownership	100.000	New York Life Insurance Company		
							Curzon Capital Partners III (GP) Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							CCP III (GP) LLP	GBR	NIA	Curzon Capital Partners III (GP) Limited	Ownership	100.000	New York Life Insurance Company		
							EPI SO 3 Co-Investment (GP) Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							EPI SO 3 Co-Investment LP	GBR	NIA	EPI SO 3 Co-Investment (GP) Limited	Ownership	100.000	New York Life Insurance Company		
							EPI SO 3 Incentive Partners (GP) Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							EPI SO 3 Incentive Partners LP	GBR	NIA	EPI SO 3 Incentive Partners (GP) Limited	Ownership	100.000	New York Life Insurance Company		
							EPI SO 3 IOM Limited	MN	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							CCP IV (GP) LLP	GBR	NIA	Tristan Capital Partners LLP	Ownership	50.000	New York Life Insurance Company		
							Curzon Capital Partners IV (GP) Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							CCP 5 GP LLP	GBR	NIA	Tristan Capital Partners LLP	Ownership	33.000	New York Life Insurance Company		
							CCP 5 Pool Partnership GP Limited	NJ	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							CCP 5 Pool Partnership SLP	NJ	NIA	CCP 5 Pool Partnership GP Limited	Ownership	100.000	New York Life Insurance Company		
							Tristan Capital Partners Asset Management Limited	GBR	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							TCP Poland Spolka z ograniczona odpowiedzialnoscia	POL	NIA	Tristan Capital Partners Asset Management Limited	Ownership	100.000	New York Life Insurance Company		
							TCP Co-Investment (GP) S.á.r.l.	LUX	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							TCP Co-Investment SCSP	LUX	NIA	TCP Co-Investment (GP) S.á.r.l.	Ownership	100.000	New York Life Insurance Company		
							TCP Incentive Partners SCSP	LUX	NIA	TCP Co-Investment (GP) S.á.r.l.	Ownership	100.000	New York Life Insurance Company		
							TCP Incentive Partners (GP) S.á.r.l.	LUX	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							German Property Performance Partners Investors Feeder Verwaltungs GmbH	DEU	NIA	TCP Incentive Partners (GP) S.á.r.l.	Ownership	100.000	New York Life Insurance Company		
							EPI SO 4 (GP) S.á.r.l.	LUX	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							EPI SO 4 (GP) II S.á.r.l.	LUX	NIA	Tristan Capital Partners LLP	Ownership	100.000	New York Life Insurance Company		
							Tristan (Holdings) Limited	GBR	NIA	CGH UK Acquisition Company Limited	Ownership	40.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

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							EPISO 3 Feeder (GP) Limited	GBR	NIA	Tristan (Holdings) Limited	Ownership	40.000	New York Life Insurance Company		
							CCP V Feeder (GP) LLP	GBR	NIA	Tristan (Holdings) Limited	Ownership	40.000	New York Life Insurance Company		
							EPISO 4 Feeder (GP) LLP	GBR	NIA	Tristan (Holdings) Limited	Ownership	40.000	New York Life Insurance Company		
							CCP 5 Feeder LLP	GBR	NIA	Tristan (Holdings) Limited	Ownership	40.000	New York Life Insurance Company		
							Tristan Global Securities GP Limited	CYM	NIA	Tristan (Holdings) Limited	Ownership	40.000	New York Life Insurance Company		
							Tristan Global Securities LP	CYM	NIA	Tristan Global Securities GP Limited	Ownership	40.000	New York Life Insurance Company		
							Candriam Luxco S.á.r.l.	LUX	NIA	Candriam Group	Ownership	100.000	New York Life Insurance Company		
							Candriam Luxembourg	LUX	NIA	Candriam Group	Ownership	90.394	New York Life Insurance Company		
							Candriam Belgium	BEL	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company		
							Candriam France	FRA	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company		
							Candriam Monétaire SICAV	FRA	NIA	Candriam Belgium	Ownership	2.860	New York Life Insurance Company		
							Candriam Monétaire SICAV	FRA	NIA	Candriam France	Ownership	2.380	New York Life Insurance Company		
							Candriam Monétaire SICAV	FRA	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Switzerland LLC	CHE	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company		
							Candriam GP	LUX	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company		
							KTA Holdco	LUX	NIA	Candriam Luxembourg	Ownership	66.670	New York Life Insurance Company		
							KTA Holdco	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	33.330	New York Life Insurance Company		
							Kartesia Management SA	LUX	NIA	KTA Holdco	Ownership	33.000	New York Life Insurance Company		
							Kartesia UK Ltd.	GBR	NIA	Kartesia Management SA	Ownership	100.000	New York Life Insurance Company		
							Kartesia Belgium	BEL	NIA	Kartesia Management SA	Ownership	100.000	New York Life Insurance Company		
							Kartesia Credit FFS	FRA	NIA	Kartesia Management SA	Ownership	100.000	New York Life Insurance Company		
							Kartesia GP III	LUX	NIA	Kartesia Management SA	Ownership	100.000	New York Life Insurance Company		
							Kartesia Credit Opportunities III S.C.A., SICAV-SIF	LUX	NIA	Kartesia GP III	Ownership	100.000	New York Life Insurance Company		
							Kartesia Securities	LUX	NIA	Kartesia Credit Opportunities III S.C.A., SICAV-SIF	Ownership	100.000	New York Life Insurance Company		
							Kartesia III Topco S.?.r.l.	LUX	NIA	Kartesia Credit Opportunities III S.C.A., SICAV-SIF	Ownership	100.000	New York Life Insurance Company		
							Kartesia GP IV	LUX	NIA	Kartesia Management SA	Ownership	100.000	New York Life Insurance Company		
							Kartesia Credit Opportunities IV SCS SICAV-SIF	LUX	NIA	Kartesia GP IV	Ownership	100.000	New York Life Insurance Company		
							Kartesia Securities IV	LUX	NIA	Kartesia Credit Opportunities IV SCS SICAV-SIF	Ownership	100.000	New York Life Insurance Company		
							Kartesia Securities IV Topco S.?.r.l.	LUX	NIA	Kartesia Credit Opportunities IV SCS SICAV-SIF	Ownership	100.000	New York Life Insurance Company		
							Kartesia Master GP	LUX	NIA	Kartesia Management SA	Ownership	100.000	New York Life Insurance Company		
							Kartesia Credit Opportunities V Feeder SCS	LUX	NIA	Kartesia Master GP	Ownership	100.000	New York Life Insurance Company		
							Kartesia Senior Opportunities I SCS, SICAV-RAIF	LUX	NIA	Kartesia Master GP	Ownership	100.000	New York Life Insurance Company		
							KASS Unleveled S.?.r.l.	LUX	NIA	Kartesia Senior Opportunities I SCS, SICAV-RAIF	Ownership	100.000	New York Life Insurance Company		
							KSO I Topco S.?.r.l.	LUX	NIA	KASS Unleveled S.?.r.l.	Ownership	100.000	New York Life Insurance Company		
							Kartesia Credit Opportunities V SCS	LUX	NIA	Kartesia Master GP	Ownership	100.000	New York Life Insurance Company		
							Kartesia Securities V S.?.r.l.	LUX	NIA	Kartesia Credit Opportunities V SCS	Ownership	100.000	New York Life Insurance Company		
							Cordius	LUX	NIA	Candriam Luxembourg	Ownership	4.520	New York Life Insurance Company		
							Cordius	LUX	NIA	Candriam Belgium	Ownership	4.680	New York Life Insurance Company		
							Cordius CIG	LUX	NIA	Candriam Belgium	Ownership	50.880	New York Life Insurance Company		
							Cordius CIG	LUX	NIA	Candriam Luxembourg	Ownership	49.120	New York Life Insurance Company		
							IndexIQ	LUX	NIA	Cordius CIG	Ownership	28.000	New York Life Insurance Company		
							IndexIQ Factors Sustainable Corporate Euro Bond	LUX	NIA	Cordius CIG	Ownership	19.000	New York Life Insurance Company		
							IndexIQ Factors Sustainable EMU Equity	LUX	NIA	Cordius CIG	Ownership	3.490	New York Life Insurance Company		
							IndexIQ Factors Sustainable Europe Equity	LUX	NIA	Cordius CIG	Ownership	9.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
							IndexIQ Factors Sustainable Japan Equity	LUX	NIA	Cordius CIG	Ownership	14.000	New York Life Insurance Company		
							IndexIQ Factors Sustainable Sovereign Euro Bond	LUX	NIA	Cordius CIG	Ownership	48.000	New York Life Insurance Company		
							Candriam Absolute Return	LUX	NIA	Cordius CIG	Ownership	1.670	New York Life Insurance Company		
							Candriam Absolute Return Equity Market Neutral	LUX	NIA	Cordius CIG	Ownership	1.740	New York Life Insurance Company		
							Candriam Absolute Return Long Short Digital Equity	LUX	NIA	Cordius CIG	Ownership	0.020	New York Life Insurance Company		
							Candriam Alternative	LUX	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company		
							Candriam Alternative Systemat	LUX	NIA	Candriam Luxembourg	Ownership	100.000	New York Life Insurance Company		
							Candriam Bonds	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	0.180	New York Life Insurance Company		
							Candriam Bonds Capital Securities	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Bonds Credit Alpha	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	22.690	New York Life Insurance Company		
							Candriam Bonds Credit Alpha	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Bonds Emerging Debt Local Currencies	LUX	NIA	Cordius CIG	Ownership	0.020	New York Life Insurance Company		
							Candriam Bonds Euro Long Term	LUX	NIA	Cordius CIG	Ownership	0.020	New York Life Insurance Company		
							Candriam Bonds Global Sovereign Quality	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Bonds International	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company		
							Candriam Diversified Futures	LUX	NIA	Cordius CIG	Ownership	2.440	New York Life Insurance Company		
							Candriam Equities L	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	0.090	New York Life Insurance Company		
							Candriam Equities L EMU Innovation	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Equities L Europe Conviction	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Equities L Life Care	LUX	NIA	Cordius CIG	Ownership	0.030	New York Life Insurance Company		
							Candriam Equities L Risk Arbitrage	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	12.950	New York Life Insurance Company		
							Candriam Equities L Risk Arbitrage Opportunities	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam GF	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	53.500	New York Life Insurance Company		
							Candriam GF	LUX	NIA	Cordius CIG	Ownership	0.020	New York Life Insurance Company		
							Candriam GF AUSBIL Global Essential Infrastructure	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	77.050	New York Life Insurance Company		
							Candriam GF AUSBIL Global Essential Infrastructure	LUX	NIA	Cordius CIG	Ownership	0.020	New York Life Insurance Company		
							Candriam GF Short Duration US High Yield Bonds	LUX	NIA	Cordius CIG	Ownership	0.120	New York Life Insurance Company		
							Candriam GF US Corporate Bonds	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	95.060	New York Life Insurance Company		
							Candriam GF US High Yield Corporate Bonds	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	41.150	New York Life Insurance Company		
							Candriam GF US High Yield Corporate Bonds	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Global Alpha	LUX	NIA	Cordius CIG	Ownership	0.310	New York Life Insurance Company		
							Candriam Impact One	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	32.790	New York Life Insurance Company		
							Candriam L	LUX	NIA	Cordius CIG	Ownership	0.060	New York Life Insurance Company		
							Candriam L Defensive Asset Allocation	LUX	NIA	Cordius CIG	Ownership	0.060	New York Life Insurance Company		
							Candriam L Dynamic Asset Allocation	LUX	NIA	Cordius CIG	Ownership	4.410	New York Life Insurance Company		
							Candriam L Multi-Asset Income & Growth	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam L Multi-Asset Premia	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	10.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
							Candriam L Multi-Asset Premia	LUX	NIA	Cordius CIG	Ownership	0.030	New York Life Insurance Company		
							Candriam MM Multi Strategies	FRA	NIA	Cordius CIG	Ownership	0.080	New York Life Insurance Company		
							Candriam Multi-Strategies	LUX	NIA	Candriam Belgium	Ownership	16.510	New York Life Insurance Company		
							Candriam Multi-Strategies	LUX	NIA	Candriam France	Ownership	25.320	New York Life Insurance Company		
							Candriam Multi-Strategies	LUX	NIA	Candriam Luxembourg	Ownership	58.140	New York Life Insurance Company		
							Candriam Multi-Strategies	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Quant	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Quant Equities Europe	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Quant Equities Multi-Factor EMU	LUX	NIA	Cordius CIG	Ownership	0.060	New York Life Insurance Company		
							Candriam Quant Equities Multi-Factor Global	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Quant Equities USA	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam SRI	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company		
							Candriam SRI Bond Emerging Markets	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company		
							Candriam SRI Bond Euro	LUX	NIA	Candriam Luxembourg	Ownership	0.040	New York Life Insurance Company		
							Candriam SRI Bond Euro Aggregate Index	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam SRI Bond Euro Corporate	LUX	NIA	Candriam Luxembourg	Ownership	0.030	New York Life Insurance Company		
							Candriam SRI Bond Global High Yield	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company		
							Candriam SRI Equity Circular Economy	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam SRI Equity Emerging Markets	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company		
							Candriam SRI Equity EMU	LUX	NIA	Candriam Luxembourg	Ownership	0.020	New York Life Insurance Company		
							Candriam SRI Equity Europe	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company		
							Candriam SRI Equity North America	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company		
							Candriam SRI Equity Pacific	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam SRI Equity World	LUX	NIA	Candriam Luxembourg	Ownership	0.010	New York Life Insurance Company		
							Candriam Sustainable	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	0.120	New York Life Insurance Company		
							Candriam Sustainable	LUX	NIA	Cordius CIG	Ownership	0.020	New York Life Insurance Company		
							Candriam Sustainable Bond Global	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Sustainable Bond Impact	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	46.130	New York Life Insurance Company		
							Candriam Sustainable Bond Impact	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam Sustainable Equity Children	LUX	NIA	Cordius CIG	Ownership	100.000	New York Life Insurance Company		
							Candriam Sustainable Equity Future Mobility	LUX	NIA	Cordius CIG	Ownership	0.010	New York Life Insurance Company		
							Candriam World Alternative	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	41.720	New York Life Insurance Company		
							Candriam World Alternative Alphamax	LUX	NIA	New York Life Insurance and Annuity Corporation	Ownership	42.270	New York Life Insurance Company		
							Cleome Index Global Equities	LUX	NIA	Cleome Index	Ownership	0.001	New York Life Insurance Company		
							Cleome Index World Equities	LUX	NIA	Cleome Index	Ownership	0.000	New York Life Insurance Company		
							Paricor	LUX	NIA	Cordius CIG	Ownership	0.060	New York Life Insurance Company		
							Paricor Patrimonium	LUX	NIA	Cordius CIG	Ownership	0.070	New York Life Insurance Company		
							Ausbil Investment Management Limited	AUS	NIA	New York Life Investment Management Holdings II International	Ownership	79.920	New York Life Insurance Company		
							Ausbil Australia Pty. Ltd.	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company		
							Ausbil Asset Management Pty. Ltd.	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company		
							Ausbil Global Infrastructure Pty. Limited	AUS	NIA	Ausbil Investment Management Limited	Ownership	55.000	New York Life Insurance Company		
							ISPT Holding	AUS	NIA	Ausbil Investment Management Limited	Ownership	0.370	New York Life Insurance Company		
							Ausbil Investment Management Limited Employee Share Trust	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company		
							Ausbil 130/30 Focus Fund	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company		12
							Ausbil Active Sustainable Equity Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	14.260	New York Life Insurance Company		
							Ausbil Australian Active Equity Fund	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company		
							Ausbil Australian Concentrated Equity Fund	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company		

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Yes/No)	*
							Ausbil Australian Emerging Leaders Fund .....	AUS	NIA	Ausbil Investment Management Limited .....	Ownership	100.000	New York Life Insurance Company .....		
							Ausbil Australian Geared Equity Fund .....	AUS	NIA	Ausbil Investment Management Limited .....	Ownership	100.000	New York Life Insurance Company .....		
							Ausbil Australian Smallcap Fund .....	AUS	NIA	Ausbil Investment Management Limited .....	Ownership	100.000	New York Life Insurance Company .....		
							Ausbil Balanced Fund .....	AUS	NIA	Ausbil Investment Management Limited .....	Ownership	100.000	New York Life Insurance Company .....		
							Ausbil EGS Focus Fund .....	AUS	NIA	Ausbil Investment Management Limited .....	Ownership	100.000	New York Life Insurance Company .....		
							Ausbil Global Essential Infrastructure Fund .....	AUS	NIA	New York Life Insurance and Annuity Corporation .....	Ownership	19.560	New York Life Insurance Company .....		
							Ausbil IT - Ausbil Global SmallCap Fund .....	AUS	NIA	New York Life Insurance and Annuity Corporation .....	Ownership	32.510	New York Life Insurance Company .....		
							Ausbil IT - MacKay Shields Multi-Sector Bond Fund .....	AUS	NIA	New York Life Insurance and Annuity Corporation .....	Ownership	98.610	New York Life Insurance Company .....		
							Ausbil IT Ausbil Long Short Focus Fund .....	AUS	NIA	New York Life Insurance and Annuity Corporation .....	Ownership	21.800	New York Life Insurance Company .....		
			56-2412827		0000914898		NYLIFE Distributors LLC .....	DE	NIA	New York Life Investment Management Holdings LLC .....	Ownership	100.000	New York Life Insurance Company .....		
				3663273			Huntsville NVL LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
			27-0169511				CC Acquisitions, LP .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							REEP-IND Cedar Farms TN LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
			61-1724274				REEP-IND Continental NC LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
			46-3561738				LRC-Patriot, LLC .....	DE	NIA	REEP-IND Continental NC LLC .....	Ownership	93.000	New York Life Insurance Company .....		
			35-2496884				REEP-LRC Industrial LLC .....	DE	NIA	LRC-Patriot, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							REEP-IND Forest Park NJ LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							FP Building 4 LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							FP Building 1-2-3 LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							FP Building 17, LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							FP Building 20, LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							FP Mantua Grove LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							FP Lot 1.01 LLC .....	DE	NIA	REEP-IND Forest Park NJ LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							REEP-IND NJ LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							NJIND JV LLC .....	DE	NIA	REEP-IND NJ LLC .....	Ownership	93.000	New York Life Insurance Company .....		
							NJIND Hook Road LLC .....	DE	NIA	NJIND JV LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							NJIND Bay Avenue LLC .....	DE	NIA	NJIND JV LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							NJIND Bay Avenue Urban Renewal LLC .....	DE	NIA	NJIND JV LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							NJIND Corbin Street LLC .....	DE	NIA	NJIND JV LLC .....	Ownership	100.000	New York Life Insurance Company .....		
			46-2951535				REEP-MF Cumberland TN LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
			20-1807159				Cumberland Apartments, LLC .....	TN	NIA	REEP-MF Cumberland TN LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							REEP-MF Enclave TX LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							REEP-MF Marina Landing WA LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							REEP-SP Marina Landing LLC .....	DE	NIA	REEP-MF Marina Landing WA LLC .....	Ownership	98.000	New York Life Insurance Company .....		
							REEP-MF Mira Loma II TX LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							Mira Loma II, LLC .....	DE	NIA	REEP-MF Mira Loma II TX LLC .....	Ownership	50.000	New York Life Insurance Company .....		
							REEP-MF Summitt Ridge CO LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							REEP-MF Woodridge IL LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							REEP-OF Centerpointe VA LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							Centerpointe Fairfax Holdings LLC .....	DE	NIA	REEP-OF Centerpointe VA LLC .....	Ownership	50.000	New York Life Insurance Company .....		
							REEP-OF 575 Lex NY LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							REEP-OF 575 Lex NY GP LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							Maple REEP-OF 575 Lex Holdings LP .....	DE	NIA	REEP-OF 575 Lex NY GP LLC .....	Ownership	50.000	New York Life Insurance Company .....		
							Maple REEP-OF 575 Lex Owner LLC .....	DE	NIA	REEP-OF 575 Lex NY GP LLC .....	Ownership	50.000	New York Life Insurance Company .....		
							REEP-RTL SASI GA LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							REEP-RTL Bradford PA LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							REEP-OF 575 Lex NY GP LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		
							Royal Centre, LLC .....	DE	NIA	REEP-OF Royal Centre GA LLC .....	Ownership	90.000	New York Life Insurance Company .....		
							REEP-RTL CTC NY LLC .....	DE	NIA	NVLife Real Estate Holdings, LLC .....	Ownership	100.000	New York Life Insurance Company .....		

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ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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							REEP-OFC 5005 LBJ Freeway TX LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	97.000	New York Life Insurance Company		
							5005 LBJ Tower LLC	DE	NIA	REEP-OFC 5005 LBJ Freeway TX LLC	Ownership	97.000	New York Life Insurance Company		
							REEP-OFC/RTL MARKET ROSS TX LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
			37-1842612				MARKET ROSS TX JV LLC	DE	NIA	REEP-OFC/RTL MARKET ROSS TX LLC	Ownership	98.700	New York Life Insurance Company		
			61-1808552				MARKET ROSS TX GARAGE OWNER LC	DE	NIA	MARKET ROSS TX JV LLC	Ownership	100.000	New York Life Insurance Company		
			36-4852864				MARKET ROSS TX OFFICE OWNER LLC	DE	NIA	MARKET ROSS TX JV LLC	Ownership	100.000	New York Life Insurance Company		
			32-0511592				MARKET ROSS TX RETAIL OWNER LLC	DE	NIA	MARKET ROSS TX JV LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC Mallory TN LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							3665 Mallory JV LLC	DE	NIA	REEP-OFC Mallory TN LLC	Ownership	90.900	New York Life Insurance Company		
							REEP-OFC Water Ridge NC LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-OFC Viridian AZ LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-Hines Viridian JV LLC	DE	NIA	REEP-OFC Viridian AZ LLC	Ownership	73.031	New York Life Insurance Company		
							REEP-OFC 2300 EMPIRE LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-MF Wynnewood PA LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
			30-1018932				Wynnewood JV LLC	DE	NIA	REEP-MF Wynnewood PA LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-MJ Fayetteville NC LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							501 Fayetteville JV LLC	DE	NIA	REEP-MJ Fayetteville NC LLC	Ownership	85.000	New York Life Insurance Company		
							501 Fayetteville Owner LLC	DE	NIA	501 Fayetteville JV LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-MJ SOUTH GRAHAM NC LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							401 SOUTH GRAHAM JV LLC	DE	NIA	REEP-MJ SOUTH GRAHAM NC LLC	Ownership	90.000	New York Life Insurance Company		
							401 SOUTH GRAHAM OWNER LLC	DE	NIA	401 SOUTH GRAHAM JV LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-IND COMMERCE CITY CO LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-BRENNAN COMMERCE CITY JV LLC	DE	NIA	REEP-IND COMMERCE CITY CO LLC	Ownership	95.000	New York Life Insurance Company		
							REEP-MF ART TOWER OR LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-WP ART TOWER JV LLC	DE	NIA	REEP-MF ART TOWER OR LLC	Ownership	95.000	New York Life Insurance Company		
							REEP-OFC Mass Ave MA LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
			85-3570605				REEP-MF FARMINGTON IL LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
			85-3582543				REEP-MARQUETTE FARMINGTON JV LLC	DE	NIA	REEP-MF FARMINGTON IL LLC	Ownership	90.000	New York Life Insurance Company		
			85-3602362				REEP-MARQUETTE FARMINGTON OWNER LLC	DE	NIA	REEP-MARQUETTE FARMINGTON JV LLC	Ownership	100.000	New York Life Insurance Company		
			87-2888368				REEP-MF BELLEVUE STATION WA LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
			87-2917401				REEP-LP BELLEVUE STATION JV LLC	DE	NIA	REEP-MF BELLEVUE STATION WA LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-HINES ENCLAVE POINT AZ LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
							REEP-HINES ENCLAVE POINT JV LLC	DE	NIA	REEP-HINES ENCLAVE POINT AZ LLC	Ownership	50.000	New York Life Insurance Company		
							REEP-MF WILDHORSE RANCH TX LLC	DE	NIA	NyLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company		
			87-2917401				REEP-WP WILDHORSE RANCH JV LLC	DE	NIA	REEP-MF WILDHORSE RANCH TX LLC	Ownership	100.000	New York Life Insurance Company		

Asterisk	Explanation
1	Contractual Client - Madison Capital Funding LLC, an indirect wholly owned affiliate of the Company, has contractual control of this entity's loan portfolio.
2	Control of this entity is pursuant to an investment management contract with New York Life Investment Management Holdings LLC or affiliate, not through ownership of voting interests.
3	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding LLC owns 36% of non-voting carry shares.
4	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLCAP India Funding III LLC owns 31.36% of non-voting carry shares.
5	Investment Pool - Investment pool of leveraged loans managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
6	Reliance Relationship - Entire proceeds of the entity are invested in a funding agreement of the Company.
7	Energy Contracts and Aircraft Loans Investments - with 100% of the investments coming from the Company and its wholly owned affiliate New York Life Insurance and Annuity Corporation.
8	Control of this entity is pursuant to an investment management contract with Private Advisors LLC, or affiliate, not through ownership of voting interests.
9	Investment Pool - Investment pool of mixed assets managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
10	One share held by Candriam Luxco S.á.r.l.
11	Control of this entity is pursuant to a management contract with NYL Investors LLC.

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

Asterisk	Explanation
12 .....	Ausbil Investment Management Limited has sole authority over the management of the fund. ....

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE Y**

**PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES**

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
66915	13-5582869	New York Life Insurance Company (Parent)	1,133,332,000	150,963,496			1,152,614,434			(3,535,669,467)	(1,098,759,537)	(50,047,138)
91596	13-3044743	New York Life Insurance and Annuity Corporation	(805,332,000)	18,617,932			(861,611,992)			600,000,000	(1,048,326,060)	50,047,138
	13-4199614	New York Life Enterprises LLC		(84,773,553)			(15,737,026)				(100,510,579)	
81353	52-1530175	NYLIFE Insurance Company of Arizona					(2,939,623)				(2,939,623)	
	52-2206685	New York Life Investment Management Holdings LLC					(81,525,181)				(81,525,181)	
	13-4081725	NYLIFE LLC	(3,000,000)	(18,425,807)			(814,700)			(474,670,562)	(496,911,069)	
	46-4293486	NYL Investors LLC	(150,000,000)				(69,415,046)				(219,415,046)	
	36-4715120	Madison Capital Funding LLC	(175,000,000)	(66,382,068)			(25,557,704)			3,399,771,849	3,132,832,077	
65498	23-1503749	Life Insurance Company of North America					(95,013,162)			10,095,147	(84,918,015)	
64548	13-2556568	New York Life Group Insurance Company of NY								473,033	473,033	
9999999	Control Totals								XXX			



**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Responses
<b>MARCH FILING</b>	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1? .....	YES
2. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1? .....	YES
3. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1? .....	YES
4. Will an actuarial opinion be filed by March 1? .....	YES
<b>APRIL FILING</b>	
5. Will Management's Discussion and Analysis be filed by April 1? .....	YES
6. Will the Life, Health & Annuity Guaranty Association Assessable Premium Exhibit - Parts 1 and 2 be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies) .....	YES
7. Will the Supplemental Investment Risks Interrogatories be filed by April 1? .....	YES
<b>JUNE FILING</b>	
8. Will an audited financial report be filed by June 1? .....	YES
9. Will Accountant's Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1? .....	YES

The following supplemental reports are required to be filed as part of your annual statement filing **if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below.** If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

<b>MARCH FILING</b>	
10. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? (Not applicable to fraternal benefit societies) ...	NO
11. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1? .....	NO
12. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1? .....	NO
13. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
14. Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
15. Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
16. Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
17. Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
18. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
19. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
20. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
21. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
22. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
23. Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
24. Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
25. Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

- 26. Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1? ..... NO
- 27. Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1? ..... NO
- 28. Will the Worker's Compensation Carve-Out Supplement be filed by March 1? (Not applicable to fraternal benefit societies) ..... NO
- 29. Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1? ..... YES
- 30. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1? ..... NO
- 31. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1? ..... NO
- 32. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1? ..... NO
- 33. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1? ..... NO
- 34. Will the VM-20 Reserves Supplement be filed with the state of domicile and the NAIC by March 1? ..... NO
- 35. Will the Health Care Receivables Supplement be filed with the state of domicile and the NAIC by March 1? ..... NO

**APRIL FILING**

- 36. Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by the Valuation Manual be filed with the state of domicile by April 1? ..... YES
- 37. Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1? ..... NO
- 38. Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies) ... NO
- 39. Will the Accident and Health Policy Experience Exhibit be filed by April 1? ..... YES
- 40. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1? ..... NO
- 41. Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1? ..... NO
- 42. Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30? ..... NO
- 43. Will the Supplemental Term and Universal Life Insurance Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1? ..... NO
- 44. Will the Variable Annuities Supplement be filed with the state of domicile and the NAIC by April 1? ..... NO
- 45. Will the confidential Executive Summary of the PBR Actuarial Report be filed with the state of domicile by April 1? ..... NO
- 46. Will the confidential Life Summary of the PBR Actuarial Report be filed with the state of domicile by April 1? ..... NO
- 47. Will the confidential Variable Annuities Summary of the PBR Actuarial Report be filed with the state of domicile by April 1? ..... NO

**AUGUST FILING**

- 48. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1? ..... YES

Explanations:

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Bar Codes:

10. SIS Stockholder Information Supplement [Document Identifier 420]



11. Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]



12. Trusteed Surplus Statement [Document Identifier 490]



13. Participating Opinion for Exhibit 5 [Document Identifier 371]



14. Non-Guaranteed Opinion for Exhibit 5 [Document Identifier 370]



15. Actuarial Opinion on X-Factors [Document Identifier 442]



16. Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit [Document Identifier 443]



# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

17. Actuarial Opinion on Synthetic Guaranteed Investment Contracts [Document Identifier 444]	 6 4 5 4 8 2 0 2 1 4 4 4 0 0 0 0 0
18. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]	 6 4 5 4 8 2 0 2 1 4 4 5 0 0 0 0 0
19. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]	 6 4 5 4 8 2 0 2 1 4 4 6 0 0 0 0 0
20. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]	 6 4 5 4 8 2 0 2 1 4 4 7 0 0 0 0 0
21. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]	 6 4 5 4 8 2 0 2 1 4 4 8 0 0 0 0 0
22. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]	 6 4 5 4 8 2 0 2 1 4 4 9 0 0 0 0 0
23. C-3 RBC Certifications Required Under C-3 Phase I [Document Identifier 450]	 6 4 5 4 8 2 0 2 1 4 5 0 0 0 0 0 0
24. C-3 RBC Certifications Required Under C-3 Phase II [Document Identifier 451]	 6 4 5 4 8 2 0 2 1 4 5 1 0 0 0 0 0
25. Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities [Document Identifier 452]	 6 4 5 4 8 2 0 2 1 4 5 2 0 0 0 0 0
26. Modified Guaranteed Annuity Model Regulation [Document Identifier 453]	 6 4 5 4 8 2 0 2 1 4 5 3 0 0 0 0 0
27. Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities [Document Identifier 454]	 6 4 5 4 8 2 0 2 1 4 5 4 0 0 0 0 0
28. Workers' Compensation Carve-Out Supplement [Document Identifier 495]	 6 4 5 4 8 2 0 2 1 4 9 5 0 0 0 0 0
30. Medicare Part D Coverage Supplement [Document Identifier 365]	 6 4 5 4 8 2 0 2 1 3 6 5 0 0 0 0 0
31. Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]	 6 4 5 4 8 2 0 2 1 2 2 4 0 0 0 0 0
32. Relief from the one-year cooling off period for independent CPA [Document Identifier 225]	 6 4 5 4 8 2 0 2 1 2 2 5 0 0 0 0 0
33. Relief from the Requirements for Audit Committees [Document Identifier 226]	 6 4 5 4 8 2 0 2 1 2 2 6 0 0 0 0 0
34. VM-20 Reserves Supplement [Document Identifier 456]	 6 4 5 4 8 2 0 2 1 4 5 6 0 0 0 0 0
35. Health Care Receivables Supplement [Document Identifier 470]	 6 4 5 4 8 2 0 2 1 4 7 0 0 0 0 0 0
37. Long-Term Care Experience Reporting Forms [Document Identifier 306]	 6 4 5 4 8 2 0 2 1 3 0 6 0 0 0 0 0
38. Credit Insurance Experience Exhibit [Document Identifier 230]	 6 4 5 4 8 2 0 2 1 2 3 0 0 0 0 0 0
40. Supplemental Health Care Exhibit (Parts 1, 2 and 3) [Document Identifier 216]	 6 4 5 4 8 2 0 2 1 2 1 6 0 0 0 0 0
41. Supplemental Health Care Exhibit's Expense Allocation Report [Document Identifier 217]	 6 4 5 4 8 2 0 2 1 2 1 7 0 0 0 0 0
42. Actuarial Memorandum Required by Actuarial Guideline XXXVIII 8D [Document Identifier 435]	 6 4 5 4 8 2 0 2 1 4 3 5 0 0 0 0 0
43. Supplemental Term and Universal Life Insurance Reinsurance Exhibit [Document Identifier 345]	 6 4 5 4 8 2 0 2 1 3 4 5 0 0 0 0 0
44. Variable Annuities Supplement [Document Identifier 286]	 6 4 5 4 8 2 0 2 1 2 8 6 0 0 0 0 0
45. Executive Summary of the PBR Actuarial Report [Document Identifier 457]	 6 4 5 4 8 2 0 2 1 4 5 7 0 0 0 0 0
46. Life Summary of the PBR Actuarial Report [Document Identifier 458]	 6 4 5 4 8 2 0 2 1 4 5 8 0 0 0 0 0
47. Variable Annuities Summary of the PBR Actuarial Report [Document Identifier 459]	 6 4 5 4 8 2 0 2 1 4 5 9 0 0 0 0 0

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY  
**OVERFLOW PAGE FOR WRITE-INS**

**SUMMARY INVESTMENT SCHEDULE**

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage of Column 1 Line 13	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage of Column 5 Line 13
1. Long-Term Bonds (Schedule D, Part 1):						
1.01 U.S. governments .....	10,549,172	2.297	10,549,172		10,549,172	2.297
1.02 All other governments .....	2,796,664	0.609	2,796,665		2,796,665	0.609
1.03 U.S. states, territories and possessions, etc. guaranteed .....		0.000				0.000
1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed .....		0.000				0.000
1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed .....	11,558,914	2.517	11,558,914		11,558,914	2.517
1.06 Industrial and miscellaneous .....	412,059,245	89.715	412,059,247		412,059,247	89.715
1.07 Hybrid securities .....		0.000				0.000
1.08 Parent, subsidiaries and affiliates .....		0.000				0.000
1.09 SVO identified funds .....		0.000				0.000
1.10 Unaffiliated Bank loans .....		0.000				0.000
1.11 Total long-term bonds .....	436,963,995	95.137	436,963,998		436,963,998	95.137
2. Preferred stocks (Schedule D, Part 2, Section 1):						
2.01 Industrial and miscellaneous (Unaffiliated) .....		0.000				0.000
2.02 Parent, subsidiaries and affiliates .....		0.000				0.000
2.03 Total preferred stocks .....		0.000				0.000
3. Common stocks (Schedule D, Part 2, Section 2):						
3.01 Industrial and miscellaneous Publicly traded (Unaffiliated) .....		0.000				0.000
3.02 Industrial and miscellaneous Other (Unaffiliated) .....		0.000				0.000
3.03 Parent, subsidiaries and affiliates Publicly traded .....		0.000				0.000
3.04 Parent, subsidiaries and affiliates Other .....		0.000				0.000
3.05 Mutual funds .....		0.000				0.000
3.06 Unit investment trusts .....		0.000				0.000
3.07 Closed-end funds .....		0.000				0.000
3.08 Total common stocks .....		0.000				0.000
4. Mortgage loans (Schedule B):						
4.01 Farm mortgages .....		0.000				0.000
4.02 Residential mortgages .....		0.000				0.000
4.03 Commercial mortgages .....	20,188,074	4.395	20,188,074		20,188,074	4.395
4.04 Mezzanine real estate loans .....	656,135	0.143	656,135		656,135	0.143
4.05 Total valuation allowance .....		0.000				0.000
4.06 Total mortgage loans .....	20,844,209	4.538	20,844,209		20,844,209	4.538
5. Real estate (Schedule A):						
5.01 Properties occupied by company .....		0.000				0.000
5.02 Properties held for production of income .....		0.000				0.000
5.03 Properties held for sale .....		0.000				0.000
5.04 Total real estate .....		0.000				0.000
6. Cash, cash equivalents and short-term investments:						
6.01 Cash (Schedule E, Part 1) .....	562,782	0.123	562,782		562,782	0.123
6.02 Cash equivalents (Schedule E, Part 2) .....	850,144	0.185	850,144		850,144	0.185
6.03 Short-term investments (Schedule DA) .....		0.000				0.000
6.04 Total cash, cash equivalents and short-term investments .....	1,412,926	0.308	1,412,926		1,412,926	0.308
7. Contract loans .....		0.000				0.000
8. Derivatives (Schedule DB) .....		0.000				0.000
9. Other invested assets (Schedule BA) .....		0.000				0.000
10. Receivables for securities .....	76,949	0.017	76,949		76,949	0.017
11. Securities Lending (Schedule DL, Part 1) .....		0.000		XXX	XXX	XXX
12. Other invested assets (Page 2, Line 11) .....		0.000				0.000
13. Total invested assets	459,298,079	100.000	459,298,082		459,298,082	100.000

## SCHEDULE A - VERIFICATION BETWEEN YEARS

Real Estate

1.	Book/adjusted carrying value, December 31 of prior year .....	
2.	Cost of acquired:	
2.1	Actual cost at time of acquisition (Part 2, Column 6) .....	
2.2	Additional investment made after acquisition (Part 2, Column 9) .....	
3.	Current year change in encumbrances:	
3.1	Totals, Part 1, Column 13 .....	
3.2	Totals, Part 3, Column 11 .....	
4.	Total gain (loss) on disposals, Part 3, Column 18 .....	
5.	Deduct amounts received on disposals, Part 3, Column 15 .....	
6.	Total foreign exchange change in book/adjusted carrying value:	
6.1	Totals, Part 1, Column 15 .....	
6.2	Totals, Part 3, Column 13 .....	
7.	Deduct current year's other than temporary impairment recognized:	
7.1	Totals, Part 1, Column 12 .....	
7.2	Totals, Part 3, Column 10 .....	
8.	Deduct current year's depreciation:	
8.1	Totals, Part 1, Column 11 .....	
8.2	Totals, Part 3, Column 9 .....	
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	
10.	Deduct total nonadmitted amounts .....	
11.	Statement value at end of current period (Line 9 minus Line 10) .....	

**NONE**

## SCHEDULE B - VERIFICATION BETWEEN YEARS

Mortgage Loans

1.	Book value/recorded investment excluding accrued interest, December 31 of prior year .....	
2.	Cost of acquired:	
2.1	Actual cost at time of acquisition (Part 2, Column 7) .....	20,660,369
2.2	Additional investment made after acquisition (Part 2, Column 8) .....	177,983
		20,838,352
3.	Capitalized deferred interest and other:	
3.1	Totals, Part 1, Column 12 .....	2,266
3.2	Totals, Part 3, Column 11 .....	2,266
4.	Accrual of discount .....	1,844
5.	Unrealized valuation increase (decrease):	
5.1	Totals, Part 1, Column 9 .....	
5.2	Totals, Part 3, Column 8 .....	
6.	Total gain (loss) on disposals, Part 3, Column 18 .....	
7.	Deduct amounts received on disposals, Part 3, Column 15 .....	(1,746)
8.	Deduct amortization of premium and mortgage interest points and commitment fees .....	
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest:	
9.1	Totals, Part 1, Column 13 .....	
9.2	Totals, Part 3, Column 13 .....	
10.	Deduct current year's other than temporary impairment recognized:	
10.1	Totals, Part 1, Column 11 .....	
10.2	Totals, Part 3, Column 10 .....	
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	20,844,208
12.	Total valuation allowance .....	
13.	Subtotal (Line 11 plus 12) .....	20,844,208
14.	Deduct total nonadmitted amounts .....	
15.	Statement value of mortgages owned at end of current period (Line 13 minus Line 14) .....	20,844,208

## SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year .....	
2.	Cost of acquired:	
2.1	Actual cost at time of acquisition (Part 2, Column 8) .....	
2.2	Additional investment made after acquisition (Part 2, Column 9) .....	
3.	Capitalized deferred interest and other:	
3.1	Totals, Part 1, Column 16 .....	
3.2	Totals, Part 3, Column 12 .....	
4.	Accrual of discount .....	
5.	Unrealized valuation increase (decrease):	
5.1	Totals, Part 1, Column 13 .....	
5.2	Totals, Part 3, Column 9 .....	
6.	Total gain (loss) on disposals, Part 3, Column 19 .....	
7.	Deduct amounts received on disposals, Part 3, Column 16 .....	
8.	Deduct amortization of premium and depreciation .....	
9.	Total foreign exchange change in book/adjusted carrying value:	
9.1	Totals, Part 1, Column 17 .....	
9.2	Totals, Part 3, Column 14 .....	
10.	Deduct current year's other than temporary impairment recognized:	
10.1	Totals, Part 1, Column 15 .....	
10.2	Totals, Part 3, Column 11 .....	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	
12.	Deduct total nonadmitted amounts .....	
13.	Statement value at end of current period (Line 11 minus Line 12) .....	

NONE

## SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year .....	394,163,580
2.	Cost of bonds and stocks acquired, Part 3, Column 7 .....	128,192,703
3.	Accrual of discount .....	445,690
4.	Unrealized valuation increase (decrease):	
4.1	Part 1, Column 12 .....	
4.2	Part 2, Section 1, Column 15 .....	
4.3	Part 2, Section 2, Column 13 .....	
4.4	Part 4, Column 11 .....	
5.	Total gain (loss) on disposals, Part 4, Column 19 .....	2,059,132
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7 .....	88,381,741
7.	Deduct amortization of premium .....	573,735
8.	Total foreign exchange change in book/adjusted carrying value:	
8.1	Part 1, Column 15 .....	
8.2	Part 2, Section 1, Column 19 .....	
8.3	Part 2, Section 2, Column 16 .....	
8.4	Part 4, Column 15 .....	
9.	Deduct current year's other than temporary impairment recognized:	
9.1	Part 1, Column 14 .....	
9.2	Part 2, Section 1, Column 17 .....	
9.3	Part 2, Section 2, Column 14 .....	
9.4	Part 4, Column 13 .....	
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Note 5Q, Line 2 .....	1,058,397
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	436,964,026
12.	Deduct total nonadmitted amounts .....	
13.	Statement value at end of current period (Line 11 minus Line 12) .....	436,964,026

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE D - SUMMARY BY COUNTRY**

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
<b>BONDS</b>					
Governments (Including all obligations guaranteed by governments)	1. United States .....	10,549,172	10,562,686	10,549,004	10,705,000
	2. Canada .....	1,996,626	2,186,850	1,994,080	2,000,000
	3. Other Countries	800,038	894,358	800,052	800,000
	4. Totals	13,345,836	13,643,894	13,343,136	13,505,000
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals				
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals				
U.S. Special Revenue and Special Assessment Obligations and all Non- Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions	7. Totals	11,558,914	11,953,026	12,560,833	11,300,000
Industrial and Miscellaneous, SVO Identified Funds, Unaffiliated Bank Loans and Hybrid Securities (unaffiliated)	8. United States .....	348,750,182	362,686,607	348,430,689	348,138,680
	9. Canada .....	16,632,132	17,337,952	16,608,763	16,655,000
	10. Other Countries	46,676,930	49,268,151	46,634,533	46,800,006
	11. Totals	412,059,244	429,292,710	411,673,985	411,593,686
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	436,963,994	454,889,630	437,577,954	436,398,686
<b>PREFERRED STOCKS</b>					
Industrial and Miscellaneous (unaffiliated)	14. United States .....				
	15. Canada .....				
	16. Other Countries				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
<b>COMMON STOCKS</b>					
Industrial and Miscellaneous (unaffiliated)	20. United States .....				
	21. Canada .....				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	436,963,994	454,889,630	437,577,954	

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE D - PART 1A - SECTION 1**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1			10,549,172			XXX	10,549,172	2.4	15,705,555	3.8	10,549,172	
1.2 NAIC 2						XXX						
1.3 NAIC 3						XXX						
1.4 NAIC 4						XXX						
1.5 NAIC 5						XXX						
1.6 NAIC 6						XXX						
1.7 Totals			10,549,172			XXX	10,549,172	2.4	15,705,555	3.8	10,549,172	
2. All Other Governments												
2.1 NAIC 1			2,196,282			XXX	2,196,282	0.5	3,001,797	0.7		2,196,282
2.2 NAIC 2			100,383			XXX	100,383	0.0			100,383	
2.3 NAIC 3			500,000			XXX	500,000	0.1	500,000	0.1		500,000
2.4 NAIC 4						XXX						
2.5 NAIC 5						XXX						
2.6 NAIC 6						XXX						
2.7 Totals			2,796,665			XXX	2,796,665	0.6	3,501,797	0.9	100,383	2,696,282
3. U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1						XXX			2,039,970	0.5		
3.2 NAIC 2						XXX						
3.3 NAIC 3						XXX						
3.4 NAIC 4						XXX						
3.5 NAIC 5						XXX						
3.6 NAIC 6						XXX						
3.7 Totals						XXX			2,039,970	0.5		
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.1 NAIC 1						XXX			10,729,690	2.6		
4.2 NAIC 2						XXX						
4.3 NAIC 3						XXX						
4.4 NAIC 4						XXX						
4.5 NAIC 5						XXX						
4.6 NAIC 6						XXX						
4.7 Totals						XXX			10,729,690	2.6		
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1	5,773,888	3,160,092	2,624,934			XXX	11,558,914	2.6	9,370,246	2.3	11,558,914	
5.2 NAIC 2						XXX						
5.3 NAIC 3						XXX						
5.4 NAIC 4						XXX						
5.5 NAIC 5						XXX						
5.6 NAIC 6						XXX						
5.7 Totals	5,773,888	3,160,092	2,624,934			XXX	11,558,914	2.6	9,370,246	2.3	11,558,914	

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE D - PART 1A - SECTION 1 (Continued)**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
<b>6. Industrial &amp; Miscellaneous (Unaffiliated)</b>												
6.1 NAIC 1	2,864,706	27,625,807	99,805,189	8,480,081	2,894,089	XXX	141,669,872	32.4	109,497,108	26.8	68,284,823	73,385,049
6.2 NAIC 2	1,498,301	68,482,433	163,112,255	9,916,845		XXX	243,009,834	55.6	250,687,772	61.3	161,662,678	81,347,156
6.3 NAIC 3	2,328,905	8,809,013	10,792,306	200,000		XXX	22,130,224	5.1	5,183,775	1.3	3,035,633	19,094,591
6.4 NAIC 4		2,400,099	2,470,342			XXX	4,870,441	1.1	988,713	0.2	1,551,722	3,318,719
6.5 NAIC 5	16,311	362,553				XXX	378,864	0.1				378,864
6.6 NAIC 6						XXX						
6.7 Totals	6,708,223	107,679,905	276,180,092	18,596,926	2,894,089	XXX	412,059,235	94.3	366,357,368	89.5	234,534,856	177,524,379
<b>7. Hybrid Securities</b>												
7.1 NAIC 1						XXX						
7.2 NAIC 2						XXX			1,458,860	0.4		
7.3 NAIC 3						XXX						
7.4 NAIC 4						XXX						
7.5 NAIC 5						XXX						
7.6 NAIC 6						XXX						
7.7 Totals						XXX			1,458,860	0.4		
<b>8. Parent, Subsidiaries and Affiliates</b>												
8.1 NAIC 1						XXX						
8.2 NAIC 2						XXX						
8.3 NAIC 3						XXX						
8.4 NAIC 4						XXX						
8.5 NAIC 5						XXX						
8.6 NAIC 6						XXX						
8.7 Totals						XXX						
<b>9. SVO Identified Funds</b>												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX							
9.2 NAIC 2	XXX	XXX	XXX	XXX	XXX							
9.3 NAIC 3	XXX	XXX	XXX	XXX	XXX							
9.4 NAIC 4	XXX	XXX	XXX	XXX	XXX							
9.5 NAIC 5	XXX	XXX	XXX	XXX	XXX							
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX							
9.7 Totals	XXX	XXX	XXX	XXX	XXX							
<b>10. Unaffiliated Bank Loans</b>												
10.1 NAIC 1						XXX						
10.2 NAIC 2						XXX						
10.3 NAIC 3						XXX						
10.4 NAIC 4						XXX						
10.5 NAIC 5						XXX						
10.6 NAIC 6						XXX						
10.7 Totals						XXX						

**ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY**  
**SCHEDULE D - PART 1A - SECTION 1 (Continued)**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
<b>11. Total Bonds Current Year</b>												
11.1 NAIC 1	(d) 8,638,594	30,785,899	115,175,577	8,480,081	2,894,089		165,974,240	38.0	XXX	XXX	90,392,909	75,581,331
11.2 NAIC 2	(d) 1,498,301	68,482,433	163,212,638	9,916,845			243,110,217	55.6	XXX	XXX	161,763,061	81,347,156
11.3 NAIC 3	(d) 2,328,905	8,809,013	11,292,306	200,000			22,630,224	5.2	XXX	XXX	3,035,633	19,594,591
11.4 NAIC 4	(d) 2,400,099	2,470,342					4,870,441	1.1	XXX	XXX	1,551,722	3,318,719
11.5 NAIC 5	(d) 16,311	362,553					(c) 378,864	0.1	XXX	XXX		378,864
11.6 NAIC 6	(d)						(c)		XXX	XXX		
11.7 Totals	12,482,111	110,839,997	292,150,863	18,596,926	2,894,089		(b) 436,963,986	100.0	XXX	XXX	256,743,325	180,220,661
11.8 Line 11.7 as a % of Col. 7	2.9	25.4	66.9	4.3	0.7		100.0	XXX	XXX	XXX	58.8	41.2
<b>12. Total Bonds Prior Year</b>												
12.1 NAIC 1	29,830,029	39,217,282	75,780,455	5,313,066	203,534		XXX	XXX	150,344,366	36.7	110,616,344	39,728,022
12.2 NAIC 2	6,720,549	67,574,374	168,438,836	9,412,873			XXX	XXX	252,146,632	61.6	166,596,510	85,550,122
12.3 NAIC 3	351,571	3,993,141	1,339,063				XXX	XXX	5,683,775	1.4	1,723,072	3,960,703
12.4 NAIC 4		988,713					XXX	XXX	988,713	0.2	988,713	
12.5 NAIC 5							XXX	XXX	(c)			
12.6 NAIC 6							XXX	XXX	(c)			
12.7 Totals	36,902,149	111,773,510	245,558,354	14,725,939	203,534		XXX	XXX	(b) 409,163,486	100.0	279,924,639	129,238,847
12.8 Line 12.7 as a % of Col. 9	9.0	27.3	60.0	3.6	0.0		XXX	XXX	100.0	XXX	68.4	31.6
<b>13. Total Publicly Traded Bonds</b>												
13.1 NAIC 1	5,773,888	12,200,343	71,521,675	897,002			90,392,908	20.7	110,616,344	27.0	90,392,908	XXX
13.2 NAIC 2	1,000,000	44,425,459	110,028,617	6,308,985			161,763,061	37.0	166,596,510	40.7	161,763,061	XXX
13.3 NAIC 3		1,516,873	1,518,759				3,035,632	0.7	1,723,072	0.4	3,035,632	XXX
13.4 NAIC 4		991,722	560,000				1,551,722	0.4	988,713	0.2	1,551,722	XXX
13.5 NAIC 5												XXX
13.6 NAIC 6												XXX
13.7 Totals	6,773,888	59,134,397	183,629,051	7,205,987			256,743,323	58.8	279,924,639	68.4	256,743,323	XXX
13.8 Line 13.7 as a % of Col. 7	2.6	23.0	71.5	2.8			100.0	XXX	XXX	XXX	100.0	XXX
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11	1.6	13.5	42.0	1.6			58.8	XXX	XXX	XXX	58.8	XXX
<b>14. Total Privately Placed Bonds</b>												
14.1 NAIC 1	2,864,706	18,585,556	43,653,902	7,583,079	2,894,089		75,581,332	17.3	39,728,022	9.7	XXX	75,581,332
14.2 NAIC 2	498,301	24,056,974	53,184,021	3,607,860			81,347,156	18.6	85,550,122	20.9	XXX	81,347,156
14.3 NAIC 3	2,328,905	7,292,140	9,773,547	200,000			19,594,592	4.5	3,960,703	1.0	XXX	19,594,592
14.4 NAIC 4		1,408,377	1,910,342				3,318,719	0.8			XXX	3,318,719
14.5 NAIC 5	16,311	362,553					378,864	0.1			XXX	378,864
14.6 NAIC 6											XXX	
14.7 Totals	5,708,223	51,705,600	108,521,812	11,390,939	2,894,089		180,220,663	41.2	129,238,847	31.6	XXX	180,220,663
14.8 Line 14.7 as a % of Col. 7	3.2	28.7	60.2	6.3	1.6		100.0	XXX	XXX	XXX	XXX	100.0
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	1.3	11.8	24.8	2.6	0.7		41.2	XXX	XXX	XXX	XXX	41.2

(a) Includes \$ 107,642,760 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.  
 (b) Includes \$ 1,303,368 current year of bonds with Z designations and \$ prior year of bonds with Z designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement.  
 (c) Includes \$ current year, \$ prior year of bonds with 5GI designations and \$ current year, \$ prior year of bonds with 6\* designations. "5GI" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.  
 (d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ ; NAIC 2 \$ ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$

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ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE D - PART 1A - SECTION 2**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
<b>1. U.S. Governments</b>												
1.01 Issuer Obligations .....			10,549,172			XXX	10,549,172	2.4	15,705,555	3.8	10,549,172	
1.02 Residential Mortgage-Backed Securities .....						XXX						
1.03 Commercial Mortgage-Backed Securities .....						XXX						
1.04 Other Loan-Backed and Structured Securities .....						XXX						
1.05 Totals			10,549,172			XXX	10,549,172	2.4	15,705,555	3.8	10,549,172	
<b>2. All Other Governments</b>												
2.01 Issuer Obligations .....			2,796,665			XXX	2,796,665	0.6	3,501,797	0.9	100,383	2,696,282
2.02 Residential Mortgage-Backed Securities .....						XXX						
2.03 Commercial Mortgage-Backed Securities .....						XXX						
2.04 Other Loan-Backed and Structured Securities .....						XXX						
2.05 Totals			2,796,665			XXX	2,796,665	0.6	3,501,797	0.9	100,383	2,696,282
<b>3. U.S. States, Territories and Possessions, Guaranteed</b>												
3.01 Issuer Obligations .....						XXX			2,039,970	0.5		
3.02 Residential Mortgage-Backed Securities .....						XXX						
3.03 Commercial Mortgage-Backed Securities .....						XXX						
3.04 Other Loan-Backed and Structured Securities .....						XXX						
3.05 Totals						XXX			2,039,970	0.5		
<b>4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed</b>												
4.01 Issuer Obligations .....						XXX			10,729,690	2.6		
4.02 Residential Mortgage-Backed Securities .....						XXX						
4.03 Commercial Mortgage-Backed Securities .....						XXX						
4.04 Other Loan-Backed and Structured Securities .....						XXX						
4.05 Totals						XXX			10,729,690	2.6		
<b>5. U.S. Special Revenue &amp; Special Assessment Obligations etc., Non-Guaranteed</b>												
5.01 Issuer Obligations .....	5,773,888	3,160,092	2,624,934			XXX	11,558,914	2.6	9,370,246	2.3	11,558,914	
5.02 Residential Mortgage-Backed Securities .....						XXX						
5.03 Commercial Mortgage-Backed Securities .....						XXX						
5.04 Other Loan-Backed and Structured Securities .....						XXX						
5.05 Totals	5,773,888	3,160,092	2,624,934			XXX	11,558,914	2.6	9,370,246	2.3	11,558,914	
<b>6. Industrial and Miscellaneous</b>												
6.01 Issuer Obligations .....	4,880,453	94,703,332	254,610,426	16,126,973	153,839	XXX	370,475,023	84.8	360,483,402	88.1	227,294,939	143,180,084
6.02 Residential Mortgage-Backed Securities .....	641,115	1,393,413	1,237,196	2,098,355	1,440,479	XXX	6,810,558	1.6			6,810,558	
6.03 Commercial Mortgage-Backed Securities .....		4,489,429	10,718,970			XXX	15,208,399	3.5			7,239,916	7,968,483
6.04 Other Loan-Backed and Structured Securities .....	1,186,656	7,093,730	9,613,500	371,598	1,299,771	XXX	19,565,255	4.5	5,873,967	1.4		19,565,255
6.05 Totals	6,708,224	107,679,904	276,180,092	18,596,926	2,894,089	XXX	412,059,235	94.3	366,357,369	89.5	234,534,855	177,524,380
<b>7. Hybrid Securities</b>												
7.01 Issuer Obligations .....						XXX			1,458,860	0.4		
7.02 Residential Mortgage-Backed Securities .....						XXX						
7.03 Commercial Mortgage-Backed Securities .....						XXX						
7.04 Other Loan-Backed and Structured Securities .....						XXX						
7.05 Totals						XXX			1,458,860	0.4		
<b>8. Parent, Subsidiaries and Affiliates</b>												
8.01 Issuer Obligations .....						XXX						
8.02 Residential Mortgage-Backed Securities .....						XXX						
8.03 Commercial Mortgage-Backed Securities .....						XXX						
8.04 Other Loan-Backed and Structured Securities .....						XXX						
8.05 Affiliated Bank Loans - Issued .....						XXX						
8.06 Affiliated Bank Loans - Acquired .....						XXX						
8.07 Totals						XXX						

ANNUAL STATEMENT FOR THE YEAR 2021 OF THE NEW YORK LIFE GROUP INSURANCE COMPANY OF NY

**SCHEDULE D - PART 1A - SECTION 2 (Continued)**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
9. SVO Identified Funds												
9.01 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX							
10. Unaffiliated Bank Loans												
10.01 Unaffiliated Bank Loans - Issued						XXX						
10.02 Unaffiliated Bank Loans - Acquired						XXX						
10.03 Totals						XXX						
11. Total Bonds Current Year												
11.01 Issuer Obligations	10,654,341	97,863,424	270,581,197	16,126,973	153,839	XXX	395,379,774	90.5	XXX	XXX	249,503,408	145,876,366
11.02 Residential Mortgage-Backed Securities	641,115	1,393,413	1,237,196	2,098,355	1,440,479	XXX	6,810,558	1.6	XXX	XXX		6,810,558
11.03 Commercial Mortgage-Backed Securities		4,489,429	10,718,970			XXX	15,208,399	3.5	XXX	XXX	7,239,916	7,968,483
11.04 Other Loan-Backed and Structured Securities	1,186,656	7,093,730	9,613,500	371,598	1,299,771	XXX	19,565,255	4.5	XXX	XXX		19,565,255
11.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
11.06 Affiliated Bank Loans						XXX			XXX	XXX		
11.07 Unaffiliated Bank Loans						XXX			XXX	XXX		
11.08 Totals	12,482,112	110,839,996	292,150,863	18,596,926	2,894,089		436,963,986	100.0	XXX	XXX	256,743,324	180,220,662
11.09 Line 11.08 as a % of Col. 7	2.9	25.4	66.9	4.3	0.7		100.0	XXX	XXX	XXX	58.8	41.2
12. Total Bonds Prior Year												
12.01 Issuer Obligations	36,280,710	110,601,443	242,004,489	14,199,344	203,534	XXX	XXX	XXX	403,289,520	98.6	279,924,639	123,364,881
12.02 Residential Mortgage-Backed Securities						XXX	XXX	XXX				
12.03 Commercial Mortgage-Backed Securities						XXX	XXX	XXX				
12.04 Other Loan-Backed and Structured Securities	621,440	1,172,067	3,553,865	526,595		XXX	XXX	XXX	5,873,967	1.4		5,873,967
12.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX							
12.06 Affiliated Bank Loans						XXX	XXX	XXX				
12.07 Unaffiliated Bank Loans						XXX	XXX	XXX				
12.08 Totals	36,902,150	111,773,510	245,558,354	14,725,939	203,534		XXX	XXX	409,163,487	100.0	279,924,639	129,238,848
12.09 Line 12.08 as a % of Col. 9	9.0	27.3	60.0	3.6	0.0		XXX	XXX	100.0	XXX	68.4	31.6
13. Total Publicly Traded Bonds												
13.01 Issuer Obligations	6,773,888	59,134,397	176,389,136	7,205,987		XXX	249,503,408	57.1	279,924,639	68.4	249,503,408	XXX
13.02 Residential Mortgage-Backed Securities						XXX						XXX
13.03 Commercial Mortgage-Backed Securities			7,239,916			XXX	7,239,916	1.7			7,239,916	XXX
13.04 Other Loan-Backed and Structured Securities						XXX						XXX
13.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX							XXX
13.06 Affiliated Bank Loans						XXX						XXX
13.07 Unaffiliated Bank Loans						XXX						XXX
13.08 Totals	6,773,888	59,134,397	183,629,052	7,205,987			256,743,324	58.8	279,924,639	68.4	256,743,324	XXX
13.09 Line 13.08 as a % of Col. 7	2.6	23.0	71.5	2.8			100.0	XXX	XXX	XXX	100.0	XXX
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	1.6	13.5	42.0	1.6			58.8	XXX	XXX	XXX	58.8	XXX
14. Total Privately Placed Bonds												
14.01 Issuer Obligations	3,880,453	38,729,027	94,192,061	8,920,986	153,839	XXX	145,876,366	33.4	123,364,881	30.2	XXX	145,876,366
14.02 Residential Mortgage-Backed Securities	641,115	1,393,413	1,237,196	2,098,355	1,440,479	XXX	6,810,558	1.6			XXX	6,810,558
14.03 Commercial Mortgage-Backed Securities		4,489,429	3,479,054			XXX	7,968,483	1.8			XXX	7,968,483
14.04 Other Loan-Backed and Structured Securities	1,186,656	7,093,730	9,613,500	371,598	1,299,771	XXX	19,565,255	4.5	5,873,967	1.4	XXX	19,565,255
14.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX						XXX	
14.06 Affiliated Bank Loans						XXX					XXX	
14.07 Unaffiliated Bank Loans						XXX					XXX	
14.08 Totals	5,708,224	51,705,599	108,521,811	11,390,939	2,894,089		180,220,662	41.2	129,238,848	31.6	XXX	180,220,662
14.09 Line 14.08 as a % of Col. 7	3.2	28.7	60.2	6.3	1.6		100.0	XXX	XXX	XXX	XXX	100.0
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11	1.3	11.8	24.8	2.6	0.7		41.2	XXX	XXX	XXX	XXX	41.2

Schedule DA - Verification - Short-Term Investments

**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

**SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS**

(Cash Equivalents)

	1	2	3	4
	Total	Bonds	Money Market Mutual funds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year .....	14,999,919	14,999,919	113,572	(113,572)
2. Cost of cash equivalents acquired .....	69,786,277		69,786,277	
3. Accrual of discount .....	.80	.80		
4. Unrealized valuation increase (decrease) .....				
5. Total gain (loss) on disposals .....				
6. Deduct consideration received on disposals .....	83,936,132	14,999,999	69,049,705	(113,572)
7. Deduct amortization of premium .....				
8. Total foreign exchange change in book/adjusted carrying value .....				
9. Deduct current year's other than temporary impairment recognized .....				
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5- 7+8-9) .....	850,144		850,144	
11. Deduct total nonadmitted amounts .....				
12. Statement value at end of current period (Line 10 minus Line 11)	850,144		850,144	

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment: Sweeps